

CLIMATOLOGY

Deadly heat waves projected in the densely populated agricultural regions of South Asia

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The risk associated with any climate change impact reflects intensity of natural hazard and level of human vulnerability. Previous work has shown that a wet-bulb temperature of 35°C can be considered an upper limit on human survivability. On the basis of an ensemble of high-resolution climate change simulations, we project that extremes of wet-bulb temperature in South Asia are likely to approach and, in a few locations, exceed this critical threshold by the late 21st century under the business-as-usual scenario of future greenhouse gas emissions. The most intense hazard from extreme future heat waves is concentrated around densely populated agricultural regions of the Ganges and Indus river basins. Climate change, without mitigation, presents a serious and unique risk in South Asia, a region inhabited by about one-fifth of the global human population, due to an unprecedented combination of severe natural hazard and acute vulnerability.

INTRODUCTION

The risk of human illness and mortality increases in hot and humid weather associated with heat waves. Sherwood and Huber (1) proposed the concept of a human survivability threshold based on wet-bulb temperature (TW). TW is defined as the temperature that an air parcel would attain if cooled at constant pressure by evaporating water within it until saturation. It is a combined measure of temperature [that is, dry-bulb temperature (T)] and humidity (Q) that is always less than or equal to T . High values of TW imply hot and humid conditions and vice versa. The increase in TW reduces the differential between human body skin temperature and the inner temperature of the human body, which reduces the human body's ability to cool itself (2). Because normal human body temperature is maintained within a very narrow limit of $\pm 1^\circ\text{C}$ (3), disruption of the body's ability to regulate temperature can immediately impair physical and cognitive functions (4). If ambient air TW exceeds 35°C (typical human body skin temperature under warm conditions), metabolic heat can no longer be dissipated. Human exposure to TW of around 35°C for even a few hours will result in death even for the fittest of humans under shaded, well-ventilated conditions (1). While TW well below 35°C can pose dangerous conditions for most humans, 35°C can be considered an upper limit on human survivability in a natural (not air-conditioned) environment. Here, we consider maximum daily TW values averaged over a 6-hour window (TW_{max}), which is considered the maximum duration fit humans can survive at 35°C.

HISTORICAL DISTRIBUTION OF MAXIMUM WET-BULB TEMPERATURE

According to the global historical reanalysis for modern record (1979–2015) (5), the largest TW_{max} rarely exceeds 31°C in the current climate. However, three extensive regions, where values exceed 28°C, are observed: southwest Asia around the Persian/Arabian Gulf and Red Sea, South Asia in the Indus and Ganges river valleys, and eastern China

(Fig. 1). To identify the precise reasons for high TW , individual studies need to be performed because of each region's unique geography and climate. The underlying reasons why southwest Asia stands out are discussed by Pal and Eltahir (6), who concluded that future TW_{max} around the Persian/Arabian Gulf region is likely to exceed the TW threshold for human survivability by the end of the century under a business-as-usual (BAU) scenario of atmospheric greenhouse gas (GHG) concentrations. In summer 2015, TW in the Bandar Mahshahr, Iran Persian/Arabian Gulf, reached nearly 35°C, suggesting that the threshold may be breached sooner than projected (7). In this study, we shift our attention to the region of South Asia, here defined as Pakistan, Nepal, India, Bangladesh, and Sri Lanka. The northern part of this region is the second hottest after southwest Asia but is more expansive when considering the land area affected.

Heat waves and their impacts on human health are combined consequences of high dry-bulb temperatures and humidity (that is, high TW) and the vulnerability of the population. Many previous studies have investigated the impacts of anthropogenic climate change on heat waves and human health (8, 9). However, it is not until more recently that the combined effects of temperature and humidity have been more commonly considered. For example, the Fourth Assessment Report of the Intergovernmental Panel on Climate Change (IPCC) (10) when making projections about future heat waves only considers T . However, the latest IPCC report (11) does consider the combined effects of T and Q when considering working conditions, which are projected to worsen considerably in many regions (12, 13). In addition, studies that include the combined effects at a global scale are largely based on output from climate models with resolutions on the order of 100 to 200 km (1, 14). Studies that are based on higher-resolution simulations are generally focused on North America and Europe (15, 16). However, the most detrimental human impacts of climate change on heat waves could potentially be those in developing nations because of the vulnerability of their populations. In much of India and Pakistan, an apparent rising trend in the frequency of deadly heat waves has been observed (17–19). For example, severe heat waves resulting in thousands of deaths to humans and livestock were reported around Odisha (eastern India) in 1998, Andhra Pradesh in 2003, and Ahmadabad and other parts of Gujarat (western India) in 2010 (20). In particular, the fifth deadliest heat wave in recorded history (21) affected large parts of India and Pakistan, claiming around

