

ASIC Financial Advisers Register – Help File

Contributor: Andrew M, Asher B, Nicholas R

Version: 1.5



ASIC

Australian Securities & Investments Commission

ASIC Financial Advisers Register

HELP FILE

Version: 1.5

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Getting Started

1. Purpose

This document is to provide detailed context and understanding of the Financial Advisers register files uploaded to Data.gov.au by ASIC each month.

2. Financial Advisers Register

The ASIC Financial Advisers register file as it appears on data.gov.au is a dataset from ASIC's Financial Advisers register. This dataset does not contain all the information captured on ASIC's register but is a snapshot of Financial Advisers data from a point in time.

The Financial Advisers register dataset contains the following:

- Select fields that are publicly available (see data dictionary for field details);
- All currently registered Financial Advisers that are able to be uploaded to data.gov.au; and
- Ceased Financial Advisers that are able to be uploaded to data.gov.au.

2.1. File Format

The Financial Advisers dataset is provided in a file with the extension of csv (comma separated values).

Important note: The data contained within the Financial Advisers register csv is **not** separated by commas.

The Financial Advisers register csv uses the 'TAB' character as the delimiter (value separator) between values in the dataset; the 'TAB' character is used in place of a comma separator because names can contain commas and therefore is not a suitable delimiter for this dataset.

An example of the data in the Financial Advisers register csv is as follows

REGISTER_NAME	ADV_NAME	ADV_NUMBER	ADV_ROLE	ADV_SUB_TYPE
ADV_ROLE_STATUS	ADV_ABN	ADV_FIRST_PROVIDED	ADVICE	LICENCE_NAME
LICENCE_NUMBER	LICENCE_ABN	LICENCE_CONTROLLED_BY	ADV_START_DATE	
ADV_END_DATE		ADV_CPD_FAILURE_YEAR	ADV_ADD_LOCAL	
ADV_ADD_STATE	ADV_ADD_PCODE	ADV_ADD_COUNTRY		
REP_APPOINTED_NAME	REP_APPOINTED_NUM	REP_APPOINTED_ABN		

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ADV_DA_START_DATE ADV_DA_END_DATE ADV_DA_TYPE FIN_CARBUNIT FIN_DEPPAY_DPNONBAS FIN_DEPPAY_DPNONCSH FIN_DERIV FIN_DERIV_DERWOOL FIN_DERIV_DERELEC FIN_DERIV_DERGRAIN FIN_FOREXCH FIN_GOVDEB FIN_LIFEPROD_LIFEINV FIN_LIFEPROD_LIFERISK FIN_LIFEPROD_LIFERISK_LIFECONS FIN_MINV_MIEXCLUDEIDPS FIN_MINV_MIINCLUDEIDPS FIN_MINV_MIIDPSONLY FIN_MINV_MIOWN FIN_MINV_MIHORSE FIN_MINV_MITIME FIN_MINV_IMISFIN_RSA FIN_SECUR FIN_SUPER FIN_SUPER_SMSUPER FIN_SUPER_SUPERHOLD FIN_STDMARGLEND FIN_NONSTDMARGLEND FIN_AUSCARBCRDUNIT FIN_ELIGINTREMSUNIT FIN_MISFIN_MISMDA FIN_MISFIN_MISFINRP FIN_MISFIN_MISFINIP FIN_FHSANONADIDUMMY CLASSES_SECUR CLASSES_SMIS CLASSES_LIFEPROD_LIFERISK CLASSES_SUPER QUALIFICATIONS_AND_TRAINING ADV_FASEA_APPROVED_QUAL MEMBERSHIPS FURTHER_RESTRICTIONS											
KEVIN SMITH 1001121 Financial Adviser Provisional Financial Adviser Current 1989 EAST BANKING CORPORATION 111711 83031151141 EAST BANKING CORPORATION 13/10/2011 25/12/2019 Traralgon Vic 2844 Australia 14/12/2012 EAST BANKING CORPORATION 111711 23001451141 1 0 0 0 0 0 0 0 1 1 1 0 0 1 0 0 0 0 0 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 Diploma of Financial Planning - Kaplan Professional Education ~ Margin Lending - Kaplan Professional Education ~ Principles of Advanced Estate Planning (PAEP) - Monarch Institute Financial Planning Association of Australia (FPA) 1984 - Diploma of financial planning - Graduate Diploma (AQF-8) - AU UNI											
JOHN JONES 214912 Financial Adviser Financial Adviser Current 2001 PROFESSIONAL SERVICES PTY LTD 231944 22074608552 NORTHPOINT WEALTH PTY LTD 21/06/2007 PROFESSIONAL INVESTMENT SERVICES PTY LTD 234944 22074608552 1 0 0 0 0 0 0 0 1 1 1 0 0 1 0 0 0 0 0 1 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 Financial Planning Association of Australia (FPA) restricted from giving advice in Self Managed Superannuation Funds											

