

**COS 226, FALL 2014**

**ALGORITHMS  
AND  
DATA STRUCTURES**

**KEVIN WAYNE**



**PRINCETON  
UNIVERSITY**

**<http://www.princeton.edu/~cos226>**

# COS 226 course overview

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## What is COS 226?

- Intermediate-level survey course.
- Programming and problem solving, with applications.
- **Algorithm:** method for solving a problem.
- **Data structure:** method to store information.

topic	data structures and algorithms
<b>data types</b>	stack, queue, bag, union-find, priority queue
<b>sorting</b>	quicksort, mergesort, heapsort, radix sorts
<b>searching</b>	BST, red-black BST, hash table
<b>graphs</b>	BFS, DFS, Prim, Kruskal, Dijkstra
<b>strings</b>	KMP, regular expressions, tries, data compression
<b>advanced</b>	B-tree, kd-tree, suffix array, maxflow

# Why study algorithms?

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Their impact is broad and far-reaching.

Internet. Web search, packet routing, distributed file sharing, ...

Biology. Human genome project, protein folding, ...

Computers. Circuit layout, file system, compilers, ...

Computer graphics. Movies, video games, virtual reality, ...

Security. Cell phones, e-commerce, voting machines, ...

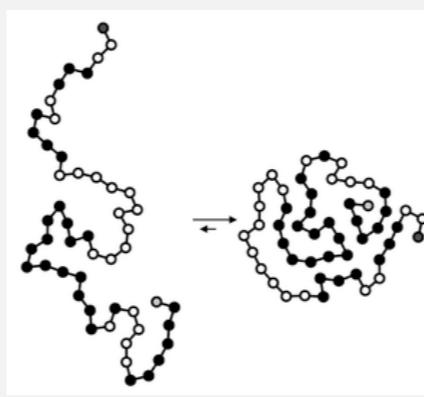
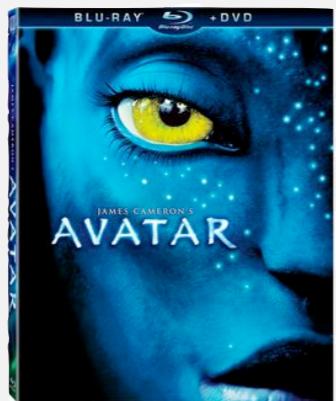
Multimedia. MP3, JPG, DivX, HDTV, face recognition, ...

Social networks. Recommendations, news feeds, advertisements, ...

Physics. N-body simulation, particle collision simulation, ...

:

Google  
YAHOO!  
bing

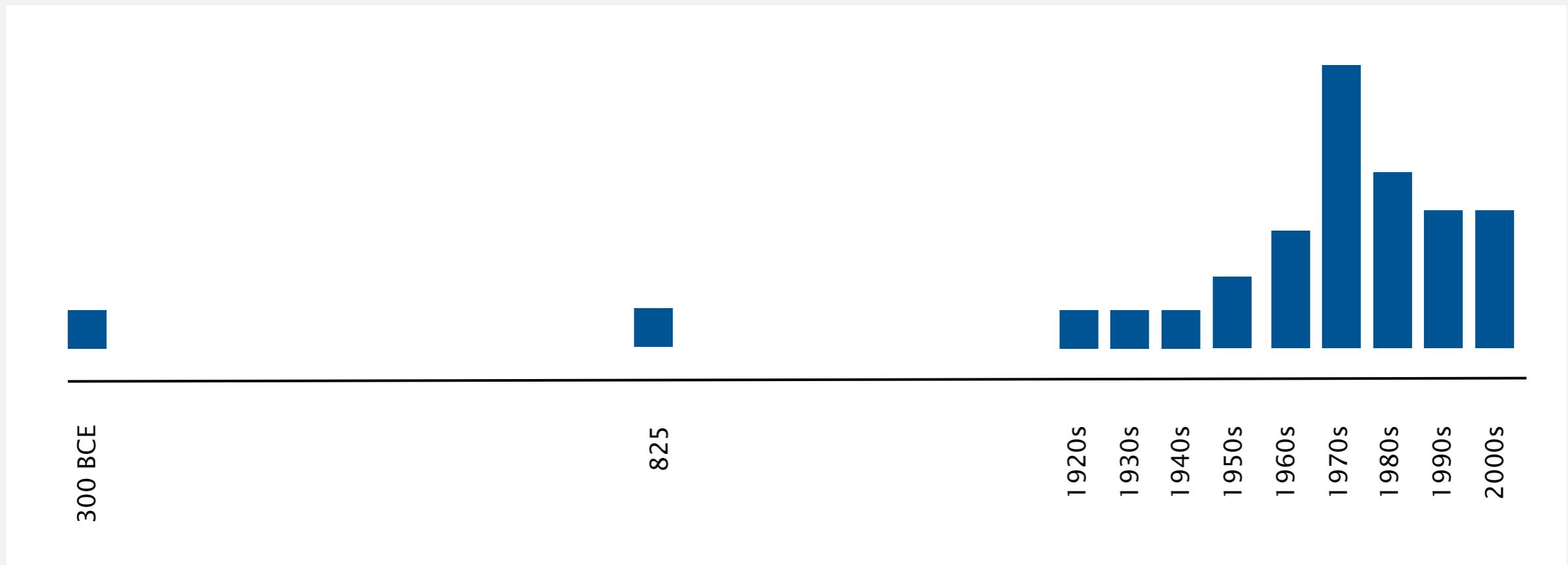


# Why study algorithms?

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## Old roots, new opportunities.

- Study of algorithms dates at least to Euclid.
- Named after Muḥammad ibn Mūsā al-Khwārizmī.
- Formalized by Church and Turing in 1930s.
- Some important algorithms were discovered by undergraduates in a course like this!



# Why study algorithms?

For intellectual stimulation.

*“For me, great algorithms are the poetry of computation. Just like verse, they can be terse, allusive, dense, and even mysterious. But once unlocked, they cast a brilliant new light on some aspect of computing.” — Francis Sullivan*

FROM THE  
EDITORS

## THE JOY OF ALGORITHMS

Francis Sullivan, Associate Editor-in-Chief



THE THEME OF THIS FIRST-OF-THE-CENTURY ISSUE OF COMPUTING IN SCIENCE & ENGINEERING IS ALGORITHMS. IN FACT, WE WERE BOLD ENOUGH—AND PERHAPS FOOLISH ENOUGH—to call the 10 examples we've selected “THE TOP 10 ALGORITHMS OF THE CENTURY.”

Computational algorithms are probably as old as civilization.

But once unlocked, they cast a brilliant new light on some aspect of computing. A colleague recently claimed that he'd written 10 million lines of code for his work in life. And while he could claim that he's been referring to the 15 minutes during which he'd sketched out a fundamental operation of the Internet, I suspect he means something else.

Like so many other things that technology affords, algorithms have advanced in startling and unexpected ways in the last century. In fact, the 10 algorithms we chose for this issue have been essential for progress in communications, health care, manufacturing, economics, and more. The search for ever-faster ways to compute, and thereby progress in these areas has stimulated the search for ever-faster algorithms. I recall one late-night half session on the computer at Bell Labs in the early 1970s. “What's the best algorithm for calculating the value of pi?” “How about the Bailey-Borwein-Plouffe algorithm?” “Is there a way to calculate pi that doesn't involve summing up an infinite series?” “Yes, there is.” “Really? Show me.” “I'll show you.” “Wait, what's the Bailey-Borwein-Plouffe algorithm?” “It's the Bailey-Borwein-Plouffe algorithm.”

After all, they don't look very appealing. After the usual speculations about the observed behavior of six gals, someone pointed out that the algorithm was named after the first person to find a zero. “Really? Show me.”

The flip side to “necessity is the mother of invention” is “unintended consequences.” Our need for powerful machines always exceeds their availability. Each significant computational insight that comes along, it seems, never much helps anyone else. New algorithms are an attempt to bridge the gap between the demand for cycles and the availability of them. Moore's Law is still going strong, but it's not the Moore's Law factor of two every 18 months. In effect, Moore's Law changes the constant in front of the estimate of running time. It's not that the constant is getting smaller, it's that the time does not come along every 1.5 years, but when they do, they can change the exponent of the complexity!

I suspect that in the 21st century, things will be ripe for an acceleration of the rate of progress in the field of computational theory. Questions already arising from quantum computing and problems associated with the generation of random numbers, among others, will spur the development of together theories of computing, logic, and the nature of the physical world.

The new century is not going to be very useful for us, but it is going to be dull either.

COMPUTING IN SCIENCE & ENGINEERING

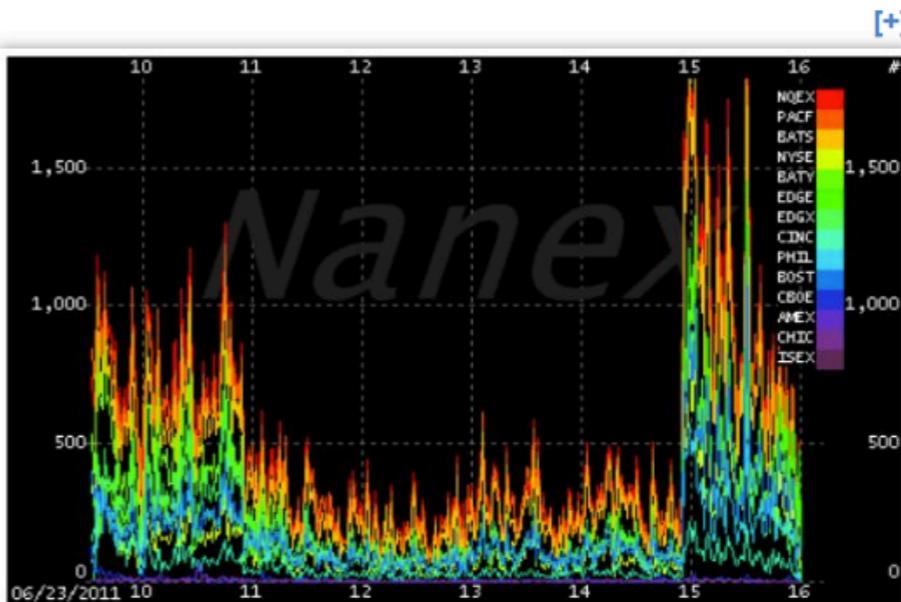
## Mysterious algorithm was 4% of trading activity last week

October 11, 2012

A single mysterious computer program that placed orders — and then subsequently canceled them — made up 4 percent of all quote traffic in the U.S. stock market last week, according to the top tracker of high-frequency trading activity.

The motive of the algorithm is still unclear, CNBC reports.

The program placed orders in 25-millisecond bursts involving about 500 stocks, according to Nanex, a market data firm. The algorithm never executed a single trade, and it abruptly ended at about 10:30 a.m. ET Friday.



Generic high frequency trading chart (credit: Nanex)

# Why study algorithms?

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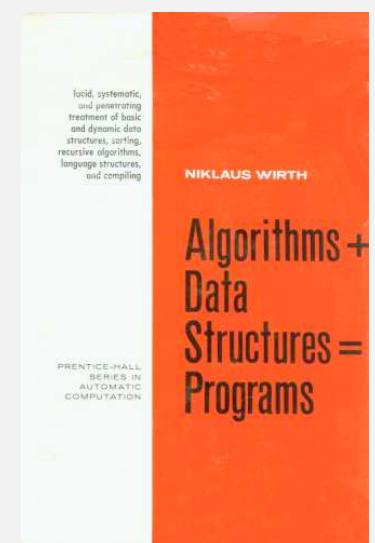
To become a proficient programmer.

*“I will, in fact, claim that the difference between a bad programmer and a good one is whether he considers his code or his data structures more important. Bad programmers worry about the code. Good programmers worry about data structures and their relationships. ”*

— Linus Torvalds (creator of Linux)



*“Algorithms + Data Structures = Programs. ”* — Niklaus Wirth



# Why study algorithms?

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They may unlock the secrets of life and of the universe.

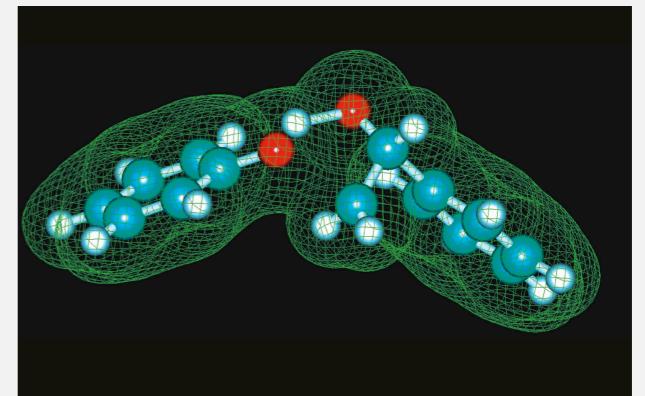
*“ Computer models mirroring real life have become crucial for most advances made in chemistry today.... Today the computer is just as important a tool for chemists as the test tube. ”*

— Royal Swedish Academy of Sciences

(Nobel Prize in Chemistry 2013)



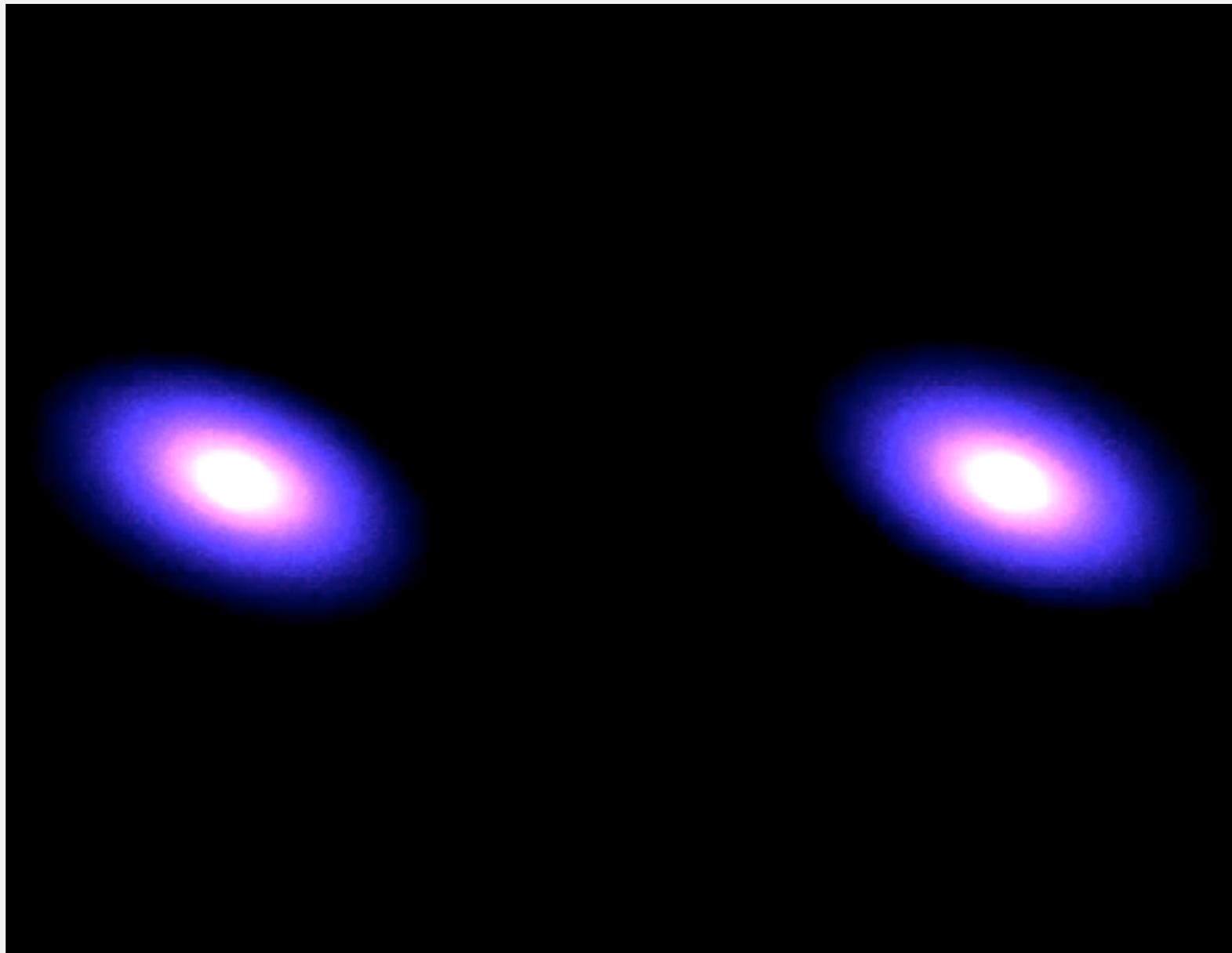
Martin Karplus, Michael Levitt, and Arieh Warshel



# Why study algorithms?

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To solve problems that could not otherwise be addressed.

