15-150 Fall 2020

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Lecture 4

Proving correctness

Last time

- Specification format for a function F
 - type
 - assumption (REQUIRES)
 - guarantee (ENSURES)

For all (properly typed)

x satisfying the assumption,

F x satisfies the guarantee

Remember...

- Can use equivalence (a.k.a. equality, written =)
 to specify applicative behavior of functions
- Equality is compositional
- Equality is defined in terms of evaluation
- \Longrightarrow * is consistent with = and ML evaluation

Example

fun f(x:int):int = if x=0 then | else <math>f(x-1)

f:int -> int REQUIRES $x \ge 0$ ENSURES f x = I

f x = I
means the same as
$$f x \Longrightarrow^* I$$

```
fun eval ([]:int list) : int = 0
| eval (d::L) = d + 10 * (eval L)
```

eval: int list -> int

REQUIRES

L is a list of decimal digits

ENSURES

(eval L) \Longrightarrow * a non-negative integer

```
fun eval ([]:int list) : int = 0
| eval (d::L) = d + 10 * (eval L)
```

eval: int list -> int

a sufficient REQUIRES for the given ENSURES, but not the most general

REQUIRES

L is a list of decimal digits

ENSURES

(eval L) \Longrightarrow * a non-negative integer

```
fun eval ([]:int list) : int = 0
| eval (d::L) = d + 10 * (eval L)
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fun eval ([]:int list) : int = 0
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```

eval: int list -> int

REQUIRES

L is a list of decimal digits non-negative integers

ENSURES

(eval L) \Longrightarrow * a non-negative integer

decimal spec

```
fun decimal (n:int) : int list =
   if n<10 then [n]
       else (n mod 10) :: decimal (n div 10)

decimal : int -> int list
```

REQUIRES $n \ge 0$

```
ENSURES

decimal n =

a list L of decimal digits

such that (eval L) = n
```

decimal spec

```
fun decimal (n:int) : int list =

if n<10 then [n]

else (n mod 10) :: decimal (n div 10)
```

```
decimal: int -> int list
```

REQUIRES $n \ge 0$

ENSURES

decimal n =
 a list L of decimal digits
 such that (eval L) = n

This
REQUIRES
property is
just right,
for the given
ENSURES

Problem

How to show that a spec for a recursive function is valid

- Solution: Use induction to prove it
 - we offer templates to help with accuracy
- We focus on **examples...**

program structure guides proof

But first, what's a **proof**?

What is a proof?

A proof is a logical sequence of steps, leading to a conclusion.

- Each step must follow logically from math facts, or the results of earlier steps.

Simple induction

To prove a property of the form

$$\forall n \geq 0. P(n)$$

• First, prove P(0).

base

• Then show that, for $k \ge 0$, P(k+1) follows logically from P(k).

inductive step

Why this works

• P(0) gets a direct proof

base

P(0) implies P(1)

step (with k=0)

• P(1) implies P(2)

step (with k=1)

• ...

For each $n \ge 0$ we can establish P(n)

(follows from base after n uses of step)

Example

fun f(x:int):int = if x=0 then | else f(x-|)

```
REQUIRES n \ge 0
ENSURES f(n) = 1
```

To prove:

For all n:int such that $n \ge 0$, f(n) = 1(type) REQUIRES ENSURES

fun f(x:int):int = if x=0 then | else f(x-|)

Let P(n) be "f(n) = 1"

Theorem: $\forall n \geq 0$. P(n)

Proof: By simple induction on n.

fun f(x:int):int = if x=0 then | else f(x-|)

Let P(n) be "f(n) = 1"

Theorem: $\forall n \geq 0$. P(n)

Proof: By simple induction on n.

• **Base**: we prove P(0). Here's a proof:

f 0

fun f(x:int):int = if x=0 then | else f(x-|)

Let
$$P(n)$$
 be "f(n) = 1"

Theorem: $\forall n \geq 0$. P(n)

Proof: By simple induction on n.

$$f 0 = (fn x => if x=0 then | else f(x-1)) 0$$

fun f(x:int):int = if x=0 then | else f(x-|)

Let
$$P(n)$$
 be "f(n) = 1"

Theorem: $\forall n \geq 0$. P(n)

Proof: By simple induction on n.

$$f 0 = (fn \times => if \times=0 then \mid else f(x-1)) 0$$

= if 0=0 then | else f(0-1)

fun f(x:int):int = if x=0 then | else f(x-|)

Let
$$P(n)$$
 be "f(n) = 1"

Theorem: $\forall n \geq 0$. P(n)

Proof: By simple induction on n.

