

# Topos Theory

Notes from *Sheaves in Geometry and Logic*

Robbert Liu

UNIVERSITY OF TORONTO

August 13, 2024

# Contents

<b>1</b>	<b>Categories of Functors</b>	<b>2</b>
1.1	Presheaves . . . . .	2
1.2	Subobject Classifiers . . . . .	2
1.3	Limits and Colimits . . . . .	3
1.4	Exponentials . . . . .	3
1.5	Propositional Calculus . . . . .	4
1.6	Heyting Algebras . . . . .	4
1.7	Quantifiers . . . . .	5
<b>2</b>	<b>Sheaves of Sets</b>	<b>6</b>
2.1	Sheaves . . . . .	6
2.2	Sieves and Sheaves . . . . .	7
2.3	Sheaves and Sections . . . . .	7
2.4	Sheaves as Étale Spaces . . . . .	8
2.5	Sheaves with Algebraic Structure . . . . .	9
2.6	Inverse Image Sheaf . . . . .	9
<b>3</b>	<b>Grothendieck Topologies</b>	<b>10</b>
3.1	Generalized Neighbourhoods . . . . .	10
3.2	Grothendieck Topologies . . . . .	10
3.3	The Zariski Site . . . . .	12
3.4	Sheaves on a Site . . . . .	12
3.5	The Associated Sheaf Functor . . . . .	13
3.6	Exponentials . . . . .	13
3.7	Subobjects . . . . .	14
3.8	Subsheaves . . . . .	14
<b>4</b>	<b>Properties of Elementary Topoi</b>	<b>16</b>
4.1	Definition of a Topos . . . . .	16
4.2	The Construction of Exponentials . . . . .	18
4.3	Direct Image . . . . .	19
4.4	Monads and Beck's Theorem . . . . .	20
4.5	The Construction of Colimits . . . . .	21
4.6	Factorization and Images . . . . .	22
4.7	The Slice Topos . . . . .	22
4.8	Lattice Objects in a Topos . . . . .	23
4.9	The Beck-Chevalley Condition . . . . .	24
4.10	Injective Objects . . . . .	24
<b>5</b>	<b>Basic Constructions of Topoi</b>	<b>25</b>
5.1	Lawvere-Tierney Topologies . . . . .	25
5.2	Sheaves . . . . .	26
5.3	The Associated Sheaf Functor . . . . .	27

5.4	Lawvere-Tierney Subsumes Grothendieck . . . . .	27
5.5	Internal vs External . . . . .	27
<b>6</b>	<b>Topoi and Logic</b>	<b>29</b>
6.1	The Topos of Sets . . . . .	29
6.2	The Cohen Topos . . . . .	30
6.3	The Preservation of Cardinal Inequalities . . . . .	31
6.4	The Axiom of Choice . . . . .	32
6.5	The Mitchel-Bénabou Language . . . . .	33
6.6	Kripke-Joyal Semantics . . . . .	34
6.7	Sheaf Semantics . . . . .	34
<b>7</b>	<b>Completed Exercises</b>	<b>35</b>
<b>8</b>	<b>Thoughts</b>	<b>36</b>

# 1 Categories of Functors

## 1.1 Presheaves

**Definition 1.1** (Presheaf). Let  $\mathbf{Set}^{\mathbf{C}^{\text{op}}}$  be the category of all contravariant functors  $\mathbf{C}^{\text{op}} \rightarrow \mathbf{Set}$  for some small category  $\mathbf{C}$ . This category is sometimes denoted by  $\hat{\mathbf{C}}$ , and its elements are called **presheaves on  $\mathbf{C}$** . For any  $\mathcal{F} \in \hat{\mathbf{C}}$  and a morphism  $f : C \rightarrow D \in \mathbf{C}$ , we get an induced morphism  $\mathcal{F}f$  whose action on some  $x \in \mathcal{F}D$  is described by the equivalent notation

$$\mathcal{F}f(x) = x|f = x \cdot f = \text{“The restriction of } x \text{ along } f\text{.”}$$

## 1.2 Subobject Classifiers

**Definition 1.2** (Subobject). A **subobject** of  $X$  is an equivalence class  $[S \rightarrowtail X]$  of monomorphisms with codomain  $X$ . Abusing notation, we sometimes refer to the subobject by a representative  $S \rightarrowtail X$ , or simply by  $S$ .

**Definition 1.3** (Subobject Classifier). In a category  $\mathbf{C}$  with finite limits, a **subobject classifier** is a monomorphism  $\text{true} : 1 \rightarrowtail \Omega$  such that for every monomorphism  $S \rightarrowtail X$ , there is a unique **characteristic morphism**  $\phi : X \rightarrow \Omega$  forming the pullback square:

$$\begin{array}{ccc} S & \longrightarrow & 1 \\ \downarrow & & \downarrow \\ X & \xrightarrow[\phi]{\text{-----}} & \Omega \end{array}$$

**Definition 1.4** (Subobject Functor). There is a functor  $\text{Sub}_{\mathbf{C}} \in \hat{\mathbf{C}}$  sending  $X \in \mathbf{C}$  to its set of subobjects. For each morphism  $f : X \rightarrow X'$ , we get a morphism  $\text{Sub}_{\mathbf{C}}f : \text{Sub}_{\mathbf{C}}X \rightarrow \text{Sub}_{\mathbf{C}}X'$  defined on each subobject  $S \rightarrowtail X$  by pullback along  $f$  to a subobject  $S' \rightarrowtail X'$ .

**Theorem 1.1.** *A locally small, finitely complete category  $\mathbf{C}$  has a subobject classifier iff  $\text{Sub}_{\mathbf{C}}$  is representable: that is, for some object  $\Omega$ , we have a natural isomorphism*

$$\text{Sub}_{\mathbf{C}} \cong \text{Hom}_{\mathbf{C}}(-, \Omega) \cong \mathcal{J}(\Omega).$$

**Example 1.1** (Sieves). If a subobject classifier  $\Omega$  exists in  $\hat{\mathbf{C}}$ , then we can characterize  $\Omega$  completely by computing the subobjects of the Hom functors:

$$\text{Sub}_{\hat{\mathbf{C}}}(\mathcal{J}(C)) \cong \text{Hom}_{\mathbf{C}}(\mathcal{J}(C), \Omega) \stackrel{\mathcal{J}}{\cong} \Omega(C).$$

So  $\Omega$  is the functor mapping  $C$  to the set of subfunctors of  $\mathcal{J}(C)$ . Incidentally, the notion of subfunctor is adjacent to that of sieve. Given an object  $C \in \mathbf{C}$ , a **sieve** on  $C$  is a set of morphisms with codomain  $C$  closed under precomposition with any morphism in  $\mathbf{C}$ . Then there is a correspondence:

$$\text{Sieves on } C \leftrightarrow \text{Subfunctors of } \mathcal{J}(C).$$

### 1.3 Limits and Colimits

**Theorem 1.2.**  $\hat{\mathbf{C}}$  admits all finite limits and colimits.

*Proof.* In the case of limits—**Set** admits a final object 1 and, for each pair of morphisms  $f, g : B, C \rightarrow D$ , the pullback  $B \times_D C = \{(b, c) : f(b) = g(d)\}$ . Since limits in  $\hat{\mathbf{C}}$  are evaluated pointwise, it too admits a final functor  $1_{\hat{\mathbf{C}}} : C \mapsto 1_{\mathbf{Set}}$  and a pullback functor  $\mathcal{F} \times_{\mathcal{H}} \mathcal{G} : C \mapsto \mathcal{F}C \times_{\mathcal{H}C} \mathcal{G}C$  for each pair of natural transformations  $\mathcal{F}, \mathcal{G} \rightarrow \mathcal{H}$ .

This is sufficient to know  $\hat{\mathbf{C}}$  admits finite limits, since any finite limit can be constructed from equalizers and a products, which can be constructed as the following pullbacks, respectively:

$$\begin{array}{ccc} X \times Y & \longrightarrow & Y \\ \downarrow & & \downarrow \\ X & \longrightarrow & 1 \end{array} \quad \begin{array}{ccc} E & \longrightarrow & Y \\ e \downarrow & & \downarrow \Delta \\ X & \xrightarrow{(f,g)} & Y \times X \end{array}$$

By the principle of duality, since **Set** admits an initial object 0 and all pushforwards, all finite colimits exist in  $\hat{\mathbf{C}}$  as well. This proof is superfluous since both categories are (co)complete anyway.  $\blacksquare$

**Theorem 1.3.** Let  $\mathcal{A} : \mathbf{C} \rightarrow \mathbf{E}$  be a functor from a small category  $\mathbf{C}$  to a cocomplete category  $\mathbf{E}$ . Then there is an adjunction  $L_{\mathcal{A}} : \hat{\mathbf{C}} \xrightleftharpoons{\perp} \mathbf{E} : R_{\mathcal{A}}$  defined by

$$\begin{aligned} L_{\mathcal{A}}(\mathcal{F}) &= \text{Colim} \left( \int \pi \rightarrow \mathbf{C} \xrightarrow{\mathcal{A}} \mathbf{E} \right) \\ R_{\mathcal{A}}(E) &= \mathcal{E} : C \mapsto \text{Hom}_{\mathbf{E}}(\mathcal{A}(C), E) \end{aligned}$$

**Corollary 1.3.1.** If we take  $\mathbf{E}$  to be  $\hat{\mathbf{C}}$  and  $\mathcal{A}$  to be the Yoneda embedding  $\mathfrak{y}$ , then we have

$$R_{\mathfrak{y}}(E)(C) = \text{Hom}_{\mathbf{E}}(\mathfrak{y}(C), E) \cong E(C),$$

which proves  $L_{\mathcal{A}} \dashv R_{\mathcal{A}}$  to be (up to isomorphism) the adjunction  $\text{id}_{\hat{\mathbf{C}}} \dashv \text{id}_{\hat{\mathbf{C}}}$ .

**Corollary 1.3.2.** For each such functor  $\mathcal{A} : \mathbf{C} \rightarrow \mathbf{E}$ , there exists a colimit-preserving functor  $L_{\mathcal{A}} : \hat{\mathbf{C}} \rightarrow \mathbf{E}$  as defined above for which the following commutates:

$$\begin{array}{ccc} \hat{\mathbf{C}} & \xrightarrow{L_{\mathcal{A}}} & \mathbf{E} \\ \uparrow \mathfrak{y} & \nearrow \mathcal{A} & \\ \mathbf{C} & & \end{array}$$

### 1.4 Exponentials

**Definition 1.5** (Exponential). In a category  $\mathbf{C}$  with finite products, if the functor  $- \times X : \mathbf{C} \rightarrow \mathbf{C}$ , taking any object to its product with  $X$ , has a right adjoint, written  $Z \mapsto Z^X$ , then  $\mathbf{C}$  has an **exponential** for  $X$ . Then, if each object  $X$  admits an exponential, this induces a functor  $\langle X, Z \rangle \mapsto Z^X : \mathbf{C}^{\text{op}} \times \mathbf{C} \rightarrow \mathbf{C}$  called the **exponential** for  $\mathbf{C}$ .

The notation stems from the fact that in **Set**, the exponential  $Z^X$  turns out to be the usual set of functions  $X \rightarrow Z$ .

**Definition 1.6** (Evaluation). The counit of the adjunction  $(-)^X \dashv - \times X$  is called the **evaluation**  $e : (-)^X \times X \rightarrow \text{id}_{\mathcal{C}}$ , which satisfies a familiar universal property:

$$\begin{array}{ccc} Y \times X & & \\ \varphi' \times \text{id} \downarrow & \searrow \varphi & \\ Z^X \times X & \xrightarrow{e} & Z \end{array}$$

**Definition 1.7** (Cartesian Closed).  $\mathcal{C}$  is said to be **cartesian closed** if it admits a final object and an exponential. In such a category, all of the “exponential laws” hold.

$$1^X \cong 1, \quad X^1 \cong X, \quad (Y \times Z)^X \cong Y^X \times Z^X, \quad X^{Y \times Z} \cong (X^Y)^Z$$

**Theorem 1.4.** *For any small category  $\mathcal{C}$ , the category of presheaves  $\hat{\mathcal{C}}$  is cartesian closed.*

**Definition 1.8** (Topos). An **elementary topos**, or simply topos, is a category with all finite limits and colimits, an exponential  $(-)^{(-)}$ , and a subobject classifier  $1 \rightarrow \Omega$ .

## 1.5 Propositional Calculus

**Definition 1.9** ((Distributive) Lattice). A **lattice** is an set endowed with distinguished “endpoints” 0 and 1 and two binary operations  $\wedge$  and  $\vee$  which are associative and commutative, and satisfy

$$x \wedge x = x, \quad x \vee x = x, \quad 1 \wedge x = x, \quad 0 \vee x = x, \quad x \wedge (y \vee x) = x = (x \wedge y) \vee x$$

A lattice is **distributive** if the follow identity holds:

$$x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$$

which implies the complementary identity

$$x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z).$$

**Definition 1.10** (Boolean Algebra). A **complement** for an element  $x$  in a lattice with 0 and 1 is an element  $\neg x$  satisfying  $x \wedge \neg x = 0$ ,  $x \vee \neg x = 1$ . In a distributive lattice, a complement  $\neg x$ , if it exists, is unique.

A **Boolean algebra** is a distributive lattice with 0 and 1 in which every  $x$  has a complement  $\neg x$ . Every boolean algebra satisfies the DeMorgan laws.

## 1.6 Heyting Algebras

**Definition 1.11** (Heyting Algebra). A **Heyting algebra** is a poset with finite products and coproducts (meets and joins) which is cartesian closed. The exponential is usually denoted  $x \Rightarrow y$  and it is characterized by the adjunction

$$z \leq (x \rightarrow y) \text{ if and only if } z \wedge x \leq y.$$

So  $x \rightarrow y$  is the least upper bound for element  $z$  with  $z \wedge x \leq y$ .

**Example 1.2.** The prime example of a Boolean algebra is powerset of some set  $X$ , whereas Heyting algebras correspond to the set of open sets of some set  $X$ . Alternatively, Heyting algebras can be thought of as models of intuitionistic logic.

**Definition 1.12** (Negation in Heyting Algebras). In a Heyting algebra, we define the negation of  $x$  as  $\neg x = (x \rightarrow 0)$ . Thus, “not  $x$ ” is equivalent to “ $x$  implies absurdity”.

