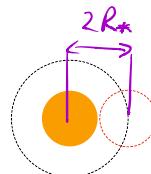


# Galactic Dynamics

- Globular clusters are smooth round groups of stars within a galaxy
- We are interested in finding the mass density  $\rho(r)$ 
  - ↳ measure surface brightness  $\mu(r)$
  - ↳ use M/L relationship to find surface mass density  $\Sigma(r)$
  - ↳ assume spherical symmetry to find  $\rho(r)$
- There are several important radii to describe galaxies:
  - ↳ core radius  $R_c$  over which  $\rho \sim \text{constant}$ .
  - ↳ median radius  $R_h$  containing half the light (2D)
  - ↳ tidal radius  $R_t$ , for which  $\mu \rightarrow 0$
- For a collision to occur, there will be one star in the collision volume  $\pi(2R_*)^2 V t_{\text{coll}}$ 
  - ↳ i.e. star density  $n_0 = 1 / \pi(2R_*)^2 V t_{\text{coll}}$
  - ↳ collision time is then:  $t_{\text{coll}} = \frac{1}{4\pi R_*^2 V n_0}$
  - ↳ sufficiently low that we can assume globular clusters are collisionless.
- Open clusters contain fewer, younger stars and are much smaller than globular clusters.
- Galaxies themselves form clusters.



# Orbits

- The goal is to find a self-consistent potential:  $\rho(r)$  implied by the orbits should give rise to  $\phi$  that causes the observed orbits.
  - ↳ if we have many objects,  $\phi$  is approx. smooth
  - ↳ we can average over the orbits and treat both  $\rho$  and  $\phi$  as having spatial dependence only.
- The gravitational force per unit mass is  $\underline{f} = -\frac{GM}{r^2} \hat{r}$ 
  - ↳  $\underline{f} = -\nabla \phi$ ,  $\phi = -\frac{GM}{|r-r_0|}$  for a mass at  $r_0$
- NII:  $E = m\dot{\underline{r}}^2 = -m\nabla\phi$   
 $\underline{J} = \underline{r} \times (m\dot{\underline{r}}) \Rightarrow \underline{G} = \dot{\underline{J}}$
- The total energy is constant for a given orbit:
  - ↳  $T = \frac{1}{2}m\dot{\underline{r}} \cdot \dot{\underline{r}} \Rightarrow \dot{\underline{r}} = \underline{F} \cdot \dot{\underline{r}} = -m\dot{\underline{r}} \cdot \nabla\phi$
  - ↳ but  $\frac{d}{dt}\phi(r) = \dot{\underline{r}} \cdot \nabla\phi$  by the chain rule  
 $\Rightarrow \dot{\underline{r}} = -m\dot{\underline{r}} \phi$   
 $\Rightarrow \frac{d}{dt}(T + m\phi(r)) = 0$
  - ∴  $E = \frac{1}{2}m\dot{\underline{r}} \cdot \dot{\underline{r}} + m\phi(r)$
- Further, for a central force field, angular momentum is a constant vector so the plane of orbit doesn't change.  
 $\underline{J} = \underline{r} \times \underline{F} = -m\frac{d\phi}{dr} \underline{r} \times \hat{\underline{r}} = \underline{0}$   
 ↳ this reduces orbital problems to 2D.

- Consider the dynamics in plane polars:

↳ For general motion,  $r$  and  $\phi$  are changing with  $\phi$ , hence so are  $\hat{e}_r$  and  $\hat{e}_\phi$ .

↳ but the unit vectors can only change orthogonal to themselves

$$\begin{aligned} \hat{e}_r &= \frac{\partial \hat{e}_r}{\partial \phi} d\phi + \hat{e}_\phi \\ \hat{e}_\phi &= -\frac{\partial \hat{e}_r}{\partial \phi} d\phi \end{aligned}$$

↳ The velocity can be derived directly by geometry

$$\begin{aligned} \vec{v} &= r\hat{e}_r + \phi\hat{e}_\phi \Rightarrow dv = rd\phi\hat{e}_\phi + dr\hat{e}_r \\ \Rightarrow \vec{v} &= \underset{\text{radial}}{r\hat{e}_r} + \underset{\text{tangential}}{r\dot{\phi}\hat{e}_\phi} \end{aligned}$$

- Acceleration in plane polars is given by:

$$\ddot{\vec{r}} = (\ddot{r} - r\dot{\phi}^2)\hat{e}_r + (2\dot{r}\dot{\phi} + r\ddot{\phi})\hat{e}_\phi$$

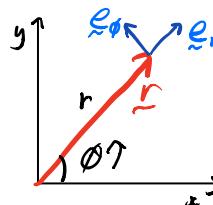
↳ the radial term includes the centrifugal force

↳ the transverse term =  $\frac{1}{r}\frac{d}{dt}(r^2\dot{\phi})$  angular momentum per unit mass

- To find the path of the orbit we need to remove  $t$  then find  $r(\phi)$ .

↳  $J/m \equiv h = r^2\dot{\phi}$  and let  $u = 1/r$

$$\Rightarrow \dot{r} = -\frac{1}{u^2}\dot{u} = -\frac{1}{h^2}\frac{du}{d\phi}\dot{\phi} = -h\frac{du}{d\phi} \Rightarrow \ddot{r} = -h^2u^2\frac{d^2u}{d\phi^2}$$



↳ we can then rewrite the radial equation of motion:

$$f_r = \ddot{r} - r\dot{\phi}^2$$

$$= -h^2\frac{d^2u}{d\phi^2} - \frac{1}{u}h^2u^4$$

$$\Rightarrow \frac{d^2u}{d\phi^2} + u = -\frac{f_r}{h^2u^2} = \frac{GM}{h^2}$$

↳  $f_r$  is a function of  $u$ , so we are done.

the orbit equation  
in a spherical potential

### Kepler orbits

- The solution to the orbit equation is:

$$\frac{L}{r} = 1 + e \cos(\phi - \phi_0)$$

↳  $L = h^2/GM$ ,  $e$  and  $L$  are integration constants

- If  $e < 1$ ,  $r$  is bounded and the path is an ellipse

$$\frac{L}{1+e} < r < \frac{L}{1-e}$$

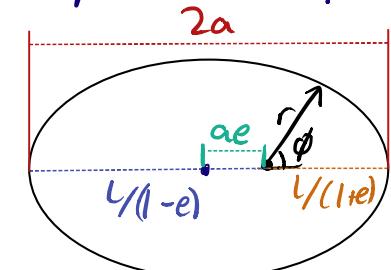
$$\frac{L}{1+e} + \frac{L}{1-e} = 2a$$

$$\Rightarrow L = a(1-e^2)$$

↳  $a$  is the semimajor axis

$$h^2 = GMa(1-e^2)$$

↳ the energy per unit mass is  $E = \frac{1}{2}\dot{r}^2 + \frac{1}{2}r^2\dot{\phi}^2 - \frac{GM}{r}$   
and can be evaluated anywhere since it is constant



$$e^2 = 1 - \frac{b^2}{a^2}$$

↳ at the periaxis,  $\dot{r}=0$ ,  $\dot{\phi} = \frac{h}{r^2} \Rightarrow E = -\frac{GM}{2a}$

• Kepler's laws can be deduced:

1. Orbits are ellipses with the Sun at a focus
2. Planets sweep equal areas in equal time:

$$\frac{dA}{dt} = \frac{1}{2} r^2 \dot{\phi} = \frac{h}{2} = \text{const (for central forces)}$$

$$3. T^2 \propto a^3: \frac{\Delta A}{\Delta t} = \frac{h}{2} \Rightarrow T = \frac{2A}{h} = \frac{2\pi a^3}{h}$$

$$\therefore T = \frac{2\pi a \cdot a \sqrt{1-e^2}}{\sqrt{GMa(1-e^2)}} = 2\pi \sqrt{\frac{a^3}{GM}} //$$

### Unbound orbits

- If  $e > 1$ , the orbit is unbound (i.e.  $E > 0$ )
- The angles  $\phi_{\infty}$  s.t.  $r \rightarrow \infty$  are given by  $\cos \phi_{\infty} = -1/e$ 
  - ↳ if  $e > 1$ ,  $\frac{\pi}{2} < \phi_{\infty} < \pi \therefore$  orbit is a hyperbola
  - ↳ if  $e=1$ ,  $\phi_{\infty} = \pm \pi \therefore$  orbit is a parabola.
- As  $r \rightarrow \infty$ ,  $E \rightarrow \frac{1}{2} \dot{r}^2$ 
  - ↳  $\frac{1}{r} = 1 + e \cos \phi \Rightarrow -\frac{L}{r^2} \dot{r} = -e \sin \phi \dot{\phi}$
  - ↳  $\dot{r} = \frac{eh}{L} \sin \phi \quad \text{using } h = r^2 \dot{\phi}$
  - ↳ so  $E \rightarrow \frac{GM}{2L} (e^2 - 1)$  as  $r \rightarrow \infty$

• If at some point  $\underline{r}_0$  the particle has velocity  $\underline{v}_0$  such that  $\frac{1}{2} \underline{v}_0^2 + \phi(\underline{r}_0) > 0$ , the particle is able to escape to infinity

↳ the escape velocity is then  $v_{esc} = \sqrt{-2\phi(\underline{r}_0)}$

### Binary star systems

• With 2 masses,  $\phi$  is no longer fixed at the origin

$$\phi(\underline{r}) = -\frac{GM_1}{|\underline{r}-\underline{r}_1|} - \frac{GM_2}{|\underline{r}-\underline{r}_2|}$$

↳ let  $\underline{d} = \underline{r}_1 - \underline{r}_2$

$$M_1 \ddot{\underline{r}}_1 = -\frac{GM_1 M_2}{\underline{d}^2} \underline{d}, \quad M_2 \ddot{\underline{r}}_2 = -\frac{GM_1 M_2}{\underline{d}^2} (-\underline{d})$$

$$\Rightarrow \ddot{\underline{d}} = \ddot{\underline{r}}_1 - \ddot{\underline{r}}_2 = -\frac{G(M_1+M_2)}{\underline{d}^2} \underline{d}$$

↳ equivalent to if we had point mass  $M_1+M_2$  at origin (which we know produces elliptical orbits).

