

# Frame Semantic-Enhanced Sentence Modeling for Sentence-level Extractive Text Summarization

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## Abstract

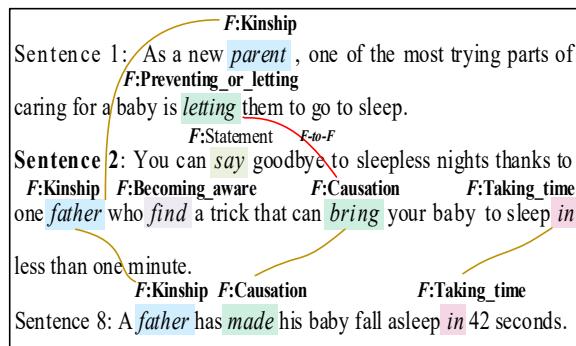
Sentence-level extractive text summarization aims to select important sentences from a given document. However, it is very challenging to model the importance of sentences. In this paper, we propose a novel Frame Semantic-Enhanced Sentence Modeling for Extractive Summarization ( $FS^3$ ), which leverages Frame semantics to model sentences from both *intra-sentence level* and *inter-sentence level*, facilitating the text summarization task. In particular, *intra-sentence level semantics* leverage Frames and Frame Elements to model internal semantic structure within a sentence, while *inter-sentence level semantics* leverage Frame-to-Frame relations to model relationships among sentences. Extensive experiments on two benchmark corpus CNN/DM and NYT demonstrate that  $FS^3$  model outperforms six state-of-the-art methods significantly.

## 1 Introduction

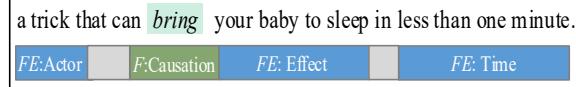
Extractive text summarization selects words, phrases, or sentences from the original text to create a summary (Chan, 2018). In this paper, we focus on sentence-level extractive text summarization, which aims to select important and informative sentences from a given document. A key problem in this task is to model the salience of sentences, focusing on not only the semantic information within sentences (intra-sentence), but also the relationships among sentences (inter-sentences). For example, in Figure 1(a), the sentence 2 is an important sentence and a part of final output summary. At the intra-sentence level, it has key phrases, such as *father*, *bring your baby to sleep*, *less than one minute*. At the inter-sentence level, it has meaningful relations with other sentences 1 and 8.

Recently sequence-to-sequence models have produced promising results on the summarization task,

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(a) An example adapted from the CNN/Daily dataset.



(b) A Frame annotations.

Figure 1: (a) Frame relations across different sentences.  
(b) Frame semantic structure within a sentence.

which utilize encoder to obtain the representation of sentences and predict the final summary (Chen and Bansal, 2018; Zhong et al., 2020; Mendes et al., 2019; Zhou et al., 2018; Liu and Lapata, 2019). However, these methods mainly focus on modeling sentences word-by-word and ignore the internal semantic structure within a sentence. Besides, they usually focus on the similarity between sentences and ignore the semantic relationship between sentences.

On the other hand, some graph-based methods have been proposed to model relationships between sentences, by first treating sentences as nodes in a graph and then constructing relationships between nodes (Jin et al., 2020). However, most of these methods mainly construct relations based on surface features, e.g., trigram overlapping (Jia et al., 2020), coreference (Xu et al., 2020), without considering the semantic relations between sentences.

We notice FrameNet (Fillmore et al., 1976; Baker et al., 1998), a semantic database, can be lever-

aged to distil semantic structures of sentences by defining some semantic units, such as **Frame** (F), **Frame Element** (FE) and Target (T) (Zhao et al., 2020; Guo et al., 2020). As shown in Figure 1(b), the T word *bring* evokes the Frame *Causation*, which contains three FEs, i.e., *Actor*, *Effect*, *Time*, where the FE *Actor* is filled by phrase *a trick*. It is worth mentioning that FrameNet connects different relevant Frames by defining **Frame-to-Frame (F-to-F) relations** (e.g between Frame *Causation* and *Preventing\_or letting*), which facilitate providing natural and effective ways to model semantic relations among sentences (Guan et al., 2021).

