

# The Chomsky Hierarchy

Formal Grammars,  
Languages, and the  
Chomsky-Schützenberger  
Hierarchy

# Overview

- ▶ 01 Personalities
- ▶ 02 Grammars and languages
- ▶ 03 The Chomsky hierarchy
- ▶ 04 Conclusion

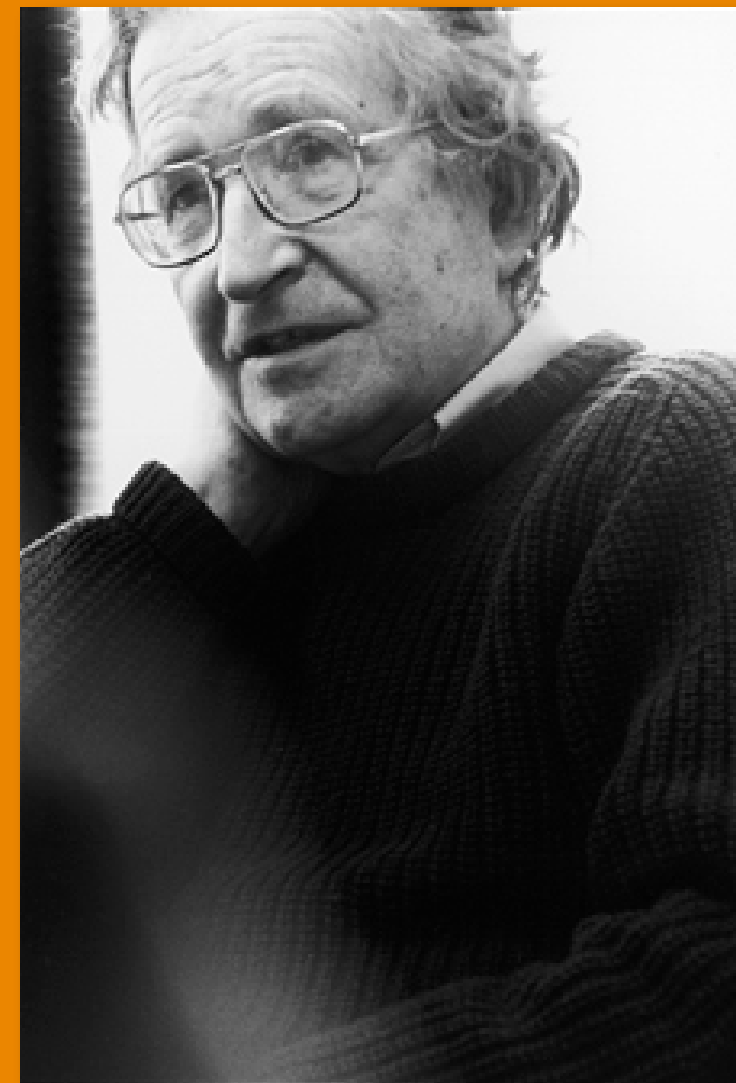
# Personalities

Noam Chomsky  
Marcel Schützenberger  
Others...

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# Noam Chomsky

- ▶ Born December 7, 1928
- ▶ Currently Professor Emeritus of linguistics at MIT
- ▶ Created the theory of generative grammar
- ▶ Sparked the cognitive revolution in psychology



# Noam Chomsky

- ▶ From 1945, studied philosophy and linguistics at the University of Pennsylvania
- ▶ PhD in linguistics from University of Pennsylvania in 1955
- ▶ 1956, appointed full Professor at MIT, Department of Linguistics and Philosophy
- ▶ 1966, Ferrari P. Ward Chair; 1976, Institute Professor; currently Professor Emeritus

