

**Long-term traffic-related air pollutant exposure and amyotrophic lateral sclerosis  
diagnosis in Denmark: A Bayesian hierarchical analysis**

*Robbie M Parks, PhD*

Department of Environmental Health Sciences, Mailman School of Public Health, Columbia  
University, New York, New York, USA  
The Earth Institute, Columbia University, New York, New York, USA

*Yanelli Nunez, PhD*

Department of Environmental Health Sciences, Mailman School of Public Health, Columbia  
University, New York, New York, USA

*Arin A Balalian, MD, MPH*

Department of Epidemiology, Mailman School of Public Health, Columbia University, New  
York, New York, USA

*Elizabeth A Gibson, PhD*

Department of Environmental Health Sciences, Mailman School of Public Health, Columbia  
University, New York, New York, USA  
Department of Biostatistics, Harvard TH Chan School of Public Health, Boston, Massachusetts,  
USA

*Johnni Hansen, PhD*

Danish Cancer Society Research Center, Copenhagen, Denmark

*Ole Raaschou-Nielsen, PhD*

Danish Cancer Society Research Center, Copenhagen, Denmark  
Department of Environmental Science, Aarhus University, Roskilde, Denmark

*Matthias Ketzel, PhD*

Department of Environmental Science, Aarhus University, Roskilde, Denmark  
Global Centre for Clean Air Research (GCARE), University of Surrey, Guildford, United  
Kingdom

*Jibran Khan, PhD*

Department of Environmental Science, Aarhus University, Roskilde, Denmark

*Jørgen Brandt, PhD*

Department of Environmental Science, Aarhus University, Roskilde, Denmark  
iClimate – interdisciplinary Center for Climate Change, Aarhus University, Denmark

*Roel Vermeulen, PhD*

Institute for Risk Assessment Sciences, Utrecht University, Utrecht, the Netherlands

*Susan Peters, PhD*

Institute for Risk Assessment Sciences, Utrecht University, Utrecht, the Netherlands

47  
48 *Jeff Goldsmith, PhD*  
49 Department of Biostatistics, Mailman School of Public Health, Columbia University, New York,  
50 New York, USA  
51  
52 *Diane B. Re, PhD*  
53 Department of Environmental Health Sciences, Mailman School of Public Health, Columbia  
54 University, New York, New York, USA  
55  
56 *Marc G. Weisskopf, PhD, ScD*  
57 Departments of Environmental Health and Epidemiology, T. H. Chan School of Public Health,  
58 Harvard University, Boston, Massachusetts, USA  
59  
60 *Marianthi-Anna Kioumourtoglou, ScD*  
61 Department of Environmental Health Sciences, Mailman School of Public Health, Columbia  
62 University, New York, New York, USA  
63  
64 **Corresponding Author:**  
65  
66 Robbie M Parks  
67 Department of Environmental Health Sciences  
68 Columbia University Mailman School of Public Health  
69 722 West 168th Street, #1104  
70 New York, New York, 10032  
71 Email: [robbie.parks@columbia.edu](mailto:robbie.parks@columbia.edu)  
72  
73 **Word Count:**  
74 Abstract: 299 words  
75 Main Text: 3,000 words

76 **Key Points**

77 **Question:** How are ambient air pollutants associated with diagnosis of amyotrophic lateral  
78 sclerosis (ALS)?

79 **Findings:** In this population-based case-control study of ALS diagnosis in Denmark, including  
80 3,939 cases, we observed that elemental carbon at a residence was associated with an increase in  
81 odds of ALS diagnosis.

82 **Meaning:** Our results indicate that sources of air pollution with elemental carbon, such as diesel  
83 engines and woodburning stoves, might contribute to development of ALS. The result needs  
84 confirmation in future studies before any conclusion can be reached.

## Abstract

**Importance:** Amyotrophic lateral sclerosis (ALS) is a fatal neurodegenerative disease. Limited evidence suggests that ALS symptoms onset is associated with air pollution exposure and specifically to traffic-related pollutants.

**Objective:** To determine whether exposure to air pollutants is associated with ALS diagnosis.

**Design, Setting, and Participants:** In this population-based case-control study, we used data on 3,939 ALS cases from the Danish National Patient Register diagnosed between 1989 – 2013 and matched on age, sex, year of birth and vital status to 19,298 population-based controls free of ALS at index date. We used predictions of nitrogen oxides (NO<sub>x</sub>), carbon monoxide (CO), elemental carbon (EC), and fine particles (PM<sub>2.5</sub>) from validated spatio-temporal models to assign 1-, 5-, and 10-year average exposures pre-ALS diagnosis at present and historical residential addresses of study participants. We used a Bayesian hierarchical conditional logistic model and adjusted for potential confounders to estimate the overall and joint association for the three traffic-related pollutants (NO<sub>x</sub>, CO, and EC), as well as pollutant-specific associations.

**Main Outcome Measure:** Adjusted odds ratio for ALS diagnosis associated with 5-year average pollutant exposure.

**Results:** For a standard deviation (SD) increase in 5-year average concentrations, EC was individually associated with an increase in odds (11.5%; 95% credible interval [CrI]: -1.0%, 25.6%), with decreases individually for NO<sub>x</sub> (-4.6%; 95% CrI -18.1%, 8.9%) and CO (-3.2%; 95% CrI -14.4%, 10.0%) and a null effect of non-EC PM<sub>2.5</sub> (0.7%; 95% CrI -9.2%, 12.4%). We found no association for joint or overall traffic pollution. There was a 77.8% posterior probability of a positive association between the joint effect of pollutants and ALS diagnosis, 96.3% for EC, 27.8% for NO<sub>x</sub> and 26.7% for CO.

108    **Conclusion:** Our results indicate a potential positive association between ALS diagnosis and  
109    pollutants, particularly for EC. Further work is needed to understand the role of air pollution on  
110    ALS pathogenesis and timing of onset.

## Introduction

Amyotrophic lateral sclerosis (ALS) is a devastating and fatal neurodegenerative disease,<sup>1</sup> currently without a cure.<sup>2</sup> Approximately half of patients die within three years of symptom onset.<sup>3</sup> Annually, there are nearly 30,000 cases of ALS in Europe and over 200,000 worldwide.<sup>4</sup> Known inherited genetic variants only account for 5–10% of ALS cases.<sup>5,6</sup> Environmental factors, therefore, are likely important in ALS pathogenesis.<sup>7</sup> However, because the disease is relatively rare, it is challenging to conduct large-scale prospective studies. There is a recognized need for more evidence of the environmental contributors of ALS.<sup>5,8</sup>

Although air pollution is commonly studied in association with respiratory- and cardiovascular-related outcomes,<sup>9–14</sup> epidemiologic and toxicological studies support several plausible biological mechanisms in association with the nervous system and neurodegeneration.<sup>15–34</sup> Ambient air pollution, especially urban air pollution, is a ubiquitous exposure that has been associated with several other neurodegenerative disorders,<sup>16–21,35,36</sup> and is consistently linked to systemic inflammation,<sup>22–24</sup> oxidative stress,<sup>25–28</sup> and neuroinflammation,<sup>15,29</sup> all of which, in turn, have been reported as key pathways to ALS pathogenesis.<sup>30–34</sup>

Despite the compelling plausibility, few studies to date have evaluated the association between air pollution and ALS.<sup>35,37–39</sup> A recent study found that traffic-related air pollutants may be driving observed associations.<sup>38</sup> No study has hitherto attempted to understand the combined and individual associations of the pollutants in a single model. Air pollutants have been consistently associated with adverse health, primarily in single pollutant analyses.<sup>13,17,40–42</sup> However, they are highly correlated with one another.<sup>40</sup> It is therefore a mixture modelling challenge to infer the

association of multiple air pollutants and health outcomes.<sup>43</sup> Using three air pollutants commonly used in health studies as traffic-related emissions tracers—nitrogen oxides (NO<sub>x</sub>), carbon monoxide (CO), and elemental carbon (EC)—as well as fine particles (PM<sub>2.5</sub>) and ozone (O<sub>3</sub>), we aimed to assess whether exposure to (a) each individual air pollutant is independently associated with ALS diagnosis, and estimate their (b) joint and (c) overall traffic-related emissions associations.