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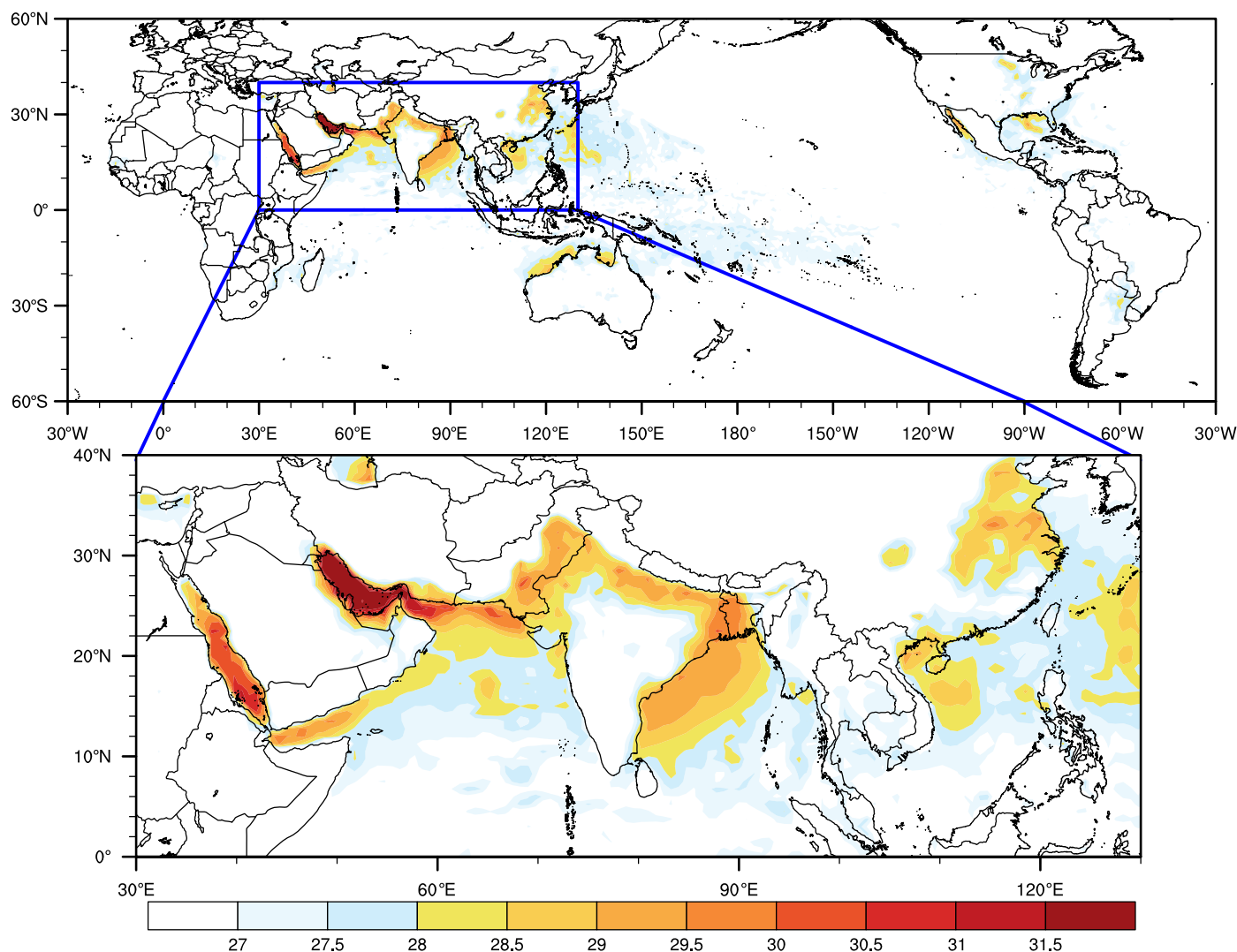


Fig. 1. Spatial distribution of highest daily maximum wet-bulb temperature, TW_{max} (°C), in modern record (1979–2015). Global distribution of TW_{max} is computed using ERA-Interim 3-hourly data with $0.75^\circ \times 0.75^\circ$ horizontal resolution. The enlarged domain highlights three distinguishable regions with higher TW_{max} : southwest Asia around the Persian/Arabian Gulf and Red Sea, South Asia in the Indus and Ganges river valleys, and eastern China. TW_{max} values below 27°C are not shown.

