

15-150 Fall 2020

Stephen Brookes

Lecture 3


Patterns and specifications

I will 
teach you in a ROOM

I will teach 

you now on ZOOM



I will teach 
you in your
House ♥ ♥

I will teach you with a
MOUSE 

I will teach you
here and there 


Patterns and specifications

Advice

- After class, study slides and lecture notes.
- Start homework early, plan to finish on time.
- Don't use piazza as a *first* resort, or close to a handin deadline.
- Ask for help only after you've studied, and tried.
- Think before you write.



Today

- A brief remark about equality types
- Patterns and how to use them
- Specifying program behavior
 -  evaluation and equivalence

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from
int, **bool**, **- * -**, and **-list**

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from

int, **bool**, **- * -**, and **-list**

int list

e.g. **int * bool**
(int * bool) list

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from
int, **bool**, **- * -**, and **-list**

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from

int, **bool**, **- * -**, and **-list**

but NOT **real** or **->**

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from
int, **bool**, **- * -**, and **-list**

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from

int, **bool**, **- * -**, and **-list**

```
- 1+1 = 2;  
val it = true : bool
```

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from

int, bool, - * -, and -list

```
- 1+1 = 2;  
val it = true : bool  
  
- [1,1] = (0+1)::[2-1];  
val it = true : bool
```

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from

int, bool, - * -, and -list

```
- 1+1 = 2;  
val it = true : bool
```

```
- [1,1] = (0+1)::[2-1];  
val it = true : bool
```

```
- (fn x => x+x) = (fn y => 2*y);  
Error: operator and operand don't agree  
[equality type required]
```

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from

int, **bool**, **- * -**, and **-list**

```
- 1+1 = 2;  
val it = true : bool  
  
- [1,1] = (0+1)::[2-1];  
val it = true : bool
```

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from

int, bool, - * -, and -list

```
- 1+1 = 2;  
val it = true : bool  
  
- [1,1] = (0+1)::[2-1];  
val it = true : bool  
  
- fun equal(x,y) = (x=y);  
val equal = fn - : 'a * 'a -> bool
```

equality in ML

$$e_1 = e_2$$

- Only for expressions whose type is an *equality* type
- Equality types are built from

int, bool, - * -, and -list

```
- 1+1 = 2;  
val it = true : bool  
  
- [1,1] = (0+1)::[2-1];  
val it = true : bool  
  
- fun equal(x,y) = (x=y);  
val equal = fn - : 'a * 'a -> bool
```

type variable "a"
stands for
any equality type

notation overload

- ML syntax uses **=** for several purposes

fn x => e

fun f(x) = e

val x = 2

val even = **fn** x => (x **mod** 2 = 0)

fun leq(x, y) = (x <= y)

fun geq(x, y) = (x >= y)

We also use = in math for “equality”

patterns

- ML includes *patterns*, for *matching* with *values*
- Matching **p** to value **v** either *fails*,
or *succeeds* and binds names to values

p ::= _ | x | n | true | false
| (p₁, ..., p_k)
| p₁::p₂ | [p₁, ..., p_k]

(can attach type **:t** if desired)

Syntactic restriction:

each **x** occurs *at most once* in **p**

pattern matching

with values

- **_** always matches **v**
- **x** always matches **v** (and binds **x** to **v**)
- **n** only matches **n**, **true** only matches **true**
- **(p₁, p₂)** matches **(v₁, v₂)**
if **p₁** matches **v₁** and **p₂** matches **v₂**
(*combines the bindings*)
- **nil** only matches the empty list
- **p₁::p₂** matches non-empty lists **v₁::v₂** for
which **p₁** matches **v₁** and **p₂** matches **v₂**
(*combines the bindings*)

no ambiguity,
because of
variable constraint

no ambiguity,
because of
variable constraint

utility

- When a value of a given type is expected, code can use patterns specific to that type

integers...	<code>0, 42, ..., x, x:int...</code>
booleans...	<code>true, false, x, x:bool...</code>
3-tuples...	<code>(x, y, z), (0, true, _), ...</code>
lists...	<code>nil, x::L, [x, y, z], ...</code>