```
f 0 = (fn \times => if \times =0 then \mid else f(x-1)) 0
= if 0=0 then \left| else f(0-1)
= if true then \left| else f(0-1)
```

fun f(x:int):int = if x=0 then | else f(x-|)

Let
$$P(n)$$
 be "f(n) = 1"

Theorem: $\forall n \geq 0$. P(n)

Proof: By simple induction on n.

fun f(x:int):int = if x=0 then | else f(x-1)

Let
$$P(n)$$
 be "f(n) = 1"

Theorem: $\forall n \geq 0$. P(n)

Proof: By simple induction on n.

fun f(x:int):int = if x=0 then | else f(x-|)

Let
$$P(n)$$
 be "f(n) = 1"

Theorem: $\forall n \geq 0$. P(n)

Proof: By simple induction on n.

So f(0) = I. That's P(0).

```
f 0 = (fn \times => if \times =0 then \mid else f(x-1)) 0
= if 0=0 then | else f(0-1)
= if true then | else f(0-1)
= |
```

fun f(x:int):int = if x=0 then | else f(x-|)

• Inductive step:

```
Let k \ge 0 and assume P(k), f(k = 1).
We prove P(k+1), f(k+1) = 1.
```

Let v be the value of k+1, so v = k+1.
 f(k+1)

fun f(x:int):int = if x=0 then | else f(x-|)

• Inductive step:

```
Let k \ge 0 and assume P(k), f(k = 1).
We prove P(k+1), f(k+1) = 1.
```

• Let v be the value of k+1, so v = k+1. f(k+1) = (fn x => if x=0 then | else f(x-1))(k+1)

fun f(x:int):int = if x=0 then | else f(x-|)

• Inductive step:

```
Let k \ge 0 and assume P(k), f(k = 1).
We prove P(k+1), f(k+1) = 1.
```

• Let v be the value of k+1, so v = k+1. $f(k+1) = (\mathbf{fn} \times => \mathbf{if} \times =0 \mathbf{then} \mid \mathbf{else} f(x-1))(k+1)$ $= (\mathbf{fn} \times => \mathbf{if} \times =0 \mathbf{then} \mid \mathbf{else} f(x-1))(v)$

fun f(x:int):int = if x=0 then | else f(x-|)

• Inductive step:

```
Let k \ge 0 and assume P(k), f(k = 1).
We prove P(k+1), f(k+1) = 1.
```

```
f(k+1) = (fn \times => if \times =0 then \mid else f(x-1))(k+1)
= (fn \times => if \times =0 then \mid else f(x-1))(v)
= if v=0 then \mid else f(v-1)
```

fun f(x:int):int = if x=0 then | else f(x-|)

• Inductive step:

```
Let k \ge 0 and assume P(k), f(k = 1).
We prove P(k+1), f(k+1) = 1.
```

```
f(k+1) = (fn \times => if \times =0 then \mid else f(x-1))(k+1)
= (fn \times => if \times =0 then \mid else f(x-1))(v)
= if v=0 then \mid else f(v-1)
= if false then \mid else f(v-1)
```

fun f(x:int):int = if x=0 then | else f(x-|)

• Inductive step:

```
Let k \ge 0 and assume P(k), f(k = 1).
We prove P(k+1), f(k+1) = 1.
```

```
f(k+1) = (fn \times => if \times =0 then \mid else f(x-1))(k+1)
= (fn \times => if \times =0 then \mid else f(x-1))(v)
= if v=0 then \mid else f(v-1)
= if false then \mid else f(v-1)
= f(v-1)
```

fun f(x:int):int = if x=0 then | else f(x-|)

• Inductive step:

```
Let k \ge 0 and assume P(k), f(k = 1).
We prove P(k+1), f(k+1) = 1.
```

```
f(k+1) = (fn \times => if \times =0 then \mid else f(x-1))(k+1)
= (fn \times => if \times =0 then \mid else f(x-1))(v)
= if v=0 then \mid else f(v-1)
= if false then \mid else f(v-1)
= f(v-1)
= f(k)
```

fun f(x:int):int = if x=0 then | else f(x-|)

• Inductive step:

```
Let k \ge 0 and assume P(k), f(k = 1).
We prove P(k+1), f(k+1) = 1.
```

```
f(k+1) = (fn \times => if \times =0 then \mid else f(x-1))(k+1)
= (fn \times => if \times =0 then \mid else f(x-1))(v)
= if v=0 then \mid else f(v-1)
= if false then \mid else f(v-1)
= f(v-1)
= f(k) since v=k+1
```

fun f(x:int):int = if x=0 then | else f(x-|)

• Inductive step:

```
Let k \ge 0 and assume P(k), f(k = 1).
We prove P(k+1), f(k+1) = 1.
```

fun f(x:int):int = if x=0 then | else f(x-|)

• Inductive step:

```
Let k \ge 0 and assume P(k), f(k = 1).
We prove P(k+1), f(k+1) = 1.
```

• Let v be the value of k+1, so v = k+1.
f(k+1) = (fn x => if x=0 then | else f(x-1))(k+1)
= (fn x => if x=0 then | else f(x-1))(v)
= if v=0 then | else f(v-1)
= if false then | else f(v-1)
= f(v-1)

= f(k)= Isince v=k+Iby assumption P(k)

So P(k+1) holds.

Notes

- State the induction hypothesis clearly
- Use induction hypothesis only when justified
- Use equations and rules only when justified
- Use math and logic accurately
- Give explanation for non-trivial steps

Warning

- It's easy to write **bogus** proofs
- We want you to learn how to write excellent proofs
- Here are some bad examples, not to be copied...



Is this a proof of f 0 = 1?

$$f 0 = I$$

$$f 0 = 1$$

(fn x => if x=0 then | else f(x-1)) 0 = 1

$$f 0 = 1$$
(fn x => if x=0 then | else $f(x-1)$) 0 = 1
if 0=0 then | else $f(0-1)$ = |

```
\begin{array}{c} f \ 0 = | \\ (\text{fn } x => \text{ if } x=0 \text{ then } | \text{ else } f(x-1)) \ 0 = | \\ \text{if } 0=0 \text{ then } | \text{ else } f(0-1) = | \\ \text{if true then } | \text{ else } f(0-1) = | \end{array}
```

```
f 0 = | 
(fn \times => if \times =0 then | else f(x-1)) 0 = | 
if 0=0 then | else f(0-1) = | 
if true then | else f(0-1) = | 
| = |
```

$$f 0 = |$$

$$(fn \times => if \times =0 then \mid else f(x-1)) 0 = |$$

$$if 0=0 then \mid else f(0-1) = |$$

$$if true then \mid else f(0-1) = |$$

$$| = |$$
No, this just shows that
$$f 0 = |$$

$$f$$

The first line in this "proof" isn't (yet) a math fact!

is this a proof?

