

## Classification by Distance Functions.

- M-pattern classes with prototype patterns  $z_1, z_2, \dots, z_M$ .

Euclidean distance between an arbitrary pattern vector  $x$  and the  $i$ th prototype:

$$D_i = \|x - z_i\| = \sqrt{(x - z_i)^T (x - z_i)}$$

$x$  is assigned to class  $w_i$  if  $D_i < D_j$  for all  $i \neq j$ .

$$\begin{aligned} D_i^2 &= \|x - z_i\|^2 \\ &= (x - z_i)^T (x - z_i) \\ &= x^T x - x^T z_i - z_i^T x + z_i^T z_i \quad (x^T z_i = z_i^T x) \\ &= x^T x - 2x^T z_i + z_i^T z_i \\ &= x^T x - 2 \left( x^T z_i - \frac{1}{2} z_i^T z_i \right) \end{aligned}$$

$$\min \{D_i^2\} \Rightarrow \min \{D_i\} \Rightarrow \max \left\{ x^T z_i - \frac{1}{2} z_i^T z_i \right\}$$

- the decision functions

$$d_i(x) = x^T z_i - \frac{1}{2} z_i^T z_i, \quad i = 1, 2, 3, \dots, M$$

\* A pattern  $x$  is assigned to class  $w_i$  if  $d_i(x) > d_j(x)$  for all  $i \neq j$ .



## \* Multi prototypes

o A single prototype  $\rightarrow z_i \Rightarrow w_i$

Several prototypes  $\rightarrow z_i^1 \Rightarrow w_i$

$z_i^2$

$\vdots$

$z_i^{N_i}$

$N_i$  : the # of prototypes in the  $i$ th pattern class.

o The distance function between an arbitrary pattern  $x$  and class  $w_i$

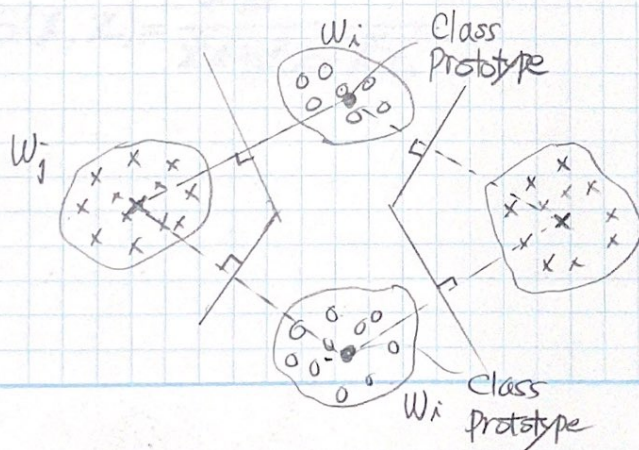
$$D_i = \min_k \|x - z_i^k\|, k=1, 2, \dots, N_i$$

o The distances  $D_i, i=1, 2, \dots, M$ , are computed and the unknown is classified into  $w_i$  if  $D_i < D_j$  for all  $i \neq j$ .

o The decision functions

$$d_i(x) = \max_k \left\{ x^T z_i^k - \frac{1}{2} z_i^{kT} z_i^k \right\} \quad k=1, 2, \dots, N_i$$

where  $x$  is placed in class  $w_i$  if  $d_i(x) > d_j(x)$ , for all  $i \neq j$ .



Piecewise-linear decision boundaries for two classes, each of which is characterized by two prototypes.



# Cluster Seeking.

## Measure of Similarity

### • Euclidean distance

$$D = \|x - z\|$$

### • Mahalanobis distance from $x$ to $m$

$$D = (x - m)^T C^{-1} (x - m)$$

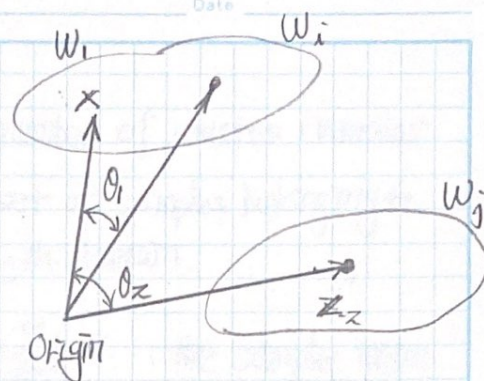
$\uparrow$                        $\uparrow$   
 The covariance matrix      the mean vector

### • The nonmetric similarity function;

$$s(x, z) = \frac{x^T z}{\|x\| \|z\|}$$

### • Tanimoto measure

$$S(x, z) = \frac{x^T z}{x^T x + z^T z - x^T z}$$



$$s(x, z_1) = \cos \theta_1 = \frac{x^T z_1}{\|x\| \|z_1\|}$$

$$s(x, z_2) = \cos \theta_2 = \frac{x^T z_2}{\|x\| \|z_2\|}$$

[ A similarity measure ]



## Clustering Criteria

$$J = \sum_{j=1}^{N_c} \sum_{x \in S_j} \|x - m_j\|^2$$

$N_c$ : the number of cluster domains

$S_j$ : the set of samples belonging to the  $j$ th domain.