In this paper, we propose  $FS^3$ , a novel Frame Semantic-Enhanced Sentence Modeling for Extractive Summarization, which incorporates the hierarchical attention mechanism into the Graph Convolutional Network (GCN) (Kipf and Welling, 2017) to model sentences from intra-sentence level and inter-sentence level based on Frame semantics. Specifically, intra-sentence and inter-sentence modelings are applied to capture the semantic structure information within a sentence and the semantic relations among sentences respectively. The contribution of this paper can be summarized as follows.

1. To the best of our knowledge, we are the very first to leverage Frame semantics for extractive summarization. We propose a novel  $FS^3$  method that leverages Frame semantics to model sentences from both *intra-sentence level* and *inter-sentence level*.
2. We incorporate the hierarchical attention mechanism into a graph neural network, which dynamically models the interactions within and among sentences. In particular, *intra-sentence level* leverages Frame and FE to model the structure within a sentence, while *inter-sentence level* leverages F-to-F relations to model relationships among sentences.
3. Extensive experimental results demonstrate  $FS^3$  outperforms six state-of-the-art models on two benchmark data CNN/DM and NYT.

## 2 Methods

Figure 2 provides an overview of the proposed model, mainly consisting of three key modules:

(1) **Semantic Graph Construction** builds a Frame semantic-based semantic graph  $\mathcal{G}$  for a given document  $\mathcal{D}$ .

(2) **Frame Semantic-Enriched Sentence Modeling** employs a novel attention-enhanced graph neural network to learn the semantic graph representations  $\mathcal{C}^g$ , which utilizes intra-sentence and inter-sentence modeling to capture the semantic structure information within a sentence and the semantic relations among sentences respectively.

(3) **Prediction** employs the document representation  $\mathcal{C}^d$  and graph representation  $\mathcal{C}^g$  to predict its summary, i.e. whether we should select a sentence.

### 2.1 Semantic Graph Construction

Formally, our semantic graph can be formalized as  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$ , where  $\mathcal{V}$  is the node set and  $\mathcal{E}$  is the edge set. We utilize the frame semantic parser SEMAFOR (Das et al., 2014) to annotate documents. As shown in Figure 2, there are three types of nodes: Frame nodes  $\mathcal{V}^f$ , FE nodes  $\mathcal{V}^{fe}$  and sentence nodes  $\mathcal{V}^{sen}$ , i.e.,  $\mathcal{V}^f \subset \mathcal{V}$ ,  $\mathcal{V}^{fe} \subset \mathcal{V}$ ,  $\mathcal{V}^{sen} \subset \mathcal{V}$ . In particular,  $\mathcal{V}^f$  represents *semantic scenario information* of sentences.  $\mathcal{V}^{fe}$  describes *semantic unit* of sentences, which consists of the filled words corresponding to its FE. Different from HAHSUM (Jia et al., 2020), which keeps each *individual* word as one node, we design an attention mechanism to *aggregate the filled words into one node* to keep the completeness of information (Pan et al., 2020). For example, in Figure 1(b), the filled words *your baby to sleep* of FE *Effect* are considered as a whole. Finally, each sentence has a node  $\mathcal{V}^{sen}$ , denoting overall information of the sentence.

To capture rich semantic relationships within and across sentences, we connect the different types of nodes to form three different types of edges in  $\mathcal{E}$ : First, as a Frame typically consists of several associated FEs, we connect multiple FEs to the corresponding Frame to obtain a better Frame representation. Moreover, as a sentence usually contains multiple Frames that represent several semantic scenario, we connect multiple Frames to their corresponding sentence to capture the enriched semantic representation of the sentence. Finally, the importance of a sentence is reflected in its connections to other sentences. The more connections a sentence has, the more important it is (Page et al., 1998; Mihalcea and Tarau, 2004). Therefore, we connect different sentences according to F-to-F relations.