## **Methods**

### *Study Population and Outcome Assessment*

We used data from the Danish National Patient Register during 1989-2013, through which details on demographic characteristics and certain health outcomes of all Danish residents can be linked based on a unique personal identifier.<sup>44</sup> The Register was established in 1977 and is comprehensive, including nationwide clinical and administrative records for all inpatient data, with outpatient data available since 1995.<sup>45</sup>

We identified ALS cases based on their International Classification of Diseases (ICD) discharge diagnoses, i.e., ICD-8 code 348.0 (ALS) until 1993 and ICD-10 code G12.2 (motor neuron disease) thereafter, using the date of the first relevant code as the diagnosis date. We only included patients who were at least 20 years old when diagnosed. In our validation study, Register data for ALS ascertainment were highly reliable.<sup>46</sup>

We obtained controls through the Danish Civil Registration System, established in 1968 and updated daily, which includes administrative records (e.g., date and place of birth, sex, vital status, and history of civil status and addresses since 1971) on all persons living in Denmark;

records are kept even when a person dies or emigrates.<sup>47</sup> We randomly matched five controls per case by age, sex, year of birth, and vital status. Controls were alive and free of diagnosed ALS at the ALS diagnosis date of the matched case (index date).

We obtained all addresses of cases and controls from January 1<sup>st</sup> 1979 onwards from the Danish Civil Registration System,<sup>47</sup> including the dates of moving to and from each address, prior to the index date. We then obtained the geographical coordinates at the door of each house of the residential history of the participants, with previous evidence of the high accuracy of this method of geocoding of addresses in Denmark.<sup>17</sup>

This study was approved by the Institutional Review Board Committee at the Columbia University and the Danish Data Protection Agency.

#### *Exposure data*

We obtained predictions on monthly concentrations of nitrogen oxides (NO<sub>x</sub>), carbon monoxide (CO), elemental carbon (EC), and fine particles (PM<sub>2.5</sub>) (as well as ozone (O<sub>3</sub>) for a sensitivity analysis, usually negatively correlated with other pollutants due to its chemistry<sup>48</sup>), at residential addresses of study participants from the validated spatio-temporal air pollution modelling system (DEHM-UBM-AirGIS) with full space and time coverage over our study period, described in detail elsewhere.<sup>49–52</sup> These predicted pollutant concentrations have been extensively used in previous air pollution epidemiologic studies in Denmark.<sup>17,53–55</sup> The models have good predictive accuracy, with average monthly correlations between measured and modelled results of 0.85 for NO<sub>x</sub>, 0.91 for CO, 0.92 for O<sub>3</sub>, 0.79 for EC, and 0.83 for annual concentrations of PM<sub>2.5</sub>.<sup>49,52</sup>



Because traffic is a major source of PM<sub>2.5</sub> and EC one of the main PM<sub>2.5</sub> components in urban environments,<sup>56</sup> we removed the EC concentration from the total PM<sub>2.5</sub> mass concentration (non-EC PM<sub>2.5</sub>), to avoid overadjustment when including both in the models simultaneously.

Based on the residential history of each case or control, we calculated 1-, 5-, and 10-year average exposure to each pollutant ending at one year before the index date, as diagnosis has been shown previously to occur at a median of 12 months after symptoms onset.<sup>57</sup> A small number of Danish residents lack a complete address history (1.7%; lack of house number). To ensure we were including participants with adequately complete exposure records, we set the following minimum criteria for number of complete exposure record months to include cases and controls: (i) 1-year averages: 9 of 12 months, at least one measurement in each season; (ii) 5-year averages (main exposure): 30 of 60 months; and (iii) 10-year averages: 60 of 120 months.

### *Covariate data*

We included a set of covariates to account for potential confounding bias. We used a five-category individual-level socioeconomic status (SES) definition developed by the Danish Institute of Social Sciences, based on job titles from income tax forms, which we have shown as having an association with ALS diagnosis in Denmark,<sup>58</sup>. Group 1 (highest status) includes corporate managers and academics; group 2: proprietors, managers of small businesses and teachers; group 3: technicians and nurses; group 4: skilled workers; and group 5: unskilled workers. We included a group for participants who were unemployed or unclassified (group 9). For each married participant, we used the higher of the couple's individual SES categories, where available. We also used information on civil status (never married, married, divorced,

widowed), last reported place of residence from postcode (Greater Copenhagen, big cities of Denmark, rest of Denmark, Greenland) and place of birth (Greater Copenhagen, big cities of Denmark, rest of Denmark, Greenland, foreign, unknown) to adjust for other potential family-specific, location-specific, and early-life confounders. As part of a sensitivity analysis, we also included parish-level SES, measured by percentage of residents with greater than high-school education, in the model. In Denmark, parishes are administrative units with an average population of ~2,500 residents.

### *Statistical analysis*

We analyzed the association between ALS diagnosis (binary) and exposure to traffic-related pollutants by applying a Bayesian formulation of the conditional logistic model, with Bayesian hierarchy on the traffic-related pollutants (EC, NO<sub>x</sub>, CO).<sup>59,60</sup> The conditional approach automatically accounts for matching factors (age, sex, year of birth, vital status) between cases and controls within each matched stratum, i.e., groupings of case and matched controls.<sup>59</sup> Bayesian inference allows for full distributional estimation of parameters of interest.<sup>60</sup> We employed a Bayesian hierarchical formulation because it enables estimates of (a) independent pollutant-outcome associations, (b) a joint association of the three pollutants (i.e., percentage change in odds of ALS diagnosis with increase in each of EC, NO<sub>x</sub>, CO), and (c) an overall average traffic association (i.e., average percentage change in odds of ALS diagnosis from each of EC, NO<sub>x</sub>, CO), while accounting for the variance-covariance structure between the highly-correlated exposures and their coefficients.<sup>60</sup> We included a linear term for each included pollutant and adjusted for individual- and parish-level SES, civil status, last reported place of residence, and place of birth.