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2.2. File interaction

The Financial Advisers register data set contains approximately 70,000 thousand records.

It is recommended users interact with the dataset on data.gov.au via one of the following methods:

- Dataset Preview on data.gov.au;
- Access resource data via a web API with powerful query support (Web API information can be found in the [main CKAN Data API and DataStore documentation](#)).

2.3. Dataset Fields

The Financial Advisers dataset contains the following fields:

- ADV_NAME
- ADV_NUMBER
- ADV_ROLE
- ADV_SUB_TYPE
- ADV_ROLE_STATUS
- ADV_ABN
- ADV_FIRST_PROVIDED_ADVICE
- LICENCE_NAME
- LICENCE_NUMBER
- LICENCE_ABN
- LICENCE_CONTROLLED_BY
- ADV_START_DT
- ADV_END_DT
- ADV_CPD_FAILURE_YEAR
- ADV_ADD_LOCAL
- ADV_ADD_STATE
- ADV_ADD_PCODE
- ADV_ADD_COUNTRY
- REP_APPOINTED_BY
- REP_APPOINTED_NUM
- REP_APPOINTED_ABN
- ADV_DA_START_DT
- ADV_DA_END_DT
- ADV_DA_TYPE

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- FIN
- FIN_CARBUNIT
- FIN_DEPPAY_DPNONBAS
- FIN_DEPPAY_DPNONCSH
- FIN_DERIV
- FIN_DERIV_DERWOOL
- FIN_DERIV_DERELEC
- FIN_DERIV_DERGRAIN
- FIN_FOREXCH
- FIN_GOVDEB
- FIN_LIFEPROD_LIFEINV
- FIN_LIFEPROD_LIFERISK
- FIN_LIFEPROD_LIFERISK_LIFECONS
- FIN_MINV_MIEXCLUDEIDPS
- FIN_MINV_MIINCLUDEIDPS
- FIN_MINV_MIDDPSONLY
- FIN_MINV_MIOWN
- FIN_MINV_MIHORSE
- FIN_MINV_MITIME
- FIN_MINV_IMIS
- FIN_RSA
- FIN_SECUR
- FIN_SUPER
- FIN_SUPER_SMSUPER
- FIN_SUPER_SUPERHOLD
- FIN_STDMARGLEND
- FIN_NONSTDMARGLEND
- FIN_AUSCARBCRDUNIT
- FIN_ELIGINTREMSUNIT
- FIN_MISFIN_MISMDA
- FIN_MISFIN_MISFINRP
- FIN_MISFIN_MISFINIP
- FIN_FHSANONADIDUMMY
- CLASSES_SECUR
- CLASSES_SMIS
- CLASSES_LIFEPROD_LIFERISK

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- CLASSES_SUPER
- FIN_DERIV_LAWSECURITIES
- FIN_DERIV_LAWFUTURE
- FIN_MINV
- FIN_SECURALL_SECURDEBENT
- FIN_SECURALL
- QUALIFICATIONS_AND_TRAINING
- ADV_FASEA_APPROVED_QUAL
- MEMBERSHIPS
- FURTHER_RESTRICTIONS

A comprehensive description of each field in the dataset is provided in the attached data dictionary ([APPENDIX ITEM](#)).