# Contributions

## ▶ Linguistics

- Transformational grammars
- Generative grammar
- Language acquisition

## ▶ Computer Science

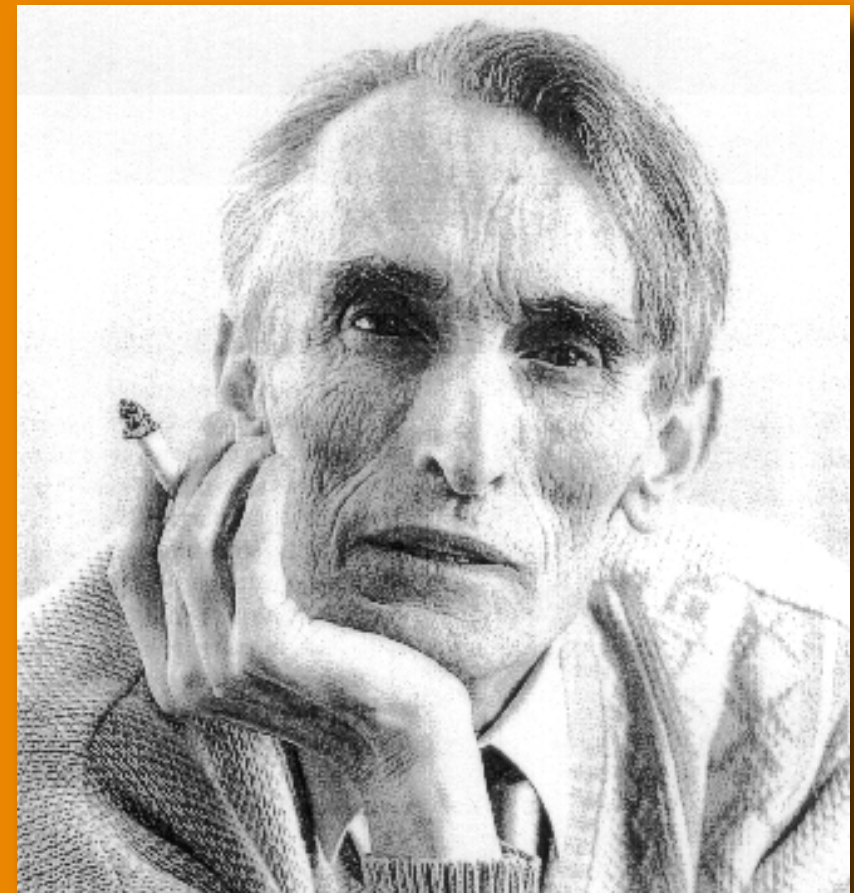
- Chomsky hierarchy
- Chomsky Normal Form
- Context Free Grammars

## ▶ Psychology

- Cognitive Revolution (1959)
- Universal grammar

# Marcel-Paul Schützenberger

- ▶ Born 1920, died 1996
- ▶ Mathematician, Doctor of Medicine
- ▶ Professor of the Faculty of Sciences, University of Paris
- ▶ Member of the Academy of Sciences



# Marcel-Paul Schützenberger

- ▶ First trained as a physician, doctorate in medicine in 1948
- ▶ PhD in mathematics in 1953
- ▶ Professor at the University of Poitiers, 1957-1963
- ▶ Director of research at the CNRS, 1963-1964
- ▶ Professor in the Faculty of Sciences at the University of Paris, 1964-1996



# Contributions

- ▶ Formal languages with Noam Chomsky
  - Chomsky-Schützenberger hierarchy
  - Chomsky-Schützenberger theorem
- ▶ Automata with Samuel Ellenberger
- ▶ Biology and Darwinism
  - Mathematical critique of neo-darwinism (1966)

# Grammars and languages

Definitions

Languages and grammars

Syntax and semantics

02

# Definitions

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Noam Chomsky, *On Certain Formal Properties of Grammars*, Information and Control, Vol 2, 1959

# Definitions

- ▶ **Language:** “A language is a collection of sentences of finite length all constructed from a finite alphabet of symbols.”

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- ▶ **Language:** “A language is a collection of sentences of finite length all constructed from a finite alphabet of symbols.”
- ▶ **Grammar:** “A grammar can be regarded as a device that enumerates the sentences of a language.”
- ▶ A grammar of  $L$  can be regarded as a function whose range is exactly  $L$

# Types of grammars

- ▶ **Prescriptive** prescribes authoritative norms for a language
- ▶ **Descriptive** attempts to describe actual usage rather than enforce arbitrary rules
- ▶ **Formal** a precisely defined grammar, such as context-free
- ▶ **Generative** a formal grammar that can “generate” natural language expressions



# Formal grammars

- ▶ Two broad categories of formal languages: **generative** and **analytic**
- ▶ A generative grammar formalizes an algorithm that generates valid strings in a language
- ▶ An analytic grammar is a set of rules to reduce an input string to a boolean result that indicates the validity of the string in the given language.
- ▶ A generative grammar describes how to *write* a language, and an analytic grammar describes how to *read* it (a parser).

