

# The WhyRel Prototype for Relational Verification

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<sup>1</sup>Partially supported by NSF Award 1718713

# MAJORIZATION

For  $n \geq 4$ ,  $n! > 2^n$

$c_0 \hat{=} i := n;$

$z := 2^4;$

while ( $i > 4$ ) do

$z := z \times i;$

$i := i - 1;$

$c_1 \hat{=} i := n;$

$z := 16;$

while ( $i > 4$ ) do

$z := z \times 2;$

$i := i - 1;$

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$$z := 16;$$

while ( $i > 4$ ) do

$$z := z \times 2;$$

$$i := i - 1;$$

Relational judgement:  $c_0 / c_1 : n \geq 4 \wedge n = n \Rightarrow z \succcurlyeq z$

prerelation: same values for  $n$  on "both" sides +  $\geq 4$

postrelation:  $z$  on left  $>$   $z$  on right

# MAJORIZATION

For  $n \geq 4$ ,  $n! > 2^n$

$$c_0 \triangleq i := n;$$

$$z := 24;$$

while ( $i > 4$ ) do

$$z := z \times i;$$

$$i := i - 1;$$

$$c_1 \triangleq i := n;$$

$$z := 16;$$

while ( $i > 4$ ) do

$$z := z \times 2;$$

$$i := i - 1;$$

Relational judgement:  $c_0/c_1 : n \geq 4 \wedge n = n \Rightarrow z \geq z$

To prove: show  $c_0$  computes  $n!$ ,  $c_1$  computes  $2^n$

But there is a simpler way

# MAJORIZATION – USING ALIGNMENT

For  $n \geq 4$ ,  $n! > 2^n$

$$c_0 \triangleq i := n;$$

$$c_1 \triangleq i := n;$$

$$z := 2^4;$$

Same # of iters.  
for  $n \geq n$

$$z := 16;$$

while ( $i > 4$ ) do

Relational inv:

$$z := z \times i;$$

$$i = i \wedge z \geq z$$

$$z := z \times 2;$$

$$i := i - 1;$$

$$i := i - 1;$$

Now in lin. arith

Relational judgement:  $c_0 / c_1 : n \geq 4 \wedge n \geq n \Rightarrow z \geq z$

# MAJORIZATION – EXPRESSING ALIGNMENT

For  $n \geq 4$ ,  $n! > 2^n$

Biprogram capturing a lockstep alignment of  $c_0, c_1$ :

$(i := n \mid i := n);$

$(z := 24 \mid z := 16);$

while  $(i > 4 \mid i > 4)$  do    inv  $\{i = i \wedge z \triangleright z\}$

$(z := z \times i \mid z := z \times 2);$

$(i := i - 1 \mid i := i - 1);$

■ left prog  
■ right prog

Relational judgement:  $c_0 \mid c_1 : n > 4 \wedge n \in \mathbb{N} \Rightarrow z \triangleright z$

# MAJORIZATION – EXPRESSING ALIGNMENT

For  $n \geq 4$ ,  $n! > 2^n$

Biprogram capturing a lockstep alignment of  $c_0, c_1$ :

$(i := n \mid i := n)$ ; ← aligned assignments to  $i$

$(z := 24 \mid z := 16)$ ; ↘ lockstep aligned loop

while  $(i > 4 \mid i > 4)$  do inv  $\{i = i \wedge z = z\}$

$(z := z \times i \mid z := z \times 2)$ ; ↑ relational invariant

$(i := i - 1 \mid i := i - 1)$ ; "I" indicates pairing

Relational judgement:  $c_0 / c_1 : n > 4 \wedge n \in \mathbb{N} \Rightarrow z = z$

# THE WHYREL PROTOTYPE

WhyRel is an **auto-active** tool for relational verification

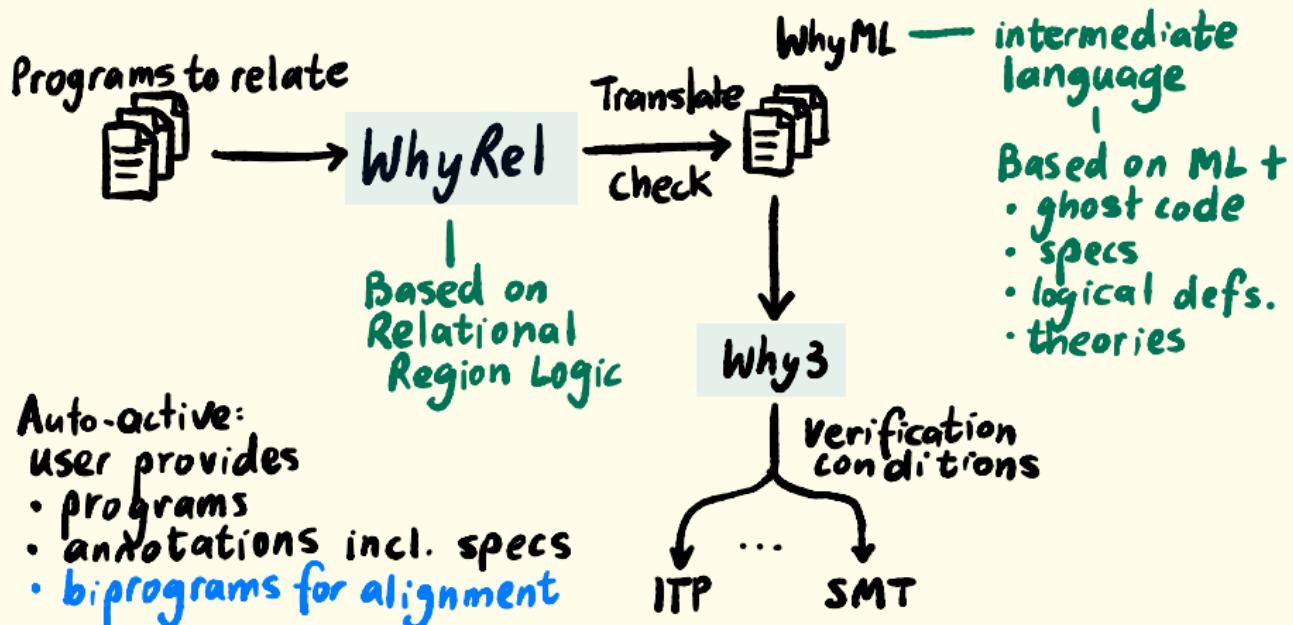
Supports • objects on the heap

- modular (relational) reasoning about procedures
- encapsulation
- data-dependent alignment (see paper)

Is backed by extensive theoretical development [TOPLAS'22]

