

Module 8

Plan caching and recompilation

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Module Overview

- Plan cache internals
- Troubleshooting the plan cache
- Query Store
- Automatic tuning

Lesson: Plan cache internals

- Query plan caching and retrieval
- Plan cache management
- Queries without plan cache entries
- Maximizing plan cache efficiency
- Examining the plan cache

Query plan caching and retrieval

- Three plan cache stores
 - Object Plans
 - SQL Plans
 - Bound Trees (algebraizer trees for views)
- Compiled plan is held in a hash bucket in the relevant plan cache store, uniquely identified by a plan handle
- A plan can be reused if bucket hash and plan cache key match
- An executable plan is a session-specific instance of a compiled plan
 - It includes working area for holding variable values and such

Demo Plan cache

SQL Server, Plan Cache object

<https://docs.microsoft.com/en-us/sql/relational-databases/performance-monitor/sql-server-plan-cache-object>

Examining the Performance Impact of an Adhoc Workload

<https://sqlperformance.com/2019/05/sql-plan/perf-impact-adhoc-workload>

Plan cache management

- Plan cache housekeeping will begin when the cache stores hit threshold values
- The least-expensive plans are removed first from the plan cache
- You can manually clear the plan cache in various ways

SQL Server Plan Cache Limits

<https://www.sqlskills.com/blogs/erin/sql-server-plan-cache-limits/>

Eight Different Ways to Clear the SQL Server Plan Cache

<https://www.sqlskills.com/blogs/glenn/eight-different-ways-to-clear-the-sql-server-plan-cache/>

Queries without plan cache entries

- Queries that cannot be cached:
 - Ad hoc and prepared T-SQL statements requiring object name resolution
- Queries marked for recompilation:
 - CREATE...WITH RECOMPILE
 - OPTION (RECOMPILE)
 - EXECUTE...WITH RECOMPILE
- Natively compiled procedures for memory-optimized tables

Maximizing plan cache efficiency

- Auto-parameterization
 - By default, only used for queries with an evenly distributed cardinality
- Optimize for ad hoc workloads
 - Available on both instance and database level
 - Ad hoc plans are cached only on second execution
- Methods used by various APIs and tools
 - Sp_executesql
 - Does parameter sniffing
 - Sp_prepare, sp_execute etc
 - Doesn't use parameter sniffing, it uses the same mechanism as when you use a T-SQL variable
- Object Plans (stored procedures, triggers, functions)
 - Does parameter sniffing

sp_executesql (Transact-SQL)

<https://docs.microsoft.com/en-us/sql/relational-databases/system-stored-procedures/sp-executesql-transact-sql>

sp_prepare (Transact SQL)

<https://docs.microsoft.com/en-us/sql/relational-databases/system-stored-procedures/sp-prepare-transact-sql>

optimize for ad hoc workloads Server Configuration Option

<https://docs.microsoft.com/en-us/sql/database-engine/configure-windows/optimize-for-ad-hoc-workloads-server-configuration-option>

How to Reduce the CPU Overhead of Dynamic SQL

<https://www.brentozar.com/archive/2018/05/using-dynamic-sql-frequently-executed-queries/>

Specify Query Parameterization Behavior by Using Plan Guides

<https://docs.microsoft.com/en-us/sql/relational-databases/performance/specify-query-parameterization-behavior-by-using-plan-guides>

Blitz Result: Forced Parameterization

<https://www.brentozar.com/blitz/forced-parameterization/>

SQL Server Simple and Forced Parameterization

<https://www.mssqltips.com/sqlservertip/2935/sql-server-simple-and-forced-parameterization/>

Can Forced Parameterization Go Wrong?

