CS 162 Programming languages

Lecture 6: λ-calculus II

Yu Feng Winter 2024

Design a programming language

- Syntax: what do programs look like?
 - Grammar: what programs are we allowed to write?
- Semantics: what do programs mean?
 - Operational semantics: how do programs execute step-by-step?

Syntax: what programs look like

$$\xspace x \rightarrow e (Haskell)$$

fun
$$x \rightarrow e$$
 (OCaml)

lambda x. e
$$(\lambda +)$$

- Programs are expressions e (also called λ -terms) of one of three kinds:
 - Variable x, y, z
 - Abstraction (i.e. nameless function definition)
 - λx. e
 - x is the formal parameter, e is the function body
 - Application (i.e. function call)
 - e₁ e₂
 - e_1 is the function, e_2 is the argument

Semantics: variable scope

The part of a program where a variable is visible

In the expression λx . e

- x is the newly introduced variable
- e is the scope of x
- any occurrence of x in λx . e is bound (by the binder λx)



An occurrence of x in e is **free** if it's not bound by an enclosing abstraction

Precedence

$$s t u = (s t) u$$

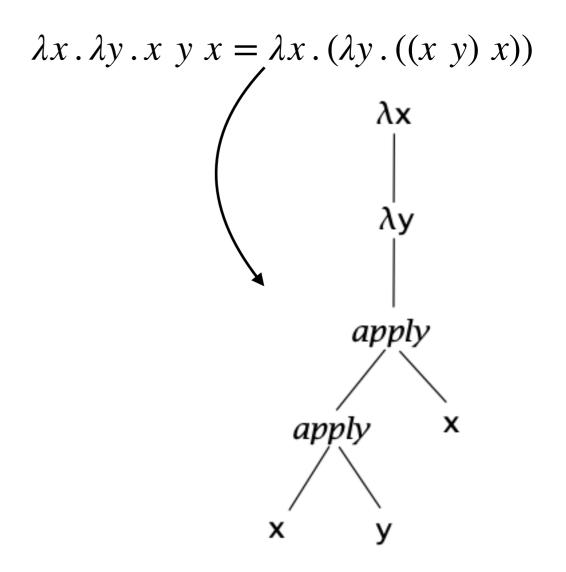
$$apply$$

$$apply$$

$$apply$$

$$apply$$

$$s t$$



Application associates to the **left**

bodies of abstractions are as far to the **right** as possible

—Types and programming languages

Semantics: free variables

An variable x is free in e if there exists a free occurrence of x in e

We use "FV" to represent the set of all free variables in a term:

$$FV(x) = x$$

$$FV(xy) = \{x, y\}$$

$$FV(\lambda x. e) = FV(e) \setminus x$$

$$FV(\lambda y. xy) = \{x\}$$

$$FV(\lambda y. xy) = \{x\}$$

$$FV(e_1 e_2) = FV(e_1) \cup FV(e_2)$$

$$FV((\lambda x. \lambda y. y) = \{x\}$$

If e has no free variables it is said to be closed, or combinators

Semantics: what programs mean

- How do I execute a λ -term?
- "Execute": rewrite step-by-step following simple rules, until no more rules apply

Similar to simplifying (x+1) * (2x -2) using middle-school algebra

What are the rewrite rules for λ -calculus?

Operational semantics

$$(\lambda x \cdot t_1) \ t_2 \to [x \mapsto t_2]t_1$$
 β -reduction (function call)

 $[x \mapsto t_2]t_1$ means "t₁ with all **free occurrences** of x replaced with t₂"

incl(int x) {
 return x+1
}
$$(\lambda x.x+1) \ 2 \rightarrow [x \mapsto 2]x+1=3$$
incl(2);
$$[x \mapsto y]\lambda x.x = \lambda x.y$$

What does free occurrences mean?

Semantics: \(\beta\)-reduction

$$(\lambda x \cdot t_1) \ t_2 \rightarrow [x \mapsto t_2]t_1$$
 [function call)

 $[x \mapsto t_2]t_1$ means "t₁ with all **free occurrences** of x replaced with t₂"

The core of β -reduction reduces to substitution:

$$[x \mapsto s]x = s$$

$$[x \mapsto s]y = y(x \neq y)$$

$$[x \mapsto s]\lambda y \cdot t_1 = \lambda y \cdot [x \mapsto s]t_1(y \neq x \land y \notin FV(s))$$

$$[x \mapsto s]t_1 \ t_2 = [x \mapsto s]t_1 \ [x \mapsto s]t_2$$

Semantics: α-renaming

$$\lambda x \cdot e =_{\alpha} \lambda y \cdot [x \mapsto y]e$$

• Rename a formal parameter and replace all its occurrences in the body

$$\lambda x \cdot x =_{\alpha} \lambda y \cdot y =_{\alpha} \lambda z \cdot z$$

$$[x \mapsto y] \lambda x \cdot x = \lambda x \cdot y \quad \bigcirc$$

$$[x \mapsto y] \lambda x \cdot x =_{\alpha} [x \mapsto y] \lambda z \cdot z = \lambda z \cdot z$$