226

227 Specifically, via a logit function, we modelled the log-odds of ALS diagnosis, as follows:

$$\begin{aligned} \text{logit}[\Pr(Y_{ci} = 1)] = & \alpha_c + \\ & \beta_{\text{NO}_x} \text{NO}_{x_{ci}} + \beta_{\text{CO}} \text{CO}_{ci} + \beta_{\text{EC}} \text{EC}_{ci} + \\ & \beta_{\text{PM}_{2.5}} (\text{non-EC PM}_{2.5_{ci}}) + \\ & \beta_{\text{SES}} \text{SES}_{ci} + \beta_{\text{Civil Status}} \text{Civil Status}_{ci} + \beta_{\text{Residence}} \text{Residence}_{ci} + \beta_{\text{Birth}} \text{Birth}_{ci}, \end{aligned}$$

228

229 where  $Y_{ci}$  denotes whether subject  $i$  in matched stratum  $c$  was diagnosed with ALS, i.e.,  $c$   
 230 represents a case and its matched controls;  $\alpha_c$  the matched stratum-specific intercepts (not  
 231 estimated in conditional logistic models);  $\beta_{\text{NO}_x}$ ,  $\beta_{\text{CO}}$ ,  $\beta_{\text{EC}}$ ,  $\beta_{\text{PM}_{2.5}}$  the pollutant-specific  
 232 coefficients (log-odds) per standard deviation increase in concentration of  $\text{NO}_x$ , CO, EC,  
 233 non-EC  $\text{PM}_{2.5}$  respectively, scaled by their respective standard deviations and centered at their  
 234 means; and the rest as coefficients for subject-specific covariates. If other sources of air pollution  
 235 are associated with ALS, then including non-EC  $\text{PM}_{2.5}$  adjusts for other air pollutants from other  
 236 sources.<sup>61</sup> Therefore,  $\beta_{\text{PM}_{2.5}}$  is interpreted as the association with air pollutants not specifically  
 237 included in our analysis. In urban European environments, traffic-related pollutants typically  
 238 represent on-average 14% of  $\text{PM}_{2.5}$  concentrations.<sup>62</sup> In a sensitivity analysis, we included  $\beta_{\text{O}_3}$  to  
 239 account for  $\text{O}_3$  exposures in the model, and added  $ns(\text{SES}_{\text{parish}_{ci}})$ , as a natural spline with three  
 240 degrees of freedom.

241

242 In our model,  $\beta_{\text{NO}_x}$ ,  $\beta_{\text{CO}}$ , and  $\beta_{\text{EC}}$  represent the independent pollutant-specific associations with  
 243 ALS diagnosis. In the same model, we estimated the joint association between these three  
 244 pollutants and ALS diagnosis as:

$$Traffic_{Joint} = \sum_{p=NO_x, CO, EC} \beta_p p.$$

245 This sum quantifies the association (log-odds) with ALS of a one-SD increase in the three  
 246 pollutants simultaneously.

247

248 Finally, we assumed that the traffic-related pollutant-specific associations arise from a  
 249 distribution of the overall traffic association with ALS diagnosis. We placed a hierarchy on the  
 250 traffic-specific pollutant terms in the model:

$$\beta_{Traffic} = [\beta_{NO_x}, \beta_{CO}, \beta_{EC}],$$

$$\beta_{Traffic} \sim MVN(\mu, \Sigma),$$

$$\mu \sim N(\lambda, \sigma_\lambda),$$

$$\Sigma = \tau \Omega \tau,$$

253 where  $\lambda$  denotes the overall average one-SD association of traffic-related pollution with variance  
 254  $\sigma_\lambda$ .  $\Sigma$ , the estimated variance-covariance matrix among pollutant-specific estimates, was  
 255 expressed as a decomposition into a positive-definite correlation matrix  $\Omega$  and scale matrix  $\tau$ .<sup>63</sup>

256

257 We used weakly-informative priors so that data drove parameter estimation. Hyper-priors for  
 258 coefficients on non-EC PM<sub>2.5</sub> and covariates were  $N(0,10)$ ; for  $\sigma_\lambda$  and  $\tau$  we used Half-  
 259 Cauchy(0,10), as recommended by Gelman, Polson and Scott;<sup>64,65</sup> and  $\Omega$  was defined by  
 260 LKJCorr(1).<sup>66</sup> The exception to this was the prior for  $\lambda$ , the average association of traffic-related  
 261 pollutants, for which estimates became unrealistically high (approaching infinity and not  
 262 converging with further iterations) with a non-informative prior. We therefore used a prior of

N(0,0.1), which did not affect estimates of other parameters. We conducted sensitivity analyses to understand the influence of priors and the robustness of the results.

We present all results as percentage change in odds of ALS diagnosis per standard deviation (SD) increase in pollutant concentration (calculated via e.g.,  $e^{\beta_{NO_x}} - 1$ , etc. obtained in the modelling process). We ran each model with four chains with a sample size of 1,000 each, after a warm-up of 1,000 samples, for 4,000 total samples. We assessed whether the models converged by checking that the Gelman-Rubin potential scale reduction statistic<sup>67</sup> was below 1.1 for all estimated model parameters. The reported 95% credible intervals (CrI) are the 2.5<sup>th</sup> to 97.5<sup>th</sup> percentiles of each parameter's posterior marginal distribution. To calculate the probability that an association estimate was greater than null, we used the 4,000 samples of the posterior and took the proportion of samples which were above a null association.

We conducted statistical analyses using the R Statistical Software, version 4.1.1<sup>68</sup> and R-STAN, version 2.21.2.<sup>69</sup> All code for analysis, results from analysis, and visualization presented in this manuscript will be publicly available via GitHub at [https://github.com/rmp15/multipollutants\\_and\\_als\\_code\\_review](https://github.com/rmp15/multipollutants_and_als_code_review).

We assessed the sensitivity of our results to hyper-prior assignment; running more iterations and warm-up per chain; inclusion of O<sub>3</sub>; single traffic-related pollutant models adjusting for non-EC PM<sub>2.5</sub>; as well as adjusting by parish-level SES. From the parish-level SES sensitivity analysis we excluded those who lived in areas without parish-level SES data, namely: (i) 819 participants

for 1-year average exposure; (ii) 826 participants for 5-year average exposure; and (iii) 838 participants for 10-year average exposure.

## Results

After filtering the original 4,011 cases and 20,055 controls based on completeness of exposure records, we used information on 3,934 (98.1% of total) cases and 19,298 (96.2% of total) controls for 5-year average exposure. We also used 3,937 cases, 19,333 controls for 1-year average exposure and 3,939 cases, 19,250 controls for 10-year average exposure. Descriptive statistics of included cases and controls for 5-year average exposure can be found in Table 1. For the main results, we present 5-year average exposure associations.

The 5-year average traffic-related pollutant concentrations were  $27 \mu\text{g}/\text{m}^3$  for  $\text{NO}_x$  ( $\text{SD}=20 \mu\text{g}/\text{m}^3$ ),  $238 \mu\text{g}/\text{m}^3$  for CO ( $\text{SD}=106 \mu\text{g}/\text{m}^3$ ) and  $0.85 \mu\text{g}/\text{m}^3$  for EC ( $\text{SD}=0.42 \mu\text{g}/\text{m}^3$ ) (Table 2). Figure 1 shows Spearman correlations between pollutants for 5-year average exposures. Traffic-related pollutants ( $\text{NO}_x$ , CO, EC) were highly correlated in cases, controls and overall, ranging from correlations of 0.91 to 0.96. Otherwise, non-EC  $\text{PM}_{2.5}$  was most highly correlated with CO.  $\text{O}_3$  was negatively correlated with other pollutants.

