

Four-Component Relativistic Calculations in Solution with the Polarizable Continuum Model of Solvation: Theory, Implementation, and Application to the Group 16 Dihydrides H_2X ($X = O, S, Se, Te, Po$)

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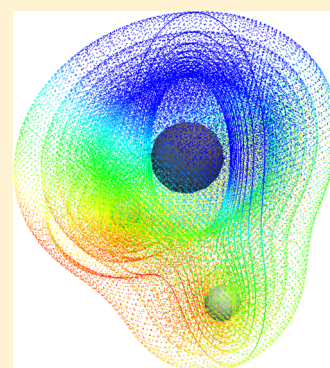
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ABSTRACT: We present a formulation of four-component relativistic self-consistent field (SCF) theory for a molecular solute described within the framework of the polarizable continuum model (PCM) for solvation. The linear response function for a four-component PCM-SCF state is also derived, as well as the explicit form of the additional contributions to the first-order response equations. The implementation of such a four-component PCM-SCF model, as carried out in a development version of the DIRAC program package, is documented. In particular, we present the newly developed application programming interface PCMSolver used in the actual implementation with DIRAC. To demonstrate the applicability of the approach, we present and analyze calculations of solvation effects on the geometries, electric dipole moments, and static electric dipole polarizabilities for the group 16 dihydrides H_2X ($X = O, S, Se, Te, Po$).



1. INTRODUCTION

Paul Adrien Maurice Dirac, who developed the relativistic wave equation for the electron, stated that relativistic effects would be of “no importance in the consideration of atomic and molecular structure and ordinary chemical reactions”.¹ Trusting Dirac’s words, most of the successful development in quantum chemistry has been based on nonrelativistic quantum mechanics, but Dirac’s statement has been proven incorrect.² From a chemist’s point of view, this is not obvious. Relativistic corrections depend on the ratio between particle speed and the speed of light.³ Since valence electrons have small kinetic energies, one would think, as did Dirac, that chemical bonding and structure would be unaffected. After all, most chemical phenomena take place at energies well below the relativistic regime: the rest energy of an electron ($m_e c^2$) is half a mega-electronvolt, while most chemical processes occur on an energy scale of few electronvolts.

This is not entirely true: it soon became clear in the history of theoretical chemistry that a nonrelativistic theory *could not* explain certain trends in observed properties. As recently reviewed by Pyykkö, relativistic effects play a prominent role, especially in inorganic chemistry.⁴ Periodic trends, such as the increase in atomic dimensions and ionization potentials, may be broken for the heaviest elements of the periodic table. Many examples are known; the most well-known may be the relativistic origin of the color of gold.⁵

In a less-known part of his famous quote,¹ Dirac advocates the development of numerical methods to solve the Schrödinger equation. Although this effort has largely been undertaken for isolated molecules, the presence of solvent still poses a formidable challenge. On the other hand, the ability to account, at least qualitatively, for environment effects is fundamental in all experimental branches of chemistry: structures, energies, and reaction barriers, as well as spectroscopic observables, are greatly influenced by environment effects. Despite the large number of reactions known to happen in the solid state and in gas phase, we can safely state that most chemistry happens in solution.⁶ The theoretical treatment of environment effects suffers from a *dimensionality* problem: even the most simplified picture of the system under consideration would require taking into account 500–1000 atoms, at least. Direct application of quantum chemistry methods is therefore impossible and not even desirable for such systems. In problems with a high dimensionality, the microscopic detail in the physical description cannot account for the macroscopic behavior of the system.^{7–9} Models must be devised to overcome the dimensionality “disease”.

Special Issue: Jacopo Tomasi Festschrift

Received: July 21, 2014

Revised: November 17, 2014

Published: November 20, 2014

It is customary to divide the models proposed into two broad classes, according to the microscopic description of the solvent these models give. *Continuum* (or *implicit*) models deal explicitly only with the degrees of freedom associated with the solute, while replacing the solvent with a structureless continuum characterized by its bulk properties.^{10,11} *Discrete* (or *explicit*) models treat degrees of freedom associated with the solvent molecules and the solute explicitly. The two sets of coordinates can be treated at different levels of theory. The quantum mechanics/molecular mechanics (QM/MM) family of methods is a notable example.^{12–14} QM/MM and continuum methods can also be combined^{15–17} to achieve a faithful, yet cost-effective strategy to reproduce solvent effects.

It is very well-recognized that both solvent and relativistic effects can play an important role in the chemistry of heavy element-containing species. The field of actinide chemistry is in this respect a notable example.¹⁸ Computational actinide chemistry is a very active field,^{19,20} and proper treatment of relativistic and solvent effects is mandatory: gaining insight about actinide species from experiment can be rather difficult or not practicable due to the safety and security hazards their radioactivity poses.

Relativistic and solvent effects also play an important role in the accurate prediction of NMR parameters of heavy-element containing species. Chemical shifts and indirect spin–spin coupling constants show great sensitivity to the chemical environment of the probe nucleus.²¹ Such observables are excellent benchmarks for assessing the accuracy of computational models for the inclusion of relativistic and solvent effects.

Despite numerous studies in the literature,^{22–24} a black-box strategy for the inclusion of both relativistic and solvent effects in a manner that is both efficient and accurate is not yet available. Many applications in the calculation of structures and energetics of heavy element-containing species make use of effective core potentials (ECPs) in a density functional theory (DFT) or wave function theory framework.^{25–32} In other studies, the more refined zeroth-order regular approximation (ZORA) Hamiltonian³³ has been used, either in the scalar, spin-free form or in a two-component framework, including spin–orbit effects.^{34–39} The second-order Douglas–Kroll–Hess (DKH2) in spin-free form has also been applied.⁴⁰ In the above cited studies continuum approaches, notably the conductor-like screening model (COSMO),^{41–43} have been adopted for the inclusion of solvent effects, in many cases complementing a cluster approach, treating the first solvation shell explicitly at the same level of theory as the solute. QM/MM studies on uranium complexes in water solution^{44,45} and copper in plastocyanins⁴⁶ have also appeared. Chaumont and Wipff⁴⁷ presented a study of uranyl and europium solvation in room-temperature ionic liquids based on MD simulations combined with QM calculations on selected snapshots.

The calculation of NMR parameters for heavy element-containing compounds covers a large part of the existing literature. Autschbach and co-workers have presented extensive studies of shieldings and couplings for Pt–Tl-, Pt-, and Hg-containing species, alternatively using the cluster^{48,49} and cluster/continuum^{50–54} approaches. In other studies of indirect spin–spin coupling constants by the same group,^{55,56} Born–Oppenheimer molecular dynamics (BOMD) simulations were used to account for specific solvent effects.

In all aforementioned studies, bulk solvent effects, described by use of a continuum model such as COSMO, were found to be extremely important to achieve a qualitatively accurate

description of the phenomena of interest. Remarkably, such a conclusion was reached for aprotic²³ and protic^{50,54} solvents alike. However, a purely continuum description might in some cases not be adequate, to capture all relevant solute–solvent effects requiring a cluster/continuum,^{26,35,50,52} QM/MM,^{44–46} or ab initio molecular dynamics (AIMD) approach.^{54–58}

All the studies currently available in the literature emphasize the importance of both relativistic and solvent effects. However, with the exception of a recent frozen density embedding subsystem approach,^{59,60} a scheme whereby the four-component Dirac–Coulomb Hamiltonian is coupled to an environment is not yet available. In the present contribution we report the interfacing of the polarizable continuum model (PCM),⁶¹ a continuum solvation model, with a four-component relativistic description of the solute. The equations derived are based on the self-consistent field (SCF) approximation for the wave function, either Hartree–Fock (HF) or Kohn–Sham (KS) DFT. The linear response (LR) function for the calculation of static second-order properties is also derived. We furthermore present a working implementation, making use of a modular programming paradigm (vide infra).

Because of the theoretical similarity between the PCM, more refined polarizable molecular mechanics,^{13,14} and even three-layer methodologies,^{15–17} this work constitutes a starting point for more accurate models for the inclusion of environment effects in computational procedures based on relativistic Hamiltonians.

The remainder of the paper is organized as follows. In Section 2, a detailed presentation of the theory underlying the four-component implementation of the PCM-SCF and LR-PCM-SCF algorithms is presented. In Section 3, our modular approach to the implementation in the DIRAC program⁶² is discussed, with particular emphasis on the recently developed PCMSolver application programming interface (API).⁶³ As a first application, we present in Section 4 geometries and electric properties of the group 16 dihydrides, with and without solvent effects included. Conclusions and perspectives for this work are presented in Section 5. SI-based atomic units⁶⁴ will be employed throughout the paper ($\hbar = m_e = e = (1/4\pi\epsilon_0) = 1$), electron mass and charge will, however, be always specified explicitly in the equations. We will denote the identity matrix in an N -dimensional space by I_N .

2. THEORY

Sections 2.1 and 2.2 briefly summarize four-component Hamiltonians and the IEF-PCM formalism, respectively. These are our points of departure for the formulation of the coupling of a four-component description of the solute with a continuum solvation model, presented in Sections 2.3 and 2.4.

2.1. Four-Component Hamiltonians. As recently emphasized by Saue,⁶⁵ the molecular electronic Hamiltonian may be written in the general form

$$H_0 = \sum_i^N h(\mathbf{r}_i) + \frac{1}{2} \sum_{i,j=1}^N g(\mathbf{r}_i, \mathbf{r}_j) + V_{\text{NN}} \quad (1)$$

that is, as the sum of one- and two-electron parts together with a scalar shift V_{NN} due to the classical repulsion of clamped nuclei in the Born–Oppenheimer approximation. This general form remains valid whether relativity is being considered or not. Much like the concept of nonrelativistic model chemistries, one can consider relativity as the third dimension of quantum

chemistry. The actual Hamiltonian model and the corresponding “rung” in the hierarchy of relativistic model chemistries is completely specified once the one- and two-electron operators have been fixed.

