

# Identification of Direct Cherenkov Pixels using Boosted Decision Trees

Robert Stein, Atilla Abramowski, Dieter Horns

May 27, 2016

## Abstract

When Cosmic Rays travel through the atmosphere, the primary particle will often emit some Direct Cherenkov light before the much brighter Extended Air Shower is generated. Unlike the broader air shower component of telescope images, all of the Direct Cherenkov light will usually be concentrated in a single pixel. A new method for identifying these Direct Cherenkov pixels was developed, relying on supervised machine learning. A set of Cosmic Ray telescope images were simulated, both with and without the Extended Air Shower background, for use in classifier training. With reference to the background-free telescope images, the Direct Cherenkov pixel in each corresponding full-shower telescope image could be reliably identified. A Boosted Decision Tree to identify these Direct Cherenkov pixels was trained, using data from the full-shower training images. The Boosted Decision Tree performance was then tested on a second set of full-shower telescope images, and compared to existing methods of Direct Cherenkov pixel identification. After applying cuts to the test sample, 37.3% of all Direct Cherenkov pixels were accepted and correctly identified, representing a sevenfold increase in acceptance rates when compared to existing methods. The corresponding sample purity after cuts was 87.7%, a slight increase on existing methods.

## 1 Introduction

Cosmic Rays will often emit Direct Cherenkov (DC) light in the upper atmosphere, before generating an Extended Air Shower (EAS) through interaction with the lower atmosphere. Analysis of the DC light from a shower can indicate primary particle energy, charge and position. It is consequently very useful for event reconstruction to measure the quantity and direction of DC light emission. There are numerous Telescope Arrays which currently image Cherenkov Light emission from Cosmic Rays in the atmosphere, such as the HESS, MAGIC and VERITAS experiments. In these telescope images, the DC light is usually concentrated in a single ‘DC pixel’. Identifying this pixel is challenging, because the brighter EAS Cherenkov light background often overlaps with the DC pixel.

We define the variable  $Q_{DC} = \frac{Intensity_{N.N.max}}{Intensity}$  as the ratio of the largest neighbouring pixel intensity to the intensity of a given pixel. At present, the DC pixel candidate can be identified by applying a number of cuts to pixels in an image [1], and from the subset of pixels passing the specified cuts, selecting the pixel with the smallest  $Q_{DC}$  as the ‘DC candidate’. Due to the low pass rate for cuts, we obtain a small low-contamination dataset, while the majority of telescope images are left without a DC pixel candidate. An improved method would aim to increase the number of correctly identified DC pixels, while still enabling cuts which discriminate well between correctly and incorrectly identified DC pixels.

Classifiers provides an alternative method of identification, making use of supervised machine learning to find rules for categorising pixels. To train a classifier, we require a set of training pixels, as well as the correct class for each pixel. Once trained, a classifier can then be used to predict the class of a pixel. In this case, the classifier must learn to distinguish between non-DC and DC pixels.

The CORSIKA package [3] was used to generate Cosmic Ray events, and the Sim\_telarray package [2] was used to generate corresponding HESS array telescope images. Simulation with EAS background was used to produce training pixel sets, while corresponding simulation without EAS background was used to find the true DC pixel in each training image. A Boosted Decision Tree (BDT) classifier was trained with the data to identify DC pixels. Once trained, the BDT was applied to a separate ‘testing’ set of simulated telescope images. As before, the true DC pixel was determined from a second EAS-free simulation. Thus, the accuracy of BDT identification for test telescope images was calculated.

## 2 Image Simulation

The full simulation of air showers was performed using the CORSIKA package, with a standard atmospheric profile derived from measurements conducted at the HESS site in Namibia. In total, 2000 training events and a further 2000 testing events were simulated. The simulated particles were  $Fe^{56}$ , within the Energy Range of 35 – 135 TeV and a spectrum  $\phi(E) \propto E^{-2.7}$ . For each set of simulated event, 4 unique random number seeds were used to generate the shower. An altitude of 1800m was assumed, again corresponding to the HESS site. The simulated zenith angle ranged from  $0^\circ < \theta < 2^\circ$ , while the simulated azimuth angle ranges from  $-2^\circ < \phi < 2^\circ$ . The four smaller HESS-phase-1 telescopes were arranged in a cross along the x/y axis with the larger HESS-phase-2 ‘CT5’ telescope placed at the center. The length of each cross arm was 85m. The simulated target region of the cores was chosen to be a square centered on CT5, with each 300m-long side bisecting the x/y axis. Due to hardware differences between CT5 and the original HESS 1 telescopes, only images from HESS-phase-1 were considered.