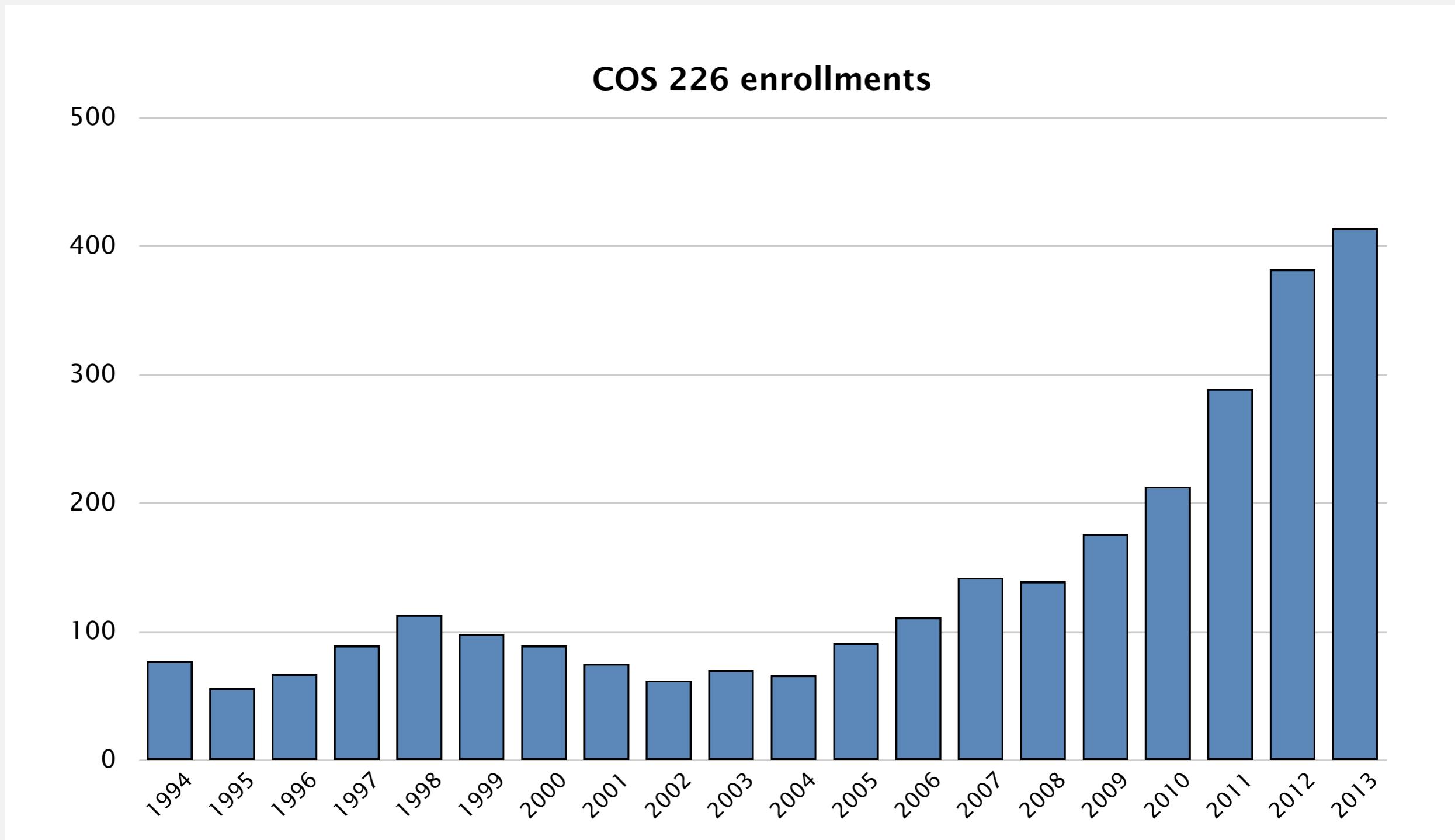


[http://www.youtube.com/watch?v=ua7YIN4eL\\_w](http://www.youtube.com/watch?v=ua7YIN4eL_w)

# Why study algorithms?

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Everybody else is doing it.



# Why study algorithms?

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For fun and profit.



Apple Computer

facebook®

CISCO SYSTEMS



Google™

IBM

Nintendo®

JANE STREET

Morgan Stanley

NETFLIX

Adobe™

RSA  
SECURITY™

D E Shaw & Co

ORACLE®

P  
PANDORA®

Akamai

YAHOO!

amazon.com

Microsoft®

P I X A R  
ANIMATION STUDIOS

# Why study algorithms?

---

- Their impact is broad and far-reaching.
- Old roots, new opportunities.
- For intellectual stimulation.
- To become a proficient programmer.
- They may unlock the secrets of life and of the universe.
- To solve problems that could not otherwise be addressed.
- Everybody else is doing it.
- For fun and profit.

**Why study anything else?**



# Lectures

---

Traditional lectures. Introduce new material.

Electronic devices. Permitted, but only to enhance lecture.



no



no



no

What	When	Where	Who	Office Hours
L01	TTh 11-12:20	Friend 101	Kevin Wayne	see web

# Lectures

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Traditional lectures. Introduce new material.

## Flipped lectures.

- Watch videos online **before** lecture.
- Complete pre-lecture activities.
- Attend two "flipped" lecture per week (interactive, collaborative, experimental).
- Apply via web ASAP: results by 5pm today.



What	When	Where	Who	Office Hours
L01	TTh 11-12:20	Friend 101	Kevin Wayne	see web
L02	TTh 11-12:20	Sherred 001	Andy Guna	see web

# Precepts

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Discussion, problem-solving, background for assignments.

What	When	Where	Who	Office Hours
P01	F 9–9:50am	Friend 108	Andy Guna †	see web
P02	F 10–10:50am	Friend 108	Jérémie Lumbroso	see web
P03	F 11–11:50am	Friend 109	Joshua Wetzel	see web
P03A	F 11–11:50am	Friend 108	Jérémie Lumbroso	see web
P04	F 12:30–1:20pm	Friend 108	Robert MacDavid	see web
P04A	F 12:30–1:20pm	Friend 109	Shivam Agarwal	see web

† lead preceptor

# Coursework and grading

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## Programming assignments. 45%

- Due on Wednesday at 11pm via electronic submission.
- Collaboration/lateness policies: see web.

## Exercises. 10%

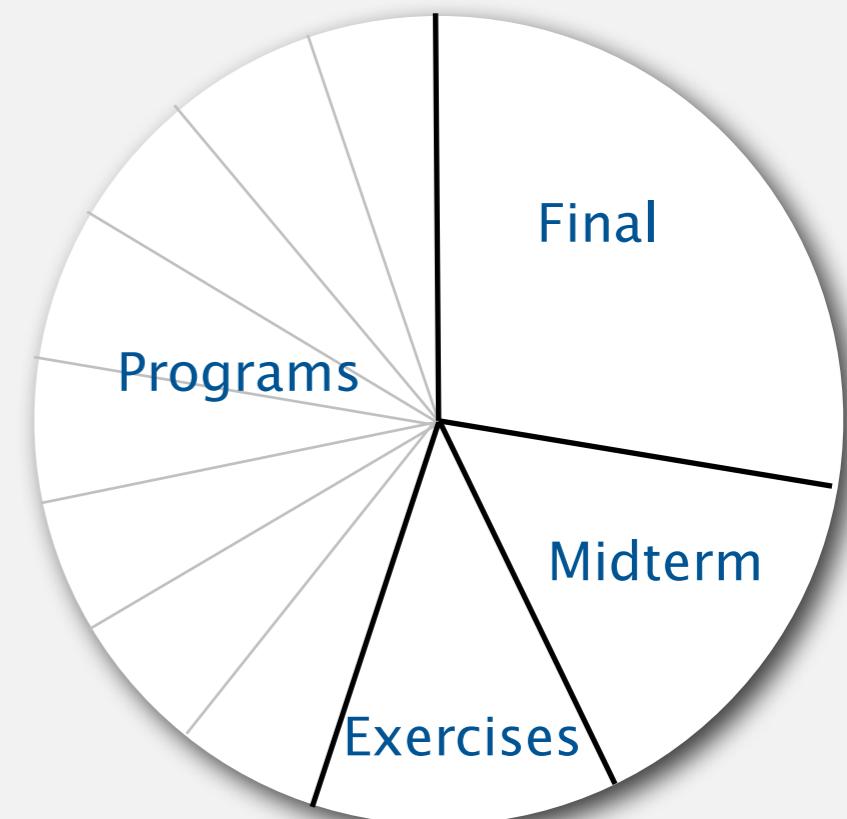
- Due on Sundays at 11pm in Blackboard.
- Collaboration/lateness policies: see web.

## Exams. 15% + 30%

- Midterm (in class on Tuesday, October 21).
- Final (to be scheduled by Registrar).

## Staff discretion. [adjust borderline cases]

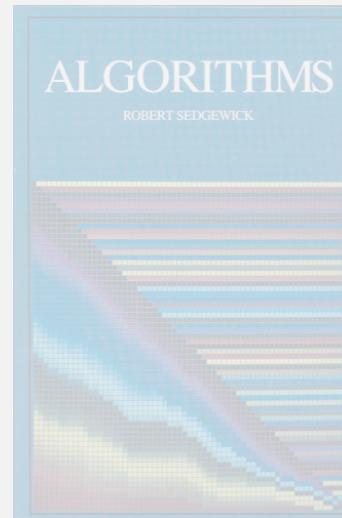
- Report errata.
- Contribute to Piazza discussion forum.
- Attend and participate in precept/lecture.



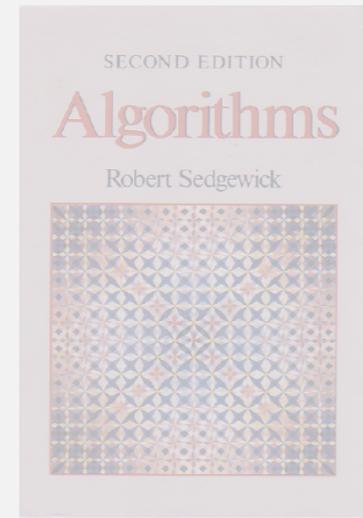
# Resources (textbook)

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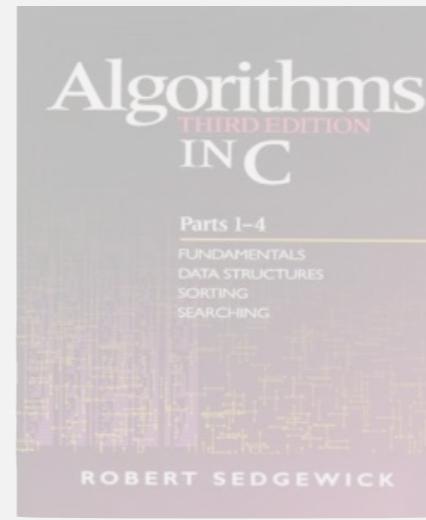
**Required reading.** Algorithms 4<sup>th</sup> edition by R. Sedgewick and K. Wayne, Addison-Wesley Professional, 2011, ISBN 0-321-57351-X.



1<sup>st</sup> edition (1982)

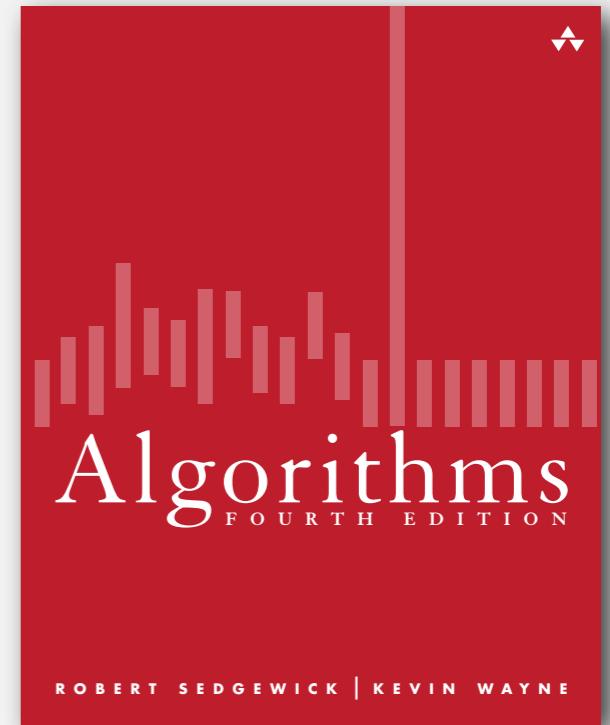


2<sup>nd</sup> edition (1988)



3<sup>rd</sup> edition (1997)

3<sup>rd</sup> book scanned  
by Google books



4<sup>th</sup> edition (2011)

**Available in hardcover and Kindle.**

- Online: Amazon (\$60/\$35 to buy), Chegg (\$25 to rent), ...
- Brick-and-mortar: Labyrinth Books (122 Nassau St.).
- On reserve: Engineering library.

# Resources (web)

## Course content.

- Course info.
- Lecture slides.
- Flipped lectures.
- Programming assignments.
- Exercises.
- Exam archive.

 PRINCETON  
UNIVERSITY

**COMPUTER SCIENCE 226**  
**ALGORITHMS AND DATA**  
**STRUCTURES**  
**FALL 2014**

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[Course Information](#) | [Lectures](#) | [Flipped](#) | [Assignments](#) | [Exercises](#) | [Exams](#)

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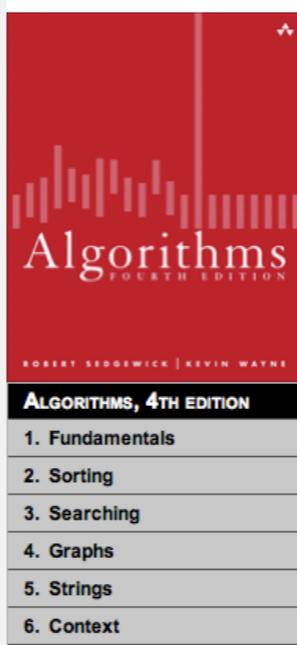
**COURSE INFORMATION**

**Description.** This course surveys the most important algorithms and data structures in use on computers today. Particular emphasis is given to algorithms for sorting, searching, and string processing. Fundamental algorithms in a number of other areas are covered as well, including geometric and graph algorithms. The course will concentrate on developing implementations, understanding their performance characteristics, and estimating their potential effectiveness in applications.

<http://www.princeton.edu/~cos226>

## Booksite.

- Brief summary of content.
- Download code from book.
- APIs and Javadoc.



**ALGORITHMS, 4TH EDITION**

*essential information that every serious programmer needs to know about algorithms and data structures*

**Textbook.** The textbook *Algorithms, 4th Edition* by Robert Sedgewick and Kevin Wayne [ [Amazon](#) · [Addison-Wesley](#) ] surveys the most important algorithms and data structures in use today. The textbook is organized into six chapters:

- *Chapter 1: Fundamentals* introduces a scientific and engineering basis for comparing algorithms and making predictions. It also includes our programming model.
- *Chapter 2: Sorting* considers several classic sorting algorithms, including insertion sort, mergesort, and quicksort. It also includes a binary heap implementation of a priority queue.
- *Chapter 3: Searching* describes several classic symbol table implementations, including binary search trees, red-black trees, and hash tables.

<http://algs4.cs.princeton.edu>

# Resources (people)

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## Piazza discussion forum.

- Low latency, low bandwidth.
- Mark solution-revealing questions as private.



<http://piazza.com/princeton/fall2014/cos226>

## Office hours.

- High bandwidth, high latency.
- See web for schedule.



<http://www.princeton.edu/~cos226>

## Computing laboratory.

- Undergrad lab TAs.
- For help with debugging.
- See web for schedule.



<http://labta.cs.princeton.edu>

# What's ahead?

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Today. Attend traditional lecture (everyone).

Tomorrow. Attend precept (everyone).

FOR i = 1 to N

Sunday: exercises due (via Bb submission).

Tuesday: traditional/flipped lecture.

Wednesday: programming assignment due.

Thursday: traditional/flipped lecture.

Friday: precept.



protip: start early



## Q+A

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Not registered? Go to any precept tomorrow.

Change precept? Use SCORE.

All possible precepts closed? See Colleen Kenny-McGinley in CS 210.

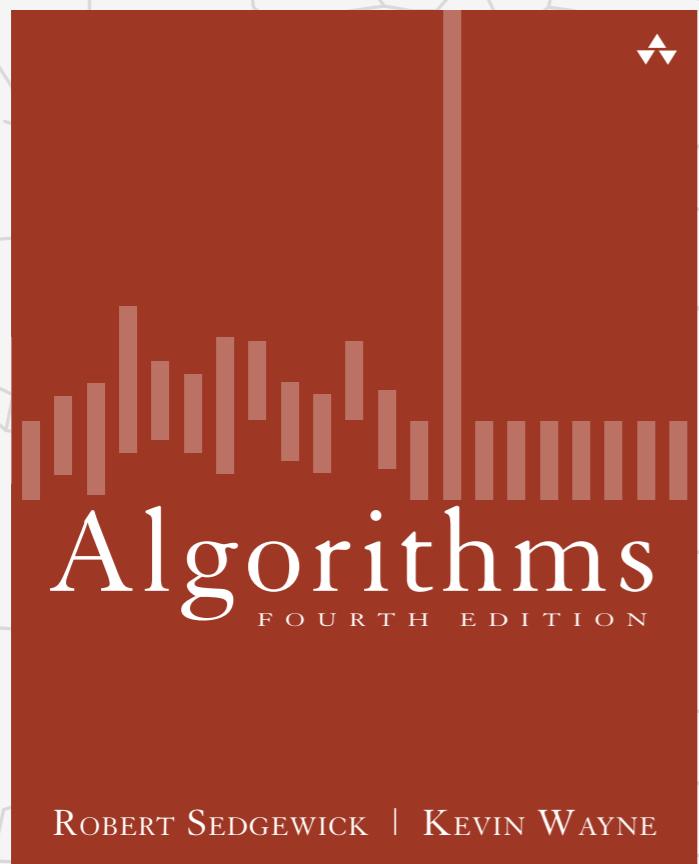
Haven't taken COS 126? See COS placement officer.

Placed out of COS 126? Review Sections 1.1–1.2 of Algorithms 4/e.



# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE



ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.5 UNION-FIND

---

- ▶ *dynamic connectivity*
- ▶ *quick find*
- ▶ *quick union*
- ▶ *improvements*
- ▶ *applications*

# **Subtext of today's lecture (and this course)**

---

## **Steps to developing a usable algorithm.**

- Model the problem.
- Find an algorithm to solve it.
- Fast enough? Fits in memory?
- If not, figure out why not.
- Find a way to address the problem.
- Iterate until satisfied.

## **The scientific method.**

## **Mathematical analysis.**

# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.5 UNION-FIND

---

- ▶ *dynamic connectivity*
- ▶ *quick find*
- ▶ *quick union*
- ▶ *improvements*
- ▶ *applications*

# Dynamic connectivity problem

---

Given a set of N elements, support two operation:

- Connect two elements.
- Is there a path connecting the two elements?

