

## Chapter 5 Hoffstein: Elliptic Curves and Cryptography

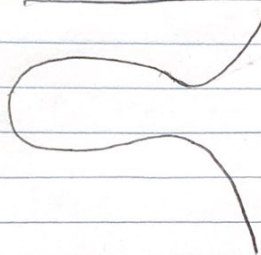
### 5.1 Elliptic Curves

Note: Elliptic Curves & ellipses are not the same thing

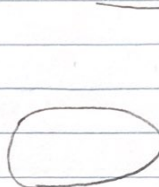
- An elliptic curve is the set of solutions to an equation of the form

$$Y^2 = X^3 + AX + B$$

① Ex.  $Y^2 = X^3 - 3X + 3$



② Ex.  $Y^2 = X^3 - 6X + 5$



- One feature of elliptic curves is that there is a natural way to take two points on an elliptic curve and "add" them to produce a third point.

What is meant by "add"?

denoted:  $P \oplus Q$ : we draw a line  $L$  through points  $P$  &  $Q$  on the curve  $E$ . This line  $L$  intersects  $E$  at 3 points  $P$ ,  $Q$ , and a new point we call  $R$ . We take that point  $R$  and reflect it across the  $x$ -axis to get  $R'$  ← this new point is called "the sum of  $P$  and  $Q$ ".

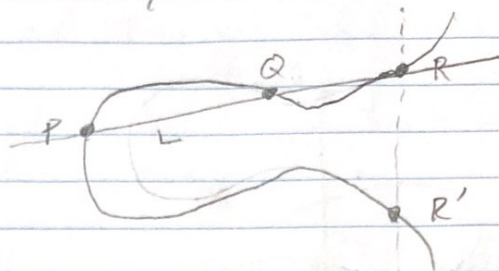
Ex. Let  $E$  be the elliptical curve

$$Y^2 = X^3 - 15X + 18 \quad (*)$$

Points  $P = (7, 16)$  and  $Q = (1, 2)$  are on the curve  $E$ . The line  $L$  going through both the points has the equation

$$L: Y = \frac{7}{3}X - \frac{1}{3} \quad (o)$$

So now, we substitute (\*) into (o) and solve for  $X$  to find the point  $R$ . Thus



$$\left(\frac{7}{3}x - \frac{1}{3}\right)^2 = x^3 - 15x + 18$$

after lots of algebra we get the roots of  $X$ ,

$$(x-7)(x-1)\left(x + \frac{29}{3}\right)$$

↑  $x$ -coordinate of  $R = -\frac{29}{3}$

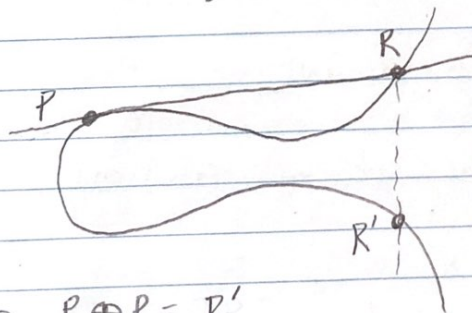
$$Y = \frac{7}{3}\left(-\frac{29}{3}\right) - \frac{1}{3} \Rightarrow Y = -\frac{170}{27}$$

$$R = \left(-\frac{29}{3}, -\frac{170}{27}\right) \rightarrow R' = \left(-\frac{29}{3}, \frac{170}{27}\right)$$

reflect across  $X$ -axis

what about adding a point to itself?

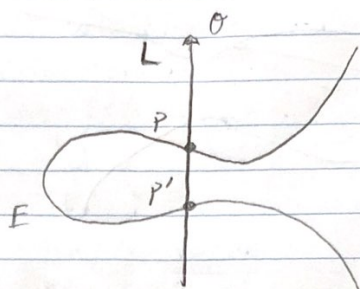
- imagine sliding the point  $Q$  along the curve until it gets extremely close to point  $P$ . What happens to the line?  
It becomes the tangent line at point  $P$



$$2P = P \oplus P = R'$$



What if the line between two points is vertical?  
 i.e, if we try to add  $P=(a,b)$  to its reflection about the  $x$ -axis  $P'=(a,-b)$ . There is no third point of intersection!



The solution is to create an extra point  $O$  that lives "at infinity"  $\leftarrow O$  does not exist in the  $XY$  plane, rather it lies on every vertical line  
 we set

$$P \oplus P' = O$$

We also need to know how to add  $O$  to an ordinary point  $P=(a,b)$  on  $E$ . The line  $L$  that connects  $P$  to  $O$  is the vertical line through  $P$ , b/c  $O$  lies on vertical lines. To add  $P$  to  $O$ , we reflect  $P'$  across the  $x$  axis which gets us back to  $P$

Essentially,  $P \oplus O = P$ , so  $O$  acts like a zero for elliptic curve addition

### Definition

An elliptic curve  $E$  is the set of solutions to a Weierstrass equation

$$E: Y^2 = X^3 + AX + B$$

together with an extra point  $O$ , where the constants  $A$  and  $B$  must satisfy

$$4A^3 + 27B^2 \neq 0$$



The addition law on  $E$  is defined as follows. Let  $P$  and  $Q$  be two points on  $E$ . Let  $L$  be the line connecting  $P$  &  $Q$ , or the tangent line to  $E$  at  $P$  if  $P=Q$ . Then the intersection of  $E$  and  $L$  consists of three points  $P$ ,  $Q$ , and  $R$ , counted with appropriate multiplicities and with that  $\theta$  lies on every vertical line.