To determine the true class of each pixel, a simulation was initially run with an energy cut of 10 PeV on all muons and electrons. Because this cut exceeded the primary particle energy, neither daughter muons and electrons, nor the photons they would have emitted, were simulated. Thus the hadronic Cherenkov Light from the primary particle and daughter fragments, but not the EAS light, was present in the camera image. A second identical ‘EAS Simulation’ was run including the same random seeds, but without the energy cut on muons and electrons. This gave a complete EAS image including identical DC light.

With the `sim_telarray` package, the expected HESS hardware response to each air shower was simulated. Among other things, the program accounts for atmospheric transmission and density, mirror positions, sizes and reflectivities, camera shadowing and triggering, quantum efficiency and pulse responses. For the full-shower image, the night sky background was also simulated by `sim_telarray`. Due to the comprehensive and detailed nature of these hardware simulations, the resultant images can be considered ‘realistic’. However, `sim_telarray` introduces various sources of random noise to the simulation, leading to some divergence in the DC light between the EAS-free and full-shower images.

The various pixel entry variables were found from the `sim_telarray` output. The HESS telescope pixels have a high gain Channel 0 and a low gain Channel 1, with both voltages undergoing a Flash Analogue-to-Digital Conversion (FADC). The simulated value of the FADC Voltage for each channel was found. Using the pedestal and gain, the quantity  $Intensity = (FADC - Pedestal) \times Gain$  was calculated for each channel. Due to possible saturation of the high gain FADC, only the low gain  $Intensity$  was used. `Sim_telarray` also derives various Hillas whole-image parameters. These include the image width and length measured in degrees, from which the aspect ratio  $A.R = \frac{width}{length}$  was calculated. The reconstructed shower direction and the shower center of gravity were also calculated, as positions in azimuth and zenith. Additionally the estimated energy and distance from each telescope to core,  $r_{core}$ , were found.

For every pixel, in addition to the  $Intensity$ , its location within the telescope image was determined using the standard HESS layout. The variables  $\Delta_{C.o.G.}$ ,  $\Delta_{Direction}$  and  $\Delta_{Line}$  were defined as the distance from the pixel to the shower center of gravity, shower direction, and the line joining those two points. Furthermore, the nearest neighbouring pixel IDs were calculated for every pixel position, enabling the  $Intensity$  in each neighbouring pixel to be found. The largest neighbouring intensity was identified, and the ratio  $Q_{DC} = \frac{Intensity_{N.N.max}}{Intensity}$  was derived. Similarly the largest neighbouring FADC was found, and the ratio  $raw_Q = \frac{FADC_{N.N.max}}{FADC}$  was calculated. In addition, the Nearest Neighbour Mean Intensity  $Mean_{N.N}$  was recorded. The variable  $DC_{Signal} = Intensity - Mean_{N.N}$  was defined as an rough guess of the ‘DC signal’ component in the pixel. Lastly the Image Amplitude  $I_{tot}$ , defined as the total image intensity after the default tail cuts have been applied to the image.

## 3 DC Pixel Identification

As a basis for comparison, the original HESS cuts listed in 1 were replicated for the set of test data. For every image, the total image amplitude  $I_{tot}$  was used alongside the zenith angle  $\theta$  to determine a dynamic cut,  $Q_{DC} < 0.14 \times \log(\frac{I_{tot}}{161 \times \cos \theta})$ . Among pixels passing all cuts, the one with the smallest  $Q_{DC}$  among those remaining was selected as the DC pixel candidate for the image. Because many images had no pixel that passed all cuts, the  $Q_{DC}$  method was frequently unable to identify a DC pixel. In the original analysis, an additional cut  $r_{core} > 40m$  was applied. However, the uncertainty in determining the core position through Hillas Analysis is typically of the order of  $\pm 30m$ . Consequently, this particular cut was omitted.

