

**CAMPUS BRUGGE** 

# Genetic sequence alignment acceleration using a FPGA based platform

What methods can be used to accelerate the Smith-Waterman algorithm for genetic sequence alignment with an FPGA equipped platform?

**Robin NOLLET** 

Supervisors:

Ing. Václav Šimek

Ing. Jonas Lannoo

Master Thesis to obtain the degree of Master of Science in Engineering Technology: Electronical engineering

Academic Year 2019 - 2020

# ©Copyright KU Leuven Without written permission of the supervisor(s) and the author(s) it is forbidden to reproduce or adapt in any form or by any means any part of this publication. Requests for obtaining the right to reproduce or utilise parts of this publication should be addressed to KU Leuven Campus Brugge, Spoorwegstraat 12, B-8000 Brugge, +32 50 66 48 00 or via e-mail iiw.brugge@kuleuven.be.

# **Acknowledgements**

Het voorwoord vul je persoonlijk in met een appreciatie of dankbetuiging aan de mensen die je hebben bijgestaan tijdens het verwezenlijken van je masterproef en je hebben gesteund tijdens je studie.

# **Summary**

De (korte) samenvatting, toegankelijk voor een breed publiek, wordt in het Nederlands geschreven en bevat **maximum 3500 tekens**. Deze samenvatting moet ook verplicht opgeladen worden in KU Loket.

## **Abstract**

Het extended abstract of de wetenschappelijke samenvatting wordt in het Engels geschreven en bevat **500 tot 1.500 woorden**. Dit abstract moet **niet** in KU Loket opgeladen worden (vanwege de beperkte beschikbare ruimte daar).

Keywords: Voeg een vijftal keywords in (bv: Latex-template, thesis, ...)

# **Contents**

A	ments	iii			
Summary					
Αŀ	ostra	ct		v	
Та	ble o	f conte	ents	vii	
Li	st of	figures		viii	
Li	st of	tables		ix	
Li	st of	symbo	Is	X	
Li	st of	abbrev	iations	xi	
1	Intro	oductio	on .	1	
2	Bioi	nforma	atics and embedded systems	2	
	2.1	Introdu	uction to bioinformatics	2	
		2.1.1	Biology and DNA	2	
		2.1.2	Sequencing and the need for Bioinformatics	4	
		2.1.3	DNA sequence aligning	4	
		2.1.4	Clinical applications	4	
	2.2	Platfor	rms for sequence alignment algorithms	4	
		2.2.1	CPU	4	
		2.2.2	GPU	4	
		2.2.3	FPGA	4	
		2.2.4	ASIC	4	
	23	Proble	am definition	1	

CONTENTS vii

3	Methods for genetic sequence alignment				
	3.1	Local VS global alignment	5		
	3.2	ommonly used algorithms			
		3.2.1 Dynamic programming algorithms	5		
		3.2.2 Heuristic algorithms	5		
	3.3	algorithm selection	5		
		3.3.1 Smith Waterman	5		
4	Reference mapping accelerated				
	4.1	problems with the direct approach	6		
	4.2	acceleration techniques	6		
5	Sys	tem implementation for reference genome mapping	7		
6	6 implementation results and speedup				
7	7 Conclusion and future research				
Α	Uitle	eg over de appendices	11		

# **List of Figures**

# **List of Tables**

# List of symbols

Maak een lijst van de gebruikte symbolen. Geef het symbool, naam en eenheid. Gebruik steeds SIeenheden en gebruik de symbolen en namen zoals deze voorkomen in de hedendaagse literatuur
en normen. De symbolen worden alfabetisch gerangschikt in opeenvolgende lijsten: kleine letters,
hoofdletters, Griekse kleine letters, Griekse hoofdletters. Onderstaande tabel geeft het format dat
kan ingevuld en uitgebreid worden. Wanneer het symbool een eerste maal in de tekst of in een
formule wordt gebruikt, moet het symbool verklaard worden. Verwijder deze tekst wanneer je je
thesis maakt.

 $egin{array}{ll} b & {
m Breedte} & [mm] \\ A & {
m Oppervlakte\ van\ de\ dwarsdoorsnede} & [mm^2] \\ c & {
m Lichtsnelheid} & [m/s] \\ \end{array}$ 

# List of abbreviations

# Introduction

# Bioinformatics and embedded systems

#### 2.1 Introduction to bioinformatics

#### 2.1.1 Biology and DNA

#### 2.1.1.1 History of genetics and DNA

**The birth of genetics** For thousands of years, humans have observed the effects of heredity and implemented their knowledge to domesticate plants and animals. However, the science behind heredity was only started to be understood since 1859 with the publication of *on the origin of species* by Charles Darwin.

Around 1865, Austrian monk and botanist Gregor Mendel, who studied at the university in Brno in the current Czech Republic, published his results on the hybridization studies of pea plants. He is often credited as being the father of modern genetics. In his findings, he implemented the role of *factors* that influence the expression of traits. These factors later became known as *genes*.



Gregor Mendel

**The birth of molecular biology** In 1869, Swiss physician Friedrich Miescher discovered a microscopic substance in the pus of discarded surgical bandages. Later, in 1909, Phoebus Levene proposed the idea that this discovered substance is DNA.

The full structure of DNA was discovered by Francis Crick and James Watson at the Cavendish Laboratory at the University of Cambridge.

