## Everything I know about Subset Sum Reconfiguration

Robin Houston · July 17, 2013

This is a brief summary of everything I know about the subset sum reconfiguration problem as of four o'clock in the afternoon of Monday the 15th of July. It is brief by necessity, because I know so little. **Update on Tuesday**: I found out another thing.

**Proposition 1.** Subset sum reconfiguration is weakly NP-hard. (Demaine-Ito)

*Proof.* The ordinary subset sum problem may be reduced to subset sum reconfiguration. Given elements  $a_1, \ldots, a_m$  with target sum t, build an SSR instance with elements  $a_1, \ldots, a_m$  having the corresponding weights,  $t_1$  and  $t_2$  having weight t, threshold values k = t and c = 2t, starting configuration  $t_1$  and ending configuration  $t_2$ . At some point element  $t_1$  must move from inside to outside: the first time it does, the inside set during the move must consist of some collection of a elements that sum to a.

**Proposition 2.** For all n > 1, there is an n-element SSR instance whose configuration graph has diameter 2n - 2.

*Proof.* We exhibit in terms of a parameter *m*:

- an instance with 2m + 2 elements and a shortest solution with 4m + 2 steps;
- if m > 0, an instance with 2m + 1 elements and a shortest solution with 4m + 1 steps.

The instance with 2m + 2 elements has elements  $a_1, \ldots, a_m, b_1, \ldots, b_m, x$  and y. For the weights, let  $w(a_i) = m + 1$  and  $w(b_i) = m + 2$  for all i. Let w(x) = w(y) = m(m + 2). The starting configuration is  $a_1, \ldots, a_m, x$  and the target configuration is  $a_1, \ldots, a_m, y$ . For threshold values let k = m(m + 2) and c = 2m(m + 2).

The first time x moves, the weights of the remaining elements inside must sum to m(m+2). In particular, these weights must sum to m modulo m+1, hence the inside elements at this point must include all the b's. Since the weight of x plus the b's equals the capacity c, the elements inside at this point must therefore be precisely all the b's. So all the a's and b's have to move twice, and x and y once each, for a total of 4m+2 moves. To obtain the instance with 2m+1 elements, remove the element  $a_1$ .

**Remark 3.** The lower bound exhibited in Proposition 2 is optimal for n < 8. I have verified this by exhaustive enumeration using the program  $ssr\_graphs.py$ .

**Proposition 4.** For all m, there is a (5m + 3)-element SSR instance that has an element that must move at least 2m + 1 times in any solution sequence.

*Proof.* The elements are  $x_1, \ldots, x_{2m+1}$  of weight 3,  $a_1, \ldots, a_{3m+1}$  of weight 2, and b of weight 1. Let k = 6m and c = 6m + 3. The starting configuration is  $x_1, \ldots, x_{2m+1}$ , and the target is  $a_1, \ldots, a_{3m+1}, b$ . For  $i = 0, 1, \ldots, 2m$ , consider the first move after which there are precisely 2m - i of the x elements inside. That means the a's and possibly b inside at this point must sum to 3i. If i is odd then, since the a's all have even weight, b must be inside at this point; similarly if i is even then b must be outside. So element b moves at least 2m + 1 times.

1

## New finding

The construction of Proposition 4 can be modified to give a quadratic lower bound for the diameter of the reconfiguration graph.

**Proposition 5.** For all m, there is a (6m+2)-element SSR instance that requires  $2m^2+6m+2$  moves.

*Proof.* The elements are  $x_1, \ldots, x_{2m+1}$  of weight  $3m, a_1, \ldots, a_{3m+1}$  of weight 2m, and  $b_1, \ldots, b_m$  of weight 1. Let  $k = 6m^2$  and  $c = 6m^2 + 3m$ . The starting configuration is  $x_1, \ldots, x_{2m+1}$ , and the target is  $a_1, \ldots, a_{3m+1}, b_1, \ldots, b_m$ . For  $i = 0, 1, \ldots, 2m$ , consider the first move after which there are precisely 2m - i of the x elements inside. That means the a's and b's inside at this point must sum to 3im. If i is odd then, since the a's all weigh 2m, all the b's must be inside at this point; similarly if i is even then the b's must all be outside. So the b elements each move at least 2m + 1 times. Therefore the total number of moves must be at least (2m + 1) + (3m + 1) + (2m + 1)m, which is equal to  $2m^2 + 6m + 2$  as required.