For 5-year average pollutant concentrations, we observed the largest overall association for the individual standard deviation increase in EC (11.5%; 95% CrI: -1.0%, 25.6%; 96.3% posterior probability of positive association per  $0.42 \mu\text{g}/\text{m}^3$ ) (Figure 2). Standard deviation increases were associated with a decrease in odds of ALS diagnosis in  $\text{NO}_x$  (-4.6%; 95% CrI: -18.1%, 8.9% per  $20 \mu\text{g}/\text{m}^3$ ) and CO (-3.2%; 95% CrI: -14.4%, 10.0% per  $106 \mu\text{g}/\text{m}^3$ ). The joint association was

2.3% (95% CrI: -3.3%, 7.7%), with an 77.8% posterior probability of a positive association. Finally, the average overall traffic association was null (-0.1%; 95% CrI: -17.4%, 20.8%). Non-EC PM<sub>2.5</sub> was not associated with ALS diagnosis (0.7%; 95% CrI: -9.2%, 12.4%). 1-year EC average exposure was associated with a significant increase in odds of ALS diagnosis (15.4%; 95% CrI: 1.6%, 25.6%) (Figure 2). 10-year average exposure results were attenuated versions of the 1- and 5-year results. Single-pollutant models for each traffic-related pollutant adjusting for non-EC PM<sub>2.5</sub> (eFigure 1) resulted in positive associations for each of EC, NO<sub>x</sub>, CO, with positive associations for non-EC PM<sub>2.5</sub> in all but the model with EC. Results from variations of the main model in the sensitivity analyses were robust to prior choices and inclusion of parish-level SES (eFigure 1).

## Discussion

In the largest case-control study of ALS and traffic-related air pollution to date, we found that an increase in average concentrations of traffic-related pollutants was associated with an increase in odds of ALS diagnosis, though not significant at the 95% credible interval level, apart from EC for 1-year average SD increase. We found that EC had the largest-in-magnitude independent association with ALS diagnosis, while the non-significant associations with NO<sub>x</sub> and CO were negative and smaller in magnitude.

Our results indicate that traffic-related pollutants, hazardous in many ways,<sup>9–21,40–42</sup> may also be associated with ALS diagnosis. Our finding—that increases in EC, are potentially positively associated with ALS diagnosis—is plausible. A recent case-control study in the Netherlands reported that ultrafine particles, another traffic emissions-related surrogate, were associated with

ALS diagnosis,<sup>38</sup> while another based in Catalonia, Spain found ALS cases clustered around key road infrastructure.<sup>69</sup> Although we did not find an association with non-EC PM<sub>2.5</sub> in our study, our results are not directly comparable to those of the other studies, as our PM<sub>2.5</sub> effect estimates capture the PM<sub>2.5</sub> components not accounted for by other pollutants in the analysis.

Our results indicate that EC exposure—a large part of which comes from diesel combustion and small combustion sources (such as wood stoves) in European urban centers, where prevalence of diesel cars is high<sup>70</sup>—has a high probability of a positive association with ALS diagnosis. In our previous study of ALS and occupational exposures in Denmark we found that those working in agriculture and construction, associated with exposure to diesel engine exhausts, were at higher relative risk than those in other employments.<sup>58</sup> Truck drivers, for whom diesel exposure is common, are also at increased risk of sporadic ALS.<sup>71</sup> EC exposure has been associated with inflammation,<sup>72</sup> mitochondrial dysfunction<sup>73</sup> and DNA damage,<sup>73,74</sup> all of which are plausible pathways of neurodegeneration. These factors have also previously been identified as particular pathways to pathogenesis of ALS.<sup>30–34</sup>

We did not find a high probability of a positive association with NO<sub>x</sub> in our analyses, in contrast with a previous study, though that study did not include EC.<sup>38</sup> NO<sub>x</sub> is also highly correlated with EC (0.95 to 0.96 in our study), which is expected given that they are both combustion products commonly associated with emissions in urban environments. EC exposure was more strongly associated with 1-year than for 5-/10-year average concentrations, which may indicate that the previous year may be the most relevant exposure window. We do not expect that these results are attributed to reverse causation, as we have lagged these 1-year exposures by one year already



prior to diagnosis, and there was likely little substantial residential movement in the year before ALS diagnosis.<sup>75</sup>

Our study used one the largest number of ALS patients ever included in an environmental health study. Another strength of our study is that we leveraged highly correlated traffic pollutants and Bayesian hierarchical modeling and were able to estimate independent and joint traffic-related pollutant associations, as well as an overall traffic estimate. Although we have adjusted implicitly (by matching; age, sex, year of birth, vital status) and explicitly for many common covariates (SES, civil status, residence, place of birth), we cannot rule out residual confounding (e.g., from smoking or body mass index (BMI)). However, to induce confounding bias, any unaccounted-for variable would have to influence both ALS diagnosis and air pollution. BMI, previously associated with ALS,<sup>76,77</sup> would not confound the association between traffic-related air pollution and ALS,<sup>75</sup> as pollutant concentrations are derived independently from BMI distribution. Any BMI-air pollution association in our study, thus, would be via SES, for which we adjusted at both the individual and parish level. Exposure measurement error is inevitable, as any modelled exposure will be inaccurate to some degree. However, any error is not likely correlated with ALS diagnosis, and therefore any bias would be towards null.<sup>78</sup>

Future research might use larger cohort data to understand the importance of each respective pollutant in a single model. The timing of exposure will also be an important study route. ALS is projected to increase in prevalence over the next few decades all over the world.<sup>4</sup> Understanding ALS pathogenesis and identifying modifiable risk factors is critical for preventive action.

## Acknowledgements

**Author contributions:** Dr Parks had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

*Study concept and design:* Parks, Kioumourtzoglou, Weisskopf, Hansen, Goldsmith.

*Acquisition, analysis, or interpretation of the data:* Parks, Kioumourtzoglou, Nunez, Balalian, Hansen, Ketznel, Khan, Brandt, Weisskopf.

*Drafting of the manuscript:* Parks, Kioumourtzoglou.

*Critical revision of the manuscript for important intellectual content:* Nunez, Balalian, Gibson, Hansen, Raaashou-Nielsen, Ketznel, Khan, Brandt, Vermeulen, Peters, Goldsmith, Re, Weisskopf.

*Statistical analysis:* Parks, Kioumourtzoglou, Goldsmith.

*Obtained funding:* Kioumourtzoglou.

*Administrative, technical, or material support:* Parks, Nunez, Balalian.

*Study Supervision:* Kioumourtzoglou.

**Conflict of interest disclosures:** None reported.

**Funding/Support:** Robbie M Parks was partially supported by the Earth Institute post-doctoral research fellowship at Columbia University. Funding was also provided by the National Institute of Environmental Health Sciences (NIEHS) grants R01 ES030616, R01 ES028805, R01 AG066793, R21 ES028472, P30 ES009089, and P30 ES000002.

399    **Role of the Funder/Sponsor:** The funders had no role in the design and conduct of the study;  
400    collection, management, analysis, and interpretation of the data; preparation, review, or approval  
401    of the manuscript; and decision to submit the manuscript for publication.