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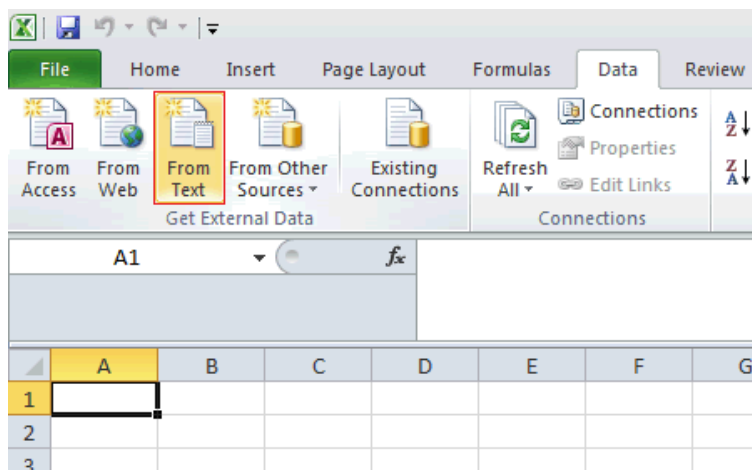
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2.4. File Import into Excel

To import the file to Excel use the following steps:

1. Open Excel with a new blank workbook.
2. Import data by selecting the 'Data' menu and 'From Text' as the external data source.



3. Choose your file as the data source and select 'Import'.

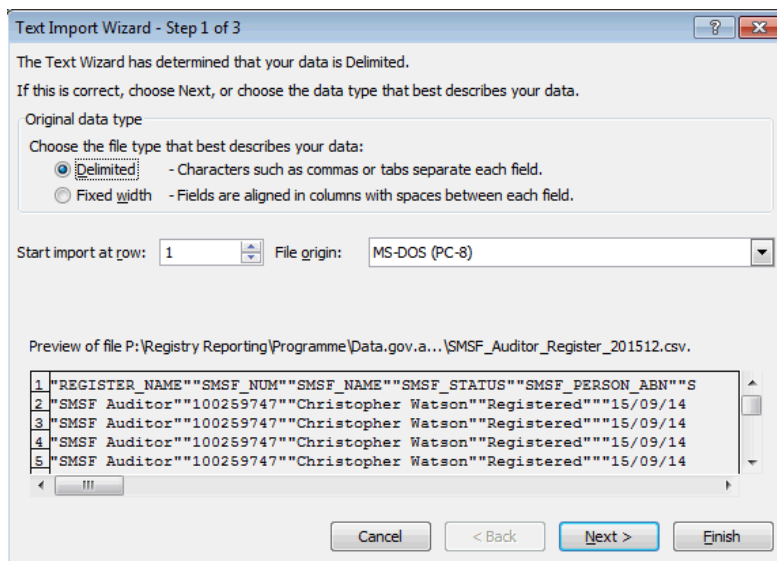
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4. Import Wizard:

- a. Select 'Delimited' as the Original data type then select Next.



The Text Wizard has determined that your data is Delimited.
If this is correct, choose Next, or choose the data type that best describes your data.

Original data type

Choose the file type that best describes your data:

☒ Delimited - Characters such as commas or tabs separate each field.

☐ Fixed width - Fields are aligned in columns with spaces between each field.

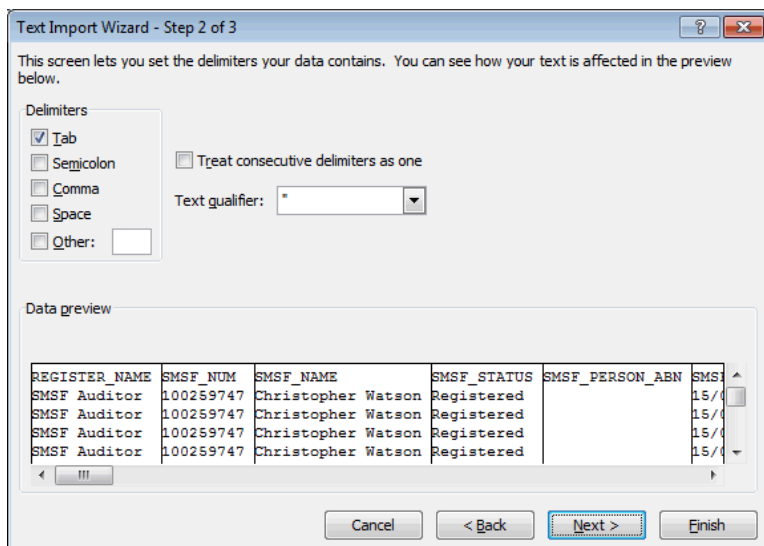
Start import at row: 1 File origin: MS-DOS (PC-8)

Preview of file P:\Registry Reporting\Programme\Data.gov.a...\SMSF_Auditor_Register_201512.csv.