*connect 4 and 3*

*connect 3 and 8*

*connect 6 and 5*

*connect 9 and 4*

*connect 2 and 1*

*are 0 and 7 connected?    ✗*

*are 8 and 9 connected?    ✓*

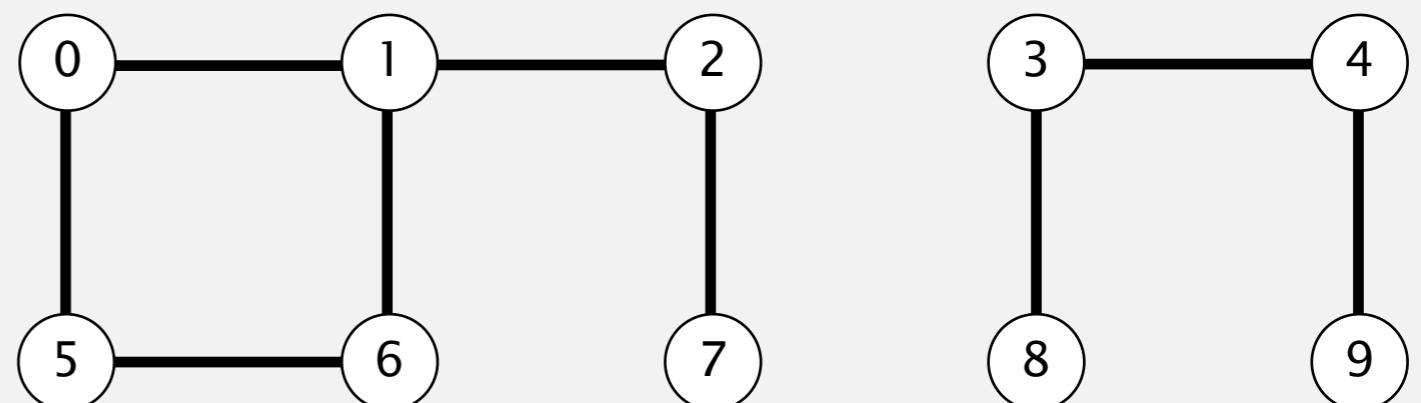
*connect 5 and 0*

*connect 7 and 2*

*connect 6 and 1*

*connect 1 and 0*

*are 0 and 7 connected?    ✓*

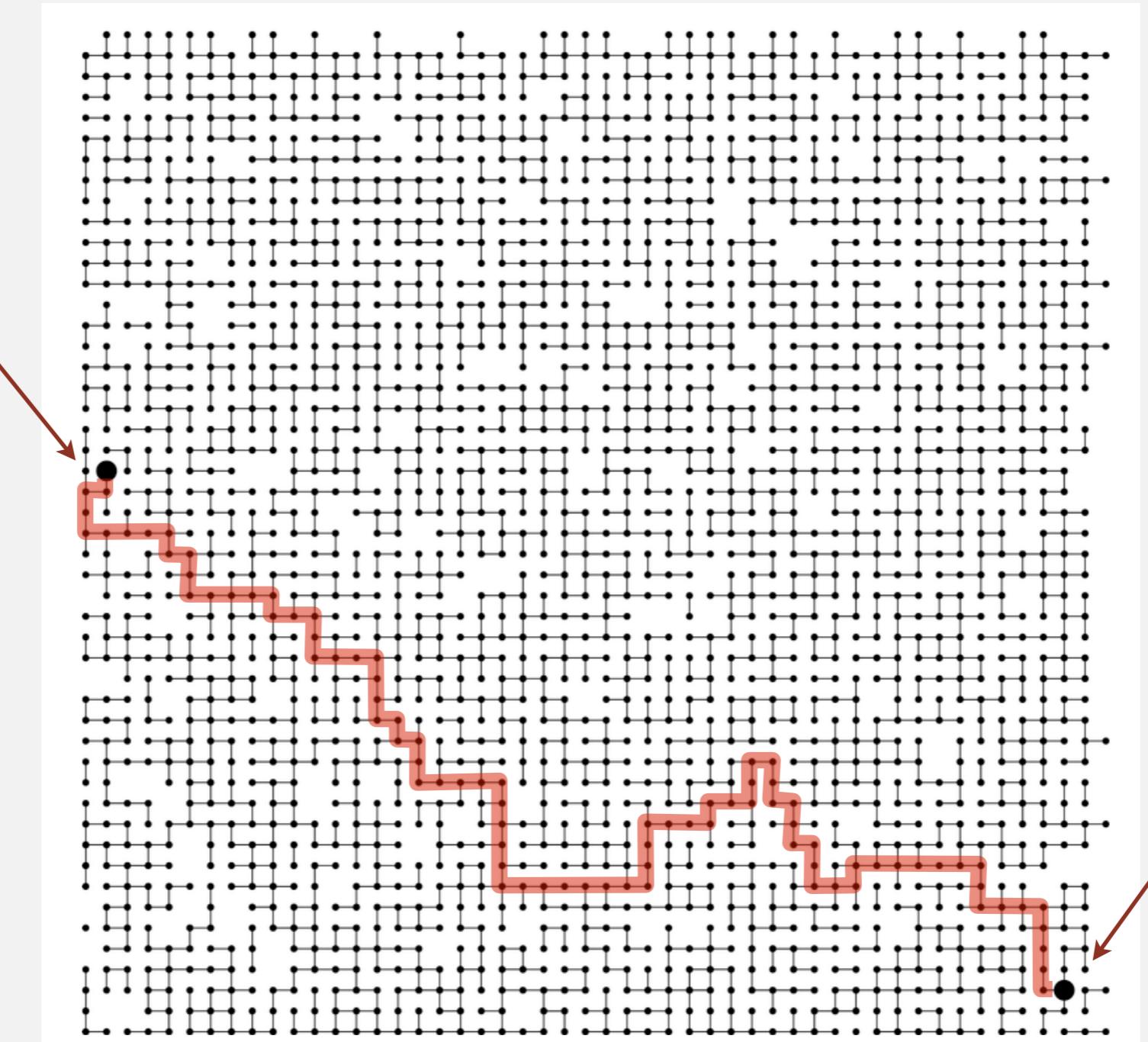


# A larger connectivity example

---

Q. Is there a path connecting elements  $p$  and  $q$ ?

finding the path explicitly is a harder problem  
(stay tuned for graph algorithms)



A. Yes.

# Modeling the elements

---

Applications involve manipulating elements of all types.

- Pixels in a digital photo.
- Computers in a network.
- Friends in a social network.
- Transistors in a computer chip.
- Elements in a mathematical set.
- Variable names in a Fortran program.
- Metallic sites in a composite system.

When programming, convenient to name elements 0 to  $N - 1$ .

- Use integers as array index.
- Suppress details not relevant to union-find.



can use symbol table to translate from site names to integers: stay tuned (Chapter 3)

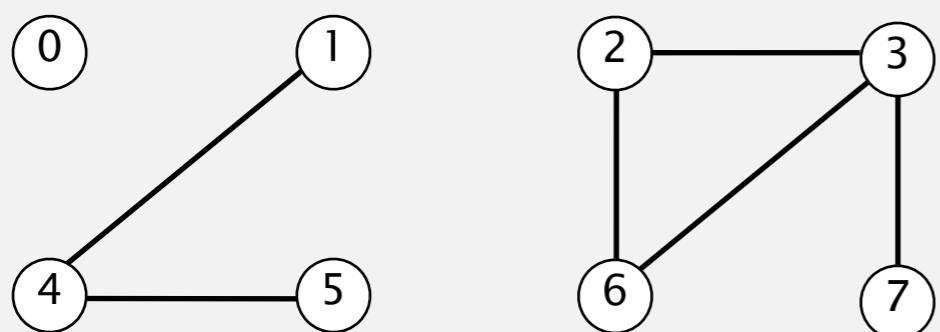
# Modeling the connections

---

We assume "is connected to" is an equivalence relation:

- Reflexive:  $p$  is connected to  $p$ .
- Symmetric: if  $p$  is connected to  $q$ , then  $q$  is connected to  $p$ .
- Transitive: if  $p$  is connected to  $q$  and  $q$  is connected to  $r$ ,  
then  $p$  is connected to  $r$ .

**Connected component.** Maximal set of elements that are mutually connected.



{ 0 } { 1 4 5 } { 2 3 6 7 }

3 connected components

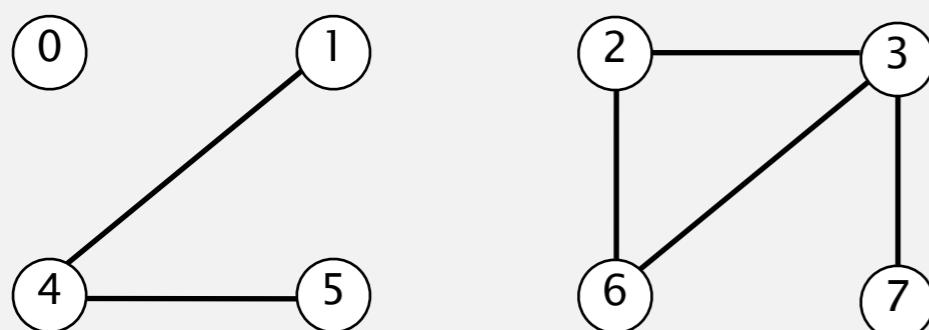
# Implementing the operations

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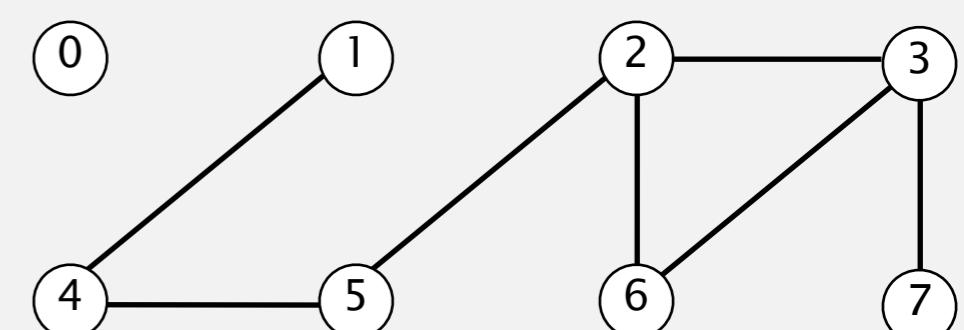
Find. In which component is element  $p$ ?

Connected. Are elements  $p$  and  $q$  in the same component?

Union. Replace components containing  $p$  and  $q$  with their union.



union(2, 5)  
→



{ 0 } { 1 4 5 } { 2 3 6 7 }  
3 connected components

{ 0 } { 1 2 3 4 5 6 7 }  
2 connected components

# Union-find data type (API)

---

**Goal.** Design efficient data structure for union-find.

- Number of elements  $N$  can be huge.
- Number of operations  $M$  can be huge.
- Union and find operations can be intermixed.

```
public class UF
```

```
UF(int N)
```

*initialize union-find data structure  
with  $N$  singleton elements (0 to  $N - 1$ )*

```
void union(int p, int q)
```

*add connection between  $p$  and  $q$*

```
int find(int p)
```

*component identifier for  $p$  (0 to  $N - 1$ )*

```
boolean connected(int p, int q)
```

*are  $p$  and  $q$  in the same component?*

```
public boolean connected(int p, int q)  
{ return find(p) == find(q); }
```

**1-line implementation of connected()**

# Dynamic-connectivity client

- Read in number of elements  $N$  from standard input.
- Repeat:
  - read in pair of integers from standard input
  - if they are not yet connected, connect them and print out pair

```
public static void main(String[] args)
{
    int N = StdIn.readInt();
    UF uf = new UF(N);
    while (!StdIn.isEmpty())
    {
        int p = StdIn.readInt();
        int q = StdIn.readInt();
        if (!uf.connected(p, q))
        {
            uf.union(p, q);
            StdOut.println(p + " " + q);
        }
    }
}
```

% more tinyUF.txt

10	
4	3
3	8
6	5
9	4
2	1
8	9
5	0
7	2
6	1
1	0
6	7

already connected

```
graph LR; 0 --- 1; 1 --- 0; 2 --- 1; 3 --- 8; 4 --- 9; 5 --- 0; 6 --- 1; 6 --- 7; 7 --- 2; 8 --- 9; 9 --- 4; 5 --- 6; 6 --- 5;
```

# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.5 UNION-FIND

---

- ▶ *dynamic connectivity*
- ▶ *quick find*
- ▶ *quick union*
- ▶ *improvements*
- ▶ *applications*

# Quick-find [eager approach]

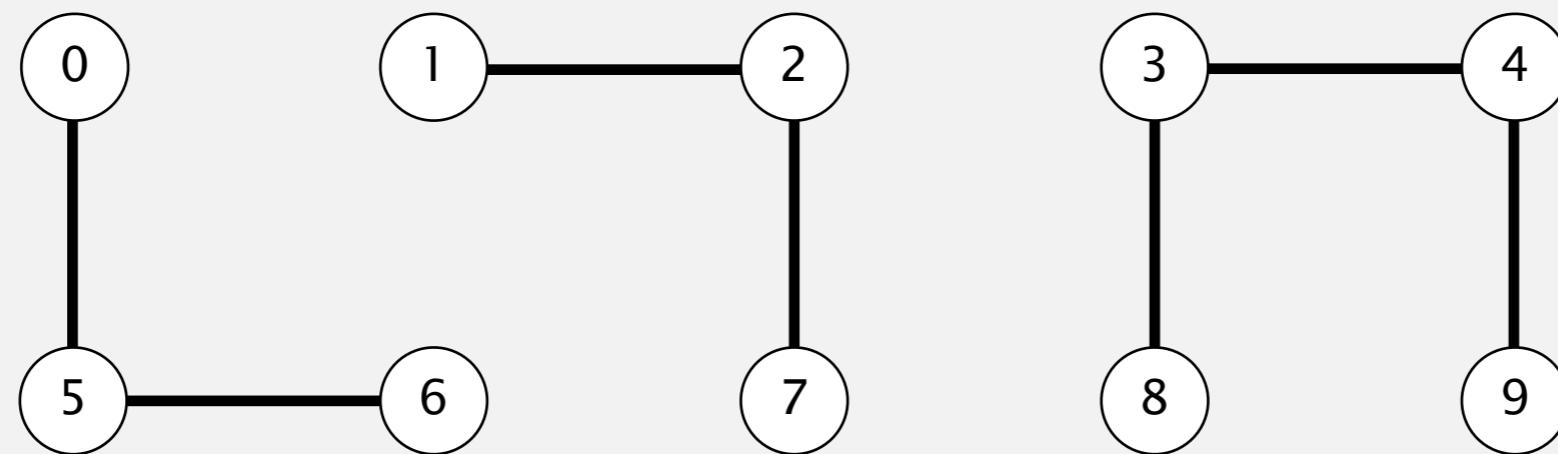
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## Data structure.

- Integer array  $\text{id}[]$  of length  $N$ .
- Interpretation:  $\text{id}[p]$  is the id of the component containing  $p$ .

	0	1	2	3	4	5	6	7	8	9
$\text{id}[]$	0	1	1	8	8	0	0	1	8	8

0, 5 and 6 are connected  
1, 2, and 7 are connected  
3, 4, 8, and 9 are connected



# Quick-find [eager approach]

---

## Data structure.

- Integer array  $\text{id}[]$  of length  $N$ .
- Interpretation:  $\text{id}[p]$  is the id of the component containing  $p$ .

	0	1	2	3	4	5	6	7	8	9
$\text{id}[]$	0	1	1	8	8	0	0	1	8	8

Find. What is the id of  $p$ ?

$\text{id}[6] = 0; \text{id}[1] = 1$   
6 and 1 are not connected

Connected. Do  $p$  and  $q$  have the same id?

Union. To merge components containing  $p$  and  $q$ , change all entries whose id equals  $\text{id}[p]$  to  $\text{id}[q]$ .

	0	1	2	3	4	5	6	7	8	9
$\text{id}[]$	1	1	1	8	8	1	1	1	8	8

problem: many values can change

after union of 6 and 1

# Quick-find demo

---



0

1

2

3

4

5

6

7

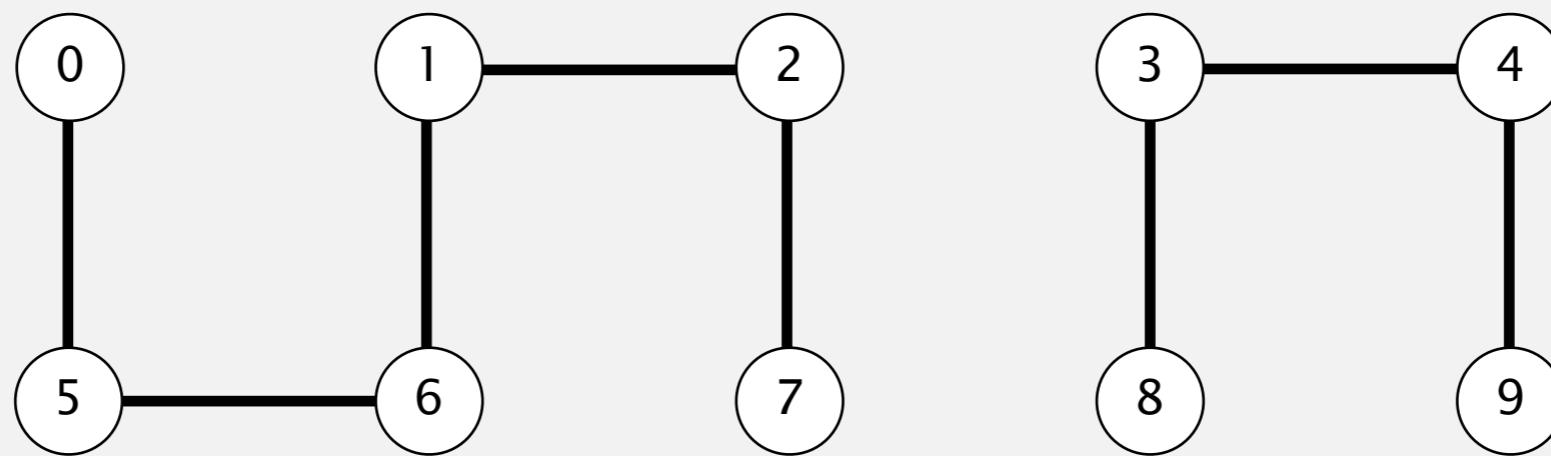
8

9

	0	1	2	3	4	5	6	7	8	9
<b>id[]</b>	0	1	2	3	4	5	6	7	8	9

# Quick-find demo

---



	0	1	2	3	4	5	6	7	8	9
<b>id[]</b>	1	1	1	8	8	1	1	1	8	8

# Quick-find: Java implementation

```
public class QuickFindUF
{
    private int[] id;
```

```
public QuickFindUF(int N)
{
```

```
    id = new int[N];
    for (int i = 0; i < N; i++)
        id[i] = i;
```

```
}
```

set id of each element to itself  
( $N$  array accesses)

```
public int find(int p)
{    return id[p]; }
```

return the id of p  
(1 array access)

```
public void union(int p, int q)
{
```

```
    int pid = id[p];
    int qid = id[q];
    for (int i = 0; i < id.length; i++)
        if (id[i] == pid) id[i] = qid;
```

```
}
```

change all entries with  $\text{id}[p]$  to  $\text{id}[q]$   
(at most  $2N + 2$  array accesses)

## Quick-find is too slow

---

Cost model. Number of array accesses (for read or write).

algorithm	initialize	union	find	connected
quick-find	N	N	1	1

order of growth of number of array accesses

Union is too expensive. It takes  $N^2$  array accesses to process a sequence of  $N$  union operations on  $N$  elements.

quadratic

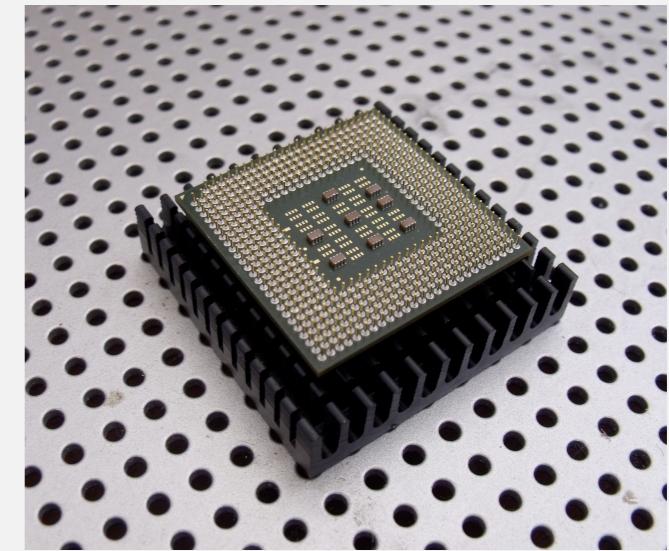


# Quadratic algorithms do not scale

Rough standard (for now).