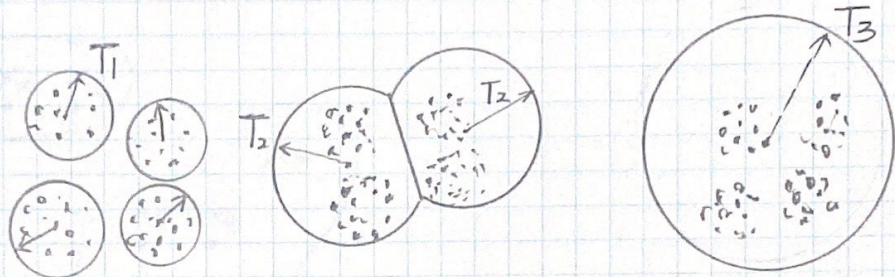
$$m_j = \frac{1}{N_j} \sum_{x \in S_j} x \quad : \text{the sample mean vector of set } S_j.$$

$N_j$ : the number of samples in  $S_j$ .

⇒ The overall sum of the squared errors between the samples of a cluster domain and their corresponding mean.



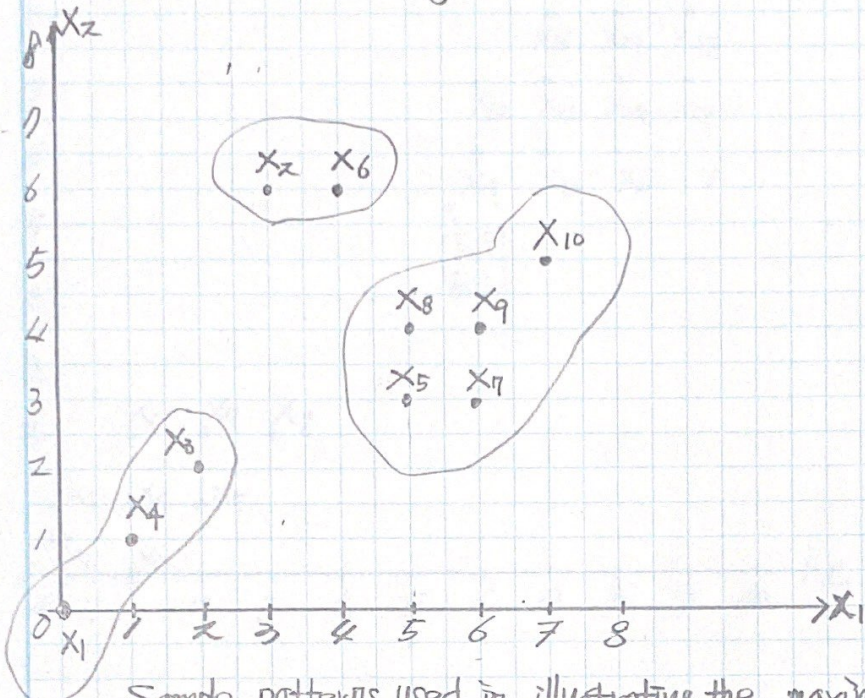
## A simple cluster-seeking Algorithm



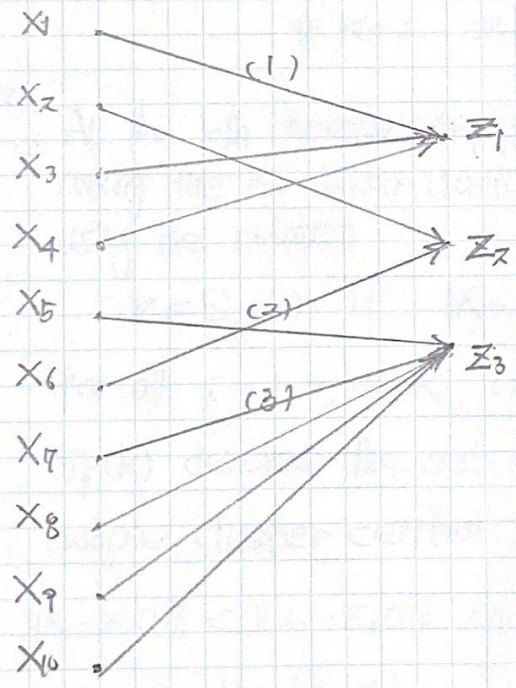
Effects of the threshold and starting points  
in a simple cluster seeking scheme.