**Theorem 1.5.** *A Heyting algebra  $H$  is Boolean if and only if  $\neg\neg x = x$  for all  $x \in H$ , or, if and only if  $x \vee \neg x = 1$  for all  $x$ .*

**Theorem 1.6.** *Given a presheaf category  $\hat{\mathbf{C}}$  on a small category  $\mathbf{C}$ . Then for any object  $F$  in  $\mathbf{C}$ , the partially ordered set  $\text{Sub}_{\hat{\mathbf{C}}}(F)$  of subobjects of  $F$  is a Heyting algebra.*

## 1.7 Quantifiers

**Theorem 1.7.** *For any function  $f : Z \rightarrow Y$  between sets  $Z$  and  $Y$ , the inverse image functor  $f^* : \mathcal{P}Y \rightarrow \mathcal{P}Z$  between subsets has left and right adjoints  $\exists_f$  and  $\forall_f$ . The functor  $\exists_f$  is defined by  $S \mapsto f(S)$ , and the functor  $\forall_f$  maps each  $S \subseteq Z$  to the set  $T \subseteq Y$  containing all  $y \in Y$  whose fiber  $f^{-1}(y)$  is a subset of  $S$ .*

**Theorem 1.8.** *Let  $\mathbf{C}$  be a category with pullbacks, and let  $B$  be an object of  $\mathbf{C}$ . For each  $f : B' \rightarrow B$ , the change of base functor  $f^* : \mathbf{C}/B \rightarrow \mathbf{C}/B'$  has a left adjoint. Moreover, if  $\mathbf{C}/B$  is cartesian closed, then  $f^*$  has also a right adjoint.*

**Theorem 1.9.** *If  $B, B'$  are objects in a complete category  $\mathbf{C}$  with pullbacks such that  $\mathbf{C}, \mathbf{C}/B, \mathbf{C}/B'$  are cartesian closed, then pullback along any morphism  $f : B' \rightarrow B$  preserves all colimits which exist in  $\mathbf{C}/B$ .*

## 2 Sheaves of Sets

### 2.1 Sheaves

**Definition 2.1** (Sheaf). A **sheaf of sets**  $F$  on a topological space  $X$  is a functor  $F : \mathcal{O}(X)^{\text{op}} \rightarrow \mathbf{Set}$ , where  $\mathcal{O}(X)$  is the poset category of the open sets of  $X$ , satisfying additional properties. For each inclusion  $V \subseteq U$  of open subsets, we get a **restriction map**  $Ff : U \rightarrow V$  where  $Ff(u)$  is denoted  $u|_V$ , such that for each open covering  $\{U_i\}$  of an open set  $U \subseteq X$ , we have an equalizer

$$FU \xrightarrow{e} \prod_i FU_i \xrightleftharpoons[p]{p} \prod_{i,j} F(U_i \cap U_j)$$

where the map  $p$  (the map  $q$ ) sends each component  $f_i \in FU_i$  to the restriction  $f_i|_{U_i \cap U_j}$  (the restriction  $f_j|_{U_i \cap U_j}$ ).

*Remark.* The maps  $e, p, q$  are completely characterized by the commutativity of the equalizer diagram. Thus, we can define a sheaf  $F : \mathcal{O}(X)^{\text{op}} \rightarrow C$  to *any* category  $C$  with small products, e.g. abelian groups, rings,  $R$ -modules/algebras.

**Example 2.1** (Sheaf of maps). There exists a sheaf of continuous (smooth) maps on a topological space (smooth manifold), since compatible maps on subsets  $U_i$  of a space glue together to form a unique map on the union of the subsets  $U_i$ . The restriction map is the usual restriction of a map to an open subset.

**Example 2.2** (Representable sheaf). The representable sheaf  $\text{Hom}(-, U) = \mathcal{Y}U$  assigns  $V$  the set  $\{V \rightarrow U\} \cong 1$ , if  $V \subseteq U$ , and  $\emptyset$ . Restriction is usually the unique map  $1 \rightarrow 1$ , or the unique map  $0 \rightarrow 1$ .

**Theorem 2.1.** *Let  $F$  be a sheaf on  $X$ . A subfunctor  $S \leq F$  is a subsheaf if and only if, for every open set  $U \subseteq X$ ,  $f \in FU$ , and an open covering  $\bigcup_i U_i = U$ , we have:*

$$f \in SU \text{ if and only if } f|_{U_i} \in SU_i \text{ for each } i.$$

*Proof.* Idea: since  $S$  is a subfunctor of  $F$ , gluing and restriction on  $S$  is inherited from  $F$ . It suffices to necessitate that  $S$  is “closed” under gluing and restriction, for  $S$  to be a sheaf. ■

**Theorem 2.2** (Sh is almost a sheaf). *The functor Sh sending a space  $X$  to the set of sheaves over it is almost a sheaf itself:*

1. *For each continuous map  $f : X \rightarrow Y$ , we get an induced map  $f_* : \text{Sh}(X) \rightarrow \text{Sh}(Y)$ , where  $f_*F$  is defined by  $f_*FU = Ff^{-1}(U)$ .*
2. *Given an open subset  $U \subseteq X$  of a set  $X$ , any sheaf  $F \in \text{Sh}(X)$  restricts to a sheaf  $F|_U \in \text{Sh}(U)$  on the subspace  $U$ .*
3. *For any open cover  $\{W_k\}$  of  $X$  and a family of sheaves  $F_k \in \text{Sh}(X)$  satisfying*

$$F_k|_{W_k \cap W_\ell} = F_\ell|_{W_k \cap W_\ell} \text{ for all } k, \ell,$$

*there exists a unique (up to isomorphism) sheaf  $F \in \text{Sh}(X)$  such that  $F|_{W_k} = F_k$ .*



*Proof.* Idea: if  $F$  exists, then for each open set  $U \subseteq X$ , we must have an equalizer for the covering  $\bigcup_k (U \cap W_k) = U$ :

$$FU \xrightarrow{e} \prod_i F_k(U \cap W_k) \xrightleftharpoons[q]{p} \prod_{i,j} F_{k\ell}(U \cap W_k \cap W_\ell)$$

where  $F_{k\ell} = F_k|_{W_k \cap W_\ell}$ . We can hence take this to be the definition of  $FU$ . ■

**Definition 2.2** (Sheaf on a Basis). Let  $X$  be generated by a basis  $\mathcal{B}$ . A **sheaf on  $\mathcal{B}$**   $F$  is a presheaf on the poset category of open sets in  $\mathcal{B}$  which satisfies the same conditions as a sheaf on a space.

**Theorem 2.3.** *The restriction functor  $\text{Sh}(X) \rightarrow \text{Sh}(\mathcal{B})$  is an equivalence of categories.*

## 2.2 Sieves and Sheaves

*Remark.* We may think of the representable sheaf  $\mathcal{Y}U$  as a sieve  $S_U = \{V \in \mathcal{O}(X) : V \subseteq U\}$ . Each family of open sets  $\{U_i\}$  generates or *spans* a sieve of open sets of  $X$  which factor through  $U_i$ . This recontextualization of sheafs using sieves lead to two central notions of this section, which will allow us to define sheaves in terms of (presheaves and) sieves: this is theoretically advantageous since both are objects of the category of presheaves.

**Definition 2.3** (Principle Sieve). A **principle sieve**  $S_U$  is a sieve spanned by an open set  $U \subseteq X$ .

**Definition 2.4** (Covering Sieve). A sieve  $S$  is a **covering sieve** of  $U$  if  $S$  is generated by an open cover of  $U$ .

**Theorem 2.4.** *A presheaf  $F$  on  $X$  is a sheaf if and only if, for every open set  $U \subseteq X$  and every covering sieve  $S$  on  $U$ , the inclusion of functors  $\iota_S : S \rightarrow \mathcal{Y}(U)$  induces an isomorphism*

$$\text{Hom}(\mathcal{Y}(U), F) \cong \text{Hom}(S, F).$$

**Theorem 2.5.** *A subobject of a sheaf  $F$  in the category  $\text{Sh}(X)$  is isomorphic to a subsheaf of  $F$ .*

**Theorem 2.6.** *For any space  $X$ , there is an isomorphism  $\mathcal{O}(X) \cong \text{Sub}_{\text{Sh}(X)}(1)$  of partially ordered sets.*

## 2.3 Sheaves and Sections

In this section we will build towards the characterization of each sheaf as the sheaf of sections of some bundle.

**Definition 2.5** (Germ). Let  $F$  be a presheaf on  $X$ . Given  $x \in X$ , the **stalk  $F_x$  of  $F$  at  $x$**  is defined as the colimit

$$P_x = \varinjlim_{x \in U} FU.$$

Elements of  $F_x$  are called **germs**, and can be described as equivalence classes of pairs  $(U, s)$ , where  $U \subseteq X$  is an open neighbourhood of  $x$ , and  $s \in FU$ , such that  $(U, s) \sim (V, t)$  if  $s|_W = t|_W$  for some open set  $W \subseteq U \cap V$ . In this case, we say  $s_x = t_x$  is the germ of  $s$  at  $x$  ( $t$  at  $x$ ). Furthermore, each morphism of presheaves  $F \rightarrow G$  induces a map  $F_x \rightarrow G_x$  at each stalk, which turns the mapping  $F \mapsto F_x$  into a functor.

**Definition 2.6** (Bundle of Stalks). Given a presheaf  $F$  on a space  $X$ , define the set  $\Lambda_F = \coprod_x F_x$  and a projection map  $p : \Lambda_F \rightarrow X$  sending  $(U, s_x) \mapsto x$ . For each  $s \in FU$ , we get a map  $\dot{s} : U \rightarrow \Lambda_F$  defined by  $\dot{s}x = s_x$ , and endow  $\Lambda_F$  with the topology generated by open sets  $\dot{s}U$ , which makes each  $\dot{s}$  a homeomorphism—continuity is proven by applying the definition of a germ. Thus the mapping  $F \rightarrow \Lambda_F$  is a functor from presheaves to bundles over  $X$ .

**Definition 2.7** (Sheaf of Sections). For each bundle  $p : E \rightarrow X$ , let  $\Gamma E$  denote the corresponding sheaf of sections  $X \rightarrow E$ . Note that  $\Gamma$  is a functor from bundles to presheaves over  $X$ .

**Theorem 2.7.** *Given a presheaf  $F$ , let  $\eta : F \rightarrow \Gamma\Lambda_F$  be the natural transformation defined by components  $\eta_U : s \mapsto \dot{s}$ . If  $F$  is a sheaf, then  $\eta$  is an isomorphism.*

**Theorem 2.8.** *Let  $F$  be a presheaf on  $X$ , and let  $\sigma, \tau : \Gamma\Lambda_F \rightarrow G$  be two maps into a sheaf  $G$  on  $X$ . If  $\sigma\eta = \tau\eta$ , then  $\sigma = \tau$ .*

**Theorem 2.9** (Sheafification). *Let  $\iota : \text{Sh}(X) \rightarrow \mathcal{O}(\hat{X})$  be the forgetful functor. Then, there is an adjunction  $\Gamma\Lambda \dashv \iota$ , with  $\eta$  as the unit.  $\Gamma\Lambda F$  is called the **sheafification** of a presheaf  $F$ , or the free sheaf generated by  $F$ .*

## 2.4 Sheaves as Étale Spaces

**Definition 2.8** (Étale Bundle). A bundle  $p : E \rightarrow X$  is **étale** if  $p$  is a local homeomorphism: for each  $e \in E$ , there is an open neighbourhood  $V$  of  $e$ , such that  $pV$  is open in  $X$  and  $p|_V$  is a homeomorphism.

**Theorem 2.10.** *For any space  $X$ , there is an adjunction*

$$\text{Bund}_X \begin{matrix} \xrightarrow{\Gamma} \\ \rightleftarrows \\ \xleftarrow{\Lambda} \end{matrix} \mathcal{O}(\hat{X}),$$

where  $\Gamma$  sends a bundle to its sheaf of sections, and its left adjoint  $\Lambda$  sends a presheaf to its bundle of germs. Furthermore, the unit and counit restrict to natural isomorphisms on the subcategories of sheaves and étale spaces, respectively.

**Theorem 2.11.** *Let  $\text{Étale}_X$  denote the full subcategory of étale bundles. Then,  $\Gamma$  and  $\Lambda$  restrict to an equivalence of categories*

$$\text{Sh}(X) \rightleftarrows \text{Étale}_X$$

## 2.5 Sheaves with Algebraic Structure

Although we have been working with sheaves of sets up until this point, our work mostly applies to sheaves of algebraic structures of a certain kind, such that abelian groups. For example, a sheaf of abelian groups on  $X$  is simply an abelian group object in the category  $\text{Sh}(X)$ . Given a ring object  $R$  in  $\text{Sh}(X)$ , or a sheaf of rings, we may further define an  $R$ -module object, or a sheaf  $A$  of left  $R$ -modules—that is, for each open set  $U \subseteq X$ ,  $AU$  is an  $RU$ -module.

## Topos of Sheaves

**Theorem 2.12.** *If  $F$  is a sheaf and  $P$  is a presheaf of sets on the space  $X$ , then the presheaf exponential  $F^P$ , defined by  $F^P(U) = \text{Hom}(\mathcal{J}(U) \times P, F)$ , is a sheaf. Since  $\mathcal{J}(U)(V)$  is equal to a singleton when  $V \subseteq U$  and empty otherwise, it suffices to define  $F^P(U)$  on open sets  $V \subseteq U$ . Hence,*

$$F^P(U) \cong \text{Hom}(P|_U, F|_U)$$

**Definition 2.9** (Internal hom). If  $F, G$  are sheaves of sets on  $X$ , then  $F^G \cong \text{Hom}(G, F)$  is a sheaf called the internal hom from  $G$  to  $F$ , since it is a sheaf that behaves like the object of morphisms from  $G$  to  $F$ .

**Theorem 2.13.** *Construct the presheaf  $\Omega$  on  $X$  by defining  $\Omega U = \mathcal{P}(U) \cap \mathcal{O}(X)$  to be the set of open subsets of  $U$ , and defining the restriction  $W|_V = W \cap V$  for any open subset  $W \subseteq U$ . Then,  $\Omega$  is a sheaf, and is the subobject classifier of  $\text{Sh}(X)$ .*

**Theorem 2.14.**  *$\text{Sh}(X)$  has all finite limits and colimits, exponentials, and a subobject classifier. Thus, it is an elementary topos. By Theorem 2.11, so is  $\mathbf{Etale}_X$ .*

## 2.6 Inverse Image Sheaf

**Definition 2.10** (Inverse Image Sheaf). Given a map  $f : X \rightarrow Y$ , we may pull the bundle  $E \rightarrow Y$  back along  $f$  to obtain a bundle  $f^*E \rightarrow X$ . Moreover, if  $E \rightarrow Y$  is étale, then so is  $f^*E \rightarrow X$ . Using the equivalence of categories  $\text{Sh}(X) \rightleftarrows \mathbf{Etale}_X$ , we can define, for any sheaf  $E$  on  $X$ , the **inverse image sheaf**  $f^*E$ , by passing to  $\mathbf{Etale}_X$ , applying  $f^*$ , and then passing back to  $\text{Sh}(X)$ .