### 2.2 Frame Semantic-Enriched Sentence Modeling

We first encode the document  $\mathcal{D}$  and Frame  $F$  via BERT (Devlin et al., 2019) individually. Then, we

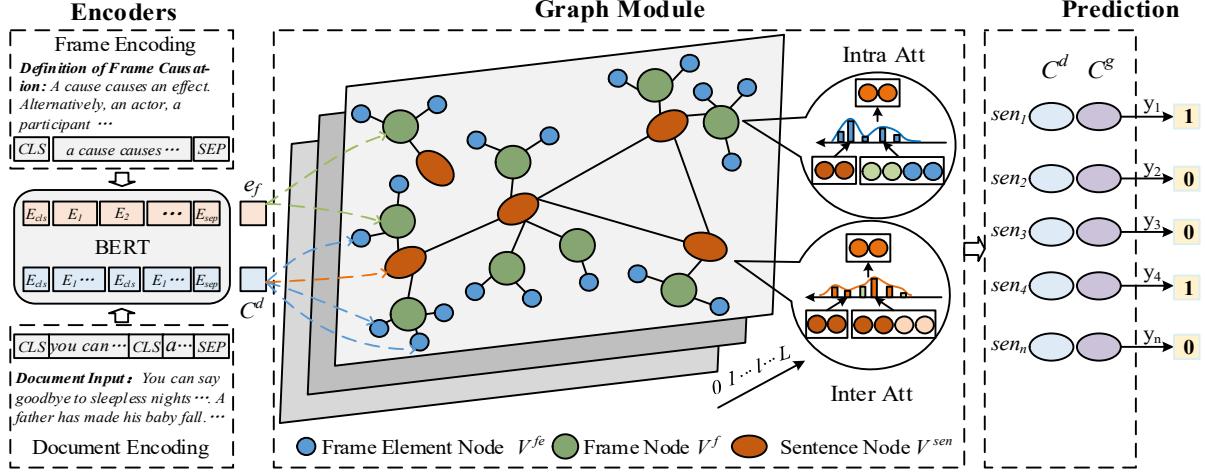


Figure 2: The overview of our proposed  $FS^3$  model.

integrate them into GCN (Kipf and Welling, 2017) to obtain the Frame semantic-enriched sentence representation from both intra-sentence and inter-sentence level for extractive summarization.

### 2.2.1 Document Encoding

Given a document  $\mathcal{D} = \{s_1, s_2, \dots, s_n\}$ , which contains  $n$  sentences, where  $s_i$  denotes the  $i$ -th sentence of document  $\mathcal{D}$ . Different from the original BERT, which is trained to encode a single sentence or sentence pair, we insert [CLS] and [SEP] tokens at the beginning and the end of each sentence (refer to bottom left of Figure 2). Then we obtain document representation  $C^d$  by feeding  $\mathcal{D}$  into BERT.

$$C^d = BERT(\mathcal{D}) \quad (1)$$

The token [CLS] representations in  $C^d$  are used as the sentence representations  $C^s$ , to initialize the sentence nodes in our semantic graph  $\mathcal{G}$ .

### 2.2.2 Frame Encoding

Since each Frame has a unique definition  $F_{def}$ , we process the  $F_{def}$  into the input format of BERT as: [CLS]  $F_{def}$  [SEP] (refer to top left part of Figure 2). Then, we feed the  $f_{def}$  into BERT (Chen et al., 2020), and regard the token [CLS] representation as the Frame vector  $e_f$ , which initializes the corresponding Frame node in semantic graph  $\mathcal{G}$ .

### 2.2.3 Semantic Graph Encoding

As shown in middle part of Figure 2, we stack  $L$  graph encoder layers, which incorporate a hierarchical attention mechanism into the GCN to encode the graph  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$ . At each encoder layer, we sequentially conduct intra- and inter-sentence modeling to update the node states. In this way, the final

sentence node states encode the semantic information within and across sentences simultaneously.

Specifically, assume that the representation for the  $l$ -th encoder layer through graph convolution operation is represented as  $h^l = \{h_f^l, h_{fe}^l, h_{sen}^l\}$ , where  $h_f^l$ ,  $h_{fe}^l$ , and  $h_{sen}^l$  are the  $l$ -th layer node states of  $\mathcal{V}^f$ ,  $\mathcal{V}^{fe}$  and  $\mathcal{V}^{sen}$ , respectively. The learned Frame representation  $e_f$  is used as the  $h_f^0$  and sentence representations  $C^s$  is used as the  $h_{sen}^0$ . Besides, we obtain the initial representation  $h_{fe}^0$  by computing the representation of the filled words from  $C^d$  with an attention mechanism. Then the updates of node states  $h_f^l = \{h_{f_i}^l\}$ ,  $h_{fe}^l = \{h_{fe_j}^l\}$ , and  $h_{sen}^l = \{h_{sen_k}^l\}$ , consist of the following steps:

**Intra-Sentence Modeling.** It aims to enrich sentence representation by considering the semantic structure (Frame, FE) within a sentence. Specifically, we design an attention mechanism *Inner Att* to obtain Frame node representation  $H_{f_i}^l$  by integrating the information from its associated FE nodes based on their importance (Bahdanau et al., 2015).

$$\alpha_{i,j} = \text{softmax}(\mathcal{A}_1^T \sigma(W_1^l h_{f_i}^l + W_2^l h_{fe_j}^l)) \quad (2)$$

$$H_{f_i}^l = \sum_{j \in \mathcal{N}_{f_i}} \alpha_{i,j} h_{fe_j}^l \quad (3)$$

Where  $\sigma$  is an activation function, and  $\mathcal{N}_{f_i}$  is the associated FE nodes of  $\mathcal{V}_i^f$ .  $\mathcal{A}_1$ ,  $W_1^l$  and  $W_2^l$  are parameter matrices.

As mentioned in 2.1, a sentence typically contains several Frames and each Frame consists of multiple FEs. We thus leverage the *two level hierarchical semantic information* of Frame and FE to

enhance the sentence representation  $\bar{H}_{sen}^l$ .

$$\beta_{k,i} = \sigma(W_3^l h_{sen_k}^l + W_4^l H_{f_i}^l + W_5^l \sum_{u \in A_{f_i}} h_{fe_u}^l) \quad (4)$$

$$\bar{H}_{sen_k}^l = \sum_{i \in A_{sen_k}} \beta_{k,i} H_{f_i}^l \quad (5)$$

Where  $A_{sen_k}$  is Frame nodes of  $\mathcal{V}_k^{sen}$ , and  $A_{f_i}$  is the set of FEs associated with  $\mathcal{V}_i^f$ .

**Inter-Sentence Modeling.** It aims to model relationships among sentences. Specifically, we design an attention mechanism *Inter Att* with an element-wise operation to gather the semantic information of a sentence from its related sentence nodes to update its current state  $H_{sen_k}^l$  (Kim et al., 2018).

$$a_{k,t} = \rho(W_6^l \bar{H}_{sen_k}^l + W_7^l \bar{H}_{sen_t}^l) \quad (6)$$

$$H_{sen_k}^l = \sum_{t \in \mathcal{N}_{sen_k}} a_{k,t} \bar{H}_{sen_t}^l \quad (7)$$

Where  $\mathcal{N}_{sen_k}$  is the neighbors of sentence node  $\mathcal{V}_k^{sen}$ , and  $\rho$  is an activation function. After  $L$  layers of graph propagation, we finally obtain final graph representation  $\mathcal{C}^g = \{H_f^l, h_{fe}^l, H_{sen}^l\}$ .

### 2.3 Prediction

We integrate semantic sentence representation  $\mathcal{C}^s$  and sentence node representation  $H_{sen}^l$  to predict the oracle labels (refer to the right part of Figure 2).

$$y_i = \delta(FFN(\mathcal{C}^s, H_{sen}^l)) \quad (8)$$

Where  $\delta$  represents the logistic function,  $FFN$  is a feed-forward network, and  $y_i$  denotes the prediction probability. During training, we minimize a loss function, which is binary classification entropy of prediction  $y_i$  against ground-truth label  $y_i^*$ .

$$\mathcal{L} = -\frac{1}{|\mathcal{D}|} \sum \log p(y|\mathcal{D}; \theta) \quad (9)$$

## 3 Experiments

### 3.1 Datasets & Evaluation Metrics

We evaluate our proposed  $FS^3$  model on two popular benchmark datasets, i.e., CNN/DM (Rush et al., 2015)<sup>1</sup>, NYT (Sandhaus, 2008)<sup>2</sup>. For CNN/DM, we employ the standard splits of training, validation, and test (109,962/13,368/11,490), following the previous work (Jia et al., 2020; Liu and Lapata,

2019). For NYT dataset, we follow the same splits as (Durrett et al., 2016), i.e., 100834, 4000 and 9706 samples for training, validation and test.