3500 lives in 2015 (22). We note that the large positive departure of maximum temperature from the climatology during the heat wave periods largely coincides with the areas (particularly in Uttar Pradesh, Bihar, and Odisha) where TW is projected to approach or exceed the survivability threshold under the Representative Concentration Pathway 8.5 (RCP 8.5) scenario (see Fig. 2) (23).

IMPACTS OF CLIMATE CHANGE

To study the potential impacts of climate change on human health due to extreme TW in South Asia, we apply the Massachusetts Institute of Technology Regional Climate Model (MRCM) (24) forced at the lateral and sea surface boundaries by output from three simulations from the Coupled Model Intercomparison Project Phase 5 (CMIP5) coupled Atmosphere-Ocean Global Climate Model (AOGCM) experiments (25). By conducting high-resolution simulations, we include detailed representations of topography and coastlines as well as detailed physical

processes related to the land surface and atmospheric physics, which are lacking in coarser-resolution AOGCM simulations (26). On the basis of our comparison of MRCM simulations driven by three AOGCMs for the historical period 1976–2005 (HIST) against reanalysis and in situ observational data, MRCM shows reasonable performance in capturing the climatological and geographical features of mean and extreme TW over South Asia. Furthermore, the mean biases of MRCM simulations are statistically corrected at the daily time scale to enhance the reliability of future projections (see Materials and Methods). We project the potential impacts of future climate change toward the end of century (2071–2100), assuming two GHG concentration scenarios based on the RCP trajectories (27): RCP4.5 and RCP8.5. RCP8.5 represents a BAU scenario resulting in a global CMIP5 ensemble average surface temperature increase of approximately 4.5°C . RCP4.5 includes moderate mitigation resulting in approximately 2.25°C average warming, slightly higher than what has been pledged by the 2015 United Nations Conference on Climate Change (COP21).