`(x:int, L:int list)`

`x::(y::L)`

syntax

using patterns

declarations

$d ::= \text{val } p : t = e$
| $\text{fun } f (p:t_1):t_2 = e$
| $\text{fun } f (p_1 : t) : t' = e_1 \mid f p_2 = e_2$
et cetera

expressions

$e ::= \text{fn } (p:t_1):t_2 \Rightarrow e_2$
| $\text{case } e_0 : t \text{ of } p_1 \Rightarrow e_1 \mid p_2 \Rightarrow e_2$
et cetera

optional : *type* annotations

fun, **fn** and **case** syntax allows *k* clauses
(all clauses must have the same type)

functions using patterns

fun $f\ p_1 = e_1 \mid \dots \mid f\ p_k = e_k$

fn $p_1 \Rightarrow e_1 \mid \dots \mid p_k \Rightarrow e_k$

f v

tries matching p_1 to v ,
then p_2, \dots, p_k
until the first match

functions using patterns

fun f p₁ = e₁ | ... | f p_k = e_k

fn p₁ => e₁ | ... | p_k => e_k

f v

tries matching p₁ to v,
then p₂, ..., p_k
until the first match

fun len [] = 0

| len (_::L) = 1 + len L

functions using patterns

fun $f\ p_1 = e_1 \mid \dots \mid f\ p_k = e_k$

fn $p_1 \Rightarrow e_1 \mid \dots \mid p_k \Rightarrow e_k$

$f\ v$

tries matching p_1 to v ,
then p_2, \dots, p_k
until the first match

fun $\text{len}\ [] = 0$

$\mid \text{len}\ (_::L) = 1 + \text{len}\ L$

$\text{len}\ [3]$

$= 1 + \text{len}\ []$

$= 1 + 0$

$[3]$ doesn't match pattern $[]$

$[3]$ matches pattern $_::L$, binding L to $[]$

examples

using patterns

```
fun fact 0 = 1  
| fact 1 = 1  
| fact n = n * fact (n-1)
```

fact : int -> int

```
fun length [] = 0  
| length (_::L) = 1 + length L
```

length : 'a list -> int

```
fn [] => true | _ => false
```

: 'a list -> bool

```
val x::L = [1,2,3]
```

binds x to 1, L to [2,3]

rules of thumb

```
fun f(p1:t):t' = e1  
|   f(p2)      = e2  
|   f(p3)      = e3
```

```
case e:t of  
    p1 => e1  
|    p2 => e2  
|    p3 => e3
```

- **Pay attention to clause order**
Tries p₁, then p₂, then p₃ First match “wins”
- **Use *exhaustive* patterns**
Every value of type t matches at least one of p₁, p₂, p₃
- **Avoid *overlapping* patterns (unless it's safe)**
Every value of type t matches at most one of p₁, p₂, p₃
Or, if v matches p_i and p_j make sure e_i and e_j will be equal
- **Can use `_` when the binding is irrelevant**
Sometimes it's convenient to use `_` in the final clause

*Constant patterns
can only be used
to match values
of an equality type*



int

```
fun f(0) = 1
| f(1) = 1
| f(n) = f(n-1) + f(n-2)
```

bool

```
case e of
  true => e1
| false => e2
```

*Constant patterns
can only be used
to match values
of an equality type*



int

```
fun f(0) = 1  
| f(1) = 1  
| f(n) = f(n-1) + f(n-2)
```

bool

```
case e of  
  true => e1  
| false => e2  
  
if e then e1 else e2
```

Using patterns

`divmod : int * int -> int * int`

```
fun divmod (x:int, y:int): int*int = (x div y, x mod y)
```

```
fun check (m:int, n:int): bool =  
  let  
    val (q, r) = divmod (m, n)  
  in  
    (q * n + r = m)  
end
```

Using patterns

`divmod` : $\text{int} * \text{int} \rightarrow \text{int} * \text{int}$

```
fun divmod (x:int, y:int): int*int = (x div y, x mod y)
```

```
fun check (m:int, n:int): bool =  
  let  
    val (q, r) = divmod (m, n)  
  in  
    (q * n + r = m)  
end
```

What does this function do?