<https://www.brentozar.com/archive/2018/09/can-forced-parameterization-go-wrong/>

Examining the Plan Cache

- sys.dm_exec_cached_plans
 - One row per cached plan
- sys.dm_exec_query_plan
 - Query plan in XML format
- sys.dm_exec_text_query_plan
 - Query plan in text format
- sys.dm_exec_plan_attributes
 - Plan attributes
- sys.dm_exec_query_stats and sys.dm_exec_procedure_stats
 - Plan statistics

Execution Related Dynamic Management Views and Functions (Transact-SQL)

<https://docs.microsoft.com/en-us/sql/relational-databases/system-dynamic-management-views/execution-related-dynamic-management-views-and-functions-transact-sql>

Lesson: Troubleshooting the plan cache

- Execution plan recompilation
- Recompilation issues
- Problems of the plan cache
- Using the plan cache to guide optimization
- T-SQL query anti-patterns

Execution plan recompilation

- Recompilation occurs when a cached plan is invalidated and a new plan is compiled
- A cached plan may become invalid because:
 - It becomes incorrect (like a schema change)
 - It becomes non-optimal (like a statistics update)
- Recompilation can be tracked using
 - Extended Events
 - Windows Performance Monitor

Understanding SQL Server Recompilations

<https://www.mssqltips.com/sqlservertip/5308/understanding-sql-server-recompilations/>

Recompilation issues

- Parameter sniffing—fewer recompiles than expected. Address with:
 - `OPTION(RECOMPILE)`
 - `OPTION(OPTIMIZE FOR...)`
- Statistics changes
 - `KEEP PLAN` query hint reduces recompilations caused by statistics changes
 - `KEEPFIXED PLAN` query hint prevents recompilations caused by statistics changes

Hints (Transact-SQL) – Query

<https://docs.microsoft.com/en-us/sql/t-sql/queries/hints-transact-sql-query>

KEEP PLAN Demystified

<https://straightforwardsql.com/posts/keep-plan-demystified/>

Problems of the plan cache

- Plan cache bloat:
 - Caused by multiple copies of the same query execution plan entering the cache
- Addressed by:
 - Code changes
 - Optimize for ad hoc workloads setting (server or database level)
 - FORCED PARAMETERIZATION database level

Using the Plan Cache to Guide Optimization

- Many performance measures are captured for cache plans in `sys.dm_exec_query_stats` and `sys.dm_exec_procedure_stats`
- You can use these DMVs to identify candidate statements for optimization and performance tuning
 - Query store is way superior for these purposes, though

- Avoid below if you care about performance
 - Cursors
 - Using DISTINCT indiscriminately
 - Scalar functions
 - Unless you are on 2019 with database compatibility level 2019
 - Verify that UDF inlining work for you, though
 - Calculations on the column-side
 - YEAR(OrderDate) = 2022
 - Non-matching data types
 - Pass the value from the client with the same type as the column is defined in the table

T-SQL Anti-Patterns: SQL User Defined Functions (UDFs) that turn your set operation into a cursor

<https://sqlsolutionsgroup.com/t-sql-anti-patterns-user-defined-functions/>

Scalar functions and improvements in SQL Server 2019

<https://sqlblog.karaszi.com/scalar-functions-and-improvements-in-sql-server-2019/>

Scalar functions in SQL server 2019, part 2

<https://sqlblog.karaszi.com/scalar-functions-in-sql-server-2019-part-2/>

Match those types!

<https://sqlblog.karaszi.com/match-those-types/>

AddWithValue is Evil

<https://www.dbdelta.com/addwithvalue-is-evil/>

Lesson: Query Store

- What is Query Store?
- Enabling Query Store
- Configuring Query Store
- Accessing Query Store Data
- Forcing Query Execution Plans

What is Query Store?

- Stores queries, plans, and run-time statistics
- Highlights database pattern usage
- Helps troubleshoot query performance issues
- Compatible with on-premises and Azure SQL databases

Monitoring performance by using the Query Store

<https://docs.microsoft.com/en-us/sql/relational-databases/performance/monitoring-performance-by-using-the-query-store>

Enabling Query Store

- Switch on using ALTER DATABASE
 - `ALTER DATABASE dbname SET QUERY_STORE = ON;`
- To use SSMS, right-click database, click Properties, and then on the Query Store page, change Operation Mode
- Cannot be turned on for system databases
- Rumored to be on by default in SQL Server 2022
 - For new databases
- Should we turn it on?
 - Run `sp_BlitzCache`, check 2:nd resultset.
 - If we have priority 1 warning, Query Store might not be for us.