$$T = 2\pi \sqrt{\frac{\underline{a}^3}{6(M_1+M_2)}} \quad \underline{a} \text{ is max separation}$$

- We choose a frame where the COM is stationary  $\underline{r}_{cm} = 0, \dot{\underline{r}}_{cm} = 0 \Rightarrow \underline{r}_1 = \frac{M_2}{M_1+M_2} \underline{d}, \underline{r}_2 = \frac{M_1}{M_1+M_2} \underline{d}$
- ↳  $\underline{J} = \sum_{i,2} M_i \underline{r}_i \times \dot{\underline{r}}_i = \frac{M_1 M_2}{M_1+M_2} \underline{d} \times \dot{\underline{d}} = \mu \underline{h}$

↑ reduced mass

### General orbits under radial force ↗ more general than spherical

$$\frac{d^2 u}{d\phi^2} + u = -\frac{f_r}{h^2 u^2}, \quad f_r = -\frac{d\Phi}{dr} = u^2 \frac{d\Phi}{du}$$

- There are unbound orbits, with  $r \rightarrow \infty$  for  $\phi \rightarrow \phi_\infty$
- For bound orbits,  $r$  oscillates between finite limits.

$$\frac{d^2 u}{d\phi^2} + u + \frac{1}{h^2} \frac{d\Phi}{du} = 0 \quad \times \frac{du}{d\phi}$$

$$\Rightarrow \frac{d}{d\phi} \left[ \frac{1}{2} \left( \frac{du}{d\phi} \right)^2 + \frac{1}{2} u^2 + \frac{\Phi}{h^2} \right] = 0$$

$$\therefore \frac{1}{2} \left( \frac{du}{d\phi} \right)^2 + \frac{1}{2} u^2 + \frac{\Phi}{h^2} = \text{const} = \frac{E}{h^2} \quad \begin{matrix} \text{dimensional} \\ \text{analysis.} \end{matrix}$$

$$E = \frac{1}{2} h^2 \left( \frac{du}{d\phi} \right)^2 + \frac{1}{2} h^2 u^2 + \Phi(r)$$

$$E = \frac{1}{2} \dot{r}^2 + \frac{1}{2} r^2 \dot{\phi}^2 + \Phi(r)$$

$$E = \frac{1}{2} \dot{r}^2 + \frac{h^2}{2r^2} + \Phi(r)$$

↳ the apsides are found by  $\frac{du}{d\phi} = 0$  or  $\dot{r} = 0$ .

$$E = \frac{1}{2} h^2 u^2 + \Phi \Rightarrow u^2 = \frac{2(E-\Phi)}{h^2} \quad \begin{matrix} \text{quadratic} \end{matrix}$$

$$\therefore u_1 = \frac{1}{r_1}, \quad u_2 = \frac{1}{r_2}, \quad r_1 < r_2 \text{ WLOG.}$$

↳  $r_1$  is the pericentre,  $r_2$  is the apocentre

• The radial period  $T_r$  is the time for  $r_2 \rightarrow r_1 \rightarrow r_2$

$$\dot{r} = \pm \sqrt{2(E-\Phi) - \frac{h^2}{r^2}}$$

$$T_r = \oint dt = 2 \int_{r_1}^{r_2} \frac{dt}{dr} dr = 2 \int_{r_1}^{r_2} \frac{dr}{\sqrt{2(E-\Phi) - h^2/r^2}}$$

### Precession

- The orbit may have azimuthal motion. This can be found by seeing how  $\phi$  changes during a period

$$\Delta\phi = \oint d\phi = 2 \int_{r_1}^{r_2} \frac{d\phi}{dt} dt dr = 2h \int_{r_1}^{r_2} \frac{dr}{r^2 \sqrt{2(E-\Phi) - h^2/r^2}}$$

↳  $\Delta\phi \neq 2\pi$  in one period, so there is a mismatch between the radial period and azimuthal period (time to go round)

↳ define the mean angular velocity as  $\bar{\omega} = \Delta\phi/T_r$  and the mean azimuthal period:  $T_\phi = \frac{2\pi}{\bar{\omega}} = \frac{2\pi}{\Delta\phi} T_r$

↳ if  $\frac{\Delta\phi}{2\pi}$  is irrational, the orbit is not closed/periodic.

↳ for a Keplerian orbit,  $\Delta\phi = 2\pi \Rightarrow T_r = T_\phi$

- In one radial period, the apocentre advances by angle  $\Delta\phi - 2\pi$  so the 'major axis' rotates at the mean precession rate

$$\Omega_p = \frac{\Delta\phi - 2\pi}{T_r}$$



↳ precession is in a sense opposite to the rotation of the star.

↳ no precession for Keplerian orbits

# Poisson's Equation

- Relates  $\rho(r)$  to  $\Phi(r)$ :  $\Phi(r) = - \iiint \frac{6\rho(r') d^3 r'}{|r-r'|}$   
 $\hookrightarrow \nabla^2 \Phi(r) = -6 \iiint \rho(r') \nabla^2 \left( \frac{1}{|r-r'|} \right) d^3 r'$   
 $\hookrightarrow$  but  $\nabla^2 \left( \frac{1}{|r-r'|} \right) = -4\pi \delta(r-r') \Rightarrow \boxed{\nabla^2 \Phi(r) = 4\pi G \rho(r)}$

- If we integrate over any volume  $V$  containing a mass  $M$ , we get Gauss' Theorem:  $\int_S \nabla \phi \cdot \hat{n} dS = 4\pi G M$

- In spherical systems,  $\nabla^2 \phi(r) = \frac{1}{r} \frac{d}{dr} r^2 (\frac{1}{r} \phi)$   
 $\hookrightarrow$  finding  $\Phi$  from  $\rho$  is just a matter of solving the differential equation with appropriate B.C.s.
- Newton's gravity is linear so we can construct systems by superposition, e.g. shell = sphere 1 - sphere 2.
- Inside a shell,  $\Phi = \text{const.}$ . Outside a shell, we have  $\Phi = -\frac{GM_{\text{shell}}}{r}$  (point particle)
- arbitrary spherical density dist. can be analysed by integrating many shells.

$$\Phi(r) = -4\pi G \left[ \underbrace{\frac{1}{r} \int_0^r r'^2 \rho(r') dr'}_{\Phi = -\frac{GM_{\text{enc}}}{r}} + \underbrace{\int_r^\infty r' \rho(r') dr'}_{\Phi = \frac{Gdm}{r'} = \text{const.}} \right]$$

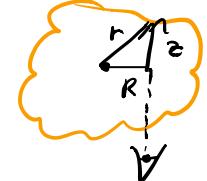
## Galaxy profiles

- Assume a galaxy has a spherical luminosity density  $j(r) = j_0 \left(1 + \left(\frac{r}{a}\right)^2\right)^{-3/2}$
- $\hookrightarrow$  the surface brightness is the projection of this onto the plane of the sky:  
 $I(R) = 2 \int_0^\infty j(z) dz, \quad r^2 = R^2 + z^2$   
 $= 2j_0 \int_0^\infty \left[1 + \left(\frac{R}{a}\right)^2 + \left(\frac{z}{a}\right)^2\right]^{-3/2} dz$   
 $= 2j_0 \frac{a^3}{a^2 + R^2} \int_0^\infty \frac{dy}{(1+y^2)^{3/2}} \quad \text{with } y = \frac{z}{\sqrt{a^2 + R^2}}$   
 $\therefore I(R) = \frac{2j_0 a}{1 + R^2/a^2} \leftarrow \text{modified Hubble profile}$

- $\hookrightarrow$  this is a good fit for elliptical galaxies, so our initial guess for luminosity density is reasonable.
- $\hookrightarrow$  assume density  $\propto$  luminosity,  $\rho(r) = \rho_0 \left(1 + \left(\frac{r}{a}\right)^2\right)^{-3/2}$
- $\hookrightarrow \Phi$  could be calc'd from Poisson, but this density profile leads to diverging mass.

- A power law density profile  $\rho(r) = \rho_0 \left(\frac{a}{r}\right)^\alpha$  explains more observations, but also has infinite mass.
- In fact, it is possible to "de-project" the projected density to a spherically-symmetric 3D density  
 $\hookrightarrow$  as above, projected from actual density given by:

$$I(R) = 2 \int_0^\infty j(z) dz = 2 \int_R^\infty \frac{j(r) r dr}{\sqrt{r^2 - R^2}}$$



↳ can be inverted to give  $j'(r) = -\frac{1}{2\pi r} \frac{d}{dr} \int_r^\infty \frac{I(R) R dR}{\sqrt{R^2 - r^2}}$   
(an Abel Integral equation)

### Nearly circular orbits

- For a circular orbit,  $r=R=\text{const}$ ,  $\dot{\phi}=\Omega=\text{const}$

$$\begin{aligned}\hookrightarrow \ddot{r} - r\dot{\phi}^2 &= -\frac{d\Phi}{dr} \Rightarrow R\Omega^2 = \frac{d\Phi}{dr} \\ &\Rightarrow \Omega = \sqrt{\frac{GM}{R^3}} \quad \text{and} \quad T = 2\pi\sqrt{\frac{R^3}{GM}}\end{aligned}$$

- For a near-circular orbit,  $r=R+\varepsilon(t)$ ,  $\varepsilon \ll R$  and  $\dot{\phi} = \Omega + \omega(t)$ ,  $\omega \ll \Omega$

↳  $h = R^2\Omega$  must be the same. Expand to first order:

$$\begin{aligned}h^2\Omega &= R^2\Omega + 2R\varepsilon\Omega + R^2\omega \Rightarrow R\omega = -2\varepsilon\Omega \\ \hookrightarrow \ddot{r} - r\dot{\phi}^2 &= f(r) \Rightarrow \ddot{r} - (R+\varepsilon)(\Omega^2 + 2\omega\Omega) = f(R+\varepsilon) \\ &\Rightarrow \ddot{r} + (3\Omega^2 - f'(R))\varepsilon = 0\end{aligned}$$

↳ this is SHM provided  $3\Omega^2 - f'(R) > 0$ , or equivalently  $n < 3$  if  $f(R) \propto -R^{-n}$