**Theorem 2.15.** *If  $f : X \rightarrow Y$  is a continuous map, then the functor  $f^*$ , sending each sheaf  $G$  on  $Y$  to its inverse image on  $X$ , is left adjoint to the direct image functor  $f_*$ .*

## 3 Grothendieck Topologies

### 3.1 Generalized Neighbourhoods

The need of a more relaxed notion of topology arose from several areas of mathematics. Around 1961, Grothendieck uncovered the surprising duality between the Galois groups of a field and the fundamental group of a space.

One one hand, a normal extension  $N$  of a field  $K$  of characteristic 0 is a monomorphism  $m : K \rightarrow N$  in the category of fields. The Galois group  $G$  of  $N/K$  consists of field automorphisms of  $N$  fixing  $K$ , and the fundamental theorem of Galois theory states that the subgroups  $S \leq G$  correspond to factorizations  $K \leq L \leq N$ , in the following way:  $S \mapsto L$  if  $S$  is the subgroup of automorphisms fixing  $L$ .

On the other hand, given arcwise connected and locally arcwise connected spaces  $X, Y$ , a covering space is a particular epimorphism  $\rho : Y \rightarrow X$  in a category containing  $X, Y$ . The covering group  $G$  of  $\rho$  contains deck transformations: automorphisms  $\sigma : Y \rightarrow Y$  such that  $\rho\sigma = \rho$ . In particular, if  $\rho$  is a regular covering, then the subgroups  $S \leq G$  correspond precisely to the factorizations  $Y \rightarrow Y' \rightarrow X$  of  $\rho$ , where  $Y'$  is the quotient of  $Y$  given by gluing together points in the orbits of  $\sigma \in S$ .

Notice further  $\mathbf{Top}/X$  admits products and coproducts, while  $\mathbf{Field}$  can both be embedded in the larger category  $\mathbf{CRing}$  which admits the same constructions. Continuing the analogy, the definition of covering space implies that each  $x \in X$  admits a neighbourhood  $U \rightarrowtail X$  such that the pullback  $Y \times_X U \rightarrow U$  (the bundle product) is a coproduct of copies of  $U$ . Oppositely, the field extension  $K \rightarrow L$  has a splitting field  $K \rightarrow N$  with  $N$  normal, which means the tensor product (the commutative ring coproduct)  $L \otimes_K N$  is a direct product of copies of  $N$ .

The analogy falls apart here: while  $U \rightarrowtail X$  is a monomorphism, the map  $K \rightarrow N$  is not an epimorphism. This motivates a paradigm shift in the primitive notions of topology: instead of neighbourhoods  $U \rightarrowtail X$ , we will consider more general maps  $U \rightarrow X$  which fit into a cover of  $X$ . The following table summarizes the actors involved in the duality, and singles out the point of contention.

Galois Theory	Covering Spaces
Field $K$ of characteristic 0	(Locally) arcwise connected space $X$
Normal extension $m : K \rightarrowtail N$	Covering space $\rho : Y \twoheadrightarrow X$
Galois group of $N/K$	Covering group of $\rho$
Field automorphisms $\sigma$ of $N/K$	Deck transformations $\sigma : Y \rightarrow Y$
Factorizations $K \rightarrowtail N_\sigma \rightarrowtail N$	Factorizations $Y \twoheadrightarrow Y_\sigma \twoheadrightarrow X$
$Y \otimes_K N \cong \bigotimes_i N$ , $N$ splitting	$Y \times_X U \rightarrow U \cong \prod_i U$ for some $U \ni x$
$K \rightarrow N$	$U \rightarrowtail X$

### 3.2 Grothendieck Topologies

**Definition 3.1** (Grothendieck Topology). A **Grothendieck topology** on a category  $\mathbf{C}$  is a functor  $J$  which assigns to each object  $C$  of  $\mathbf{C}$  a collection  $J(C)$  of sieves on  $C$ , called the *covering sieves* on  $C$ , such that:

1.  $J(C)$  contains the maximal sieve  $\{f : D \rightarrow C : D \in \text{Obj}(C)\}$ .
2. **Stability Axiom.** If  $\mathcal{S} \in J(C)$  is a covering sieve on  $C$  and  $h : D \rightarrow C$  is any morphism, then the pullback  $h^*(\mathcal{S}) := \{fh : f \in \mathcal{S}\} \in J(D)$  is a covering sieve on  $D$ .
3. **Transitivity Axiom.** If  $\mathcal{S} \in J(C)$  is a covering sieve, and  $\mathcal{R}$  is any sieve on  $C$  such that  $h^*(\mathcal{R}) \in J(D)$  for all  $h : D \rightarrow C \in \mathcal{S}$ , then  $\mathcal{R} \in J(C)$ .

A tuple  $(C, J)$  containing a category  $C$  with a topology  $J$  on it is called a **site**.

**Definition 3.2** (Grothendieck Topology (in terms of covers)). Given a site  $(C, J)$ , we will say a sieve  $S$  **covers**  $C$  if  $S \in J(C)$ , that  $S$  covers  $f : D \rightarrow C$  if  $f^*(S)$  covers  $D$ . Then, we can reformulate the Grothendieck topology axioms:

1. If  $S$  is a sieve on  $C$  and  $f \in S$ , then  $S$  covers  $f$ .
2. **Stability.** If  $S$  covers a morphism  $f : D \rightarrow C$ , it also covers  $fg$  for any morphism  $g : E \rightarrow D$ .
3. **Transitivity.** If  $S$  covers a morphism  $f : D \rightarrow C$ , and  $R$  is a sieve on  $C$  which covers all morphisms of  $S$ , then  $R$  covers  $f$ .

*Remark.* Following up on the philosophy in the previous section, if we pretend the morphisms in a sieve  $S \in J(C)$  are neighbourhoods  $U \rightarrowtail X$ , then the axioms reflect basic properties of covering sieves:

1.  $S$  covers any open subset in  $S$ .
2. If  $S$  covers an open subset  $U \subseteq X$ , then it also covers any open subset  $V \subseteq U$ .
3. If  $R$  covers any open subset in  $S$ , and  $S$  covers  $U \subseteq X$ , then  $R$  covers  $U$  as well.

This immediately demonstrates that any classical topology on  $X$  is a Grothendieck topology on  $\mathcal{O}(X)$ . A curious reader will soon obtain the answer to their question of whether ordinary open covers also have a Grothendieck doppelgänger.

**Definition 3.3** (Basis for a Grothendieck Topology). A **basis for a Grothendieck topology** on a category (admitting certain pullbacks) is a function  $K$  which assigns to each object  $C$  a collection  $K(C)$  of families of morphisms with codomain  $C$ , such that:

1. If  $f : C' \rightarrow C$  is an isomorphism, then  $\{f\} \in K(C)$ .
2. If  $\{f_i : C_i \rightarrow C : i \in I\} \in K(C)$ , then for each morphism  $g : D \rightarrow C$ , the family of pullbacks  $\{\pi_2 : C_i \times_C D \rightarrow D : i \in I\}$  is in  $K(D)$  (these pullbacks behave like restrictions of open sets).
3. If  $\{f_i : C_i \rightarrow C : i \in I\} \in K(C)$ , and there exists a family  $\{g_{ij} : D_{ij} \rightarrow C_i : j \in I_i\} \in K(C_i)$  for each  $i \in I$ , then the family of composites  $\{f_i g_{ij} : D_{ij} \rightarrow C : i \in I, j \in I_i\} \in K(C)$ .

$K$  **generates** a topology  $J$  by  $S \in J(C)$  if and only if  $R \in K(C)$  for some  $R \subseteq S$ .

**Theorem 3.1.** *Given a topology  $J$ , if  $R, S$  cover  $C$ , then  $R \cap S$  covers  $S$ . Alternatively, given a basis  $K$ , if  $R, S$  cover  $C$ , then  $R, S$  have a common refinement in  $K(C)$ —that is, there exists a cover  $T$  of  $C$  such that every morphism in  $T$  factors through some  $f \in R$ , and independently factors through some  $g \in S$ .*

**Example 3.1.**

The trivial topology, containing only the maximal sieve.

The open cover topology on a small subcategory  $\mathbf{T} \leq \mathbf{Top}$ , formed by gluing together the topologies of  $\mathcal{O}(\mathcal{X})$ .

### 3.3 The Zariski Site

**Definition 3.4** (Zariski Site). Consider the category of all affine varieties  $V \subseteq \mathbb{C}^\infty$ , where each morphism  $\phi : V \rightarrow W$ , given  $V \subseteq \mathbb{C}^n, W \subseteq \mathbb{C}^m$ , is an  $m$ -tuple  $n$ -input rational function mapping  $V$  into  $W$ . Define the **Zariski topology** on  $\mathbb{C}^n$  by letting the closed sets be of the form  $V(I) = \{z \in \mathbb{C}^n : I \text{ vanishes at } z\}$ , for any ideal  $I \subseteq \mathbb{C}[x_1, \dots, x_n]$ . Now, consider the site formed from the category above by endowing it with the open cover topology associated to the Zariski topology. This is called the **Zariski site**. This construction is central to algebraic geometry, and can be generalized from  $\mathbb{C}$  to an arbitrary commutative ring.

### 3.4 Sheaves on a Site

**Definition 3.5** (Sheaf). Let  $P : \mathbf{C}^{\text{op}} \rightarrow \mathbf{Set}$  be a presheaf. Given a covering sieve  $S$  of  $C$ , a **matching family** for  $S$  of elements of  $P$  is a family  $\{x_f \mid f : D \rightarrow C \in S\}$ , such that  $x_f \cdot g = x_{fg}$  for any morphism  $g : E \rightarrow D$ . An **amalgamation** of such a matching family is an element  $x \in P(C)$  such that  $x \cdot f = x_f$  for all  $f \in S$ . Then,  $P$  is a sheaf precisely when all matching families for any cover of any object of  $\mathbf{C}$  has a unique amalgamation. This is equivalent to necessitating that  $\text{Hom}(S, P) \cong \text{Hom}(\bigvee(C), P) \cong P(C)$ , or that the following diagram is always an equalizer:

$$P(C) \xrightarrow{x \mapsto \{x_f\}_f} \prod_{f \in S} P(\text{dom } f) \xrightarrow[\substack{x_f \mapsto x_{fg} \\ x_f \mapsto x_{fg} \cdot g}]{\substack{x_f \mapsto x_{fg} \\ x_f \mapsto x_{fg} \cdot g}} \prod_{\substack{f \in S \\ \text{dom } f = \text{cod } g}} P(\text{dom } g)$$

**Theorem 3.2.** *If  $P$  is a presheaf on  $\mathbf{C}$ , then  $P$  is a sheaf for  $J$  iff for any cover  $\{f_i : C_i \rightarrow C : i \in I\}$  in the basis  $K$ , any matching family  $\{x_i\}_i$  has a unique amalgamation.*

**Theorem 3.3.** *A presheaf  $P$  is a sheaf for the atomic topology on  $\mathbf{C}$  iff for any morphism  $f : D \rightarrow C$  and  $y \in P(D)$ , if  $y \cdot g = y \cdot h$  for all commutative diagrams*

$$E \xrightarrow[h]{g} D \xrightarrow{f} C,$$

*then  $y = x \cdot f$  for a unique  $x \in P(C)$ .*

**Definition 3.6** (Grothendieck Topos). A **Grothendieck topos** is a category which is equivalent to the category  $\text{Sh}(\mathbf{C}, J)$  of sheaves on some site  $(\mathbf{C}, J)$ .

**Theorem 3.4** (A limit of sheaves is a sheaf). *Let  $(\mathbf{C}, J)$  be a site and let  $I \rightarrow \hat{\mathbf{C}}$  be a diagram of presheaves. If all  $P_i$  are sheaves then so is  $\lim_{\leftarrow} P_i$ .*

### 3.5 The Associated Sheaf Functor

**Definition 3.7** (Associated Separated Presheaf). Given a presheaf  $P \in \hat{\mathbf{C}}$ , we can construct a presheaf  $P^+$  in the following manner: let  $\text{Match}(R, P)$  denote the set of matching families for the cover  $R$  of  $C$ , and define

$$P^+ = \lim_{\rightarrow R \in J(C)} \text{Match}(R, P).$$

To be more precise,  $P^+(C)$  contains equivalence classes of matching families  $\{x_f \mid f : D \rightarrow C \in R\}$ —that is,  $x_f \in P(D)$  and  $x_f \cdot g = x_{fg}$  for all composable  $f, g$ —where two matching families  $\{x_f \mid f \in R\}, \{y_f \mid f \in S\}$  are equivalent if there is a common refinement  $T \subseteq R \cap S$  such that  $T \in J(C)$  and  $x_f = y_f$  for all  $f \in T$ .

**Lemma 3.5.** *Let  $\eta : P \rightarrow P^+$  be the canonical map sending  $x \in P(C)$  to the matching family  $\{x \cdot f \mid f \in t_C\}$ , where  $t_C$  is the maximal sieve on  $C$ . The following two properties characterize the inclusion  $\eta : P \rightarrow P^+$ . Then, the following two properties hold*

1.  $\eta$  is a monomorphism iff  $P$  is separated.
2.  $\eta$  is an isomorphism iff  $P$  is a sheaf.

**Lemma 3.6.** *If  $F$  is a sheaf and  $P$  is a presheaf, then any map  $\phi : P \rightarrow F$  of presheaves factors uniquely through  $\eta$  as  $\phi = \tilde{\phi} \circ \eta$ .*

$$\begin{array}{ccc} P & \xrightarrow{\eta} & P^+ \\ & \searrow \phi & \downarrow \tilde{\phi} \\ & & F \end{array}$$

**Lemma 3.7.** *For any presheaf  $P$ ,  $P^+$  is a separated presheaf. If  $P$  is separated, then  $P^+$  is a sheaf.*

**Theorem 3.8.** *The inclusion functor  $i : \text{Sh}(\mathbf{C}, J) \hookrightarrow \hat{\mathbf{C}}$  has a left adjoint  $a : \hat{\mathbf{C}} \rightarrow \text{Sh}(\mathbf{C}, J)$  called the **associated sheaf functor**. Moreover,  $a$  commutes with finite limits.*

**Corollary 3.8.1.** *The composite functor  $a \circ i$  is naturally isomorphic to the identity functor.*

### 3.6 Exponentials

*Remark.* Let  $F, G$  be sheaves on  $\mathbf{C}$ . If the exponential  $G^F$  exists in  $\text{Sh}(\mathbf{C}, J)$ , then a quick computation of isomorphisms of hom sets, natural in  $P$ , shows that

$$\begin{aligned} P \rightarrow i(G^F) &\cong a(P) \rightarrow G^F \\ &\cong a(P) \times F \rightarrow G \\ &\cong a(P \times i(F)) \rightarrow G \\ &\cong P \times i(F) \rightarrow i(G) \\ &\cong P \rightarrow i(G)^{i(F)}. \end{aligned}$$

**Theorem 3.9.** *Let  $P, F$  be presheaves on  $\hat{\mathbf{C}}$ . If  $F$  is a sheaf, then so is the presheaf exponential  $F^P$ .*

*Remark.* Given any sheaf  $F$  on  $\mathbf{C}$ , we can regard it as a presheaf  $iF$ . Then, Yoneda lemma and the adjunction  $a \dashv i$  yields

$$F(C) \cong \text{Hom}(\mathcal{Y}(C), iF) \cong \text{Hom}(a\mathcal{Y}(C), F).$$

Moreover, recall that the presheaf  $iF$  is isomorphic to the colimit  $\lim_{\rightarrow k} \mathcal{Y}(C_k)$  of representable sheaves. Since left adjoints preserve colimits,

$$F \cong ai(F) \cong a \lim_{\rightarrow k} \mathcal{Y}(C_k) \cong \lim_{\rightarrow k} a\mathcal{Y}(C_k).$$

This mean that the set of sheafifications of representable presheafs  $a\mathcal{Y}(C)$  **generate** the category  $\text{Sh}(\mathbf{C}, J)$ .