decimal : int -> int list

```
fun decimal (n:int) : int list =  
  if n < 10 then [n]  
    else (n mod 10) :: decimal (n div 10)
```

decimal : int -> int list

```
fun decimal (n:int) : int list =  
  if n < 10 then [n]  
    else (n mod 10) :: decimal (n div 10)
```

What does this function do?

decimal : int -> int list

```
fun decimal (n:int) : int list =  
  if n < 10 then [n]  
    else (n mod 10) :: decimal (n div 10)
```

decimal 42 = [2,4]

decimal 0 = [0]

What does this function do?

eval : int list -> int

```
fun eval ([ ]:int list) : int = 0  
| eval (d::L) = d + 10 * (eval L)
```

This definition uses *list patterns*

- **[]** matches (only) the empty list
- **d::L** matches a non-empty list,
binds **d** to head of the list, **L** to its tail

eval [2,4] \Rightarrow^* 2 + 10 * (eval [4]) \Rightarrow^* 42

eval : int list -> int

```
fun eval ([ ]:int list) : int = 0  
| eval (d::L) = d + 10 * (eval L)
```

This definition uses *list patterns*

- **[]** matches (only) the empty list
- **d::L** matches a non-empty list,
binds **d** to head of the list, **L** to its tail

eval [2,4] \Rightarrow^* 2 + 10 * (eval [4]) \Rightarrow^* 42

What does this function do?

log : int -> int

```
fun log (x:int) : int =  
  if x = 1 then 0 else 1 + log (x div 2)
```

log 3 = ???

log : int -> int

```
fun log (x:int) : int =  
  if x = 1 then 0 else 1 + log (x div 2)
```

log 3 = ???

- Q: How can we *describe* this function?
- A: ***Specify*** its ***applicative behavior***...

log : int -> int

```
fun log (x:int) : int =  
  if x = 1 then 0 else 1 + log (x div 2)
```

log 3 = ???

- Q: How can we *describe* this function?
- A: ***Specify*** its ***applicative behavior***...
 - For what argument values does it terminate?

log : int -> int

```
fun log (x:int) : int =  
  if x = 1 then 0 else 1 + log (x div 2)
```

log 3 = ???

- Q: How can we *describe* this function?
- A: ***Specify*** its ***applicative behavior***...
 - For what argument values does it terminate?
 - How does the output relate to the input?

Specifications

For each function definition we specify:

- **Type**
(showing *argument type* and *result type*)
- **Assumption**
(about *argument* value)
- **Guarantee**
(about *result* value, when assumption holds)

Format

```
fun log (x:int) : int =  
  if x=1 then 0 else 1 + log (x div 2)
```

```
(* TYPE           log : int -> int      *)
```

```
(* REQUIRES       ... x ...              *)
```

```
(* ENSURES        ... log x ....         *)
```

type

assumption

guarantee

For all values $x : \text{int}$ satisfying the **assumption**,
 $\text{log } x : \text{int}$ and its value satisfies the **guarantee**

Any ideas?

log spec

```
fun log (x:int) : int =  
  if x=1 then 0 else 1 + log (x div 2)
```

```
(* TYPE          log : int -> int          *)
```

```
(* REQUIRES  x > 0          *)
```

```
(* ENSURES  log x = the integer k ≥ 0  *)
```

```
(*          such that 2k ≤ x < 2k+1  *)
```

log spec

```
fun log (x:int) : int =  
  if x=1 then 0 else 1 + log (x div 2)
```

```
(* TYPE          log : int -> int          *)
```

```
(* REQUIRES  x > 0          *)
```

```
(* ENSURES  log x = the integer k ≥ 0  *)
```

```
(*          such that 2k ≤ x < 2k+1  *)
```

For all integers x such that $x > 0$,
the value of $\log x$ is an integer k
such that $2^k \leq x < 2^{k+1}$

notes

- Can use \Rightarrow^* or $=$ in specs
- Use *math and logic* ***accurately!***
- A function can have *several* specs...