- $10^9$  operations per second.
- $10^9$  words of main memory.
- Touch all words in approximately 1 second.

a truism (roughly  
since 1950!)

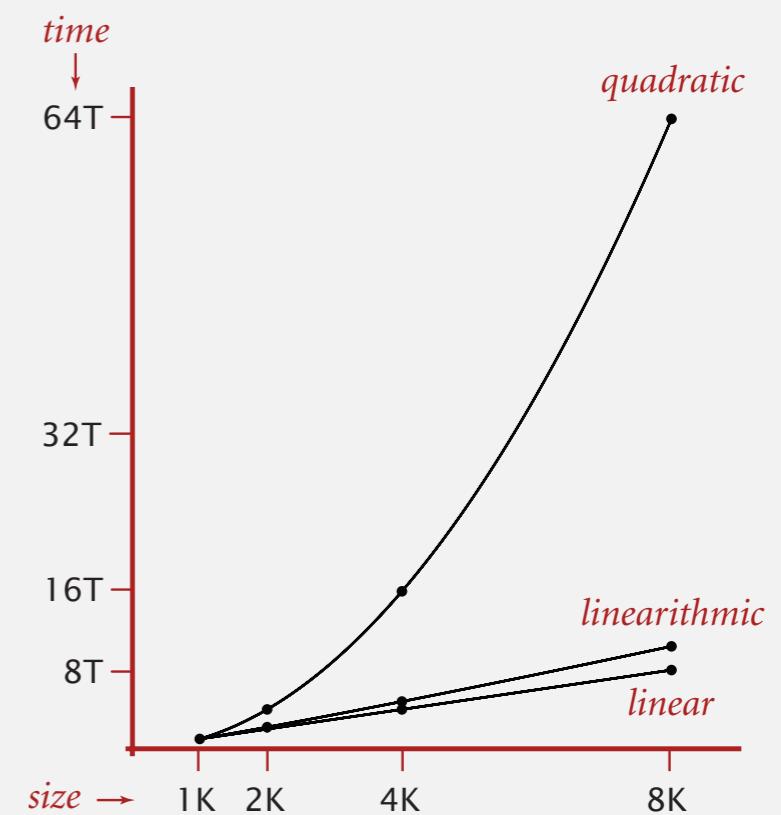


Ex. Huge problem for quick-find.

- $10^9$  union commands on  $10^9$  elements.
- Quick-find takes more than  $10^{18}$  operations.
- 30+ years of computer time!

Quadratic algorithms don't scale with technology.

- New computer may be 10x as fast.
- But, has 10x as much memory ⇒ want to solve a problem that is 10x as big.
- With quadratic algorithm, takes 10x as long!



# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.5 UNION-FIND

---

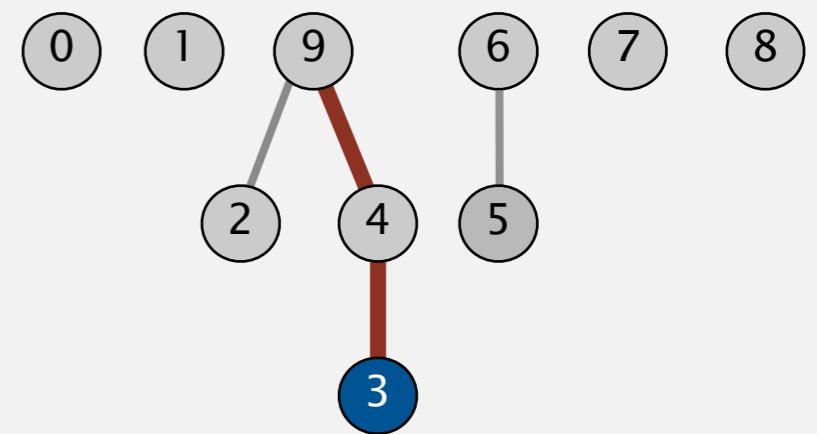
- ▶ *dynamic connectivity*
- ▶ *quick find*
- ▶ *quick union*
- ▶ *improvements*
- ▶ *applications*

# Quick-union [lazy approach]

## Data structure.

- Integer array `id[]` of length  $N$ .
- Interpretation: `id[i]` is parent of  $i$ . keep going until it doesn't change  
(algorithm ensures no cycles)
- Root of  $i$  is `id[id[id[...id[i]...]]]`.

	0	1	2	3	4	5	6	7	8	9
<code>id[]</code>	0	1	9	4	9	6	6	7	8	9



parent of 3 is 4

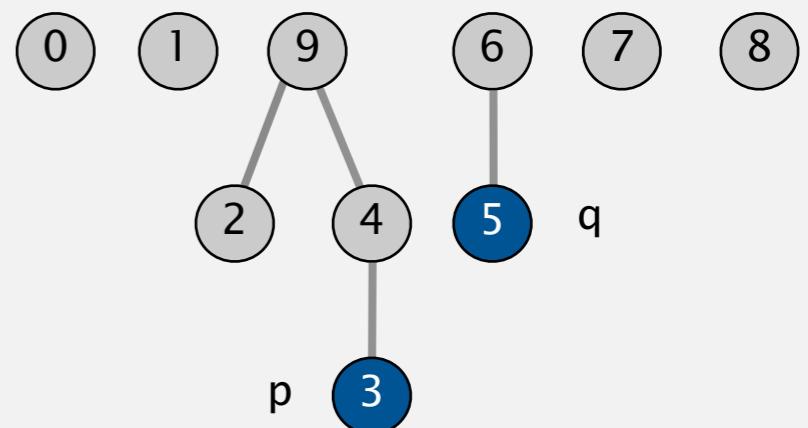
root of 3 is 9

# Quick-union [lazy approach]

## Data structure.

- Integer array  $\text{id}[]$  of length  $N$ .
- Interpretation:  $\text{id}[i]$  is parent of  $i$ .
- Root of  $i$  is  $\text{id}[\text{id}[\text{id}[\dots \text{id}[i]\dots]]]$ .

0	1	2	3	4	5	6	7	8	9	
$\text{id}[]$	0	1	9	4	9	6	6	7	8	9



Find. What is the root of  $p$ ?

Connected. Do  $p$  and  $q$  have the same root?

root of 3 is 9

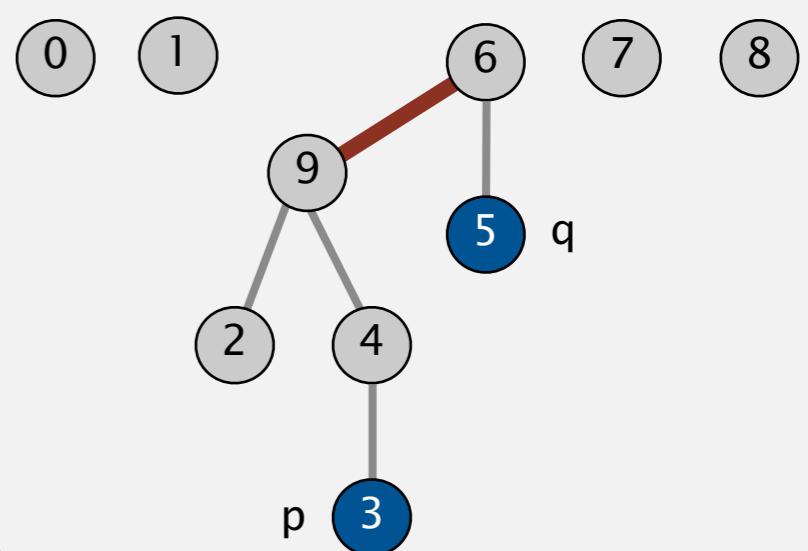
root of 5 is 6

3 and 5 are not connected

Union. To merge components containing  $p$  and  $q$ , set the id of  $p$ 's root to the id of  $q$ 's root.

0	1	2	3	4	5	6	7	8	9	
$\text{id}[]$	0	1	9	4	9	6	6	7	8	6

↑  
only one value changes



# Quick-union demo

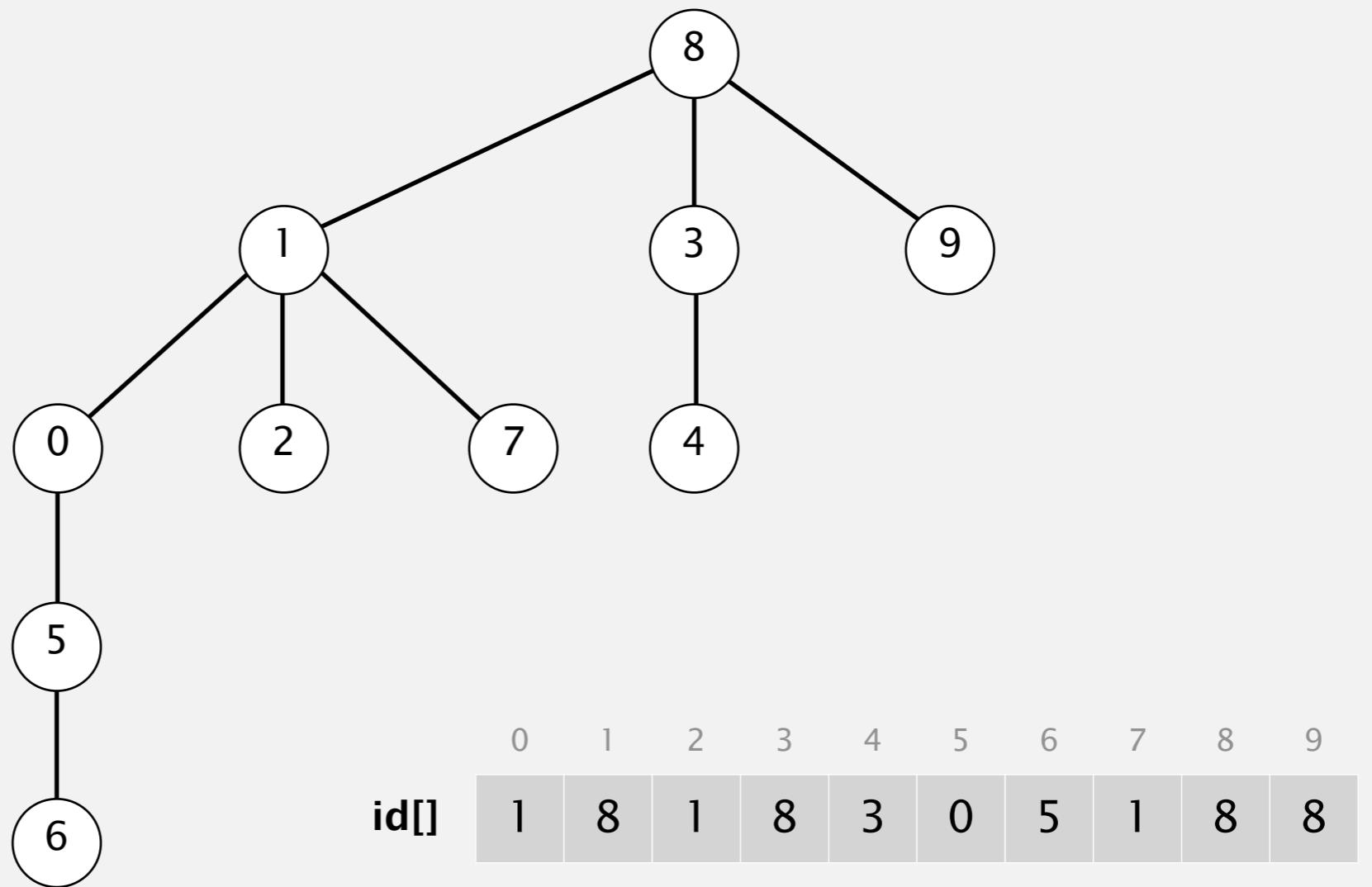
---



	0	1	2	3	4	5	6	7	8	9
<b>id[]</b>	0	1	2	3	4	5	6	7	8	9

# Quick-union demo

---



# Quick-union: Java implementation

---

```
public class QuickUnionUF
{
```

```
    private int[] id;
```

```
    public QuickUnionUF(int N)
```

```
    {
```

```
        id = new int[N];
```

```
        for (int i = 0; i < N; i++) id[i] = i;
```

```
}
```

```
    public int find(int p)
```

```
    {
```

```
        while (p != id[p]) p = id[p];
```

```
        return p;
```

```
}
```

```
    public void union(int p, int q)
```

```
    {
```

```
        int i = find(p);
```

```
        int j = find(q);
```

```
        id[i] = j;
```

```
}
```

```
}
```

set id of each element to  
itself  
(N array accesses)

chase parent pointers until reach root  
(depth of p array accesses)

change root of p to point to root of q  
(depth of p and q array accesses)

# Quick-union is also too slow

---

Cost model. Number of array accesses (for read or write).

algorithm	initialize	union	find	connected
<b>quick-find</b>	N	N	1	1
<b>quick-union</b>	N	N <sup>†</sup>	N	N

<sup>†</sup> includes cost of finding two roots

← worst case

## Quick-find defect.

- Union too expensive ( $N$  array accesses).
- Trees are flat, but too expensive to keep them flat.

## Quick-union defect.

- Trees can get tall.
- Find/connected too expensive (could be  $N$  array accesses).

# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.5 UNION-FIND

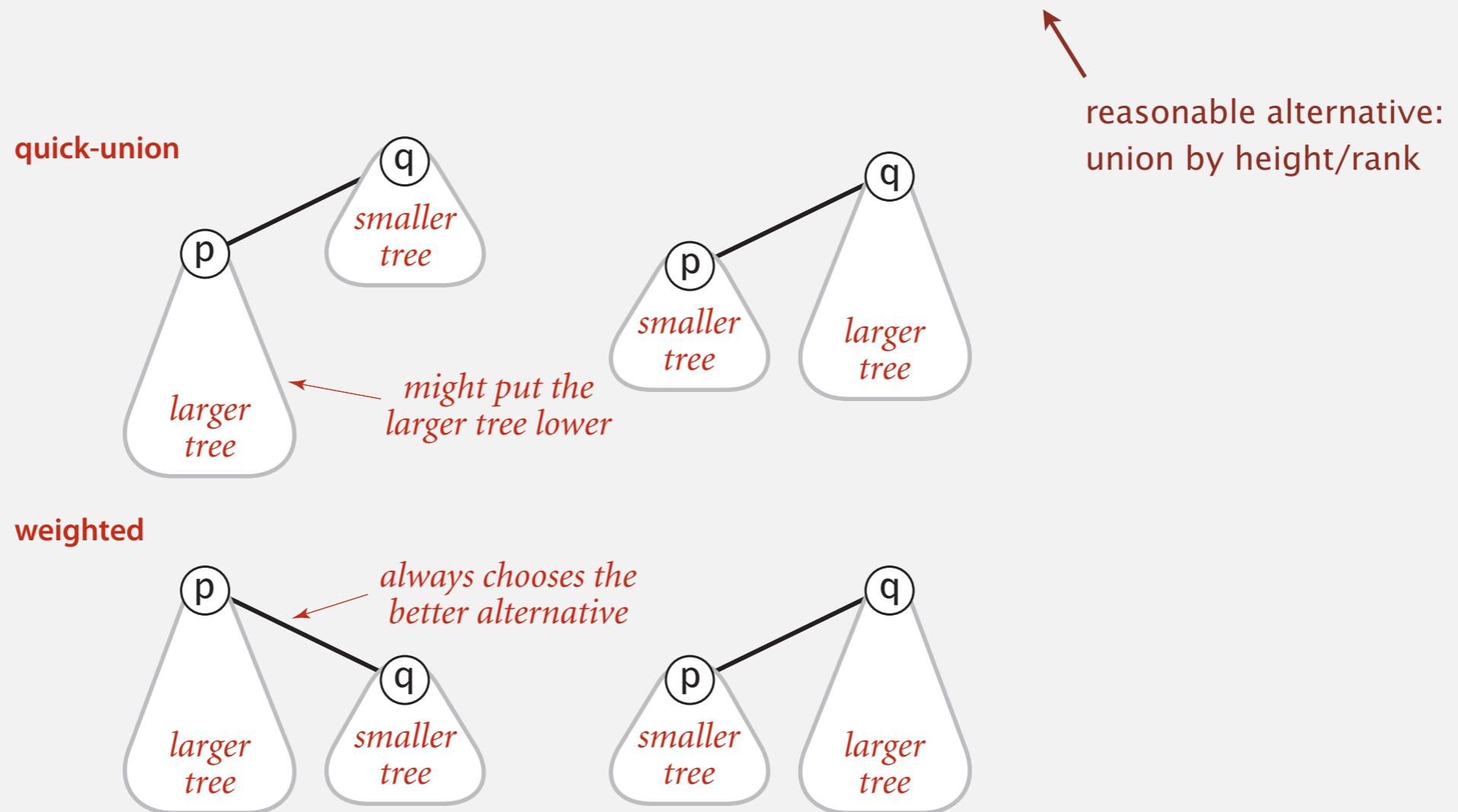
---

- ▶ *dynamic connectivity*
- ▶ *quick find*
- ▶ *quick union*
- ▶ ***improvements***
- ▶ *applications*

# Improvement 1: weighting

## Weighted quick-union.

- Modify quick-union to avoid tall trees.
- Keep track of size of each tree (number of elements).
- Balance by linking root of smaller tree to root of larger tree.