Writing  $R=(a,b)$ , the sum of  $P$  and  $Q$  is defined to be the reflection  $R'=(a,-b)$  of  $R$  across the  $X$ -axis. The sum is denoted  $P \oplus Q$  or  $P+Q$

Also, if  $P=(a,b)$ , we denote the reflected point by  $\ominus P=(a,-b)$  or simply by  $-P$ , and we define

$$P \ominus Q \text{ (or } P-Q) \\ \text{as } P \oplus (\ominus Q)$$

repeated addition is represented as multiplication of a point by an integer

$$nP = \underbrace{P + P + P + \dots + P}_{n \text{ times}}$$

What is the extra condition  $4A^3 + 27B^2 \neq 0$ ?

$\Delta_E = 4A^3 + 27B^2$  is the discriminant of  $E$

$\Delta_E \neq 0$  is equivalent to the condition that cubic polynomial  $X^3 + AX + B$  have no repeated roots

Theorem 5.5 Let  $E$  be an elliptic curve. Then the addition law on  $E$  has the following properties

abelian group

- (a)  $P + \theta = \theta + P = P$  for all  $P \in E$  [Identity]
- (b)  $P + (-P) = \theta$  for all  $P \in E$  [Inverse]
- (c)  $(P+Q)+R = P+(Q+R)$  for all  $P, Q, R \in E$  [Associative]
- (d)  $P+Q = Q+P$  for all  $P, Q \in E$  [Commutative]

### Theorem 5.6 (Elliptic Curve Addition Algorithm)

Let  $E: Y^2 = X^3 + AX + B$  be an elliptic curve and let  $P_1$  and  $P_2$  be points on  $E$

- (a) If  $P_1 = \mathcal{O}$ , then  $P_1 + P_2 = P_2$
- (b) Otherwise, if  $P_2 = \mathcal{O}$ , then  $P_1 + P_2 = P_1$
- (c) Otherwise, write  $P_1 = (x_1, y_1)$  and  $P_2 = (x_2, y_2)$
- (d) If  $x_1 = x_2$  and  $y_1 = -y_2$ , then  $P_1 + P_2 = \mathcal{O}$
- (e) Otherwise, define  $\lambda$  by

$$\lambda = \begin{cases} \frac{y_2 - y_1}{x_2 - x_1} & \text{if } P_1 \neq P_2 \\ \frac{3x_1^2 + A}{2y_1} & \text{if } P_1 = P_2 \end{cases}$$

and let

$$x_3 = \lambda^2 - x_1 - x_2 \quad \text{and} \quad y_3 = \lambda(x_1 - x_3) - y_1$$

Then  $P_1 + P_2 = (x_3, y_3)$

Proof: Parts (a) and (b) are clear, and (d) is the case that the line through  $P_1, P_2$  is vertical. For (e), we note that if  $P_1 \neq P_2$ , then  $\lambda$  is the slope of line through  $P_1$  &  $P_2$  and if  $P_1 = P_2$ , then  $\lambda$  is the slope of tangent line at  $P_1 = P_2$ . In either case the line  $L$  is given by the equation  $Y = \lambda X + v$  with  $v = y_1 - \lambda x_1$ . Substituting the equation for  $L$  into the equation  $E$  gives

$$(\lambda X + v)^2 = X^3 + AX + B$$

$$\hookrightarrow X^3 - \lambda^2 X^2 + (A - 2\lambda v)X + (B - v^2) = 0$$

we know that this cubic has  $x_1$  and  $x_2$  as two of its roots. If we call the third root  $x_3$ , then it factors as

$$X^3 - \lambda^2 X^2 + (A - 2\lambda v)X + (B - v^2) = (X - x_1)(X - x_2)(X - x_3)$$



If we expand this out and look at the coefficient of  $x^2$  on each side

$$\begin{array}{ccc} & -\lambda^2 & = -x_1 - x_2 - x_3 \\ \nearrow & & \underbrace{\hspace{1cm}} \\ \text{coeff} & & \text{coeff on} \\ \text{on left} & & \text{right side} \\ \text{side} & & \text{after expanding} \end{array}$$

So now we can solve for  $x_3 = \lambda^2 + x_1 - x_2$ , and the  $y$ -coordinate of the 3<sup>rd</sup> intersection point of  $E$  and  $L$  is given by  $\lambda x_3 + v$ . In order to get  $P_1 + P_2$ , we must reflect across the  $x$ -axis, which means flipping the sign of the  $y$ -coordinate