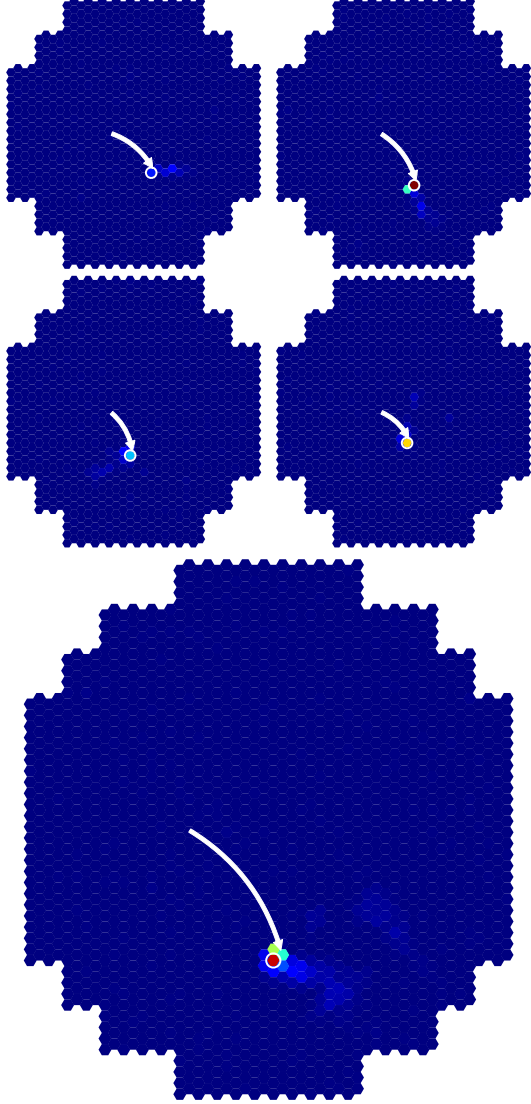


Figure 1: A typical camera image without the EAS shower. The DC light is visible in every telescope, indicated by the yellow arrow. The shower direction is represented by the white circle. The largest telescope is CT5, but the relative image sizes are not done to scale

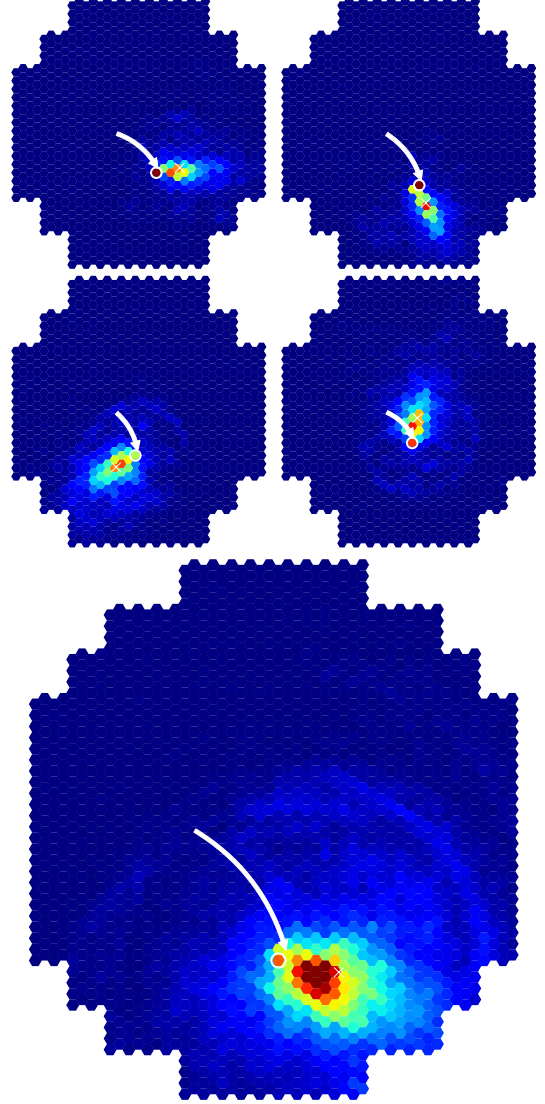


Figure 2: The same shower as in 1 is shown here with the inclusion of the EAS shower. The DC light is pixels are again denoted by an orange circle. The white star represents the BDT-selected DC pixel, while the white cross represents the shower center of gravity.

The candidates were checked against the true DC pixels identified in the EAS-free images. From the testing sample, 5.2% of all images were correctly identified and passed the cuts. Once misidentified events were considered, the post-cuts sample was 86.2% accurate, as shown in 3. These values served as a benchmark for BDT performance.

