



ΑΡΙΣΤΟΤΕΛΕΙΟ  
ΠΑΝΕΠΙΣΤΗΜΙΟ  
ΘΕΣΣΑΛΟΝΙΚΗΣ



Aristotle University of Thessaloniki  
Polytechnic School  
Department of Electrical and Computer Engineering  
Intelligent Systems and Software Engineering Labgroup

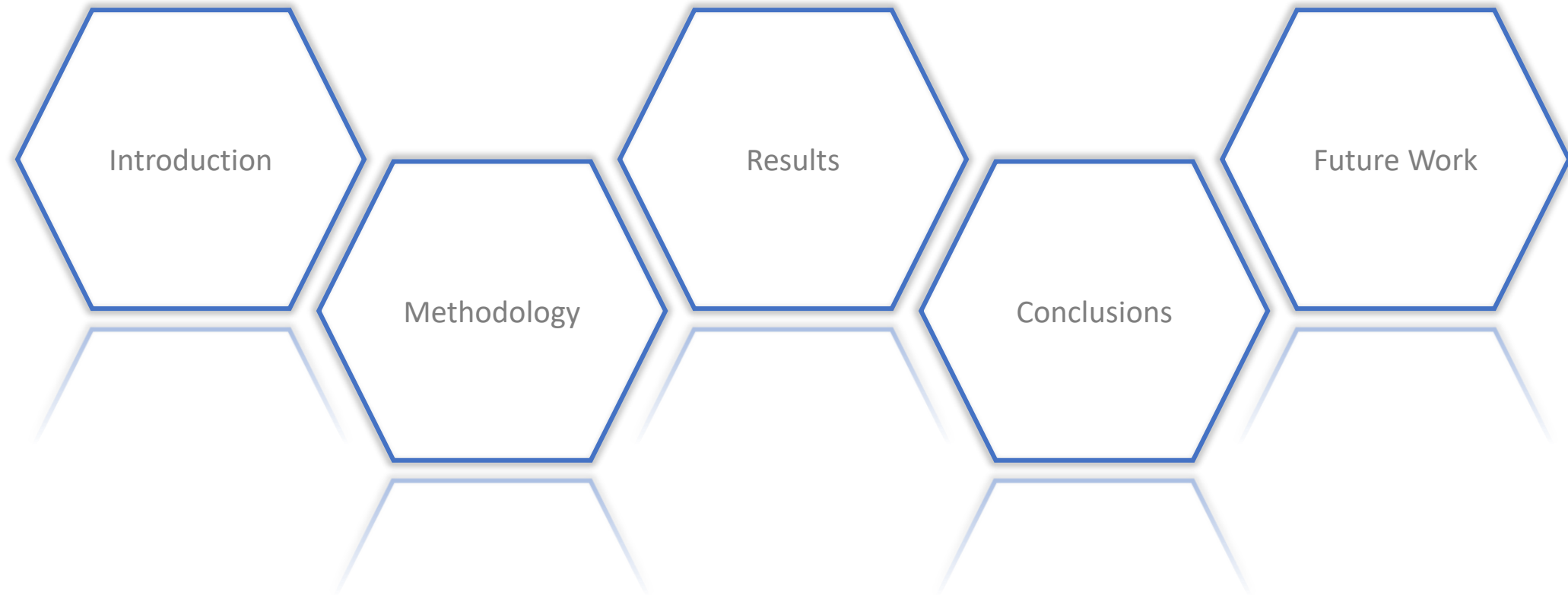
# Continuous implicit authentication of smartphone users by navigational and behavioral data

**Christos Emmanouil**

Professor Supervisor: **Andreas Symeonidis**

PhD Supervisor: **Thomas Karanikiotis**

# Contents



## Introduction

# Motivation



Constantly increasing number of  
smartphone users

Storage of personal and business data

Need to secure the data stored on these  
devices.

Concerns about the adequacy of existing  
authentication methods.