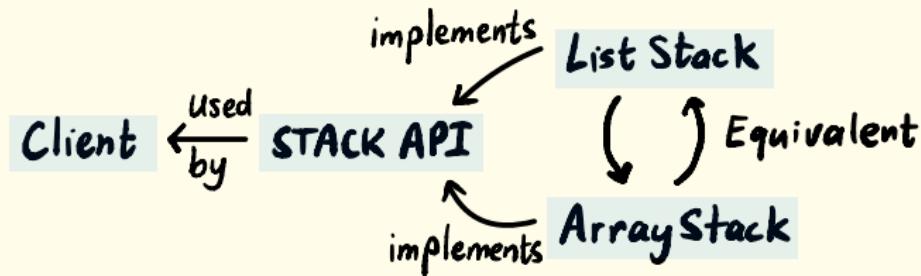
Agenda • Example introducing key features  
• Walkthrough and encoding details  
• Evaluation and prospects

# OVERVIEW OF WHYREL



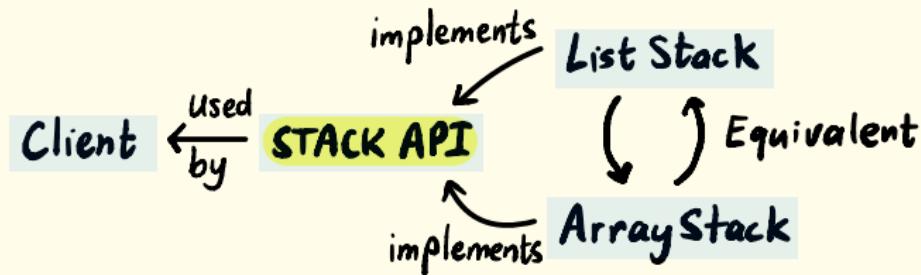
# AN EXAMPLE IN WHYREL

Equivalence of two modules implementing stacks



# AN EXAMPLE IN WHYREL

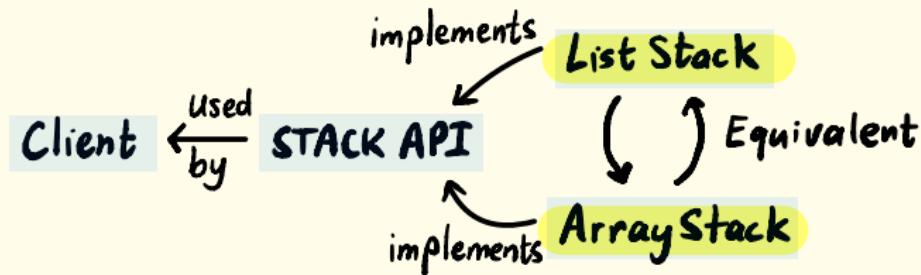
Equivalence of two modules implementing stacks



Stack interface exposes public invariants clients rely on  
e.g. no aliasing among distinct stack objects

# AN EXAMPLE IN WHYREL

Equivalence of two modules implementing stacks

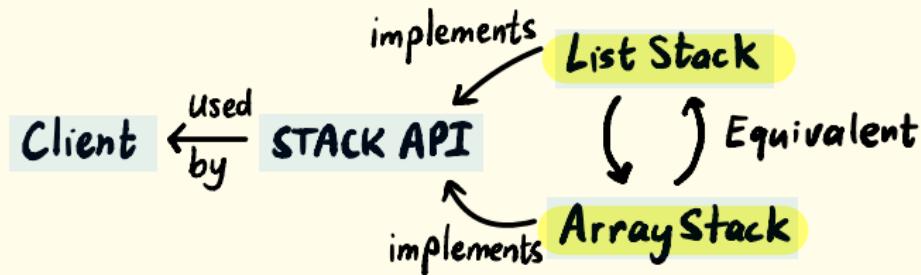


ListStack and ArrayStack rely on private invariants

e.g. in ArrayStack: stack objs. use a fixed size array

# AN EXAMPLE IN WHYREL

Equivalence of two modules implementing stacks



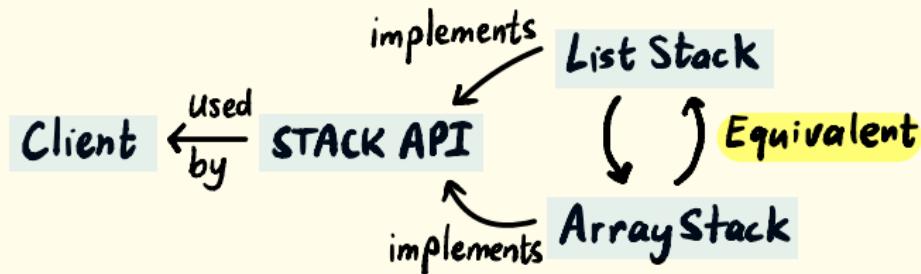
ListStack and ArrayStack rely on private invariants

e.g. in ArrayStack: stack objs. use a fixed size array

Provided these only depend on encapsulated state, clients are exempt from reasoning about them

# AN EXAMPLE IN WHYREL

Equivalence of two modules implementing stacks



Equivalent (rel. prop.):

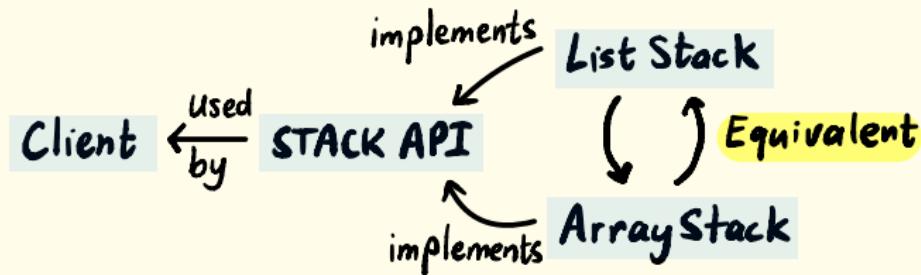
Beh. of client linked against ListStack

same as

Beh. of client linked against ArrayStack

# AN EXAMPLE IN WHYREL

Equivalence of two modules implementing stacks



Equivalent (rel. prop.):

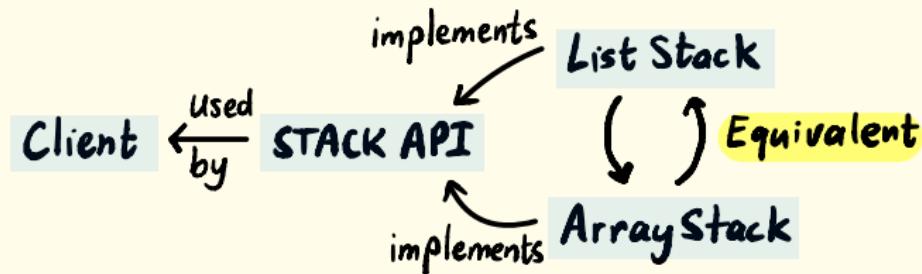
Requires API methods in both module are *locally equivalent*

"related" inputs → "related" outputs

Relation needs to be identity on client-visible state

# AN EXAMPLE IN WHYREL

Equivalence of two modules implementing stacks



Equivalent (rel. prop.):

Representations are different: need a coupling relation  
on encap'd state relating objs. in both modules