```
2 = I

I = 2 by symmetry

2+I = I+2 by adding

3=3 by arithmetic

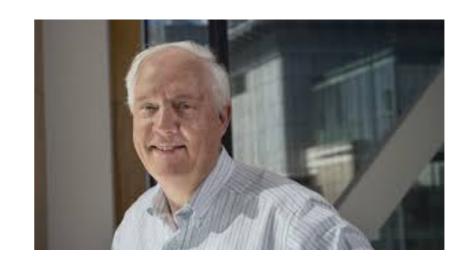
true
```

Is this a proof that 2 = 1?

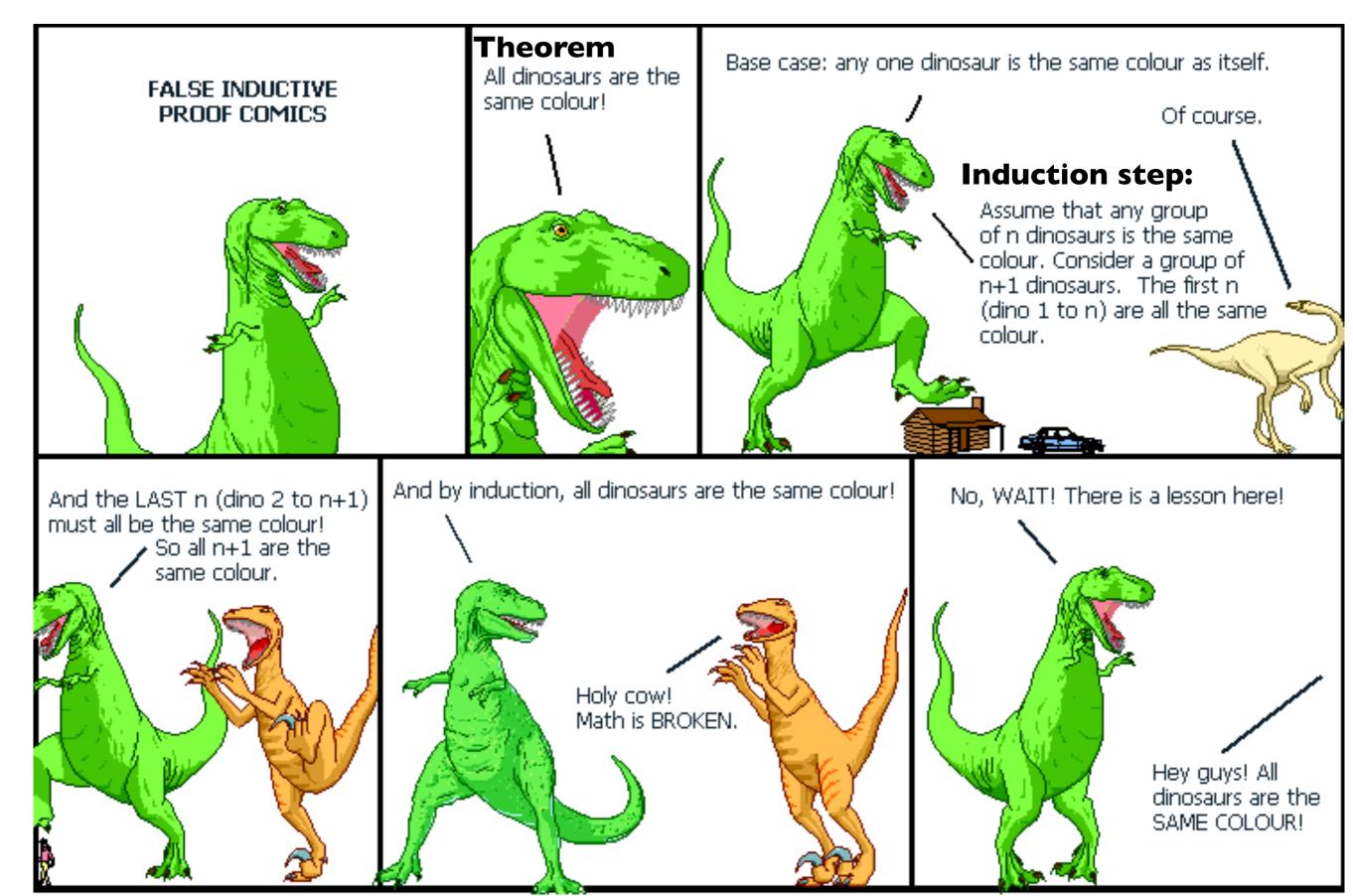
is this a proof?

Is this a proof that 2 = 1?



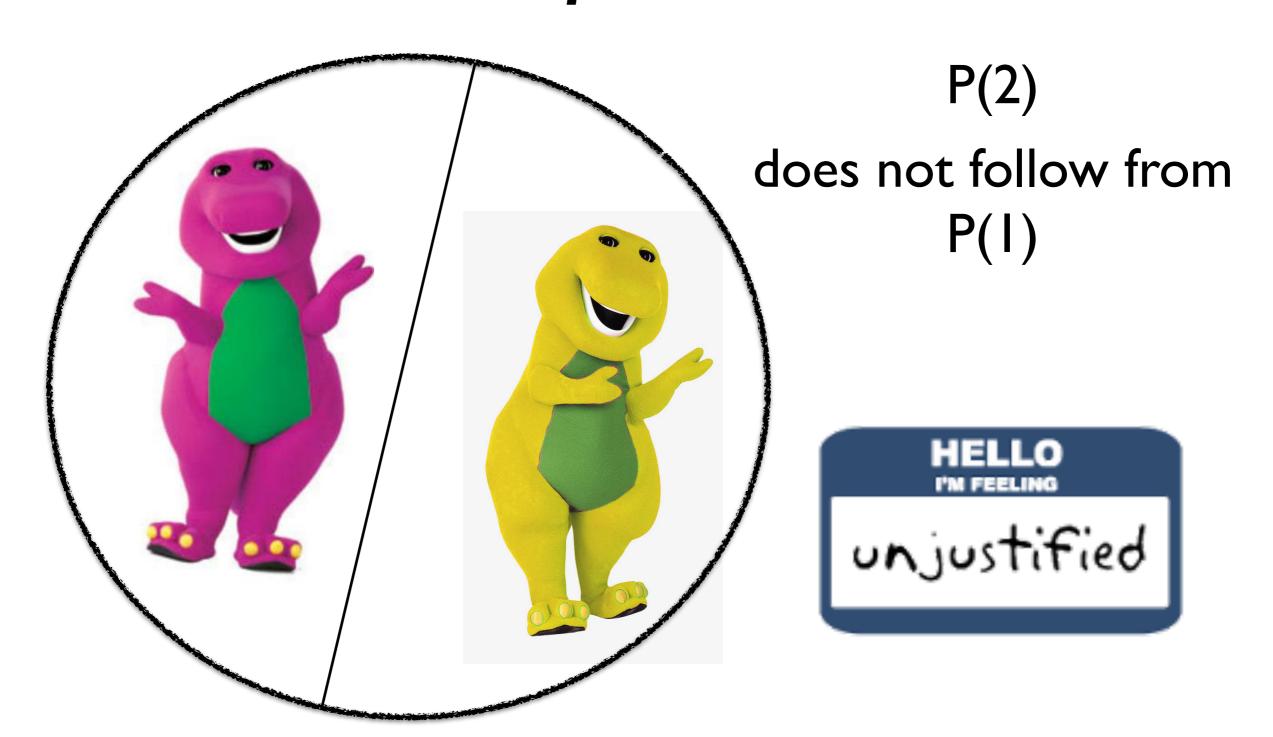


Every non-empty set of dinosaurs has the same colo(u)r.



The proof is wrong

• The *inductive step* is inaccurate



Is this a proof?

fun silly(x:int):int = silly(x)
fun hitchhiker(n:int):int = 42

Claim

For all values x : int, hitchhiker(silly x) = 42.

Proof

hitchhiker(silly x) = (
$$\mathbf{fn}$$
 n => 42) (silly x)
= [(silly x)/n] 42
= 42 ... QED

Is this a proof?

fun silly(x:int):int = silly(x)
fun hitchhiker(n:int):int = 42

Claim

For all values x : int, hitchhiker(silly x) = 42.

Proof

hitchhiker(silly x) = (
$$\mathbf{fn}$$
 n => 42) (silly x)
= [(silly x)/n] 42
= 42 ... QED

No! The substitution step isn't justified, because (silly x) is not a value.

What is a proof?

A proof is a logical sequence of steps, leading to a conclusion.

- Each step must follow logically from math facts, or the results of earlier steps.

An **excellent** proof has a true conclusion

A **bogus** proof can have a false conclusion

(again)

Using simple induction

- Q: When can I use simple induction to prove a property of a recursive function f?
- A: When we can find a non-negative measure of argument size and show that if f(x) calls f(y) then size(y) = size(x)-l

pick a notion of size appropriate for f

Which of the following can be proven by simple induction?

Which of the following can be proven by simple induction?

fact is total

Which of the following can be proven by simple induction?

Which of the following can be proven by simple induction?

For all $n \ge 0$, fact n evaluates to an integer value

Which of the following can be proven by simple induction?

Which of the following can be proven by simple induction?

sum is total

Which of the following can be proven by simple induction?

Which of the following can be proven by simple induction?

For all $n \ge 0$, fact n > n

Which of the following can be proven by simple induction?

Which of the following can be proven by simple induction?

For all n>1, fact n>n

eval again

eval :int list -> int

To prove:

For all integer lists L there is an integer n such that eval $L \Longrightarrow * n$

eval again

eval :int list -> int