## References

1. Rowland LP, Shneider NA. Amyotrophic lateral sclerosis. *New England Journal of Medicine*. 2001;344(22):1688-1700.
2. Chio A, Logroscino G, Hardiman O, et al. Prognostic factors in ALS: A critical review. *Amyotrophic Lateral Sclerosis*. 2009;10(5-6):310-323.
3. Mitchell JD, Borasio GD. Amyotrophic lateral sclerosis. *The Lancet*. 2007;369(9578):2031-2041.
4. Arthur KC, Calvo A, Price TR, Geiger JT, Chio A, Traynor BJ. Projected increase in amyotrophic lateral sclerosis from 2015 to 2040. *Nature Communications*. 2016;7(1):1-6.
5. Al-Chalabi A, Hardiman O. The epidemiology of ALS: A conspiracy of genes, environment and time. *Nature Reviews Neurology*. 2013;9(11):617-628.
6. Hardiman O, Al-Chalabi A, Chio A, et al. Amyotrophic lateral sclerosis. *Nature reviews Disease primers*. 2017;3(1):1-19.
7. Oskarsson B, Horton DK, Mitsumoto H. Potential environmental factors in amyotrophic lateral sclerosis. *Neurologic Clinics*. 2015;33(4):877-888.
8. Longinetti E, Fang F. Epidemiology of amyotrophic lateral sclerosis: An update of recent literature. *Current Opinion In Neurology*. 2019;32(5):771.
9. Dominici F, Peng RD, Bell ML, et al. Fine particulate air pollution and hospital admission for cardiovascular and respiratory diseases. *JAMA*. 2006;295(10):1127-1134.
10. Bennett JE, Tamura-Wicks H, Parks RM, et al. Particulate matter air pollution and national and county life expectancy loss in the USA: A spatiotemporal analysis. *PLOS Medicine*. 2019;16(7):e1002856. doi:10.1371/journal.pmed.1002856
11. Schwartz J. Particulate air pollution and chronic respiratory disease. *Environmental Research*. 1993;62(1):7-13.
12. Schwartz J. The distributed lag between air pollution and daily deaths. *Epidemiology*. 2000;11(3):320-326.
13. Brook RD, Rajagopalan S, Pope III CA, et al. Particulate matter air pollution and cardiovascular disease: An update to the scientific statement from the American Heart Association. *Circulation*. 2010;121(21):2331-2378.
14. Dockery DW, Pope CA, Xu X, et al. An association between air pollution and mortality in six U.S. cities. *New England Journal of Medicine*. 1993;329(24):1753-1759. doi:10.1056/NEJM199312093292401

- 434 15. Block ML, Elder A, Auten RL, et al. The outdoor air pollution and brain health workshop.  
435 *Neurotoxicology*. 2012;33(5):972-984.
- 436 16. Zanobetti A, Dominici F, Wang Y, Schwartz JD. A national case-crossover analysis of the  
437 short-term effect of PM 2.5 on hospitalizations and mortality in subjects with diabetes and  
438 neurological disorders. *Environmental Health*. 2014;13(1):1-11.
- 439 17. Ritz B, Lee PC, Hansen J, et al. Traffic-related air pollution and Parkinson's disease in  
440 Denmark: A case-control study. *Environmental Health Perspectives*. 2016;124(3):351-356.
- 441 18. Kioumourtzoglou MA, Schwartz JD, Weisskopf MG, et al. Long-term PM2.5 exposure and  
442 neurological hospital admissions in the northeastern United States. *Environmental health*  
443 *perspectives*. 2016;124(1):23-29.
- 444 19. Levesque S, Surace MJ, McDonald J, Block ML. Air pollution & the brain: Subchronic  
445 diesel exhaust exposure causes neuroinflammation and elevates early markers of  
446 neurodegenerative disease. *Journal of Neuroinflammation*. 2011;8(1):1-10.
- 447 20. Heusinkveld HJ, Wahle T, Campbell A, et al. Neurodegenerative and neurological disorders  
448 by small inhaled particles. *Neurotoxicology*. 2016;56:94-106.
- 449 21. Power MC, Weisskopf MG, Alexeeff SE, Coull BA, Spiro III A, Schwartz J. Traffic-related  
450 air pollution and cognitive function in a cohort of older men. *Environmental Health*  
451 *Perspectives*. 2011;119(5):682-687.
- 452 22. Dubowsky SD, Suh H, Schwartz J, Coull BA, Gold DR. Diabetes, obesity, and hypertension  
453 may enhance associations between air pollution and markers of systemic inflammation.  
454 *Environmental Health Perspectives*. 2006;114(7):992-998.
- 455 23. Ruckerl R, Ibalá-Mulli A, Koenig W, et al. Air pollution and markers of inflammation and  
456 coagulation in patients with coronary heart disease. *American Journal of Respiratory and*  
457 *Critical Care Medicine*. 2006;173(4):432-441.
- 458 24. Hoffmann B, Moebus S, Dragano N, et al. Chronic residential exposure to particulate matter  
459 air pollution and systemic inflammatory markers. *Environmental Health Perspectives*.  
460 2009;117(8):1302-1308.
- 461 25. Kelly FJ. Oxidative stress: Its role in air pollution and adverse health effects. *Occupational*  
462 *and Environmental Medicine*. 2003;60(8):612-616.
- 463 26. Chuang KJ, Chan CC, Su TC, Lee CT, Tang CS. The effect of urban air pollution on  
464 inflammation, oxidative stress, coagulation, and autonomic dysfunction in young adults.  
465 *American journal of respiratory and critical care medicine*. 2007;176(4):370-376.
- 466 27. Li N, Sioutas C, Cho A, et al. Ultrafine particulate pollutants induce oxidative stress and  
467 mitochondrial damage. *Environmental Health Perspectives*. 2003;111(4):455-460.