# INTERFACES AND SPECS IN WHYREL

interface STACK =

public maxSize : int

class Stack {

size : int;

ghost abs : intlist; }

meth push (self: Stack, k: int)

requires { self.size < maxSize }

ensures { self.abs = cons(k, old(self.abs)) }

ensures { self.size = old(self.size) + 1 }

# REGIONS AND FRAME CONDITIONS

interface STACK =

public maxSize : int

```
class Stack {  
    size: int;  
    ghost abs: intlist;  
    ghost rep: rgn; }
```

A region (rgn) is  
a set of references

← "rep" holds refs to objects  
notionally owned by the stack

meth push (self: Stack, k:int)

requires {...} ensures {...}

# REGIONS AND FRAME CONDITIONS

interface STACK =

public maxSize : int

class Stack {

size : int;

ghost abs : intlist;

ghost rep : rgn; } ← "rep" holds refs to objects  
notionally owned by the stack

A region (rgn) is  
a set of references

meth push (self: Stack, k: int)

requires { ... } ensures { ... }

→ r/w any field of self      r/w any field of  
any obj in self.rep

effects { r/w {self}^any, {self}^rep^any } ↗

rd self, k, maxSize }

# DYNAMIC BOUNDARIES AND ENCAPSULATION

interface STACK =

public maxSize : int

public pool : rgn

boundary {pool, pool'any, pool'rep'any, maxSize}

class Stack {

size : int;

ghost abs : intlist;

ghost rep : rgn; }

meth push (self: Stack, k: int)

...

# DYNAMIC BOUNDARIES AND ENCAPSULATION

interface STACK =

public maxSize : int

Heap encapsulation at the granularity of modules

public pool : rgn ← objs owned by the module

boundary {pool, pool'any, pool'rep'any, maxSize}

class Stack {

size : int;

ghost abs : intlist;

ghost rep : rgn; }

Client's can't directly  
r/w locs designated by  
the boundary

meth push (self: Stack, k: int)

...

# PATTERNS OF HEAP USAGE AND PUBLIC INVS

interface STACK =

public maxSize: int    public pool: rgn

boundary {pool, pool'any, pool'rep'any, maxSize}

class Stack { ... }

meth push (self: Stack, k: int)

public invariant:  $\forall s: \text{Stack} \in \text{pool}.$

$0 \leq s.\text{size} \leq \text{maxSize}$

$\wedge (\forall t: \text{Stack} \in \text{pool}. s \neq t \Rightarrow s.\text{rep} \cap t.\text{rep} \subseteq \{\text{null}\})$

Client reasoning relies on public invariants : for e.g.  
modifying one stack does not modify another

# IMPLEMENTATIONS AND UNARY VERIF

```
module ArrayStack : STACK =  
  class Stack { ... ; arr: int array; top: int; }  
  private invariant: ∀s:Stack ∈ pool.  
    s.size = top + 1 ∧ s.arr.length = maxSize ∧ ...
```

## Obligations:

- Methods conform to specs including frame conditions and preserve pub/priv. invariants
- Private invariants framed by boundary

Private invariants not included in specs used by client

# EQUIVALENCE OF STACK MODULES

module ArrayStack =

```
class Stack { ...  
    top : int;  
    arr : int array; }
```

meth push (...)

module ListStack =

```
class Node { ... ; nxt : Node; }  
class Stack { ... ; head : Node; }
```

meth push (...)

coupling :  $\forall s: \text{Stack} \in \text{pool} \mid s': \text{Stack} \in \text{pool}.$

$s \equiv s' \Rightarrow s.\text{abs} \equiv s'.\text{abs}$

# EQUIVALENCE OF STACK MODULES

module ArrayStack =

```
class Stack { ...  
    top : int;  
    arr : int array; }
```

meth push (...)

module ListStack =

```
class Node { ... ; nxt : Node; }  
class Stack { ... ; head : Node; }
```

meth push (...)

coupling :  $\forall s: \text{Stack} \in \text{pool} \mid s': \text{Stack} \in \text{pool}.$

$s \doteq s' \Rightarrow s.\text{abs} \doteq s'.\text{abs}$

push (self: Stack, k: int) | push (self: Stack, k: int):

requires { self  $\doteq$  self  $\wedge$  k  $\doteq$  k  $\wedge$  coupling  $\wedge$  Both (unary pre) }

ensures { coupling  $\wedge$  Both (unary post) }

# EQUIVALENCE OF STACK MODULES

module ArrayStack = ...

module ListStack = ...

coupling :  $\forall s: \text{Stack} \in \text{pool} \mid s': \text{Stack} \in \text{pool}.$

$$s \doteq s' \Rightarrow s.\text{abs} \doteq s'.\text{abs}$$

User provides: biprograms + rel. equiv. specs + coupling

Obligations:

- Coupling framed by boundaries of underlying modules
- Biprogams conform to rel. specs + preserve coupling

# EQUIVALENCE OF STACK MODULES

module ArrayStack = ...

module ListStack = ...

coupling :  $\forall s: \text{Stack} \in \text{pool} \mid s': \text{Stack} \in \text{pool}.$

$$s \doteq s' \Rightarrow s.\text{abs} \doteq s'.\text{abs}$$

User provides: biprograms + rel. equiv. specs + coupling

Obligations:

- Coupling framed by boundaries of underlying modules
- Biprogams conform to rel. specs + preserve coupling

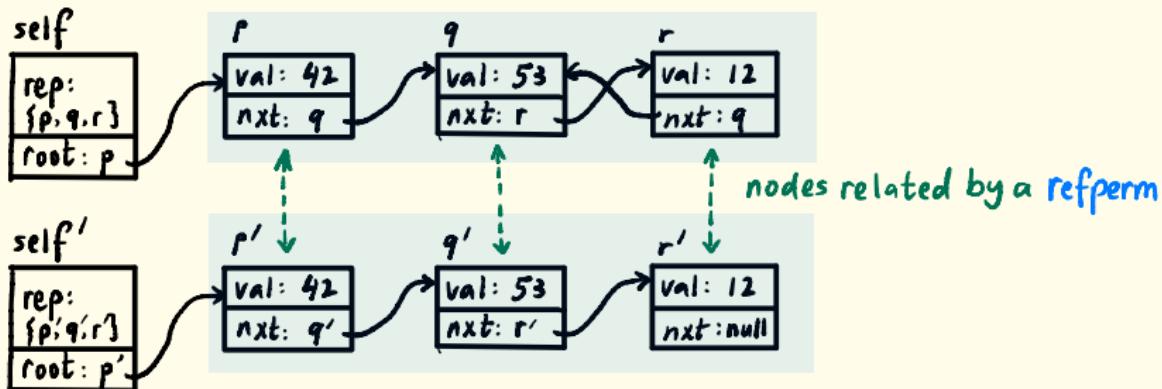
For any client C:  $(\text{import ArrayStack; } C) \approx (\text{import ListStack; } C)$   
provided C respects encapsulation

# AGREEMENT FORMULAS

For expressing agreement on unbounded pointer structures

private invariant :  $\forall s \in \text{List} \in \text{pool}.$   
 $s.\text{rep} \setminus \text{nxt} \subseteq s.\text{rep} \wedge s.\text{root} \in s.\text{rep}$