↳ the particle thus has radial oscillation at the epicyclic frequency  $k^2 \equiv \Omega_R^2 = 3\Omega^2 - f'(R)$

↳ equivalent to an ellipse precessing at rate  $\Omega_p = \Omega - \Omega_R$



### Near-circular orbits in Axisymmetric potentials

- Real density distributions are more often axisymmetric vs spherical.  
↳ we use cylindrical coordinates:  $\rho = \rho(R, z)$ ,  $\Phi = \bar{\Phi}(R, z)$

$$\hookrightarrow E = \left( -\frac{\partial \Phi}{\partial R}, 0, -\frac{\partial \Phi}{\partial z} \right)$$

$$\Rightarrow \ddot{r} - r\dot{\phi}^2 = -\frac{\partial \Phi}{\partial R}, \quad \dot{R}^2 \dot{\phi} = L_z = \text{const}, \quad \ddot{z} = -\frac{\partial \Phi}{\partial z}$$

↳ we can remove the  $\dot{\phi}$  term and write the equations in terms of  $\Phi_{\text{eff}}$ , reducing to a 2D problem

$$\begin{aligned}\ddot{r} &= -\frac{\partial \Phi_{\text{eff}}}{\partial R}, \quad \Phi_{\text{eff}} = \bar{\Phi} + \frac{L_z^2}{2R^2} \\ \ddot{z} &= -\frac{\partial \Phi_{\text{eff}}}{\partial z}\end{aligned}$$

- For a system with plane symmetry  $\bar{\Phi}(R, z) = \bar{\Phi}(R, -z)$ , we can find near-circular orbits:  $z=0$ ,  $R=R_c = \text{const}$ ,  $\dot{\phi}=\Omega=\text{const}$

$$\hookrightarrow \ddot{R} = 0 \Rightarrow \frac{\partial \bar{\Phi}}{\partial R} = \frac{L_z^2}{R^3} \Rightarrow \Omega_c^2 = \frac{1}{R} \frac{\partial \bar{\Phi}}{\partial R} |_{R=R_c}$$

↳ for small deviations  $R=R_c+x$ ,  $z=z$ ,  $x, z \ll R_c$ ,

we Taylor expand, noting that at  $x=z=0$  we have

$$\frac{\partial \Phi_{\text{eff}}}{\partial z} = 0 \quad \text{and} \quad \frac{\partial \Phi_{\text{eff}}}{\partial R} = 0$$

$$\begin{aligned}\Phi_{\text{eff}}(R_c+x, 0+z) &= \bar{\Phi}_{\text{eff}}(R_c, 0) + \frac{1}{2}x^2 \frac{\partial^2 \bar{\Phi}_{\text{eff}}}{\partial R^2} |_{(R_c, 0)} \\ &\quad + \frac{1}{2}z^2 \frac{\partial^2 \bar{\Phi}_{\text{eff}}}{\partial z^2} |_{(R_c, 0)}\end{aligned}$$

$$\hookrightarrow \ddot{x} = -\frac{\partial \bar{\Phi}_{\text{eff}}}{\partial R} \Rightarrow \ddot{x} = -\frac{\partial \bar{\Phi}_{\text{eff}}}{\partial x} = -x \frac{\partial^2 \bar{\Phi}_{\text{eff}}}{\partial R^2} |_{(R_c, 0)}$$

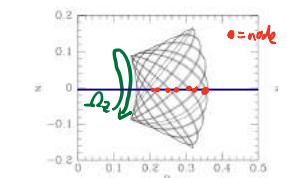
$$\hookrightarrow \ddot{z} = -\frac{\partial \bar{\Phi}_{\text{eff}}}{\partial z} = -z \frac{\partial^2 \bar{\Phi}_{\text{eff}}}{\partial z^2} |_{(R_c, 0)}$$

↳ we can rewrite this as 2 SHM equations with an epicyclic frequency and vertical frequency:

$$\begin{aligned}\ddot{x} &= -k^2 x, \quad k^2 = \frac{\partial^2 \bar{\Phi}}{\partial R^2} |_{(R_c, 0)} + \frac{3L_z^2}{R_c^4} \\ \ddot{z} &= -V^2 z, \quad V^2 = \frac{\partial^2 \bar{\Phi}}{\partial z^2} |_{(R_c, 0)}\end{aligned}$$

- Hence there is both radial precession at  $\Omega_p = \Omega - k$  and nodal precession at  $\Omega_z = \Omega - V$

↳ nodes are the points at which the orbit crosses  $z=0$  upwards



# Axisymmetric Potentials

• Axisymmetric  $\Rightarrow \Phi = \Phi(r, \theta)$

• In the vacuum,  $\nabla^2 \Phi = 0$ . If we assume a separable form  $\Phi = R(r) \Theta(\theta)$ , we get:

$$\frac{1}{R} \frac{d}{dr} \left( r^2 \frac{dR}{dr} \right) + \frac{1}{\theta^2 \sin \theta} \frac{d}{d\theta} \left( \sin \theta \frac{d\Theta}{d\theta} \right) = 0$$

$$\Rightarrow \frac{1}{R} \frac{d}{dr} \left( r^2 \frac{dR}{dr} \right) = n(n+1)$$

$$\frac{d}{d\mu} \left[ (1-\mu^2) \frac{d\Theta}{d\mu} \right] + n(n+1)\Theta = 0, \quad \mu = \cos \theta \quad \text{Legendre Equation.}$$

• The radial solution is  $R(r) = A r^n + \frac{B}{r^{n+1}}$ , while the angular equation is solved by Legendre polynomials  $P_n(x)$

$$P_0(x) = 1 \quad P_1(x) = x \quad P_2(x) = \frac{1}{2}(3x^2 - 1)$$

$$(n+1)P_{n+1}(x) = (2n+1)xP_n(x) - nP_{n-1}(x)$$

$\hookrightarrow P_n(x)$  is oscillatory and forms an orthogonal complete set.

$$\int_{-1}^1 P_n(x) P_m(x) dx = \frac{2}{2m+1} \delta_{nm}$$

$\hookrightarrow$  can generate with the Rodrigues formula:  $P_n(x) = \frac{1}{2^n n!} \frac{d^n}{dx^n} [(x^2 - 1)^n]$

• Outside an axisymmetric body,  $R(r) = \frac{B}{r^{n+1}}$ . For objects symmetric in  $\theta = \pi/2$  ( $z=0$ ), the odd  $P_n(x)$  disappear

$$\Phi(r, \theta) = \sum_{k=0}^{\infty} \frac{B_{2k} P_{2k}(cos \theta)}{r^{2k+1}} = -\frac{GM}{r} + \frac{J_2}{r^3} \frac{1}{2}(3 \cos^2 \theta - 1) + \frac{J_4}{r^5} P_4(\cos \theta) \dots$$

$\hookrightarrow$  for near-spherical bodies, these  $J$  terms are perturbations

Spherical  
Coords  
✓

• We can sometimes find coefficients  $A_n$  and  $B_n$  by writing an expression for  $\Phi$  somewhere easy (e.g. on-axis).

$\hookrightarrow$  e.g. for a ring of matter,  $\Phi(z) = -GM/(a^2+z^2)^{1/2}$ . We can expand in small  $z$  then match terms:

$$\hookrightarrow \text{gives } \Phi(R, z) \approx -\frac{GM}{a} \left[ 1 - \frac{1}{4a^2} (2z^2 - R^2) + \dots \right]$$

$\hookrightarrow$  this potential can be applied to the Earth-Moon system, treating the solar potential as ring-like.

## Axisymmetric potentials in cylindrical coordinates

• Consider a thin disk of mass in cylindrical coordinates and seek separable solutions to Poisson's eq:  $\Phi(R, z) = J(R) Z(z)$

$$\hookrightarrow \frac{\partial^2 Z}{\partial z^2} - k^2 Z = 0 \Rightarrow Z(z) = A e^{kz} + B e^{-kz}. \text{ For finite potentials, } Z(z) = A e^{-k|z|}$$

$\hookrightarrow$  the radial equation is solved with a Bessel function

$$\frac{1}{R} \frac{d}{dR} \left( R \frac{dY}{dR} \right) + k^2 J(R) = 0 \Rightarrow J(R) = J_0(kR), \quad Y_0(kR)$$

$\hookrightarrow$  equivalent of SHM in cylindrical coords.

$$\hookrightarrow \Phi_R = C e^{-k|z|} J_0(kR) \quad \hookrightarrow Y_0 \text{ diverges at } R=0$$

$\hookrightarrow$  the general solution is  $\Phi(R, z) = \int_0^\infty f(k) e^{-k|z|} J_0(kR) dk$  where  $f(k)$  is determined by the mass distribution.

- Bessel functions of the first/second kind,  $J_\nu(kr)$  and  $Y_\nu(kr)$ , solve the ODE:

$$\frac{1}{s} \frac{d}{ds} \left( s \frac{dy}{ds} \right) + \left( k^2 - \frac{\nu^2}{s^2} \right) y = 0$$

$\hookrightarrow J_\nu(0) = 0$  except for  $J_0(0) = 1$

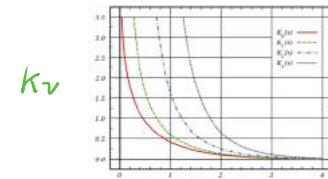
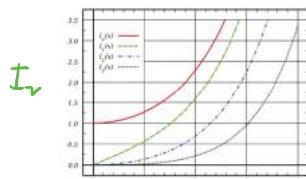
$\hookrightarrow Y_\nu(0) \rightarrow -\infty$  for all  $\nu$

$\hookrightarrow$  solutions are oscillatory, 'like' sin and cos.

- Modified Bessel functions have  $-k^2 y$  instead of  $+k^2 y$ :

$$\frac{1}{s} \frac{d}{ds} \left( s \frac{dy}{ds} \right) - \left( k^2 - \frac{\nu^2}{s^2} \right) y = 0 \rightarrow I_\nu(kr), K_\nu(kr)$$

$\hookrightarrow$  solutions are like cosh and sinh.