Need to implement new authentication  
methodologies.

## Introduction

# Continuous – Implicit Authentication

### Advantages :

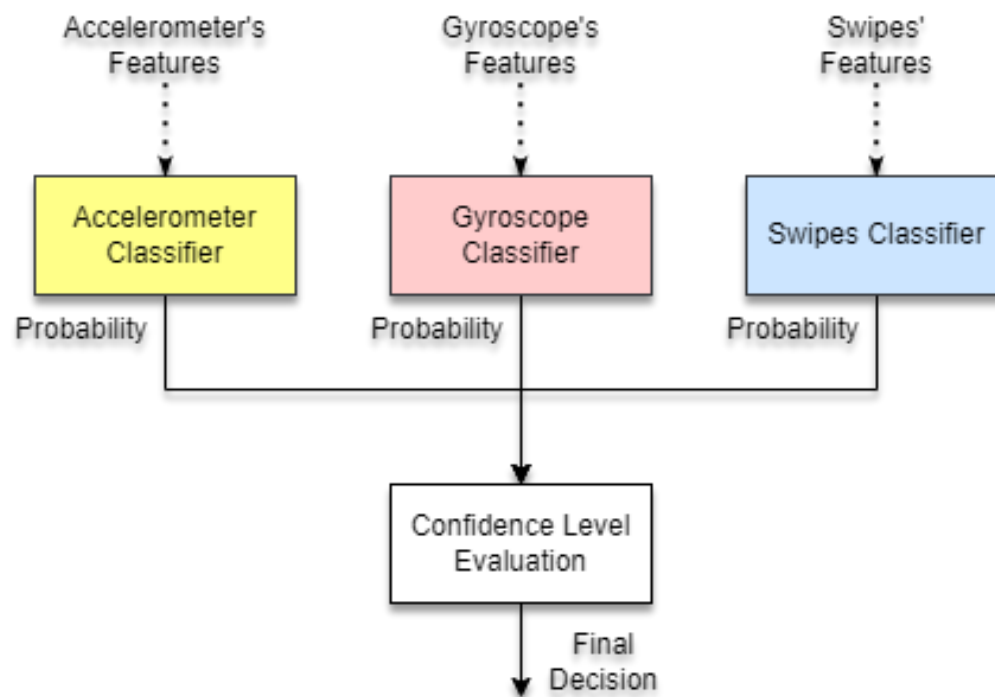
- Enhanced security system
- Better user experience
- Ability to use behavioral characteristics
  - Easy adjustment
  - Low implementation cost
  - Development prospects

### Problems :

- High sampling rates
- High power consumption
- Secondary devices (wearables)
- Insufficient evaluation
  - Small amount of data
  - 'lab' data
  - 'Wrong ' metrics
- Insufficient data during execution

## Introduction

### Main idea



### Objective :

- Satisfactory levels of security and transparency
- Use of data generated by the smartphone
- Tolerant of errors and/or missing data

### Questions :

- Data set
- Feature extraction and preprocessing
- Structure of classifiers
- Trust subsystem structure
- Objective evaluation

## Methodology

### Dataset

#### BrainRun :

- Set of behavioral data
- Motion and gesture sensor data
- Data collection application ( android & iOS )
- 5 different games, with different levels of difficulty

#### Characteristics :

- 2218 users
- 60% male, 26% female, 14% unknown
- 90% Android , 10% iOS

Games & Final Sets  
( after applying selection criteria ) :