In some equivalence spec: A self.rep<sup>6</sup> value



# WHY REL USAGE AND ENCODING DETAILS

# EVALUATION AND CASE STUDIES

To evaluate WhyRel, we've performed case studies

- Equivalence of two implementations of priority queues  
Dijkstra's SSSP algorithm as client
  - Equivalence of two implementations of Union find  
Kruskal's MST algorithm as client
  - Correctness of optimizations such as loop-tiling
  - Noninterference examples + other general rel. properties from recent lit.
- diff. style couplings

Verification conditions discharged purely using SMT

Larger examples take ~30 min to verify ; others ~5-10 min  
on an i5-6500 machine with 32 GB RAM

# RELATED WORK

Many mature tools for relational verification  
with varying degrees of automation

- ReLoc : Prove refinements of concurrent programs ; Iris
- SecCSL : Info flow for concurrent C
- REFINITY : Based on the mature key framework  
Similar: dynamic frames for heap reasoning
- Eilers et al. : Tool based on VIPER ; encoding of products  
to facilitate procedure-modular reasoning
- Descartes : k-safety properties of Java programs  
Based on Cartesian Hoare Logic

Automated & infer alignments: Unno et al., Churchill et al., Shemer et al.

Many more! SymDiff for prog. diffs, LLRêve : regression verif...

# TAKEAWAYS

- Auto-active rel. verif. of heap-manipulating programs is feasible and amenable to automation
- Auto-active = specs + invariants + biprograms for alignment
- Our work: a proof-of-principle prototype
  - based on a logic with encap and info hiding that has been proven sound
  - applications: noninterference, optimizations, representation independence

Stable release of tool: [zenodo.org/record/7308342](https://zenodo.org/record/7308342)

Development version : [github.com/dnaumann/RelRL](https://github.com/dnaumann/RelRL)

# FUTURE WORK

- Automation to support constructing bipoorams
- Better use of abstraction and tactics in Why3
  - Expected to speed up verification;  
avoid superfluous proof obligations
- Machine checked proofs connecting tool with logic



# SUPPLEMENTAL SLIDES

## Contents

- A1. Data-dependent alignment
- A2. Majorization in WhyRel + verif in Why3
- A3. Encoding details

# DATA-DEPENDENT ALIGNMENT

meth sum (self>List) :int =

p:=self.head; sum:=0;

while (p $\neq$ null) do

if p.pub then

sum:=sum+p.value;

p:=p.nxt;

# DATA-DEPENDENT ALIGNMENT

meth sum (self>List) :int =

p:=self.head; sum:=0;

while (p $\neq$ null) do

if p.pub then

sum:=sum+p.value;

p:=p.nxt;

Satisfies a non interference spec:

Does not depend on values of non public nodes

# DATA-DEPENDENT ALIGNMENT

```
meth sum (self>List) :int =  
    p:=self.head; sum:=0;  
    while (p≠null) do  
        if p.pub then  
            sum:=sum+p.value;
```

$p := p \cdot \text{nxt};$

sum (self>List|self>List) : (int|int)

requires {listpub(self) ≡ listpub(self)}

ensures {sum ≡ sum}

```
meth sum (self>List) :int =  
    p:=self.head; sum:=0;  
    while (p≠null) do  
        if p.pub then  
            sum:=sum+p.value;
```

$p := p \cdot \text{nxt};$

# DATA-DEPENDENT ALIGNMENT

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meth sum(self>List) :int =  
    p:=self.head; sum:=0;  
    while (p≠null) do  
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```

e.g.



pub.vals: 1,2



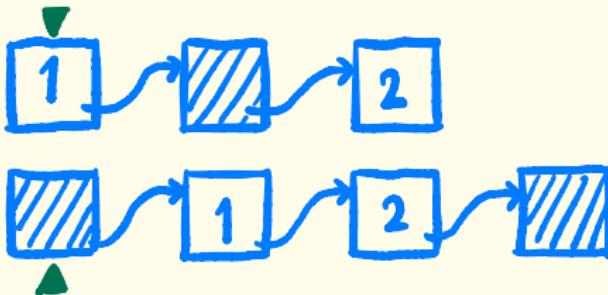
pub.vals: 1,2

# DATA-DEPENDENT ALIGNMENT

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meth sum (self>List) :int =  
    p:=self.head; sum:=0;  
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meth sum (self>List) :int =  
    p:=self.head; sum:=0;  
    ▶ while (p≠null) do  
        if p.pub then  
            sum:=sum+p.value;  
        p:=p.nxt;
```

Alignment



# DATA-DEPENDENT ALIGNMENT

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meth sum(self>List) :int =  
    p:=self.head; sum:=0;  
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        if p.pub then  
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```

Alignment



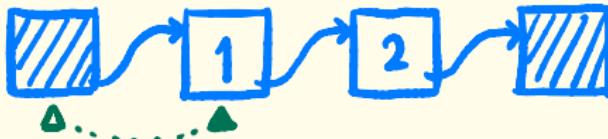
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    p:=self.head; sum:=0;  
    ▶ while (p≠null) do  
        if p.pub then  
            sum:=sum+p.value;  
        p:=p.nxt;
```

Node non-public  
Right only iter.

# DATA-DEPENDENT ALIGNMENT

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meth sum (self>List) :int =  
    p:=self.head; sum:=0;  
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Alignment



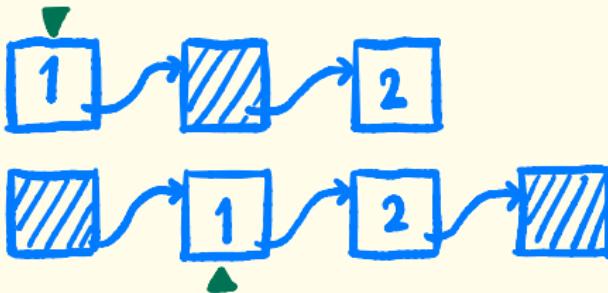
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meth sum (self>List) :int =  
    p:=self.head; sum:=0;  
    ▶ while (p≠null) do  
        ↑ if p.pub then  
            sum:=sum+p.value;  
        p:=p.nxt;
```

Node non-public  
Right only iter.

# DATA-DEPENDENT ALIGNMENT

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meth sum (self>List) :int =  
    p:=self.head; sum:=0;  
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Alignment



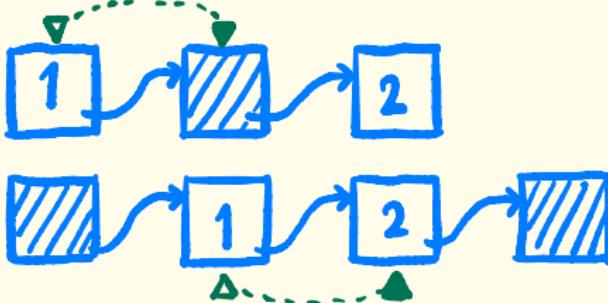
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        p:=p.nxt;
```