- ▶ Chomsky posits that each sentence in a language has two levels of representation: **deep structure** and **surface structure**
- ▶ Deep structure is a direct representation of the semantics underlying the sentence
- ▶ Surface structure is the syntactical representation
- ▶ Deep structures are mapped onto surface structures via *transformations*

# Transformational grammars

usually synonymous  
with the more specific  
transformational-generative  
grammar (TGG)

# Formal grammar

- ▶ A formal grammar is a quad-tuple  $G = (N, \Sigma, P, S)$  where
  - $N$  is a finite set of non-terminals
  - $\Sigma$  is a finite set of terminals and is disjoint from  $N$
  - $P$  is a finite set of production rules of the form
$$w \in (N \cup \Sigma)^* \rightarrow w \in (N \cup \Sigma)^*$$
  - $S \in N$  is the start symbol

# The Chomsky hierarchy

Overview

Levels defined

Application and benefit

03

# The hierarchy

- ▶ A containment hierarchy (strictly nested sets) of classes of formal grammars

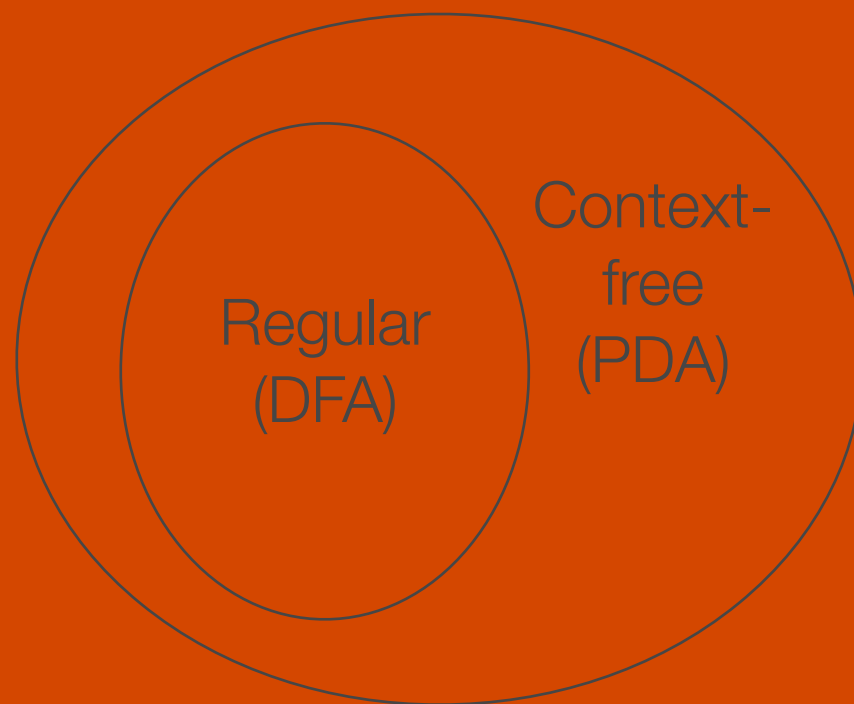
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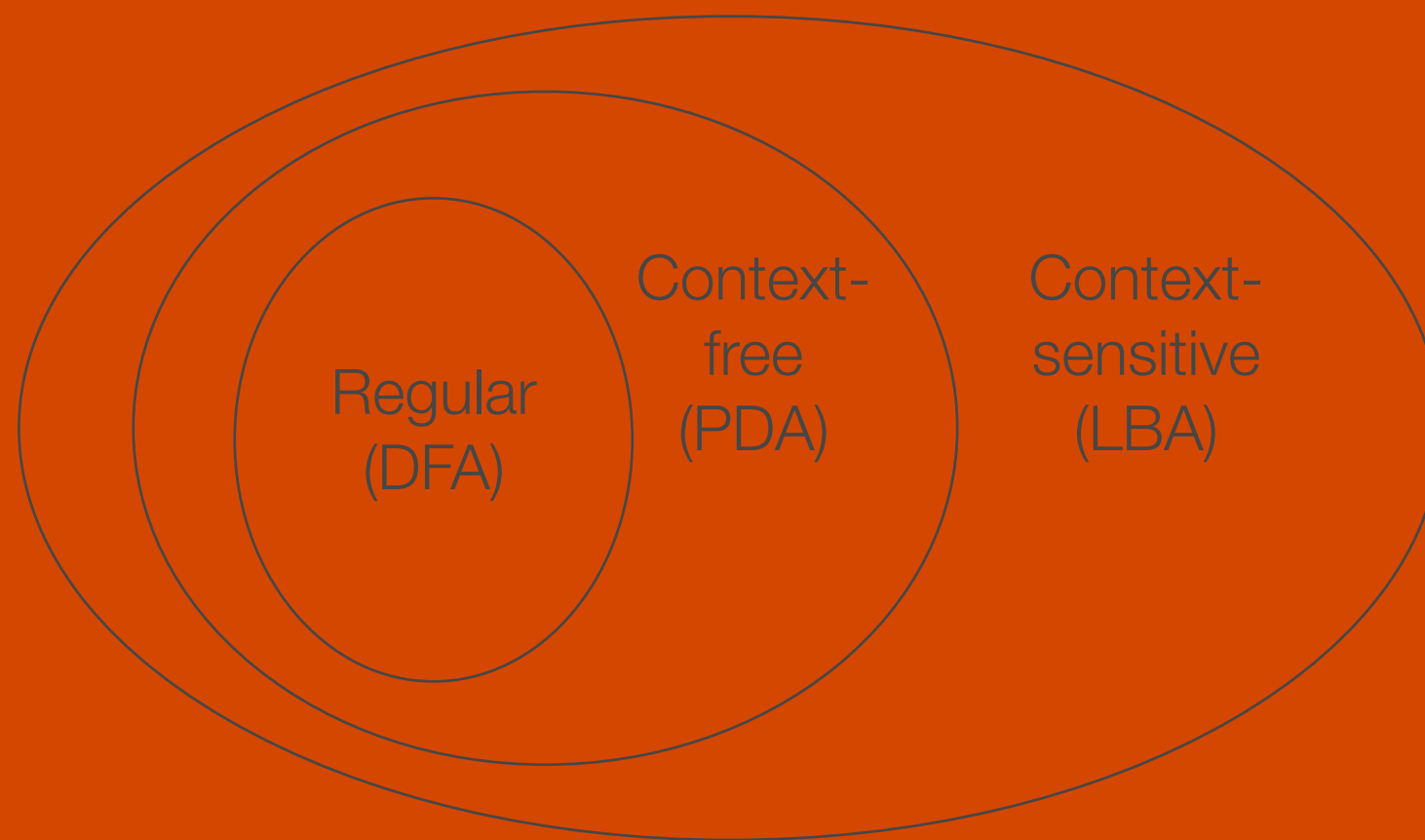
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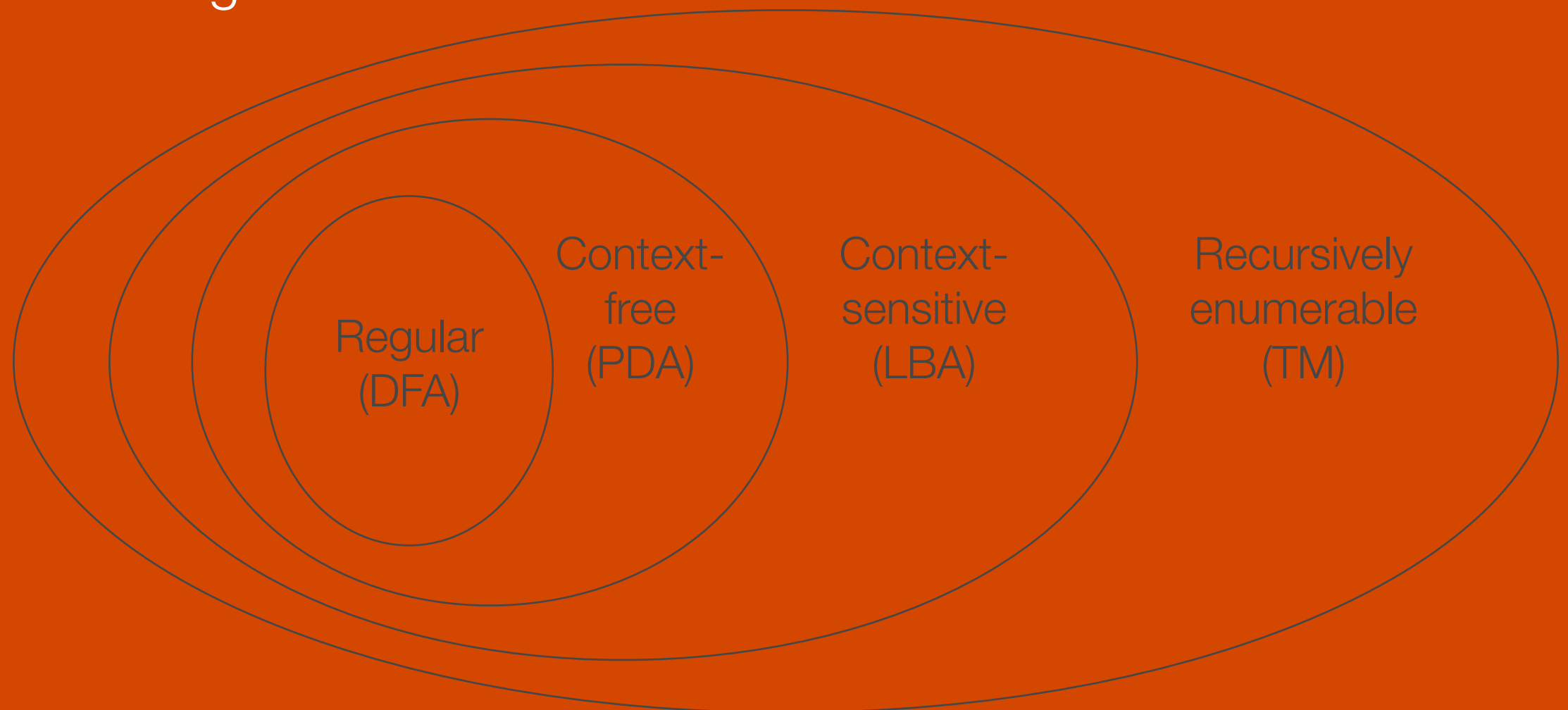
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# The hierarchy