In four-component relativistic quantum chemistry, the one-electron Hamiltonian is taken to be the Dirac one-electron Hamiltonian.^{3,66} In the field of the clamped nuclei and in the absence of any other external field, we have

$$h_D = \begin{pmatrix} V_{Ne} I_2 & c(\boldsymbol{\sigma} \cdot \mathbf{p}) \\ c(\boldsymbol{\sigma} \cdot \mathbf{p}) & (V_{Ne} - 2m_e c^2) I_2 \end{pmatrix} \quad (2a)$$

$$V_{Ne} = -e \sum_A \int d\mathbf{r}' \frac{\rho_A(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \quad (2b)$$

where c is the speed of light. The nuclear charge distributions may be chosen to have a finite spatial extent, as apparent from the above definition of the clamped-nuclei attractive potential. Furthermore, notice that the form of V_{Ne} is the same as in nonrelativistic theory, but its physical content in the relativistic context is markedly different, in that it also contains the spin–orbit interaction.⁶⁵ Finally, $\boldsymbol{\sigma}$ is a vector containing the Pauli spin matrices.³

In the relativistic context, the formulation of the two-electron interaction requires careful consideration. The full history of the interacting particles is required for a complete description of the two-electron interaction, and no closed expression is available for use in the electronic Hamiltonian. Rather, a perturbation expansion of the full two-electron interaction in orders of c^{-2} can be used:³

$$g(\mathbf{r}_1, \mathbf{r}_2) \simeq g_C(\mathbf{r}_1, \mathbf{r}_2) + g_G(\mathbf{r}_1, \mathbf{r}_2) + g_{\text{gauge}}(\mathbf{r}_1, \mathbf{r}_2) \\ = \frac{I_4 \cdot I_4}{r_{12}} - \frac{c\boldsymbol{\alpha}_1 \cdot c\boldsymbol{\alpha}_2}{c^2 r_{12}} - \frac{(c\boldsymbol{\alpha}_1 \cdot \mathbf{r}_{12})(c\boldsymbol{\alpha}_2 \cdot \mathbf{r}_{12})}{c^2 r_{12}^3} \quad (3)$$

While the Coulomb term g_C represents the well-known charge–charge interaction, the Gaunt term g_G introduces a current–current interaction. A Foldy–Wouthuysen transformation⁶⁷ achieves reduction to two-component form unveiling the physical content of these terms.^{68,69} The Coulomb and Gaunt terms give rise to spin–orbit interaction of *spin-same-orbit* and *spin-other-orbit* type, respectively. The Gaunt term also carries the full *spin–spin* interaction, whereas the gauge-dependent term g_{gauge} of eq 3 must be included for the full *orbit–orbit* interaction.

Different Hamiltonians to be used in relativistic molecular electronic-structure theory are built from the one-electron part in eq 2 and the two-electron interaction in eq 3, truncated at a suitable order in c^{-2} . Keeping only the Coulomb term gives rise to the Dirac–Coulomb (DC) Hamiltonian for an N -electron system:

$$H_{DC} = \sum_{i=1}^N h_D(\mathbf{r}_i) + \frac{1}{2} \sum_{i,j=1}^N g_C(\mathbf{r}_i, \mathbf{r}_j) \quad (4)$$

It must be pointed out that the one-electron Hamiltonian in eq 2a is unbounded from below, so that one might expect that building a variational theory on it would not be possible.^{70,71} The spectrum of the free-particle Dirac Hamiltonian^{3,66} features two branches, one above mc^2 and one below $-mc^2$, and this is a consequence of the fact the Dirac equation describes *both* electrons and positrons, as might be seen by a

charge-conjugation transformation. In quantum electrodynamics (QED), the negative branch of the spectrum is reinterpreted so as to describe positrons with positive energy and charge opposite to that of the electrons. In relativistic quantum chemistry such a reinterpretation is not made; rather, the orbitals of negative energy are treated as an orthogonal complement to the observable positive-energy solutions. A more detailed discussion of this point is postponed until the derivation of the four-component PCM-SCF equations in Section 2.3.

Dyall showed that it is possible to reformulate the Dirac one-electron Hamiltonian in the molecular field⁷² as a sum of spin-dependent and spin-independent terms. Exploiting Dirac’s identity and the coupling between large and small components of a four-component spinor one can write

$$(\boldsymbol{\sigma} \cdot \mathbf{p}) V_{Ne} (\boldsymbol{\sigma} \cdot \mathbf{p}) = \mathbf{p} V_{Ne} \cdot \mathbf{p} + i\boldsymbol{\sigma} \cdot (\mathbf{p} V_{Ne} \times \mathbf{p}) \quad (5)$$

By dropping the second, spin-dependent, term one obtains the spin-free form of the Dirac equation. Finally, it is possible, with analogous manipulations, to obtain a four-component non-relativistic wave equation: the Lévy-Leblond equation.⁷³ Using Dirac’s relation $(\boldsymbol{\sigma} \cdot \mathbf{p})^2 = p^2$, the Lévy-Leblond equation is found to be equivalent to the Schrödinger equation.

In the rest of the paper, whenever referring to a Hamiltonian H_0 we might refer either to the Dirac–Coulomb, the Spinfree, or the Lévy-Leblond Hamiltonian.

2.2. IEF-PCM. The polarizable continuum model is one of the most general continuum models available nowadays. In its Integral Equation Formulation (IEF-PCM)⁷⁴ it can be used to model environments of different nature and complexity, such as isotropic solutions, liquid crystals, and ionic liquids. In the case of isotropic solutions, the solvent is represented by a homogeneous, dielectric medium with relative permittivity ϵ_r , that is polarized by the molecular solute placed in a cavity C , with boundary ∂C , built in the bulk of the dielectric and modeled on the solute’s geometry. The Poisson equation, with suitable boundary conditions, relates the electrostatic potential ψ in the whole space to the solute’s charge density ρ , supposed to be contained entirely inside the cavity:

$$-\nabla^2 \psi(\mathbf{r}) = 4\pi \rho(\mathbf{r}) \quad \forall \mathbf{r} \in C \quad (6a)$$

$$-\epsilon_r \nabla^2 \psi(\mathbf{r}) = 0 \quad \forall \mathbf{r} \notin C \quad (6b)$$

$$\psi_i(\mathbf{s}) - \psi_e(\mathbf{s}) = 0 \quad \forall \mathbf{s} \in \partial C \quad (6c)$$

$$\left. \frac{\partial \psi}{\partial \mathbf{n}} \right|_i - \epsilon_r \left. \frac{\partial \psi}{\partial \mathbf{n}} \right|_e = 0 \quad \forall \mathbf{s} \in \partial C \quad (6d)$$

where the subscripts i and e denote regions inside and outside the cavity, respectively, and \mathbf{n} is the outward pointing normal vector.

To solve Poisson’s problem, we rewrite the electrostatic potential as the sum of the molecular electrostatic potential and a reaction potential:

$$\psi = \varphi + \xi = \int_C d\mathbf{r}' \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + \int_{\partial C} d\mathbf{s} \frac{\sigma(\mathbf{s})}{|\mathbf{r} - \mathbf{s}|} \quad (7)$$

where the charge density ρ is the sum of nuclear and electronic contributions

$$\rho(\mathbf{r}) = \rho_N(\mathbf{r}) + \rho_e(\mathbf{r}) \quad (8)$$

The reaction potential is expressed in terms of an apparent surface charge (ASC) distribution σ over the cavity boundary. To find the ASC we exploit the formalism of integral equations^{74,75} which allows us to recast a problem in the whole Euclidean space \mathbb{R}^3 to a problem on a closed subset of \mathbb{R}^2 , namely:

$$\sigma(\mathbf{s}) = \int_{\partial C} d\mathbf{s}' \kappa(\mathbf{s}, \mathbf{s}') \phi(\mathbf{s}') = -\mathcal{T}^{-1}(\epsilon_r) \mathcal{R} \phi(\mathbf{s}) \quad (9)$$

where the integral operators are defined in terms of the components of the Calderón projector (see refs 75 and 76 for details):

$$\mathcal{T} = \left[2\pi \left(\frac{\epsilon_r + 1}{\epsilon_r - 1} \right) - \mathcal{D} \right] S \quad (10)$$

$$\mathcal{R} = 2\pi - \mathcal{D} \quad (11)$$

$$Sf(\mathbf{s}) = \int_{\partial C} f(\mathbf{s}') \frac{1}{|\mathbf{s} - \mathbf{s}'|} d\mathbf{s}' \quad (12)$$

$$\mathcal{D}f(\mathbf{s}) = \int_{\partial C} f(\mathbf{s}') \frac{(\mathbf{s} - \mathbf{s}') \cdot \mathbf{n}(\mathbf{s}')}{|\mathbf{s} - \mathbf{s}'|^3} d\mathbf{s}' \quad (13)$$

It can be proven that the $-\mathcal{T}^{-1}(\epsilon_r) \mathcal{R}$ integral operator is self-adjoint due to the properties of the Calderón's projector components involved in its definition.^{74,75}

The polarization energy, that is, the energy contribution due to the interaction of the molecular electrostatic potential and the induced ASC, is given as

$$\begin{aligned} U_{\text{pol}}[\rho] &= \int_{\mathbb{R}^3} d\mathbf{r} \int_{\partial C} d\mathbf{s} \frac{\rho(\mathbf{r}) \sigma(\mathbf{s})}{|\mathbf{r} - \mathbf{s}|} \\ &= \int_{\mathbb{R}^3} d\mathbf{r} \int_{\mathbb{R}^3} d\mathbf{r}' \rho(\mathbf{r}) M(\mathbf{r}, \mathbf{r}') \rho(\mathbf{r}') \end{aligned} \quad (14)$$

where we have

$$M(\mathbf{r}, \mathbf{r}') = \int_{\partial C} d\mathbf{s} \int_{\partial C} d\mathbf{s}' \frac{\kappa(\mathbf{s}, \mathbf{s}')}{|\mathbf{r} - \mathbf{s}| |\mathbf{r}' - \mathbf{s}'|} \quad (15)$$

The actual solution of eq 9 is achieved by means of a boundary element method (BEM), by discretization of the cavity boundary with finite elements.⁷⁷ The surface is partitioned into N_{ts} curvilinear triangles, called tesserae, with area a_i and representative point \mathbf{s}_i . We assume that both the potential and ASC are constant on each tessera, so that their discrete representations are vectors of dimension N_{ts} with elements:

$$v_i = \phi(\mathbf{s}_i) a_i; \quad q_i = \sigma(\mathbf{s}_i) a_i \quad (16)$$

The \mathbf{q} and \mathbf{v} vectors are now related by a matrix equation:

$$\mathbf{q} = \mathbf{K} \mathbf{v} \quad (17)$$

where the response matrix \mathbf{K} is the representation in the chosen discrete basis of the operator $-\mathcal{T}^{-1}(\epsilon_r) \mathcal{R}$. The polarization energy is accordingly expressed as

$$U_{\text{pol}} = \mathbf{v} \cdot \mathbf{q} = \mathbf{v}^\dagger \mathbf{K} \mathbf{v} \quad (18)$$

It is sometimes useful to partition the molecular solute electrostatic potential into a nuclear and an electronic component:

$$\mathbf{v} = \mathbf{v}^{\text{N}} + \mathbf{v}^{\text{e}} \quad (19)$$

so that a similar partition applies for the ASC:

$$\mathbf{q} = \mathbf{q}^{\text{N}} + \mathbf{q}^{\text{e}} \quad (20)$$

The polarization energy can then be expanded as

$$U_{\text{pol}} = U_{\text{NN}} + U_{\text{Ne}} + U_{\text{eN}} + U_{\text{ee}} = U_{\text{NN}} + 2U_{\text{eN}} + U_{\text{ee}} \quad (21)$$

where U_{xy} ($x, y = \text{e}, \text{N}$) is the interaction between the x charge distribution and the y -induced apparent surface charge, and we have used the fact that $U_{\text{Ne}} = U_{\text{eN}}$, since $\mathcal{T}^{-1} \mathcal{R}$ is self-adjoint.