Both nodes public  
Lockstep iter

# DATA-DEPENDENT ALIGNMENT

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meth sum (self>List) :int =  
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Alignment



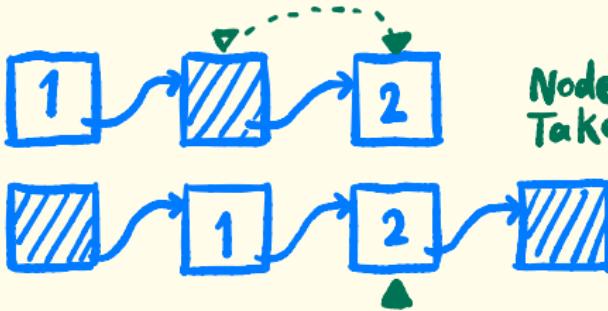
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    p:=self.head; sum:=0;  
    while (p≠null) do  
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```

Preserve  
sum = sum

# DATA-DEPENDENT ALIGNMENT

```
meth sum(self>List) :int =  
    p:=self.head; sum:=0;  
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        if p.pub then  
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```

Alignment



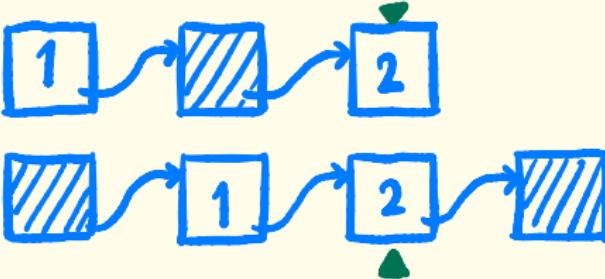
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meth sum(self>List) :int =  
    p:=self.head; sum:=0;  
    while (p≠null) do  
        if p.pub then  
            sum:=sum+p.value;  
            p:=p.nxt;
```

Node non-public  
Take left only step  
Sum on left unchanged

# DATA-DEPENDENT ALIGNMENT

```
meth sum(self>List) :int =  
    p:=self.head; sum:=0;  
    while (p≠null) do  
        if p.pub then  
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Alignment



```
meth sum(self>List) :int =  
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        p:=p.nxt;
```

Both nodes public  
Lockstep

sum ≡ sum

# DATA-DEPENDENT ALIGNMENT

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meth sum (self>List) :int =  
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    while (p≠null) do  
        if p.pub then  
            sum:=sum+p.value;  
        p:=p.nxt;
```

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meth sum (self>List) :int =  
    p:=self.head; sum:=0;  
    while (p≠null) do  
        if p.pub then  
            sum:=sum+p.value;  
        p:=p.nxt;
```

Alignment



Done



Right only iter  
until guard false

# DATA-DEPENDENT ALIGNMENT

meth sum (self:List | self:List)      Biprogram  
  ( p:=self.head | p:=self.head ); (sum:=0 | sum:=0 );  
  while (p≠null | p≠null) do  
     $\{ \neg p.\text{pub} \} \mid \Sigma \neg p.\text{pub} \Delta$       left align guard | right align guard  
    ( if p.pub then                        | if p.pub then  
      sum := sum + p.value                | sum := sum + p.value ) ;  
    ( p:=p.nxt | p:=p.nxt );

When  $\{ \neg p.\text{pub} \}$  (resp.  $\Sigma \neg p.\text{pub} \Delta$ ), left (resp. right) only iter.  
Lockstep iterations when both alignment guards false

# MAJORIZATION IN WHYREL

```
interface I =
  meth f (n: int) : int
    effects { rd n; rw result }
end
```

```
module Factorial : I =
  meth f (n: int) : int =
    var i: int in
    var z: int in
      i := n;
      z := 24;
      while (i > 4) do
        z := z * i;
        i := i - 1;
      done;
      result := z;
  end
```

module Power2 is similar  
but replaces

$$z := 24 \rightarrow z := 16$$

$$z := z * i \rightarrow z := z * 2$$

```
bimodule B (Factorial | Power2) =
  meth f (n: int | n: int) : (int | int)
    requires { Both (n >= 4) }
    requires { n =:= n }
    ensures { [< result <] > [> result >] }
  = Var i: int | i: int in
    Var z: int | z: int in
      ( i := n | i := n );
      ( z := 24 | z := 16 );
      While (i > 4) | (i > 4) . do
        invariant { i =:= i /\ [< z <] > [> z >] }
        invariant { [> z >] > [0] }
        invariant { Both (i >= 4) }
        ( z := z * i | z := z * 2 );
        ( i := i - 1 | i := i - 1 );
      done;
      ( result := z | result := z );
  end
```

# MAJORIZATION IN WHYREL

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interface I =  
  meth f (n: int) : int  
    effects { rd n; rw result }  
end
```

```
module Factorial : I =  
  meth f (n: int) : int =  
    var i: int in  
    var z: int in  
    i := n;  
    z := 24;  
    while (i > 4) do  
      z := z * i;  
      i := i - 1;  
    done;  
    result := z;  
end
```

module Power2 is similar  
but replaces

$$z := 24 \rightarrow z := 16$$

$$z := z * i \rightarrow z := z * 2$$

```
bimodule B (Factorial | Power2) =  
  meth f (n: int | n: int) : (int | int)  
    requires { Both (n >= 4) }  
    requires { n ::= n }  
    ensures { [< result <] > [> result >] }  
  = Var i: int | i: int in  
    Var z: int | z: int in  
    ( i := n | i := n );  
    ( z := 24 | z := 16 );  
  
    While (i > 4) | (i > 4) . do  
      invariant { i ::= i /\ [< z <] > [> z >] }  
      invariant { [> z >] > [0] }  
      invariant { Both (i >= 4) }  
      z on right > 0  
      ( z := z * i | z := z * 2 ); unfortunate  
      ( i := i - 1 | i := i - 1 ); Syntax [c] to  
    done;  
    use constants  
    when comparing  
    values in  
    two states  
  end
```

# MAJORIZATION IN WHY3

```
module Factorial
use prelude.Prelude
use I

let f (n: int) : int diverges
= let result = ref 0 in
  let n = ref n in
  let i = ref (!n) in
  let z = ref 24 in
  while !i > 4 do
    invariant { True }
    z := !z * !i;
    i := !i - 1;
  done;
  result := !z;
  !result
end
```

## Biprogram for factorial and exponent

```
let f (l_n: int) (r_n: int) : (l_result: int, r_result: int)
  requires { l_n = r_n }
  requires { l_n >= 4 /\ r_n >= 4 }
  ensures { l_result > r_result }
  diverges
= let l_result = ref 0 in let r_result = ref 0 in
  let l_n = ref l_n in let r_n = ref r_n in
  let l_i = ref (!l_n) in let r_i = ref (!r_n) in
  let l_z = ref 24 in let r_z = ref 16 in

  while !l_i > 4 do
    invariant { [@expl:lockstep adequacy]
      (!l_i > 4) <-> (!r_i > 4) }
    invariant { !l_i = !r_i }
    invariant { !l_z > !r_z }
    invariant { !r_z > 0 }
    invariant { !l_i >= 4 /\ !r_i >= 4 }

    l_z := !l_z * !l_i ; r_z := !r_z * 2 ;
    l_i := !l_i - 1 ; r_i := !r_i - 1 ;
  done;
  l_result := !l_z;
  r_result := !r_z;
  (!l_result, !r_result)
```