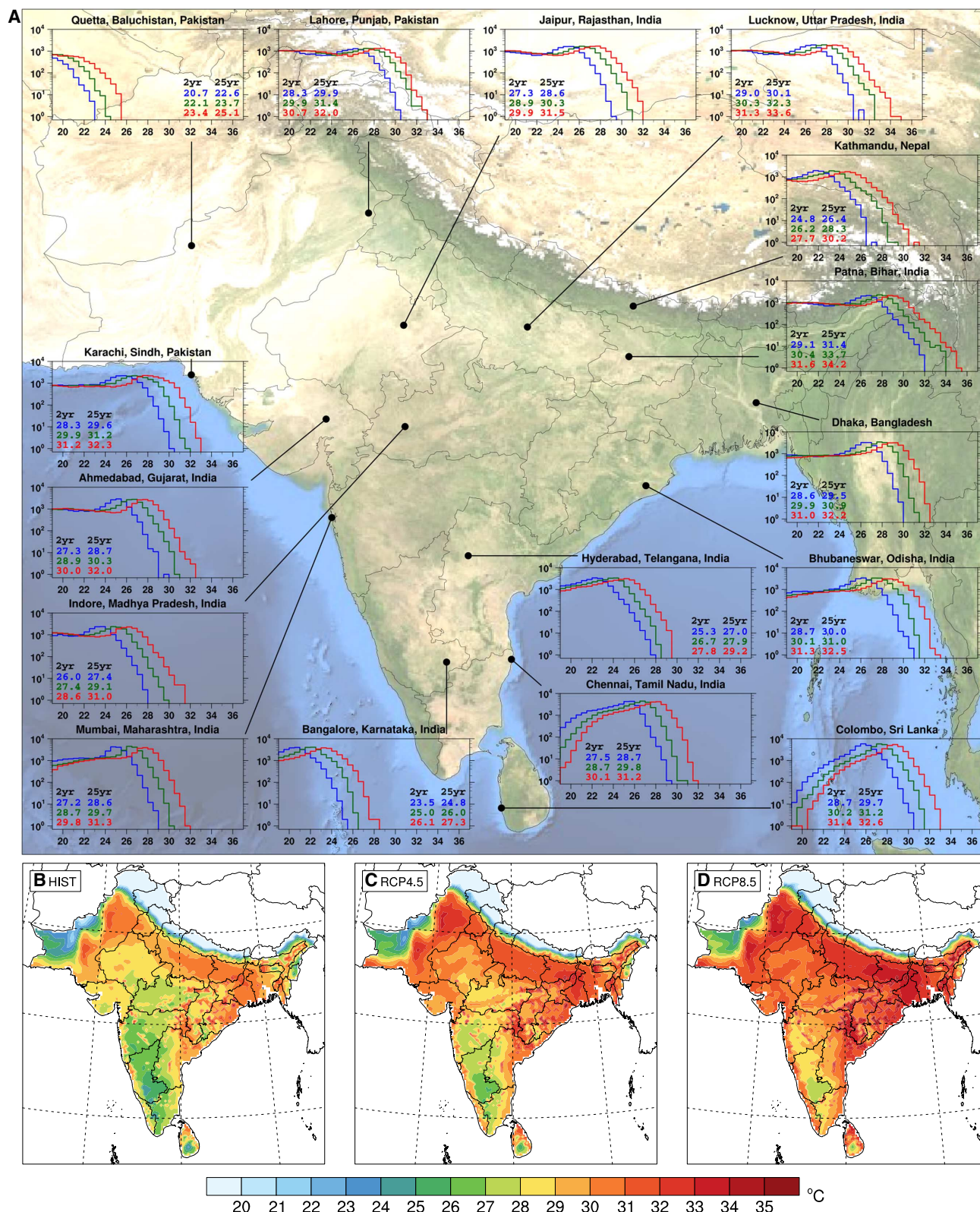


Fig. 2. Histograms of daily maximum wet-bulb temperature, TW_{max} (°C), and maps of the ensemble averaged 30-year TW_{max} . (A) The histograms are generated for the most populous cities in the selected regions for each scenario: HIST (blue), RCP4.5 (green), and RCP8.5 (red). Values within each panel correspond to the 2- and 25-year return period of the bias-corrected annual maxima of TW_{max} , and the x and y axes indicate TW_{max} (°C) and the number of occurrences on a logarithmic scale, respectively. The background image was obtained from NASA Visible Earth. (B to D) The spatial distributions of bias-corrected ensemble averaged 30-year TW_{max} for each GHG scenario: HIST (1976–2005) (B), RCP4.5 (2071–2100) (C), and RCP8.5 (2071–2100) (D).

On the basis of the simulation results, TW_{\max} is projected to exceed the survivability threshold at a few locations in the Chota Nagpur Plateau, northeastern India, and Bangladesh and projected to approach the 35°C threshold under the RCP8.5 scenario by the end of the century over most of South Asia, including the Ganges river valley, northeastern India, Bangladesh, the eastern coast of India, Chota Nagpur Plateau, northern Sri Lanka, and the Indus valley of Pakistan (Fig. 2). Under the RCP4.5 scenario, no regions are projected to exceed 35°C; however, vast regions of South Asia are projected to experience episodes exceeding 31°C, which is considered extremely dangerous for most humans (see the Supplementary Materials). Less severe conditions, in general, are projected for the Deccan Plateau in India, the Himalayas, and western mountain ranges in Pakistan.

Many urban population centers in South Asia are projected to experience heat waves characterized by TW_{\max} well beyond 31°C under RCP8.5 (Fig. 2). For example, in Lucknow (Uttar Pradesh) and Patna (Bihar), which have respective current metro populations of 2.9 and 2.2 million, TW reaches and exceeds the survivability threshold. In most locations, the 25-year annual TW_{\max} event in the present climate, for instance, is projected to become approximately an every year occurrence under RCP8.5 and a 2-year event under RCP4.5 (Fig. 2 and fig. S1). In addition to the increase in TW_{\max} under global warming, the urban heat island effect may increase the risk level of extreme heat, measured in terms of temperature, for high-density urban population exposure to poor living conditions. However, Shastri *et al.* (28) found that urban heat island intensity over many Indian urban centers is lower than in non-urban regions along the urban boundary during daytime in the pre-monsoon summer because of the relatively low vegetation cover in non-urban areas.