Table 1: Cuts applied to image pixel sets, used by HESS collaboration [1]

Variable	Cut
$\Delta_{C.o.G}$	$>0.17$
$\Delta_{C.o.G}$	$<0.91$
$\Delta_{Direction}$	$<0.45$
$\Delta_{Line}$	$<0.23$
Aspect Ratio	$<0.75$
$Q_{DC}$	$<0.14 \times \log(\frac{I_{tot}}{161 \times \cos \theta})$

The BDT was trained with the Scikit Learn Python package [4]. Using the training set of 2000 CORSIKA events, and randomly split further, with 90% in a learning subset and 10% in a subset to check for overtraining. Within the learning subset, every HESS 1 image was used, provided it was triggered in both EAS-free and full-shower simulations. For each of the 1.7 million triggered image pixels, an entry was formed with the variables listed in table 2. A class of 0 was assigned to every non-DC pixel, and a class of 1 was assigned to every DC pixel. Having created a dataset, the BDT was then trained with a maximum depth of 8, and with 100 trees generated. The data was provided in the form of individual pixel entries, rather than as discrete sets for images or events.

Table 2: Relative Feature Importance in HESS-1 BDT training

Variable	Relative Importance
$DC_{Count}$	0.34
$Mean_{N.N}$	0.26
$\Delta_{Direction}$	0.11
Image Amplitude	0.10
$Q_{DC}$	0.10
$rawQ$	0.05
$\Delta_{Line}$	0.02
$Intensity$	0.02

The relative importance of each ‘feature’ is automatically calculated by the Scikit Learn package, and is also recorded in table 2. The variable  $DC_{Count}$  was consistently the most importance variable across many combinations of included variables and BDT training parameters. It was found that, under the conditions listed above, the BDT was 99.94 % accurate for the learning pixel subset, and 99.93 % accurate for the overtraining-check pixel subset. This indicates that the BDT was not significantly overtrained, which would otherwise be manifested by a large divergence in accuracy between learning and overtraining-check data.

Having trained the BDT successfully, it was then applied to the same test dataset as for the classic  $Q_{DC}$  identification. In each camera image, the event with the largest BDT score was deemed to be ‘most signal-like’, and thus selected as the DC pixel candidate. A cut was applied, requiring  $P_{signal} > 0.5$  for the DC candidate to be accepted. A second cut requiring  $DC_{Count} > 150$  removed many incorrectly identified events. Application of this combined cut greatly increases the successful identification rate. From the testing sample, 37.3% of all images were correctly identified and passed the cuts. The BDT was found to be 87.7% accurate in identifying DC pixels which passed the cuts. This represents a very significant improvement in pixel identification efficiency, as well as a minor increase in accuracy after cuts. The results are summarised in 3.

## 4 Conclusion

The use of BDT identification has been shown to be superior to the traditional  $Q_{DC}$  method, by providing many more correctly identified DC pixels without a corresponding loss of accuracy. In the case of HESS 1,