# Weighted quick-union demo

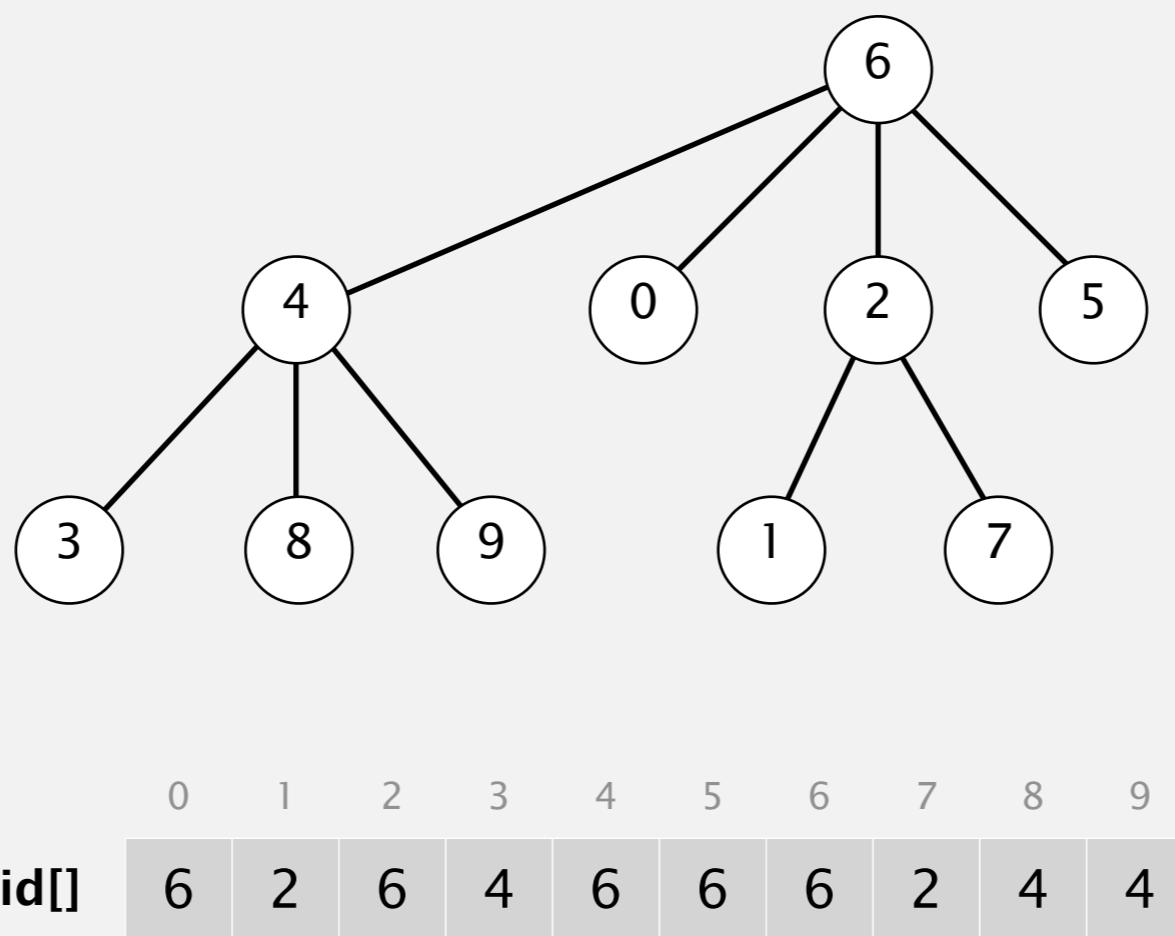
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	0	1	2	3	4	5	6	7	8	9
<b>id[]</b>	0	1	2	3	4	5	6	7	8	9

# Weighted quick-union demo

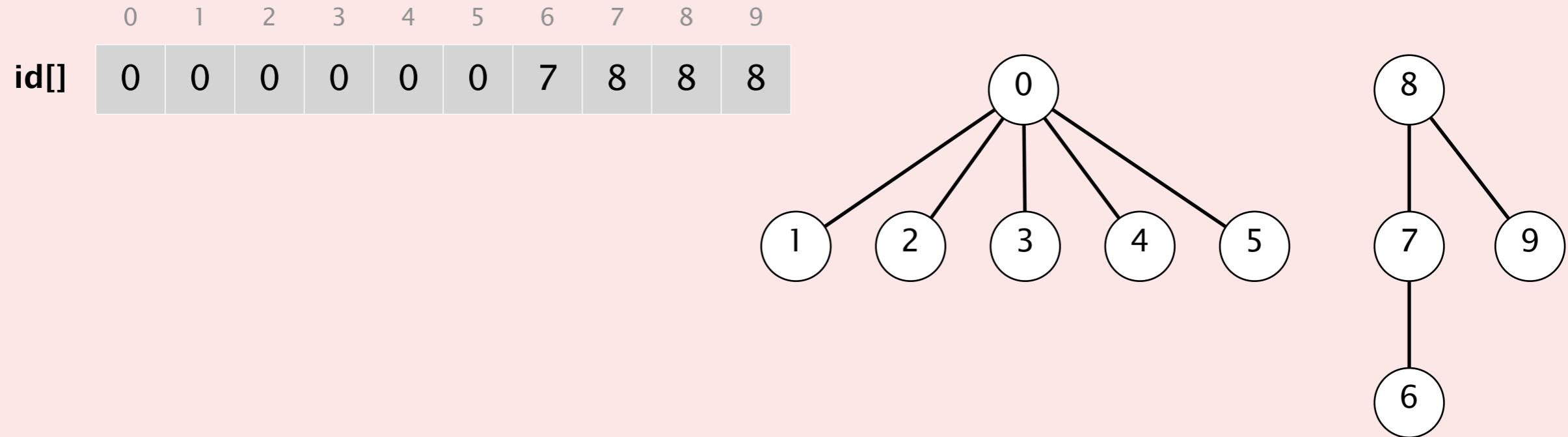
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# Weighted quick-union quiz

---

Suppose that the `id[]` array during weighted quick union is:



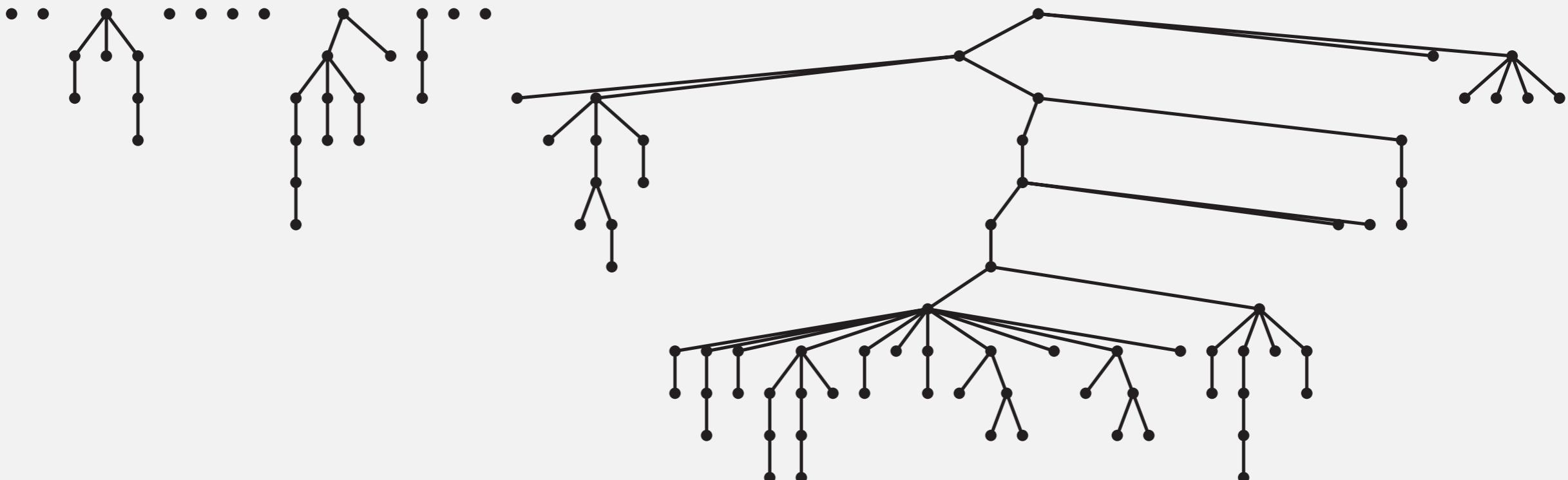
Which `id[]` entry changes when we apply the union operation to 2 and 6?

- A. `id[0]`
- B. `id[2]`
- C. `id[6]`
- D. `id[8]`

# Quick-union and weighted quick-union example

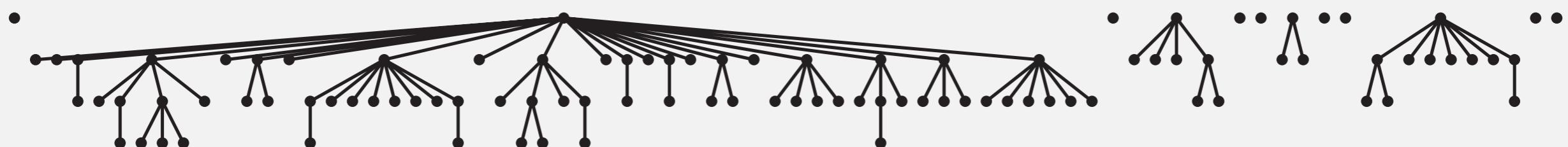
---

quick-union



*average distance to root: 5.11*

weighted



*average distance to root: 1.52*

Quick-union and weighted quick-union (100 sites, 88 union() operations)

## Weighted quick-union: Java implementation

---

**Data structure.** Same as quick-union, but maintain extra array  $sz[i]$  to count number of elements in the tree rooted at  $i$ , initially 1.

**Find/connected.** Identical to quick-union.

**Union.** Modify quick-union to:

- Link root of smaller tree to root of larger tree.
- Update the  $sz[]$  array.

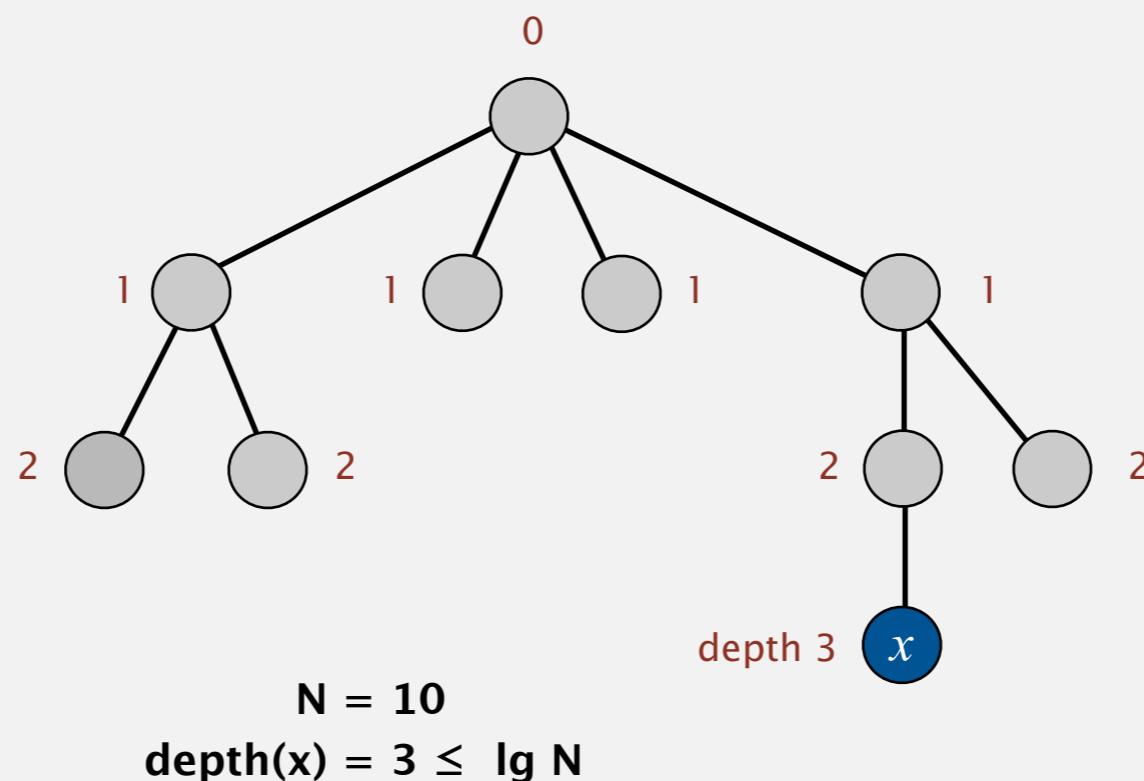
```
int i = find(p);
int j = find(q);
if (i == j) return;
if (sz[i] < sz[j]) { id[i] = j; sz[j] += sz[i]; }
else                  { id[j] = i; sz[i] += sz[j]; }
```

# Weighted quick-union analysis

## Running time.

- Find: takes time proportional to depth of  $p$ .
- Union: takes constant time, given two roots.

Proposition. Depth of any node  $x$  is at most  $\lg N$ . ← in computer science,  
lg means base-2 logarithm



# Weighted quick-union analysis

## Running time.

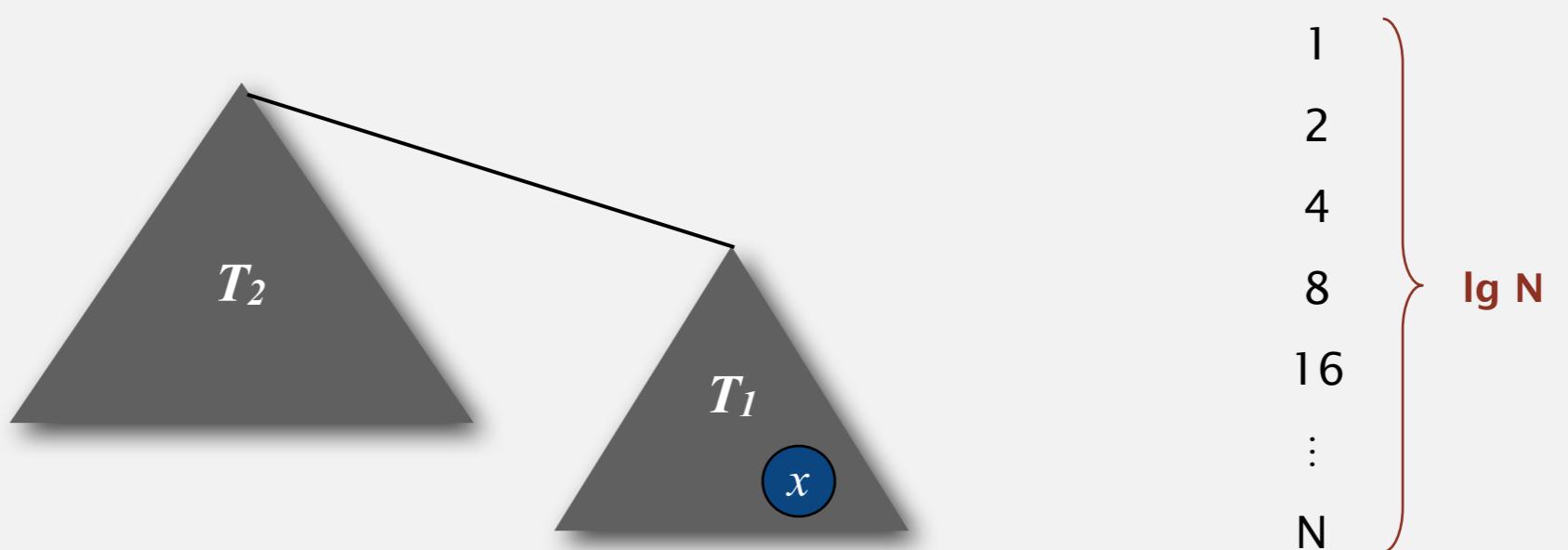
- Find: takes time proportional to depth of  $p$ .
- Union: takes constant time, given two roots.

**Proposition.** Depth of any node  $x$  is at most  $\lg N$ . ← in computer science,  
lg means base-2 logarithm

**Pf.** What causes the depth of element  $x$  to increase?

Increases by 1 when tree  $T_1$  containing  $x$  is merged into another tree  $T_2$ .

- The size of the tree containing  $x$  at least doubles since  $|T_2| \geq |T_1|$ .
- Size of tree containing  $x$  can double at most  $\lg N$  times. Why?



# Weighted quick-union analysis

---

## Running time.

- Find: takes time proportional to depth of  $p$ .
- Union: takes constant time, given two roots.

**Proposition.** Depth of any node  $x$  is at most  $\lg N$ .

algorithm	initialize	union	find	connected
<b>quick-find</b>	$N$	$N$	1	1
<b>quick-union</b>	$N$	$N^{\dagger}$	$N$	$N$
<b>weighted QU</b>	$N$	$\lg N^{\dagger}$	$\lg N$	$\lg N$

$\dagger$  includes cost of finding two roots

# Summary

---

**Key point.** Weighted quick union (and/or path compression) makes it possible to solve problems that could not otherwise be addressed.

algorithm	worst-case time
<b>quick-find</b>	$M N$
<b>quick-union</b>	$M N$
<b>weighted QU</b>	$N + M \log N$
<b>QU + path compression</b>	$N + M \log N$
<b>weighted QU + path compression</b>	$N + M \lg^* N$

**order of growth for  $M$  union-find operations on a set of  $N$  elements**

**Ex.** [10<sup>9</sup> unions and finds with 10<sup>9</sup> elements]

- WQUPC reduces time from 30 years to 6 seconds.
- Supercomputer won't help much; good algorithm enables solution.

# Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## 1.5 UNION-FIND

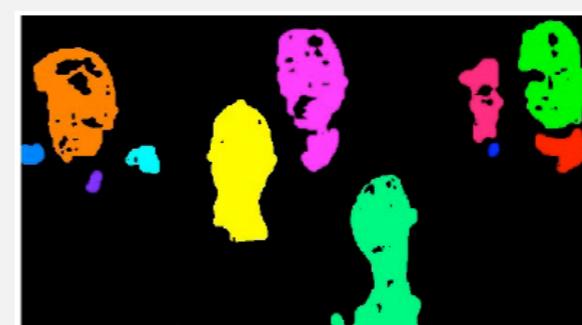
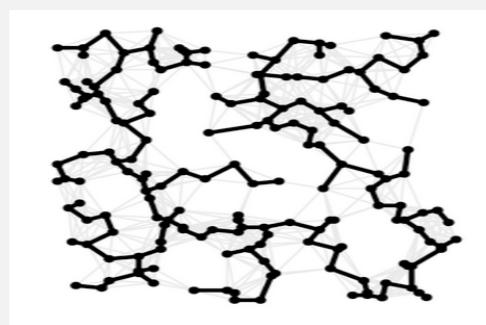
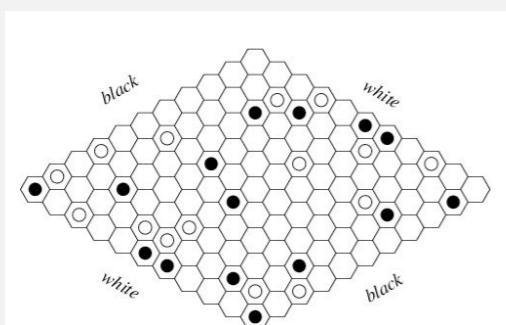
---

- ▶ *dynamic connectivity*
- ▶ *quick find*
- ▶ *quick union*
- ▶ *improvements*
- ▶ *applications*

# Union-find applications

---

- Percolation.
- Games (Go, Hex).
- ✓ Dynamic connectivity.
  - Least common ancestor.
  - Equivalence of finite state automata.
  - Hoshen-Kopelman algorithm in physics.
  - Hinley-Milner polymorphic type inference.
  - Kruskal's minimum spanning tree algorithm.
  - Compiling equivalence statements in Fortran.
  - Morphological attribute openings and closings.
  - Matlab's bwlabel() function in image processing.