# MAJORIZATION IN WHY3

```
module Factorial
use prelude.Prelude
use I
let f (n: int) : int diverges
= let result = ref 0 in
  let n = ref n in
    let i = ref (!n) in
      let z = ref 24 in
        while !i > 4 do
          invariant { True }
          locals modeled as WhyML
          refs
          l_z := !z * !i;
          i := !i - 1;
          done;
          result := !z;
          !result
end
```

"result"  
always  
returned

→ to avoid VC's related to proving termination

Biprogram for factorial and exponent

```
let f (l_n: int) (r_n: int) : (l_result: int, r_result: int)
  requires { l_n = r_n }
  requires { l_n >= 4 /\ r_n >= 4 }
  ensures { l_result > r_result }
  diverges
= let l_result = ref 0 in let r_result = ref 0 in
  let l_n = ref l_n in let r_n = ref r_n in
  let l_i = ref (!l_n) in let r_i = ref (!r_n) in
  let l_z = ref 24 in let r_z = ref 16 in

  while !l_i > 4 do
    invariant { [@expl:lockstep adequacy]
      (!l_i > 4) <-> (!r_i > 4) } adequacy of lockstep
    aligned loop
    invariant { !l_i = !r_i }
    invariant { !l_z > !r_z }
    invariant { !r_z > 0 }
    invariant { !l_i >= 4 /\ !r_i >= 4 }

    l_z := !l_z * !l_i ; r_z := !r_z * 2 ;
    l_i := !l_i - 1 ; r_i := !r_i - 1 ;
    done;
    l_result := !l_z;
    r_result := !r_z;
    (!l_result, !r_result)
```

# MAJORIZATION IN WHY3

Why3 Interactive Proof Session

File Edit Tools View Help

Status Theories/Goals Time

Task majorization\_cleaned.mlw

```
36   l := !i - 1;
37   done;
38   result := !z;
39   !result
40 end
41
42 module B
43 use prelude.Prelude
44 use Factorial
45 use Power2
46
47 let f (l_n: int) (r_n: int) : (l_result: int, r_result: int)
48 requires { l_n = r_n }
49 requires { l_n >= 4 /\ r_n >= 4 }
50 ensures { l_result > r_result }
51 diverges
52 = let l_result = ref 0 in let r_result = ref 0 in
53   let l_n = ref l_n in let r_n = ref r_n in
54   let l_i = ref (!l_n) in let r_i = ref (!r_n) in
55   let l_z = ref 24 in let r_z = ref 16 in
56
57   while !l_i > 4 do
58     invariant { (@expl:lockstep adequacy)
59       (!l_i > 4) <-> (!r_i > 4) }
60     invariant { !l_i = !r_i }
61     invariant { !l_z > !r_z }
62     invariant { !r_z > 0 }
63     invariant { !l_i >= 4 /\ !r_i >= 4 }
64
65     l_z := !l_z * !l_i ; r_z := !r_z * 2 ;
66     l_i := !l_i - 1 ; r_i := !r_i - 1 ;
67   done;
68
69   l_result := !l_z;
70   r_result := !r_z;
71   (l_result, r_result)
72
73 end
```

0/0/0

Messages Log Edited proof Prover output Counterexample

majorization\_cleaned.mlw

Factorial

f'vc [VC for f]

Alt-Ergo 2.3.1

Power2

B

f'vc [VC for f]

split\_vc

lockstep adequacy

Alt-Ergo 2.3.1

loop invariant init

Alt-Ergo 2.3.1

lockstep adequacy

Alt-Ergo 2.3.1

loop invariant preservation

Alt-Ergo 2.3.1

postcondition

Alt-Ergo 2.3.1

0.01 [60.0] (steps: 0)

0.01 [60.0] (steps: 5)

0.01 [60.0] (steps: 5)

0.01 [60.0] (steps: 6)

0.01 [60.0] (steps: 7)

0.02 [60.0] (steps: 26)

0.02 [60.0] (steps: 26)

60.00 [60.0]

0.14 [60.0] (steps: 26815)

0.02 [60.0] (steps: 29)

0.02 [60.0] (steps: 30)

0.02 [60.0] (steps: 22)

# ENCODING DETAILS

```
interface NODE =  
  class Node  
    {value: int; next: Node;}  
  
  meth cons (i: int, n: Node) : Node  
    ensures { result.value = i }  
    ensures { result.next = n }  
    effects { rw {result}`any, alloc;  
              rd i, n }  
  
end  
  
module NodeImpl : NODE =  
  class Node  
    {value: int; next: Node;}  
  
  meth cons (i: int, n: Node) : Node  
  = var tmp: Node in  
    tmp := new Node;  
    tmp.value := i;  
    tmp.next := n;  
    result := tmp;  
  
end
```

module State  
use prelude.Prelude

type reference ← abstract WhyML type  
 constants : null  
 operations : (=)

type reftype =  
| Node ↗ class names

type heap = {  
 mutable value : Map.t reference int;  
 mutable next : Map.t reference reference  
}

type state = {  
 mutable heap: heap; ↗ keeps track of alloc'd refs  
 mutable ghost alloc: Map.t reference reftype  
} invariant { not (Map.mem null alloc) }  
invariant {  
 forall p: reference.  
 alloc[p] = Node ->  
 (Map.mem p heap.value /\ Map.mem p heap.next) /\  
 (heap.next[p] = null  
 /\ Map.mem heap.next[p] alloc  
 /\ alloc[heap.next[p]] = Node) }

WhyML type invariant

generated WhyML file

# ENCODING DETAILS

```
interface NODE =  
  class Node  
    {value: int; next: Node;}  
  
  meth cons (i: int, n: Node) : Node  
    ensures { result.value = i }  
    ensures { result.next = n }  
    effects { rw {result}`any, alloc;  
              rd i, n }  
  
end  
  
module NodeImpl : NODE =  
  class Node  
    {value: int; next: Node;}  
  
  meth cons (i: int, n: Node) : Node  
  = var tmp: Node in  
    tmp := new Node;  
    tmp.value := i;  
    tmp.next := n;  
    result := tmp;  
  
end
```

```
(* Axiomatizations of image expressions: r`f *)  
  
(* r`value = ø *)  
function img_value : state -> rgn -> rgn  
axiom img_value_ax : forall s: state, r: rgn. img_value s r  
= emptyRgn  
  
(* r`next = o.next for all objects o of type Node in r *)  
function img_next : state -> rgn -> rgn  
axiom img_next_ax : forall s: state, r: rgn, p: reference.  
  Rgn.mem p (img_next s r) <->  
  exists q: reference.  
    Map.mem q s.alloc /\  
    s.alloc[q] = Node /\ Rgn.mem q r /\ p = s.heap.next[q]  
  
(* ... other definitions omitted ... *)
```

→ def's relevant to refperms  
(not covered in talk)  
def's related to framing, etc.

