

# CS 511, Fall 2020, Lecture Slides 21

## Deductive Closures and First-Order Theories

Assaf Kfoury

October 6, 2020

# deductive closures and first-order theories

## deductive closures and first-order theories

- ▶ Let  $\Gamma$  be a set of first-order sentences over signature  $\Sigma$ .  
The **deductive closure** of  $\Gamma$  is:

$$\overline{\Gamma} \stackrel{\text{def}}{=} \{ \varphi \mid \varphi \text{ first-order sentence s.t. } \Gamma \vdash \varphi \}$$

# deductive closures and first-order theories

- ▶ Let  $\Gamma$  be a set of first-order sentences over signature  $\Sigma$ .  
The **deductive closure** of  $\Gamma$  is:

$$\overline{\Gamma} \stackrel{\text{def}}{=} \{ \varphi \mid \varphi \text{ first-order sentence s.t. } \Gamma \vdash \varphi \}$$

- ▶ A **first-order theory**  $\mathcal{T}$  over signature  $\Sigma$  consists of:
  - ▶ a set  $\mathcal{A}$  of **axioms**, which are first-order sentences over  $\Sigma$ ,
  - ▶ together with all first-order sentences deducible from  $\mathcal{A}$ .

# deductive closures and first-order theories

- ▶ Let  $\Gamma$  be a set of first-order sentences over signature  $\Sigma$ .  
The **deductive closure** of  $\Gamma$  is:

$$\overline{\Gamma} \stackrel{\text{def}}{=} \{ \varphi \mid \varphi \text{ first-order sentence s.t. } \Gamma \vdash \varphi \}$$

- ▶ A **first-order theory**  $\mathcal{T}$  over signature  $\Sigma$  consists of:
  - ▶ a set  $\mathcal{A}$  of **axioms**, which are first-order sentences over  $\Sigma$ ,
  - ▶ together with all first-order sentences deducible from  $\mathcal{A}$ .

Equivalently, a **first-order theory**  
is the **deductive closure** of a set of first-order sentences.

# the first-order theory of a relational structure

- If  $\mathcal{M}$  is a relational structure, the **first-order theory of  $\mathcal{M}$**  is:

$$\text{Th}(\mathcal{M}) \stackrel{\text{def}}{=} \{ \varphi \mid \varphi \text{ is a first-order sentence s.t. } \mathcal{M} \models \varphi \}$$

**Question:** Is  $\text{Th}(\mathcal{M})$  deductively closed?

# the first-order theory of a relational structure

- ▶ If  $\mathcal{M}$  is a relational structure, the **first-order theory of  $\mathcal{M}$**  is:

$$\text{Th}(\mathcal{M}) \stackrel{\text{def}}{=} \{ \varphi \mid \varphi \text{ is a first-order sentence s.t. } \mathcal{M} \models \varphi \}$$

**Question:** Is  $\text{Th}(\mathcal{M})$  deductively closed?

- ▶ Yes! Can you explain why?

# the first-order theory of $\mathcal{N} \stackrel{\text{def}}{=} (\mathbb{N}, 0, S)$

Consider again the structure  $\mathcal{N} \stackrel{\text{def}}{=} (\mathbb{N}, 0, S)$  in Lecture Slides 20.

The first-order theory of  $\mathcal{N}$  is:

$$\text{Th}(\mathcal{N}) \stackrel{\text{def}}{=} \{ \varphi \mid \varphi \text{ is a first-order sentence s.t. } \mathcal{N} \models \varphi \}$$

Some sentences that are true in  $\mathcal{N}$ :

$$\text{S1} \quad \forall x \neg (Sx \approx 0)$$

$$\text{S2} \quad \forall x \forall y (Sx \approx Sy \rightarrow x \approx y)$$

$$\text{S3} \quad \forall y (\neg(y \approx 0) \rightarrow \exists x (y \approx Sx))$$

$$\text{S4.1} \quad \forall x \neg (Sx \approx x)$$

$$\text{S4.2} \quad \forall x \neg (SSx \approx x)$$

...

$$\text{S4.n} \quad \forall x \neg (\underbrace{S \cdots S}_{n} x \approx x)$$

...



## the first-order theory of $\mathcal{N} \stackrel{\text{def}}{=} (\mathbb{N}, 0, S)$

- ▶ let  $\Gamma = \{S1, S2, S3, S4.1, S4.2, S4.3, \dots\}$
- ▶ clearly  $\mathcal{N} \models \varphi$  for every  $\varphi \in \Gamma$   
so that  $\Gamma \subseteq \text{Th}(\mathcal{N})$

## the first-order theory of $\mathcal{N} \stackrel{\text{def}}{=} (\mathbb{N}, 0, S)$

- ▶ let  $\Gamma = \{S1, S2, S3, S4.1, S4.2, S4.3, \dots\}$
- ▶ clearly  $\mathcal{N} \models \varphi$  for every  $\varphi \in \Gamma$   
so that  $\Gamma \subseteq \text{Th}(\mathcal{N})$
- ▶ what can we say about the deductive closure of the set  $\Gamma$  above:  
 $\overline{\Gamma} = \{ \varphi \mid \varphi \text{ first-order sentence s.t. } \Gamma \vdash \varphi \} ?$