VULNERABILITY

The geographical locations of the most extreme projected heat waves in the Indus and Ganges river valleys coincide largely with locations of highly vulnerable human populations in terms of population density, gross domestic product (GDP) per capita, and agricultural intensity (Fig. 3). Agricultural workers in these regions spend significant time working outdoors during the seasons with the highest TW (boreal spring, summer, and autumn; fig. S2). Under RCP8.5, a small fraction of the South Asian population (~4%) is projected to experience TW_{\max} exceeding 35°C by 2100. However, approximately 75% of the population is projected to experience TW_{\max} exceeding 31°C, considered dangerous levels for most humans (6), compared to 15% in the current climate and 55% under RCP4.5 (Fig. 3). Similarly, the fractional population exposed to the median daily maximum TW_{\max} of 31°C is projected to increase from essentially zero in the present day to ~30% under RCP8.5 and only 2% under RCP4.5. In terms of fractional agricultural area affected, the numbers are similar to those of fractional population because most of the populations in these regions live in rural areas. The difference between the projections for RCP8.5 and RCP4.5 suggests that the region stands to benefit from significant mitigation efforts, such as those predicted by COP21.

To examine the robustness of our estimates of the characteristics of heat stress, we also investigate the frequency and intensity of severe heat waves based on the criteria used by the India Meteorological Department, which do not consider the effects of humidity (figs. S3 and S4). Heat waves characterized by solely maximum temperatures are projected to significantly increase in both frequency and intensity, with maximum severity in northwest India and the desert region of

Pakistan. Combining our analysis based on TW_{\max} and severe heat wave defined by T_{\max} , we find that a sizable part of the Indian sub-continent is likely to experience more frequent and intensified heat waves and associated physical stress during the extended period covering the pre-monsoon to monsoon seasons.

POTENTIAL MECHANISMS

The fertile valleys of the Indus and the Ganges, where human population is currently in the hundreds of millions, will likely experience some of the most severe projected hazard from heat waves. Three independent mechanisms are offered to explain this projection. First, the monsoon system transports warm and humid air masses into the Indus and Ganges valleys from the surrounding warm Arabian Sea and Bay of Bengal. Second, surface elevations in these valleys are generally lower than 100 m above sea level, and hence, surface air is generally warmer than surrounding higher-elevation areas. Third, much of the valleys are irrigated, which tends to enhance TW over irrigated areas because of modifications in the surface energy balance (29). These three factors add together to favor higher TW conditions in the valleys compared to the surrounding regions. Furthermore, large-scale modes of climate variability indirectly affect the characteristics of heat waves through teleconnections. The possible linkage between the El Niño–Southern Oscillation and heat wave variability has been previously investigated (30). El Niño delays the onset of the Indian Summer Monsoon, which, in turn, induces more severe and longer-lasting heat waves in India. Furthermore, most years with abnormal heat waves in terms of intensity and spatial extent correspond to a year following an El Niño event (31, 32). We note that the regions identified with severe heat waves associated with El Niño coincide with the locations of higher TW in our analysis.

RAMIFICATIONS

Studies of detection and attribution of recent climate change have found compelling evidence of human influence on regional heat waves (33), surface specific humidity (34), and temperature- and moisture-related heat stress (35). These detection/attribution results lend further credibility to the projected late 21st century increases in the frequency and magnitude of heat extremes and related heat stress due to anthropogenic GHGs, which will likely impose significant risk and negative impact on human health (11). In this regard, our findings have significant implications to the ongoing considerations regarding climate change policy. In the absence of serious mitigation, some of the most severe hazards associated with climate change will fall on some of the most vulnerable populations. In poorer regions, such as South Asia, air conditioning is not currently available as a safe haven to most of the population, increasing the risk of illness and death related to extreme heat conditions. This disparity raises important environmental justice questions beyond the scope of this study. At the regional scale, India's GHG emissions have been increasing rapidly in recent decades because of rapid economic and population growth and high dependence on coal used for energy generation. Despite their relatively low GHG per capita emissions, India (and more so China) is responsible for much of the recent rise in global emissions. The findings from this study may present a significant dilemma for India because the continuation of this current trajectory of rising emissions will likely impose significant added human health risks to some of its most vulnerable populations.