# ENCODING DETAILS

```
interface NODE =  
  class Node  
    {value: int; next: Node;}  
  
  meth cons (i: int, n: Node) : Node  
    ensures { result.value = i }  
    ensures { result.next = n }  
    effects { rw {result}`any, alloc;  
              rd i, n }  
  
end  
  
module NodeImpl : NODE =  
  class Node  
    {value: int; next: Node;}  
  
  meth cons (i: int, n: Node) : Node  
    = var tmp: Node in  
      tmp := new Node;  
      tmp.value := i;  
      tmp.next := n;  
      result := tmp;  
  
end
```

```
val cons (s: state) (i: int) (n: reference) : reference  
ensures { result <=> null /\ s.allocate[result] = Node }  
ensures { s.heap.value[result] = i }  
ensures { s.heap.next[result] = n }  
  
ensures { wrs_to_values_framed_by  
          (old s) s (Rgn.singleton result) }  
ensures { wrs_to_next_framed_by  
          (old s) s (Rgn.singleton result) }  
  
writes { s.heap.value, s.heap.next, s.allocate } Why ML writes clause required
```

pub. spec. for cons

handling writes involving region expressions

can allocate

# ENCODING DETAILS

```
interface NODE =  
  class Node  
    {value: int; next: Node;}  
  
  meth cons (i: int, n: Node) : Node  
    ensures { result.value = i }  
    ensures { result.next = n }  
    effects { rw {result}`any, alloc;  
              rd i, n }  
  
end  
  
module NodeImpl : NODE =  
  class Node  
    {value: int; next: Node;}  
  
  meth cons (i: int, n: Node) : Node  
  = var tmp: Node in  
    tmp := new Node;  
    tmp.value := i;  
    tmp.next := n;  
    result := tmp;  
  
end
```

```
val cons (s: state) (i: int) (n: reference) : reference  
ensures { result <> null /\ s.alloct[result] = Node }  
ensures { s.heap.value[result] = i }  
ensures { s.heap.next[result] = n }  
  
ensures { wrs_to_values_framed_by  
          (old s) s (Rgn.singleton result) }  
ensures { wrs_to_next_framed_by  
          (old s) s (Rgn.singleton result) }  
  
writes { s.heap.value, s.heap.next, s.alloct }
```

result ≠ null generated as post b/c of  
WhyRel's convention\*:

“Node” — non-null Node ref.

“Node?” — possibly null Node ref.

More appropriate declaration for cons:

meth cons (i:int, n:Node?) : Node

\*the decl. above also generates requires {n≠null}; omitted from WhyML spec.

# ENCODING DETAILS

```
interface NODE =
  class Node
    {value: int; next: Node;}

  meth cons (i: int, n: Node) : Node
    ensures { result.value = i }
    ensures { result.next = n }
    effects { rw {result}`any, alloc;
              rd i, n }

end

module NodeImpl : NODE =
  class Node
    {value: int; next: Node;}

  meth cons (i: int, n: Node) : Node
  = var tmp: Node in
    tmp := new Node;
    tmp.value := i;
    tmp.next := n;
    result := tmp;
```

end

```
let cons (s: state) (i: int) (n: reference) : reference
ensures { result <> null /\ s.allocate[result] = Node }
ensures { s.heap.value[result] = i }
ensures { s.heap.next[result] = n }
ensures { wrs_to_values_framed_by
          (old s) s (Rgn.singleton result) }
ensures { wrs_to_next_framed_by
          (old s) s (Rgn.singleton result) }
writes { s.heap.value, s.heap.next, s.allocate }

= let result = ref null in
  let i = ref i in
  let n = ref n in
  let tmp = ref null in
  tmp := new_Node s;
  (* tmp.value := i *)
  s.heap.value <- M.add !tmp !i s.heap.value;
  (* tmp.next := n *)
  s.heap.value <- M.add !tmp !n s.heap.next;
  result := !tmp;
  !result
```

Each write to s.heap or s generates type invariant VCs !!

Can potentially be avoided using Why3's abstraction facilities or Boogie style free requires/ensures. The state type invariant expresses conditions on typing and ideally should not incur extra VCs since WhyRel type checks programs.

# ENCODING DETAILS

```
interface NODE =  
    public pool : rgn  
  
    class Node  
        { value: int; next: Node;  
         rep: rgn; }  
  
        boundary { pool, pool`any, pool`rep`any }  
  
    meth cons (i: int, n: Node) : Node  
        ensures { result.value = i }  
        ensures { result.next = n }  
        effects { rw {result}`any, alloc; rd i, n }  
end  
  
module NodeImpl : NODE =  
  
    private invariant nodePriv =  
        forall n: Node in pool.  
            let rep = n.rep in  
                n in rep /\ rep`next subset rep  
  
    meth cons (i: int, n: Node) : Node  
        = var tmp: Node in  
            tmp := new Node;  
            tmp.value := i;  
            tmp.next := n;  
            result := tmp;  
end
```

Add to example by including:

- Global variable pool:rgn
- Field rep:rgn in Node
- Boundary
- Private invariant

# ENCODING DETAILS

```
interface NODE =  
  
    public pool : rgn  
  
    class Node  
        { value: int; next: Node;  
         rep: rgn; }  
  
    boundary { pool, pool`any, pool`rep`any }  
  
    meth cons (i: int, n: Node) : Node  
        ensures { result.value = i }  
        ensures { result.next = n }  
        effects { rw {result}`any, alloc; rd i, n }  
    end  
  
    module NodeImpl : NODE =  
  
        private invariant nodePriv =  
            forall n: Node in pool.  
                let rep = n.rep in  
                n in rep /\ rep`next subset rep  
  
        meth cons (i: int, n: Node) : Node  
            = var tmp: Node in  
                tmp := new Node;  
                tmp.value := i;  
                tmp.next := n;  
                result := tmp;  
    end
```

## Changes to state encoding

```
type heap = {  
    mutable value : Map.t reference int;  
    mutable next : Map.t reference reference;  
    mutable ghost rep : Map.t reference rgn  
}  
  
type state = {  
    mutable heap: heap;  
    mutable ghost alloc: Map.t reference reftype  
    mutable ghost pool: rgn;  
} invariant { ... }  
invariant { forall q: reference.  
    Rgn.mem q pool ->  
    q = null \vee Map.mem q alloc }
```

Global variables are components of the state type

New type invariant for globals of type rgn — required since references are an abstract Why ML type