# \* Maximin - Distance Algorithm (Maximum-Minimum) distance algorithm



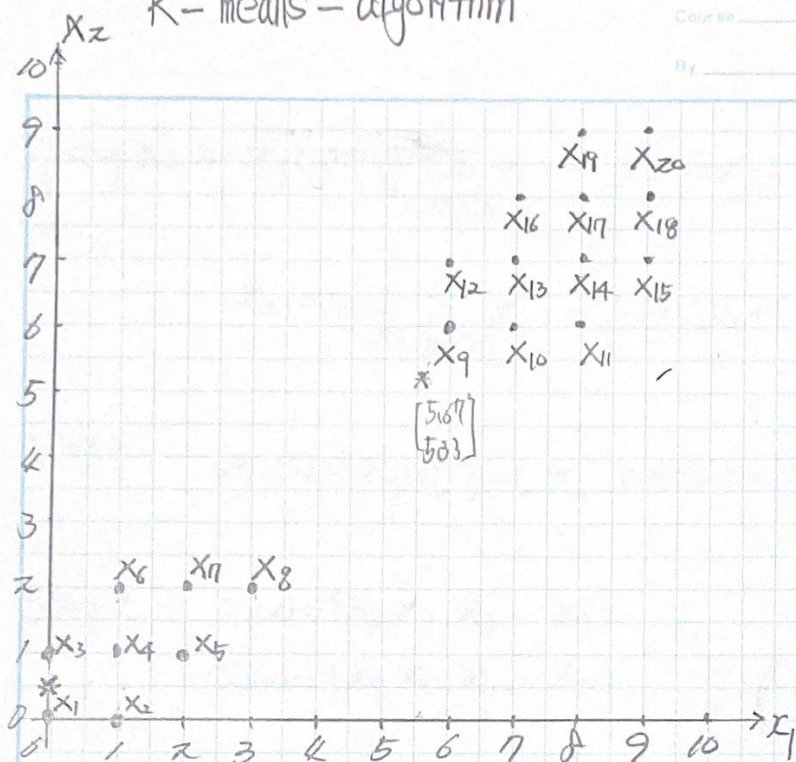
Sample patterns used in illustrating the maximum distance algorithm.



Sample & Category tables



# K-means - algorithm



Step 1, Choose  $K$  initial cluster centers  $z_1(1), z_2(1), \dots, z_K(1)$ .

$$* K=2, \quad z_1(1) = x_1 = \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \quad z_2(1) = x_2 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

Step 2, At the  $k$ th iterative step distribute the samples  $\{x_i\}$  among the  $K$  cluster domains, using the relation

$$x \in S_j(k) \text{ if } \|x - z_j(k)\| < \|x - z_i(k)\|$$

for all  $i = 1, 2, \dots, K, i \neq j$ ,

$S_j(k)$  denotes the set of samples whose cluster center is  $z_j(k)$ .

$$* \quad \|x_1 - z_1(1)\| < \|x_1 - z_2(1)\| \text{ and } \|x_3 - z_1(1)\| < \|x_3 - z_2(1)\|, \\ i=1, \quad S_1(1) = \{x_1, x_3\}.$$

Similarly, the remaining patterns are closer to  $z_2(1)$ ,

$$\text{so } S_2(1) = \{x_2, x_4, x_5, \dots, x_{20}\}$$



Step 3.  $z_1(2) = \frac{1}{N_1} \sum_{x \in S_1(1)} x = \frac{1}{2} (x_1 + x_2) = \frac{1}{2} \left[ \begin{pmatrix} 0 \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right] = \begin{bmatrix} 0 \\ 0.5 \end{bmatrix}$

$$z_2(2) = \frac{1}{N_2} \sum_{x \in S_2(1)} x = \frac{1}{18} (x_2 + x_4 + \dots + x_{20}) = \begin{bmatrix} 5.67 \\ 5.33 \end{bmatrix}$$

Step 4.  $z_j(2) \neq z_j(1)$ ,  $j=1, 2$ , return to Step 2.

Step 2.  $S_1(2) = \{x_1, x_2, x_3, \dots, x_8\}$   
 $S_2(2) = \{x_9, x_{10}, x_{11}, \dots, x_{20}\}.$

Step 3. Update the cluster centers:

$$z_1(3) = \frac{1}{8} (x_1 + \dots + x_8) = \begin{bmatrix} 1.25 \\ 1.13 \end{bmatrix}$$

$$z_2(3) = \frac{1}{12} (x_9 + \dots + x_{20}) = \begin{bmatrix} 7.67 \\ 7.33 \end{bmatrix}$$

Step 4.  $z_j(3) \neq z_j(2)$ , Return to step 2.

Step 2:  $z_1(4) = z_1(3)$  and  $z_2(4) = z_2(3)$

$\Rightarrow$  Cluster centers:  $z_1 = \begin{bmatrix} 1.25 \\ 1.13 \end{bmatrix}$ ,  $z_2 = \begin{bmatrix} 7.67 \\ 7.33 \end{bmatrix}$  