- 468 28. Sørensen M, Daneshvar B, Hansen M, et al. Personal PM2.5 exposure and markers of  
469 oxidative stress in blood. *Environmental health perspectives*. 2003;111(2):161-166.
- 470 29. Block ML, Calderón-Garcidueñas L. Air pollution: Mechanisms of neuroinflammation and  
471 CNS disease. *Trends in neurosciences*. 2009;32(9):506-516.
- 472 30. Perry VH, Cunningham C, Holmes C. Systemic infections and inflammation affect chronic  
473 neurodegeneration. *Nature Reviews Immunology*. 2007;7(2):161-167.
- 474 31. Bergeron C. Oxidative stress: Its role in the pathogenesis of amyotrophic lateral sclerosis.  
475 *Journal of the neurological sciences*. 1995;129:81-84.
- 476 32. Mhatre M, Floyd RA, Hensley K. Oxidative stress and neuroinflammation in Alzheimer's  
477 disease and amyotrophic lateral sclerosis: Common links and potential therapeutic targets.  
478 *Journal of Alzheimer's disease*. 2004;6(2):147-157.
- 479 33. D'Amico E, Factor-Litvak P, Santella RM, Mitsumoto H. Clinical perspective on oxidative  
480 stress in sporadic amyotrophic lateral sclerosis. *Free radical biology and medicine*.  
481 2013;65:509-527.
- 482 34. Perry VH, Nicoll JA, Holmes C. Microglia in neurodegenerative disease. *Nature Reviews*  
483 *Neurology*. 2010;6(4):193-201.
- 484 35. Nunez Y, Boehme AK, Weisskopf MG, et al. Fine particle exposure and clinical aggravation  
485 in neurodegenerative diseases in New York State. *Environmental Health Perspectives*.  
486 2021;129(2):027003.
- 487 36. Nunez Y, Boehme AK, Li M, et al. Parkinson's disease aggravation in association with fine  
488 particle components in New York State. *Environmental Research*. 2021;201:111554.
- 489 37. Malek AM, Barchowsky A, Bowser R, et al. Exposure to hazardous air pollutants and the  
490 risk of amyotrophic lateral sclerosis. *Environmental Pollution*. 2015;197:181-186.
- 491 38. Yu Z, Peters S, van BL, et al. Long-Term Exposure to Ultrafine Particles and Particulate  
492 Matter Constituents and the Risk of Amyotrophic Lateral Sclerosis. *Environmental Health*  
493 *Perspectives*. 2021;129(9):097702. doi:10.1289/EHP9131
- 494 39. Seelen M, Toro CRA, Veldink JH, et al. Long-term air pollution exposure and amyotrophic  
495 lateral sclerosis in Netherlands: A population-based case-control study. *Environmental*  
496 *Health Perspectives*. 2017;125(9):097023. doi:10.1289/EHP1115
- 497 40. Strak M, Weinmayr G, Rodopoulou S, et al. Long term exposure to low level air pollution  
498 and mortality in eight European cohorts within the ELAPSE project: Pooled analysis. *BMJ*.  
499 2021;374:n1904. doi:10.1136/bmj.n1904
- 500 41. Hamra GB, Laden F, Cohen AJ, Raaschou-Nielsen O, Brauer M, Loomis D. Lung cancer  
501 and exposure to nitrogen dioxide and traffic: A systematic review and meta-analysis.  
502 *Environmental Health Perspectives*. 2015;123(11):1107-1112.

- 503 42. Chen H, Kwong JC, Copes R, et al. Living near major roads and the incidence of dementia,  
504 Parkinson's disease, and multiple sclerosis: A population-based cohort study. *The Lancet*.  
505 2017;389(10070):718-726.
- 506 43. Gibson EA, Nunez Y, Abuawad A, et al. An overview of methods to address distinct  
507 research questions on environmental mixtures: An application to persistent organic pollutants  
508 and leukocyte telomere length. *Environmental Health*. 2019;18(1):1-16.
- 509 44. Frank L. When an entire country is a cohort. *Science*. 2000;287(5462):2398-2399.
- 510 45. Schmidt M, Schmidt SAJ, Sandegaard JL, Ehrenstein V, Pedersen L, Sørensen HT. The  
511 Danish National Patient Registry: A review of content, data quality, and research potential.  
512 *Clinical epidemiology*. 2015;7:449.
- 513 46. Kioumourtzoglou MA, Seals RM, Himmelslev L, Gredal O, Hansen J, Weisskopf MG.  
514 Comparison of diagnoses of amyotrophic lateral sclerosis by use of death certificates and  
515 hospital discharge data in the Danish population. *Amyotrophic Lateral Sclerosis and*  
516 *Frontotemporal Degeneration*. 2015;16(3-4):224-229.
- 517 47. Pedersen CB. The Danish civil registration system. *Scandinavian journal of public health*.  
518 2011;39(7\_suppl):22-25.
- 519 48. Sillman S. The relation between ozone, NO<sub>x</sub> and hydrocarbons in urban and polluted rural  
520 environments. *Atmospheric Environment*. 1999;33(12):1821-1845.
- 521 49. Khan J, Kakosimos K, Raaschou-Nielsen O, et al. Development and performance evaluation  
522 of new AirGIS—a GIS based air pollution and human exposure modelling system.  
523 *Atmospheric environment*. 2019;198:102-121.
- 524 50. Brandt J, Christensen JH, Frohn LM, Palmgren F, Berkowicz R, Zlatev Z. Operational air  
525 pollution forecasts from European to local scale. *Atmospheric Environment*. 2001;35:S91-  
526 S98.
- 527 51. Brandt J, Christensen J, Frohn L, Berkowicz R. Air pollution forecasting from regional to  
528 urban street scale—implementation and validation for two cities in Denmark. *Physics and*  
529 *Chemistry of the Earth, Parts A/B/C*. 2003;28(8):335-344.
- 530 52. Frohn LM, Ketzel M, Christensen JH, et al. Modelling ultrafine particle number  
531 concentrations at address resolution in Denmark from 1979-2018—Part 1: Regional and urban  
532 scale modelling and evaluation. *Atmospheric Environment*. 2021;264:118631.
- 533 53. Raaschou-Nielsen O, Andersen ZJ, Hvidberg M, et al. Lung cancer incidence and long-term  
534 exposure to air pollution from traffic. *Environmental health perspectives*. 2011;119(6):860-  
535 865.
- 536 54. Raaschou-Nielsen O, Sørensen M, Ketzel M, et al. Long-term exposure to traffic-related air  
537 pollution and diabetes-associated mortality: A cohort study. *Diabetologia*. 2013;56(1):36-46.

- 538 55. Sørensen M, Hoffmann B, Hvidberg M, et al. Long-term exposure to traffic-related air  
539 pollution associated with blood pressure and self-reported hypertension in a Danish cohort.  
540 *Environmental health perspectives*. 2012;120(3):418-424.
- 541 56. Seinfeld J, Pandis S. Atmospheric chemistry and physics. 1997. *New York*. Published online  
542 2008.
- 543 57. Galvin M, Gaffney R, Corr B, Mays I, Hardiman O. From first symptoms to diagnosis of  
544 amyotrophic lateral sclerosis: Perspectives of an Irish informal caregiver cohort—a thematic  
545 analysis. *BMJ Open*. 2017;7(3). doi:10.1136/bmjopen-2016-014985
- 546 58. Dickerson AS, Hansen J, Kioumourtzoglou MA, Specht AJ, Gredal O, Weisskopf MG.  
547 Study of occupation and amyotrophic lateral sclerosis in a Danish cohort. *Occup Environ*  
548 *Med*. 2018;75(9):630-638. doi:10.1136/oemed-2018-105110
- 549 59. Rothman KJ, Greenland S, Lash TL, others. *Modern Epidemiology*. Vol 3. Wolters Kluwer  
550 Health/Lippincott Williams & Wilkins Philadelphia; 2008.
- 551 60. Gelman A, Carlin JB, Stern HS, Dunson DB, Vehtari A, Rubin DB. *Bayesian Data Analysis*,  
552 *Third Edition*. CRC Press; 2013.
- 553 61. Mostofsky E, Schwartz J, Coull BA, et al. Modeling the association between particle  
554 constituents of air pollution and health outcomes. *American journal of epidemiology*.  
555 2012;176(4):317-326.
- 556 62. Thunis P, Degraeuwe B, Pisoni E, et al. PM2.5 source allocation in European cities: A  
557 SHERPA modelling study. *Atmospheric Environment*. 2018;187:93-106.
- 558 63. Martin R, Peters G, Wilkinson J. Symmetric decomposition of a positive definite matrix.  
559 *Numerische Mathematik*. 1965;7(5):362-383.
- 560 64. Polson NG, Scott JG. On the half-Cauchy prior for a global scale parameter. *Bayesian*  
561 *Analysis*. 2012;7(4):887-902.
- 562 65. Gelman A. Prior distributions for variance parameters in hierarchical models (comment on  
563 article by Browne and Draper). *Bayesian Anal*. 2006;1(3):515-534. doi:10.1214/06-BA117A
- 564 66. Lewandowski D, Kurowicka D, Joe H. Generating random correlation matrices based on  
565 vines and extended onion method. *Journal of multivariate analysis*. 2009;100(9):1989-2001.
- 566 67. Gelman A, Rubin DB. Inference from iterative simulation using multiple sequences.  
567 *Statistical science*. 1992;7(4):457-472.
- 568 68. R Core Team. R: A language and environment for statistical computing. Published online  
569 2013.
- 570 69. Povedano M, Saez M, Martinez-Matos JA, Barceló MA. Spatial assessment of the  
571 association between long-term exposure to environmental factors and the occurrence of