Following the existing work, the performance is evaluated using standard ROUGE (Lin, 2004), including ROUGE-1 (R-1), ROUGE-2 (R-2) and ROUGE-L (R-L), which calculates the overlapping lexical units between those extracted sentences and ground-truth summary.

### 3.2 Parameter Settings

We employ BERT for document encoder, whose implementation is based on the PyTorch version<sup>3</sup>. The implementation of graph encoding is based on DGL with 2 layers. We train our model for 100,000 steps on 2 GPUs (Nvidia Tesla V100, 32G) with gradient accumulation every two steps. We select the top-3 checkpoints according to the evaluation loss on validation set and report the averaged results on the test set. We select Adam as the optimizer, with a learning rate and the dropout probability, setting as 5e-5 and 0.3 respectively.

### 3.3 Experimental Results

Table 1 lists the comparison results among all seven models on two widely used benchmark datasets, i.e., CNN/DM, NYT. From the table we can see that our model achieves significantly better results on the two datasets. We have the following observations: 1) the basic pre-trained model (BERT) and BERT-based models BertSumExt and MatchSum, perform better than other models, which provides good justifications on why we utilize BERT as our encoder. 2) Our proposed  $FS^3$  achieves better results than six other models consistently across the two datasets in terms of three evaluation metrics (R-1, R-2 and R-L), indicating  $FS^3$  can obtain a better sentence semantic representations by effectively integrating multiple semantic information, such as Frame and FE, using our constructed semantic graph based on GCN.

### 3.4 Ablation Studies

We perform ablation studies to investigate the influence of two different modules in our  $FS^3$  model. As shown in Table 2, the deceasing results for removing Intra-Sentence Modeling (-w/o *Intra-att*) or Inter-Sentence Modeling (-w/o *Inter-att*) indicate that both *Intra-* and *Inter-* sentence modelings are useful for our model. Not surprisingly, the

<sup>1</sup><https://cs.nyu.edu/~kcho/DMQA/>

<sup>2</sup><https://catalog.ldc.upenn.edu/LDC2008T19>

<sup>3</sup><https://github.com/huggingface/pytorch-pretrained-BERT>

Method	CNN/DM dataset			NYT dataset		
	R-1	R-2	R-L	R-1	R-2	R-L
Lead3 (Liu and Lapata, 2019)	40.42	17.62	36.67	39.58	20.11	35.78
SummaRuNNer (Nallapati et al., 2017)	39.60	16.20	35.30	-	-	-
Exconsumm (Mendes et al., 2019)	41.7	18.6	37.8	43.18	24.43	38.92
BERT (Devlin et al., 2019)	42.46	19.57	38.34	45.25	25.33	40.41
BertSumExt (Liu and Lapata, 2019)	43.85	20.34	39.90	46.66	26.35	42.6
MatchSum (Zhong et al., 2020)	44.41	20.86	40.55	-	-	-
<i>FS</i> <sup>3</sup>	<b>44.72</b>	<b>21.38</b>	<b>40.87</b>	<b>47.32</b>	<b>26.87</b>	<b>43.25</b>

Table 1: The comparison results among six state-of-the-art models and our proposed *FS*<sup>3</sup> model on CNN/DM and NYT datasets.

-w/o both att model, removing the Intra- and Inter-Sentence Modeling, obtains significantly worse performance, signifying our proposed two attention modules are critical for improving the quality of summarization, especially when these two mechanisms as a whole make a greater effect together. Besides, we add case study for further analysis in Appendix A.

Method	R-1	R-2	R-L
<i>FS</i> <sup>3</sup>	44.72	21.38	40.87
-w/o Intra-att	44.65	21.31	40.82
-w/o Inter-att	44.57	21.23	40.76
-w/o both att	43.74	20.41	40.13

Table 2: Ablation studies on CNN/DM data.