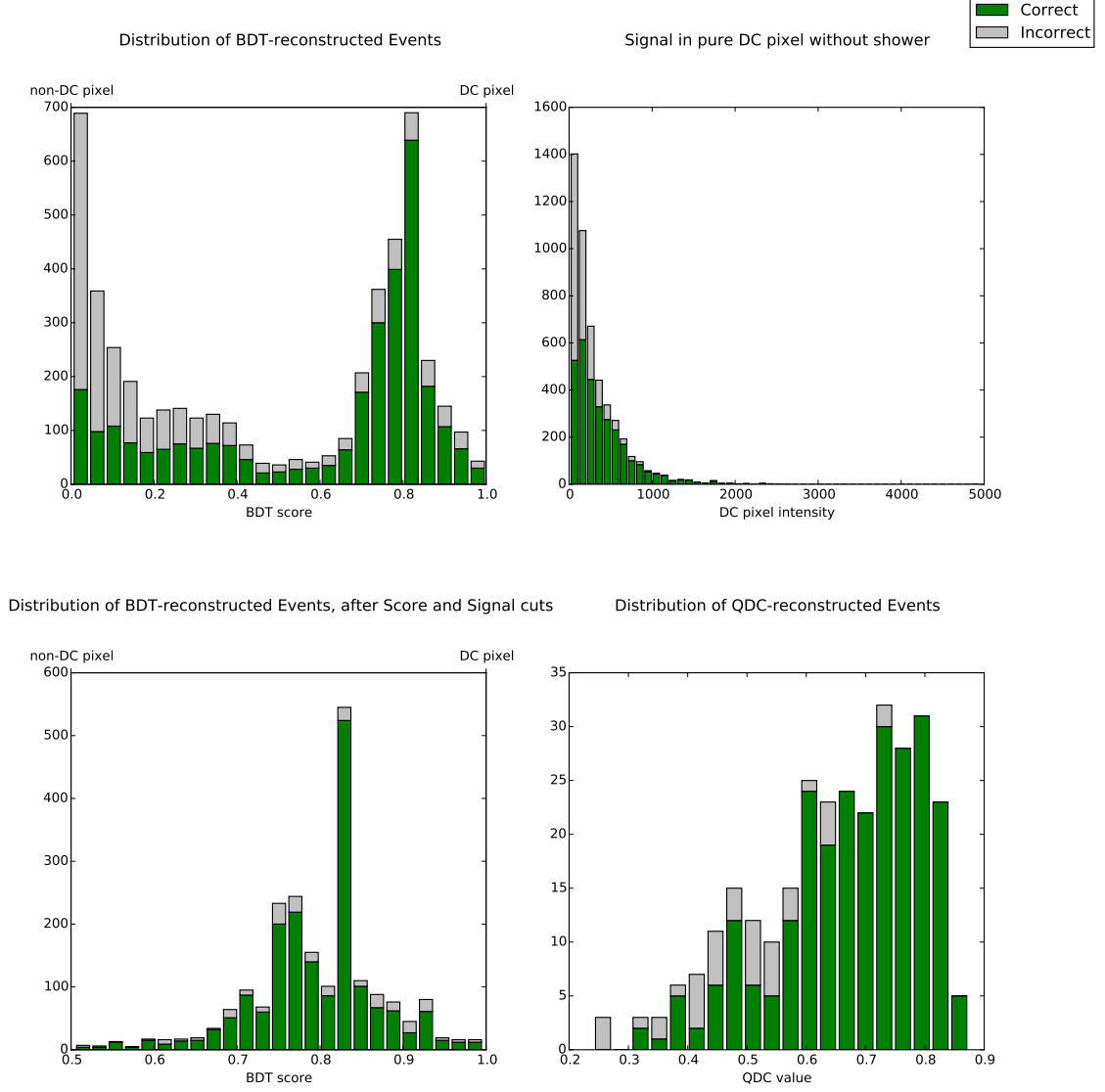


Figure 3: The true  $Intensity_{DC}$  in the EAS-free image is shown in the top left, with a broad exponential decay in count as DC intensity increases. Sim\_telarray requires a minimum of 20 photoelectrons in order for a telescope to be triggered, leading to a discontinuity at low intensities in the graph. In the top right- the distribution of the dataset is shown, once all  $Q_{DC}$  cuts have been applied. In the bottom left, the  $P_{signal}$  (BDT score) distribution is shown before any cuts. On the bottom right, we see the same distribution after both  $DC_{Count}$  and  $P_{signal}$  cuts are applied. All green events are ones in which the DC pixel has been correctly identified, while red events are ones that have been incorrectly identified. We desire both a large number of green events, and a good degree separability of red and green events.

Table 3: Comparison of  $Q_{DC}$  and BDT Performance

	$Q_{DC}$	BDT
Pixels Accepted and Correctly Identified (%)	5.2	37.2
Pixels Accepted and Incorrectly Identified (%)	0.8	5.2
Sample Purity (%)	86.2	87.7

this represented a sevenfold increase in the expected number of DC pixels. BDT performance improves with increasing training data size, so there is no reason not to think that the successful ID rate for pixels could continue to be increased further. Due to the good degree of separability of the BDT signal probability, a further increase in sample purity would certainly be possible at the expense of harsher  $P_{signal}$  cuts reducing the size of the datasets. In short, the BDT method produces not just superior performance but increased flexibility for balancing sample purity and size. Adoption of BDT identification for DC pixel could prove invaluable to future event reconstruction for large Cherenkov Telescopes, both currently operating, and those planned for the future.

## References

- [1] F. Aharonian et al. First ground based measurement of atmospheric Cherenkov light from cosmic rays. *Phys. Rev.*, D75:042004, 2007.
- [2] Konrad Bernlohr. Simulation of Imaging Atmospheric Cherenkov Telescopes with CORSIKA and sim\_telarray. *Astropart. Phys.*, 30:149–158, 2008.
- [3] D. Heck, G. Schatz, T. Thouw, J. Knapp, and J. N. Capdevielle. CORSIKA: A Monte Carlo code to simulate extensive air showers. 1998.
- [4] F. Pedregosa, G. Varoquaux, A. Gramfort, V. Michel, B. Thirion, O. Grisel, M. Blondel, P. Prettenhofer, R. Weiss, V. Dubourg, J. Vanderplas, A. Passos, D. Cournapeau, M. Brucher, M. Perrot, and E. Duchesnay. Scikit-learn: Machine learning in Python. *Journal of Machine Learning Research*, 12:2825–2830, 2011.