Note: rgn always ghost

# ENCODING DETAILS

```
interface NODE =
  public pool : rgn

  class Node
    { value: int; next: Node;
      rep: rgn; }

  boundary { pool, pool`any, pool`rep`any }

  meth cons (i: int, n: Node) : Node
    ensures { result.value = i }
    ensures { result.next = n }
    effects { rw {result}`any, alloc; rd i, n }
end

module NodeImpl : NODE =
  private invariant nodePriv =
    forall n: Node in pool.
      let rep = n.rep in
      n in rep /\ rep`next subset rep

  meth cons (i: int, n: Node) : Node
  = var tmp: Node in
    tmp := new Node;
    tmp.value := i;
    tmp.next := n;
    result := tmp;
end
```

```
let cons (s: state) (i: int) (n: reference) :
reference
  requires { ... } ensures { ... }
  (* boundaries grow monotonically *)
  ensures {
    let bsnap =
      old (Rgn.union s.pool
            (img_rep s s.pool)) in
    Rgn.subset bsnap
      (Rgn.union s.pool (img_rep s s.pool)) }
```

Specs now add special post to cater  
for a technical side condition of  
region logic: boundaries don't shrink

# ENCODING DETAILS

```
interface NODE =  
  public pool : rgn  
  
  class Node  
    { value: int; next: Node;  
     rep: rgn; }  
  
  boundary { pool, pool`any, pool`rep`any }  
  
  meth cons (i: int, n: Node) : Node  
    ensures { result.value = i }  
    ensures { result.next = n }  
    effects { rw {result}`any, alloc; rd i, n }  
end  
  
module NodeImpl : NODE =  
  
  private invariant nodePriv =  
    forall n: Node in pool.  
      let rep = n.rep in  
      n in rep /\ rep`next subset rep  
  
  meth cons (i: int, n: Node) : Node  
  = var tmp: Node in  
    tmp := new Node;  
    tmp.value := i;  
    tmp.next := n;  
    result := tmp;  
end
```

```
lemma boundary_frames_invariant_Node =  
  forall s: state, t: state, pi: Refperm.t.  
    okRefperm s t pi ->  
    Refperm.identity pi s.alloct t.alloct ->  
    Refperm.idRgn pi s.pool t.pool ->  
    agree_allfields s t pi  
      (Rgn.union s.pool (img_rep s s.pool)) ->  
    nodePriv s ->  
    nodePriv t
```

Lemma generated for user to prove:  
private invariant only depends on  
boundary

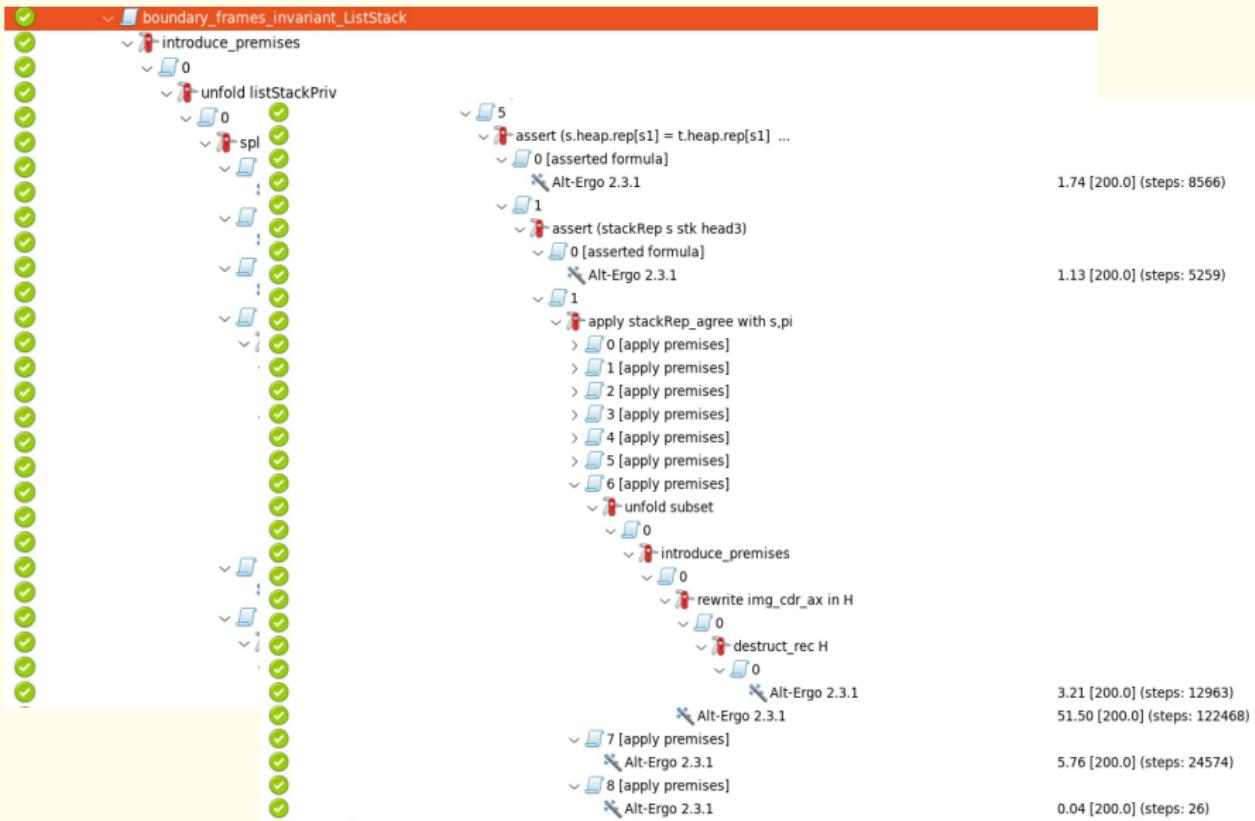
Similar lemma when it comes to  
coupling relations

Proving this lemma can be challenging.  
e.g. ListStack ...

# ENCODING DETAILS

boundary_frames_invariant_ListStack	
✓	✓ introduce_premises
✓	✓ 0 unfold listStackPriv
✓	✓ 0 split_vc
✓	✓ 0 Alt-Ergo 2.3.1 73.39 [200.0] (steps: 266574)
✓	✓ 1 Alt-Ergo 2.3.1 1.27 [200.0] (steps: 5494)
✓	✓ 2 Alt-Ergo 2.3.1 7.65 [200.0] (steps: 36066)
✓	✓ 3 assert (subset (img_cdrs s.heap.rep[s1] ...
✓	✓ 0 [asserted formula] Alt-Ergo 2.3.1 0.89 [200.0] (steps: 4362)
✓	✓ 1 assert (agree_cdr s t pi (union s.pool ( ...
✓	✓ 0 [asserted formula] Alt-Ergo 2.3.1 0.04 [200.0] (steps: 24)
✓	✓ 1 CVC4 1.6 11.24 [200.0] (steps: 944055)
✓	✓ 4 Alt-Ergo 2.3.1 43.69 [200.0] (steps: 111073)
✓	✓ 5 assert (s.heap.rep[s1] = t.heap.rep[s1] ...
✓	✓ 0 [asserted formula] Alt-Ergo 2.3.1 1.74 [200.0] (steps: 8566)

# ENCODING DETAILS



# ENCODING DETAILS