different **assumptions**
may lead to
different **guarantee**

another log spec

```
fun log (x:int) : int =  
  if x=1 then 0 else 1 + log (x div 2)
```

```
(* log : int -> int *)
```

```
(* REQUIRES x is a power of 2 *)
```

```
(* ENSURES log x = the integer k *)
```

```
(* such that  $2^k = x$  *)
```

another log spec

```
fun log (x:int) : int =  
  if x=1 then 0 else 1 + log (x div 2)
```

```
(* log : int -> int *)
```

```
(* REQUIRES x is a power of 2 *)
```

```
(* ENSURES log x = the integer k *)
```

```
(* such that  $2^k = x$  *)
```

(a weaker spec ... why?)

another log spec

```
fun log (x:int) : int =  
  if x=1 then 0 else 1 + log (x div 2)
```

```
(* log : int -> int *)
```

```
(* REQUIRES x is a power of 2 *)
```

```
(* ENSURES log x = the integer k *)
```

```
(* such that  $2^k = x$  *)
```

(a weaker spec ... why?)

(it's actually *implied by* the previous spec)

decimal spec

```
fun decimal (n:int) : int list =  
    if n < 10 then [n]  
    else (n mod 10) :: decimal (n div 10)
```

TYPE decimal : int -> int list

REQUIRES $n \geq 0$

[illegible]

decimal 42 = [2,4]

eval spec

```
fun eval ([ ] : int list) : int = 0
| eval (d::L) = d + 10 * (eval L)
```

TYPE $\text{eval} : \text{int list} \rightarrow \text{int}$

REQUIRES $R = \text{the decimal digit list for } n$

ENSURES $\text{eval } R = n$

connection

- `eval` and `decimal` are designed to fit together
- They satisfy a ***combined spec***

TYPE	<code>decimal : int -> int list</code> <code>eval : int list -> int</code>
------	---

REQUIRES	<code>$n \geq 0$</code>
----------	------------------------------------

ENSURES	<code>$\text{eval}(\text{decimal } n) = n$</code>
---------	--

connection

- `eval` and `decimal` are designed to fit together
- They satisfy a ***combined spec***

TYPE	<code>decimal : int -> int list</code> <code>eval : int list -> int</code>
REQUIRES	<code>n ≥ 0</code>
ENSURES	<code>eval(decimal n) = n</code>

NOTE: this spec tells us that
`decimal n` evaluates to a value, for `n ≥ 0`

Evaluation

- Expression evaluation produces a value *if it terminates*
 - $e \Rightarrow^k e'$ *e evaluates to e' in k steps*
 - $e \Rightarrow^* v$ *e evaluates to v in finitely many steps*
- Declarations produce value bindings
 - $d \Rightarrow^* x_1:v_1, \dots, x_k:v_k$
- Matching a pattern to a value
either *succeeds* with bindings, or *fails*
 - $\text{match}(p, v) \Rightarrow^* x_1:v_1, \dots, x_k:v_k \mid \text{fail}$



Substitution

For bindings $x_1:v_1, \dots, x_k:v_k$ and expression e we write

$$\llbracket x_1:v_1, \dots, x_k:v_k \rrbracket e$$

for the expression obtained by substituting

$$v_1 \text{ for } x_1, \dots, v_k \text{ for } x_k \quad \text{in } e$$

(substitute for free occurrences, only)

$$\llbracket x:2 \rrbracket (x + x) \quad \text{is} \quad 2 + 2$$

$$\llbracket x:2 \rrbracket (\text{fn } y \Rightarrow x + y) \quad \text{is} \quad \text{fn } y \Rightarrow 2 + y$$

$$\llbracket x:2 \rrbracket (\text{fn } x \Rightarrow x + x) \quad \text{is} \quad \text{fn } x \Rightarrow x + x$$

rules (mostly for sequential evaluation)

- For each syntactic construct we give *evaluation rules* for \Rightarrow (“one-step-to”)
 - showing order-of-evaluation
- We derive *evaluation laws* for \Rightarrow^* (“many-steps-to”)
 - *how* expressions evaluate
 - what is the value, if it terminates
- We can also count number of steps $\Rightarrow^{(n)}$
 (“takes n steps to”)

addition rules

$e_1 + e_2$ evaluates from left-to-right

$$\frac{e_1 \Rightarrow e_1'}{e_1 + e_2 \Rightarrow e_1' + e_2}$$

if e_1 steps to e_1' ,
then $e_1 + e_2$ steps to $e_1' + e_2$

$e_i, v_i : \text{int}$

$$\frac{e_2 \Rightarrow e_2'}{v_1 + e_2 \Rightarrow v_1 + e_2'}$$

$v_1 + v_2$
steps to
the numeral for $v_1 + v_2$

$$\frac{}{v_1 + v_2 \Rightarrow v} \quad \text{where } v = v_1 + v_2$$

addition law

(follows from the rules)