### 3.7 Subobjects

**Definition 3.8** (Closed Sieve). A sieve  $M$  on  $C$  is **closed** with respect if  $J$  if for all morphisms  $f : D \rightarrow C$  in  $\mathbf{C}$ ,  $M$  covers  $f$  implies  $f \in M$ .

**Definition 3.9.** Notice that if  $M$  is a closed sieve on  $C$ , then  $h^*M$  is closed for any  $h : B \rightarrow C$ . Hence, we can define a presheaf  $\Omega$  by assigning  $\Omega(C)$  the set of closed sieves on  $C$ , with restriction defined by  $M \cdot h = h^*(M)$ .

**Theorem 3.10.**  $\Omega$  is a sheaf. Furthermore, it is the subobject classifier of  $\text{Sh}(\mathbf{C}, J)$  with the canonical morphism  $\text{true} : 1 \rightarrow \Omega$ ; here,  $1$  is the final presheaf assigning each  $C$  the singleton  $1$ , and  $\text{true}$  is defined by  $C \mapsto t_C$ , the maximal sieve.

**Corollary 3.10.1** (Epimorphisms are Locally Surjective). A morphis  $\phi : F \rightarrow G$  of sheaves is an epimorphism in  $\text{Sh}(\mathbf{C}, J)$  iff for each object  $C$  of  $\mathbf{C}$  and any  $y \in G(C)$ , there is a cover  $S$  of  $G$  such that for all  $f : D \rightarrow C$  in  $S$ , the element  $y \cdot f$  is in the image of  $\phi_D : F(D) \rightarrow G(D)$ .

**Corollary 3.10.2.** Given  $\phi : P \rightarrow Q$ ,  $a(\phi) : aP \rightarrow aQ$  is an epimorphism iff  $\phi$  is locally surjective.

**Corollary 3.10.3.** A family  $\{f_i : C_i \rightarrow C\}$  covers  $C$  iff the induced map

$$\coprod_i a\mathcal{Y}(C_i) \rightarrow a\mathcal{Y}(C)$$

is an epimorphism.

### 3.8 Subsieves

**Theorem 3.11.** For subsheaves  $A_i \leq E$ , define the **meet**  $\bigwedge_i A_i$  by  $(\bigwedge_i A_i)(C) = \bigcap_i A_i(C)$ . Then, we can express the **join**  $\bigvee_i A_i$ , by  $(\bigvee_i A_i)(C) = \bigwedge_i \{B \leq E : A_i \leq B \text{ for all } i\}$ . With these operations,  $\text{Sub}(E)$  is a complete Heyting algebra.



**Example 3.2** (Implication).  $\text{Sub}(E)$  must admit an implication object  $A \Rightarrow B$  for sheaves  $A, B$ . It turns out that  $(A \Rightarrow B)$  can be described in the following manner:  $e \in (A \Rightarrow B)(C)$  if for all  $f : D \rightarrow C$ ,  $e \cdot f \in A(D)$  implies  $e \cdot f \in B(D)$ . To check that this is the correct description, it suffices to check that it satisfies the crucial property  $U \subseteq (A \Rightarrow B)$  iff  $U \wedge A \subseteq B$ , for all  $U \in \text{Sub}(E)$ .

**Example 3.3** (Universal and Existential Quantification). Any morphism  $\phi : E \rightarrow F$  of sheaves induces a functor  $\phi^{-1} : \text{Sub}(F) \rightarrow \text{Sub}(E)$  by pullback.  $\phi^{-1}$  has familiar left and right adjoints:

1. The left adjoint  $\exists_\phi : \text{Sub}(E) \rightarrow \text{Sub}(F)$  maps a subsheaf  $A \leq E$  to the sheaf  $\exists_\phi(A)$  described by

$$y \in \exists_\phi(A)(C) \quad \text{if} \quad \{f : D \rightarrow C \mid \exists a \in A(D), \phi_D(a) = y \cdot f\} \text{ covers } C,$$

for  $y \in E(C)$ . As usual,  $\exists_\phi(A) \leq B$  iff  $A \leq \phi^{-1}(B)$ .

2. The right adjoint  $\forall_\phi : \text{Sub}(E) \rightarrow \text{Sub}(F)$  maps a subsheaf  $A \leq E$  to the sheaf  $\forall_\phi(A)$ , which has a more nuanced description than the one above. First, we might guess the description of

$$y \in \forall'_\phi(A)(C) \quad \text{if} \quad \text{for all } x \in E(C), \phi_C(x) = y \text{ implies } x \in A(C),$$

for  $y \in F(C)$ . The definition of  $\forall'_\phi(A)$  does not produce a presheaf, but it is enough to account for the action of morphisms on  $y$ . Hence, we define

$$y \in \forall_\phi(A)(C) \quad \text{if} \quad \text{for all } f : D \rightarrow C, y \cdot f \in \forall'_\phi(A)(D).$$

## 4 Properties of Elementary Topoi

### 4.1 Definition of a Topos

**Definition 4.1** ((Elementary) Topos). A **topos**  $\mathcal{E}$  is a category with all finite limits, a **subobject classifier**  $\Omega$ , and a **power object** functor  $P : \mathcal{E}^{\text{op}} \rightarrow \mathcal{E}$ , such that we have isomorphisms

$$\begin{aligned} \text{Sub}_{\mathcal{E}}(A) &\cong \text{Hom}_{\mathcal{E}}(A, \Omega), \\ \text{Hom}_{\mathcal{E}}(B \times A, \Omega) &\cong \text{Hom}_{\mathcal{E}}(A, PB), \end{aligned}$$

which are natural in  $A$  and  $B$ . Moreover, for any object  $A$ ,  $PA$  is, more familiarly, the exponential  $\Omega^A$ .

*Remark.* Note that the isomorphisms can be combined to form the isomorphism

$$\text{Sub}_{\mathcal{E}}(B \times A) \cong \text{Hom}_{\mathcal{E}}(A, PB).$$

Setting  $B = 1$  gives us the first isomorphism above with  $\Omega = P1$ . Hence, we get the second isomorphism immediately.

*Remark.* Even though our initial definition of topos relied on the notion of sets (isomorphisms and hom sets), the axioms of topoi can be formulated in an *elementary* way, free of any reference to sets. It is because of this formulation that topos theory may serve as a foundation of mathematics.

**Definition 4.2** (Topos (Elementary Form)). A topos is a category  $\mathcal{E}$  with

1. A pullback for every diagram  $X \rightarrow B \leftarrow Y$ ;
2. A terminal object  $1$ ;
3. An object  $\Omega$  and a monomorphism  $\text{true} : 1 \rightarrow \Omega$  such that for any monomorphism  $m : S \rightarrow B$ , there is a unique morphism  $\text{char } S : B \rightarrow \Omega$  in  $\mathcal{E}$  such that the following square is a pullback.

$$\begin{array}{ccc} S & \longrightarrow & 1 \\ m \downarrow & & \downarrow \text{true} \\ B & \xrightarrow{\text{char } m} & \Omega \end{array}$$

$\text{char } S$ , sometimes called  $\text{char } m$ , is the **characteristic map of  $m$** .

4. To each object  $B$  and object  $PB$  and a morphism  $\in_B : B \times PB \rightarrow \Omega$  such that for each morphism  $f : B \times A \rightarrow \Omega$  there is a unique arrow  $g : A \rightarrow PB$  such that the following diagram commutes:

$$\begin{array}{ccc}
A & B \times A & \xrightarrow{f} \Omega \\
g \downarrow & 1 \times g \downarrow & \parallel \\
PB & B \times PB & \xrightarrow{\in_B} \Omega
\end{array}$$

•

$g$  is called the  **$P$ -transpose** of  $f$ , and  $f = \hat{g}$  the  $P$ -transpose of  $g$ . Moreover, for each morphism  $h : B \rightarrow C$ , we get the arrow  $Ph : PC \rightarrow PB$  which is the unique arrow making the following diagram commute:

$$\begin{array}{ccccc}
& & C \times PC & & \\
& \nearrow h \times 1 & & \searrow \in_C & \\
B \times PC & & & & \Omega \\
& \searrow 1 \times Ph & & \nearrow \in_B & \\
& & P \times PB & & 
\end{array}$$

**Example 4.1.** In the category **Set**, given some map  $f : B \times A \rightarrow \Omega$ , each element  $a \in A$  indexes some subset  $S \subseteq B$  defined by  $S = \{b \in B : (b, a) \mapsto 0\}$ . Then,  $g(a)$  is precisely  $S$ .

**Example 4.2** (Generalized Elements and Predicates). A morphism  $b : X \rightarrow B$  is a **generalized element** of  $B$ , defined over  $X$ . The elements over the terminal object 1 are the **global elements**—in **Set**, these are the elements in  $B$ , and in  $\text{Sh}(X)$ , these are the global sections in  $B$ . For example, given generalized elements  $a : X \rightarrow A, b : X \rightarrow B$ , we get an element  $(a, b) : X \rightarrow A \times B$  uniquely determined by  $a$  and  $b$ , by the universal property of  $A \times B$ .

A morphism  $\theta : B \rightarrow \Omega$  can be thought of as a **predicate** of elements of  $B$ . For example, the predicate  $\text{true}_B$  is the unique predicate that factors through  $\text{true}$ . Thus, we can interpret the diagram in Definition 4.2 being a pullback as  $b : X \rightarrow B$  factors through (is in) the subobject  $S \rightarrow B$  if  $(\text{char } S)b = \text{true}_X$ .  $\text{char } S$  is thus the membership predicate of  $S$ .

*Remark* (The Subobject Trinity). Thus far, a subobject of  $A$  has three descriptions,

$$m : S \rightarrowtail A, \quad \phi : A \rightarrow \Omega, \quad s : 1 \rightarrow PA,$$

as an equivalence class of monomorphisms to  $A$ , as a predicate of  $A$ , and as a global element of the power object  $PA$ . When  $m, \phi, s$  correspond, we write

$$S = \{a \mid \phi\}, \quad \phi = \text{char } S \quad s = \ulcorner \phi \urcorner,$$

where  $S$  is the **extension** of  $\phi$ ,  $\phi$  is the **characteristic function** of  $S$ , and  $s$  is the **name** of  $\phi$ .

**Example 4.3** (The Diagonal and Singleton Morphisms). Given the diagonal map  $\Delta_B : B \rightarrow B \times B = (\text{id}_B, \text{id}_B)$ , the corresponding characteristic map  $\delta_B = \text{char } \Delta_B$  is the **predicate of equality**, usually known as the Kronecker delta function in **Set**. Let  $\{\cdot\}_B$  denote the  $P$ -transpose of  $\delta_B$ : it satisfies the relation  $\in_B \langle b, \{\cdot\}_B b' \rangle = \delta_B \langle b, b' \rangle$ , so  $\{\cdot\}_B$  decisively sends  $b'$  to the subobject of  $B$  whose only  $X$ -based element is  $b'$ . We call the monomorphism  $\{\cdot\}_B$  the **singleton arrow**, since in **Set** it sends  $b' \in B$  to the singleton  $\{b'\}$ ; it becomes a useful fact later that  $\text{char}\{\cdot\}_B$  is the **singleton predicate**  $\sigma_b$ .

**Theorem 4.1.** *In a topos, every monomorphism  $S \rightarrowtail B$  is an equalizer of  $\text{true}_B$  and  $\text{char } m$ . Furthermore, every morphism which is monic and epic is an isomorphism.*

## 4.2 The Construction of Exponentials

**Theorem 4.2.** *Every topos has exponentials.*

*Proof.* Given objects  $B, C$ , the exponential  $C^B$  is defined in three steps.

$$\begin{array}{ccc}
 C \times B \times P(C \times B) & \xrightarrow{\in_{C \times B}} & \Omega \\
 \\
 B \times P(C \times B) & \xrightarrow{v := \in_{C \times B}^\wedge} PC & \xrightarrow{\sigma_B} \Omega \\
 \\
 \begin{array}{ccc}
 P(C \times B) & \xrightarrow{u := (\sigma_B \circ v)} & PB \\
 \uparrow m & & \uparrow \ulcorner \text{true } B \urcorner \\
 C^B & \xrightarrow{\quad\quad\quad} & 1
 \end{array}
 \end{array}$$

First, we take the morphism  $\in_{C \times B}$ , which is the  $P$ -transpose of  $1 : P(C \times B) \rightarrow P(C \times B)$  and acts like the membership relation. Then,  $v$  is the  $P$ -transpose of  $\in_{C \times B}$  with respect to  $C \times -$ ; its composition with  $\sigma_C$  behaves like the predicate in **Set** which asks if  $R^{-1}(b')$  is a singleton, for  $b' \in B$  and  $R \subseteq C \times B$ . Lastly, the  $P$ -transpose  $u$  of  $\sigma_C \circ v$  acts like the **Set** morphism sending each  $R \subseteq P(C \times B)$  to the set  $B' \subseteq B$  of elements such that  $R^{-1}(b')$  is a singleton for all  $b' \in B'$ . Hence, we define  $C^B$  to be the pullback of  $\ulcorner \text{true } B \urcorner$  along  $u$ , which can be translated in **Set** as “the collection of objects  $R \subseteq C \times B$  which is the graph of some well-defined function”. The existence of an evaluation map  $e : B \times C^B \rightarrow C$  is proved by fiddling with  $P$ -tranposes. ■

**Example 4.4** (Internal Hom). The exponential  $B^A$  is called the **internal Hom**, and admits an operation of **internal composition**  $m : C^B \times B^A \rightarrow C^A$  which is defined as the transpose of the composition

$$C^B \times B^A \times A \xrightarrow{1 \times e} C^B \times B \xrightarrow{e} C.$$

**Definition 4.3** (Logical Morphism). A **logical morphism** is functor  $T : \mathcal{E} \rightarrow \mathcal{E}'$  which preserves, up to isomorphisms, all structures required to defined a topos:  $T$  preserves finite limits, the subobject classifier, and the exponential.