572 amyotrophic lateral sclerosis in Catalonia, Spain: A population-based nested case-control  
573 study. *Neuroepidemiology*. 2018;51(1-2):33-49.

574 70. von Schneidemesser E, Mar KA, Saar D. Black carbon in Europe: Targeting an air Pollutant  
575 and climate forcer. Published online 2017.

576 71. Pamphlett R, Rikard-Bell A. Different occupations associated with amyotrophic lateral  
577 sclerosis: Is diesel exhaust the link? *PloS One*. 2013;8(11):e80993.

578 72. Zhang R, Dai Y, Zhang X, et al. Reduced pulmonary function and increased pro-  
579 inflammatory cytokines in nanoscale carbon black-exposed workers. *Part Fibre Toxicol*.  
580 2014;11:73. doi:10.1186/s12989-014-0073-1

581 73. Gao X, Xu H, Shang J, et al. Ozonized carbon black induces mitochondrial dysfunction and  
582 DNA damage. *Environ Toxicol*. 2017;32(3):944-955. doi:10.1002/tox.22295

583 74. Kyjovska ZO, Jacobsen NR, Saber AT, et al. DNA damage following pulmonary exposure  
584 by instillation to low doses of carbon black (Printex 90) nanoparticles in mice. *Environ Mol*  
585 *Mutagen*. 2015;56(1):41-49. doi:10.1002/em.21888

586 75. Weisskopf MG, Webster TF. Trade-offs of personal vs. more proxy exposure measures in  
587 environmental epidemiology. *Epidemiology (Cambridge, Mass)*. 2017;28(5):635.

588 76. Nakken O, Meyer HE, Stigum H, Holmøy T. High BMI is associated with low ALS risk: A  
589 population-based study. *Neurology*. 2019;93(5):e424-e432.

590 77. Jawaid A, Murthy SB, Wilson AM, et al. A decrease in body mass index is associated with  
591 faster progression of motor symptoms and shorter survival in ALS. *Amyotrophic Lateral*  
592 *Sclerosis*. 2010;11(6):542-548.

593 78. Carroll RJ, Ruppert D, Stefanski LA, Crainiceanu CM. *Measurement Error in Nonlinear*  
594 *Models: A Modern Perspective*. CRC press; 2006.

595

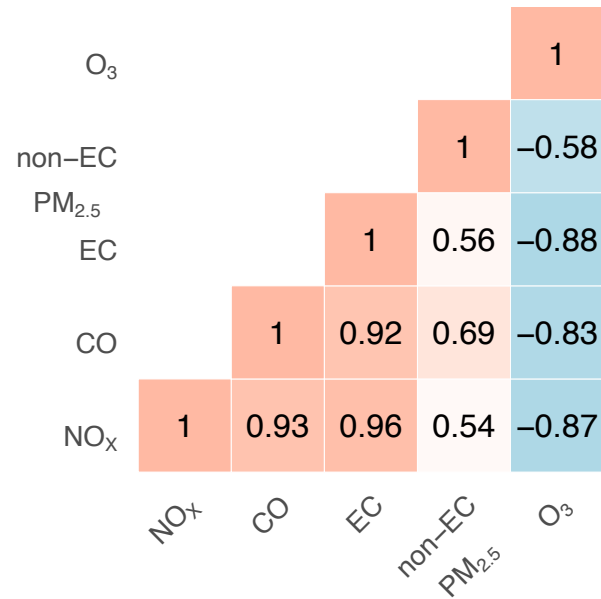
**Table 1.** Demographic characteristics of cases and controls for 5-year average exposure group.

**Table 2.** Summary of 5-year average pollutant concentrations (all in  $\mu\text{g}/\text{m}^3$ ).

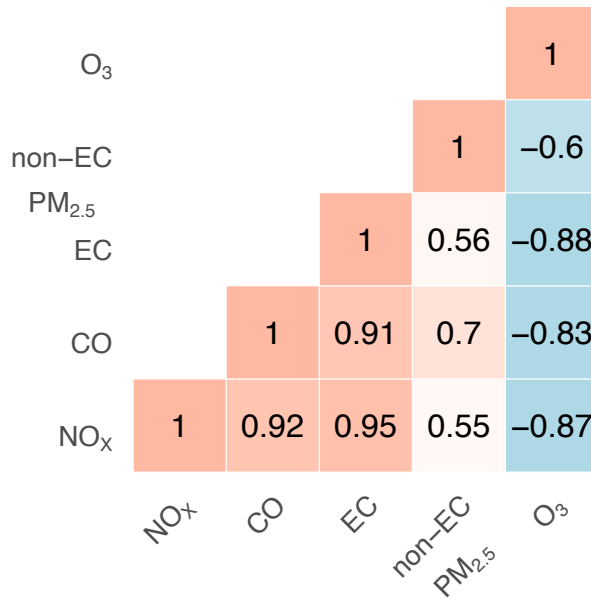
**Figure 1.** Spearman correlation of 5-year average pollutant concentrations.

**Figure 2.** Percentage change in odds of ALS diagnosis per 1-, 5- and 10-year average standard deviation increase for each pollutant. Results are from the Bayesian hierarchical model including each of EC,  $\text{NO}_x$ , CO, and non-EC  $\text{PM}_{2.5}$  together, and were additionally adjusted by age, sex, year of birth, vital status, socioeconomic status, civil status, last reported place of residence, and place of birth.