2.3. Four-Component PCM-SCF Theory. In the presence of a solvent modeled as a classical continuum the Hamiltonian given in eq 1 must be corrected by a suitable PCM operator

$$H_{\text{eff}} = H_0 + V_{\sigma\rho}[\rho] \quad (22)$$

in which the PCM operator $V_{\sigma\rho}$ (vide infra) depends, linearly, on the solute density. The perturbation is thus *state-specific* and introduces a nonlinearity into the quantum mechanical problem. The functional to be minimized is accordingly:⁷⁸

$$G[\Psi] = \frac{\langle \Psi | H_0 + \frac{1}{2} V_{\sigma\rho}[\rho] | \Psi \rangle}{\langle \Psi | \Psi \rangle} \quad (23)$$

and corresponds to a free energy, as it takes into account the irreversible work spent to polarize the solvent.

To give a unified theoretical treatment of Hartree–Fock (HF) and Kohn–Sham density-functional theory (KS-DFT) we adopt the approach of Saue et al.⁷⁹ Because of the existence of a variational principle for the nonlinear Hamiltonian H_{eff} , the Hohenberg–Kohn theorems of nonrelativistic DFT⁸⁰ are still valid in the actual theoretical setting. Regarding the extension of DFT to a relativistic framework, we rely upon the work of Rajagopal et al.⁸¹ Throughout the derivations, we will only concentrate on the additional terms appearing due to the coupling with the polarizable continuum. Analysis of the conventional terms can be found elsewhere.⁷⁹

We recall that in KS-DFT the density of the system of interest is defined to be equal to that of a noninteracting system. To this end we write the free energy as a functional of the density ρ :

$$\begin{aligned} G[\rho] &= T_s[\rho_c] + V_{\text{ext}}[\rho] + J[\rho_c] + E_{\text{xc}}[\rho_c] + V_{\text{NN}} + \frac{1}{2} U_{\text{pol}}[\rho] \\ &= E[\rho] + \frac{1}{2} U_{\text{pol}}[\rho] \end{aligned} \quad (24)$$

where the final term is the polarization energy expressed as the expectation value of the $V_{\sigma\rho}[\rho]$ operator:

$$U_{\text{pol}}[\rho] = \langle \tilde{0} | V_{\sigma\rho}[\rho] | \tilde{0} \rangle = U_{\text{Ne}}[\rho] + U_{\text{eN}}[\rho] + U_{\text{ee}}[\rho] + U_{\text{NN}} \quad (25)$$

In both HF and KS-DFT methods, the electron density and other quantities are obtained from a single Slater determinant built in the one-electron basis of the molecular orbitals (MOs) $\{\phi_r\}_{r=1, M}$. The usual notation for orbital indices will be here adopted: i, j, k, \dots for occupied MOs, a, b, c, \dots for virtual MOs and p, q, r, \dots for general MOs. In Second Quantization a Slater determinant is represented by an Occupation Number Vector (ONV),⁸² and we will write this vector as $|0\rangle$. We choose a unitary, exponential parametrization for $|0\rangle$:

$$|\tilde{0}\rangle = \exp(-\hat{\kappa}) |0\rangle \quad (26)$$

where $\hat{\kappa}$ is an anti-Hermitian operator:

$$\hat{\kappa} = \sum_{pq} \kappa_{pq} p^\dagger q; \quad \hat{\kappa}^\dagger = -\hat{\kappa} \quad (27)$$

the orbital rotation coefficients thus constitute an anti-Hermitian matrix, $\kappa^\dagger = -\kappa$. The electron density can now be written as

$$\begin{aligned} \rho_e(\mathbf{r}, \kappa) &= \sum_{pq} \tilde{D}_{pq}(\kappa) \Omega_{pq}(\mathbf{r}) \\ &= \sum_{pq} \langle 0 | \exp(\hat{\kappa}) p^\dagger q \exp(-\hat{\kappa}) | 0 \rangle (\phi_p^\dagger(\mathbf{r}) \phi_q(\mathbf{r})) \end{aligned} \quad (28)$$

The advantage of this parametrization is that the orbital rotation operator $\exp(-\hat{\kappa})$ ensures orthonormality of the one-particle orbitals without the need to introduce Lagrange multipliers: unconstrained optimization approaches can be used, and redundancies are easily identified. For closed-shell systems the orbital rotation operator may accordingly be restricted to

$$\hat{\kappa} = \sum_{ai} (\kappa_{ai} a^\dagger i - \kappa_{ai}^* i^\dagger a) \quad (29)$$

The gradient of the free energy with respect to the variational parameters is

$$G_{ai}^{[1]} = \left. \frac{\partial G}{\partial \kappa_{ai}^*} \right|_{\kappa=0} = -f_{ai} \quad (30)$$

where we have introduced the Fock (Kohn–Sham) matrix:

$$f_{pq} = f_{pq}^{\text{vac}} + j_{pq} + x_{pq}(0); \quad f_{pq}^{\text{vac}} = h_{pq} + \sum_j (g_{pqjj} - \gamma g_{jjpq}) + v_{xc;pq} \quad (31)$$

The xc potential $v_{xc;pq}$ depends on the actual form of the exchange-correlation functional selected (detailed expressions for LDA and GGA functionals may be found in ref 79), and γ specifies the portion of orbital exchange to be included.

Taking a variation of the form $\rho = \rho_e + \rho_N + \delta\rho_e = \tilde{\rho}_e + \rho_N$, the polarization contributions to the Fock matrix can be derived as

$$\begin{aligned} \left. \frac{1}{2} \frac{\partial U_{\text{pol}}[\rho]}{\partial \kappa_{ai}^*} \right|_{\kappa=0} &= \frac{1}{2} \int d\mathbf{r} \frac{\delta U_{\text{pol}}}{\delta \rho_e(\mathbf{r})} \frac{\partial \tilde{\rho}_e(\mathbf{r})}{\partial \kappa_{ai}^*} \bigg|_{\kappa=0} \\ &= - \int_{\mathbb{R}^3} d\mathbf{r} \int_{\mathbb{R}^3} d\mathbf{r}' \Omega_{ai}(\mathbf{r}) M(\mathbf{r}, \mathbf{r}') \rho(\mathbf{r}') \\ &= - \int_{\partial C} d\mathbf{s} \int_{\partial C} d\mathbf{s}' v_{ai}^e(\mathbf{s}) \kappa(\mathbf{s}, \mathbf{s}') \varphi(\mathbf{s}') \\ &= - \int_{\partial C} d\mathbf{s} v_{ai}^e(\mathbf{s}) \sigma(\mathbf{s}) = -\mathbf{q} \cdot \mathbf{v}_{ai}^e \end{aligned} \quad (32)$$

where the discretization of the cavity surface was introduced in the last step. The $v_{pq,I}^e$ integrals appearing above are given as

$$v_{pq,I}^e = \int d\mathbf{r} \frac{-\Omega_{pq}(\mathbf{r})}{|\mathbf{r} - \mathbf{s}_I|} \quad (33)$$

Separation of the ASC into electronic and nuclear contributions leads to the matrix elements j_{pq} and $x_{pq}(0)$:

$$j_{pq} = q^N \cdot \mathbf{v}_{pq}^e = \mathbf{v}^{N\dagger} \mathbf{K} \mathbf{v}_{pq}^e \quad (34a)$$

$$x_{pq}(0) = \left(\sum_{tu} D_{tu} \mathbf{q}_{tu}^e \right) \cdot \mathbf{v}_{pq}^e = \left(\sum_{tu} D_{tu}^* \mathbf{v}_{tu}^{e*} \right) \mathbf{K} \mathbf{v}_{pq}^e \quad (34b)$$

The matrix elements $v_{pq,I}^e$ in eq 33 shall be called the *uncontracted* potentials, and these are three-index quantities. A boldface notation with two indices as in \mathbf{v}_{pq}^e must be interpreted as an array of dimension N_{ts} whose element I is the electrostatic potential integral evaluated at the I cavity point. A scalar product of the type $\mathbf{q}_{pq} \cdot \mathbf{v}_{tu}$ is to be interpreted, accordingly, as the contraction over the cavity index: $\sum_I^{N_{\text{ts}}} q_{pq,I} v_{tu,I}$. The stationarity condition for the electronic free energy is then simply

$$f_{ai} = 0; \quad \forall (ai) \quad (35)$$

meaning that the Fock matrix is block diagonal in the basis of the optimal solvated molecular four-spinors and is thus equivalent to the spectral problem:

$$F\varphi = \varphi\epsilon \quad (36)$$

The derivation above applies equally well to relativistic and nonrelativistic Hamiltonians.

To gain further insight into the additional PCM contribution to the Fock (KS) matrix we may expand it in a basis of two-spinors:

$$\varphi_r = \begin{pmatrix} \varphi_r^L \\ \varphi_r^S \end{pmatrix} = \sum_{\lambda=1}^{N_{\text{Large}}} \begin{pmatrix} \chi_\lambda^L \\ 0 \end{pmatrix} C_{\lambda r}^L + \sum_{\lambda=1}^{N_{\text{Small}}} \begin{pmatrix} 0 \\ \chi_\lambda^S \end{pmatrix} C_{\lambda r}^S \quad (37)$$

The form of the vacuum-like contribution to the Fock matrix is

$$\mathbf{F}^{\text{vac}} = \begin{pmatrix} \mathbf{F}^{\text{vac,LL}} & \mathbf{F}^{\text{vac,LS}} \\ \mathbf{F}^{\text{vac,SL}} & \mathbf{F}^{\text{vac,SS}} \end{pmatrix} \quad (38)$$

where the explicit expression of each term may be found elsewhere.⁶⁶

The electrostatic potential and the corresponding polarization charge at point I on the cavity is

$$v_I = v_I^N + v_I^e = \sum_{A=1}^{N_{\text{atoms}}} \frac{Z_A}{|\mathbf{R}_A - \mathbf{s}_I|} + \sum_{pq} D_{pq} v_{pq,I}^e \quad (39a)$$

$$q_I = q_I^N + q_I^e = \sum_{j=1}^{N_{\text{ts}}} K_{Ij} \left(\sum_{A=1}^{N_{\text{atoms}}} \frac{Z_A}{|\mathbf{R}_A - \mathbf{s}_j|} + \sum_{pq} D_{pq} v_{pq,j}^e \right) \quad (39b)$$

and we can expand v_I^e in our two-spinor basis as

$$v_I^e = \sum_{pq} D_{pq} v_{pq,I}^e = \sum_{pq} \sum_{XY} \sum_{\kappa\lambda} C_{kp}^{X*} D_{pq} C_{\lambda q}^Y v_{\kappa\lambda,I}^{e,XY} \quad (40)$$

we now define the density matrices in a two-spinor basis as

$$D_{\mu\nu}^{XY} = \sum_{pq} C_{\nu p}^{X*} D_{pq} C_{\mu q}^Y = \sum_i C_{\mu i}^Y C_{\nu i}^{X*} \quad (41)$$

the last equality being valid only for a closed-shell SCF wave function. We note that the $v_{\kappa\lambda,I}^{e,XY}$ are calculated over a purely multiplicative Coulomb interaction kernel that is an even operator and hence do not couple the large and small components of four-spinors:

$$v_{\kappa\lambda,I}^{e,XY} = \int d\mathbf{r} \chi_{\kappa}^{X\dagger}(\mathbf{r}) \frac{-1}{|\mathbf{r} - \mathbf{s}_I|} \chi_{\lambda}^Y(\mathbf{r}) = \delta_{XY} \int d\mathbf{r} \frac{-\Omega_{\kappa\lambda}^{XY}(\mathbf{r})}{|\mathbf{r} - \mathbf{s}_I|} \quad (42)$$

