

1. A CNF formula is satisfiable  $\Leftrightarrow$  it can be made all-negative clause free by switching signs of the variables.

( $\Rightarrow$ ) If a CNF formula is satisfiable, we have a satisfying assignment. We switch the sign of those variables which are assigned **FALSE** by that assignment. Now each clause has a literal which is assigned to **TRUE**. If that is a positive literal then that clause is not a all-negative clause both in original and new formula. Else that was a negative clause, it is switched in the new formula hence that clause has a positive literal in the new formula. Hence the new formula is all-negative clause free.

( $\Leftarrow$ ) Suppose a CNF formula can be made all-negative clause free by switching signs. Then in the new formula, each clause has at least one positive variable. We will assign **TRUE** to those variables, and that would make the formula satisfiable. Now, take that assignment, and change the assigned value to **FALSE** for those variables whose signs are switched. That would be a satisfying assignment for original formula because each clause in new formula has a **TRUE** literal. If that was a positive variable in original clause, that is **TRUE** in original clause too. Else if that was a negative variable in original clause, it is switched in the new formula. So assigning to **FALSE** would make it **TRUE** in the original clause.

2. Let  $R = \{12\bar{3}, 23\bar{4}, 34\bar{1}, 4\bar{1}2, \bar{1}2\bar{3}, \bar{2}3\bar{4}, \bar{3}4\bar{1}, 4\bar{1}\bar{2}\}$  and  $R' = R \setminus \{4\bar{1}\bar{2}\}$ . Show that  $R$  is unsatisfiable but  $\{4, \bar{1}, 2\}$  makes  $R'$  satisfiable.

(a)

$$R(\bar{1}, 2, 3, 4) = R(1, 3, 2, 4)$$

$$R(1, \bar{2}, 3, 4) = R(1, 2, 4, 3)$$

$$R(1, 2, \bar{3}, 4) = R(1, 4, 3, 2)$$

$$R(1, 2, 3, \bar{4}) = R(2, 1, 3, 4)$$

So no matter how I switch the variables, the new set of clauses is a new instance of  $R$  where the switched variable is not switched and other variables are permuted. So there is no way to make it all-negative clause free. Hence  $R$  is not satisfiable.

- (b)  $R = R' \cup \{4\bar{1}\bar{2}\}$  is unsatisfiable. Hence  $R' \Rightarrow \neg\{4\bar{1}\bar{2}\} = \{4, \bar{1}, 2\}$ . Clearly  $\{4, \bar{1}, 2\} \Rightarrow R'$ . So, that is a satisfying assignment.

3. **Exercise 10.** Show that every satisfiability problem with  $m$  clauses and  $n$  variables can be transformed into an equivalent monotonic problem with  $m + n$  clauses and  $2n$  variables, in which the first  $m$  clauses have only negative literals, and the last  $n$  clauses are binary with two positive literals.

Suppose  $F$  is a satisfiability problem with variables  $\{1, \dots, n\}$ . We add additional  $\{1', \dots, n'\}$  variables. By intuition,  $v'$  and  $v$  has dual of same variable.

Now we create a new formula  $F'$  replacing each occurrence of  $v$  with  $\bar{v}'$  in  $F$  for  $v \in \{1, \dots, n\}$ .

Then we add  $n$  more clauses in  $F'$   $\{v', v\}$  for  $v \in \{1, \dots, n\}$ .

Then  $F'$  is a satisfiability problem with  $m + n$  clauses and  $2n$  variables. Now I will show,  $F$  and  $F'$  are equi-satisfiable.

If  $F$  has a satisfying assignment  $\sigma$ . Then we extend  $\sigma$  to a satisfying assignment  $\sigma'$  for  $F'$  such that  $\sigma'(v) = \sigma(v)$  and  $\sigma'(v') = \overline{\sigma(v)}$  for  $v \in \{1, \dots, n\}$ .

Now suppose  $F'$  has a satisfying assignment  $\sigma'$ . We create a satisfying assignment  $\sigma = \sigma' \downarrow_{1 \dots n}$  for  $F$ . This will correct because, if  $\sigma'(v) = \sigma'(v')$ , then the dual nature is consistent. But if  $\sigma'(v) = \sigma'(v')$  then both are **TRUE** since they both can not be **FALSE** ( $\{v, v'\}$  is a clause in  $F'$ ), then  $\bar{v}$  and  $\bar{v}'$  were **FALSE** in the first  $m$  clauses of  $F'$ . So  $m$  clauses are satisfied without  $v$  and  $v'$ 's contribution. So I can assign any value to  $v$  in original  $F$ .

**Exercise 26.** Prove that Sinz's clauses in the below enforce the cardinality constraint  $x_1 + \dots + x_n \leq r$ . Hint: Show that they imply  $s_j^k = 1$  whenever  $x_1 + \dots + x_{j+k-1} \geq k$ .

- (a)  $(\bar{s}_j^k \vee s_{j+1}^k)$  for  $1 \leq j < (n - r)$  and  $1 \leq k \leq r$

- (b)  $(\bar{x}_{j+k} \vee \bar{s}_j^k \vee s_j^{k+1})$  for  $1 \leq j < (n - r)$  and  $0 \leq k \leq r$  where  $\bar{s}_j^k$  is omitted when  $k = 0$  and  $s_j^{k+1}$  is omitted when  $k = r$ .

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Suppose  $x_1 + \dots + x_{j+k-1} \geq k$  *i.e.* there are at least  $k$  many  $i < j + k$  such that  $x_i = 1$ . Then I will show  $s_j^k$  is **TRUE**.

I will prove by induction.

Base case: If  $k = 1$ , then there is at least one  $x_i = 1$ . (b) says  $(\bar{x}_i \vee s_i^1)$ . So  $s_i^1$  is **TRUE**. Then I can apply (a) finite times and have  $s_j^1$  to be **TRUE** (since  $i < j + 1$ ).

Inductive step: If  $x_1 + \dots + x_{j+k-1} \geq k$ . Suppose  $x_l = 1$  such that there is no  $x_i = 1$  with  $l < i < j + k$ . Then,  $x_1 + \dots + x_{l-1} \geq (k-1)$ . By induction hypothesis,  $s_{l-k+1}^{k-1}$  is **TRUE**. Then by applying (b) on  $(\bar{x}_l \vee \bar{s}_{l-k+1}^{k-1} \vee s_{l-k+1}^k)$  we have  $s_{l-k+1}^k$  to be **TRUE**. Then applying (a) finite times we have  $s_j^k$  to be **TRUE**.

So whenever  $x_1 + \dots + x_{j+k-1} \geq k$ ,  $s_j^k$  is **TRUE**.

Now, if  $x_{r+j} = 0$  for  $1 \leq j \leq (n-r)$  then of course  $x_1 + \dots + x_n \leq r$ . Else there exists  $x_{l+r} = 1$  such that there is no  $x_{i+r} = 1$  with  $l < i \leq (n-r)$  then (b) says  $(x_{l+r} \vee \bar{s}_l^r)$  or  $x_{l+r}$  implies  $x_1 + \dots + x_{l+r-1} \not\geq r$  or  $x_1 + \dots + x_{l+r} \leq r$ . Since  $x_{i+r} = 0$  with  $l < i \leq (n-r)$ , that inequality becomes  $x_1 + \dots + x_n \leq r$ .

Hence every satisfying assignment for Sinz's clauses ensures  $x_1 + \dots + x_n \leq r$ .