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**Class**

**Grammars**

**Languages**

**Automaton**

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# The hierarchy

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# Type 0

## Unrestricted

- ▶ Languages defined by Type-0 grammars are accepted by Turing machines
- ▶ Rules are of the form:  $\alpha \rightarrow \beta$ , where  $\alpha$  and  $\beta$  are arbitrary strings over a vocabulary  $V$  and  $\alpha \neq \varepsilon$

- Languages defined by Type-0 grammars are accepted by linear-bounded automata

- Syntax of some natural languages (Germanic)

- Rules are of the form:

$$\alpha A \beta \rightarrow \alpha B \beta$$

$$S \rightarrow \varepsilon$$

where

$$A, S \in N$$

$$\alpha, \beta, B \in (N \cup \Sigma)^*$$

$$B \neq \varepsilon$$

# Type 1

## Context-sensitive



# Type 2

## Context-free

- ▶ Languages defined by Type-2 grammars are accepted by push-down automata
- ▶ Natural language is almost entirely definable by type-2 tree structures
- ▶ Rules are of the form:  
$$A \rightarrow \alpha$$
where  
$$A \in N$$
$$\alpha \in (N \cup \Sigma)^*$$

- Languages defined by Type-3 grammars are accepted by finite state automata

- Most syntax of some informal spoken dialog

- Rules are of the form:

$$A \rightarrow \varepsilon$$

$$A \rightarrow \alpha$$

$$A \rightarrow \alpha B$$

where

$$A, B \in N \text{ and } \alpha \in \Sigma$$

# Type 3

## Regular

# Programming languages

- ▶ The syntax of most programming languages is context-free (or very close to it)
  - EBNF / ALGOL 60
- ▶ Due to memory constraints, long-range relations are limited
- ▶ Common strategy: a relaxed CF parser that accepts a superset of the language, invalid constructs are filtered
- ▶ Alternate grammars proposed: indexed, recording, affix, attribute, van Wijngaarden (VW)

# Conclusion

Conclusion  
References  
Questions

04

# Why?

- ▶ Imposes a logical structure across the language classes
- ▶ Provides a basis for understanding the relationships between the grammars

# References

Noam Chomsky, *On Certain Formal Properties of Grammars*, Information and Control, Vol 2 (1959), 137-167

Noam Chomsky, *Three models for the description of language*, IRE Transactions on Information Theory, Vol 2 (1956), 113-124

Noam Chomsky and Marcel Schützenberger, *The algebraic theory of context free languages*, Computer Programming and Formal Languages, North Holland (1963), 118-161

# Further information

**Wikipedia entry on Chomsky hierarchy and Formal grammars**

[http://en.wikipedia.org/wiki/Chomsky–Schützenberger\\_hierarchy](http://en.wikipedia.org/wiki/Chomsky–Schützenberger_hierarchy)

[http://en.wikipedia.org/wiki/Formal\\_grammar](http://en.wikipedia.org/wiki/Formal_grammar)

**Programming Language Concepts (section on Recursive productions and grammars)**

<http://www.cs.rit.edu/~afb/20013/plc/slides/>

**Introduction to Computational Phonology**

<http://www.spectrum.uni-bielefeld.de/Classes/Winter97/IntroCompPhon/compphon/>

# Questions

## Comments