1	REGISTER_NAME	SMSF_NUM	SMSF_NAME	SMSF_STATUS	SMSF_PERSON_ABN	S
2	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
3	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
4	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	
5	SMSF Auditor	100259747	Christopher Watson	Registered	15/09/14	

Buttons: Cancel, < Back, Next >, Finish

- b. Select 'Tab' as the Delimiter by checking the box and clear the other check boxes. Then select double quotes " as the Text qualifier, and select Next.



This screen lets you set the delimiters your data contains. You can see how your text is affected in the preview below.

Delimiters

☒ Tab

☐ Semicolon

☐ Comma

☐ Space

☐ Other:

☐ Treat consecutive delimiters as one

Text qualifier: "

Data preview

REGISTER_NAME	SMSF_NUM	SMSF_NAME	SMSF_STATUS	SMSF_PERSON_ABN	SMS
SMSF Auditor	100259747	Christopher Watson	Registered	15/0	
SMSF Auditor	100259747	Christopher Watson	Registered	15/0	
SMSF Auditor	100259747	Christopher Watson	Registered	15/0	
SMSF Auditor	100259747	Christopher Watson	Registered	15/0	

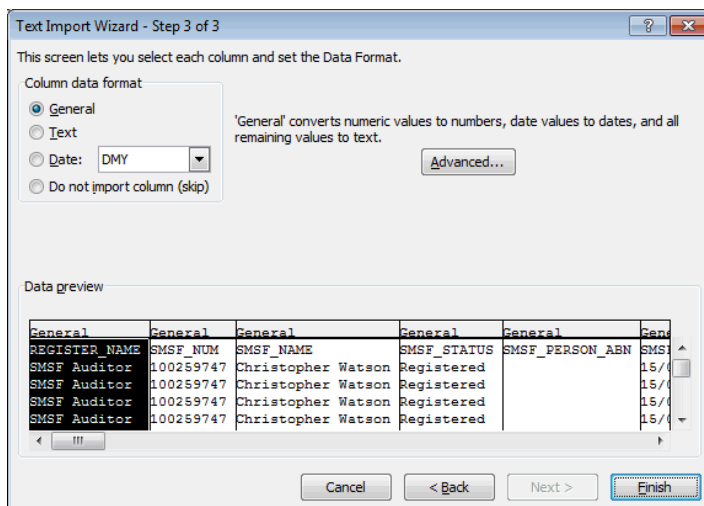
Buttons: Cancel, < Back, Next >, Finish

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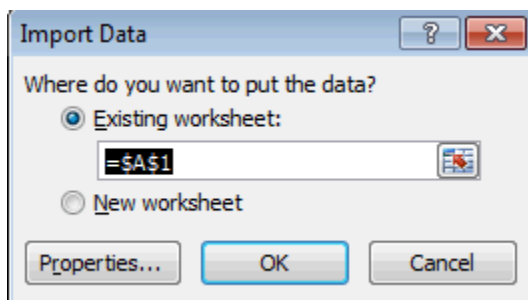
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- c. Select 'General' for Column data format, then select Finish.



5. Put the data into the Existing blank worksheet by entering '=\$A\$1' in the text box. Select OK.



Note:

Support for importing data into Excel workbooks can be found on the Microsoft website

<https://support.office.com/en-za/article/Text-Import-Wizard-c5b02af6-fda1-4440-899f-f78bafef41857>

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3. MoneySmart / ASIC Connect Search

An alternative to searching the data contained in the Financial Advisers Register extract is to use MoneySmart or ASIC Connect.

The financial advisers register is accessed from ASIC's MoneySmart website. Moneysmart provides a free search to assist consumers in choosing and validating a financial adviser.

The register includes:

- the adviser's name, registration number, status, and experience;
- the adviser's qualifications and professional association memberships;
- if applicable, the adviser's licensee and authorised representative;
- what product areas the adviser can provide advice on;
- any bans, disqualifications or enforceable undertakings the adviser may be subject to;
- if applicable, each person who controls the adviser's licensee; and
- if applicable, a link from any ABN of the licensee, authorised representative or adviser to see the business names associated with these ABNs on the Australian Business Register.