- By analogy to Fourier transforms, we have Hankel transforms with  $J, Y$  as the basis:

$$\tilde{g}(k) = \int_0^\infty g(r) J_\nu(kr) r dr$$

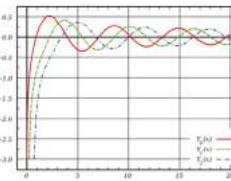
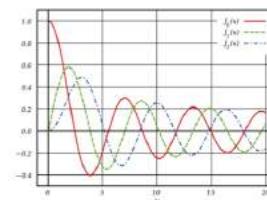
$$g(r) = \int_0^\infty \tilde{g}(k) J_\nu(kr) k dk \quad \hookrightarrow \text{inverse}$$

- To find the weighting function, we can construct a Gaussian surface:  $\int_V 4\pi G \rho dV = \int_V \nabla^2 \Phi dV = \int_S \nabla \Phi \cdot \hat{n} dS$

$$\Rightarrow 4\pi G \Sigma(R) = \left[ \frac{\partial \Phi}{\partial z} \right]_{R=0}$$

$$\Rightarrow \Sigma(R) = -\frac{1}{2\pi G} \int_0^\infty f(k) J_0(kR) k dk$$

$$\Rightarrow f(k) = -2\pi G \int_0^\infty \Sigma(R) J_0(kR) R dR \quad \hookrightarrow \text{Hankel}$$



- The circular velocity in the plane is  $v_c^2(R) = \frac{\partial \Phi}{\partial R} \Big|_{z=0}$

$$\hookrightarrow \frac{d}{dx} [x^m J_m(x)] = x^m J_{m-1}(x)$$

$$\Rightarrow \frac{v_c^2(R)}{R} = - \int_0^\infty f(k) J_1(kR) k dk$$

$$\text{e.g. Mestel Disk: } \Sigma(R) = \frac{\Sigma_0 R_0}{R}$$

$$M( $R) = \int_0^R 2\pi \Sigma(R') R' dR' = 2\pi \Sigma_0 R_0 R$$$

$$f(k) = -2\pi G \Sigma_0 R_0 \int_0^\infty J_0(kR) dR$$

$$\Rightarrow \Phi(R, z) = -2\pi G \Sigma_0 R_0 \int_0^\infty e^{-kr} J_1(kr) \frac{J_0(kz)}{k} dk$$

$$\frac{v_c^2(R)}{R} = 2\pi G \Sigma_0 R_0 \int_0^\infty J_1(kR) dk = 2\pi G \Sigma_0 R_0 = \text{const}$$

$$\therefore v_c^2(R) = \frac{GM(R)}{R} \quad \hookrightarrow \text{same as sphere.}$$



### Oort constants

- We model the Milky Way as having stars in circular orbits with  $v(R) = R\Omega(R)$

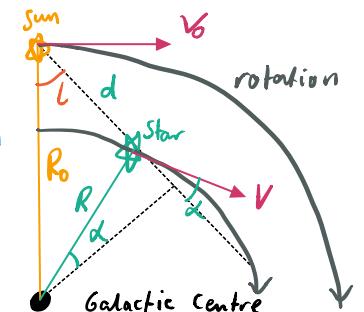
- The radial velocity of the star, seen from the earth, is  $v_R = v \cos \alpha - v_\odot \sin \alpha$

$$\hookrightarrow \text{geometry gives } v_R = \left( \frac{v}{R} - \frac{v_\odot}{R_0} \right) R_0 \sin \alpha$$

$$\hookrightarrow \text{for nearby stars, } R_0 - R \approx d \cos \alpha$$

$$\hookrightarrow \text{expanding } \frac{v}{R} \text{ about } R_0, \text{ we get } v_R \approx -R_0 \frac{d}{dr} \left( \frac{v}{R} \right) |_{R_0} ds \sin \alpha$$

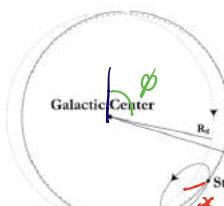
$$\Rightarrow v_R = A d \sin 2\alpha$$



- ↪  $A$  is the Oort constant  $= -\frac{R_0}{2} \frac{d(\frac{v}{R})}{dR}|_{R_0} \xrightarrow{\text{quotient}} = \frac{1}{2} \left( \frac{v_0}{R_0} - \frac{dv}{dR}|_{R_0} \right)$
- ↪  $A$  can be determined experimentally by measuring  $\frac{v_R}{d}$  as a function of  $l$ .
- The tangential velocity (seen from earth) is  $v_t = v \sin \alpha - v_0 \cos l$   
↪ geometry gives  $v_t = \left( \frac{v}{R} - \frac{v_0}{R_0} \right) R \cos l - \frac{v}{R} d \approx -R_0 \frac{d(\frac{v}{R})}{dR}|_{R_0} \cdot d \cdot \cos^2 l - \frac{v}{R} d$
- ↪ define  $v_t \propto d(\cos 2l) + B \Rightarrow B = -\frac{1}{2} \left[ \frac{b}{R} + \frac{dv}{dR}|_{R_0} \right]$
- Oort constants  $A, B$  can be written in terms of  $\Omega$   
↪  $A$  measures the shear - deviation from 'rigid body'.  

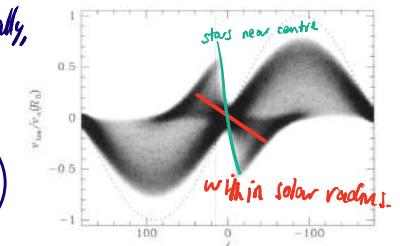
$$A = -\frac{R_0}{2} \frac{d(\frac{v}{R})}{dR}|_{R_0} \Rightarrow A = -\frac{1}{2} R_0 \frac{d\Omega}{dR}|_{R_0}$$
- ↪  $B$  measures the vorticity - tendency of material to circulate due to differential rotation:  

$$B = \left( -\Omega + \frac{1}{2} R_0 \frac{d\Omega}{dR} \right)|_{R_0}$$
- ↪  $\Omega_0 = \frac{v_0}{R_0} = A - B, \frac{dv}{dR}|_{R_0} = -(A + B)$
- The epicyclic frequency is given by  $K^2 = R \frac{d\Omega^2}{dR^2} + 4\Omega^2$   
 $\Rightarrow k_0 = \sqrt{-4B(A-B)}$
- We can find the frequencies by comparing the observed velocities with the relative velocities assuming circular motion  
 $x \equiv R - R_0, x(t) = x \cos(Kt + \alpha)$   
 $\langle (v_\theta - v_c(R_0))^2 \rangle / \langle v_c^2 \rangle \approx -\frac{B}{A-B} = K_0^2 / 4\Omega_0^2$



### The Rotation Curve of our Galaxy

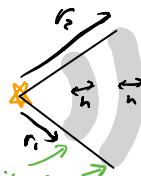
- Neutral H has a 21cm line corresponding to parallel spins becoming antiparallel (low probability)
- We can measure Doppler shifts (to get the line-of-sight velocity) for various longitudes  $l$ ; plotting  $v_{los}$  against  $l$  gives the rotation curve
- Assuming that  $\Omega(R) = \frac{v}{R}$  decreases monotonically, the fastest gas for a given  $l$  will be the gas moving in the smallest circle
- ↪  $R = R_0 \sin l$   
 $\Rightarrow v(R) = v_{\max} + v_0 \sin l$
- ↪ hence the rotation curve is bounded by a sine curve.



- For spiral galaxies (symmetric potential), the circular velocity  $v_c(R)$  is a good measure of the contained mass:  $M(R) \approx \frac{Rv_c^2}{G}$
- Frequently-used spectral lines are:
  - ↪ HI (radio) for neutral gas over large range of radii;
  - ↪ Hα (optical) for warm gas in inner regions
  - ↪ CO (mm) for the most inner regions.
- Difficulties in determining rotation curves:
  - ↪ Beam smearing - points have data from a range of radii so we must deconvolve
  - ↪ Intrinsic: absorption / finite thickness.
  - ↪ spiral arms are non-axisymmetric

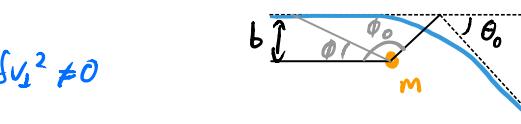
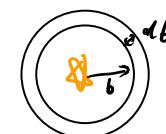
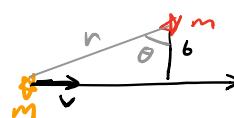
# Collisionless Systems

- Gravity is a long range force. Because of the inverse square law, a distant 'shell' of the same thickness has the same force contrib. *(Unlike a gas)*
- Because of the distance between stars, they almost never physically collide.
- A **collisionless system** is one in which it is a good approx to smooth stars into a mean density  $\bar{\rho} \rightarrow \bar{\Phi} \rightarrow$  orbits.



## Relaxation time

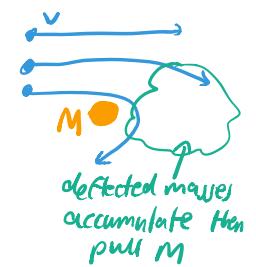
- The validity of the collisionless approx can be tested by comparing a star's path under a smooth mass dist vs the real path with point stars.
- The impulse approximation gives the vertical velocity change as a result of interaction:
- $\hookrightarrow F_y = \frac{Gm^2b}{(x^2+b^2)^{3/2}}, x=vt.$
- $\hookrightarrow \Delta v_y = \int_{-\infty}^{\infty} F_y(t) dt = \frac{Gm}{bv} \int_{-\infty}^{\infty} (1+sv^2)^{-3/2} ds$
- $\hookrightarrow s = \tan\theta \Rightarrow \Delta v_y = \frac{2Gm}{bv}$ .
- The total number of such interactions is the surface density  $\sigma_n$   $\times$  the area of band  $ab$ .
- $\hookrightarrow \sigma_n = \frac{N}{\pi R^2} \cdot 2\pi b ab$ , where  $R$  is the size of the system (e.g. galaxy),  $N$  is num. stars.
- $\hookrightarrow$  by symmetry, the velocity interactions cancel so  $\sum v_\perp = 0$ , but  $\sum v_\perp^2 \neq 0$



- The total change in  $v_\perp^2$  is:  $\Delta v_\perp^2 = \int_{b\min}^R 8N \left(\frac{Gm}{Rv}\right)^2 \frac{ab}{b}$ .
  - $\hookrightarrow b\min$  is the expected closest approach  $\frac{N}{\pi R^2} (\pi b\min^2) = 1$  *1 per crossing, very approx.*
  - $\hookrightarrow \Delta v_\perp^2 \approx 8N \left(\frac{Gm}{Rv}\right)^2 \ln \Lambda, \Lambda = R/b\min$  after a crossing.
- Collisionless approx valid when  $\Delta v_\perp/v \ll 1$ ; can be shown that this holds for  $b\min$ .
- The **relaxation time** is the time over which interactions erase memory of the star's initial motion (i.e. collisionless approx fails).
  - $\hookrightarrow n_{\text{relax}} \Delta v_\perp^2 \sim v^2$  for memory loss
  - $\hookrightarrow v^2 \approx \frac{GNm}{R}$  (circular)  $\Rightarrow n_{\text{relax}} \sim \frac{N}{8\ln\Lambda} \sim \frac{N}{8\ln N}$
  - $\hookrightarrow t_{\text{relax}} = n_{\text{relax}} \times t_{\text{cross}} \propto n_{\text{relax}} \frac{R}{v}$
  - $\hookrightarrow$  collisionless systems have  $t \ll t_{\text{relax}}$ . True for galaxies but not globular clusters (hence spherical).