### 4.3 Direct Image

**Definition 4.4** (Direct Image for Power Objects). Given a set function  $k : B' \rightarrow B$ , we can describe the **direct image** function  $\exists_k : PB' \rightarrow PB$  mapping  $S \subseteq B$  to the set  $\{b \in B : \exists b' \in S, k(b') = b\}$ . The direct image can be given for any topos (for now, we consider the case when  $k$  is monic), which is defined by first taking the monomorphism  $u'_B : U \rightarrow B' \times PB'$  whose characteristic morphism is the predicate  $\in_{B'}$ , passing to the characteristic morphism  $e_k$  of  $k \times 1 \circ u_{B'}$ , and then taking the  $P$ -transpose  $\exists_k$ .

**Theorem 4.3.** For monomorphisms  $S \xrightarrow{m} B' \xrightarrow{k} B$  in a topos,

$$\exists_k \lceil \text{char } m \rceil = \lceil \text{char } km \rceil : 1 \rightarrow PB.$$

**Definition 4.5** (Direct Image for Subobjects). Given a morphism  $k : B' \rightarrow B$ , there is a corresponding direct image map  $k! : \text{Sub } B' \rightarrow \text{Sub } B$  for subobjects which pushes forward the subobject  $k' : B'' \rightarrow B'$  by composing with  $k$ .

*Remark.* There is a nice interplay between the direct image and the morphisms (by pullback) in  $\text{Sub}_{\mathcal{E}}$ , since a composition of pullbacks is a pullback of the composite morphism. This amounts to saying that

$$\begin{array}{ccc} \text{Sub } B' & \xrightarrow{\text{Sub } g'} & \text{Sub } C' \\ k! \downarrow & & \downarrow m! \\ \text{Sub } B & \xrightarrow{\text{Sub } g} & \text{Sub } C \end{array}$$

where we have morphisms  $g' : C' \rightarrow B'$ ,  $g : C \rightarrow B$ , and  $m'$  and  $m$  are equal to  $(\text{Sub } g')(k')$  and  $(\text{Sub } g)(k)$ . This anticipates an adjacent result that holds *internally*—that is, for power objects rather than subobjects.

**Theorem 4.4** (The Beck-Chevalley Condition for  $\exists$ ). If the square on the left forms a pullback, then the square on the right commutes.

$$\begin{array}{ccc} C' & \xrightarrow{g'} & B' \\ m \downarrow & & \downarrow k \\ C & \xrightarrow{g} & B \end{array} \quad \begin{array}{ccc} PB' & \xrightarrow{Pg'} & PC' \\ \exists_k \downarrow & & \downarrow \exists_m \\ PB & \xrightarrow{Pg} & PC \end{array}$$

**Corollary 4.4.1.** If  $k : B' \rightarrow B$  is a monomorphism, then the composite

$$PB' \xrightarrow{\exists_k} PB \xrightarrow{Pk} PB'$$

is the identity.

## 4.4 Monads and Beck's Theorem

**Definition 4.6** (Eilenberg-Moore Category). Let  $\mathbf{C}$  be a category with a monad  $(T, \eta, \mu)$ . The **Eilenberg-Moore category**, or **category of  $T$ -algebras**, is the category  $\mathbf{C}^T$  whose objects are pairs  $(A \in \mathbf{C}, a : TA \rightarrow A)$  such that the diagrams

$$\begin{array}{ccc} A & \xrightarrow{\eta_A} & TA \\ & \searrow 1_A & \downarrow a \\ & & A \end{array} \quad \begin{array}{ccc} T^2A & \xrightarrow{\mu_a} & TA \\ Ta \downarrow & & \downarrow a \\ TA & \xrightarrow{a} & A \end{array}$$

commute in  $\mathbf{C}$ , and morphisms are  $T$ -algebra homomorphisms: maps  $f : A \rightarrow B$  in  $\mathbf{C}$  so that the square

$$\begin{array}{ccc} TA & \xrightarrow{Tf} & TB \\ a \downarrow & & \downarrow b \\ A & \xrightarrow{f} & B \end{array}$$

commutes.

**Definition 4.7** (Kleisli Category). Let  $\mathbf{C}$  be a category with a monad  $(T, \eta, \mu)$ . The **Kleisli category**  $\mathbf{C}_T$  has the same objects of  $\mathbf{C}$ , and a morphism  $A \rightsquigarrow B$  is a morphism  $A \rightarrow TB$  in  $\mathbf{C}$ , where

- The unit  $\eta_A : A \rightarrow TA$  defines the identity morphism  $A \rightsquigarrow A \in \mathbf{C}_T$ .
- The composite of a morphism  $f : A \rightsquigarrow B$  and  $g : B \rightsquigarrow C$  is defined to be

$$A \xrightarrow{f} TB \xrightarrow{Tg} T^2C \xrightarrow{\mu_C} TC.$$

**Theorem 4.5** (All Monads are Induced by Adjunctions). *For any monad  $(T, \eta, \mu)$  acting on a category  $\mathbf{C}$ , there are adjunctions*

$$\mathbf{C} \xrightleftharpoons[U^T]{F^T} \mathbf{C}^T \quad \mathbf{C} \xrightleftharpoons[U_T]{F_T} \mathbf{C}_T$$

Here,  $U^T$  is the forgetful functor and  $F^T$  sends an object  $A$  to the **free  $T$ -algebra**  $(TA, \mu_A : T^2A \rightarrow TA)$ . On the other hand,  $F_T$  is the identity on objects and acts on morphisms  $f : A \rightarrow B$  by pushforward via  $\eta_B$ , and  $U_T$  sends an object  $A$  to  $TA$  and sends the morphism  $g : A \rightsquigarrow B$  to

$$U_T g : TA \xrightarrow{Tg} T^2B \xrightarrow{\mu_B} TB.$$

**Theorem 4.6.** *Let  $\mathbf{Adj}_T$  be the category of adjunctions inducing the monad  $(T, \eta, \mu)$ . Then, the associated Kleisli and Eilenberg-Moore categories are, respectively, initial and final objects. Moreover, there is a **canonical comparison functor**  $K : \mathbf{C}_T \rightarrow \mathbf{catC}^T$ , which is fully faithful and whose image consists of the free  $T$ -algebras.*

**Definition 4.8** (Monadic Functor). A functor  $U : \mathbf{D} \rightarrow \mathbf{C}$  is **monadic** if it has a left adjoint  $F$ , and if the canonical comparison functor  $K : \mathbf{D} \rightarrow \mathbf{C}^{UF}$  is an equivalence of categories.

**Theorem 4.7.** *Any monadic functor  $F$  creates limits; that is, given a diagram  $K : \mathbf{C} \rightarrow \mathbf{D}$ , if  $FK$  has a limit in  $\mathbf{D}$ , then there is a limit cone over  $FK$  that can be lifted to a limit cone over  $K$ , and  $F$  reflects these limit.*

**Definition 4.9** (Reflexive Pair). A reflexive pair is a pair of morphisms  $s, t : A \rightrightarrows B$  along with a morphism  $i : B \rightarrow A$  such that  $si = ti = 1_B$ .

**Theorem 4.8** (Beck's Theorem). *Let  $G : \mathbf{A} \rightarrow \mathbf{C}$  be a functor with a left adjoint, let  $T$  be the corresponding monad in  $\mathbf{C}$ , and let  $K : \mathbf{A} \rightarrow \mathbf{C}^T$  be the comparison functor. Then,*

1. *If  $\mathbf{A}$  has coequalizers of **reflexive pairs**,  $K$  has a left adjoint  $L$ .*
2. *If, in addition,  $G$  preserves these coequalizers, the unit of this adjunction is an isomorphism  $I_{\mathbf{C}^T} \cong KL$ .*
3. *If, in addition to (1) and (2),  $G$  reflects isomorphisms, then the counit of this adjunction is also an isomorphism; consequently,  $G$  is monadic in this case.*

## 4.5 The Construction of Colimits

**Theorem 4.9.** *The functor  $P : \mathcal{E}^{\text{op}} \rightarrow \mathcal{E}$  has a left adjoint, which is  $P^{\text{op}} : \mathcal{E} \rightarrow \mathcal{E}^{\text{op}}$*

*Proof.* A quick computation shows that we have the following natural isomorphisms:

$$\mathcal{E}(A, PB) \cong \mathcal{E}(B \times A, \Omega) \cong \mathcal{E}(A \times B, \Omega) \cong \mathcal{E}(A, PB) = \mathcal{E}^{\text{op}}(PB, A).$$

■

**Theorem 4.10.** *The power-set functor  $P : \mathcal{E}^{\text{op}} \rightarrow \mathcal{E}$  is monadic.*

*Proof.* It suffices to prove  $P$  satisfies the three conditions of Beck's theorem:

1.  $\mathcal{E}^{\text{op}}$  has coequalizers since  $\mathcal{E}$  has all finite limits, which subsumes equalizers.
2. The Beck-Chevalley condition is used to prove that  $P$  reflects coequalizers.
3. It is proven that  $P$  is faithful (using the  $P$ -transpose), so it must reflect monomorphisms and epimorphisms, and hence isomorphisms (all epic and monic morphisms are isomorphisms in a topos).

■

**Corollary 4.10.1.** *A topos  $\mathcal{E}$  has all finite colimits.*

*Proof.* Let  $T = PP^{\text{op}}$  be the monad associated to  $P^{\text{op}} \dashv P$ . The forgetful functor  $\mathcal{E}^T \rightarrow \mathcal{E}$  creates limits. If  $J$  is a finite index category,  $\mathcal{E}$  has  $J^{\text{op}}$ -limits, so  $\mathcal{E}^T$  has all  $J^{\text{op}}$  limits. Since  $P$  is monadic,  $\mathcal{E}^{\text{op}}$  is equivalent to  $\mathcal{E}^T$ , which means  $\mathcal{E}^{\text{op}}$  has all  $J^{\text{op}}$  limits, which are simply  $J$  limits in  $\mathcal{E}$ .

■

## 4.6 Factorization and Images

**Definition 4.10** (Image). The **image** of a morphism  $f$ , if it exists, is a monomorphism such that  $f = me$  factors through  $m$ , and if  $f$  factors through some monomorphism  $h$ , then so does  $m$ .

**Theorem 4.11.** *In a topos, every morphism  $f$  has an image  $m$  and factors as  $f = me$ , with  $e$  epic.*

**Theorem 4.12.** *If  $f = me$  and  $f' = m'e'$  are two monic  $\circ$  epic decompositions, then each commutative square  $f \Rightarrow f'$  extends to unique adjacent commutative squares  $m \circ e \Rightarrow m' \circ e'$ .*

**Theorem 4.13.** *For each object  $A$  in a topos the partially ordered set  $\text{Sub } A$  of subobjects of  $A$  is a Heyting algebra, and for each morphism  $k : A \rightarrow B$ , pullback along  $k$  is a morphism  $k^{-1} : \text{Sub } B \rightarrow \text{Sub } A$  of posets. Again, there exists a left adjoint  $\exists_k$  which sends each subobject  $u : S \rightarrow A$  to the image  $m : \exists_k S \rightarrow B$  of  $ku$ .*

*Remark.* Since  $k^{-1}$  is a right adjoint, it is a **meet-semilattice homomorphism**, which is to say  $k^{-1}$  preserves finite products:  $k^{-1}(S \cap T) = k^{-1}(S) \cap k^{-1}(T)$ . Alternatively, we can say that the meet  $\bigcap : \text{Sub } B \times \text{Sub } B \rightarrow \text{Sub } B$  is natural in  $B$ . Moreover, under the natural isomorphism  $\text{Hom}(B \cap \Omega) \cong \text{Sub } B$ , we get an induced morphism  $\bigwedge : \Omega \times \Omega \rightarrow \Omega$  through the Yoneda lemma; similarly, the natural isomorphism  $\text{Sub}(B \times X) \cong \text{Hom}(X, PB)$  gives us a morphism  $\bigwedge : PB \times PB \rightarrow PB$ , called the **internal meet** on  $PB$ .

**Definition 4.11** (Open Object). An object  $U$  is open in  $\mathcal{E}$  is **open** if  $U \rightarrow 1$  is monic.

**Theorem 4.14.** *In a topos  $\mathcal{E}$  the lattice  $\text{Sub } 1$  regarded as a category is equivalent to the full subcategory  $\text{Open}(\mathcal{E})$  of open objects  $\mathcal{E}$ . An object  $U$  is open in  $\mathcal{E}$  if and only if there is at most one arrow  $X \rightarrow U$  from any object  $X$ .*

## 4.7 The Slice Topos

**Theorem 4.15** (Fundamental Theorem of Topos Theory). *For any object  $B$  in a topos  $\mathcal{E}$ , the slice category  $\mathcal{E}/B$  is also a topos.*

*Remark.* A toy version of the theorem in the topos  $\mathbf{Set}$  follows from the fact that  $\mathbf{Set}^B \cong \mathbf{Ser}/B$ , where  $\mathbf{Set}^B$  is the discrete category.

**Theorem 4.16.** *For any arrow  $k : B \rightarrow A$  in a topos  $\mathcal{E}$ , we get a **change of base** functor  $k^* : \mathcal{E}/A \rightarrow \mathcal{E}/B$  defined by pullback. Furthermore,  $k^*$  has a left adjoint  $\sum_k$ , given by composition with  $k$ , and a right adjoint  $\prod_k$ . Moreover,  $k^*$  preserves the subobject classifier and exponentials, and hence is a logical morphism.*

**Corollary 4.16.1.** *In a topos, the following important properties of morphisms hold:*

1. *The pullback of an epimorphism is epic*
2. *Any morphism  $k : A \rightarrow 0$  is an isomorphism; that is,  $0$  is a **strict** initial object.*
3. *The morphism  $0 \rightarrow B$  is monic.*



**Definition 4.12** (Disjoint Subobjects). We say  $S, T \in \text{Sub } B$  are **disjoint** if  $S \cap T \cong 0$

**Theorem 4.17.** *If  $S, T$  are disjoint subobjects of  $B$ , then  $S \cup T \cong S + T$ , where  $+$  denotes coproduct.*

**Theorem 4.18.** *Consider a family  $m_i : S_i \rightarrowtail B$  of disjoint subobjects of  $B$ . If their coproduct  $\coprod S_i$  exists, the induced map  $m : \coprod S_i \rightarrow B$  is monic, and represents the supremum of the subobjects  $S_i$ .*

**Theorem 4.19.** *In a topos, if  $f : X \rightarrow Y$  and  $g : W \rightarrow Z$  are epimorphisms, then so is  $f \times g : X \times W \rightarrow Y \times Z$ . Furthermore, every epimorphism is the coequalizer of its **kernel pair**; that is, the pair  $A \times_B A \rightrightarrows A$  obtained from the pullback of  $f$  along itself.*

## 4.8 Lattice Objects in a Topos

**Definition 4.13** (Internal Lattice/Heyting Algebra). A lattice (Heyting algebra) object is sometimes called an **internal lattice** (**internal Heyting algebra**).