If

$$e_1 \Rightarrow^* v_1 \quad \text{and} \quad e_2 \Rightarrow^* v_2 \quad \text{and} \quad v = v_1 + v_2$$

then

$$e_1 + e_2 \Rightarrow^* v_1 + e_2 \Rightarrow^* v_1 + v_2 \Rightarrow v$$

$$e_1 + e_2 \Rightarrow^* v$$

addition law

(follows from the rules)

If

$$e_1 \Rightarrow^* v_1 \quad \text{and} \quad e_2 \Rightarrow^* v_2 \quad \text{and} \quad v = v_1 + v_2$$

then

$$e_1 + e_2 \Rightarrow^* v_1 + e_2 \Rightarrow^* v_1 + v_2 \Rightarrow v$$

$$e_1 + e_2 \Rightarrow^* v$$

$$(2+2) + (3+3)$$

$$\Rightarrow 4 + (3+3)$$

$$\Rightarrow 4 + 6$$

$$\Rightarrow 10$$

addition law

(follows from the rules)

If

$$e_1 \Rightarrow^* v_1 \quad \text{and} \quad e_2 \Rightarrow^* v_2 \quad \text{and} \quad v = v_1 + v_2$$

then

$$e_1 + e_2 \Rightarrow^* v_1 + e_2 \Rightarrow^* v_1 + v_2 \Rightarrow v$$

$$e_1 + e_2 \Rightarrow^* v$$

$$\begin{aligned} (2+2) + (3+3) \\ \Rightarrow 4 + (3+3) \\ \Rightarrow 4 + 6 \\ \Rightarrow 10 \end{aligned}$$

$$(2+2) + (3+3) \Rightarrow^* 10$$

addition law

(follows from the rules)

If

$$e_1 \Rightarrow^* v_1 \quad \text{and} \quad e_2 \Rightarrow^* v_2 \quad \text{and} \quad v = v_1 + v_2$$

then

$$e_1 + e_2 \Rightarrow^* v_1 + e_2 \Rightarrow^* v_1 + v_2 \Rightarrow v$$

$$e_1 + e_2 \Rightarrow^* v$$

$$\begin{aligned} (2+2) + (3+3) \\ \Rightarrow 4 + (3+3) \\ \Rightarrow 4 + 6 \\ \Rightarrow 10 \end{aligned}$$

$$(2+2) + (3+3) \Rightarrow^* 10$$

$$(2+2) + (3+3) \Rightarrow^{(3)} 10$$

addition law

(also follows from the rules)

If $e_1 + e_2 \Rightarrow^* v$

there must be $v_1 : \text{int}$ and $v_2 : \text{int}$ such that

$e_1 \Rightarrow^* v_1$ and $e_2 \Rightarrow^* v_2$ and $v = v_1 + v_2$

and the evaluation looks like

$e_1 + e_2 \Rightarrow^* v_1 + e_2 \Rightarrow^* v_1 + v_2 \Rightarrow v$

(this shows the order of evaluation clearly!)

application rules

“a function always evaluates its argument”

$$\frac{e_1 \Rightarrow e_1'}{e_1 \ e_2 \Rightarrow e_1' \ e_2}$$
$$e_2 \Rightarrow e_2'$$

$e_1 \ e_2$
evaluates e_1 to a function,
evaluates e_2 to a value,
substitutes the value
into the function body,
then evaluates the body

$$(\mathbf{fn} \ x \Rightarrow e) \ e_2 \Rightarrow (\mathbf{fn} \ x \Rightarrow e) \ e_2'$$

$$(\mathbf{fn} \ x \Rightarrow e) \ v \Rightarrow \llbracket x:v \rrbracket e$$

*(this rule only applicable when
function and argument
have been evaluated to values)*