## Gravitational drag

- The impulse approx. assumes only a vertical impulse, but in reality both  $v_\perp$  and  $v_\parallel$  change - dynamical friction.
- Consider the COM frame of a large mass  $M$  moving at speed  $v$  past smaller mass  $m$ .
- The deflection can be treated as a Keplerian hyperbolic orbit of  $m$  about  $M$ .



$$\frac{1}{r} = \cos(\phi - \phi_0) + \frac{GM}{h^2}$$

↳ the angle  $\phi_0$  of closest approach can be found by considering

$$\phi \rightarrow 0 \text{ (i.e. } r \rightarrow \infty\text{)}: \frac{dr}{dt} \rightarrow -v \Rightarrow -v = Cr^2 \dot{\phi} \sin(\phi - \phi_0) \\ \Rightarrow -v = Cb v \sin(-\phi_0)$$

$$r \rightarrow \infty \Rightarrow 0 = \cos \phi_0 + \frac{GM}{b^2 v^2} \Rightarrow \tan \phi_0 = -bv^2/GM$$

↳ the deflection angle  $\theta_0 = 2\phi_0 - \pi$

$$\Rightarrow \tan\left(\frac{\theta_0}{2}\right) = \frac{GM}{bv^2}$$

↳  $\theta_0 = \frac{\pi}{2}$  if  $b_1 \sim \frac{GM}{v^2}$

• To estimate drag, assume all stars within cylinder

lose their momentum:  $M \frac{dv}{dt} = -\pi b_1^2 \rho v \cdot v$

$$\Rightarrow \frac{dv}{dt} = -\pi \rho \frac{G^2 M}{v^2} \quad \leftarrow \text{dynamical friction.}$$



↳ this assumes  $v$  much greater than the velocity dispersion of particles in the background.

↳  $F_{\text{fric}} \propto M^2$  so wake mass  $\propto M$

↳  $F \propto \frac{1}{v^2}$ , so drag more relevant for slower bodies.

### The Collisionless Boltzmann equation

• Model a system with  $N$  particles of mass  $m$  ( $N$  large) moving under a smooth potential  $\Phi(x, t)$

• Consider the prob. of finding a star at a particular point in space with a particular velocity — i.e. located in 6D phase space  
↳ the full state of the system is specified by the

distribution function (i.e. PDF)  $f(x, v, t)$

$$\int f(x, v, t) d^3x d^3v = N \quad (\text{or can normalise to } = 1).$$

• Phase space coordinates can be written as  $\underline{w} = (x, v) = (w_1, w_2, \dots, w_6)$

↳ the velocity of phase space flow is  $\dot{\underline{w}} = (\dot{x}, \dot{v}) = (v, -\nabla \Phi)$

↳ any flow must conserve the number of stars (or probability)

↳ continuity in  $\mathbb{R}^3$ :  $\frac{\partial p}{\partial t} + \nabla \cdot (p \underline{v}) = 0$

↳ continuity in phase space:  $\frac{\partial f}{\partial t} + \nabla_{\underline{w}} \cdot (f \dot{\underline{w}}) = 0$

$$\nabla_{\underline{w}} \cdot (f \dot{\underline{w}}) = \frac{\partial(f \dot{w}_i)}{\partial w_i} = \dot{w}_i \frac{\partial f}{\partial w_i} + f \frac{\partial \dot{w}_i}{\partial w_i}$$

↳ but  $\frac{\partial \dot{w}_i}{\partial w_i} = 0$  because  $\dot{x}_i = v_i$  indep. of  $x_i$  and  $\dot{v}_i = \frac{\partial \Phi}{\partial x_i}$  indep. of  $v_i$ .

$$\Rightarrow \frac{\partial f}{\partial t} + \sum_{i=1}^6 \dot{w}_i \frac{\partial f}{\partial w_i} = 0 \quad \leftarrow \text{can use in other coordinate systems}$$

↳ can separate out  $\underline{x}$  and  $\underline{v}$  terms to give the Collisionless Boltzmann equation (CBE)

$$\frac{\partial f}{\partial t} + \underline{v} \cdot \nabla f - \nabla \Phi \cdot \nabla_v f = 0$$

\* Define  $\frac{df}{dt} = \frac{\partial f}{\partial t} + \dot{w}_i \frac{\partial f}{\partial w_i}$  (with sum), so CBE is  $\frac{df}{dt} = 0$

↳ this is known as Liouville's theorem

↳ i.e. phase space flow is incompressible (phase-space density conserved)

- Because stars are born and die, phase-space density is not actually conserved:  $\frac{\partial f}{\partial t} = B(\underline{x}, \underline{v}, t) - D(\underline{x}, \underline{v}, t)$  where  $B, D$  are birth/death rates.

↳ acceptable to use CBE when the frac. change in num.

stars per crossing time is small:  $\gamma = \left| \frac{B - D}{f/t_{\text{cross}}} \right| \ll 1$

- In reality, we don't know the distr. function  $f$ .

↳ the num. density of stars at location  $\underline{x}$  can be found by integrating out velocities:  $n(x) = \int f(\underline{x}, \underline{v}) d^3 v$

↳ the pdf of stellar velocities at  $\underline{x}$  is  $p_x(v) = \frac{f(\underline{x}, \underline{v})}{n(\underline{x})}$

↳ we can only measure  $v_{\parallel}$  to our line of sight  $\underline{s}$  ( $v_{\parallel} = \underline{s} \cdot \underline{v}$ ), and tangential positions  $x_{\perp} = \underline{x} - \underline{x}_{\parallel} \underline{s}$

## The Jeans Equations

- Hard to solve the CBE. We can get useful results by finding moments of the CBE (integrating over velocities), giving the Jeans equations
- Zeroth moment returns the continuity equation:

$$\frac{\partial}{\partial t} \int f d^3 v + \int v_i \frac{\partial f}{\partial x_i} d^3 v - \frac{\partial \Phi}{\partial x_i} \int \frac{\partial f}{\partial v_i} d^3 v = 0. \quad \begin{matrix} ① \\ ② \\ ③ \end{matrix}$$

① =  $\frac{\partial n}{\partial t}$ , i.e. time derivative of number density

② =  $\int \frac{\partial}{\partial x_i} (n f) d^3 v = \frac{\partial}{\partial x_i} \int n f d^3 v = \frac{\partial}{\partial x_i} (n \bar{v}_i)$

③ =  $\frac{\partial \Phi}{\partial x_i} [f]_{\infty} = 0$  since  $f \rightarrow 0$  as  $|\underline{v}| \rightarrow \infty$

$\Rightarrow ① + ② + ③ = \frac{\partial n}{\partial t} + \frac{\partial}{\partial x_i} (n \bar{v}_i) = 0 \leftarrow \text{Number density conserved.}$

- First moment gives a fluid equation

$$\frac{\partial}{\partial t} \int f v_j d^3 v + \int v_i v_j \frac{\partial f}{\partial x_i} d^3 v - \frac{\partial \Phi}{\partial x_i} \int v_j \frac{\partial f}{\partial v_i} d^3 v = 0. \quad \begin{matrix} ① \\ ② \\ ③ \end{matrix}$$

① =  $\frac{\partial}{\partial t} (n \bar{v}_j)$

② =  $\frac{\partial}{\partial x_i} (n \bar{v}_i \bar{v}_j)$  where  $\bar{v}_i \bar{v}_j = \frac{1}{n} \int v_i v_j f d^3 v$

③ =  $[F v_j]_{\infty} - \int \frac{\partial v_j}{\partial v_i} f d^3 v = -\delta_{ij} n$

↳ combine terms and subtract  $\bar{v}_j \times (\text{zeroth order Jeans})$

$$n \frac{\partial \bar{v}_j}{\partial t} - \bar{v}_j \frac{\partial}{\partial x_i} (n \bar{v}_i) + \frac{\partial}{\partial x_i} (n \bar{v}_i \bar{v}_j) = -n \frac{\partial \Phi}{\partial x_j}$$

↳ define the 'covariance'  $\sigma_{ij}^2 = E((v_i - \bar{v}_i)(v_j - \bar{v}_j)) = \bar{v}_i \bar{v}_j - \bar{v}_i \bar{v}_j$

$$n \frac{\partial \bar{v}_i}{\partial t} + n \bar{v}_i \frac{\partial \bar{v}_j}{\partial x_i} = -n \frac{\partial \Phi}{\partial x_j} - \frac{\partial}{\partial x_i} (n \sigma_{ij}^2)$$

↳ similar to the fluid equation

$$\rho \frac{\partial \underline{u}}{\partial t} + \rho (\underline{u} \cdot \nabla) \underline{u} = -\rho \nabla \Phi - \nabla p$$

$\sigma_{ij}^2$  acts like a stress tensor - symmetric so can be diagonalised, with principle components defining a velocity ellipsoid

The Jeans equations are underdetermined: 9 unknowns (3 for  $\bar{v}$  and 6 for  $\sigma_{ij}^2$ ) but only 4 equations.