*Remark* (Internal Poset). If  $L$  is an internal lattice in a category  $\mathcal{C}$ , we have a notion of a partial order:  $x \leq y$  iff  $x \wedge y = x$ . Thus, define the subobject  $\leq_L$  of  $L \times L$  as the equalizer

$$\leq_L \xrightarrow{e} L \times L \xrightarrow[\pi_1]{\wedge} L$$

Then,  $(L, \leq_L)$  is an **internal partial order**, which means there exist appropriate commutative diagrams witnessing the axioms of partial orders. One can further introduce a binary operation  $\Rightarrow : L \times L \rightarrow L$  to make  $L$  a Heyting algebra; these two constructions of an internal Heyting algebra are equivalent.

**Theorem 4.20.** *For any object  $A$  in a topos  $\mathcal{E}$ , we have adjacent external and internal results:*

1. **(External)** *The poset  $\text{Sub } A$  of subobjects of  $A$  has the structure of a Heyting algebra. Moreover, this structure is natural in  $A$  in the sense that the pullback along any morphism  $k : A \rightarrow B$  induces a map  $k^{-1}$  of Heyting algebras*

$$\begin{array}{ccc} \text{Sub}_{\mathcal{E}}(A) & \xrightarrow{k^{-1}} & \text{Sub}_{\mathcal{E}}(B) \\ \downarrow \iota_B & & \downarrow \iota_A \\ \mathcal{E}/A & \xrightarrow{k^*} & \mathcal{E}/B \end{array}$$

2. **(Internal)** *The power object  $PA$  is an internal Heyting algebra. In particular, so is  $\Omega = P1$ . Moreover, this structure is natural in  $A$ , in the sense that, for a morphism  $k : A \rightarrow B$  in  $\mathcal{E}$ , the induced map  $Pk : PB \rightarrow PA$  is a homomorphism of internal Heyting algebras. For each  $X$  in  $\mathcal{E}$  the internal structure on  $PA$  makes  $\text{Hoim}(X, PA)$  an external Heyting algebra so that the canonical isomorphism*

$$\text{Sub}_{\mathcal{E}}(A \times X) \cong \text{Hom}_{\mathcal{E}}(X, PA),$$

*is an isomorphism of external Heyting algebras.*

## 4.9 The Beck-Chevalley Condition

**Theorem 4.21** (Frobenius Identity). *Given a morphism  $f : A \rightarrow B$ , subobjects  $U, V$  of  $B$ , and a subobject  $W$  of  $A$  in a topos  $\mathcal{E}$ , we have the identity*

$$\exists_f(W) \bigwedge U = \exists_f(W \cap f^{-1}(U)).$$

**Theorem 4.22** (External Beck-Chevalley Condition). *Give the pullback square on the left, the diagram on the right satisfies the Beck-Chevalley condition:*

$$\begin{array}{ccc} C \times_A B & \xrightarrow{p} & B \\ \downarrow q & & \downarrow f \\ C & \xrightarrow{g} & A \end{array} \quad \begin{array}{ccc} \text{Sub}(C \times_A B) & \xleftarrow[p^{-1}]{\exists_p} & \text{Sub}(B) \\ q^{-1} \uparrow \downarrow \exists_q & & \exists_f \downarrow \uparrow f^{-1} \\ \text{Sub}(B) & \xleftarrow[g^{-1}]{\exists_p} & \text{Sub}(A) \end{array}$$

*That is,  $g^{-1}\exists_f U = \exists_q p^{-1}U$ .*

**Theorem 4.23** (Internal Beck-Chevalley Condition). *Let  $f : A \rightarrow B$  be a map  $\mathcal{E}$ . Then  $Pf : PB \rightarrow PA$  has an internal left adjoint  $\exists_f : PA \rightarrow PB$ . This can be expressed by saying  $\langle \exists_f Pf, 1_{PA} \rangle$  factors through  $\leq_{PA}$ , and  $\langle 1_{PB}, Pf \exists_f \rangle$  factors through  $\leq_{PB}$ . Moreover, the internal Frobenius identity and Beck-Chevalley conditions hold.*

*Remark.* The same results above can be repeated for the internal/external right adjoint  $\forall_f$ .

## 4.10 Injective Objects

## 5 Basic Constructions of Topoi

### 5.1 Lawvere-Tierney Topologies

**Definition 5.1** (Lawvere-Tierney Topology). Given a topos  $\mathcal{E}$ , a **Lawvere-Tierney Topology** is a morphism  $j : \Omega \rightarrow \Omega$  satisfying the three axioms

1.  $j \circ \text{true} = \text{true}$ .
2.  $j \circ j = j$ .
3.  $j \circ \wedge = \wedge \circ (j \times j)$ .

By definition,  $j$  is uniquely the characteristic morphism of some subobject  $J \rightarrow \Omega$ .

**Example 5.1.** Here is an example of a topology for the topos  $\mathcal{E} = \mathcal{O}(\hat{X})$  of presheaves on a space  $X$ . Define  $J \leq \Omega$  by  $J(U) = \{S : S \text{ is a covering sieve of } U\}$ . Notice that  $J$  is a subfunctor since if  $S$  covers  $U$  and  $W \subseteq U$ , then  $S \cap W$  covers  $W$ . Recall that the map  $\text{true}_U : 1 \rightarrow \Omega(U)$  picks the maximal sieve  $\hat{U}$  on  $U$ . Then the corresponding characteristic morphism  $j : \Omega \rightarrow \Omega$  must be defined by

$$j_U(S) = \{W : W \text{ is open in } U \text{ and } S \cap W \text{ covers } W\},$$

which sends  $S$  to the principal sieve  $\hat{V}$ , where  $V = \bigcup_{W \in S} W$ . In other words,  $j_U(S)$  specifies the largest open set  $V \subseteq U$  covered by  $S$ , and  $S$  covers  $U$  precisely when  $j_U(S) = \hat{U}$ .  $j$  is a Lawvere-Tierney topology since

1.  $j_U(\hat{U}) = \hat{U}$ .
2.  $j_U$  is idempotent by its description.
3.  $j_U(S \cap T) \subseteq j_U(S) \cap j_U(T)$  since  $j_U$  is order preserving, and if  $W \in j_U(S) \cap j_U(T)$ , then  $W = \bigcup V_i = \bigcup V'_j$ , for  $V_i \in S$  and  $V_j \in T$ , but this means that  $W = \bigcup (V_i \cap V'_j) \in j_U(S \cap T)$ .

**Definition 5.2** (Closure Operator). By the following correspondence, we get a unary **closure operator**  $A \mapsto \bar{A}$  on the subobjects  $A \rightarrow E$  of each object  $E$ :

$$\begin{array}{ccc} \text{Hom}(E, \Omega) & \xrightarrow{\cong} & \text{Sub}(E) \\ \text{Hom}(1, j) \downarrow & & \downarrow A \mapsto \bar{A} \\ \text{Hom}(E, \Omega) & \xrightarrow{\cong} & \text{Sub}(E) \end{array}$$

In other words,  $\text{char}(\bar{A}) = j \text{ char}(A)$ . Furthermore, closure is natural in  $E$ , which is to say for any morphism  $f : E \rightarrow F$  and a subobject  $B$  of  $F$ ,  $f^{-1}(\bar{B}) = \overline{f^{-1}(B)}$ . We call  $\bar{A}$  the **closure** of  $A \rightarrow E$ , and we say that  $A$  is **dense** in  $E$  when  $\bar{A} = E$ .

**Theorem 5.1.** *For any topos  $\mathcal{E}$ , each arrow  $j : \Omega \rightarrow \Omega$  determines by the diagram above an operator  $A \mapsto \overline{A}$  on the subobjects of each object  $E$ , which is natural in  $E$ . Moreover,  $j$  is a Lawvere-Tierney topology if and only if for all  $A, B \in \text{Sub}(E)$ ,*

$$A \leq \overline{A}, \quad \overline{\overline{A}} = A, \quad \overline{A \wedge B} = \overline{A} \wedge \overline{B}.$$

*Conversely, any operator satisfying all properties above arises from unique Lawvere-Tierney topology  $j$ .*

**Theorem 5.2.** *Every Grothendieck topology  $J$  on a small category  $\mathcal{C}$  determines a Lawvere-Tierney topology  $j$  on the presheaf topos  $\hat{\mathcal{C}}$  by defining  $j_{\mathcal{C}}(S) = \{g : S \text{ covers } g : D \rightarrow C\}$ .*

## 5.2 Sheaves

**Definition 5.3** (Sheaf). An object  $F$  of  $\mathcal{E}$  is called a **sheaf** for the Lawvere-Tierney topology  $j$  if for every dense monomorphism  $m : A \rightarrowtail E$ , pullback by  $m$  induces an isomorphism  $m^* : \text{Hom}_{\mathcal{E}}(E, F) \rightarrow \text{Hom}_{\mathcal{E}}(A, F)$ . In other words, each map from a dense subobject of  $E$  into a sheaf can be uniquely extended to a map on  $E$ . We write  $\text{Sh}_j \mathcal{E}$  for the full subcategory of sheaves of  $\mathcal{E}$ .

**Definition 5.4** (Separated Object). An object  $F$  of  $\mathcal{E}$  is called **separated** if for each dense  $A \rightarrowtail E$ ,  $\text{Hom}_{\mathcal{E}}(E, F) \rightarrow \text{Hom}_{\mathcal{E}}(A, F)$  is monic. We let  $\text{Sep}_j \mathcal{E}$  denote the full subcategory of separated objects of  $\mathcal{E}$ .

**Lemma 5.3.**  *$\text{Sep}_j \mathcal{E}$  and  $\text{Sh}_j \mathcal{E}$  are closed under all finite limits and exponentiation with an arbitrary object from  $\mathcal{E}$ .*

*Remark.* The morphisms  $j, 1 : \Omega \rightarrow \Omega$  have an equalizer  $\Omega_j \xrightarrow{m} \Omega$ . Since  $j$  is idempotent, the universal property of equalizers implies that  $j$  factors through a unique morphism  $r : \Omega \rightarrow \Omega_j$ . Since  $mrm = jm = m$  and  $m$  is monic, it turns out that  $rm = 1$ , which means  $\Omega_j$  is a retract of  $\Omega$ . Moreover, if a subobject  $A$  of  $E$  is characterized by a map  $a : E \rightarrow \Omega$ , then  $\overline{A}$  is characterized by  $j \circ a$ . Notice then that  $A$  is closed iff  $j \circ a = a$  iff  $a$  factors through  $\Omega_j \rightarrow \Omega$ . This gives us the following lemma.

**Lemma 5.4.**  *$\Omega_j$  classifies closed subobjects: for each object  $E$ , there is a bijection natural in  $E$*

$$\text{Hom}_{\mathcal{E}}(E, \Omega_j) \rightarrow \text{ClSub}_{\mathcal{E}}(E),$$

*where  $\text{ClSub}_{\mathcal{E}}(E)$  is the lattice of closed subobjects of  $E$ .*

**Lemma 5.5.** *If  $m : A \rightarrowtail E$  is dense, then the inverse image morphism  $m^{-1} : \text{ClSub}_{\mathcal{E}}(E) \rightarrow \text{ClSub}_{\mathcal{E}}(A)$  is an isomorphism.*

**Lemma 5.6.** *If  $m : A \rightarrowtail E$  is a subobject of a sheaf  $E$ , then  $A$  is closed in  $E$  iff  $A$  is also a sheaf.*

**Theorem 5.7.** *Let  $\mathcal{E}$  be a topos with a Lawvere-Tierney topology  $j$ . Then  $\text{Sh}_j \mathcal{E}$  is a topos, and the inclusion  $\text{Sh}_j \mathcal{E} \rightarrow \mathcal{E}$  is left exact and preserves exponentials.*

### 5.3 The Associated Sheaf Functor

**Lemma 5.8.** *If  $B \rightarrowtail C$  is monic and  $C$  is separated, then so is  $B$ .*

**Lemma 5.9.** *For any object  $C$  in  $\mathcal{E}$ , the following are equivalent:*

1.  $C$  is separated.
2. The diagonal  $\Delta_C \rightarrowtail C \times C$  is a closed subobject of  $C \times C$ .
3. The following diagram commutes:

$$\begin{array}{ccc} C & \xrightarrow{\{\cdot\}_C} & \Omega^C \\ & \searrow \{\cdot\}_C & \downarrow j^C \\ & & \Omega^c \end{array}$$

4. For any  $f : A \rightarrow C$ , the graph of  $f$  is a closed subobject of  $A \times C$ .

**Theorem 5.10.**  *$E$  is separated iff it can be embedded in an injective sheaf, the immediate candidate being  $\Omega_j^E$ .*

**Lemma 5.11.** *For any object  $E$ , there is an epimorphism  $\theta_E$  to a separated object  $E'$  such that the kernel pair of  $\theta_E : E \rightarrow E'$  is precisely the closure  $\overline{\Delta}$  of the diagonal  $\Delta \rightarrowtail E \times E$ .*

**Corollary 5.11.1.** *The map  $\theta_E$  mentioned above is universal for maps from  $E$  into separated objects, which defines a left adjoint to the forgetful functor  $\text{Set}_j \mathcal{E} \rightarrow \mathcal{E}$ .*

**Corollary 5.11.2.** *The forgetful functor  $\text{Sh}_j \mathcal{E} \rightarrow \text{Set}_j$  has a left adjoint.*

**Theorem 5.12.** *Let  $j$  be a Lawvere-Tierney topology on a topos  $\mathcal{E}$ . Then, the forgetful functor has a left adjoint  $\alpha : \mathcal{E} \rightarrow \text{Sh}_j \mathcal{E}$ .*

### 5.4 Lawvere-Tierney Subsumes Grothendieck

**Theorem 5.13.** *If  $\mathcal{C}$  is a small category, the Grothendieck topologies  $J$  on  $\mathcal{C}$  correspond exactly to Lawvere-Tierney topologies on  $\hat{\mathcal{C}}$ .*

**Theorem 5.14.** *Let  $\mathcal{C}$  be a small category with a Lawvere-Tierney topology  $j$  on  $\hat{\mathcal{C}}$  and the corresponding Grothendieck topology  $j$  on  $\mathcal{C}$ . Then the presheafs of  $j$  coincide with the presheafs of  $J$ .*

### 5.5 Internal vs External

So far, we've developed in parallel two competing philosophies for examining the topos  $\mathcal{E}$ , which are the internal and external perspectives. The internal perspective is one where  $\mathcal{E}$  is taken as a mathematical objects which satisfies all the elementary axioms of a topos. From this perspective,  $\mathcal{E}$  need not rely on the notions of sets and can be treated as an independent universe of discourse. Contrarily, the external dogma treats  $\mathcal{E}$  as a set-theoretical structure with a set of objects and a set of arrows. Here, we gather a table comparing the respective notions of each perspective.