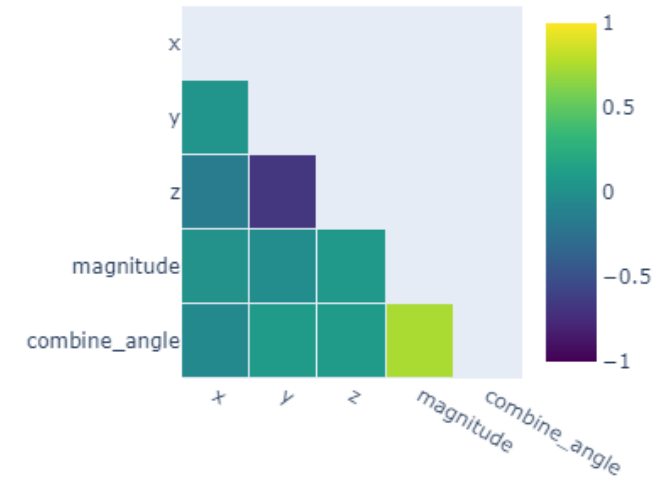
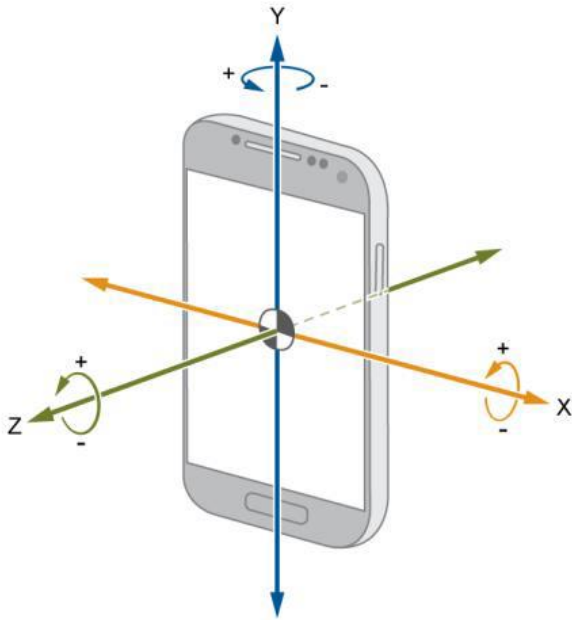
Games	Data type	Number of Training Users	Number of Evaluation Users
Mathis	Acc, Gyr, Swp	15	24
Focus	Acc, Gyr, Swp	15	30
Reacton	Acc, Gyr, Swp, Tap	15	45
Memory	Acc, Gyr, Tap	15	44
Speedy	Acc, Gyr, Tap	15	45