Equation 39a for the potential and consequently eq 39b are simplified, as their matrix representation is block diagonal in a two-spinor basis:

$$v_I = v_I^N + v_I^{e,LL} + v_I^{e,SS} \quad (43a)$$

$$q_I = q_I^N + q_I^{e,LL} + q_I^{e,SS} \quad (43b)$$

Inserting the result of eq 42 in eqs 34a and 34b the two-spinor expansion of the J and $X(0)$ solvent operators is obtained:

$$j_{\kappa\lambda}^{XX} = \mathbf{q}^N \cdot \mathbf{v}_{\kappa\lambda}^{e,XX}; \quad x_{\kappa\lambda}^{XX}(0) = \mathbf{q}^e \cdot \mathbf{v}_{\kappa\lambda}^{e,XX} \quad (44)$$

To conclude, the final form of the Fock matrix in solution is

$$\mathbf{F} = \begin{pmatrix} \mathbf{F}^{\text{vac,LL}} + \mathbf{q} \cdot \mathbf{v}^{e,LL} & \mathbf{F}^{\text{vac,LS}} \\ \mathbf{F}^{\text{vac,SL}} & \mathbf{F}^{\text{vac,SS}} + \mathbf{q} \cdot \mathbf{v}^{e,SS} \end{pmatrix} \quad (45)$$

where the PCM contribution appears only on its diagonal blocks.

Let us now consider possible approximations of the polarization contribution to achieve computational speedups. It is well-known that the most intensive task in an electronic structure calculation is the construction of matrix elements involving two-electron integrals. This computational bottleneck is even worse for calculations based on the Dirac-Coulomb Hamiltonian since the number of atomic basis functions is always greater than the one in a nonrelativistic calculation on the same system due to the small components of the molecular four-spinor. However, because of the locality of the small component density, the calculation of two-electron integrals of the g^{SSSS} class can be completely avoided.⁸³ Neglecting the very expensive g^{SSSS} class of two-electron integrals and applying an a posteriori simple Coulombic correction (SCC) to the energy with pretabulated or computed small component charges leads to a negligible error in energies, structures, and molecular observables.

We propose a similar approximation, called PCM-SCC, to avoid the calculation of the \mathbf{v}^{SS} class of integrals. The electronic molecular electrostatic potential at cavity point I is

$$v_I^e = \sum_{\kappa\lambda} D_{\kappa\lambda}^{\text{LL}} v_{\kappa\lambda,I}^{e,LL} + \sum_{\kappa\lambda} D_{\kappa\lambda}^{\text{SS}} v_{\kappa\lambda,I}^{e,SS} \approx \sum_{\kappa\lambda} D_{\kappa\lambda}^{\text{LL}} v_{\kappa\lambda,I}^{e,LL} + \sum_A \frac{q_A^{\text{SCC}}}{|\mathbf{R}_A - \mathbf{s}_I|} \quad (46)$$

where q_A^{SCC} is the pretabulated small charge for nucleus A . Finally, in the spirit of the SCC as proposed by Visscher,⁸³ the PCM interaction part in the SS block of the Fock matrix can be completely neglected:

$$\begin{aligned} \mathbf{F} &= \begin{pmatrix} \mathbf{F}^{\text{vac,LL}} + \mathbf{q} \cdot \mathbf{v}^{e,LL} & \mathbf{F}^{\text{vac,LS}} \\ \mathbf{F}^{\text{vac,SL}} & \mathbf{F}^{\text{vac,SS}} + \mathbf{q} \cdot \mathbf{v}^{e,SS} \end{pmatrix} \\ &\approx \begin{pmatrix} \mathbf{F}^{\text{vac,LL}} + \mathbf{q} \cdot \mathbf{v}^{e,LL} & \mathbf{F}^{\text{vac,LS}} \\ \mathbf{F}^{\text{vac,SL}} & \mathbf{F}^{\text{vac,SS}} \end{pmatrix} \end{aligned} \quad (47)$$

The reader may wonder why one must bother with such approximate schemes when the molecular electrostatic potential matrix elements are one-electron integrals, usually

quite cheap to compute. One must, however, bear in mind that such integrals are to be calculated over the grid points provided by the discretization of the cavity. This implies that the formal scaling of the PCM contributions is on the order of $N_{\text{ts}} N^2$ compared to N^4 for the construction of the two-electron Fock matrix. The number of tesserae N_{ts} depends on the molecular topology and the user-specified average tesserae area a_I and is independent of the number N of basis functions. If reduced scaling algorithms are applied to the two-electron part, such algorithms must also be applied to the PCM contribution to prevent the latter from becoming a computational bottleneck.

2.4. Linear Response for a Relativistic PCM-SCF State.

To derive the linear response function for static perturbations, we augment the free energy functional in eq 23 with a perturbation operator V :

$$G[0] = \langle 0 | H_0 + \frac{1}{2} V_{\text{op}}[\rho] + V | 0 \rangle \quad (48)$$

The perturbation operator is taken to have the following form:

$$V = \sum_X \epsilon_X H_X \quad (49)$$

that is, a linear combination of one-electron perturbation operators H_X weighted by the perturbation strengths ϵ_X . Molecular properties are then obtained as derivatives, at zero perturbation strength, of the free energy with respect to the perturbation strength. We will closely follow Salek et al.⁸⁴ but focus on solvent contributions.

Notice that the free energy functional in eq 48 does not take into account nonequilibrium effects.⁸⁵ It is thus not suitable for the derivation of frequency-dependent response functions, needed for the calculation of dynamic properties and excitation energies.⁸⁶ We shall address the extension of the current derivation to the nonequilibrium regime in a later contribution.

Using the variational condition 35, second-order properties can be expressed as

$$\left. \frac{d^2 G}{d\epsilon_A d\epsilon_B} \right|_{\epsilon=0} = \sum_{pq} \left. \frac{\partial^2 G}{\partial \epsilon_A \partial \kappa_{pq}} \frac{d\kappa_{pq}}{d\epsilon_B} \right|_{\epsilon=0} \quad (50)$$

The first-order amplitudes are obtained from the first-order response equation

$$\left. \frac{d}{d\epsilon_B} \left(\frac{\partial G}{\partial \kappa_{pq}} \right) \right|_{\epsilon=0} = \left[\frac{\partial^2 G}{\partial \epsilon_B \partial \kappa_{pq}} + \sum_{rs} \frac{\partial^2 G}{\partial \kappa_{pq} \partial \kappa_{rs}} \frac{d\kappa_{rs}}{d\epsilon_B} \right]_{\epsilon=0} = 0 \quad (51)$$

which can be recast in matrix form as

$$\mathbf{G}^{[2]} \mathbf{X}_B = -\mathbf{E}_B^{[1]} \quad (52)$$

The electronic free energy Hessian appearing in this equation has the following structure:⁸⁴

$$\mathbf{G}^{[2]} = \begin{pmatrix} \mathbf{A} & \mathbf{B} \\ \mathbf{B}^* & \mathbf{A}^* \end{pmatrix} \quad (53)$$

with the \mathbf{A} and \mathbf{B} matrix elements being

$$\left. \frac{\partial^2 G}{\partial \kappa_{ai}^* \partial \kappa_{bj}} \right|_{\kappa=0} = A_{ai,bj} = \delta_{ij} f_{ab} - \delta_{ab} f_{ji} + L_{ai,jb}^{\gamma} + w_{xc;ai,jb} + \mathbf{q}_{ai}^e \cdot \mathbf{v}_{jb}^e \quad (54a)$$

$$\left. \frac{\partial^2 G}{\partial \kappa_{ai}^* \partial \kappa_{bj}^*} \right|_{\kappa=0} = B_{ai,bj} = L_{ai,bj}^\gamma + w_{xc;ai,bj} + \mathbf{q}_{ai}^e \cdot \mathbf{v}_{bj}^e \quad (54b)$$

where

$$f_{pq} = f_{pq}^{\text{vac}} + \mathbf{q} \cdot \mathbf{v}_{pq}^e; \quad L_{ai,jb}^\gamma = g_{aijb} - \gamma g_{abji} \quad (55)$$

The vector of apparent surface charges is given as $\mathbf{q} = \mathbf{q}^N + \mathbf{q}^e$. The solvent contributions to the \mathbf{A} matrix are found as

$$\begin{aligned} A_{ai,bj}^{\text{pol}} &= \frac{1}{2} \int \mathrm{d}\mathbf{r} \frac{\delta U_{\text{pol}}}{\delta \rho_e(\mathbf{r})} \frac{\partial^2 \rho_e(\mathbf{r})}{\partial \kappa_{ai}^* \partial \kappa_{bj}^*} \bigg|_{\kappa=0} \\ &\quad + \int_{\mathbb{R}^3} \mathrm{d}\mathbf{r} \int_{\mathbb{R}^3} \mathrm{d}\mathbf{r}' \frac{\delta^2 U_{\text{pol}}}{\delta \rho_e(\mathbf{r}) \delta \rho_e(\mathbf{r}')} \frac{\partial \rho_e(\mathbf{r})}{\partial \kappa_{ai}^*} \frac{\partial \rho_e(\mathbf{r}')}{\partial \kappa_{bj}^*} \bigg|_{\kappa=0} \\ &= \frac{1}{2} \int \mathrm{d}\mathbf{r} \frac{\delta U_{\text{pol}}}{\delta \rho_e(\mathbf{r})} [\delta_{ij} \Omega_{ab}(\mathbf{r}) - \delta_{ab} \Omega_{ji}(\mathbf{r})] \bigg|_{\kappa=0} \\ &\quad + \int_{\mathbb{R}^3} \mathrm{d}\mathbf{r} \int_{\mathbb{R}^3} \mathrm{d}\mathbf{r}' \frac{\delta^2 U_{\text{pol}}}{\delta \rho_e(\mathbf{r}) \delta \rho_e(\mathbf{r}')} \Omega_{ai}(\mathbf{r}) \Omega_{jb}(\mathbf{r}') \bigg|_{\kappa=0} \\ &= \delta_{ij}(\mathbf{q} \cdot \mathbf{v}_{ab}^e) - \delta_{ab}(\mathbf{q} \cdot \mathbf{v}_{ji}^e) + \mathbf{q}_{ai}^e \cdot \mathbf{v}_{jb}^e \end{aligned} \quad (56)$$

and analogously for the \mathbf{B} matrix.