ASIC Connect is our online service for interacting with ASIC's registers.

We have three services currently available through ASIC Connect:

- Search Business names register;
- Search Self-managed superannuation fund (SMSF) auditors register; and
- Search companies and other registers (including professional registers).

The professional register search on ASIC Connect includes the financial services licensee and authorised representative register. It includes additional information such as licence conditions, and the specific authorisations for each authorised representative.

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4. Dataset Change Log

Change	Date	Description
Added 5 new products	03/08/2015	Add the following products - FIN_DERIV_LAWFUTURE - FIN_DERIV_LAWSECURITIES - FIN_SECURALL - FIN_SECURALL_SECURDEBENT - FIN_MINV
Multiple Controlling Entity	22/10/2015	In the field LICENCE_CONTROLLED_BY there are now multiple controlling entities displayed with the '~' used as the delimiter
Ceased Controlling Entity	22/10/2015	In the field LICENCE_CONTROLLED_BY both current and ceased controlling entities are shown, when there is a ceased controlling entity it is identified with the following text '[Date Ceased xx/xx/xxxx]'
Help file v1.3	10/05/2016	Added how to import into Excel steps at (1.4)
Added 12 new fields	7/11/2019	New fields in conjunction with the professional standards reforms for financial advisers New fields detailed in section 2.3
Fields removed from planned update	11/11/2019	The following fields are no longer to be included in the update on the 22 nd <ul style="list-style-type: none"> Foreign experience country Professional year start date Professional year end date Date adviser passed FASEA exam Accelerated professional year

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5. Contact

Any further enquiries can be directed to ASIC via email at Access.Request@asic.gov.au

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APPENDIX ITEM 1

ASIC Financial Advisers Register – Data Dictionary

Field	Description	Data Type	Max Length	Data Parameters
ADV_NAME	Name of the Financial Adviser as it appears on ASIC's Financial Advisers Register	Text	250	As appears on ASICs register
ADV_NUMBER	An identifying number allocated to the Financial Adviser.	Text	100	Format: 9 digit numerical including leading zeros (e.g. 012345678)
ADV_ROLE	The role of the Financial Adviser. Should always be Financial Adviser	Text	100	As appears on ASICs register
ADV_SUB_TYPE	The sub type of the financial adviser where relevant.			Values for this field are limited to the following: NULL, Financial Adviser, Provisional Financial Adviser, Time-share Adviser
ADV_ROLE_STATUS	The status of the role the Financial Adviser has been appointed	Text	100	Values for this field are limited to the following: Ceased: The Representative has ceased from this role Current: The Representative currently holds this role
ADV_ABN	The Financial Adviser's Australian Business Number (ABN). The ABN is a single identifier for all business dealings with the Australian Taxation Office (ATO) and for dealings with other government departments and agencies and is shown in the Australian	Text	30	FA_ABN field has the following logic applied IF Licence has an ABN THEN show ABN ELSE show null This is the ABN for the Financial Adviser.

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	Business Register. This number is not issued by ASIC. For further information refer to the Australian Business Register			
ADV_FIRST_PROVIDED_ADVICE	The year the Financial Adviser first provided advice	Numeric	4	Format: YYYY
LICENCE_NAME	Name of the licence holder as it appears on ASIC's Financial Services Licensee Register	Text	250	As appears on ASICs Financial Services Licensee Register
LICENCE_NUMBER	An identifying number allocated to the licence holder	Text	100	Format: 9 digit numerical including leading zeros (e.g. 012345678)
LICENCE_ABN	The licensee's Australian Business Number (ABN). The ABN is a single identifier for all business dealings with the Australian Taxation Office (ATO) and for dealings with other government departments and agencies and is shown in the Australian Business Register. This number is not issued by ASIC. For further information refer to the Australian Business Register	Text	30	<p>Licence_ABN field has the following logic applied</p> <p>IF Licence has an ABN THEN show ABN ELSE show null</p> <p>This is the ABN for the Licence.</p>
LICENCE_CONTROLLED_BY	The company(ies) or people who control the licensee's business. They can sometimes be a majority shareholder or able to control votes at meetings or board composition	Text	4000	<p>As appears on ASICs register. If more than one entry exists they will be concatenated together and separated using the '~' symbol.</p> <p>IF Licence only current controlling entities THEN concatenate current controlling entities</p>