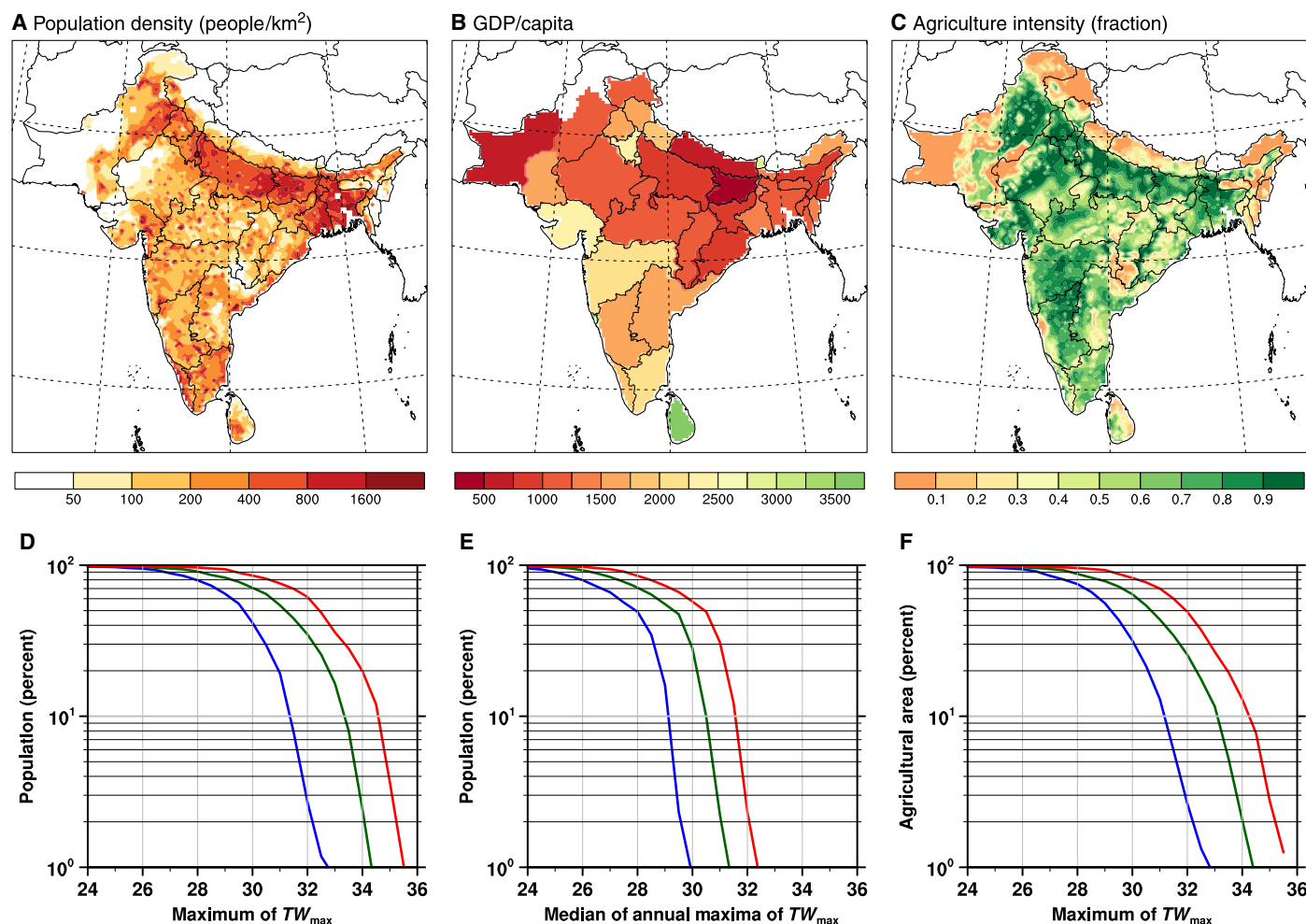


Fig. 3. Vulnerability due to population density, poverty, and outdoor working conditions. (A to C) Maps of population density in people/km² (A), GDP in U.S. dollars per capita (B), and agricultural land fraction (C). (D to F) Population fraction exposed at least once in the simulations to TW_{max} (D) and exposed to median of annual maxima of TW_{max} (E), and agricultural land fraction (crop and pasture) exposed at least once in the simulations to TW_{max} (F). Blue, green, and red lines represent the HIST (1976–2005), RCP4.5 (2071–2100), and RCP8.5 (2071–2100) scenarios, respectively. Data for 2000 population density (43), 2014 GDP (Indian Ministry of Statistics and Programme Implementation), and 2000 agricultural intensity (44) are regridded onto the MRCM grid.