The solution of the linear system in eq 52 is achieved by means of subspace iteration methods.⁸⁷ The solution vector is expanded in a set of n trial vectors, \mathbf{b}_p , leading to the reduced response equation, their projection in the chosen subspace. Such a method requires repeated evaluation of the so-called σ -vector, the linear transformation of the selected subspace by the electronic free energy Hessian.

The σ -vector formation can be reformulated as the evaluation of a generalized Fock matrix:⁸⁸

$$\sigma_{ai} = -[\tilde{f}_{ai}^\gamma + L_{ai}^\gamma + \tilde{\mathbf{q}}^e \cdot \mathbf{v}_{ai}^e] \quad (57)$$

In these expressions a tilde indicates that a one-index transformation of the integrals by means of the trial vector must be performed. The expression of the transformed two-electron term L_{rs}^γ is given elsewhere.^{88,89} What is to be noted here is that it can be evaluated by contraction of the usual two electron integrals with a perturbed AO basis one-electron density, obtained by transformation with the trial vectors. A similar approach can be used in evaluating the one-index transformed polarization charges:

$$\tilde{\mathbf{q}}^e = \mathbf{K}[\sum_{\kappa\lambda} \tilde{D}_{\kappa\lambda} \mathbf{v}_{\kappa}^e]; \quad \tilde{D}_{\kappa\lambda} = -\sum_{ut} C_{u\lambda} b_{ut} C_{t\kappa}^* \quad (58)$$

3. IMPLEMENTATION

The solution of the electrostatic problem posed by the addition of a polarizable continuum surrounding the molecular solute requires a limited number of steps, which are independent of the nature of the electronic-structure method employed. These steps, namely, cavity formation and discretization together with formation of the PCM matrix \mathbf{K} , can be abstracted from the structure of the program performing the optimization of the electronic structure. This *modular programming paradigm* is not new,^{90,91} but is a very powerful strategy to effectively enable code reuse throughout altogether different quantum chemical programs.

In Figure 1 we show the PCM-SCF algorithm one needs to implement. The neat separation between PCM-related and

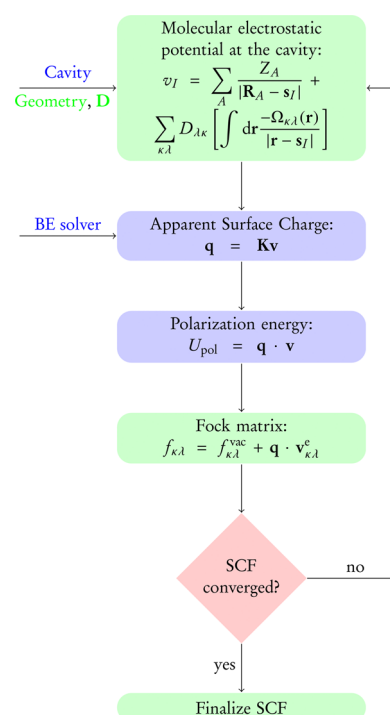


Figure 1. Schematic view of the implemented SCF algorithm. Computations/data in blue are on the PCMSolver side, in green on the DIRAC side.

QM-related tasks is shown, the only additional step added with respect to a conventional in vacuo SCF algorithm being the evaluation of the molecular electrostatic potential at the grid points provided by the discretized molecular cavity.

The existence of this separation between the classical electrostatic problem and the quantum problem for the optimization of the electronic structure, led us to the implementation of a stand-alone module for the PCM, which we have called PCMSolver.⁶³ PCMSolver is intended to be an *application programming interface* (API) providing all the functionality needed to handle the PCM electrostatic problem: generation and discretization of the cavity, generation of the PCM matrix. Both tasks can be performed in a fully general manner: isotropic and anisotropic environments can be treated and are accommodated within the same general code infrastructure, thus reflecting the derivation of the IEF-PCM equation given by Cancès et al.⁷⁴ in their seminal paper. Treatment of diffuse interfaces is also possible.⁹² The newly implemented Boundary Element solver based on a wavelet formalism is made available within the same framework.^{93–95}

The concept of *data hiding* is effectively enforced: only the necessary functions are visible to the end-user of our API through an interface. The major coding effort in interfacing to any quantum chemical program regards the efficient evaluation of the molecular electrostatic potential. We would like to stress the point that through the use of our API, virtually any quantum chemical program package could introduce a continuum description of the solvent. Our approach has two main advantages over an in-house coding of the PCM:

1. Coding effort is minimized, because the necessary functions already come bundled in a compact library. Furthermore, these functions are already tested, only the QM-PCM interface is to be tested.

2. New PCM functionalities, such as novel algorithms for the cavity generation and the solution of the electrostatic problem, as well as additional environments, can be added to the API without touching the QM code. These new functionalities will be seamlessly and immediately available to the QM program, with a negligible amount of work.

3.1. Four-Component Molecular Electrostatic Potential. As shown in Section 2.1, the addition of relativity is irrelevant for the generic algorithm, which is suitable for both nonrelativistic and relativistic calculations. The only difference in the latter case is in the calculation of the *contracted* electrostatic potential

$$v_I^e = \sum_{pq} D_{pq} v_{pq,I}^e \quad (59)$$

In a scalar atomic basis, we need to calculate the integrals

$$v_{\kappa\lambda}^I(\mathbf{s}_I) = \int d\mathbf{r} \frac{-\Omega_{\kappa\lambda}(\mathbf{r})}{|\mathbf{r} - \mathbf{s}_I|} \quad (60)$$

where \mathbf{s}_I is a point on the cavity surface. Such integrals are identical to the ordinary nuclear-attraction integrals but have a different physical origin and should rather be called *charge-attraction* integrals. We recall that only the LL and SS sub-blocks need to be evaluated.

The implementation of eq 60 requires looping over basis functions and grid points. The loop over grid points may be placed either outside or inside the two basis function loops. The former choice is easier to implement but generates a highly inefficient code, due to the large number of intermediate quantities that needs to be recalculated for each grid point. The latter is instead more efficient because it can be seen as a form of vectorization, where at each iteration over the basis function, an entire batch of points (the whole grid in our case), is computed instead of one point at a time. Intermediates are in this case reused, and a full exploitation of compiler optimization is possible. The vectorization will also enable a relatively straightforward port of the code to architectures based on general-purpose computing on graphics processing units (GPGPU).

This second approach is the one used in our implementation in the DIRAC code.⁶² As a useful byproduct, molecular electrostatic potential maps are available for four-component electronic-structure calculations. To the best of our knowledge, this is the first implementation of such a visualization and analysis tool in a relativistic four-component framework.

4. APPLICATIONS

As a first application we have considered the geometries, electric dipole moments, and static electric dipole polarizabilities for the series of the group 16 dihydrides, H_2O through H_2Po , both in vacuo and in water. To the best of our knowledge, no experimental values for the observables here considered are available for H_2Te and H_2Po . A limited number of theoretical results is available^{96–103} for the same species in vacuo. In the following, no attempt is made to compare our results with the ones reported in the literature since our results are only to be taken as an illustration of the reported implementation.

4.1. Computational Methods. All calculations were performed at the Hartree–Fock and DFT levels of theory, the latter using the hybrid-GGA exchange-correlation func-

tional PBE0.¹⁰⁴ Four-component relativistic calculations are based on the Dirac–Coulomb Hamiltonian, with or without¹⁰⁵ spin–orbit interaction, whereas nonrelativistic calculations are based on the four-component Lévy–Leblond Hamiltonian.⁷³ The g^{SSSS} class of two-electron integrals was neglected in all calculations, and Visscher’s simple Coulombic correction⁸³ was adopted throughout.

A development version of the four-component relativistic molecular code DIRAC, interfaced with the PCMSolver module, was used. Uncontracted, triple- ζ quality basis sets were used for the large components: cc-pVTZ for H, O, and S^{106,107} and dyall.v3z^{108–110} for Se, Te, and Po. Restricted kinetic balance was applied to obtain the small component basis set.

In all calculations, the dihydrides are placed in the xz -plane, the C_2 rotation axis is along the z axis, with the direction of the positive z axis from the heavy atom to the hydrogens, as shown in Figure 2.

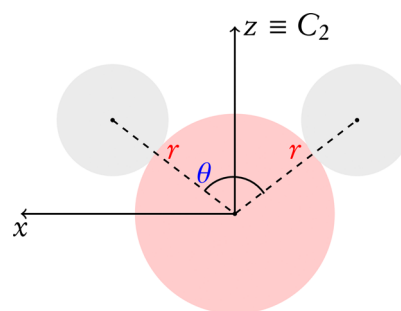


Figure 2. Geometric parameters and orientation of the H_2X species considered. The positive y axis points outside the xz -plane.

The structures were optimized using the numerical molecular gradient evaluated by means of finite differences. The general driver for structure optimizations in DIRAC is the same as in the nonrelativistic code DALTON.^{111,112} The structures optimized in vacuo using the numerical gradient were compared with those obtained using the analytic gradient. Bond lengths, bond angles, and total energies obtained with the two methods were found to be in good agreement: for Hartree–Fock calculations, the average relative error in bond lengths and bond angles is 80 ppm, while that on energies is 50 ppb. On the basis of these results, we assumed the numerical gradient optimizations to be reliable also for solvent calculations, where an analytic gradient is not yet available.

Water, with relative dielectric constant $\epsilon_r = 78.39$, was selected as solvent. The cavities were generated using the Bondi–Mantina set of van der Waals radii.¹¹³ The radii used were 1.20 Å for H, 1.52 Å for O, 1.80 Å for S, 1.90 Å for Se, 2.06 Å for Te, and 1.97 Å for Po. These radii were then multiplied by a scaling factor of 1.2 as usual in the application of the PCM.¹¹⁴ The cavities were obtained without the addition of spheres not centered on the nuclei. A fine tessellation, with average tessera area of $0.3a_0^2 \simeq 0.084 \text{ Å}^2$, was chosen. For H_2O and H_2Po , we investigated the effect of tessellation (not shown) and found our results converged for this value of the average tessera area, as could have been expected from nonrelativistic studies. For further discussion of tessellation and other technical issues related to the implementation of the PCM, we refer to refs 10, 11, and 114. For general comments on boundary element methods for integral equations, such as

discretization techniques and convergence estimates, we refer to the book by Hackbusch.⁷⁶

For electronic structure analysis, we employed projection analysis¹⁰² using the precalculated in vacuo orbitals of the constituent atoms.