(call-by-value)

application law

(follows from the rules)

If

$e_1 \Rightarrow^* (\text{fn } x \Rightarrow e)$ and $e_2 \Rightarrow^* v$

then

$e_1 \ e_2 \Rightarrow^* [x:v]e$

application law

(follows from the rules)

If

$e_1 \Rightarrow^* (\text{fn } x \Rightarrow e) \text{ and } e_2 \Rightarrow^* v$

then

$e_1 \ e_2 \Rightarrow^* [x:v]e$

*this expression
may need further
evaluation*

application law

(also follows from the rules)

If $e_1 \ e_2 \Rightarrow^* v$

there must be values

$(\text{fn } x \Rightarrow e) : t_1 \rightarrow t_2$ and $v_2 : t_1$

such that

$e_1 \Rightarrow^* (\text{fn } x \Rightarrow e)$ and $e_2 \Rightarrow^* v_2$

and

$e_1 \ e_2 \Rightarrow^* (\text{fn } x \Rightarrow e) \ e_2$

$\Rightarrow^* (\text{fn } x \Rightarrow e) \ v_2$

$\Rightarrow [x:v_2]e$

$\Rightarrow^* v$

More rules

- **div** and **mod** evaluate from left to right
- **List expressions**
 $[e_1, \dots, e_n]$, $e_1 :: e_2$, and $e_1 @ e_2$
all evaluate from left to right
- **Tuple expressions** (e_1, \dots, e_n)
can be evaluated from left to right,
or (as we'll see later) in parallel.

More rules



Declaration rule

In the scope of **fun** $f(p) = e$,

$$\frac{}{f \Rightarrow (\mathbf{fn} \ p \Rightarrow e)}$$

Declaration rule

In the scope of **fun** $f(p) = e$,

$$\frac{}{f \Rightarrow (\mathbf{fn} \ p \Rightarrow e)}$$

fun divmod(x, y) = ($x \ \mathbf{div} \ y, x \ \mathbf{mod} \ y$)

Declaration rule

In the scope of **fun** $f(p) = e$,

$$\frac{}{f \Rightarrow (\mathbf{fn} \ p \Rightarrow e)}$$

fun divmod(x, y) = (x **div** y , x **mod** y)

divmod (3,2)

Declaration rule

In the scope of **fun** $f(p) = e$,

$$\frac{}{f \Rightarrow (\mathbf{fn} \ p \Rightarrow e)}$$

fun divmod(x, y) = ($x \ \mathbf{div} \ y, x \ \mathbf{mod} \ y$)

divmod (3,2)

$\Rightarrow (\mathbf{fn}(x, y) \Rightarrow (x \ \mathbf{div} \ y, x \ \mathbf{mod} \ y)) \ (3,2)$

Declaration rule

In the scope of **fun** $f(p) = e$,

$$\frac{}{f \Rightarrow (\mathbf{fn} \ p \Rightarrow e)}$$

fun divmod(x, y) = ($x \ \mathbf{div} \ y, x \ \mathbf{mod} \ y$)

divmod (3,2)

$\Rightarrow (\mathbf{fn}(x, y) \Rightarrow (x \ \mathbf{div} \ y, x \ \mathbf{mod} \ y)) \ (3,2)$

$\Rightarrow (3 \ \mathbf{div} \ 2, 3 \ \mathbf{mod} \ 2)$

Declaration rule

In the scope of **fun** $f(p) = e$,

$$\frac{}{f \Rightarrow (\mathbf{fn} \ p \Rightarrow e)}$$

fun divmod(x, y) = ($x \mathbf{div} y, x \mathbf{mod} y$)

divmod (3,2)

$\Rightarrow (\mathbf{fn}(x, y) \Rightarrow (x \mathbf{div} y, x \mathbf{mod} y)) (3,2)$

$\Rightarrow (3 \mathbf{div} 2, 3 \mathbf{mod} 2)$

$\Rightarrow (1, 3 \mathbf{mod} 2)$

Declaration rule

In the scope of **fun** $f(p) = e$,

$$\frac{}{f \Rightarrow (\mathbf{fn} \ p \Rightarrow e)}$$

fun divmod(x, y) = ($x \ \mathbf{div} \ y, x \ \mathbf{mod} \ y$)

divmod (3,2)