To proceed, make simplifying assumptions:

↳ steady state:  $\frac{\partial}{\partial t} = 0$

↳ isotropic:  $\sigma_{ij}^2 = \sigma^2(r) \delta_{ij}$

↳ non-rotating:  $\bar{v}_i = 0$

$$-\nabla \nabla \Phi = \nabla(\rho \sigma^2)$$

- If we know  $v(r) \Rightarrow \rho(r) = mv(r)$  → find  $\Phi$  from Poisson  
→ solve for  $\sigma^2(r)$  using Jeans
- ↳ so assuming isotropy, the density dist. gives a consistent model for the velocity structure of the system.

- For axisymmetric systems, use cylindrical polars with  $\partial/\partial\phi = 0$ . CBE becomes:

$$\frac{\partial t}{\partial t} + v_r \frac{\partial F}{\partial R} + v_z \frac{\partial F}{\partial z} + \left( \frac{v_\phi^2}{r} - \frac{\partial \Phi}{\partial r} \right) \frac{\partial F}{\partial v_r} - \frac{1}{R} v_r v_\phi \frac{\partial F}{\partial v_\phi} - \frac{\partial \Phi}{\partial z} \frac{\partial F}{\partial v_z} = 0$$

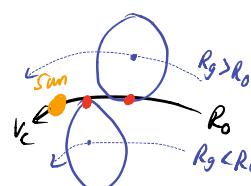
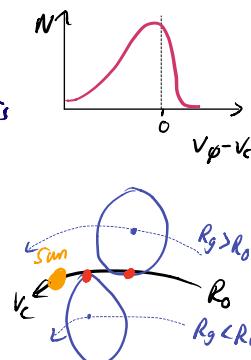
↳ can take moments as before, e.g. 0<sup>th</sup> moment Jeans eq:

$$\frac{\partial v}{\partial t} + \frac{1}{R} \frac{\partial}{\partial R} (Rv\bar{v}_a) + \frac{\partial}{\partial z} (v\bar{v}_z) = 0$$

↳ the axisymmetric Jeans eqs explain several galactic phenomena.

### Asymmetric drift

- Stars at solar radius tend to lag behind
  - ↳ i.e.  $V_\phi < V_c$  on average
  - ↳ lag increases with stellar age, suggesting it is a phenomenon that accumulates over time.
- This happens because stars are moving on epicycles with guiding centres at  $R=R_0$ . Let  $\tilde{V}_\phi = V_\phi - V_c$ :
  - ↳ stars with  $R_g < R_0$  have less angular momentum, so have a lag  $\tilde{V}_\phi < 0$



- ↳ because surface density declines exponentially, there are more stars with  $R_g < R_0$ , explaining the skew to  $\tilde{V}_\phi < 0$
- ↳ also, velocity dispersion declines with  $R$ , so for  $R_g < R_0$  there are more epicycles that intersect  $R=R_0$ .

- Let  $V_a \equiv V_c - \bar{V}_\phi$  be the overall asymmetric drift. We can get an expression using the axisymmetric Jeans eq:

$$\frac{\partial(v\bar{v}_a)}{\partial t} + \frac{\partial(v\bar{v}_a^2)}{\partial R} + \frac{\partial(v\bar{v}_a\bar{v}_z)}{\partial z} + v \left( \frac{\bar{v}_a^2 - \bar{V}_\phi^2}{R} + \frac{\partial \Phi}{\partial R} \right) = 0$$

↳ steady state  $\Rightarrow \frac{\partial}{\partial t}(\cdot) = 0$

↳ assume planar symmetry and that the sun is on the equatorial plane  $\Rightarrow z=0, \partial v/\partial z=0$

↳ define  $\sigma_\phi^2 = \bar{v}_\phi^2 - (\bar{V}_\phi)^2$  and simplify terms, ignoring  $\bar{v}_z^2$  terms (small compared to  $V_c$ ).

↳ result is Stromberg's asymmetric drift equation:

$$V_a \approx \frac{\bar{v}_a^2}{2V_c} \left( \frac{\sigma_\phi^2}{\bar{v}_a^2} - 1 - \frac{\partial \ln(v\bar{v}_a^2)}{\partial \ln R} - \frac{R}{\bar{v}_a^2} \frac{\partial(v\bar{v}_z)}{\partial z} \right)$$

↳ all these terms are now observable  $\Rightarrow V_a \approx \bar{v}_a^2 / (82 \pm 6) \text{ km s}^{-1}$

- The increasing velocity dispersion over time suggests that something is heating the galactic disk:

↳ MAssive Compact Halo Object (MACHO) originally theorised but no longer considered: would lead to greater heating than observed

↳ most likely a result of galaxy's evolution, i.e. increased infall of stars into the galaxy at early times, increasing  $\sigma$  for older stars.

## Galactic mass profile

- The mass density in the solar neighbourhood can be estimated from the cylindrical Jeans equation:

$$\frac{1}{R} \frac{\partial(Rv_r v_z)}{\partial R} + \frac{\partial(v_r v_z^2)}{\partial z} = -v_r \frac{\partial \Phi}{\partial z} \quad \left. \begin{array}{l} \text{steady state} \\ \text{so } \frac{\partial}{\partial t} = 0 \end{array} \right\}$$

↳ density falls off much faster vertically, so neglect  $\frac{\partial \Phi}{\partial R}$  term  
 $\Rightarrow \frac{1}{r} \frac{\partial}{\partial z} (r v_z^2) = - \frac{\partial \Phi}{\partial z}$

↳ compare with Poisson's eq, approx'd for thin disk:

$$\frac{\partial^2 \Phi}{\partial z^2} = 4\pi G \rho \Rightarrow \frac{2}{z^2} \frac{1}{r} \frac{\partial}{\partial z} (r v_z^2) = -4\pi G \rho.$$

↳ hence if we had an estimate of  $v_r$  (does not have to be for all stars - can be e.g. G stars) and  $v_z^2$ , we could estimate  $\rho$ .

- This technique gives a noisy estimate because we have to differentiate noisy data twice.

↳ instead we can integrate to find  $\Sigma(z)$  instead

$$\Sigma(z) = \int_{-z}^z \rho dz' = -\frac{1}{2\pi G r} \frac{\partial}{\partial z} (r v_z^2)$$

↳ more accurate because only one derivative.

↳ dark matter is needed to explain discrepancies between predicted/observed  $\Sigma(z)$ .

- For a spherical system (e.g. galactic halo), we can derive

a Jeans equation:  $\frac{1}{\rho_*} \frac{d(\rho_* \sigma_{r*}^2)}{dr} + \frac{2\beta \sigma_{r*}^2}{r} = -\frac{d\Phi}{dr} = -\frac{v_c^2}{r}$

↳  $\beta$  is the velocity anisotropy param.  $\beta = 1 - \frac{\sigma_\theta^2 + \sigma_\phi^2}{2\sigma_r^2} = 1 - \frac{v_\theta^2 + v_\phi^2}{2v_r^2}$

↳ given the radial velocity dispersion  $\sigma_r^2$ , stellar density  $\rho_*$ , and  $\beta(r)$ , we can uniquely determine the mass profile.

$$\hookrightarrow \text{can rewrite as } M(r) = -\frac{r \sigma_r^2}{6} \left[ \frac{d \ln r}{d \ln r} + \frac{d \ln \sigma_r^2}{d \ln r} + 2\beta(r) \right]$$

## The Virial Theorem

- We can integrate the CBE via  $\int (\cdot) x_n d^3x$  to get a tensor relation:

↳ use the Chandrasekhar PE tensor  $w_{jk} \equiv - \int \rho(x) x_j \frac{\partial \Phi}{\partial x_k} d^3x$

↳ the KE tensor is  $K_{jk} \equiv \frac{1}{2} \int \rho v_j v_k d^3x$ , which is the sum of ordered motion and random motion:

$$K_{jk} = T_{jk} + \Pi_{jk}, \quad T_{jk} \equiv \frac{1}{2} \int \rho \bar{v}_j \bar{v}_k d^3x, \quad \Pi_{jk} \equiv \int \rho \sigma_{jk}^2 d^3x$$

↳ the moment of inertia tensor:  $I_{jk} \equiv \int \rho x_j x_k d^3x$

↳ combine to give the tensor virial theorem

$$\boxed{\frac{1}{2} \frac{d^2 I_{jk}}{dt^2} = 2T_{jk} + \Pi_{jk} + w_{jk}}$$

- The tensor virial theorem applies also to self-gravitating collisional systems in the steady state, we can use the scalar virial theorem:  $2K + W = 0$ ,  $K \equiv \text{trace}(T) + \frac{1}{2} \text{trace}(\Pi)$

• In a stellar system  $K = \frac{1}{2} M \langle v^2 \rangle \Rightarrow \langle v^2 \rangle = \frac{|W|}{M} = \frac{GM}{r_g}$

↳ this gives a simple equation for mass, but sadly  $\langle v^2 \rangle, r_g$  are not readily observable

↳ we only have line of sight velocity dispersion  $\langle v_{ls}^2 \rangle$

# Jeans Theorem

- The steady-state CBE is  $\underline{v} \cdot \nabla f - \nabla \Phi \cdot \frac{\partial f}{\partial \underline{v}} = 0$ , describing continuity in phase space. Orbit paths are in phase space  $(x(t), v(t))$
- A constant of motion is a function of  $x(t), v(t), t$  that is constant along any orbit:  $C(x(t_1), v(t_1), t_1) = C(x(t_2), v(t_2), t_2)$ 
  - ↳ initial conditions are constants of motion
  - ↳ e.g.  $x = ut + x_0$ ,  $C(x, t) = t - \frac{x}{u}$  is a constant of motion
- An integral of motion is a function of phase-space coordinates only that is constant along any orbit
  - ↳ stronger condition than constant of motion
  - ↳ isolating integrals of motion reduce the dimensionality of the orbit, constraining 6D phase space to a 5D manifold
  - ↳ energy and angular momentum are both isolating.
  - ↳ integrals of motion satisfy  $\frac{d}{dt} I(x(t), v(t)) = 0$ 

$$\frac{dx}{dt} = \nabla I \cdot \frac{dx}{dt} + \frac{\partial I}{\partial v} \cdot \frac{dv}{dt} = 0$$

$$\Rightarrow \underline{v} \cdot \nabla I - \nabla \Phi \cdot \frac{\partial I}{\partial \underline{v}} = 0 \quad \leftarrow \text{steady-state CBE!}$$
- Jeans' theorem:
  - Any steady-state solution of the CBE depends on  $\underline{x}, \underline{v}$  only through integrals of motion
  - Any function of integrals of motion is a solution of the steady-state CBE.