4.2. Assessment of the PCM-SCC Approximation. The possibility of skipping the evaluation of the SS block of the electrostatic potential integrals as described in Section 2.3 was implemented (.SKIPSS input keyword). By default, these integrals are not skipped. To assess the impact of the PCM-SCC approximation, geometry optimizations were performed for H₂PO, both at the Hartree–Fock and DFT/PBE0 levels of theory. Subsequent single-point calculations employing the “full” Dirac–Coulomb model and the approximate PCM-SCC model were performed, taking the geometry optimized with the “full” model as reference.

Tables 1 and 2 summarize the results obtained for geometries, energies, and CPU times. All calculations were

Table 1. Differences in Bond Distance, Bond Angle, And Free Energy between the Dirac–Coulomb and Dirac–Coulomb PCM-SCC Results for H₂PO

| | $\Delta r^a/\text{\AA}$ | $\Delta \theta^a/\text{deg}$ | $\Delta G^a/E_h$ |
|--------------|-------------------------|------------------------------|------------------|
| Hartree–Fock | −0.000 01 | 0.002 | 0.000 003 |
| DFT/PBE0 | −0.000 02 | −0.001 | 0.000 007 |

^aSingle-point calculations performed on the geometry optimized with the Dirac–Coulomb Hamiltonian.

Table 2. Average CPU Time Elapsed in an SCF Iteration, t_{SCF} , and in the Formation of the PCM Contribution to the Fock Matrix, t_{PCM}

| | Dirac–Coulomb ^a | | | Dirac–Coulomb ^a | | | PCM-SCC ^a |
|--------------|----------------------------|------------------|-----------------|----------------------------|------------------|-----------------|----------------------|
| | t_{SCF} | t_{PCM} | N_{it} | t_{SCF} | t_{PCM} | N_{it} | |
| Hartree–Fock | 43.16 | 4.90 | 27 | 35.47 | 0.86 | 21 | |
| DFT/PBE0 | 47.62 | 5.40 | 19 | 38.22 | 0.90 | 18 | |

^aThe number of iterations needed to reach convergence, N_{it} is also reported. All timings in seconds; calculations performed on a single node equipped with two Xeon E5-2670@2.60 GHz octacore processors. The system studied was H₂PO.

performed on a single node equipped with two Xeon E5–2670@2.60 GHz octacore processors. The MPI-parallel version of the code was used. The geometries predicted with the proposed approximation to the electrostatic potential integrals fully agree with the ones obtained with the full model. The agreement between calculated energies is also found to be acceptable. Although the formation of the PCM contribution to the Fock matrix was not found to be the most time-consuming step in our test case, the timings reported in Table 2 suggest that it may be beneficial to employ the PCM-SCC approximation in those cases where one or both of the following conditions apply: (a) a large number of small component basis functions is used; (b) a large number of finite elements is used to discretize the PCM cavity. All the calculations presented in the rest of this work were performed without resorting to the PCM-SCC approximation.

As illustrated in Figure 1, in each SCF cycle we perform the following steps:

1. We form the uncontracted potentials eq 33 and immediately contract them with the density matrix, to

obtain the potential at cavity points. Here we have a saving since the SS block is neglected and approximated with a SCC-like correction;

2. The polarization charges are calculated. This is a call to PCMSolver that performs a matrix-vector multiplication. There is no saving here as this depends solely on the dimension of the cavity, which is unaffected by skipping the SS block;
3. We again form the uncontracted potentials eq 33 and immediately contract them with the polarization charges, to obtain the PCM contribution to the Fock matrix. Here we have a saving since there is no SS block, see eq 47.

The reader may notice that in each SCF cycle, there is an additional time saving not accounted for by the savings in t_{PCM} . This is because in each SCF step the PCM-SCC approximation has an impact both in the initial formation of the potential at cavity points and in the formation of the PCM contribution to the Fock matrix.

4.3. Relativistic Effects Associated with the PCM Model. Key parameters in the PCM model are the atomic radii used for the generation of the molecular cavity. As already stated we have in the present work employed the Bondi–Mantina set of van der Waals radii¹¹³ scaled by a factor of 1.2, consistent with previous PCM calculations.¹¹⁴ Whereas Bondi extracted his recommended van der Waals radii from contact distances from X-ray diffraction studies of (mostly) molecular crystals,¹¹⁵ Mantina et al. extended the tables by calculations of the repulsive wall distance with respect to neutral, closed-shell probes.¹¹³ The radii for the heavier elements were obtained using the Douglas–Kroll–Hess Hamiltonian including scalar relativistic effects only. It would be worth investigating the trend in van der Waals radii when considering more complete Hamiltonian models, in particular for the heavier p-block elements where second-order spin–orbit effects, affecting orbital sizes, are substantial, but this is outside the scope of the present contribution.

Note also that the apparent surface charges in the PCM model will induce a spin–orbit effect in addition to those generated by the relative motion of a reference electron and other charges, electrons, and nuclei in the system.⁶⁵ This effect is difficult to quantify, but we observe that for H₂PO, at the optimized DC/PBE0 geometry in water, the magnitude of the solvation energy is reduced by ~15% when the spin–orbit interaction is turned off, albeit most of this effect probably arises from the modification of the electron density.

4.4. Geometries and Electric Dipole Moments. Table 3 presents the results regarding the geometries and electric dipole moments obtained at the Hartree–Fock and DFT/PBE0 levels of theory. Only the *z*-component of the electric dipole moment is reported, since the *x*- and *y*-components are zero by symmetry.

We recall that component *I* of the electric dipole moment is defined as the first derivative of the (free) energy with respect to component *I* of an applied electric field F_i ,¹¹⁶ which is equivalent, in the case of SCF methods, to the calculation of the expectation value of the electric dipole operator:

$$\mu_i = - \left. \frac{\partial E(F)}{\partial F_i} \right|_{F=0} = \langle 0 | \hat{\mu}_i | 0 \rangle \quad (61)$$

Trends in bond lengths and bond angles along the periods are reported in Figures 3 and 4, respectively. The geometries predicted at the Hartree–Fock level of theory show shorter

Table 3. Bond Lengths, Bond Angles, and Z-Components of the Electric Dipole Moment

| | | | Dirac–Coulomb | | | spin-free | | | Lévy-Leblond | | |
|--------------|----------|-------------------|----------------|---------------------|------------------|----------------|---------------------|------------------|----------------|---------------------|------------------|
| | | | $r/\text{\AA}$ | θ/deg | μ_z/D | $r/\text{\AA}$ | θ/deg | μ_z/D | $r/\text{\AA}$ | θ/deg | μ_z/D |
| Hartree–Fock | in vacuo | H ₂ O | 0.940 | 105.9 | 1.985 | 0.940 | 105.9 | 1.985 | 0.941 | 106.0 | 1.988 |
| | | H ₂ S | 1.329 | 94.1 | 1.142 | 1.329 | 94.1 | 1.143 | 1.330 | 94.2 | 1.160 |
| | | H ₂ Se | 1.451 | 92.9 | 0.779 | 1.451 | 92.9 | 0.782 | 1.454 | 93.2 | 0.858 |
| | | H ₂ Te | 1.649 | 92.1 | 0.293 | 1.648 | 92.2 | 0.312 | 1.656 | 92.7 | 0.495 |
| | | H ₂ Po | 1.742 | 90.8 | −0.575 | 1.725 | 91.2 | −0.311 | 1.754 | 92.6 | 0.261 |
| | water | H ₂ O | 0.944 | 104.9 | 2.296 | 0.944 | 104.9 | 2.296 | 0.944 | 104.9 | 2.299 |
| | | H ₂ S | 1.331 | 94.9 | 1.484 | 1.331 | 94.9 | 1.484 | 1.331 | 95.0 | 1.505 |
| | | H ₂ Se | 1.452 | 93.7 | 1.082 | 1.452 | 93.7 | 1.085 | 1.455 | 94.0 | 1.182 |
| | | H ₂ Te | 1.649 | 92.6 | 0.485 | 1.648 | 92.6 | 0.508 | 1.657 | 93.4 | 0.758 |
| | | H ₂ Po | 1.746 | 90.0 | −0.832 | 1.728 | 90.7 | −0.444 | 1.754 | 92.7 | 0.398 |
| DFT/PBE0 | in vacuo | H ₂ O | 0.958 | 104.3 | 1.923 | 0.958 | 104.3 | 1.923 | 0.958 | 104.4 | 1.926 |
| | | H ₂ S | 1.344 | 92.2 | 1.118 | 1.344 | 92.2 | 1.118 | 1.344 | 92.3 | 1.136 |
| | | H ₂ Se | 1.465 | 90.8 | 0.719 | 1.465 | 90.8 | 0.722 | 1.467 | 91.1 | 0.801 |
| | | H ₂ Te | 1.661 | 90.2 | 0.239 | 1.660 | 90.2 | 0.259 | 1.667 | 90.8 | 0.447 |
| | | H ₂ Po | 1.759 | 89.3 | −0.577 | 1.738 | 89.7 | −0.324 | 1.762 | 90.8 | 0.233 |
| | water | H ₂ O | 0.962 | 103.3 | 2.249 | 0.962 | 103.3 | 2.249 | 0.962 | 103.4 | 2.252 |
| | | H ₂ S | 1.346 | 93.1 | 1.466 | 1.346 | 93.1 | 1.466 | 1.346 | 93.2 | 1.488 |
| | | H ₂ Se | 1.467 | 91.6 | 1.009 | 1.466 | 91.6 | 1.012 | 1.469 | 92.0 | 1.114 |
| | | H ₂ Te | 1.662 | 90.7 | 0.409 | 1.661 | 90.7 | 0.433 | 1.668 | 91.5 | 0.694 |
| | | H ₂ Po | 1.764 | 88.9 | −0.842 | 1.741 | 89.1 | −0.477 | 1.763 | 91.0 | 0.351 |

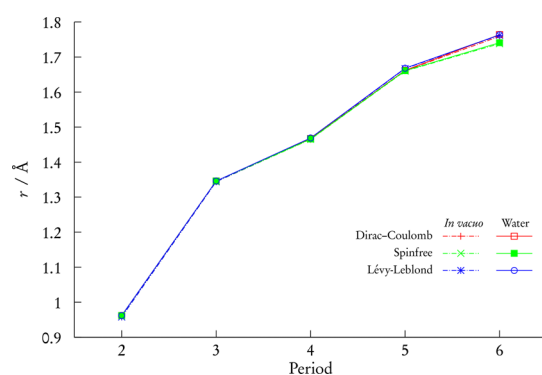


Figure 3. Optimized bond lengths at the DFT/PBE0 level of theory. Dot-dashed lines: in vacuo calculations. Solid lines: water calculations.