```
fun eval [] = 0
| eval (d::L) = d + 10 * (eval L)
```

(The length of the argument list decreases in the recursive call)

To prove:

For all integer lists L there is an integer n such that eval $L \Longrightarrow * n$

Exercise

- Prove the specification for eval
- It's a simple induction on list length

This shows that

eval: int list -> int

is a **total** function.

For all values L: int list, eval L evaluates to a value.

Life's not simple

You cannot use **simple** induction on n for

```
fun decimal (n:int) : int list =
  if n<10 then [n]
  else (n mod 10) :: decimal (n div 10)</pre>
```

Why not?

We need a stronger form of induction...

Strong induction

To prove a property of the form

P(n), for all non-negative integers n

Show that, for all $k \geq 0$,

P(k) follows logically from P(0), ..., P(k-1).

inductive step

you can use any, all, or none to establish P(k)

Why this works

- P(0) gets a direct proof
- P(0) implies P(1)
- P(0), P(1) imply P(2)
- P(0), P(1), P(2) imply P(3)

For each $k \ge 0$ we can establish P(k)with k uses of step WHY?

step

step

step

Using strong induction

- Q: When can I use strong induction to prove a property of a recursive function f?
- A:When we can find a non-negative measure of argument size and show that if f(x) calls f(y) then size(y) < size(x)

Notes

- Sometimes, even for simple induction, it's convenient to handle several "base" cases at the same time
- A proof using strong induction may not need a separate "base" case analysis
 - can sometimes handle all possible arguments in the "inductive step"

Example

```
fun decimal (n:int) : int list =
  if n<10 then [n]
  else (n mod 10) :: decimal (n div 10)</pre>
```

To prove:

```
For all values n \ge 0, eval(decimal n) = n
```

Example

```
fun decimal (n:int) : int list =
  if n<10 then [n]
    else (n mod 10) :: decimal (n div 10)

When n≥10, we get 0 ≤ n div 10 < n</pre>
```

To prove:

```
For all values n \ge 0, eval(decimal n) = n
```

Example

```
fun decimal (n:int) : int list =
    if n<10 then [n]
              else (n mod 10) :: decimal (n div 10)
   When n \ge 10, we get 0 \le n \operatorname{div} 10 \le n
            so the argument value decreases,
                  stays non-negative,
                  in the recursive call
To prove:
                 For all values n \ge 0,
```

eval(decimal n) = n

Proof by strong induction

• For $0 \le n < 10$, show directly that eval(decimal n) = n

multiple base cases handled together

• For $n \ge 10$, assume that

For each m such that $0 \le m \le n$, eval(decimal m) = m

Then show that

eval(decimal n) = n

use inductive analysis for cases that make a recursive call

Reminder

```
fun eval [] = 0
  | eval (d::L) = d + 10 * (eval L)

fun decimal n =
  if n<10 then [n]
    else (n mod 10) :: decimal (n div 10)</pre>
```

We want to prove:

For all values $n \ge 0$, eval(decimal n) = n

Proof: will be by strong induction on n

(the base cases)