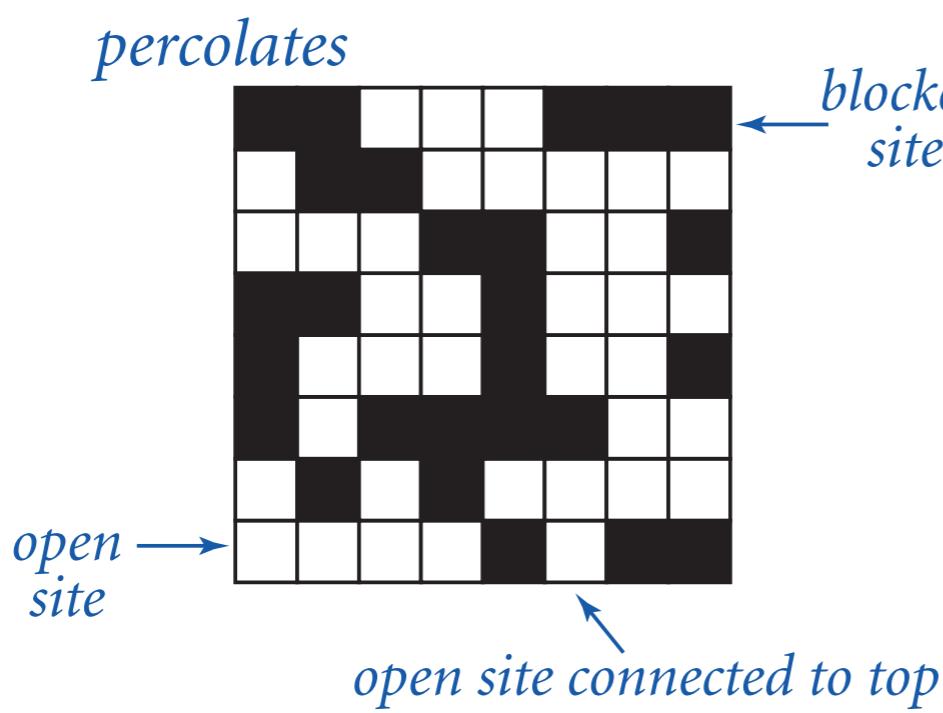


# Percolation

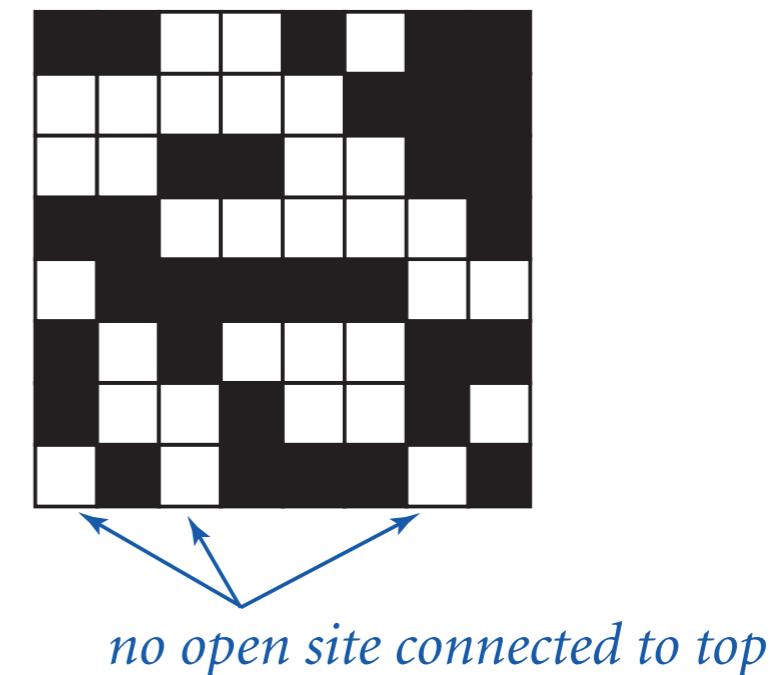
An abstract model for many physical systems:

- $N$ -by- $N$  grid of sites.
- Each site is open with probability  $p$  (and blocked with probability  $1 - p$ ).
- System **percolates** iff top and bottom are connected by open sites.

if and only if



*does not percolate*



# Percolation

---

An abstract model for many physical systems:

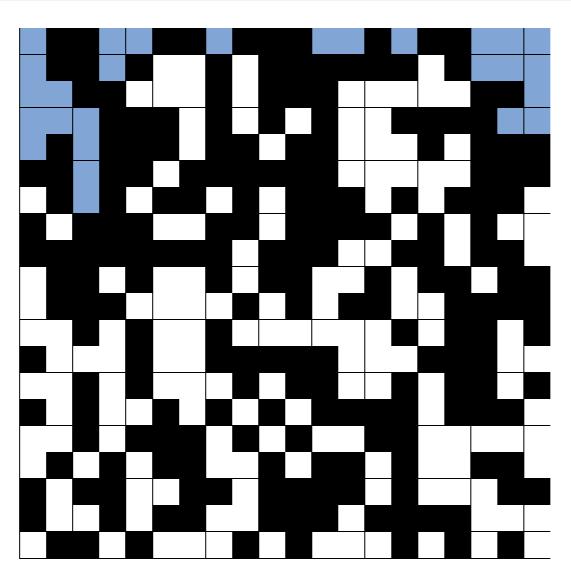
- $N$ -by- $N$  grid of sites.
- Each site is open with probability  $p$  (and blocked with probability  $1 - p$ ).
- System **percolates** iff top and bottom are connected by open sites.

model	system	vacant site	occupied site	percolates
electricity	material	conductor	insulated	conducts
fluid flow	material	empty	blocked	porous
social interaction	population	person	empty	communicates

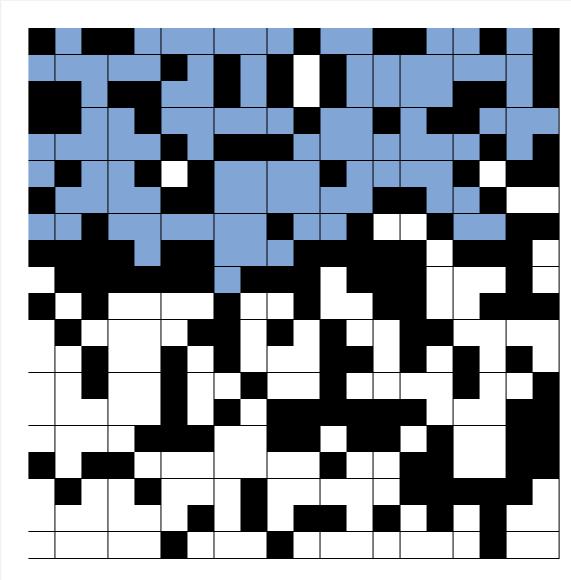
# Likelihood of percolation

---

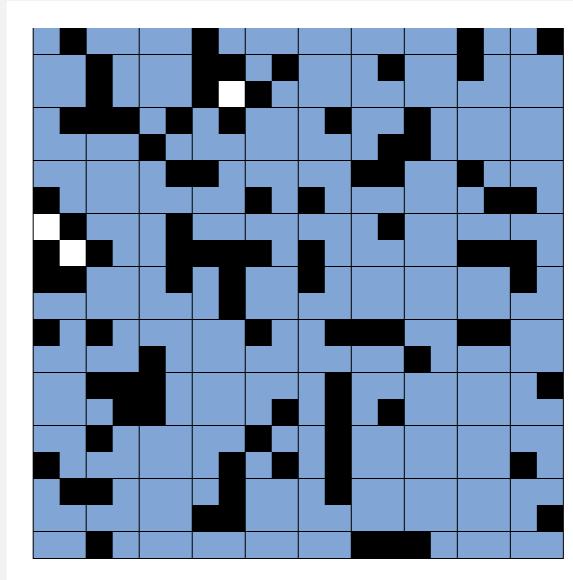
Depends on grid size  $N$  and site vacancy probability  $p$ .



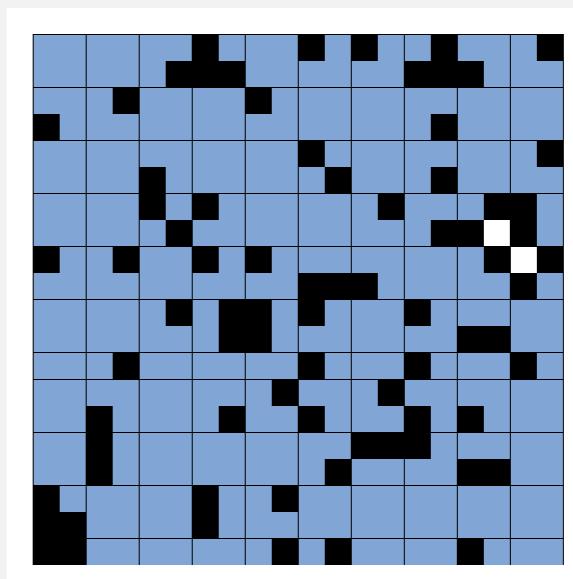
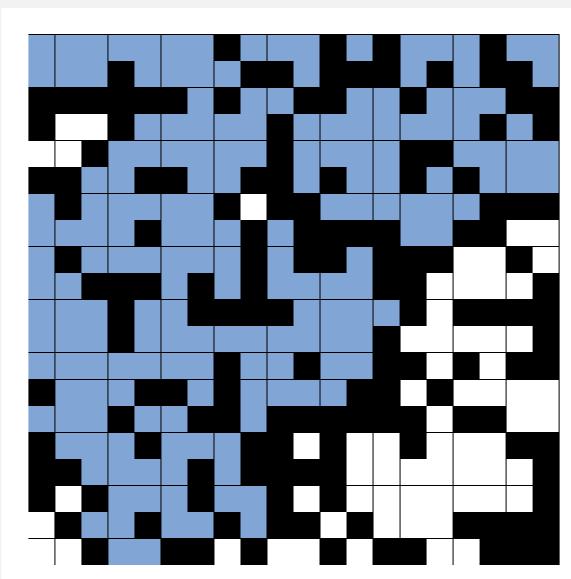
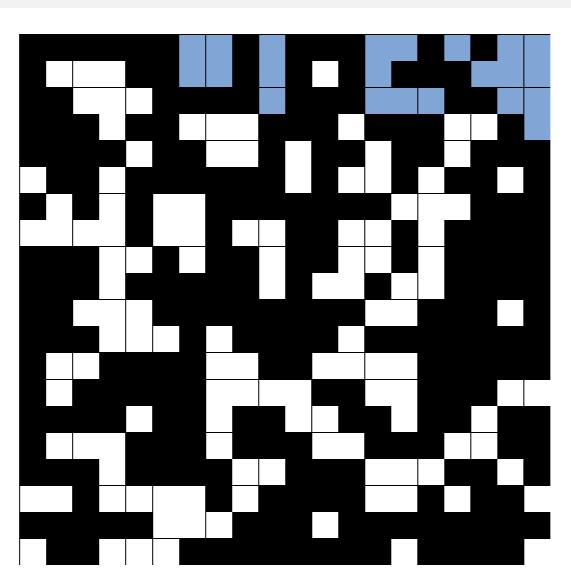
**p low (0.4)**  
does not percolate



**p medium (0.6)**  
percolates?



**p high (0.8)**  
percolates



empty open site  
(not connected to top)



full open site  
(connected to top)



blocked site

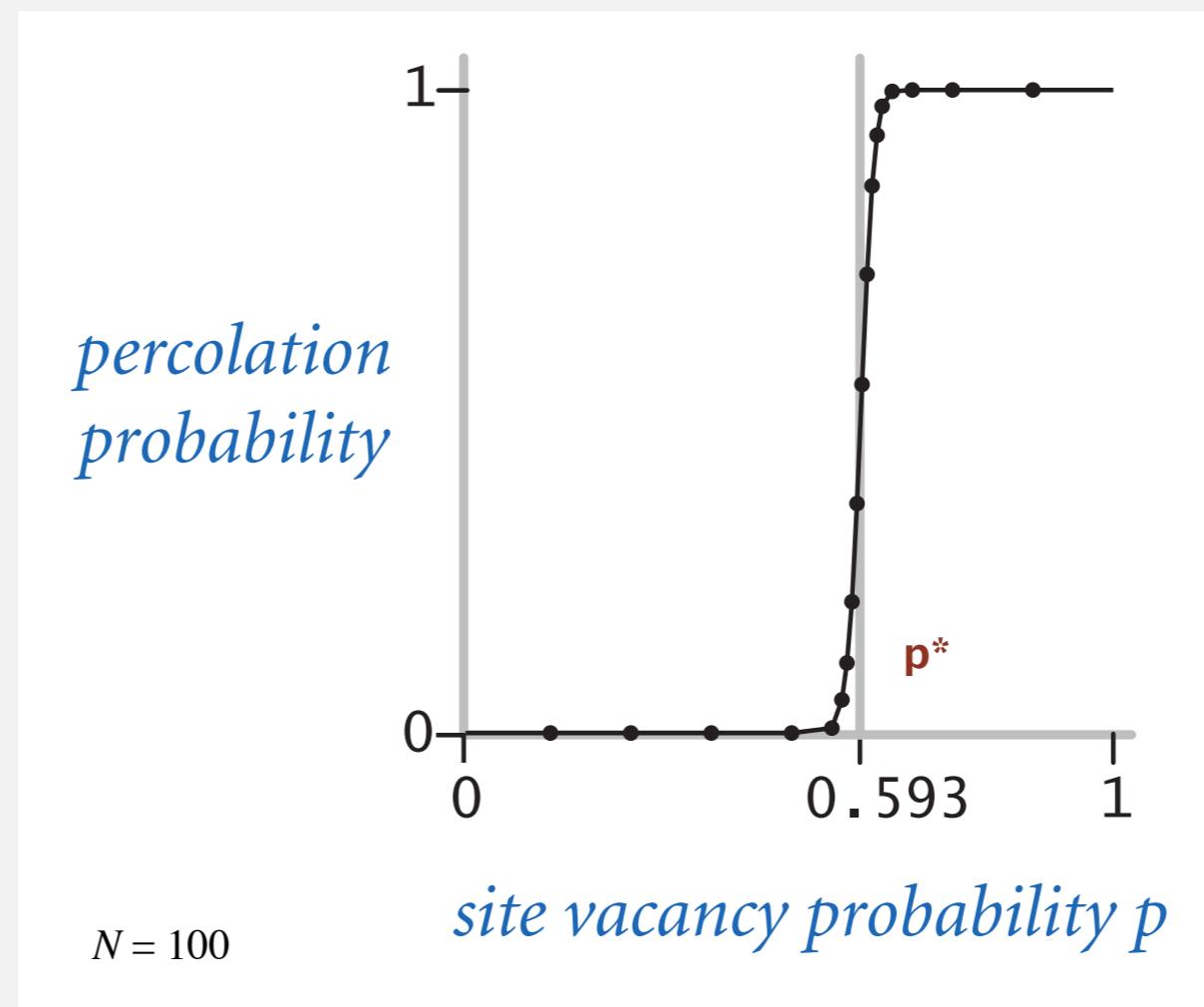
# Percolation phase transition

---

When  $N$  is large, theory guarantees a sharp threshold  $p^*$ .

- $p > p^*$ : almost certainly percolates.
- $p < p^*$ : almost certainly does not percolate.

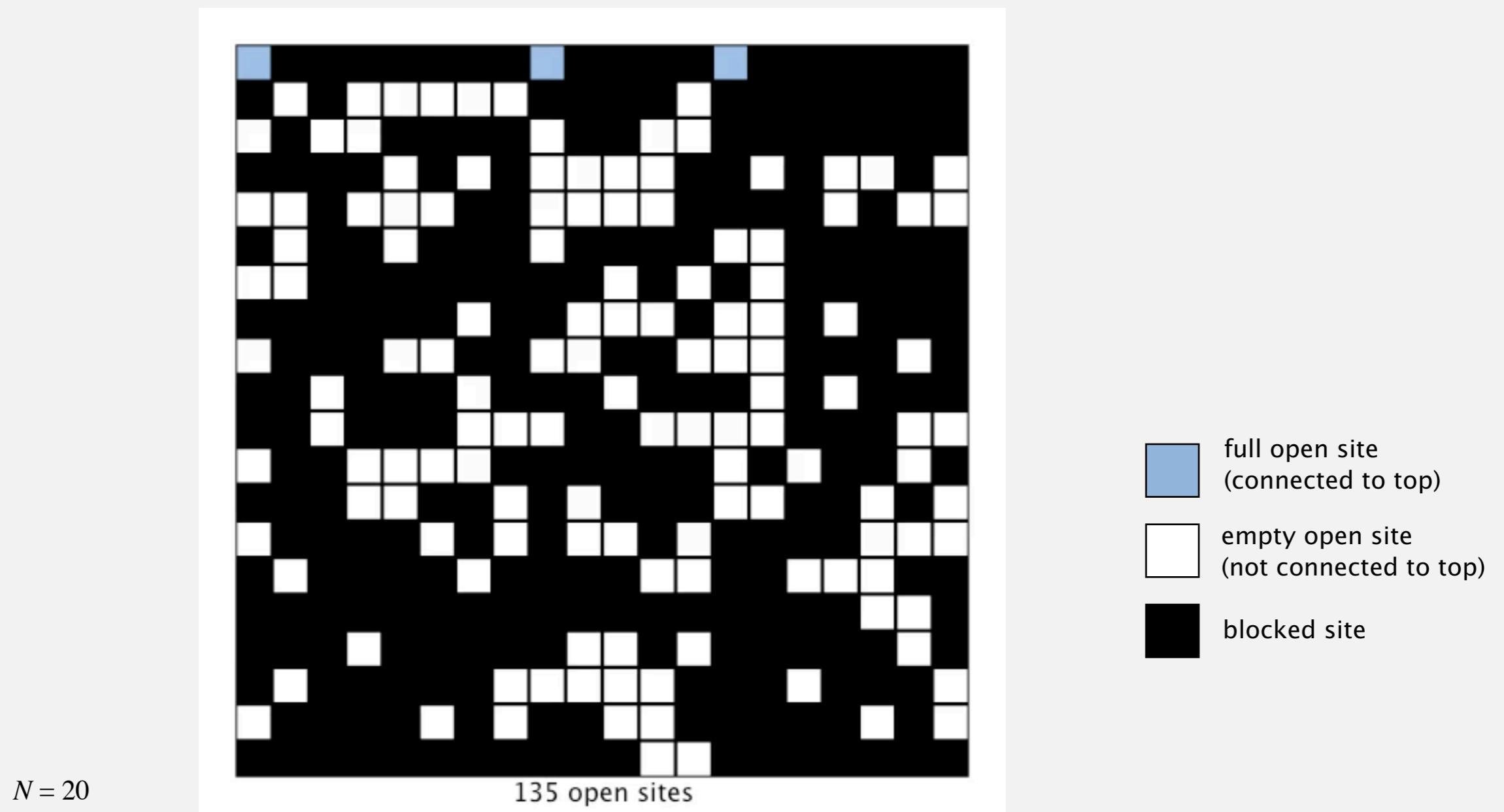
Q. What is the value of  $p^*$  ?