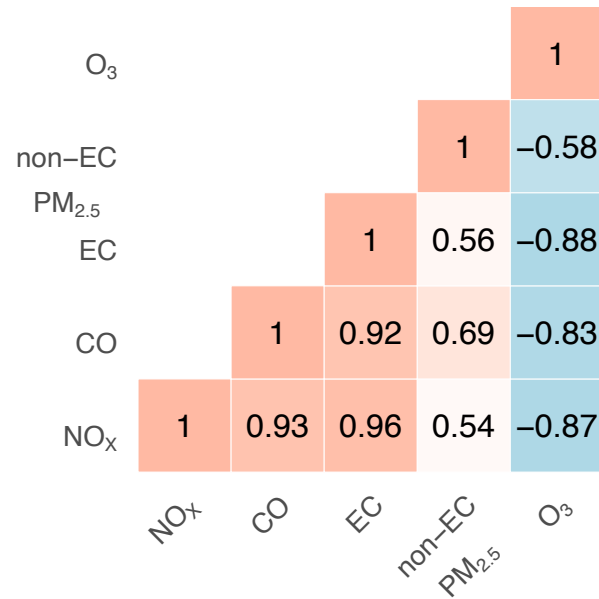
Overall

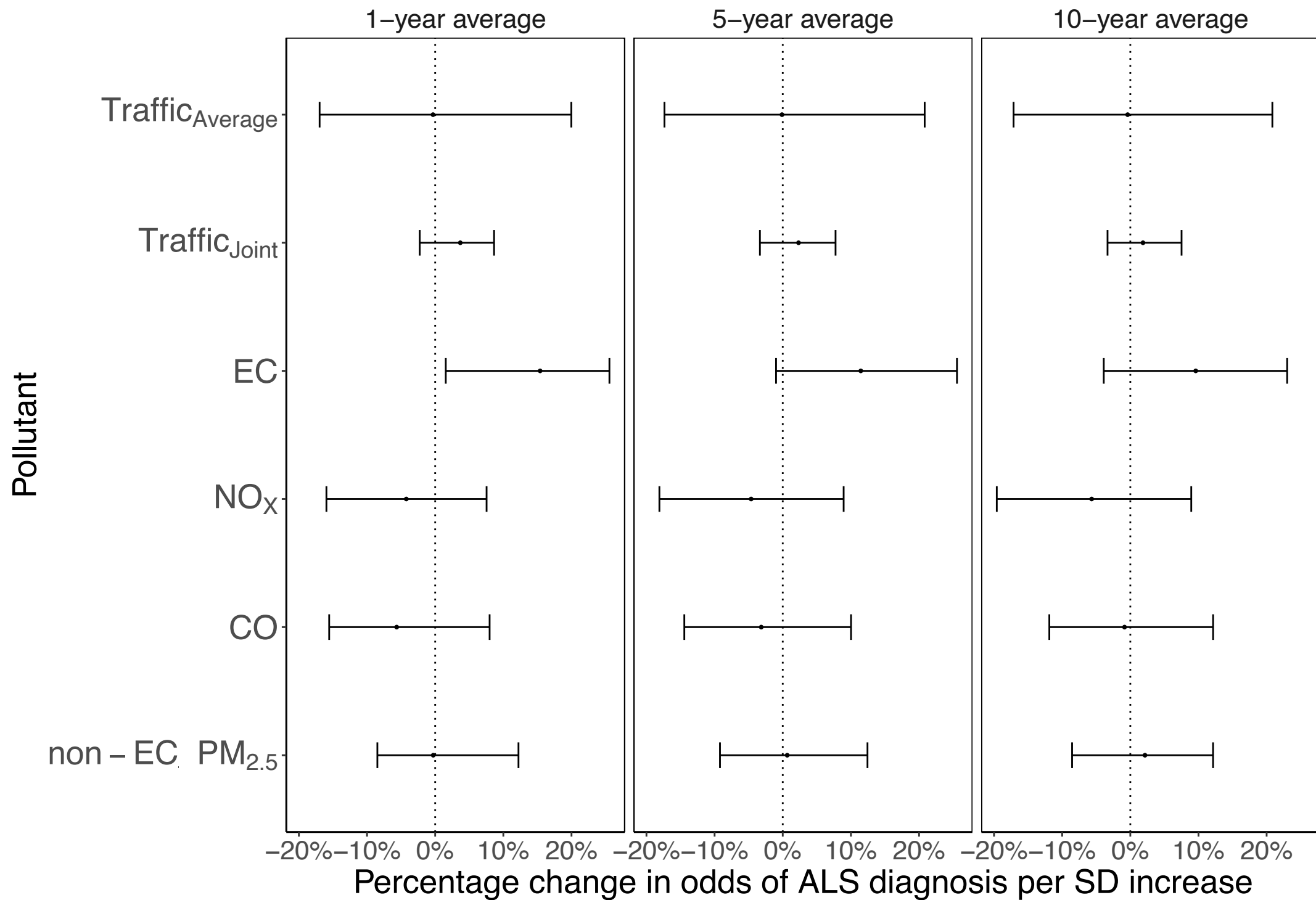


Cases



Controls





Characteristic	Overall, N = 23,232 <sup>a</sup>	Case, N = 3,934 <sup>a</sup>	Control, N = 19,298 <sup>a</sup>
<b>Average age (years)</b>	66 (12)	66 (12)	66 (12)
<b>Sex</b>			
Female	10,973 (47%)	1,854 (47%)	9,119 (47%)
Male	12,259 (53%)	2,080 (53%)	10,179 (53%)
<b>Socioeconomic status (SES)</b>			
Group 1 (Highest)	2,337 (10%)	451 (11%)	1,886 (9.8%)
Group 2	2,839 (12%)	499 (13%)	2,340 (12%)
Group 3	4,360 (19%)	785 (20%)	3,575 (19%)
Group 4	6,598 (28%)	1,076 (27%)	5,522 (29%)
Group 5 (Lowest)	4,419 (19%)	717 (18%)	3,702 (19%)
Group 9 (Unemployed or unclassified)	2,679 (12%)	406 (10%)	2,273 (12%)
<b>Place of birth</b>			
Greater Copenhagen	4,858 (21%)	831 (21%)	4,027 (21%)
Big cities of Denmark	7,923 (34%)	1,357 (34%)	6,566 (34%)
Rest of Denmark	9,009 (39%)	1,548 (39%)	7,461 (39%)
Greenland	243 (1.0%)	53 (1.3%)	190 (1.0%)
Foreign	1,065 (4.6%)	122 (3.1%)	943 (4.9%)
Unknown	134 (0.6%)	23 (0.6%)	111 (0.6%)
<b>Civil status</b>			
Married	14,158 (61%)	2,411 (61%)	11,747 (61%)
Divorced	2,703 (12%)	433 (11%)	2,270 (12%)
Widowed	4,224 (18%)	726 (18%)	3,498 (18%)
Never married	2,147 (9.2%)	364 (9.3%)	1,783 (9.2%)
<b>Last reported place of residence</b>			
Greater Copenhagen	1,887 (8.1%)	335 (8.5%)	1,552 (8.0%)
Big cities of Denmark	9,385 (40%)	1,590 (40%)	7,795 (40%)
Rest of Denmark	11,954 (51%)	2,008 (51%)	9,946 (52%)
Greenland	6 (<0.1%)	1 (<0.1%)	5 (<0.1%)

<sup>a</sup>Mean (SD); n (%)

Pollutant	Overall, N = 23,232 <sup>1</sup>	Case, N = 3,934 <sup>1</sup>	Control, N = 19,298 <sup>1</sup>
<b>NO<sub>x</sub></b>	27 (20)	28 (21)	27 (20)
<b>CO</b>	238 (106)	239 (112)	237 (105)
<b>EC</b>	0.85 (0.42)	0.86 (0.45)	0.85 (0.42)
<b>non-EC PM<sub>2.5</sub></b>	11.76 (2.37)	11.78 (2.41)	11.76 (2.37)
<b>O<sub>3</sub></b>	51.9 (6.0)	51.9 (6.1)	52.0 (6.0)

<sup>1</sup>Mean (SD)