## the first-order theory of $\mathcal{N} \stackrel{\text{def}}{=} (\mathbb{N}, 0, S)$

- ▶ let  $\Gamma = \{S1, S2, S3, S4.1, S4.2, S4.3, \dots\}$
- ▶ clearly  $\mathcal{N} \models \varphi$  for every  $\varphi \in \Gamma$   
so that  $\Gamma \subseteq \text{Th}(\mathcal{N})$
- ▶ what can we say about the deductive closure of the set  $\Gamma$  above:  
 $\bar{\Gamma} = \{\varphi \mid \varphi \text{ first-order sentence s.t. } \Gamma \vdash \varphi\}$  ?
- ▶ certainly  $\bar{\Gamma} \subseteq \text{Th}(\mathcal{N})$ , by soundness
- ▶ in fact, the equality holds:

$$\bar{\Gamma} = \text{Th}(\mathcal{N}) \quad (\text{not shown here})$$

## the first-order theory of $\mathcal{N} \stackrel{\text{def}}{=} (\mathbb{N}, 0, S)$

- ▶ let  $\Gamma = \{S1, S2, S3, S4.1, S4.2, S4.3, \dots\}$
- ▶ clearly  $\mathcal{N} \models \varphi$  for every  $\varphi \in \Gamma$   
so that  $\Gamma \subseteq \text{Th}(\mathcal{N})$
- ▶ what can we say about the **deductive closure** of the set  $\Gamma$  above:  
 $\bar{\Gamma} = \{\varphi \mid \varphi \text{ first-order sentence s.t. } \Gamma \vdash \varphi\}$  ?
- ▶ certainly  $\bar{\Gamma} \subseteq \text{Th}(\mathcal{N})$ , by soundness
- ▶ in fact, the equality holds:

$$\bar{\Gamma} = \text{Th}(\mathcal{N}) \quad (\text{not shown here})$$

- ▶ we therefore say that  $\Gamma$  is an **axiomatization** of  $\text{Th}(\mathcal{N})$  because  
every sentence  $\varphi$  made true by  $\mathcal{N}$  is formally deduced from  $\Gamma$

# first-order theories of several structures over domain $\mathbb{N}$

From Lecture Slides 20:

$$\mathcal{N} \stackrel{\text{def}}{=} (\mathbb{N}, 0, S), \quad \mathcal{N}_1 \stackrel{\text{def}}{=} (\mathbb{N}, 0, S, <), \quad \mathcal{N}_2 \stackrel{\text{def}}{=} (\mathbb{N}, 0, S, <, +)$$

$$\mathcal{N}_3 \stackrel{\text{def}}{=} (\mathbb{N}, 0, S, <, +, \cdot)$$

$$\mathcal{N}_4 \stackrel{\text{def}}{=} (\mathbb{N}, 0, S, <, +, \cdot, \text{pr}) \quad \text{where } \text{pr}(x) \stackrel{\text{def}}{=} \text{true iff } x \text{ is prime}$$

$$\mathcal{N}_5 \stackrel{\text{def}}{=} (\mathbb{N}, 0, S, <, +, \cdot, \text{pr}, \uparrow) \quad \text{where } x \uparrow y \stackrel{\text{def}}{=} x^y$$

## 1. **FACT**

The first-order theory of each of  $\mathcal{N}$ ,  $\mathcal{N}_1$ , and  $\mathcal{N}_2$ , is **axiomatizable** and **decidable**.

# first-order theories of several structures over domain $\mathbb{N}$

From Lecture Slides 20:

$$\mathcal{N} \stackrel{\text{def}}{=} (\mathbb{N}, 0, S), \quad \mathcal{N}_1 \stackrel{\text{def}}{=} (\mathbb{N}, 0, S, <), \quad \mathcal{N}_2 \stackrel{\text{def}}{=} (\mathbb{N}, 0, S, <, +)$$

$$\mathcal{N}_3 \stackrel{\text{def}}{=} (\mathbb{N}, 0, S, <, +, \cdot)$$

$$\mathcal{N}_4 \stackrel{\text{def}}{=} (\mathbb{N}, 0, S, <, +, \cdot, \text{pr}) \quad \text{where } \text{pr}(x) \stackrel{\text{def}}{=} \text{true iff } x \text{ is prime}$$

$$\mathcal{N}_5 \stackrel{\text{def}}{=} (\mathbb{N}, 0, S, <, +, \cdot, \text{pr}, \uparrow) \quad \text{where } x \uparrow y \stackrel{\text{def}}{=} x^y$$

## 1. **FACT**

The first-order theory of each of  $\mathcal{N}$ ,  $\mathcal{N}_1$ , and  $\mathcal{N}_2$ , is **axiomatizable** and **decidable**.

## 2. **FACT**

The first-order theory of each of  $\mathcal{N}_3$ ,  $\mathcal{N}_4$ , and  $\mathcal{N}_5$ , is **axiomatizable** but **not** decidable.

(THIS PAGE INTENTIONALLY LEFT BLANK)