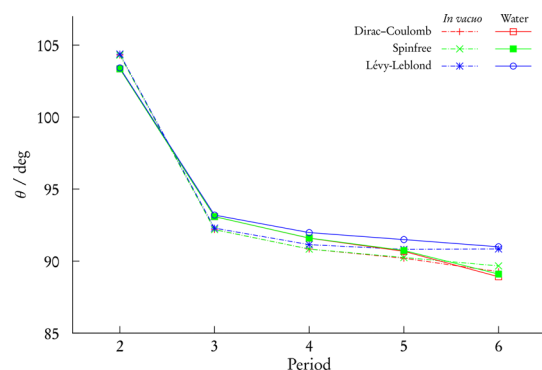


Figure 4. Optimized bond angles at the DFT/PBE0 level of theory. Dot-dashed lines: in vacuo calculations. Solid lines: water calculations.

bond lengths and larger bond angles than the ones predicted using DFT/PBE0. A monotonic increase in bond length, correlating with the size of the central atom, is observed going down the group. No deviations from this trend are observed, neither including relativity nor considering the solvent. Scalar relativistic effects tend, as expected, to shorten bonds. Spin–

orbit effects only become dramatic for H₂Po: at the DFT/PBE0/in vacuo level of theory scalar relativity shortens the bond by 0.024 Å, whereas spin–orbit interaction increases the bond length by 0.021 Å, almost back to the nonrelativistic value.

Figure 4 clearly shows a marked reduction in bond angle beyond water, which constitutes a well-known failure of the valence shell electron pair repulsion (VSEPR) model. These observations agree with the study by Dubillard et al.,¹⁰² where a detailed discussion is provided. The effect of the solvent on molecular geometries is seen to be rather small: bond lengths increase slightly, whereas there is no clear trend for bond angles.

Figure 5 shows a uniform trend of decreasing dipole moment with the period, which clearly correlates with the reduction of

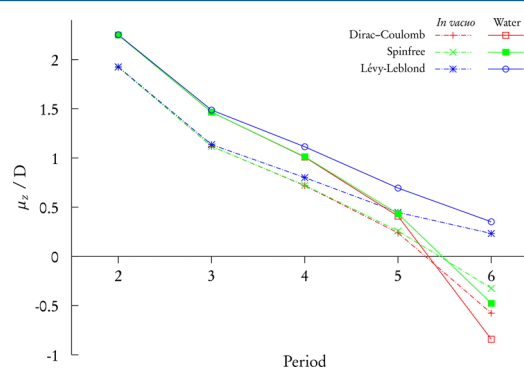


Figure 5. z-component of the electric dipole moment at the DFT/PBE0 level of theory. Dot-dashed lines: in vacuo calculations. Solid lines: water calculations.

the electronegativity of the central atom when going down the group.⁹⁶ From projection analysis¹⁰² we accordingly find at the DC/PBE0/vacuum level a charge of $-0.98e$ on oxygen in H₂O ($\mu_z = +1.923\text{D}$), whereas the corresponding charge on polonium in H₂Po ($\mu_z = -0.577\text{D}$) is $+0.07e$. The situation

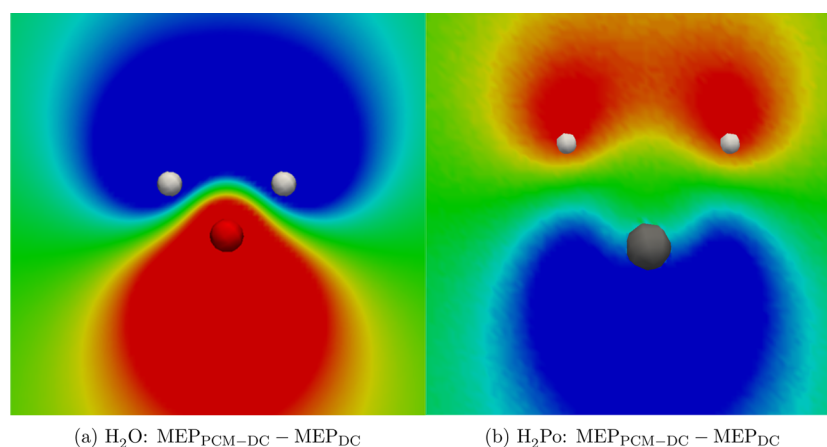


Figure 6. Molecular electrostatic potential (MEP) maps for H₂O and H₂Po at the DFT/PBE0 level of theory. The isocontours are in the range $[-0.02, 0.02]E_h e^{-1}$ and are color-coded from red to blue. The values plotted are differences between the values obtained for the Hamiltonians referred to in the subcaptions. The geometry optimized in vacuo, using the Dirac–Coulomb Hamiltonian as reference. PCM-DC: Dirac–Coulomb in water, DC: Dirac–Coulomb in vacuo.

is somewhat more complex, though, because at the non-relativistic level the corresponding charge on polonium is almost the same ($+0.11e$), but now the dipole moment is positive ($\mu_z = +0.233D$). This means that the inclusion of relativity switches the sign of the dipole moment in H₂Po, although the molecular geometry and atomic charges hardly change. To better understand this seeming paradox, we first recall that the electronic and nuclear contributions separately depend on the origin. Placing the origin of the dipole moment on the central atom, the nuclear contribution from polonium is identically zero, and the electronic contribution is essentially limited to four valence orbitals, associated with the two bonds and two lone pairs. After Pipek–Mezey localization we find that the weight of polonium in the bonding orbitals is 46.6, 47.6, and 45.8% at the Dirac–Coulomb, spin-free, and nonrelativistic levels, respectively, showing that the polarity of the bonds is essentially independent of the choice of Hamiltonian, thus further adding to the enigma. However, the matrix elements of the $6s$ and $6p_z$ orbitals over the μ_z -operator are significantly reduced with the introduction of scalar relativity, due to orbital contraction. This affects the lone pair orbitals more than the bonding ones: their contribution to the z -component of the dipole moment is thereby reduced from 4.78 D to 3.40 D, whereas the contribution from the two bonding orbitals to the z -component of the dipole moment changes from -16.26 D to -15.26 D. This explains the change of sign of the dipole moment. With the introduction of spin–orbit coupling the $6p_{3/2}$ and $6p_{1/2}$ components expand and contract, respectively, with respect to the spin-free $6p$ orbital, but the sign of the dipole moment is conserved.

The inclusion of solvent significantly increases the magnitude of dipole moments for all species and with respect to all Hamiltonians included, a trend already present in Onsager's model.¹¹⁷ Since the molecular geometries of the studied molecules are only slightly affected, this is clearly an electronic effect. For H₂Po at the DC/PBE0 level the dipole moment changes from -0.577 D to -0.842 D, indicating an electronic charge transfer to the hydrogens. From projection analysis we indeed find that the polonium charge increases slightly ($+0.07e \rightarrow +0.08e$), whereas the polarity of the bonds hardly changes.

Since bond lengths are affected neither by relativity nor by solvation, the solvent effect on these species is neatly

summarized by saying that the existing charge separation is enhanced. This is strikingly demonstrated by the electrostatic potential maps in Figure 6 where the hydrogens of H₂O (H₂Po) are seen to become more positive (negative), although it should be kept in mind that the electrostatic potential in a point is a weighted average of the charge density over all space.¹¹⁸

4.5. Electric Dipole Moment Polarizabilities. The components of the static electric dipole polarizability tensor are defined in terms of the linear response function,¹¹⁶ that is, as the second derivative of the (free) energy with respect to an applied electric field F :

$$\alpha_{IJ} = -\langle\langle\mu_I; \mu_J\rangle\rangle = -\left.\frac{\partial^2 E(\mathbf{F})}{\partial F_I \partial F_J}\right|_{\mathbf{F}=0} \quad (62)$$

where μ_I is component I of the electric dipole operator. The isotropic part is

$$\alpha_{\text{iso}} = \frac{1}{3}(\alpha_{xx} + \alpha_{yy} + \alpha_{zz}) \quad (63)$$

whereas the anisotropic part is defined as

$$\alpha_{\text{aniso}} = \frac{1}{\sqrt{2}}[(\alpha_{xx} - \alpha_{yy})^2 + (\alpha_{xx} - \alpha_{zz})^2 + (\alpha_{yy} - \alpha_{zz})^2 + 6(\alpha_{xy}^2 + \alpha_{xz}^2 + \alpha_{yz}^2)]^{1/2} \quad (64)$$

In the present case the off-diagonal components of the polarizability tensor are zero by symmetry. Table 4 summarizes results obtained for the diagonal components as well as α_{iso} and α_{aniso} . In Figure 7, α_{iso} is shown as a function of the period at the DFT/PBE0 level of theory. A striking feature is the almost complete lack of relativistic effects on the electric dipole polarizability. This can, however, be understood from the connection between α_{iso} and molecular volume. In fact, from Figure 7 one may apprehend the more compact molecular structure of spin-free H₂Po compared to the nonrelativistic and fully relativistic counterparts. Note, though, that this observation is far from general. Whenever relativity significantly modifies the spatial extent of valence orbitals, one may also expect large relativistic effects on the electric dipole polarizability, as reported for example for HgS.¹¹⁹

Table 4. Isotropic, Anisotropic, and Cartesian Components of the Electric Dipole Polarizability Tensor