Call-by-name v.s. Call-by-value

$$(\lambda x \cdot e_1) e_2 =_{\mathsf{name}} [x \mapsto e_2] e_1$$

Call-by-Name: From leftmost/outermost, allowing no reductions inside abstractions.

$$(\lambda x \cdot e_1) \ e_2 =_{\text{value}} [x \mapsto [e_2]] e_1$$

Call-by-Value: only when its right-hand side has already been reduced to a value—a term that cannot be reduced any further

Currying: multiple arguments

$$\lambda(x, y) \cdot e = \lambda x \cdot \lambda y \cdot e$$

$$(\lambda(x, y) \cdot x + y) \ 2 \ 3 =$$

 $(\lambda x \cdot \lambda y \cdot x + y) \ 2 \ 3 = (\lambda y \cdot 2 + y) \ 3 = [y \mapsto 3]2 + y = 5$

Transformation of multi-arguments functions to higher-order functions is called currying (in the honor of Haskell Curry)

What about the others?

- Assignment
- Booleans, integers, characters, strings, ...
- Conditionals
- Loops
- Functions
- Recursion
- References / pointers
- Objects and classes
- Inheritance

λ-calculus:Booleans

- How do we encode Boolean values (TRUE and FALSE) as functions?
- What do we do with Boolean?
- Make a binary choice
 - if b then e1 else e2

Booleans: API

We need to define three functions

- let TRUE = ???
- let FALSE = ???
- let ITE = $\lambda b \times y \rightarrow ???$ -- if b then x else y

such that

- ITETRUE apple banana = apple
- ITE FALSE apple banana = banana

Booleans: implementation

Boolean implementation

- let TRUE = $\lambda x y x$ -- Returns its first argument
- let FALSE = λx y. y -- Returns its second argument
- let ITE = $\lambda b \times y \cdot b \times y$ -- Applies condition to branches

Why they are correct?

Booleans: examples

```
eval ite_true:

ITE TRUE e_1 e_2

= (\lambda b \times y. b \times y) TRUE e_1 e_2 -- expand def ITE

=_{\beta} (\lambda x y. TRUE \times y) e_1 e_2 -- beta-step

=_{\beta} (\lambda y. TRUE e_1 y) e_2 -- beta-step

=_{\beta} (\lambda x y. x) e_1 e_2 -- beta-step

=_{\beta} (\lambda y. e_1) e_2 -- beta-step

=_{\beta} e_1
```

Other boolean API:

```
let NOT = \lambdab. ITE b FALSE TRUE
let AND = \lambdab<sub>1</sub> b<sub>2</sub>. ITE b<sub>1</sub> b<sub>2</sub> FALSE
let OR = \lambdab<sub>1</sub> b<sub>2</sub>. ITE b<sub>1</sub> TRUE b<sub>2</sub>
```

λ-calculus:Numbers

• Church numerals: a number N is encoded as a combinator that calls a function on an argument N times

let ONE =
$$\lambda f \lambda x$$
. $f x$
let TWO = $\lambda f \lambda x$. $f (f x)$
let THREE = $\lambda f \lambda x$. $f (f (f x))$ let ZERO = $\lambda f \lambda x$. x
let FOUR = $\lambda f \lambda x$. $f (f (f (f x)))$
let SIX = $\lambda f \lambda x$. $f (f (f (f (f x))))$

λ-calculus:Numbers API

- Numbers API
 - let INC = $(\lambda n \lambda f \lambda x. f (n f x))$ -- Call `f` on `x` one more time than `n` does
 - let ADD = λ n λ m. n INC m. -- Call `f` on `x` exactly `n + m` times

```
eval inc_zero :

INC ZERO

= (\lambda n \lambda f \lambda x. f (n f x)) ZERO

= \beta \lambda f \lambda x. f (ZERO f x)

= \lambda f \lambda x. f x

= ONE
```

TODOs by next lecture

- Install λ +
- Start to work on HW2
- Come to the discussion session if you have questions

let TWO =
$$\lambda f \lambda x$$
. f (f x)

• let INC = $(\lambda n \lambda f \lambda x. f (n f x))$

INC TWO
$$=$$
?