• Proof of Jeans' theorem:

- if  $f$  is a S-S solution of CBE,  $\frac{\partial f}{\partial t} = 0$  by def. So  $f$  is an integral of motion  $\Rightarrow$  only depends on other integrals
- $\frac{d}{dt} [f(I_1, I_2, \dots, I_n)] = \sum_m \frac{\partial f}{\partial I_m} \frac{dI_m}{dt} = 0$

## Self-consistent models

- Jeans' theorem:  $f(E) = f(\frac{1}{2}\underline{v}^2 + \Phi(\underline{x}))$  is a solution of the CBE.
  - ↳ assuming all stars have mass  $m$
  - ↳  $\nabla^2 \Phi = 4\pi G\rho = 4\pi Gm \int f(E) d^3v$  for a self-consistent model,
  - i.e.  $f(E)$  is a result of  $\Phi(\underline{x})$ , but  $\Phi(\underline{x})$  due to  $f(E)$ .

• Change to relative coordinates to simplify notation:

$$\nabla \Psi = -\nabla \Phi + \nabla \Phi_0, \quad E = -E + \Phi_0 = \Psi - \frac{1}{2}\underline{v}^2$$

↳ choose  $\Phi_0$  such that  $f > 0$  for  $E > 0$ ,  $f = 0$  for  $E \leq 0$ .

$$\nabla^2 \Psi = -4\pi G\rho$$

• For a spherically-symmetric system, we can get  $\Psi$  from  $f(E)$ :

$$\frac{1}{r^2} \frac{d}{dr} (r^2 \frac{d\Psi}{dr}) = -4\pi Gm \int f(E) d^3v = -4\pi Gm \int_0^{r^2 \Psi} f(E) 4\pi r^2 dr$$

$\rightarrow f > 0 \text{ only if } E = \Psi - \frac{1}{2}\underline{v}^2 > 0$

$$\frac{dE}{dr} = -v dr \quad \therefore \frac{1}{r^2} \frac{d}{dr} (r^2 \frac{d\Psi}{dr}) = -16\pi^2 Gm \int_0^{\Psi} f(E) \sqrt{2(\Psi - E)} dE$$

• To get  $f(E)$  from density,

$$N(\Psi(r)) = \int f d^3v = 4\pi \int v^2 f(\Psi - \frac{1}{2}\underline{v}^2) dv = 4\pi \int_0^{\Psi} f(E) \sqrt{2(\Psi - E)} dE$$

↳  $\frac{d}{dE}$  to give an Abel integral equation with solution

$$f(E) = \frac{1}{16\pi^2} \frac{d}{dE} \int_0^E \left( \frac{d\Psi}{\sqrt{E - \Psi}} \frac{d\Psi}{d\Psi} \right)$$

↳ integration by parts gives Eddington's formula:

$$f(\varepsilon) = \frac{1}{\sqrt{8}\pi^2} \left[ \int_0^\varepsilon \left( \frac{d\Psi}{\sqrt{\varepsilon-\Psi}} \frac{d^2\nu}{d\nu^2} \right) + \frac{1}{\sqrt{\varepsilon}} \left( \frac{d\nu}{d\Psi} \right)_{\Psi=0} \right]$$

### Harmonic potential

Inside a constant sphere, the potential is harmonic

$$\Psi = \frac{2}{3}\pi G\rho_0(r^2 - 3r_0^2) = \frac{1}{2}\omega_0^2(x^2 + y^2 + z^2) + C$$

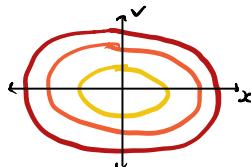
In 1D, this simplifies to:

$$\Phi(x) = \frac{1}{2}\omega_0^2 x^2, \quad E = \frac{1}{2}v^2 + \frac{1}{2}\omega_0^2 x^2 \xrightarrow{\text{Poisson}} \rho(x) = \frac{\omega_0^2}{4\pi G} = \text{const}$$

Harmonic potentials give ellipses in phase space:

↳ semimajor related to energy

↳  $f(E)$  determines how many phase space orbits  
of a given amplitude there are



↳ for a self-consistent system, need  $f(E)$  to give constant  $\rho$  up  
to  $x_0$  (radius of sphere),  $\rho=0$  outside.

In relative coordinates,  $\Psi = -\frac{1}{2}\omega_0^2 x^2$ ,  $E = -\frac{1}{2}\omega_0^2 x^2 - \frac{1}{2}v^2$

↳ at  $x=x_0$ ,  $E=0$ ,  $v=0 \Rightarrow C = -\frac{1}{2}\omega_0^2 x_0^2$

↳ so  $\Psi = \frac{1}{2}\omega_0^2(x_0^2 - x^2)$ ,  $E = \Psi - \frac{1}{2}v^2$

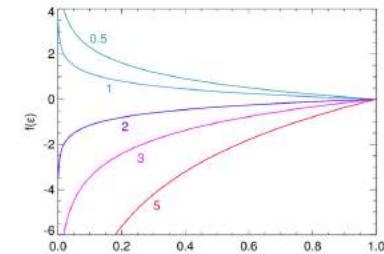
$$\rho(x) = \int_0^{\sqrt{2\Psi}} f(E) d\nu = \int_0^{\sqrt{\omega_0^2(x_0^2 - x^2)}} f(E) d\nu$$

↳ find  $f$  that gives constant  $\rho$  by guessing. In this case,  $f \sim \frac{1}{\sqrt{E}}$

### Power law distribution functions

$$F = \begin{cases} F \varepsilon^{n-3/2}, & \varepsilon > 0 \\ \text{const} & \varepsilon \leq 0 \end{cases}, \quad \varepsilon \leq 0$$

- As before, goal is ① get  $\rho(\Psi)$ ,
- ②  $\Psi(r)$  from Poisson ③  $\rho(r)$



$$\textcircled{1} \quad \rho(r) = \int_0^\infty f(E) \cdot 4\pi r^2 dr = 4\pi F \int_0^{\sqrt{2\Psi}} (\Psi - \frac{1}{2}v^2)^{n-3/2} v^2 dr$$

↳ can parameterise  $v^2 = 2\Psi \cos^2\theta$  so that  $\theta \rightarrow 0$  gives  $v \rightarrow \sqrt{2\Psi}$ ,  
 $\theta \rightarrow \frac{\pi}{2}$  gives  $v \rightarrow 0$ .

$$\textcircled{2} \quad \text{this gives } \rho(r) = C_n \Psi^n, \quad C_n = \frac{(2\pi)^{3/2} \Gamma(n+1)}{\Gamma(n+1)} \cdot F$$

$$\textcircled{3} \quad \text{Sub into Poisson's equation: } \frac{1}{r^2} \frac{d}{dr} \left( r^2 \frac{d\Psi}{dr} \right) = -4\pi G C_n \Psi$$

↳ rescale for convenience:

$$s = r \sqrt{4\pi G C_n \Psi_0^{n-1}}, \quad \psi = \Psi / \Psi_0$$

↳ gives the Lane-Emden equation (also used in stars)

$$\frac{1}{s^2} \frac{d}{ds} \left( s^2 \frac{d\psi}{ds} \right) = \begin{cases} -\psi^n, & \psi > 0 \\ 0, & \psi \leq 0 \end{cases}$$

↳ has analytic solutions for  
 $n=0, 1, 5$

$$\textcircled{4} \quad r=0, \psi=\psi_0 \Rightarrow s=0, \psi=1$$

$$\Rightarrow \frac{d\Psi}{dr}|_{r=0} = 0 \text{ (no grav. force)} \Rightarrow \frac{d\Psi}{ds}|_{s=0} = 0$$

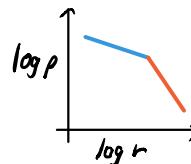
↳ need  $n > 1/2$  to avoid poles of  $\Gamma(n-1/2)$  in  $C_n$

$$\textcircled{5} \quad \text{for } n=5, \text{ this is solved by the Plummer potential} \quad \log \psi \uparrow$$

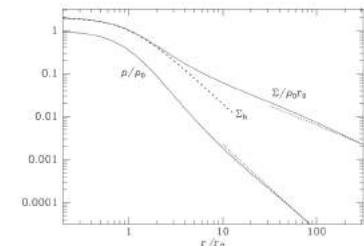
$$\psi = (1 + \frac{1}{3}s^2)^{-1/2}$$

$$\textcircled{6} \quad \text{The Plummer potential results in } \rho = C_5 \Psi^5 = \frac{C_5 \Psi_0}{(1 + \frac{1}{3}s^2)^{5/2}}$$

- Density in the Plummer potential extends to infinity, but the mass is finite.
    - ↳ good model for globular clusters and dwarf spheroidal galaxies
    - ↳ not good for elliptical galaxies; drops off too fast.
  - To account for dark matter, we may consider two-power law density models, where
- $$\rho(r) = \frac{\rho_0}{(r/a)^\alpha (1+r/a)^{\beta-\alpha}}, \text{ e.g. } \alpha=1, \beta=4$$



- We can instead solve Poisson's eq with a B.C.  $\nabla \Phi \rightarrow \text{const}$  as  $r \rightarrow 0$  (and  $\frac{\partial \Phi}{\partial r} = 0$ ) to avoid a singularity
  - ↳ this must be solved numerically.
  - ↳ for large  $r$ ,  $\rho \propto r^{-2}$  so the mass still diverges (as does  $V_{\text{esc}}$ )