| | | Dirac–Coulomb ^a | | | | | spin-free ^a | | | | | Lévy-Leblond ^a | | | | | |
|--------------|----------|----------------------------|-------------------------|---------------|---------------|---------------|------------------------|-------------------------|---------------|---------------|---------------|---------------------------|-------------------------|---------------|---------------|---------------|-------|
| | | α_{iso} | α_{aniso} | α_{xx} | α_{yy} | α_{zz} | α_{iso} | α_{aniso} | α_{xx} | α_{yy} | α_{zz} | α_{iso} | α_{aniso} | α_{xx} | α_{yy} | α_{zz} | |
| Hartree–Fock | in vacuo | H ₂ O | 0.959 | 0.334 | 1.147 | 0.762 | 0.967 | 0.959 | 0.334 | 1.147 | 0.762 | 0.967 | 0.958 | 0.333 | 1.146 | 0.762 | 0.965 |
| | | H ₂ S | 2.715 | 0.654 | 3.021 | 2.293 | 2.831 | 2.715 | 0.654 | 3.021 | 2.293 | 2.831 | 2.712 | 0.655 | 3.020 | 2.289 | 2.825 |
| | | H ₂ Se | 3.657 | 0.558 | 3.927 | 3.300 | 3.743 | 3.655 | 0.554 | 3.924 | 3.301 | 3.741 | 3.654 | 0.572 | 3.938 | 3.292 | 3.733 |
| | | H ₂ Te | 5.427 | 0.393 | 5.649 | 5.196 | 5.436 | 5.421 | 0.364 | 5.632 | 5.211 | 5.420 | 5.464 | 0.439 | 5.731 | 5.227 | 5.434 |
| | | H ₂ Po | 6.201 | 0.729 | 6.490 | 5.718 | 6.394 | 6.130 | 0.312 | 6.288 | 5.934 | 6.167 | 6.289 | 0.607 | 6.643 | 5.942 | 6.282 |
| | | water | 1.075 | 0.363 | 1.277 | 0.858 | 1.091 | 1.075 | 0.363 | 1.277 | 0.858 | 1.091 | 1.074 | 0.363 | 1.275 | 0.857 | 1.090 |
| DFT/PBE0 | in vacuo | H ₂ S | 3.443 | 0.832 | 3.850 | 2.913 | 3.567 | 3.443 | 0.832 | 3.850 | 2.913 | 3.567 | 3.437 | 0.833 | 3.847 | 2.907 | 3.557 |
| | | H ₂ Se | 4.871 | 0.667 | 5.225 | 4.461 | 4.925 | 4.868 | 0.661 | 5.220 | 4.463 | 4.922 | 4.864 | 0.691 | 5.240 | 4.445 | 4.906 |
| | | H ₂ Te | 7.696 | 0.449 | 7.992 | 7.508 | 7.587 | 7.688 | 0.412 | 7.962 | 7.537 | 7.565 | 7.755 | 0.559 | 8.128 | 7.562 | 7.575 |
| | | H ₂ Po | 9.452 | 1.010 | 9.858 | 8.784 | 9.715 | 9.346 | 0.169 | 9.455 | 9.312 | 9.270 | 9.631 | 0.790 | 10.152 | 9.305 | 9.436 |
| | | H ₂ O | 1.046 | 0.362 | 1.241 | 0.826 | 1.071 | 1.046 | 0.362 | 1.241 | 0.826 | 1.071 | 1.045 | 0.362 | 1.240 | 0.825 | 1.069 |
| | | H ₂ S | 2.796 | 0.667 | 3.072 | 2.356 | 2.961 | 2.796 | 0.667 | 3.071 | 2.356 | 2.961 | 2.792 | 0.668 | 3.069 | 2.351 | 2.955 |
| | water | H ₂ Se | 3.770 | 0.504 | 3.975 | 3.437 | 3.898 | 3.769 | 0.499 | 3.972 | 3.439 | 3.895 | 3.764 | 0.524 | 3.984 | 3.419 | 3.890 |
| | | H ₂ Te | 5.573 | 0.263 | 5.693 | 5.403 | 5.624 | 5.567 | 0.228 | 5.674 | 5.420 | 5.607 | 5.593 | 0.320 | 5.760 | 5.395 | 5.625 |
| | | H ₂ Po | 6.372 | 0.546 | 6.550 | 6.008 | 6.557 | 6.310 | 0.100 | 6.350 | 6.243 | 6.336 | 6.423 | 0.466 | 6.656 | 6.128 | 6.485 |
| | | H ₂ O | 1.180 | 0.399 | 1.390 | 0.933 | 1.216 | 1.180 | 0.399 | 1.390 | 0.933 | 1.216 | 1.178 | 0.399 | 1.388 | 0.932 | 1.214 |
| | | H ₂ S | 3.567 | 0.846 | 3.930 | 3.012 | 3.760 | 3.567 | 0.846 | 3.930 | 3.012 | 3.760 | 3.560 | 0.847 | 3.926 | 3.005 | 3.750 |
| | | H ₂ Se | 5.069 | 0.553 | 5.317 | 4.709 | 5.182 | 5.067 | 0.546 | 5.312 | 4.712 | 5.178 | 5.056 | 0.587 | 5.327 | 4.676 | 5.164 |
| | | H ₂ Te | 7.995 | 0.147 | 8.092 | 7.954 | 7.939 | 7.986 | 0.127 | 8.059 | 7.989 | 7.912 | 8.023 | 0.273 | 8.204 | 7.930 | 7.933 |
| | | H ₂ Po | 9.843 | 0.526 | 10.014 | 9.492 | 10.023 | 9.766 | 0.490 | 9.592 | 10.092 | 9.614 | 9.969 | 0.385 | 10.220 | 9.797 | 9.891 |

^aAll values are reported in Å³.

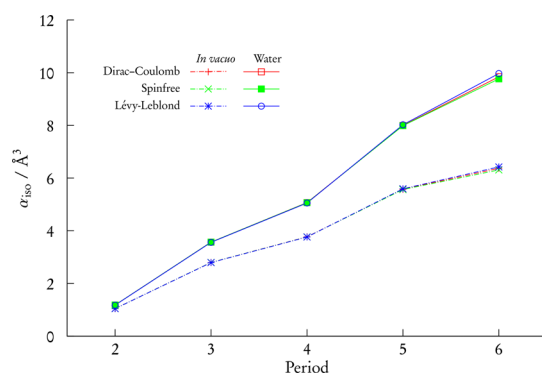


Figure 7. Isotropic electric dipole polarizability at the DFT/PBE0 of theory. Dot-dashed lines: in vacuo calculations. Solid lines: water calculations.

For the solvated systems, the α_{iso} value is always significantly greater ($\sim 70\%$) than that found in vacuo. This is not unexpected as in solvent a greater charge separation was observed from the trends in dipole moments. The modification by the inclusion of solvent of individual components of the polarizability tensor is not uniform, though, and leads to less obvious trends for the polarizability anisotropy, which are harder to rationalize, as seen from Figure 8. The significant

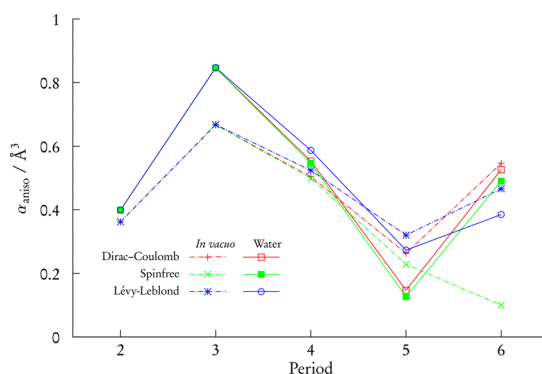


Figure 8. Anisotropic electric dipole polarizability at the DFT/PBE0 of theory. Dot-dashed lines: in vacuo calculations. Solid lines: water calculations.

change in the polarizability anisotropy at the spinfree PBE0 level upon inclusion of solvent arises from the 90% increase of the α_{yy} (out-of-plane) component compared to a 60% increase of α_{xx} and α_{zz} .

4.6. Choice of Solvent. In the above calculations water, with relative dielectric constant $\epsilon_r = 78.39$, was selected as solvent. It may be objected that specific interactions of a protic solvent such as water and the solute will be important and are not captured by a continuum model such as PCM. However, this will depend on what extent specific interactions such as hydrogen bonding are important for the property under study. Continuum models such as PCM have been widely applied to describe aqueous solution, in most cases with quite satisfactory results.^{26,50} To rigorously demonstrate shortcomings of our relativistic results, due to the persistence and importance of specific interactions over time, would require molecular dynamics simulations with a statistically significant sampling,^{21,120} a computational protocol that is not presently available at the four-component relativistic level. Also, note that the present implementation only includes contributions of

electrostatic origin, neglecting dispersion, repulsion,¹²¹ and cavitation¹²² contributions: a fair comparison between continuum and explicit models must take into account all energy contributions.

In Figure 9 we display the electric dipole moment and isotropic dipole polarizability of H_2Po , relative to vacuum

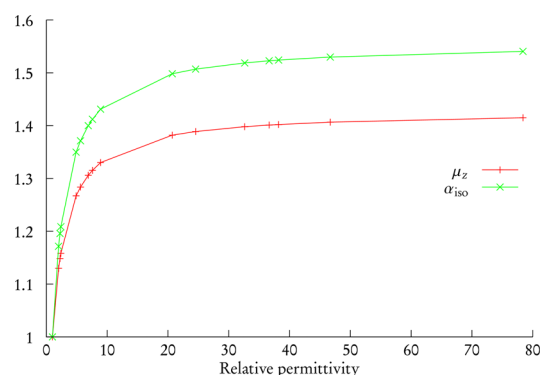


Figure 9. Electric dipole and isotropic dipole polarizability of H_2Po , relative to vacuum values, as a function of relative permittivity ϵ_r .

values, as a function of relative permittivity ϵ_r . The vacuum-optimized molecular geometry was employed, since solvent effects on geometries were found to be small. Given the solutes considered in this study we do not expect nonlinearities¹²³ in the solvent effect; hence, lower-polarity solvents should yield a reduced effect with values that are “bracketed” by the gas-phase and water ones. This is indeed what we observe, as well as the well-known saturation of dielectric response.^{124,125} The relative difference of the observables can be perfectly fitted (correlation coefficient 1) to a linear rational function:

$$X(\epsilon_r) - X(1) = \frac{\epsilon_r - 1}{a\epsilon_r + b} X(1); X = \mu_z, \alpha_{\text{iso}} \quad (65)$$

For H_2Po the values (a , b) of the fit coefficients are (2.339, 3.140) and (1.797, 2.345) for μ_z and α_{iso} , respectively. These values are system-dependent; with water as solute we obtain (6.150, 3.942) and (8.084, 5.251).

5. CONCLUSIONS

A detailed derivation of the PCM for four-component Hartree–Fock and Kohn–Sham calculations has been presented. The derivation of the first-order response equation including the contributions from a polarizable continuum has also been detailed. The algorithm implemented has been described, and in particular the advantages of the modular programming paradigm adopted have been elucidated. This new functionality implemented in the DIRAC program package will be made available in the DIRAC14 release. We would like to stress the importance of modularity for the work here presented. Use of the flexible API library PCMSolver has effectively enabled us to use a tested and standardized implementation of the PCM related tasks in the more general framework of four-component electronic-structure theory. PCMSolver clearly implements the emerging ideas in modern programming techniques, such as abstraction, data hiding, and, above all, code reusability. The few results summarized in this paper show some of the many potential applications of the four-component PCM-SCF scheme. Calculation of excitation energies using our LR-PCM-SCF algorithm is possible with a

small additional coding effort, to properly take into account the effect of nonequilibrium solvation on the excitation process.¹¹ Further developments of the work here presented regard the calculation of parameters relevant for magnetic spectroscopies and the extension to two-component Hamiltonian models.⁶⁵ Both lines of development are currently being investigated.

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ACKNOWLEDGMENTS

One of the authors (L.F.) has had the privilege of graduating under Prof. Jacopo Tomasi's supervision, appreciating his passion for science, his curiosity for new developments, and his immense knowledge of the scientific literature. The authors would like to thank Prof. B. Mennucci for useful discussions. R.D.R. gratefully acknowledges the financial support from the Erasmus Lifelong Learning Programme during his stay in Toulouse. This work has been supported by the Research Council of Norway through a Centre of Excellence Grant (Grant No. 179568/V30) and through a NOTUR allocation of computer resources (Grant No. NN4654K).

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