Best practices with Query Store

<https://docs.microsoft.com/en-us/sql/relational-databases/performance/best-practice-with-the-query-store>

Query Store Best Practices

<https://www.sqlskills.com/blogs/erin/query-store-best-practices/>

Configuring Query Store

- View Query Store options using `sys.database_query_store_options`
- Configure Query Store options using `ALTER DATABASE SET QUERY STORE (OPTION = VALUE)`
- View and configure Query Store options using SSMS

Accessing Query Store data

- Access query stored data through catalog views or SSMS
- SSMS:
 - Regressed Queries
 - Overall Resource Consumption
 - Top Resource Consuming Queries
 - Tracked Queries
 - ...
- Consider using Erik Darling's `sp_QuickieStore`

Demo Query store

The SQL Server 2016 Query Store: Built-in Reporting

<https://www.red-gate.com/simple-talk/databases/sql-server/database-administration-sql-server/the-sql-server-2016-query-store-built-in-reporting/>

Does Query Store's "Regression" Always Catch Nasty Parameter Sniffing?

<https://www.littlekendra.com/2016/01/21/query-store-regression-parameter-sniffing/>

Introducing `sp_QuickieStore`: Find Your Worst Queries In Query Store

https://www.erikdarlingdata.com/sp_quickiestore/introducing-sp_quickiestore-find-your-worst-queries-in-query-store-fast/

List of blog posts, including some that give tips on using `sp_QuickieStore` for various purposes

<https://www.brentozar.com/archive/2022/10/erik-darlings-month-of-free-tools-training/>

Forcing Query Execution Plans

- SSMS:
 - Click Force Plan button when viewing a query plan in the Query Store
 - Click Unforce Plan button to undo
- Transact-SQL:
 - Use `sp_querystore_force_plan` to force a plan
 - Use `sp_querystore_unforce_plan` to unforce a plan
- Regularly check the performance of forced plans

`sp_query_store_force_plan` (Transact-SQL)

<https://docs.microsoft.com/en-us/sql/relational-databases/system-stored-procedures/sp-query-store-force-plan-transact-sql>

Why You Need Query Store, Part II: Plan forcing

<https://www.sqlskills.com/blogs/erin/why-you-need-query-store-part-ii-plan-forcing/>

Lesson: Automatic tuning

- What is Automatic Tuning?
- sys.dm_db_tuning_recommendations
- Plan choice correction

What is Automatic Tuning?

- Requires Enterprise Edition
- Changes in query execution plans that impact performance can be time consuming to find and fix
- Plan choice regression is a recompiled plan that results in poorer performance
- Automatic tuning
 - Collects data about query performance and execution plans
 - Finds links between plan changes and reduced performance
 - Generates a script to force the previous plan to be used
 - Can be configured to automatically apply the previous script

Automatic tuning

<https://docs.microsoft.com/en-us/sql/relational-databases/automatic-tuning/automatic-tuning>

Understanding automatic tuning in SQL Server 2017

<https://www.sqlshack.com/understanding-automatic-tuning-in-sql-server-2017/>

sys.dm_db_tuning_recommendations

- sys.dm_db_tuning_recommendations returns:
 - A score between 0 and 100 to indicate the anticipated performance impact
 - A JSON string containing the recommendations, including:
 - Metrics used to identify plan choice regression
 - Commands used to apply the recommendation
- Contents of sys.dm_db_tuning_recommendations are retained until the instance is restarted

sys.dm_db_tuning_recommendations (Transact-SQL)

<https://docs.microsoft.com/en-us/sql/relational-databases/system-dynamic-management-views/sys-dm-db-tuning-recommendations-transact-sql>

Sql Server automatic tuning and sys.dm_db_tuning_recommendations

<https://www.scarydba.com/2017/12/26/sql-server-automatic-tuning-and-sys-dm-db-tuning-recommendations/>

- Automatic plan choice correction:
 - Enabled at the database level
 - Plan choice recommendations are forced when:
 - CPU time gain > 10 seconds
 - Fewer errors in the recommended plan
 - Results are monitored
 - If performance does not improve, the plan is recompiled
 - Manual plan choice correction
 - Manually apply recommendations from the DMV
 - Manually monitor performance and act on findings
- Azure SQL Database also has auto create and drop index

Lab 8: Plan caching and recompilation

- Ex 1: Fixing stored procedure with plan issues
- Ex 2: Server is gradually slowing down

Estimated Time: 30 minutes