MATERIALS AND METHODS

Experimental design

To investigate the future changes in TW in response to anthropogenic GHG forcing over South Asia, we produced high-resolution regional projections using the MRCM (24). MRCM is based on the Abdus Salam International Centre for Theoretical Physics Regional Climate Model Version 3 (RegCM3) (36) but with several important improvements (26). In particular, the irrigation module implemented within the Integrated Biosphere Simulator (IBIS) land surface scheme takes into account the impact of large-scale irrigation practiced in the agricultural sector in South Asia (fig. S5). In previous studies, we have demonstrated that the irrigation module of MRCM is suited for climate simulations representing how irrigation enhances evapotranspiration and affects the surface energy balance (29, 37).

The simulation domain covers the entire Indian subcontinent and adjacent Indian Ocean, including the five targeted countries of this study: India, Pakistan, Bangladesh, Nepal, and Sri Lanka. It is centered at 79°E and 20.5°N with a 25-km grid spacing on a Lambert conformal

projection. The 25-km grid spacing is fine enough to represent the general geographical setting. In particular, it reasonably captures the complex topography characterized by the sharp gradient of the Himalaya between the Indo-Gangetic Plain and the Tibetan Plateau (fig. S5), which is a key factor in accurately simulating the South Asian monsoon and hence TW .

The surface and lateral boundary conditions used to force MRCM were taken from three AOGCMs participating in CMIP5 (25): Community Climate System Model version 4 (CCSM4) (38), Max-Planck-Institute Earth System Model (MPI-ESM) (39), and Australian Community Climate and Earth System Simulator (ACCESS) (table S1) (40). The AOGCM horizontal resolution ranges from approximately 100 to 200 km for the atmosphere and 30 to 100 km for the ocean. The AOGCMs were selected based on a rigorous evaluation of performance in simulating T and TW over South Asia. More specifically, the bias and root mean square error of the seasonal mean climatology and the probability density function of daily time scale data were compared with the European Centre for Medium-Range Weather Forecasts Interim reanalysis (ERA-Interim) data (5).

For each selected AOGCM, the present-day climate is represented with historical GHG concentrations for the period 1975–2005 (HIST), whereas future climate is projected under the RCP trajectories (27) for the period 2070–2100. To quantify the effect of a range of GHG concentrations, two different RCP scenarios, namely, RCP4.5 and RCP8.5, were adopted. RCP8.5 is a rising concentration pathway leading to 8.5 W m^{-2} of radiative forcing by 2100 and can be considered a BAU scenario. RCP4.5 is a stabilization scenario after about 2060, leading to 4.5 W m^{-2} of radiative forcing by 2100. It represents moderate mitigation effort. In summary, South Asian regional climate was simulated using MRCM forced by three AOGCMs under three GHG concentration scenarios for a total of nine 31-year simulations. The first year of each simulation was excluded as a spin-up period, and the analysis was performed based on the remaining 30 years of simulation.

Extreme TW and T computations with bias correction

For impact studies, it is often desirable to bias correct climate model simulation results by assuming that the bias in the historical simulation remains constant in the future projections (41). Doing so reduces the likelihood that impact assessments are biased by model deficiencies. Here, we applied the same methodology for bias correction of simulated TW developed by Pal and Eltahir (6) for the climate change projections in southwest Asia. TW is computed by the formulation developed by Davies-Jones (42) using instantaneous values surface temperature, humidity, and pressure. Because TW does not consider the variation of solar radiation assuming moderately high radiation, it neglects the effects of direct sunlight on heat stress. It can result in a slight overestimation of heat stress in cloudy days or during nighttime but underestimation during periods of full sun (14). The ERA-Interim reanalysis data are considered the best available combined spatial and temporal representation of observations for the region and are therefore used for the following bias correction procedure: (i) The maximum 6-hour average TW and T for each day are computed for both the MRCM hourly output and the ERA-Interim reanalysis 3-hourly $0.75^\circ \times 0.75^\circ$ data, denoted by TW_{\max} and T_{\max} , respectively. (ii) The ERA-Interim TW_{\max} and T_{\max} data are interpolated from the $0.75^\circ \times 0.75^\circ$ horizontal grid to the 25-km MRCM grid. (iii) Consistent MRCM and ERA-Interim climatologies of TW_{\max} and T_{\max} are computed for each day of the year on the MRCM 25-km grid. (iv) The magnitude of the bias for each day of the year is estimated by the difference between 30-day running means of the two climatologies. (v) The daily bias is then applied to the MRCM daily values of TW_{\max} and T_{\max} for the present-day and future climates. The corrections for T_{\max} are on the order of 1° to 2°C , which is in line with the mean TW bias (fig. S6).