Notion	Internal	External
Power Object	$PA$	$\text{Sub}(A)$
Hom Object	$B^A$	$\text{Hom}(A, B)$
Category of Sheaves	$\text{Sh}_j(\mathcal{E})$	$\text{Sh}(\mathcal{C}, J)$
Beck-Chevalley	Theorem <a href="#">4.23</a>	<a href="#">4.22</a>
Composition	$m : C^B \times B^A \rightarrow C^A$	$\circ : \text{Hom}(A, B) \times \text{Hom}(B, C) \rightarrow \text{Hom}(A, C)$

## 6 Topoi and Logic

### 6.1 The Topos of Sets

**Definition 6.1** (Natural Numbers Object). Any topos  $\mathcal{E}$  is said to satisfy the axiom of infinity if it admits a **natural numbers object**  $\mathbb{N}$  with morphisms  $1 \xrightarrow{0} \mathbb{N} \xrightarrow{s} \mathbb{N}$ , such that for any object  $X$  and morphisms  $1 \xrightarrow{x} X \xrightarrow{f} X$ , there is a unique arrow  $h$  that makes the following diagram commute:

$$\begin{array}{ccccc} 1 & \xrightarrow{0} & \mathbb{N} & \xrightarrow{s} & \mathbb{N} \\ \downarrow & & \downarrow h & & \downarrow h \\ 1 & \xrightarrow{x} & X & \xrightarrow{f} & X \end{array}$$

It follows but the definition that  $\mathbb{N}$  is unique up to isomorphism.

*Remark.* Suppose there is an adjunction  $g^* \dashv g_*$  with  $g_* : \mathcal{F} \rightleftarrows \mathcal{E} : g^*$ , such that  $g^*$  preserves the terminal object, e.g. if  $g^*, g_*$  come from a **geometric morphism**  $g : \mathcal{F} \rightarrow \mathcal{E}$ . If  $\mathcal{E}$  admits a nno  $\mathbb{N}$ , then

$$1 \cong g^*(1) \xrightarrow{g^*(0)} g^*(\mathbb{N}) \xrightarrow{g^*(s)} g^*(\mathbb{N})$$

is a nno for  $\mathcal{F}$ . In particular, any Grothendieck topos has a nno  $\hat{\mathbb{N}} = a\Gamma(\mathbb{N})$ .

**Theorem 6.1.** *For a topos  $\mathcal{E}$ , the following conditions are equivalent:*

1.  $\mathcal{E}$  is boolean.
2. The negation operator  $\neg : \Omega \rightarrow \Omega$  satisfies  $\neg\neg = 1$ .
3. For every object  $E$  of  $\mathcal{E}$ , the Heyting algebra  $\text{Sub}(E)$  is boolean.
4. For every subobjects  $S \rightarrowtail E$  in  $\mathcal{E}$ ,  $\neg S \vee S = E$ .
5. The maps  $\text{true} : 1 \rightarrow \Omega$  and  $\text{false} = \neg \text{true} : 1 \rightarrow \Omega$  induce an isomorphism  $1 + 1 \cong \Omega$ .

**Lemma 6.2.** *Given a topos  $\mathcal{E}$ , a topology  $j$ , and a  $j$ -sheaf  $F$ , the following identities hold in  $\text{Sub}_{\mathcal{E}_j}(F)$  for closed subobjects  $S$  and  $T$  of  $F$ :*

1.  $1_j = 1$  and  $S \wedge_j T = S \wedge T$ .
2.  $0_j = \bar{0}$  and  $S \vee_j T = \overline{S \vee T}$ .
3.  $(S \Rightarrow_j T) = (S \Rightarrow T)$ .
4.  $\neg_j S = \neg \bar{S}$ .

**Theorem 6.3.** *In any topos  $\mathcal{E}$ , the operator  $\neg\neg : \Omega \rightarrow \Omega$  of double negation is a Lawvere-Tierney topology, and the resulting category of  $\neg\neg$ -sheaves is a Boolean topos.*

**Lemma 6.4.** *For any subobjects  $A \multimap E$  in  $\hat{\mathcal{C}}$  and any objects  $C$ ,*

$$\neg\neg A(C) = \{x \in E(C) : \text{for all } f : B \rightarrow C, \text{ there exists } g : D \rightarrow B \text{ with } x \cdot f \cdot g \in A(D)\}.$$

**Corollary 6.4.1.** *For any presheaf topos  $\hat{\mathcal{C}}$ , the dense topology coincides with the double negation topology.*

**Theorem 6.5.** *Let  $\mathcal{E}$  be a Boolean topos, and let  $\mathcal{U}$  be a maximal filter of subobjects of 1 in  $\mathcal{E}$ . Then the filter-quotient topos  $\mathcal{E}/\mathcal{U}$  is two-valued and boolean.*

**Definition 6.2** (Axiom of Choice). A topos  $\mathcal{E}$  is said to satisfy the **axiom of choice** if every epimorphism  $r : X \twoheadrightarrow I$  in  $\mathcal{E}$  has a section; that is, some  $s : I \rightarrow X$  such that  $rs = 1$ .  $\mathcal{E}$  satisfies the **internal axiom of choice** if for any object  $E$ , the functor  $(-)^E : \mathcal{E} \rightarrow \mathcal{E}$  preserves epimorphisms. Notice that any topos satisfying AC also satisfies IAC, since  $r, s$  induce maps  $r^E : X^E \rightarrow I^E, s^E : I^E \rightarrow X^E$ , such that  $s^E$  is a section for  $r$ .

**Definition 6.3** (Well-pointed). A family  $\mathcal{G}$  of objects of a category  $\mathcal{C}$  **generates**  $\mathcal{C}$  if  $f \neq g : A \rightarrow B$  implies  $fu \neq gu$  for some  $u : G \rightarrow A$  from an object  $G$  in the family  $\mathcal{G}$ .  $\mathcal{C}$  is called **well-pointed** if it is generated by the terminal object 1. For example, equality of set functions can be checked on the elements of their domain.

**Definition 6.4** (Nondegenerate).  $\mathcal{E}$  is nondegenerate if  $0 \not\cong 1$ . Notice that a nondegenerate topos is well-pointed iff the functor  $\text{Hom}(1, -)$  is faithful.

*Proof.* A well-pointed topos is two-valued and Boolean. ■

**Theorem 6.6.** *Let  $\mathcal{E}$  be generated by subobjects of 1, such that for each  $E$ ,  $\text{Sub}(E)$  is a complete Boolean algebra. Then  $\mathcal{E}$  satisfies the axiom of choice. In particular,  $\text{Sh}(P, \neg\neg)$  satisfies the axiom of choice given any poset  $P$ .*

## 6.2 The Cohen Topos

**Theorem 6.7.** *There exists a Boolean topos satisfying the axiom of choice, in which the continuum hypothesis fails.*

*Sketch.* To sketch the proof, we begin with a model  $\mathcal{S}$  of set theory and some set  $B$  larger than  $P\mathbb{N}$ , we construct a new model  $\mathcal{S}'$  in which there is a monomorphism  $g : B \hookrightarrow P\mathbb{N}$ , which will nearly guarantee  $\mathbb{N} < gB < P\mathbb{N}$  in the new model. Constructing  $g$  amounts to constructing its transpose  $f : B \times \mathbb{N} \rightarrow 2$ . For  $f$  to be monic, it would need to admit an  $n$  for each distinct pair  $b \neq b' \in B$ , such that  $f(b, n) \neq f(b', n)$ .  $f$  does not exist in the first model, but we can construct approximations  $p$  of  $f$ , which are partial functions defined on a finite subset  $F_p \subseteq B \times \mathbb{N}$ :

$$p(b_i, n_i) = 0, \quad p(c_j, m_j) = 1, \quad i \in [k], j \in [\ell].$$

We say  $(F_p, p)$ , or  $p$ , is a **condition**, and the set of conditions forms a poset  $P$  called a **notion of forcing**, with  $q \leq p$  if  $q$  extends  $p$ . Define the **Cohen topos** to be the topos  $\text{Sh}(P, \neg\neg)$ , which will play the role of our larger model of set theory. The goal then is to prove the existence of monomorphisms  $\mathbb{N} \hookrightarrow K \hookrightarrow \Omega^{\mathbb{N}}$ , with no epimorphisms  $\Omega^{\mathbb{N}} \twoheadrightarrow K$  or  $K \twoheadrightarrow \mathbb{N}$ . ■



**Lemma 6.8.** *For any  $p$  in the Cohen poset  $P$ , the representable presheaf  $\mathcal{J}(p) \in \hat{P}$  is a sheaf for the dense topology.*

**Lemma 6.9.** *Let  $A \in \hat{P}$  be defined by  $A(p) = \{(b, n) : p(b, n) = 0\}$ . Then,  $A$  is a closed subobject of  $\Delta(B \times \mathbb{N})$  with respect to the dense topology, and  $\text{char } A : \Delta B \times \Delta \mathbb{N} \rightarrow \Omega$  factors through some morphism  $f : \Delta B \times \Delta \mathbb{N} \rightarrow \Omega_{\neg, \neg}$ .*

**Lemma 6.10.** *The transpose of  $f$  is a monomorphism  $g : \Delta B \rightarrow \Omega_{\neg, \neg}^{\Delta \mathbb{N}}$ .*

**Corollary 6.10.1.** *Let  $\hat{S}$  denote the sheafification of  $\Delta S$ , for a set  $S$ . Then sheafification sends the map  $g$  from above to a monomorphism  $m : \hat{B} \rightarrow \Omega_{\neg, \neg}^{\hat{\mathbb{N}}} \cong P(\hat{\mathbb{N}})$ . This gives us the chain*

$$\hat{\mathbb{N}} \rightarrow \hat{B} \rightarrow P(\hat{\mathbb{N}}).$$

### 6.3 The Preservation of Cardinal Inequalities

**Definition 6.5** ( $\text{im}_E$ ). Define the operation  $\text{im}_E : \text{Hom}(E, Y^X) \rightarrow \text{Hom}(E, \Omega^Y)$  via the following process. Given  $f : E \rightarrow Y^X$ , let  $\hat{f} : E \times X \rightarrow Y$  be the transpose, and let  $\text{Im}_E(f) \in \text{Sub}(E \times Y)$  be the image of the map  $(\pi_1, \hat{f}) : E \times X \rightarrow E \times Y$ . Finally, define  $\text{im}_E(f)$  to be the transpose of  $\text{char } \text{Im}_E(f) : E \times Y \rightarrow \Omega$ .

**Lemma 6.11.**  *$\text{im}_E$  is natural in  $E$ . By the Yoneda lemma, we conclude that  $\text{im}_E$  is induced via composition by a uniquely determined map  $\text{im } Y^X \rightarrow \Omega^Y$*

**Definition 6.6** (Epimorphisms Object). Let  $t_Y : 1 \rightarrow \Omega^Y$  be the transpose of  $1 \times Y \rightarrow 1 \xrightarrow{\text{true}} \Omega$ , and define  $\text{Epi}(X, Y)$  as the pullback of  $t_Y$  along  $\text{im}$ .

**Lemma 6.12.** *For any object  $E$  of  $\mathcal{E}$ , a morphism  $f : E \rightarrow Y^X$  factors through the subobject  $\text{Epi}(X, Y) \rightarrow Y^X$ .*

**Corollary 6.12.1.** *In a nondegenerate topos,  $\text{Epi}(X \times Y) = 0$  implies that there is no epimorphism  $X \rightarrow Y$ .*

**Lemma 6.13.** *Let  $p : Y \rightarrow Z$  be an epimorphism in  $\mathcal{E}$ . Then the induced map  $p^X : Y^X \rightarrow Z^X$  restricts to a map  $\text{Epi}(X, Y) \rightarrow \text{Epi}(X, Z)$ .*

**Lemma 6.14.** *In a Boolean topos, let  $X$  be an object,  $m : Z \rightarrow Y$  a monomorphism and  $z_0 : 1 \rightarrow Z$  a global section. If  $\text{Epi}(X, Z) \cong 0$ , then  $\text{Epi}(X, Y) \cong 0$ .*

**Definition 6.7** (Souslin Property). For an object  $X$  in a topos  $\mathcal{E}$ ,  $X$  has the **Souslin property** if any family  $\mathcal{A}$  of subobjects of  $X$  which is pairwise disjoint, that is,  $U \wedge V = 0$  for  $U \neq V$ , is at most countable. A Grothendieck topos is said to have the Souslin property if it is generated by objects having the Souslin property.

**Theorem 6.15.** *In a Grothendieck topos  $\mathcal{E}$  satisfying the Souslin property, any two infinite sets  $S, T$  satisfy the property that if  $\text{Epi}(S, T) \cong 0$  in  $\text{Set}$ , then  $\text{Epi}(\hat{S}, \hat{T}) \cong 0$  in  $\mathcal{E}$ .*

**Lemma 6.16.** *The Cohen topos has the Souslin property.*

**Lemma 6.17.** *On the Cohen poset  $P$ , any set of incompatible conditions is countable.*

**Corollary 6.17.1.** *Extending Corollary 6.10.1, there do not exist epimorphisms  $\Omega^{\mathbb{N}} \rightarrow K$  or  $K \rightarrow \mathbb{N}$ .*

## 6.4 The Axiom of Choice

**Theorem 6.18.** *There exists a two-valued Boolean Grothendieck topos  $\mathcal{F}$  with a natural numbers object  $\hat{\mathbb{N}}$  which has a sequence of objects  $F_0, F_1, \dots$  such that*

1. *For each natural number  $n$ ,  $F_n \rightarrow 1$  is an epimorphism.*
2.  *$\prod_m F_m$  exists and is 0.*
3. *Each  $F_n$  is a subobject of  $P(\hat{\mathbb{N}})$*

*Remark.* The fact that each  $F_n$  is 0, but their product is not, implies that AC fails in  $\mathcal{F}$ . More surprisingly, these conditions are enough for  $\mathcal{F}$  to violate IAC. The maps  $F_m \rightarrow 1$  combine to form a map  $p : \prod_{m \in \mathbb{N}} F_m \rightarrow \prod_{n \in \mathbb{N}} 1 \cong \hat{\mathbb{N}}$ . We construct the pullback

$$\begin{array}{ccc} P & \xrightarrow{k} & (\prod_{n \in \mathbb{N}} F_n)^{\hat{\mathbb{N}}} \\ \downarrow & & \downarrow p^{\hat{\mathbb{N}}} \\ 1 & \xrightarrow{\text{id}} & \hat{\mathbb{N}}^{\hat{\mathbb{N}}} \end{array}$$

By a diagram chase,  $P$  is the product  $\prod_n F_n$ .  $F_n \rightarrow 1$  is epic by (1), so we have the epimorphism  $\prod_n F_n \cong \prod_m 1 \cong \hat{\mathbb{N}}$ . But  $p^{\hat{\mathbb{N}}}$  cannot be epic since then its pullback  $0 \cong P \rightarrow 1$  would be epic, by (2), but this is false (since  $\mathcal{F}$  is Boolean and thus nondegenerate). Therefore, the functor  $( )^{\hat{\mathbb{N}}}$  does not preserve epimorphisms, so IAC does not hold.