### 3.5 Human Evaluation

In addition to automatic evaluation by ROUGE, we also evaluated system output with human judgments (Owczarzak et al., 2012). Following the existing work (Cheng and Lapata, 2016; Narayan et al., 2018), we randomly select 40 examples from CNN/DM test data, and then the participants are presented with an article and summaries generated by five systems (Lead3, BERT, BertSumExt, *FS*<sup>3</sup> and Ground-Truth (GT)). Each document is annotated by three different participants separately, and we ask the participants to rank the summaries from best to worst in order of informativeness and fluency.

The results are shown in Table 3, unsurprisingly, the summaries of Ground-Truth are considered best and ranked 1st 63% of cases, however closely followed by our *FS*<sup>3</sup> model which is ranked 1st 42% of the time.

Models	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	5 <sup>th</sup>
Lead3	0.11	0.20	0.31	0.24	0.14
BERT	0.22	0.32	0.21	0.13	0.12
BertSumExt	0.26	0.39	0.15	0.12	0.08
<i>FS</i> <sup>3</sup>	0.42	0.36	0.14	0.06	0.02
GT	0.63	0.20	0.14	0.03	0.00

Table 3: Human evaluation on CNN/DM test data.

## 4 Conclusion

In this paper, we focus on sentence-level extractive summarization which is an important yet challenging task. We propose a novel *FS*<sup>3</sup> method that leverages Frame semantics to model sentences from *intra-sentence level* and *inter-sentence level*, which can better model semantic information within a sentence and across difference sentences. Extensive experiments on two popular datasets demonstrate its effectiveness on benchmark data for summarization task.

In future work, there are two potential directions for research. Firstly, we will apply multidimensional information (Zhang et al., 2017; Gollapalli et al., 2017) to enhance the text representation. Secondly, to address the problem of coherence, we will apply semantic information to help produce summaries with few or no broken inter-relations.

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## A Appendix of Case Study

We further conduct case studies to showcase summaries extracted by different systems, e.g., Lead3, BERT and  $FS^3$ . As shown in Table 4, Lead3 inherently captures the first three sentences of the article to form the document. Similarly, BERT selects sentences 1, 3 and 4 in this example, and thus lacks informativeness as it is focused on one part of the document. In contrast,  $FS^3$  extracts summary mentioning *the details and meaning of the tennis competition*, which spans the whole document and captures more comprehensive information.

<b>Document</b>
<b>sen1:</b> Rafa nadal got his clay court season off to a perfect, confidence-boosting start with a 6-2, 6-1 win over frenchman lucas pouille in the monte carlo masters. <b>sen2:</b> It was a businesslike display from the world no 5. <b>sen3:</b> He didn't unleash the full power of his forehand but played sensible, measured tennis and made only five unforced errors in the match. <b>sen4:</b> His talented 21-year-old opponent showed flashes of attacking flair. ... <b>sen13:</b> this victory was the first step in rebuilding his self-belief after disappointing losses in the quarter-finals of the Indian wells masters. ...
<b>Lead3</b>
<b>sen1:</b> Rafa nadal got his clay court season off to a perfect, confidence-boosting start with a 6-2, 6-1 win over frenchman lucas pouille in the monte carlo masters. <b>sen2:</b> It was a businesslike display from the world no 5. <b>sen3:</b> He didn't unleash the full power of his forehand but played sensible, measured tennis and made only five unforced errors in the match.
<b>BERT</b>
<b>sen1:</b> Rafa nadal got his clay court season off to a perfect, confidence-boosting start with a 6-2, 6-1 win over frenchman lucas pouille in the monte carlo masters. <b>sen3:</b> He didn't unleash the full power of his forehand but played sensible, measured tennis and made only five unforced errors in the match. <b>sen4:</b> His talented 21-year-old opponent showed flashes of attacking flair.
<b><math>FS^3</math></b>
<b>sen1:</b> Rafa nadal got his clay court season off to a perfect, confidence-boosting start with a 6-2, 6-1 win over frenchman lucas pouille in the monte carlo masters. <b>sen3:</b> He didn't unleash the full power of his forehand but played sensible, measured tennis and made only five unforced errors in the match. <b>sen13:</b> This victory was the first step in rebuilding his self-belief after disappointing losses in the quarter-finals of the Indian wells masters.

Table 4: An example of summaries extracted by different models. In this case, the results of our  $FS^3$  are the same as the reference summary.