□

## 5.2 Elliptic Curves over finite fields

In order to apply the theory of Elliptic Curves to cryptography we need to look at elliptic curves whose points have coordinates in a finite field  $\mathbb{F}_p$

define an elliptic curve over  $\mathbb{F}_p$  to be an equation of the form

$$E: Y^2 = X^3 + AX + B \text{ with } A, B \in \mathbb{F}_p \text{ satisfying } 4A^3 - 27B^2 \neq 0$$

and then we look at the points on  $E$  with coordinates in  $\mathbb{F}_p$ , which we denote by

$$E(\mathbb{F}_p) = \left\{ (x, y) : x, y \in \mathbb{F}_p \text{ satisfy } y^2 = x^3 + Ax + B \right\} \cup \{O\}$$

we also require that  $p \geq 3$

Consider the elliptic curve

$$E: Y^2 = X^3 + 3X + 8 \text{ over the field } \mathbb{F}_{13}$$

We can find the points of  $E(\mathbb{F}_{13})$  by substituting in all possible values  $X = 0, 1, 2, \dots, 12$  and checking for which  $X$  values the quantity  $X^3 + 3X + 8$  is a square modulo 13

for  $X=1 \rightarrow 1+3+8=12$ , 12 is a square modulo 13, and has two square roots

$$5^2 \equiv 12 \pmod{13} \quad \text{and} \quad 8^2 \equiv 12 \pmod{13}$$

This gives two points  $(1, 5)$  and  $(1, 8)$  in  $E(\mathbb{F}_{13})$

Continuing, we end up with

$$E(\mathbb{F}_{13}) = \{O, (1, 5), (1, 8), (2, 3), (2, 10), (9, 6), (9, 7), (12, 2), (12, 11)\}$$

Suppose we want to add two points  $P, Q$  in  $E(\mathbb{F}_p)$ . we use theorem 5.6

Theorem 5.9 Let  $E$  be an elliptic curve over  $\mathbb{F}_p$  and let  $P$  and  $Q$  be points in  $E(\mathbb{F}_p)$

(a) The elliptic curve addition algorithm applied to  $P$  and  $Q$  yields a point in  $E(\mathbb{F}_p)$ . we denote this point by  $P+Q$

(b) This addition law on  $E(\mathbb{F}_p)$  satisfies all of the properties listed in Theorem 5.5 i.e., this addition law makes  $E(\mathbb{F}_p)$  into a finite group

Proof: The elliptic curve addition algorithm is derived from the equation for  $E$  by substituting the equation of a line & solving for  $X$ , so the resulting point has to be on  $E$



Ex.  $E: Y^2 = X^3 + 3X + 8$  over  $\mathbb{F}_{13}$   
add the points  $P = (9, 7)$  and  $Q = (1, 8)$  in  $E(\mathbb{F}_{13})$

$$(e) \lambda = \frac{y_2 - y_1}{x_2 - x_1} = \frac{1}{-8} = \frac{1}{5} \equiv 8 \pmod{13}$$

Next we compute

$$v = y_1 - \lambda x_1 = 7 - 8 \cdot 9 = -65 = 0$$

$$x_3 = \lambda^2 - x_1 - x_2 = 64 - 9 - 1 = 54 \equiv 2 \pmod{13}$$

$$y_3 = -(\lambda x_3 + v) = -8 \cdot 2 + 0 = -16 \equiv 10 \pmod{13}$$

$$\therefore P + Q = (2, 10) \text{ in } E(\mathbb{F}_{13})$$

It is clear that the set of points  $E(\mathbb{F}_p)$  is a finite set, since there are only finitely many possibilities for the  $X$  and  $Y$  coordinates. there are  $p$  possibilities for  $x$ , and the equation

$Y^2 = X^3 + AX + B$  shows that there are at most two possibilities for  $Y$ .  
with  $O$  included

$\#E(\mathbb{F}_p)$  has at most  $2p + 1$  points

When we plug in a value for  $x$ , there are three possibilities for the value of

$$X^3 + AX + B$$

- ① quadratic residue modulo  $p \rightarrow 2$  square roots and 2 points in  $E(\mathbb{F}_p) \approx 50\%$  of the time
- ② nonresidue modulo  $p$ , discard  $x \rightarrow \approx 50\%$  time
- ③ equals 0  $\rightarrow$  one point in  $E(\mathbb{F}_p) \rightarrow$  very rare

Thus we might approx

$$\#E(\mathbb{F}_p) \approx 50\% \cdot 2 \cdot p + 1 = p + 1$$



### Theorem 5.11

Let  $E$  be an elliptic curve over  $\mathbb{F}_p$ . Then  
 $\#E(\mathbb{F}_p) = p+1 - t_p$  with  $t_p$  satisfying  
 $|t_p| \leq 2\sqrt{p}$

Definition The quantity

$t_p = p+1 - \#E(\mathbb{F}_p)$   
is called the trace of Frobenius for  $E/\mathbb{F}_p$

Ex. Let  $E$  be given by

$$E: Y^2 = X^3 + 4X + 6$$

Number of points and trace of Frobenius

$p$	$\#E(\mathbb{F}_p)$	$t_p$	$2\sqrt{p}$
3	4	0	3.46
5	8	-2	4.47
7	11	-3	5.29
11	16	-4	6.63
13	14	0	7.21
17	15	3	8.25