**Lemma 6.19.** *densemeet In the presheaf category  $\hat{\mathcal{C}}$ , a subobject  $A \rightarrowtail C$  is dense in the  $\neg\neg$ -topology iff  $B \neq 0$  implies  $B \cap A \neq 0$ . We say  $A$  **meets** every object which is non-zero.*

**Definition 6.8** (The Freyd Topos). Let  $\mathbf{A}$  be the category with objects of all finite sets of the form  $n = \{0, \dots, n\}$ , and a morphism  $f : n \rightarrow m$  is a function with  $n \geq m$  and  $\{1, \dots, m\}$  fixed: a retraction from  $n$  onto  $m$ . Notice that  $f = g$  if the following square commutes:

$$\begin{array}{ccc} p & \xrightarrow{h} & n \\ k \downarrow & & \downarrow g \\ n & \xrightarrow{f} & m \end{array}$$

simply by the fact that  $h, k$  are surjective and coincide on  $n$ . Let  $H_n = \text{Hom}(-, n)$  be the representable functor and let  $F_n$  be the sheafification of  $\cap H_n$ . We will prove that  $\mathcal{F} = (\text{Sh}_{\neg\neg}(\mathbf{A}))$  is two-valued and the objects  $F_n$  satisfy (1)-(3).

**Theorem 6.20.**  *$\mathcal{F}$  is two valued.*

*Proof.* The subfunctors of 1 are the empty functor and functors  $U_n$  which are 0 until  $U_n(n) = 1$ , which means  $U_n(m) = 1$  for  $m \geq n$ . Every nonempty subobject meets every nonempty subobjects since  $U_n \cap U_m = U_{n+m}$ , but by Lemma ??, all nonempty subobjects are dense in 1. By V.2.4, the only dense subsheaf of 1 is 1 itself, so we are done. ■

**Lemma 6.21.** *The subobjects classifier  $\Omega = \hat{2}$  is an injective presheaf.*

## 6.5 The Mitchel-Bénabou Language

**Definition 6.9** (Term). Given **types**  $X, Y, \dots$  of a topos  $\mathcal{E}$ , we give a recursive procedure for defining terms of a particular type. Moreover, each term  $\sigma \in X$  may have an **interpretation**, which will be a morphism  $\sigma : U \rightarrow X$  between types of a particular kind. There will be numerous instances of overloaded notation in order to conflate a term with its interpretation.

- Each type  $X$  has countably many variables  $x$ , each of which is a term of type  $X$ . The interpretation of  $x$  is the identity  $x = 1 : X \rightarrow X$ .
- Terms  $\sigma, \tau$  of types  $X, Y$ , interpreted by  $\sigma : U \rightarrow X$  and  $\tau : V \rightarrow Y$ , yield a term  $\langle \sigma, \tau \rangle$  of type  $X \times Y$ ; its interpretation is  $\langle \sigma p, \tau q \rangle : W \rightarrow X \times Y$ , where  $p : W \rightarrow U, q : W \rightarrow V$  are the associated projections.
- Terms  $\sigma, \tau : U \rightarrow X, V \rightarrow X$  yield a term  $\sigma = \tau$  of type  $\Omega$ , interpreted by  $\delta_X \langle \sigma, \tau \rangle : W \rightarrow \Omega$ . Recall that  $\delta_X$  is the equality predicate.
- A morphism  $f : X \rightarrow Y$  and a term  $\sigma : U \rightarrow X$  of type  $X$  yield a term  $f \circ \sigma$  of type  $Y$ , interpreted by  $f \circ \sigma : U \rightarrow Y$ .
- Terms  $\theta : V \rightarrow Y^X$  and  $\sigma : U \rightarrow X$  of types  $Y^X$  and  $X$  yield a term  $\theta(\sigma)$  of type  $Y$  interpreted by  $\theta(\sigma) : W \rightarrow Y^X \times X \xrightarrow{e} Y$ .
- Similarly, terms  $\sigma : U \rightarrow X$  and  $\tau : V \rightarrow \Omega^X$  yield a term  $\sigma \in \tau$  of types  $\Omega$ , which is a special case of the term above.
- A variable  $x$  of term  $X$  and a term  $\sigma : X \times U \rightarrow Z$  yield  $\lambda x \sigma$ , a term of type  $Z^X$ , interpreted by the transpose of  $\sigma$ ,  $\lambda x \sigma : U \rightarrow Z^X$ .
- Moreover, terms of type  $\Omega$  will be called **formulas** of the language; if we have formulas  $\phi, \psi$ , then we get naturally obtain the formulas  $\phi \vee \psi, \phi \wedge \psi, \phi \implies \psi$ , and  $\neg \phi$ , whose interpretations are pushforwards of the interpretation of  $\phi \times \psi$  (or  $\phi$ , in the last case) by the respective operator on  $\Omega$ .
- Given the unique map  $p : X \rightarrow 1$ , we get an induced map  $P(p) : P1 \rightarrow PX$ , as well as its internal adjoints  $\forall_p, \exists_p : \Omega^X \rightarrow \Omega$ . Then, taking the pushforward of the term  $\lambda x \phi(x, y)$  gives us the terms  $\forall x \phi(x, y)$  and  $\exists x \phi(x, y)$ .
- The interpretation of a formula  $\phi(x) : X \rightarrow \Omega$  naturally characterizes a subobject of  $X$ , which we will denote as  $\{x : \phi(x)\}$ .

**Definition 6.10** (Truth). A formula  $\phi(x)$  of the language of a topos is **universally valid** if its interpretation factors through true. If  $\phi$  has no free variables, we say that  $\phi$  is **true** in  $\mathcal{E}$ . It is asserted that  $\phi(x)$  is universally valid iff  $\forall x \phi(x)$  is true.

**Example 6.1.** All the objects we painstakingly derived using universal properties are easily defined using the language of a topos. For example, we have the correspondence

$$\text{Epi}(X, Y) = \{f \in X^Y : \forall y \in Y \exists x \in X f(x) = y\}.$$

Also, a topos is Boolean iff  $\forall p(p \vee \neg p)$  is true, and the IAC holds iff the following formula holds:

$$\forall f \in Y^X (\forall y \exists x f(x) = y \implies \exists g \in X^y \forall y f(g(y)) = y).$$

## 6.6 Kripke-Joyal Semantics

**Definition 6.11** (Forcing). Given  $\alpha : U \rightarrow X$ , we say  $U$  **forces**  $\phi(a)$ , written  $U \Vdash \phi(a)$ , if  $\text{Im } \alpha \leq \{x | \phi(x)\}$ , or equivalently if  $\alpha$  factors through  $\{x | \phi(x)\}$ .

**Theorem 6.22** (Properties of Forcing). *The following properties follow from the definition of the forcing relation:*

- **Monotonicity.** *If  $U \Vdash \phi(\alpha)$ , then, for any arrow  $f : U' \rightarrow U$  in  $\mathcal{E}$ ,  $U' \Vdash \phi(\alpha \cdot f)$ .*
- **Local character.** *If  $f : U' \rightarrow U$  is epic and  $U' \Vdash \phi(\alpha \circ f)$ , then  $U \Vdash \phi(\alpha)$ .*

**Theorem 6.23.** *If  $\alpha : U \rightarrow X$  is a generalized element of  $X$ , and  $\phi(x), \psi(x)$  are formulas with a free variable  $x$  of type  $X$ , then*

1.  $U \Vdash \phi(\alpha) \wedge \psi(\alpha)$  iff  $U \Vdash \phi(\alpha)$  and  $U \Vdash \psi(\alpha)$ .
2.  $U \Vdash \phi(\alpha) \vee \psi(\alpha)$  iff there are arrows  $p : V \rightarrow U$  and  $q : W \rightarrow U$  such that  $p + q : V + W \rightarrow U$  is epic, such that  $V \Vdash \phi(\alpha p)$  and  $W \Vdash \psi(\alpha q)$ .
3.  $U \Vdash \phi(\alpha) \implies \psi(\alpha)$  iff for any morphism  $p : V \rightarrow U$  such that  $V \Vdash \phi(\alpha p)$ , we also have  $V \Vdash \psi(\alpha p)$ .
4.  $U \Vdash \neg \phi(\alpha)$  iff whenever  $p : V \rightarrow U$  satisfies  $V \Vdash \phi(\alpha p)$ , then  $V \cong 0$ .

Furthermore, let  $\phi(x, y)$  be a formula with free variables in two types. Then,

1.  $U \Vdash \exists y \phi(\alpha, y)$  iff there exist an epimorphism  $p : V \rightarrow U$  and a generalized element  $\beta : V \rightarrow Y$  such that  $V \Vdash \phi(\alpha p, \beta)$ .
2.  $U \Vdash \forall y \phi(\alpha, y)$  iff for every object  $V$ , morphism  $p : V \rightarrow U$ , and generalized element  $\beta : V \rightarrow Y$ , we have  $V \Vdash \phi(\alpha p, \beta)$ .
3.  $U \Vdash \forall y \phi(\alpha, y)$  iff  $U \times Y \Vdash \phi(\alpha \pi_1, \pi_2)$ .

Extrapolating from the properties of  $\forall$ , we note that  $\phi(x, y)$  is universally valid iff  $1 \Vdash \forall x \forall y \phi(x, y)$ .

**Theorem 6.24.** *If  $\sigma(x)$  and  $\tau(x)$  are terms of type  $Y$  in the free variable  $x$  of type  $X$ , while  $\alpha : U \rightarrow X$  is a generalized element of type  $X \in \mathcal{E}$ , and  $\sigma', \tau'$  are their interpretations, then*

$$U \Vdash \phi(\alpha) = \tau(\alpha) \text{ iff } \sigma' \alpha = \tau \alpha : U \rightarrow Y.$$

**Theorem 6.25.** *If  $\sigma(x)$  and  $\tau(x)$  are terms of types  $Y$  and  $\Omega^Y$  respectively in a free variable  $x$  of type  $X$  and  $\sigma', \tau'$  are their interpretations, then for any generalized element  $\alpha : U \rightarrow X$ ,*

$$U \Vdash \sigma(\alpha) \in \tau(\alpha) \text{ iff } \langle \sigma'(\alpha), \tau'(\alpha) \rangle : U \rightarrow Y \times \Omega^Y \text{ factors through } M_Y \rightarrowtail Y \times \Omega^Y.$$

## 6.7 Sheaf Semantics

## 7 Completed Exercises

*Exercise V.1.* We iteratively construct the diagram below:

$$\begin{array}{ccccc}
 A & \xrightarrow{!_A} & 1 & & \\
 \downarrow \iota_A & \lrcorner & \downarrow !_J & \searrow \text{true} & \\
 & & J & \xrightarrow{\text{true}} & \Omega \\
 & \nearrow f & \downarrow \iota_J & \nearrow j & \\
 E & \xrightarrow{\text{char } A} & \Omega & & 
 \end{array}$$

First begin with  $f : E \rightarrow J$ , which we compose with the inclusion  $\iota_J : J \rightarrow \Omega$ , which exists since  $J \rightarrow \Omega$  is a pullback of  $\text{true}$  along  $j$ . Hence, their composition  $\iota_J \circ f$  is the characteristic function of a subobject  $\iota_A : A \rightarrow E$ , such that the square on the left is a pullback. Then, form the unique morphism  $!_J : J \rightarrow 1$ , and paste the skewed pullback square on the right along  $!_J, \iota_J$ . Finally, define the map  $\varphi : \text{Hom}(E, J) \rightarrow \text{Den}(E)$  by  $f \mapsto A$ .

First,  $\varphi(f)$  is dense in  $E$ , since

$$\begin{aligned}
 \text{char } \overline{\varphi(f)} &= j \circ \text{char } \varphi(f) \\
 &= j \circ \iota_J \circ f \\
 &= \text{true} \circ !_J \circ f \\
 &= \text{true}_E, i
 \end{aligned}
 \quad (\text{By the pullback on the right})$$

which uniquely characterizes  $\overline{\varphi(f)} = E$ .

$\varphi$  is an isomorphism since if we began with a subobject  $A \rightarrow E$  such that  $\overline{A} = E$ , then  $\text{true} \circ !_E = \text{char } \overline{A} = j \text{ char } A$ . By the right pullback's universal property, we get a *unique* morphism  $f : E \rightarrow J$  with respect to the property that  $\iota_J f = \text{char } A$ , and hence that  $\varphi(f) = A$ . Finally,  $\varphi$  is natural since it has a decomposition into morphisms natural in  $E$

$$\text{Hom}(E, J) \xrightarrow{(\iota_J)^*} \text{Hom}(E, \Omega) \xrightarrow{\cong} \text{Den}(E)$$

We first check that  $\text{Den}(E)$  is a lattice, which amounts to checking that  $\text{Den}(E)$  is closed under  $\wedge$  and  $\vee$ . First, we use the purely formal theorem that closure is monotonic: if  $A \leq B$ , then

$$\overline{A} \cap B \leq \overline{A} \cap \overline{B} = \overline{A \cap B} = \overline{A}, \quad \text{thus } \overline{A} \leq B \leq \overline{B}.$$

Now, if  $A, B \in \text{Den}(E)$ , then

$$\begin{aligned}
 \overline{A \wedge B} &= \overline{A} \wedge \overline{B} = E \wedge E = E, \\
 E &\geq \overline{A \vee B} \geq \overline{A} = E, \\
 \overline{A \Rightarrow B} &= \overline{\neg A \vee B} = E.
 \end{aligned}$$

(By the identity above, which only requires one argument to be dense)

Note that we can always define  $\neg A = A \Rightarrow 0$  in a Heyting algebra. ■

## 8 Thoughts

- Topos theory is highly related to the study of modal operators on Heyting algebras.
  - All LTTs one can put on a topos  $\mathcal{E}$  already exist intrinsically.
  - One must specify an LTT to define sheaves. The pairs (presheaf topos, LTT) correspond precisely to the Grothendieck topologies on  $\mathbf{C}$  (V.4).