Acc: Accelerometer, Gyr: Gyroscope, Swp: Swipe

## Methodology

### Extract Features

#### Accelerometer, Gyroscope (1)



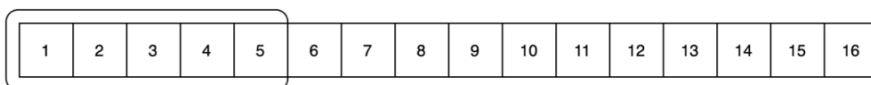
Selected:  
x, y and magnitude

## Methodology

# Extract Features

## Accelerometer, Gyroscope (2)

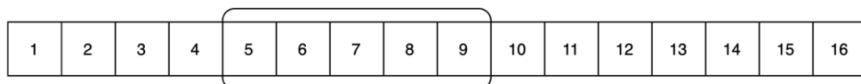
Παράθυρο 1



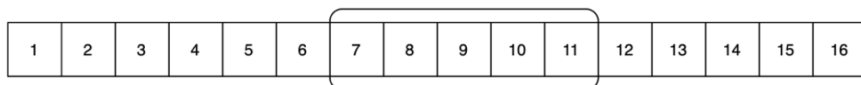
Παράθυρο 2



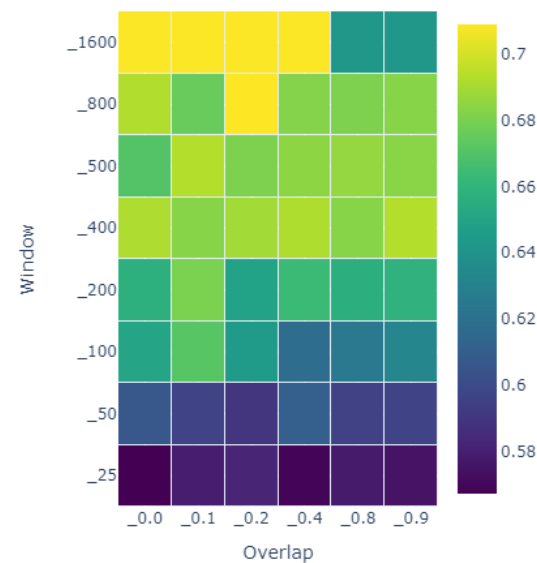
Παράθυρο 3



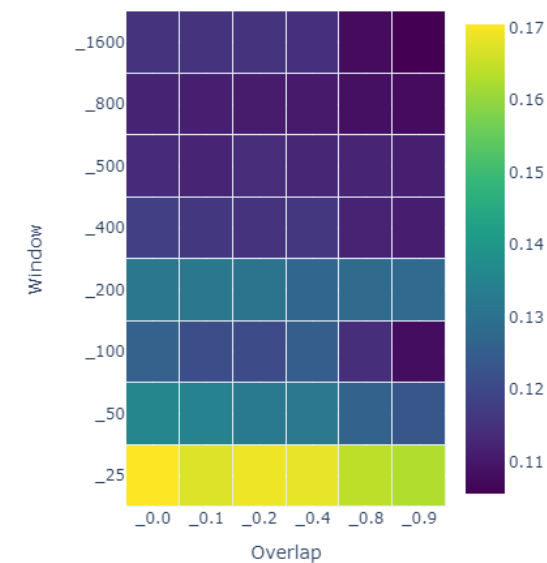
Παράθυρο 4



FRR



FAR



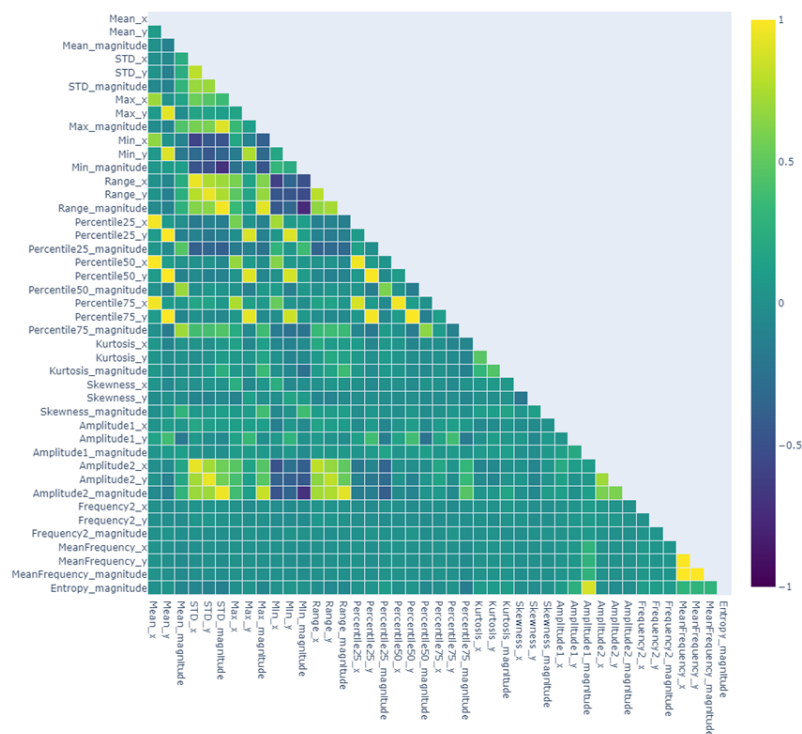
Selected:  
Window Size: 50 samples  
Overlap: 60%