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					ELSE concatenate current and ceased controlling entities, adding the following text for ceased entities '[Date Ceased xx/xx/xxxx]'
ADV_START_DT	The date the Financial Adviser commenced the appointment	Date/Time	10		Date format: DD/MM/YYYY
ADV_END_DT	The date the Financial Adviser ceased the appointment	Date/Time	10		Date format: DD/MM/YYYY
ADV_CPD_FAILURE_YEAR	Continuing Professional Development (CPD) failure year (if applicable)	Text	100		Can be NULL, a 4 digit year e.g 2019, or a list of years delimited by a "~" e.g 2019~2020
ADV_ADD_LOCAL	The town of the current principal business address of the Adviser	Text	255		Locality from the principal business address of the adviser, where available Note: If the country is not Australia the record will be blanks as the location cannot be validated.
ADV_ADD_STATE	The town of the current principal business address of the Adviser	Text	255		State from the principal business address of the adviser, where available Note: If the country is not Australia the record will be blanks as the location cannot be validated.
ADV_ADD_PCODE	The state of the current principal business address of the Adviser	Numeric	4		Postcode from the principal business address of the adviser, where available Note: If the country is not Australia the record will be blanks as the location cannot be validated.

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ADV_ADD_COUNTRY	The country of the current principal business address of the Adviser	Text	255	Country from the principal business address of the adviser, where available
REP_APPOINTED_BY	Name of the Authorised Representative as it appears on ASIC's Financial Services Representative Register that made the appointment	Text	250	As appears on ASICs register
REP_APPOINTED_NUM	An identifying number allocated to the Authorised Representative that made the appointment	Text	100	Format: 9 digit numerical including leading zeros (e.g. 012345678)
REP_APPOINTED_ABN	The Australian Business Number (ABN) of the Authorised Representative that made the appointment. The ABN is a single identifier for all business dealings with the Australian Taxation Office (ATO) and for dealings with other government departments and agencies and is shown in the Australian Business Register. This number is not issued by ASIC. For further information refer to the Australian Business Register	Text	30	FA_ABN field has the following logic applied IF Licence has an ABN THEN show ABN ELSE show null This is the ABN for the Financial Adviser.
ADV_DA_START_DT	Disciplinary actions start date against the Financial Adviser	Date/Time	10	Date format: DD/MM/YYYY
ADV_DA_END_DT	Disciplinary actions end date against the Financial Adviser	Date/Time	10	Date format: DD/MM/YYYY

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ADV_DA_TYPE	Disciplinary action type. ASIC has regulatory powers in relation to financial advisers and can ban individuals from providing financial product advice.	Text	100	As appears on ASICs register
FIN_CARBUNIT	Provide Financial Product Advice - Carbon Credits/Carbon Unit	Numeric	1	<p>The following logic is applied to product authorisation codes.</p> <p>IF Financial Adviser is authorised to provide advice on this product AND their status equals current THEN show 1 ELSE show 0</p> <p>Product Authorisations are for current Financial Advisers only, null values are used for ceased Financial Advisers.</p>
FIN_DEPPAY_DPNONBAS	Provide Financial Product Advice - Deposit and Payment Products/Non-basic Deposit Products	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_DEPPAY_DPNONCSH	Provide Financial Product Advice - Deposit & Payment Products – Non-Cash Deposit Products	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_DERIV	Provide Financial Product Advice - Derivatives	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_DERIV_DERWOOL	Provide Financial Product Advice - Derivatives/Derivatives - Wool only	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_DERIV_DERELEC	Provide Financial Product Advice - Derivatives/Derivatives - Electricity only	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT

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FIN_DERIV_DERGRAIN	Provide Financial Product Advice - Derivatives/Derivatives - Grain only	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_FOREXCH	Provide Financial Product Advice - Foreign Exchange Contracts	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_GOVDEB	Provide Financial Product Advice - Government Debentures, Stocks or Bonds	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_LIFEPROD_LIFEINV	Provide Financial Product Advice - Life Products/Investment Life Insurance Products	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_LIFEPROD_LIFERISK	Provide Financial Product Advice - Life Products/Life Risk Insurance Products	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_LIFEPROD_LIFERISK_LIFECON S	Provide Financial Product Advice - Life Products/Life Products - Consumer Credit Insurance Only	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_MINV_MIEXCLUDEIDPS	Provide Financial Product Advice - Managed Investment Schemes/Managed Investment Schemes, excluding IDPS	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_MINV_MIINCLUDEIDPS	Provide Financial Product Advice - Managed Investment Schemes/Managed Investment Schemes, including IDPS	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_MINV_MIIDPSONLY	Provide Financial Product Advice - Managed Investment Schemes/IDPS Only	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_MINV_MIOWN	Provide Financial Product Advice - Managed Investment Schemes/Own Managed Investment Scheme Only	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT

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FIN_MINV_MIHORSE	Provide Financial Product Advice - Managed Investment Schemes/Horse Racing Syndicate	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_MINV_MITIME	Provide Financial Product Advice - Managed Investment Schemes/Timesharing Scheme	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_MINV_IMIS	Provide Financial Product Advice - Managed Investment Schemes/MDA Services	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_RSA	Provide Financial Product Advice - Retirement Savings Account Products	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_SECUR	Provide Financial Product Advice - Securities	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_SUPER	Provide Financial Product Advice - Superannuation	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_SUPER_SMSUPER	Provide Financial Product Advice - Superannuation/Self-Managed Superannuation Fund	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_SUPER_SUPERHOLD	Provide Financial Product Advice - Superannuation/A person's existing holding in a superannuation product	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_STDMARGLEND	Provide Financial Product Advice - Margin Lending Facility/Standard Margin Lending Facility	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_NONSTDMARGLEND	Provide Financial Product Advice - Margin Lending Facility/Non-Standard Margin Lending Facility	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT

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FIN_AUSCARBCRDUNIT	Provide Financial Product Advice - Carbon Credits/Australian Carbon Credit Unit	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_ELIGINTREMSUNIT	Provide Financial Product Advice - Carbon Credits/Australian Carbon Credit Unit	Numeric	1	Refer to Product Authorisation code FIN_ELIGINTREMSUNIT
FIN_MISFIN_MISMDA	Provide Financial Product Advice - Miscellaneous Financial Facility/Miscellaneous Financial Investment Products - MDA Services	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_MISFIN_MISFINRP	Provide Financial Product Advice - Miscellaneous Financial Facility/Miscellaneous Financial Risk Products	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_MISFIN_MISFINIP	Provide Financial Product Advice - Miscellaneous Financial Facility/Miscellaneous Financial Investment Products	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_FHSANONADIDUMMY	Provide Financial Product Advice - FHSA Issued non ADI	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
CLASSES_SECUR	Provide Class of Product Advice - Securities	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
CLASSES_SMIS	Provide Class of Product Advice - Simple Managed Investment schemes	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
CLASSES_LIFEPROD_LIFERISK	Provide Class of Product Advice - Life risk insurance products	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT

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CLASSES_SUPER	Provide Class of Product Advice – Superannuation	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_DERIV_LAWSECURITIES	Old Law Securities Options Contracts and Warrants Securities	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_DERIV_LAWFUTURE	Old Law Futures Contracts and Warrants	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_MINV	Managed Investment Schemes (Registered or Unregistered)	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_SECURALL_SECURDEBENT	Securities – Debentures Managed Investment Schemes	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
FIN_SECURALL	All Securities	Numeric	1	Refer to Product Authorisation code FIN_CARBUNIT
QUALIFICATIONS_AND_TRAINING	Provides details on the qualifications or training courses the adviser has completed that are relevant to providing financial services	Text	4000	As appears on ASICs register. If more than one entry exists they will be concatenated together and separated by a tilde.
ADV_FASEA_APPROVED_QUAL	Provides details on the qualifications the adviser has completed that are FASEA approved	Text	4000	As appears on ASICs register. If more than one entry exists they will be concatenated together and separated by a tilde.
MEMBERSHIPS	Provides details of memberships of professional bodies or industry associations relevant to providing financial services	Text	4000	As appears on ASICs register. If more than one entry exists they will be concatenated together and separated by a tilde.
FURTHER_RESTRICTIONS	Provides details on areas the Financial Adviser is restricted from giving advice on.	Text	2000	As appears on ASICs register