$\Rightarrow (\mathbf{fn}(x, y) \Rightarrow (x \ \mathbf{div} \ y, x \ \mathbf{mod} \ y)) \ (3,2)$

$\Rightarrow (3 \ \mathbf{div} \ 2, 3 \ \mathbf{mod} \ 2)$

$\Rightarrow (1, 3 \ \mathbf{mod} \ 2)$

$\Rightarrow (1, 1)$

example

```
fun silly x = silly x;  
(fn y => 0) (silly 42)      doesn't terminate
```

example

```
fun silly x = silly x;  
(fn y => 0) (silly 42)      doesn't terminate
```

```
(fn y => 0) (silly 42)
```

example

```
fun silly x = silly x;  
(fn y => 0) (silly 42)      doesn't terminate
```

```
(fn y => 0) (silly 42)
```



example

```
fun silly x = silly x;  
(fn y => 0) (silly 42)      doesn't terminate
```

```
(fn y => 0) (silly 42)
```

```
⇒ (fn y => 0) ((fn x => silly x) 42)
```

example

fun silly x = silly x;
(**fn** y => 0) (silly 42) doesn't terminate

(**fn** y => 0) (silly 42)

⇒ (**fn** y => 0) ((**fn** x => silly x) 42)

⇒

example

fun silly x = silly x;
(**fn** y => 0) (silly 42) doesn't terminate

(**fn** y => 0) (silly 42)

⇒ (**fn** y => 0) ((**fn** x => silly x) 42)

⇒ (**fn** y => 0) (silly 42)

example

fun silly x = silly x;

(**fn** y => 0) (silly 42) doesn't terminate

(**fn** y => 0) (silly 42)

⇒ (**fn** y => 0) ((**fn** x => silly x) 42)

⇒ (**fn** y => 0) (silly 42)

ad infinitum

example

fun silly x = silly x;

(**fn** y => 0) (silly 42) doesn't terminate

(**fn** y => 0) (silly 42)

⇒ (**fn** y => 0) ((**fn** x => silly x) 42)

⇒ (**fn** y => 0) (silly 42)

ad infinitum

*functions
evaluate
their argument*

Comments

- Using \Rightarrow we can talk about evaluation order and the number of steps
- But we may want to *ignore* such details...

For all expressions $e_1, e_2 : \text{int}$ and all values $v : \text{int}$,
if $e_1 + e_2 \Rightarrow^* v$ then $e_2 + e_1 \Rightarrow^* v$

Here we only care about the value

For all expressions $e_1, e_2 : \text{int}$,
 $e_1 + e_2 = e_2 + e_1$

*the same,
more succinctly*

Equivalence

(it's all about the value...)

- For each type t there is a *mathematical* notion of equivalence (or equality) $=_t$ for **values** of type t
- **Expressions** of type t are equivalent iff they evaluate to *equivalent* values, or both diverge

Equivalence

(it's all about the value...)

- For each type t there is a *mathematical* notion of equivalence (or equality) $=_t$ for **values** of type t

$$v_1 =_{\text{int}} v_2 \iff v_1 = v_2 \quad (\text{as expected!})$$

- **Expressions** of type t are equivalent iff they evaluate to *equivalent* values, or both diverge

Equivalence

(it's all about the value...)

- For each type t there is a *mathematical* notion of equivalence (or equality) $=_t$ for **values** of type t
- **Expressions** of type t are equivalent iff they evaluate to *equivalent* values, or both diverge

Equivalence

(it's all about the value...)

- For each type t there is a *mathematical* notion of equivalence (or equality) $=_t$ for **values** of type t

$$f_1 =_{\text{int} \rightarrow \text{int}} f_2 \iff$$

$$\forall v_1, v_2 : \text{int}. (v_1 =_{\text{int}} v_2 \text{ implies } f_1 v_1 =_{\text{int}} f_2 v_2)$$

- **Expressions** of type t are equivalent iff they evaluate to *equivalent* values, or both diverge

Equivalence

(it's all about the value...)