## Methodology

# Extract Features

## Accelerometer, Gyroscope (3)

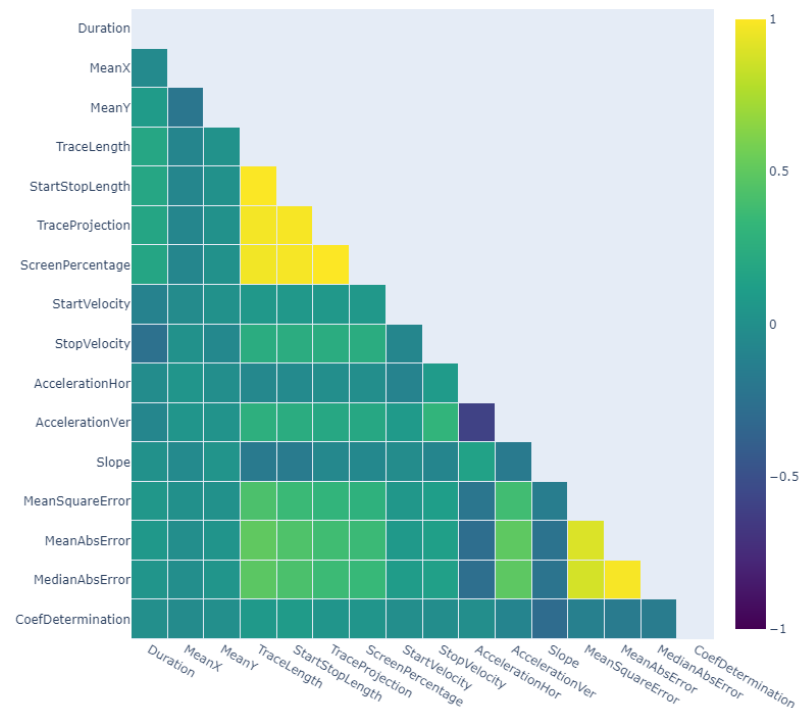


Sensos	Features L0	Features L1
Accelerometer	x	Mean, STD, Max, Min, Percentile25, Percentile50, Percentile75, Kurtosis, Skewness, Amplitude1, Amplitude2, Frequency2, Mean Frequency
	y	Mean, STD, Max, Min, Percentile25, Percentile50, Percentile75, Kurtosis, Skewness, Amplitude1, Frequency2
	magnitude	Mean, STD, Max, Min, Percentile25, Percentile50, Percentile75, Kurtosis, Skewness, Amplitude, Frequency2
Gyroscope	x	Mean, Max, Min, Percentile75, Kurtosis, Skewness, Amplitude1, Frequency2, Mean Frequency
	y	Mean, Min, Kurtosis, Skewness, Frequency2
	magnitude	Mean, Min, Kurtosis, Skewness, Frequency2

# Methodology

## Extract Features

### Gestures



Gesture	Features Final
Tap	Duration
Swipe	Duration, Mean X, Mean Y, Trace Length, Trace Projection, Start Velocity, Stop Velocity, Horizontal Acceleration, Vertical Acceleration, Slope, Mean Square Error, Coefficient of Determination

## Methodology

# Classifiers

### What do we know?

- Single class classification problem
- Solving with RBF-OCSVM
- Impossible to use one model per classifier
- The parameters (  $\nu$ ,  $\gamma$ ) affect the RBF-OCSVMs