#### 2.1.1.2 Structure of DNA

DNA, or Deoxyribonucleic Acid, is what stores the genetic information of all living organisms. It is the information that programs all of the activities in a cell.

Structurally, DNA is a polymer, which means each molecule is built up out of small repeating molecular units. In DNA, these units are called *nucleotides*.

Each nucleotide consists of 3 parts:

- 1. A carbon sugar molecule called *Deoxyribose*.
- 2. A phosphate group to connect the Deoxyribose molecules with eachother.
- 3. One of four possible nitrogen bases: Adenine (A), Thymine (T), Cytosine (C) or Guanine (G)

It is important to note that in most living organisms DNA does not exist as a single polymer, but rather a pair of molecules that are held tightly together. This is the famous *double helix*.

Like in any good structure, there is a need for the main support. In DNA, the sugars and phosphates bond together to form twin backbones. These sugar-phosphate bonds run down each side of the helix, but chemically in opposite directions.

The first phosphate group, at the start of the molecule, connects to the sugar group's 5th carbon. At the end of the structure, the 3rd carbon of the sugar group is unconnected. This makes a pattern typically noted as  $[5' \to 3']$ . Now, since the other molecule in the helix goes in the opposite direction, the pattern of the other backbone is typically noted as  $[3' \to 5']$ .

These two long chains are linked together by the nitrogen bases via their relatively weak hydrogen bonds, but there can't just be any pair of nitrogen bases. Adenine can only make hydrogen bonds with Thymine, likewise, Guanine can only bond with Cytosine. These bonded nitrogen bases are called *base paires*.

It is the order of these bases, which is also called the *sequence*, that allows this DNA to store useful information. In this way, e.g. AGGTCCATG means something completely different as a base sequence than e.g. TTCCAGATC.

Since each of the bases in the sequence has only one possible counterpart, you can predict what its matching counterpart will be in the opposite string. For example:

If the following sequence is known

$$[5' - AGGTCCG - 3']$$

we can deduce the sequence in the other direction as

$$[3' - TCCAGGC - 5']$$

#### 2.1.1.3 DNA in the human body

In human cells, DNA molecules can be found in the nucleus of all cells in the body. It consists of 46 very long molecules, which during cell division condense in what we call *chromosomes*. The only exception is reproductive cells, which only have 23 chromosomes. These chromosomes are packed tightly together in the nucleus of the cell. If all of these chromosomes are put together, this

makes about 3 billion base pairs. These 3 billion base pairs provide the assembly instructions for pretty much everything inside the cell.

These 46 chromosomes, which make up our whole DNA, are always present in pairs in the cells. Each time, the pair consists of one chromosome from each parent.

These 23 chromosome pairs are classified in

- 22 pairs of autosomal chromosomes. These are marked 1 to 22 according to the length of the sequence. The longest chromosome (chromosome number-1) is 248,956,422 bases long. The shortest (chromosome number-22) is 50,818,468 bases long.
- In each cell, there is also an X chromosome plus an X or Y, dependent on the gender.
- 2.1.2 Sequencing and the need for Bioinformatics
- 2.1.3 DNA sequence aligning
- 2.1.4 Clinical applications
- 2.2 Platforms for sequence alignment algorithms
- 2.2.1 CPU
- 2.2.2 GPU
- 2.2.3 FPGA
- 2.2.4 ASIC
- 2.3 Problem definition

# Methods for genetic sequence alignment

- 3.1 Local VS global alignment
- 3.2 commonly used algorithms
- 3.2.1 Dynamic programming algorithms
- 3.2.1.1 Needleman-Wunsch
- 3.2.1.2 Smith-Waterman
- 3.2.2 Heuristic algorithms
- 3.2.2.1 FASTA
- 3.2.2.2 BLAST
- 3.3 algorithm selection
- 3.3.1 Smith Waterman

# Reference mapping accelerated

- 4.1 problems with the direct approach
- 4.2 acceleration techniques

# System implementation for reference genome mapping

...

# implementation results and speedup

8

# **Conclusion and future research**

# **Bibliography**

- Aad, G., Abajyan, T., Abbott, B., Abdallah, J., Khalek, S. A., Abdelalim, A., Abdinov, O., Aben, R., Abi, B., Abolins, M., et al. (2012). Observation of a new particle in the search for the standard model higgs boson with the atlas detector at the lhc. *Physics Letters B*, 716(1):1–29.
- Cottrell, J. A., Hughes, T. J., and Bazilevs, Y. (2009). *Isogeometric analysis: toward integration of CAD and FEA*. John Wiley & Sons.
- Hughes, T. J., Cottrell, J. A., and Bazilevs, Y. (2005). Isogeometric analysis: Cad, finite elements, nurbs, exact geometry and mesh refinement. *Computer methods in applied mechanics and engineering*, 194(39):4135–4195.

## Appendix A

# Uitleg over de appendices

Bijlagen worden bij voorkeur enkel elektronisch ter beschikking gesteld. Indien essentieel kunnen in overleg met de promotor bijlagen in de scriptie opgenomen worden of als apart boekdeel voorzien worden.

Er wordt wel steeds een lijst met vermelding van alle bijlagen opgenomen in de scriptie. Bijlagen worden genummerd het een drukletter A, B, C,...

Voorbeelden van bijlagen:

Bijlage A: Detailtekeningen van de proefopstelling

Bijlage B: Meetgegevens (op USB)