The adjusted values were, in turn, used to compute annual TW_{\max} and T_{\max} maxima and TW_{\max} and T_{\max} histograms at each grid point. To describe the statistical likelihood of extreme TW_{\max} and T_{\max} occurrence, we also computed the return period using an empirical Weibull ranking. By comparing the levels of TW_{\max} and T_{\max} corresponding to the same return period, we were able to project the future changes in the intensity and frequency of TW_{\max} and T_{\max} with respect to the present climate. The comparison between the RCP4.5 and RCP8.5 scenarios is meaningful for addressing the potential impact of mitigating GHG emissions.

SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/3/8/e1603322/DC1>
Supplementary Text

fig. S1. Bias-corrected annual TW_{\max} return period for the most populous cities in the selected regions for each scenario.

fig. S2. Seasonal cycle of the 30-year average monthly maximum TW_{\max} after bias correction for the most populous cities in the selected regions for each scenario.

fig. S3. April-May-July climatological frequency (days/year) of severe heat wave calculated using daily maximum temperature derived from MRCM historical simulation (1976–2005) and RCP4.5 and RCP8.5 projections (2071–2100).

fig. S4. As in fig. S3 but for intensity ($^\circ\text{C}$) of severe heat wave.

fig. S5. Geographical settings and typical climatological conditions of the South Asian monsoon.

fig. S6. Spatial distribution of June-July-August 30-year (1982–2011) climatology of TW and TW_{\max} ($^\circ\text{C}$) for ERA-Interim and the MRCM simulations driven by ERA-Interim.

fig. S7. Spatial distribution of June-July-August 30-year climatology of TW and TW_{\max} ($^\circ\text{C}$) for ERA-Interim (1979–2008) and the MRCM ensemble of the three simulations (1976–2005) driven by three AOGCMs (CCSM4, MPI-ESM, and ACCESS).

fig. S8. Spatial distribution of 95th percentile exceedance of TW_{\max} (days/year) from ERA-Interim (1979–2008) and the MRCM ensemble of simulations (1975–2005) driven by three AOGCMs (CCSM4, MPI-ESM, and ACCESS).

fig. S9. Histogram of TW_{\max} ($^\circ\text{C}$) at three stations (Punjab, Patna, and Lucknow) from in situ observations (1979–2008) and the MRCM simulations (1976–2005) driven by three AOGCMs (CCSM4, MPI-ESM, and ACCESS).

fig. S10. Histograms of daily maximum temperature, T_{\max} ($^\circ\text{C}$), and maps of the ensemble averaged 30-year T_{\max} .

fig. S11. Bias-corrected annual T_{\max} return period for the most populous cities in the selected regions for each scenario.

fig. S12. Conversion tables between NOAA National Weather Service Heat Index and TW .

table S1. Horizontal resolution of the atmospheric and ocean components of the three AOGCMs used in this study.

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Acknowledgments: We thank the editor and three anonymous reviewers for their constructive comments leading to improvements of the manuscript. We are grateful to S. Kang for his assistance with data handling and visualization. **Funding:** This research was supported by the National Research Foundation Singapore through the Singapore-MIT Alliance for Research and Technology's Center for Environmental Sensing and Modeling interdisciplinary research program. **Author contributions:** E.A.B.E. conceived the study. E.-S.I. and J.S.P. performed the simulations and analyzed the output. All authors discussed the results and wrote the manuscript. **Competing interests:** The authors declare that they have no competing interests. **Data and materials availability:** All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. Additional data related to this paper may be requested from the authors.

Submitted 30 December 2016

Accepted 6 July 2017

Published 2 August 2017

10.1126/sciadv.1603322

Citation: E.-S. Im, J. S. Pal, E. A. B. Eltahir, Deadly heat waves projected in the densely populated agricultural regions of South Asia. *Sci. Adv.* **3**, e1603322 (2017).

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Sci Adv **3** (8), e1603322.

DOI: 10.1126/sciadv.1603322

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