### Isothermal sphere

- We can model a galaxy as an isothermal sphere, i.e. the velocity dispersion  $\sigma^2(r)$  is constant.
  - We use a Maxwellian distribution function
- const  $f(E) = \frac{\rho_i}{(2\pi\sigma^2)^{3/2}} \exp\left(\frac{\nabla\Phi(r) - \frac{1}{2}v^2}{\sigma^2}\right)$
- ↳  $\rho(r) = \int_0^\infty f(v) \cdot 4\pi v^2 dv = \rho_i \exp(\Phi/\sigma^2)$
- ↳ sub into Poisson  $\frac{1}{r^2} \frac{d}{dr}(r^2 \frac{d}{dr} \ln \rho) = -\frac{4\pi G}{\sigma^2} \rho$  using  $\Phi(r)$
- ↳ one solution is the singular isothermal sphere  $\rho(r) = \frac{\sigma^2}{2\pi G r^2}$
- Singular because  $\rho \rightarrow \infty$  as  $r \rightarrow 0$ . Also has infinite mass as  $r \rightarrow \infty$ , which is clearly unrealistic.
  - Corresponds to a surface density  $\Sigma(r) = \frac{\sigma^2}{2\pi r}$  and a potential  $\Phi(r) = 2\sigma^2 \ln r + C$

# Star Clusters

- Globular clusters are near-spherical groups of stars as old as their galaxy.
- There are  $10^2 - 10^3$  globular clusters in a galaxy

## King Models

- The isothermal sphere is a reasonable model at small radii but overestimates density at large radii: weakly bound stars tend to escape.
- We can truncate the Gaussian to give the King models:

$$f(E) = \begin{cases} p_i (2\pi\sigma^2)^{-3/2} (e^{\frac{E}{\sigma^2}} - 1), & E > 0 \\ 0, & E \leq 0 \end{cases}$$

- Density and potential found with the usual procedure:

$$\rho(\Psi) = \int_0^{\sqrt{2\Psi}} f(E) \cdot 4\pi r^2 dr \rightarrow \frac{d}{dr}(r^2 \frac{d\Psi}{dr}) = -4\pi G r^2 \rho(\Psi)$$

↳ solve numerically. 2 free params:  $\sigma^2$ ,  $\Psi(r=0)$

↳ as  $r \uparrow$  from 0,  $\Psi(r) \downarrow$  because  $\frac{d^2\Psi}{dr^2} < 0$

↳ as  $\Psi \rightarrow 0$ , the range  $[0, \sqrt{2\Psi}]$  shrinks so  $\rho \rightarrow 0$  at the tidal radius  $r_t$

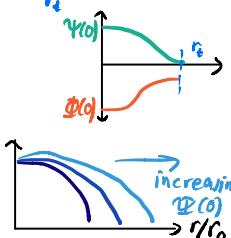
- There is finite mass within the tidal radius so  $\Phi(r_t) = -\frac{GM(r_t)}{r_t}$

$$\Rightarrow \Phi(0) = \Phi(r_t) - \Psi(0) \Rightarrow \Psi = -\Phi + \text{const}$$

↳ results in family of models parameterised by  $\Psi(0)/\sigma^2$

↳ alternatively can use the concentration:

$$c = \log_{10}\left(\frac{r_t}{r_0}\right), \quad r_0 = \sqrt{\frac{9\sigma^2}{4\pi G\rho}}$$



## Anisotropic velocity distributions

- Thus far we have used energy as an integral of motion.
- To describe systems with anisotropic velocity distributions, we must use angular momentum  $L^2 = r^2(V_\theta^2 + V_r^2) = r^2V_\perp^2$ :
- ↳ distribution function  $f \equiv f(E, L^2)$ ,  $V_\theta^2 = V_\phi^2 \neq V_r^2$
- ↳ we can modify isothermal models using  $E := E - \frac{L^2}{2r_a^2}$ , where  $r_a$  is some scale radius.

$$\begin{aligned} \langle V_\theta^2 \rangle = \langle V_\phi^2 \rangle &= \frac{\iiint_{-\infty}^{\infty} V_\theta^2 f(E, L^2) dV_r dV_\theta dV_\phi}{\iiint_{-\infty}^{\infty} f(E, L^2) dV_r dV_\theta dV_\phi} \\ \Rightarrow \frac{\langle V_\theta^2 \rangle}{\langle V_r^2 \rangle} &= \frac{1}{1+r^2/r_a^2} \end{aligned}$$

↳ this model is isotropic at small radii, but anisotropic for  $r \gg r_a$

- King models can be generalised to give Michie models:

$$f_m(E, L) = \begin{cases} p_i (2\pi\sigma^2)^{-3/2} \exp\left(-\frac{L^2}{2r_a^2\sigma^2}\right) [e^{\frac{E}{\sigma^2}} - 1], & E > 0 \\ 0, & E \leq 0 \end{cases}$$

↳ transition from isotropy  $\rightarrow$  anisotropy at  $r \approx r_a$

↳ real clusters show similar behaviour due to collisional effects.

## Cluster evolution

- Modelling collisional effects in clusters requires computational methods.
- The Fokker-Planck equation relaxes the CBE to account for changes in phase space density due to interactions:

$$\frac{df}{dt} = 0 \rightarrow \frac{df}{dt} = \Gamma(f), \quad \text{where } \Gamma(f) \text{ is the probability of scattering in phase space.} \quad \leftarrow \text{fast, but hard to find } \Gamma(f)$$

Alternatively, we can directly simulate  $N$ -body systems:

- ↳ can include all kinds of phenomena, e.g. stellar evolution, binaries etc
- ↳ problem is computational complexity:  $O(N^2)$  to calculate forces in each timestep.
- ↳ make progress with fast computers (GPUs) and numerical approxes.
- For open clusters and the cores of globular clusters, the relaxation time  $\ll$  age, so we must consider stellar encounters.

### Effects of stellar encounters

1. Relaxation: increase in entropy by energy transfer

- ↳ transfer from 'hot'  $\rightarrow$  'cold', where 'hot' means high vel. dispersion
- ↳ core loses energy to halo, so it must contract. By the virial thm,  $M\langle v^2 \rangle \approx \frac{GM}{R^2}$  so  $R \downarrow \Rightarrow \langle v^2 \rangle \uparrow$
- ↳ core gets 'hotter' as it loses energy  $\Rightarrow$  negative heat capacity
- ↳ no equilibrium; core continues to get hotter/denser.

2. Stellar escape: cluster evaporation because finite  $V_{esc}$

$$\begin{aligned} \hookrightarrow V_{esc}^2(r) &= -2\Phi(r) \\ \Rightarrow \langle V_{esc}^2 \rangle &= \frac{1}{m} \int \rho(r) V_{esc}^2(r) d^3r = -\frac{2}{m} \int \rho(r) \Phi(r) d^3r \\ &= -\frac{4\pi r^2}{m} \text{ where } \underline{L} \text{ is the self-energy} \quad \text{energy to assemble masses } \rho(r) \end{aligned}$$

$$\hookrightarrow \text{by the virial thm, } -\underline{L} = 2T = M\langle v^2 \rangle \Rightarrow \boxed{\langle V_{esc}^2 \rangle = 4\langle v^2 \rangle}$$

$\hookrightarrow \epsilon$  is the fraction of particles with  $V_{rms} > V_{esc}$ ,  $\sim 10^{-2}$  for  $M=8$ .

$\hookrightarrow$  evaporation removes  $\sim \epsilon N$  stars on timescale  $t_{relax}$

$$\frac{dN}{dt} \approx -\frac{\epsilon N}{t_{relax}} = -\frac{N}{t_{evap}} \Rightarrow t_{evap} = \epsilon^{-1} t_{relax} \sim 10^2 t_{relax}$$

### 3. Core collapse

- ↳ escaping stars 'just' escape, so cluster evolves at constant energy
- ↳  $E = -\frac{GM^2}{R} \Rightarrow R \propto M^2 \Rightarrow \rho \propto \frac{M}{R^3} \propto M^{-5}$ . Hence as mass is lost,  $R \rightarrow 0$  and  $\rho \rightarrow \infty$
- ↳ because of the negative heat capacity of the core, there is a runaway gravitational catastrophe (core collapse)
- ↳ in reality, as  $\rho \uparrow$ , binaries form  $\rightarrow$  heat source:  $K_1 + K_2 + K_3 = K_b + E_b + K_3'$ ,  $E_b < 0 \Rightarrow K_b + K_3' > K_1 + K_2 + K_3$

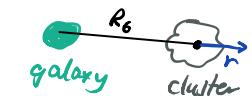
4. Mass segregation: stars have different masses and segregate

- ↳ stars will have same avg  $kE$  so  $\langle v^2 \rangle \propto m^{-1}$
- ↳ heavier stars sink to centre; lighter stars  $\rightarrow$  halo.

5. Tidal stripping: cluster stars captured by the galaxy

↳ the tidal force is

$$F_t = \frac{GM_c}{R_0^3} - \frac{GM_c}{(R_0+r)^2} \approx \frac{2GM_c}{R_0^3} r$$



↳ at the tidal radius,  $F_t$  is balanced by attraction to the cluster:  $r_t = \left(\frac{M_c}{2M_0}\right)^{1/3} R_0$

### 6. Binary encounters

↳ for soft (wide) binaries, star #3 is likely travelling faster so transfers energy to the binary: soft binaries get softer, dissolving when  $E_b \geq 0$

↳ hard binaries cause strong focussing of #3. An unstable triple forms, eventually ejecting a star.  $E_b \downarrow$ , so hard binaries get harder.

↳ Heggie's law: soft  $\rightarrow$  softer, hard  $\rightarrow$  harder.

↳ can extract up to  $\frac{GM^2}{2R}$  from a binary: only  $\sim 100$  needed to disrupt cluster.

## 7. Binary formation : inelastic collisions

- ↳ dynamical capture results from the interaction of 3 stars in a region  $\sim \frac{GM}{2V^2} \sim 10\text{au}$  (rare)
- ↳ tidal capture is when two passing stars creates tides in others' envelopes, dissipating energy. This may result in  $E < 0 \Rightarrow$  capture

## 8. Other processes, e.g stellar evolution $\Rightarrow$ mass loss due to stellar winds