# Monte Carlo simulation

---

- Initialize all sites in an  $N$ -by- $N$  grid to be blocked.
- Declare random sites open until top connected to bottom.
- Vacancy percentage estimates  $p^*$ .



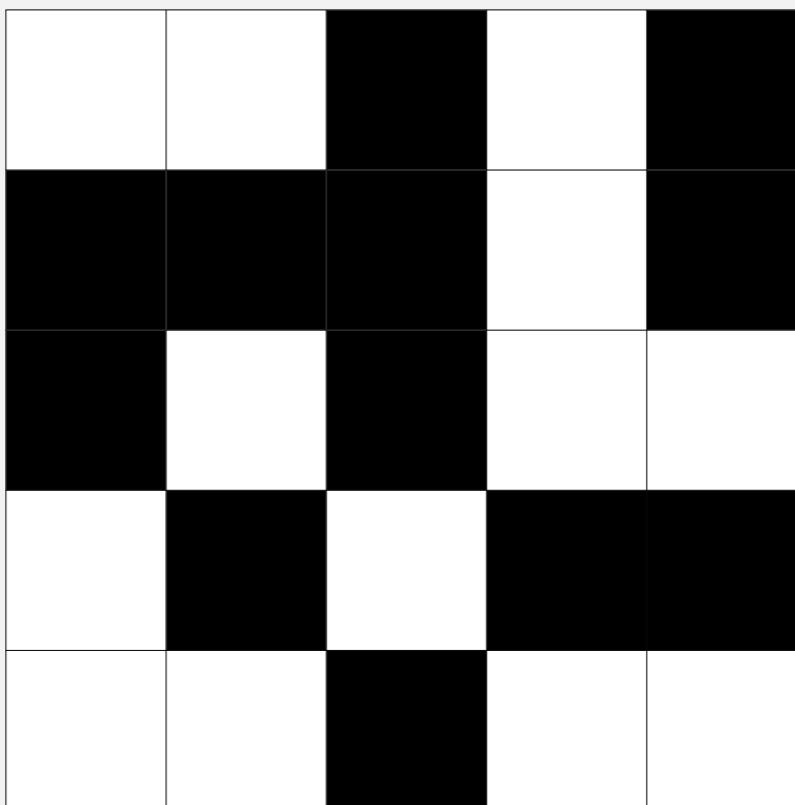
# Dynamic connectivity solution to estimate percolation threshold

---

Q. How to check whether an  $N$ -by- $N$  system percolates?

A. Model as a **dynamic connectivity** problem and use **union-find**.

$$N = 5$$



open site



blocked site

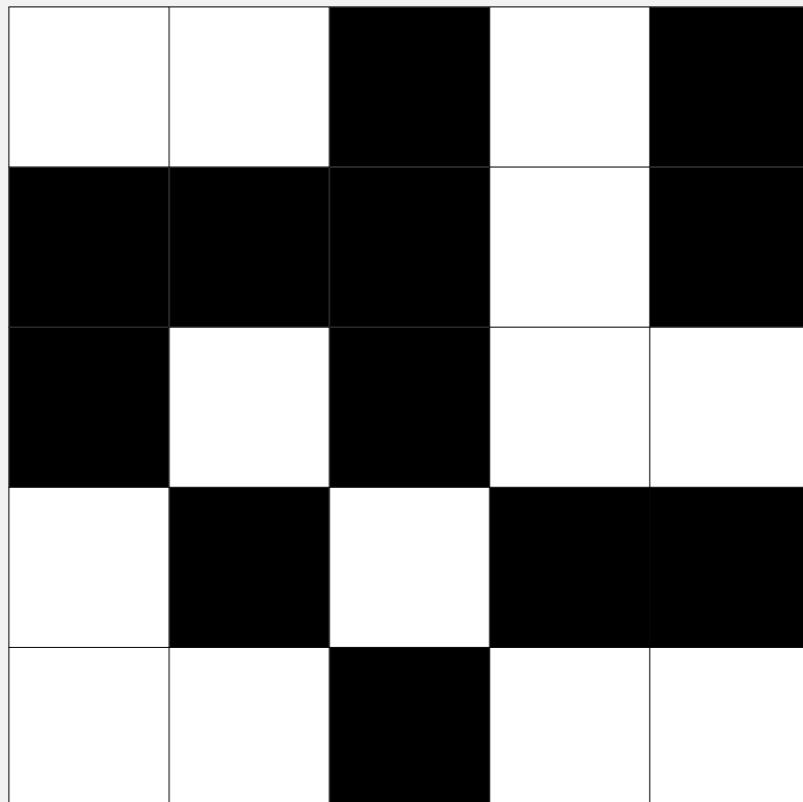
# Dynamic connectivity solution to estimate percolation threshold

---

Q. How to check whether an  $N$ -by- $N$  system percolates?

- Create an element for each site and name them 0 to  $N^2 - 1$ .

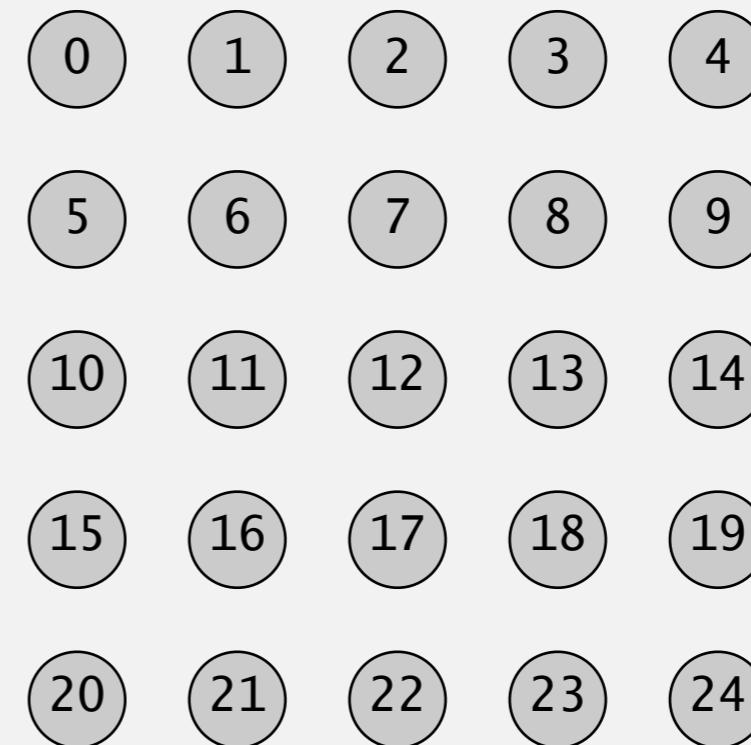
$N = 5$



open site



blocked site

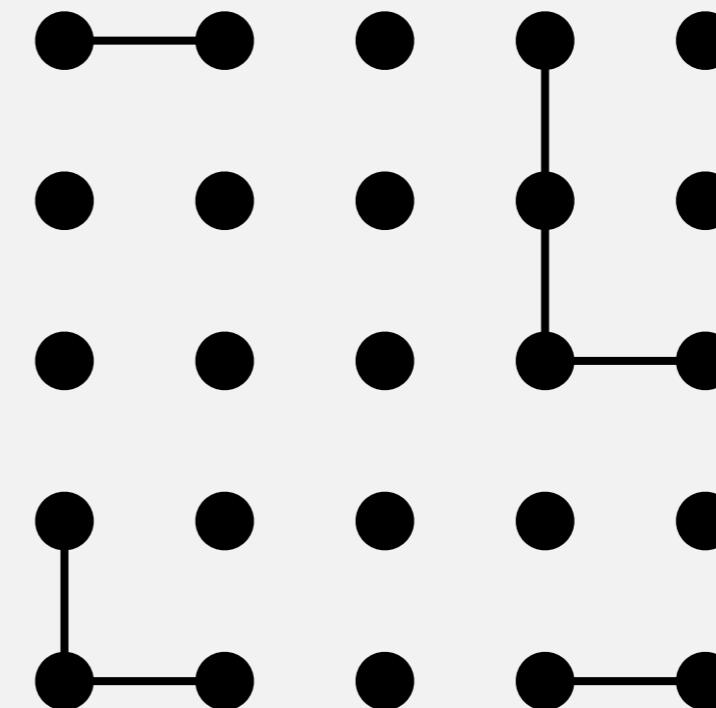
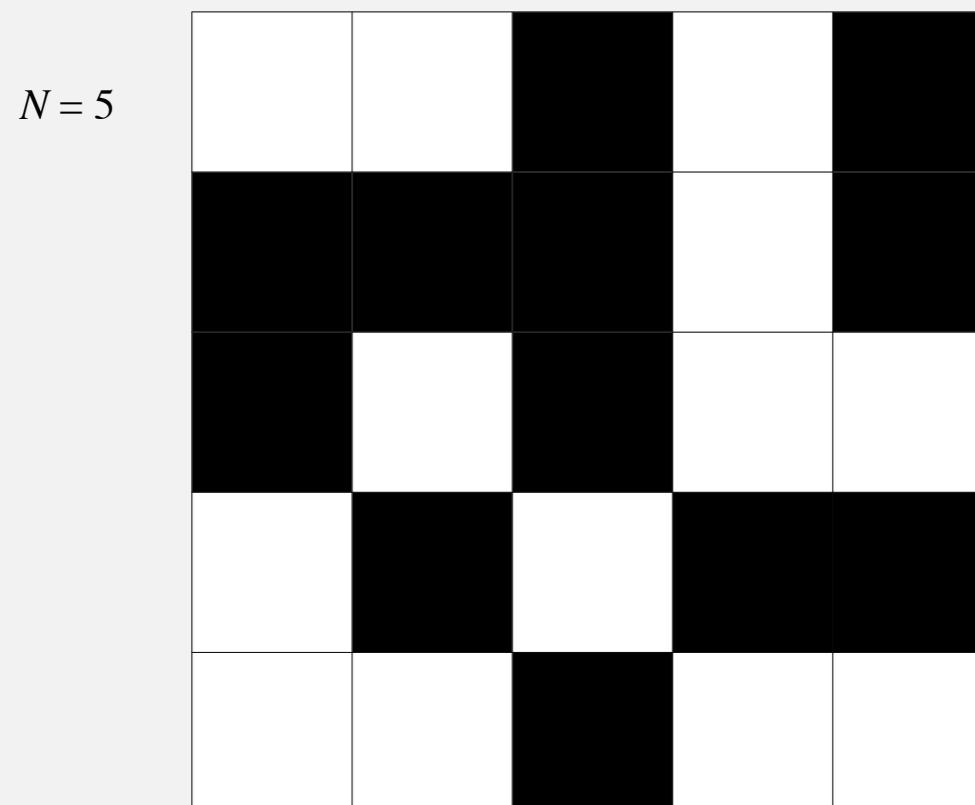


# Dynamic connectivity solution to estimate percolation threshold

---

Q. How to check whether an  $N$ -by- $N$  system percolates?

- Create an element for each site and name them 0 to  $N^2 - 1$ .
- Sites are in same component iff connected by open sites.



open site

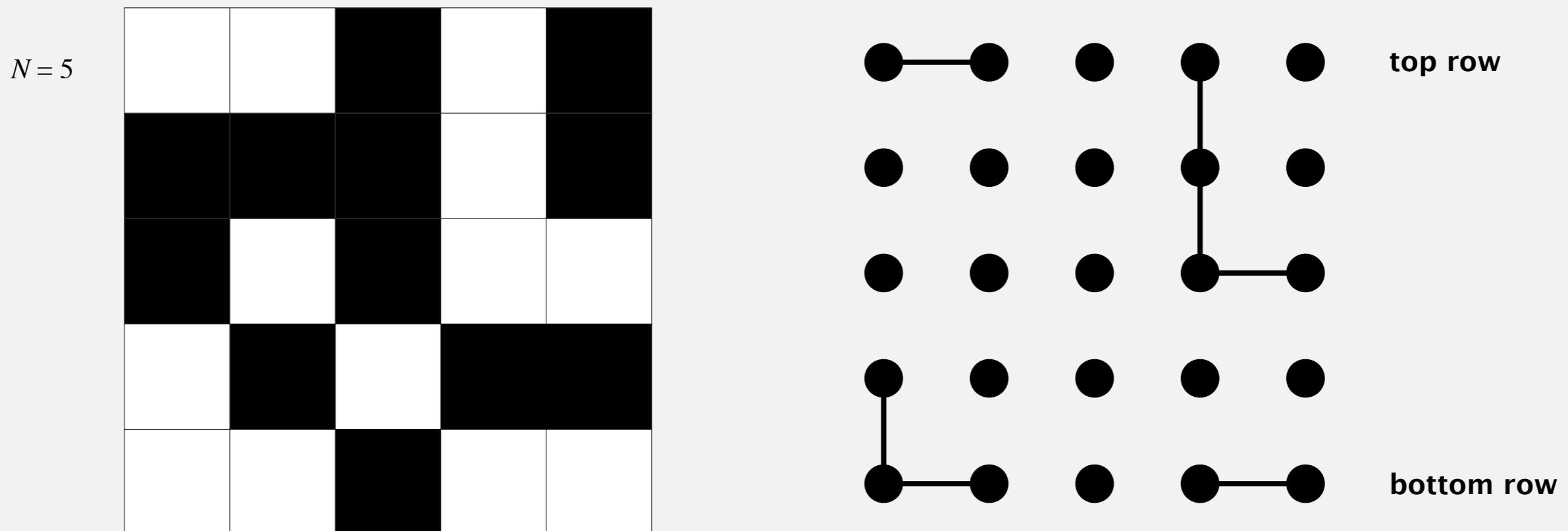
blocked site

# Dynamic connectivity solution to estimate percolation threshold

Q. How to check whether an  $N$ -by- $N$  system percolates?

- Create an element for each site and name them 0 to  $N^2 - 1$ .
- Sites are in same component iff connected by open sites.
- Percolates iff any site on bottom row is connected to any site on top row.

brute-force algorithm:  $N^2$  calls to `connected()`



open site

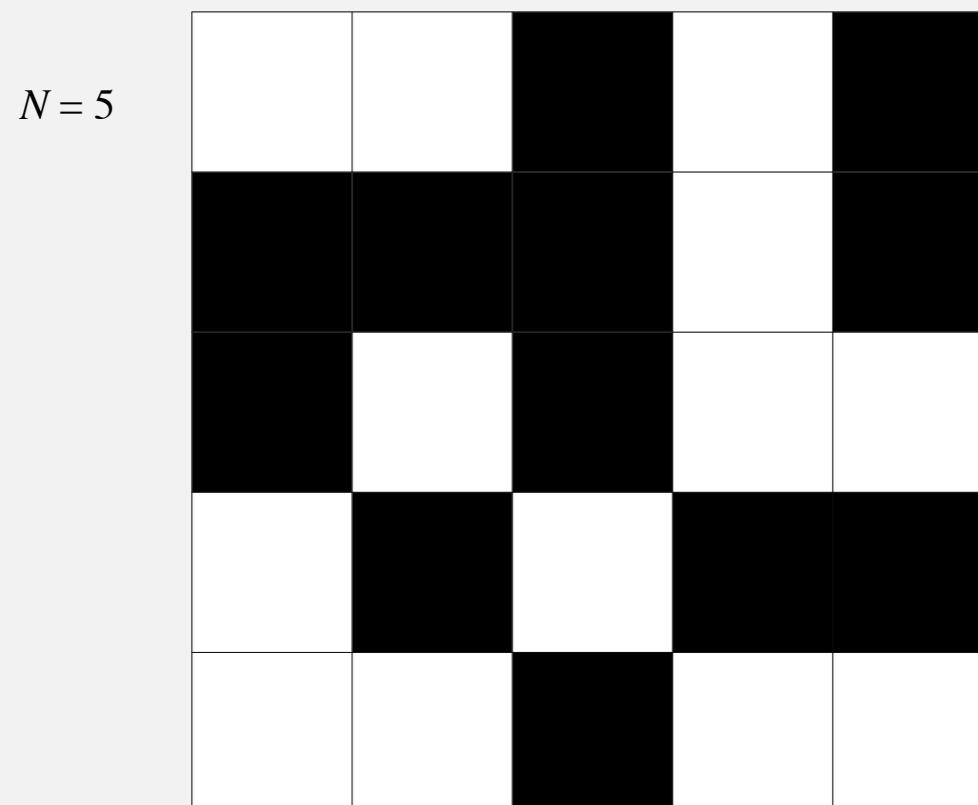
blocked site

# Dynamic connectivity solution to estimate percolation threshold

Clever trick. Introduce 2 virtual sites (and connections to top and bottom).

- Percolates iff virtual top site is connected to virtual bottom site.