```
    For 0 ≤ n < 10 we have</li>
    eval(decimal n)
    = eval [n]
    = n
```

(That was easy!)

(We used the function definitions!)

(the inductive part)

• For $n \ge 10$ let $r = n \mod 10$, $q = n \dim 10$.

- For n ≥ 10 let r = n mod 10, q = n div 10.
 eval(decimal n)
 = eval ((n mod 10) :: decimal(n div 10))
 - = eval (r :: decimal q)

- For n ≥ 10 let r = n mod 10, q = n div 10.
 eval(decimal n)
 = eval ((n mod 10) :: decimal(n div 10))
 = eval (r :: decimal q)
- Since $0 \le q \le n$ it follows from IH that

- For n ≥ 10 let r = n mod 10, q = n div 10.
 eval(decimal n)
 = eval ((n mod 10) :: decimal(n div 10))
 - = eval (r :: decimal q)
- Since $0 \le q < n$ it follows from IH that eval(decimal q) = q

- For n ≥ 10 let r = n mod 10, q = n div 10.
 eval(decimal n)
 = eval ((n mod 10) :: decimal(n div 10))
 = eval (r :: decimal q)
- Since $0 \le q < n$ it follows from IH that eval(decimal q) = q
- Hence there is a list value Q such that

- For n ≥ 10 let r = n mod 10, q = n div 10.
 eval(decimal n)
 = eval ((n mod 10) :: decimal(n div 10))
 = eval (r :: decimal q)
- Since $0 \le q < n$ it follows from IH that eval(decimal q) = q
- Hence there is a list value Q such that decimal q = Q and eval Q = q

- For n ≥ 10 let r = n mod 10, q = n div 10.
 eval(decimal n)
 = eval ((n mod 10) :: decimal(n div 10))
 = eval (r :: decimal q)
- Since $0 \le q < n$ it follows from IH that eval(decimal q) = q
- Hence there is a list value Q such that decimal q = Q and eval Q = q
 So

- For n ≥ 10 let r = n mod 10, q = n div 10.
 eval(decimal n)
 = eval ((n mod 10) :: decimal(n div 10))
 = eval (r :: decimal q)
- Since $0 \le q < n$ it follows from IH that eval(decimal q) = q
- Hence there is a list value Q such that decimal q = Q and eval Q = q
 So eval (r :: decimal q) = eval (r::Q) = r + 10 * (eval Q) = r + 10 * q = n

(the inductive part)

- For n ≥ 10 let r = n mod 10, q = n div 10.
 eval(decimal n)
 = eval ((n mod 10) :: decimal(n div 10))
 = eval (r :: decimal q)
- Since $0 \le q < n$ it follows from IH that eval(decimal q) = q
- Hence there is a list value Q such that decimal q = Q and eval Q = q
 So eval (r :: decimal q) = eval (r::Q) = r + 10 * (eval Q) = r + 10 * q = n

This shows that eval(decimal n) = n

Proof sketch (conclusion)

Let P(n) be "eval(decimal n) = n"

• The base analysis shows P(0), P(1), ..., P(9)

• The inductive analysis shows that for $n \ge 10$, P(n) follows from $\{P(0),...P(n-1)\}$

• Hence, for all $n \ge 0$, P(n) holds

Notes

- We used equational reasoning to show that for all **values** $n \ge 0$, eval(decimal n) = n
- It follows that for all expressions e:int, if e ⇒* n and n ≥ 0, then
 eval(decimal e) ⇒* n

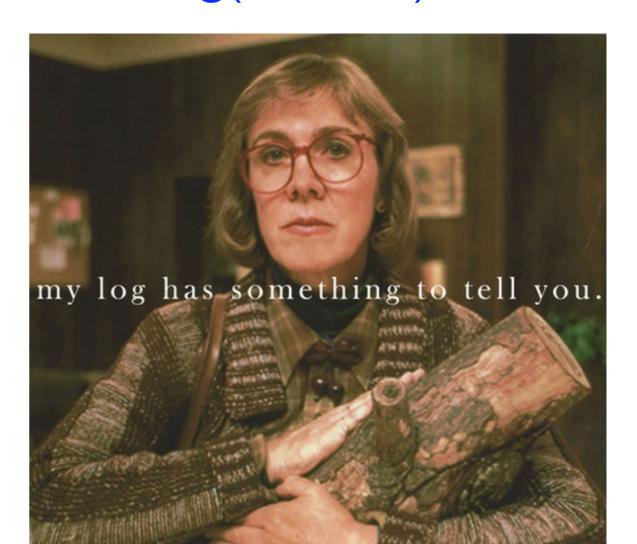
So far

- Simple and strong induction
- Examples of their use
- Just the beginning...

Next

• What would you do?

```
fun log(x:int):int =
  if x=| then 0 else | + log(x div 2)
```



```
fun log(x:int):int =
  if x=| then 0 else | + log(x div 2)
```

```
fun log(x:int):int =
  if x=| then 0 else | + log(x div 2)
```

log:int->int

```
fun log(x:int):int =
  if x=| then 0 else | + log(x div 2)
```

log:int->int

REQUIRES n > 0

```
fun log(x:int):int =
  if x=| then 0 else | + log(x div 2)
```

log:int->int

REQUIRES n > 0

ENSURES log n keeps dividing n by 2 until it gets to l

```
fun log(x:int):int =
  if x=| then 0 else | + log(x div 2)
```

log:int->int

REQUIRES n > 0

ENSURES log n keeps dividing n by 2 until it gets to 1

too vague... doesn't describe the result

```
fun log(x:int):int =
  if x=| then 0 else | + log(x div 2)
```

log:int->int

REQUIRES n > 0

```
fun log(x:int):int =
  if x=| then 0 else | + log(x div 2)
```

log:int->int

REQUIRES n > 0

ENSURES log n evaluates to an integer k

```
fun log(x:int):int =
  if x=| then 0 else | + log(x div 2)
```

log:int->int

REQUIRES n > 0

ENSURES log n evaluates to an integer k such that $2^k \le n < 2^{k+1}$

```
fun log(x:int):int =
if x=1 then 0 else l + log(x div 2)
```

log:int->int

REQUIRES n > 0

ENSURES log n evaluates to an integer k such that $2^k \le n < 2^{k+1}$

describes the key properties of the result value

Exercise

- Show that for each integer n > 0, there is a unique integer k such that $2^k \le n < 2^{k+1}$
 - this k is called the logarithm (base 2) of n
- Prove the spec for log

log computes logarithms (base 2)