- For each type t there is a *mathematical* notion of equivalence (or equality) $=_t$ for **values** of type t

$$f_1 =_{\text{int} \rightarrow \text{int}} f_2 \iff$$

$$\forall v_1, v_2 : \text{int}. (v_1 =_{\text{int}} v_2 \text{ implies } f_1 v_1 =_{\text{int}} f_2 v_2)$$

(equivalent functions map equal arguments to equal results)

- **Expressions** of type t are equivalent iff they evaluate to *equivalent* values, or both diverge

Equations

- **Arithmetic**

$$e + 0 =_{\text{int}} e$$

$$e_1 + e_2 =_{\text{int}} e_2 + e_1$$

$$e_1 + (e_2 + e_3) =_{\text{int}} (e_1 + e_2) + e_3$$

$$21 + 21 =_{\text{int}} 42$$

- **Boolean**


$$\text{if true then } e_1 \text{ else } e_2 =_{\text{t}} e_1$$

$$\text{if false then } e_1 \text{ else } e_2 =_{\text{t}} e_2$$

$$(0 < 1) =_{\text{bool}} \text{true}$$

Equations

- **Application**



*only when
the argument
is a value*

$$(\mathbf{fn} \ x \Rightarrow e) \ v = [x:v]e$$

- **Declaration**

In the scope of

$$\mathbf{fun} \ f(x:t_1):t_2 = e$$

the equation

$$f =_{t_1 \rightarrow t_2} (\mathbf{fn} \ x \Rightarrow e)$$

holds

Equations

- **Application**

$$(\mathbf{fn} \ x \Rightarrow e) \ v \ = \ \llbracket x:v \rrbracket e$$

- **Declaration**

In the scope of

$$\mathbf{fun} \ f(x:t_1):t_2 = e$$

the equation

$$f =_{t_1 \rightarrow t_2} (\mathbf{fn} \ x \Rightarrow e)$$

holds

Equations

$$\text{let val } x = v \text{ in } e \text{ end} = \llbracket x:v \rrbracket e$$

- **Application**

$$(\text{fn } x \Rightarrow e) \ v = \llbracket x:v \rrbracket e$$

- **Declaration**

In the scope of

$$\text{fun } f(x:t_1):t_2 = e$$

the equation

$$f =_{t_1 \rightarrow t_2} (\text{fn } x \Rightarrow e)$$

holds

Compositionality

- Substitution of equals
 - If $e_1 = e_2$ and $e_1' = e_2'$
then $(e_1 \ e_1') = (e_2 \ e_2')$
 - If $e_1 = e_2$ and $e_1' = e_2'$
then $(e_1 + e_1') = (e_2 + e_2')$

and so on

Key facts

evaluation is consistent with equivalence

Key facts

evaluation is consistent with ***equivalence***

- $e : t$ and $e \Rightarrow^* v$ implies $v : t$ and $e =_t v$
- $e \Rightarrow^* v$ implies $(\text{fn } x \Rightarrow E) e = \llbracket x:v \rrbracket E$

Key facts

evaluation is consistent with ***equivalence***

- $e : t$ and $e \Rightarrow^* v$ implies $v : t$ and $e =_t v$
- $e \Rightarrow^* v$ implies $(\text{fn } x \Rightarrow E) e = \llbracket x:v \rrbracket E$

Standard ML of New Jersey

```
fun f(x:int) = 0;
```

```
...
```

```
- f 3;
```

```
- val it = 0 : int
```

$$f\ 3 \Rightarrow^* 0$$
$$f\ 3 =_{\text{int}} 0$$

Summary

- Patterns allow *elegant* function design
 - ☑ patterns match subset of values
 - ☑ function tries its clauses in order
 - ☑ so be careful about clause order
- Specifications can serve as *clear* documentation
 - ☑ TYPE + REQUIRES and ENSURES
 - ☑ equality and evaluation



**“I want a computer that does what
I want it to do, not what I tell it to do!”**

Coming soon

- Testing may be helpful,
but usually cannot cover *all* cases
- How to *prove* that a function
meets its specification...
- Proof methods use *induction*