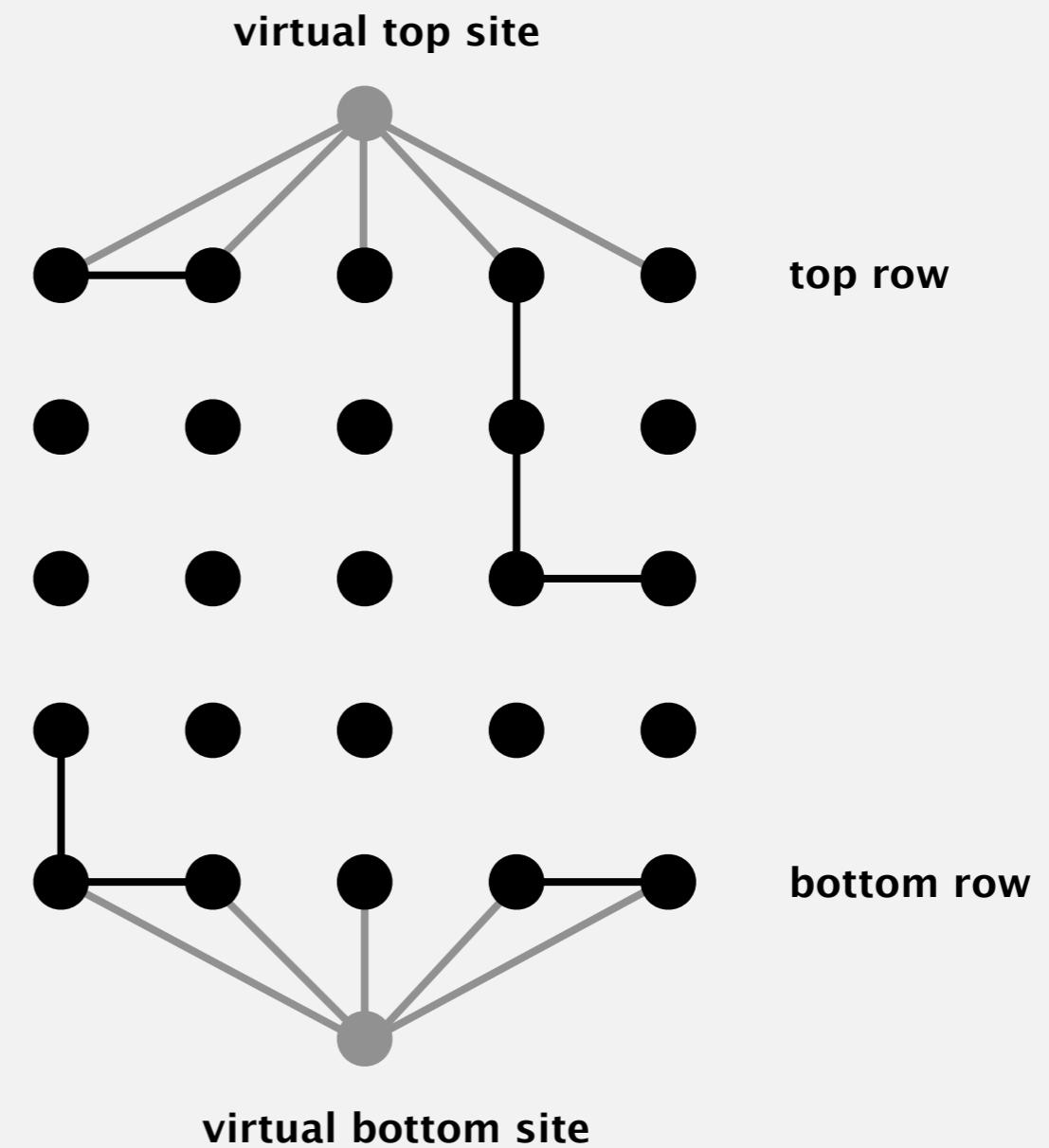
more efficient algorithm: only 1 call to connected()



open site

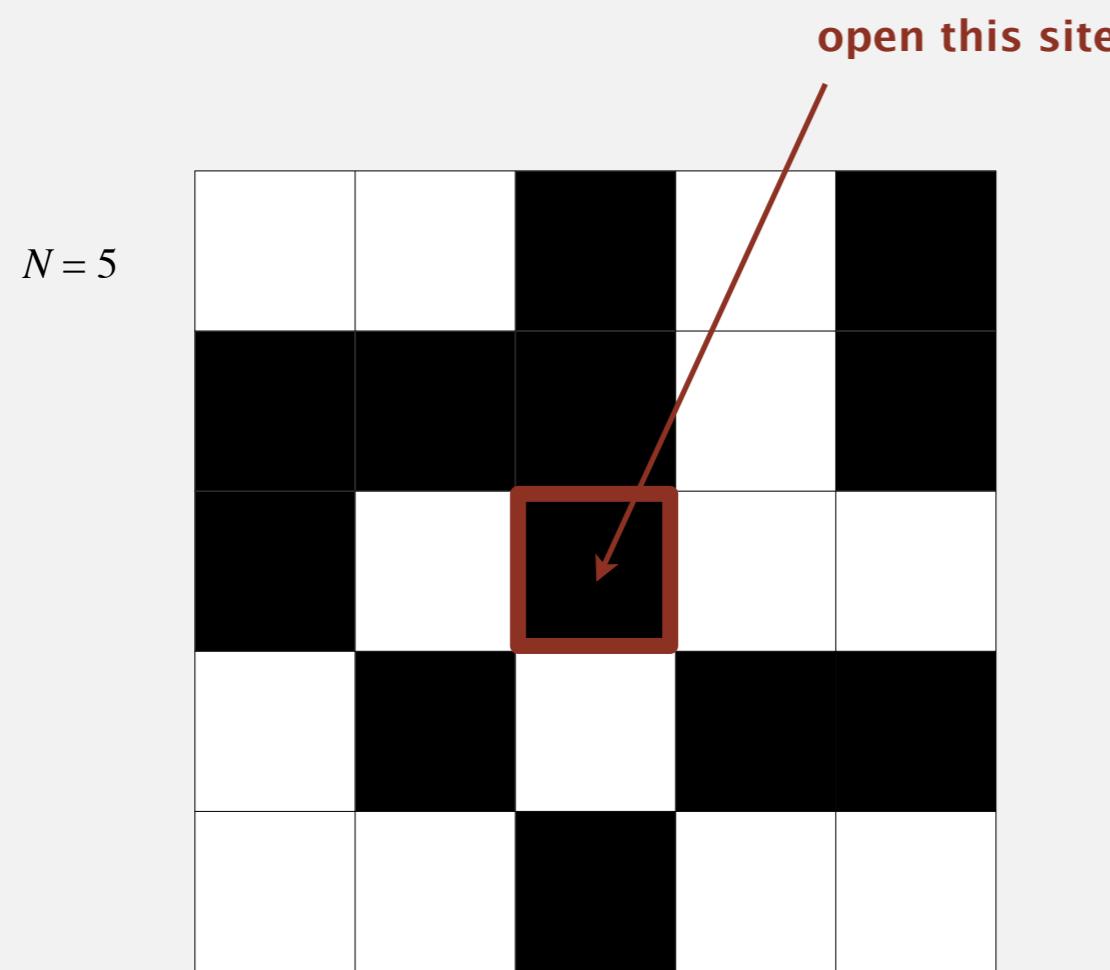


blocked site



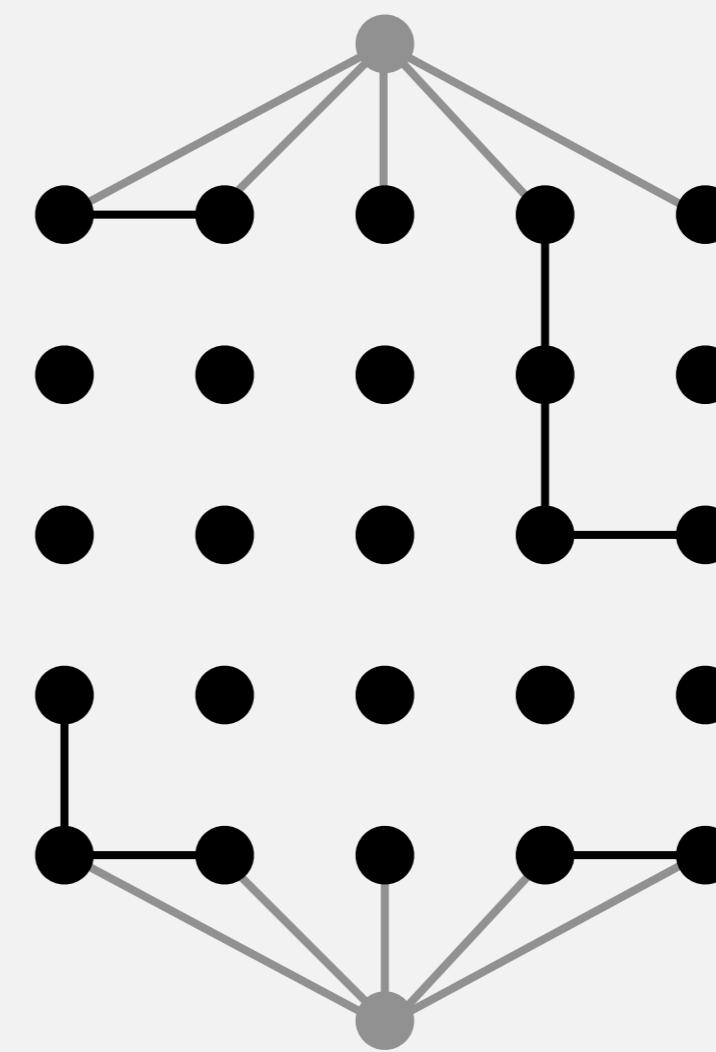
# Dynamic connectivity solution to estimate percolation threshold

Q. How to model opening a new site?



open site

blocked site

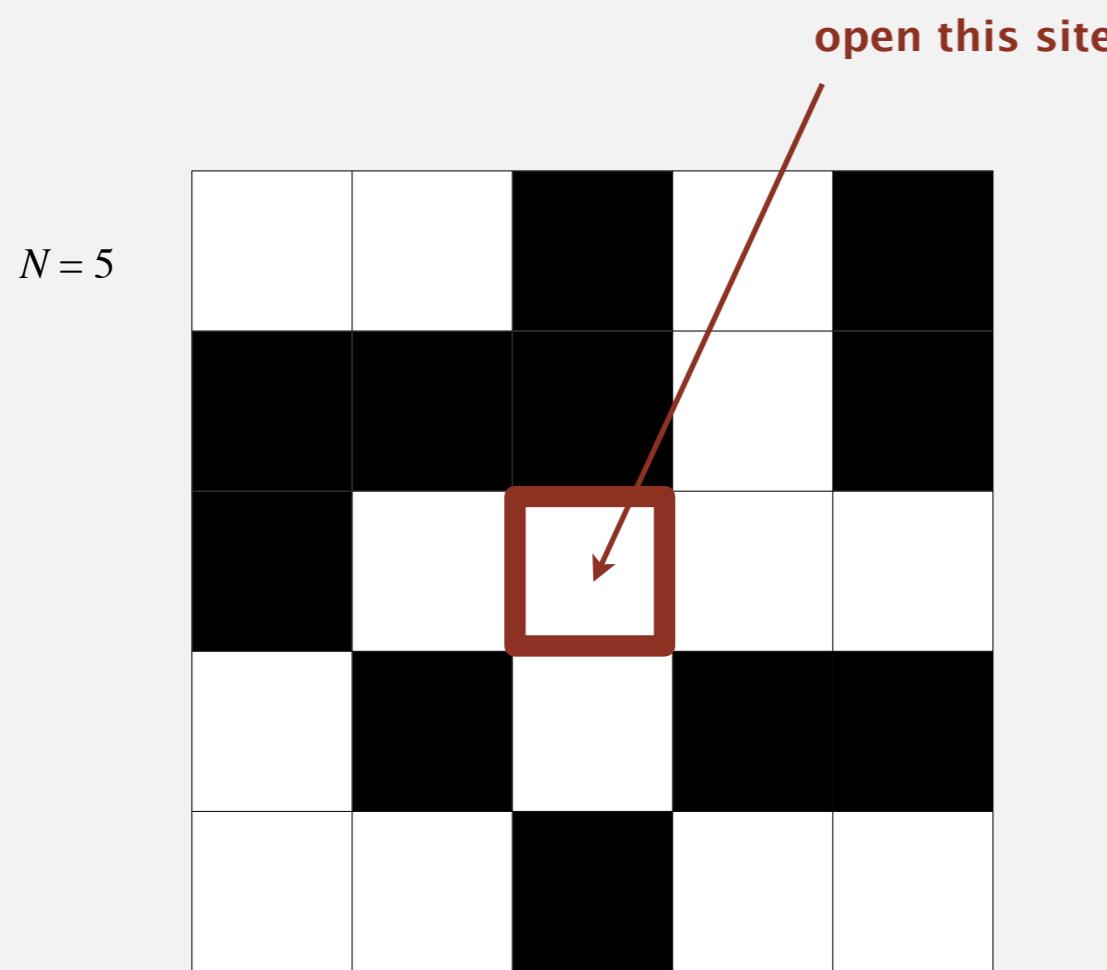


# Dynamic connectivity solution to estimate percolation threshold

Q. How to model opening a new site?

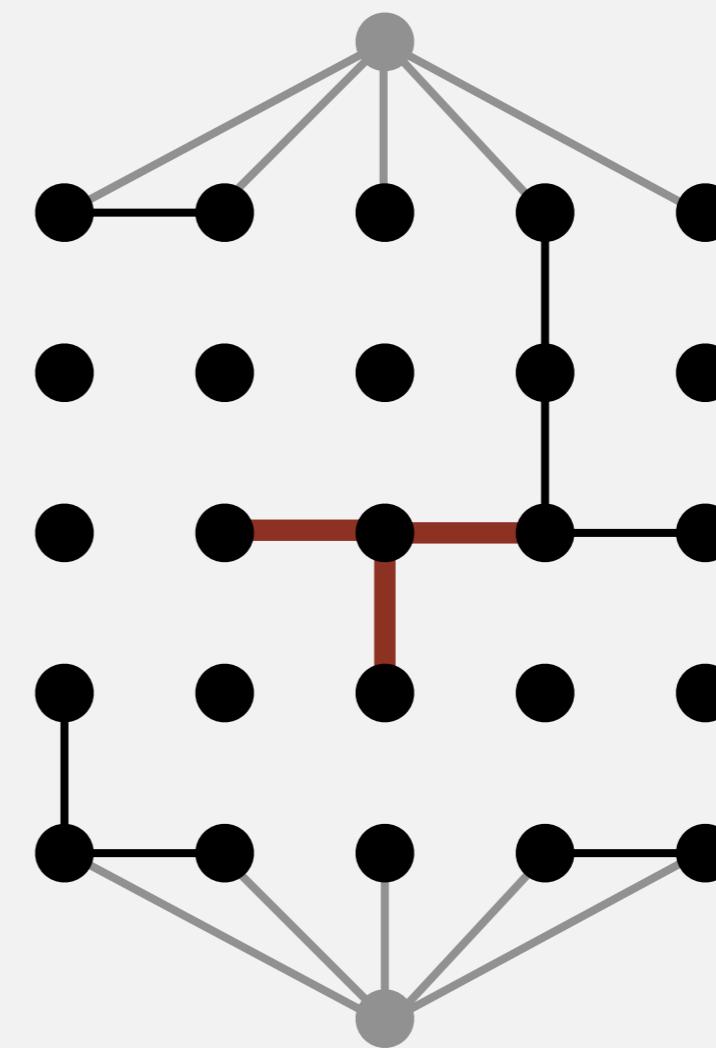
A. Mark new site as open; connect it to all of its adjacent open sites.

up to 4 calls to `union()`



open site

blocked site



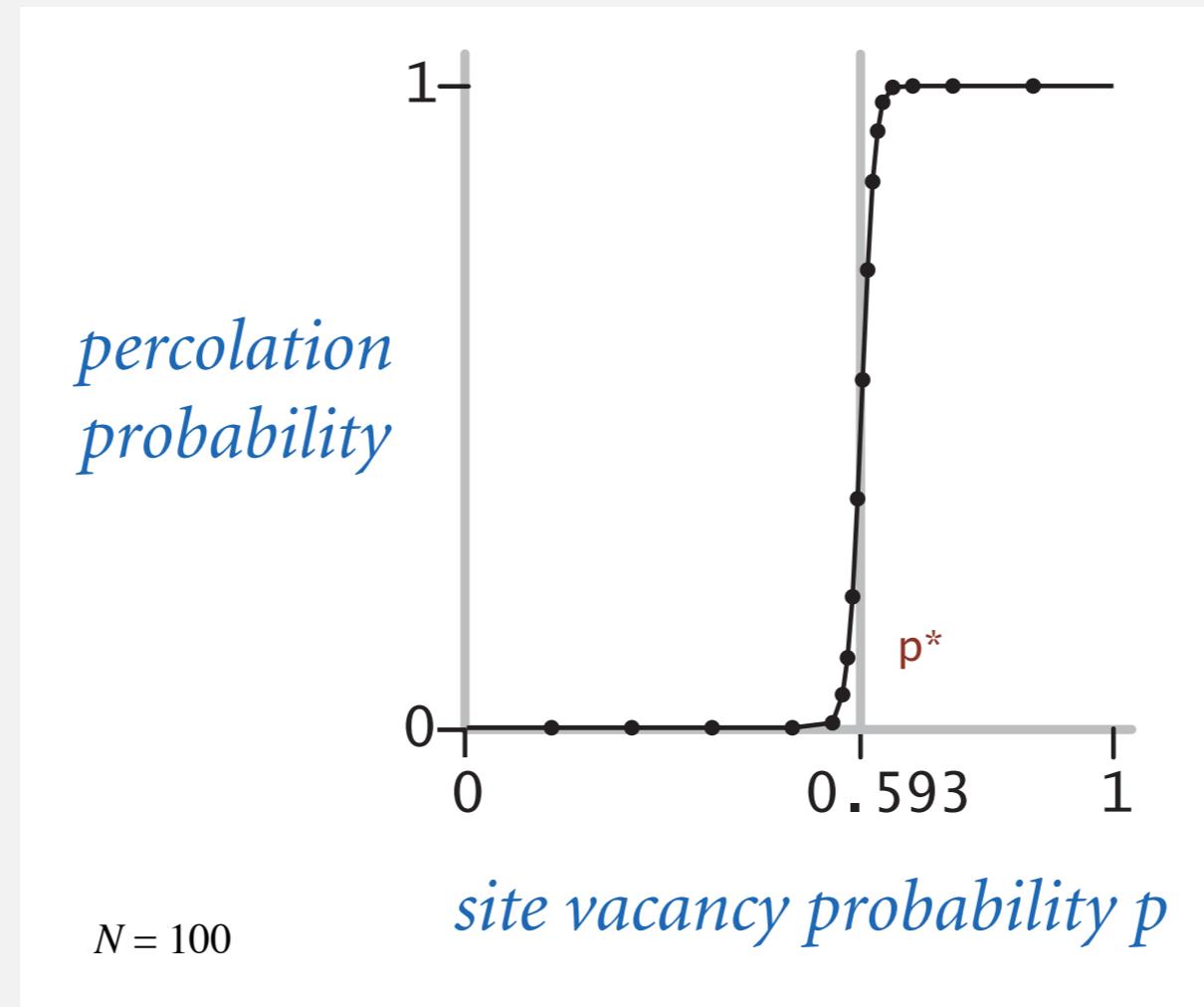
# Percolation threshold

---

Q. What is percolation threshold  $p^*$  ?

A. About 0.592746 for large square lattices.

constant known only via simulation



Fast algorithm enables accurate answer to scientific question.

# **Subtext of today's lecture (and this course)**

---

## **Steps to developing a usable algorithm.**

- Model the problem.
- Find an algorithm to solve it.
- Fast enough? Fits in memory?
- If not, figure out why.
- Find a way to address the problem.
- Iterate until satisfied.

## **The scientific method.**

## **Mathematical analysis.**