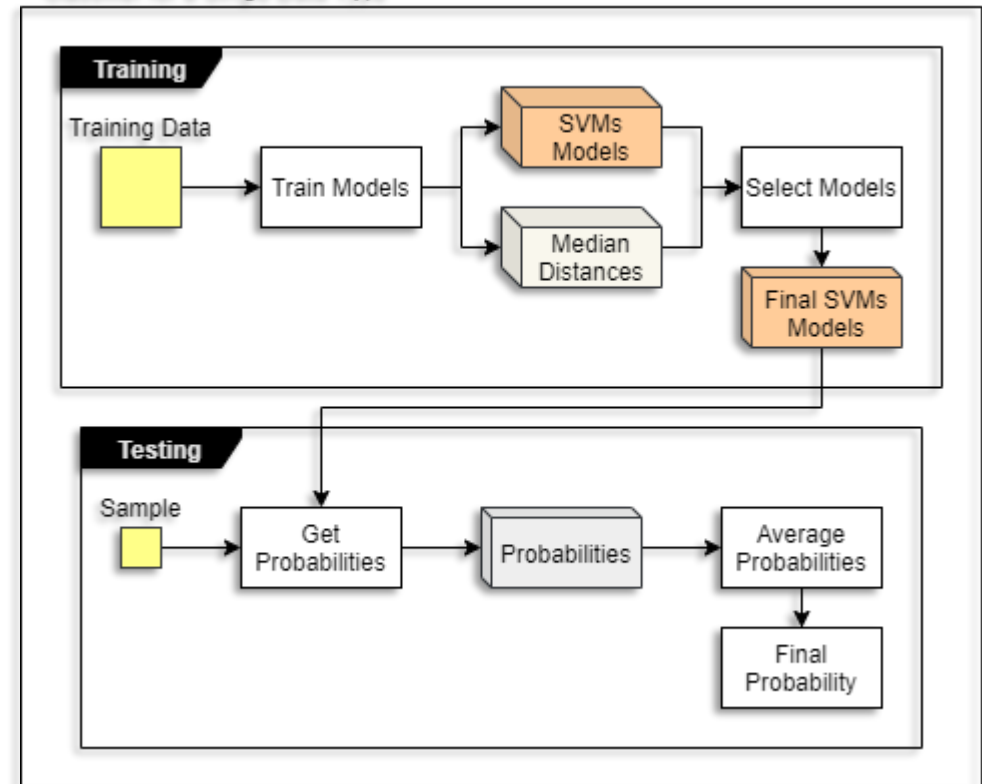
### What do we recommend?

- Using multiple RBF-OCSVMs , per classifier
- Use a range of values for the parameters
- Collective final decision

### Questions :

- Range of parameters
- Number of deciding models

Classifier for a Single Data Type

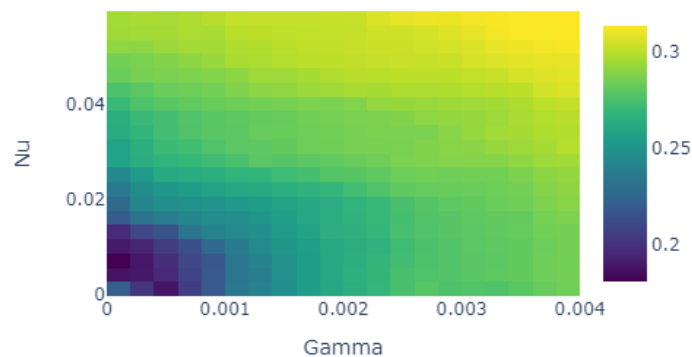


## Methodology

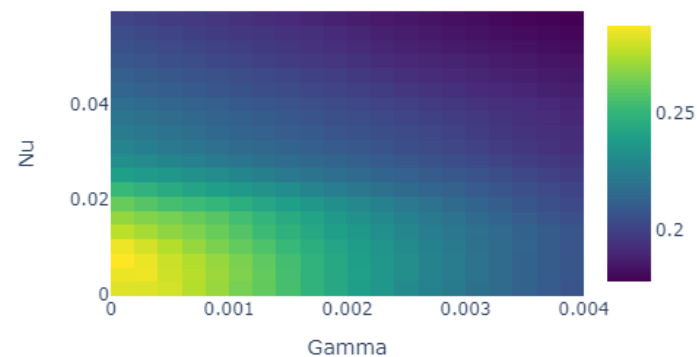
# Classifiers

## Parameters Range

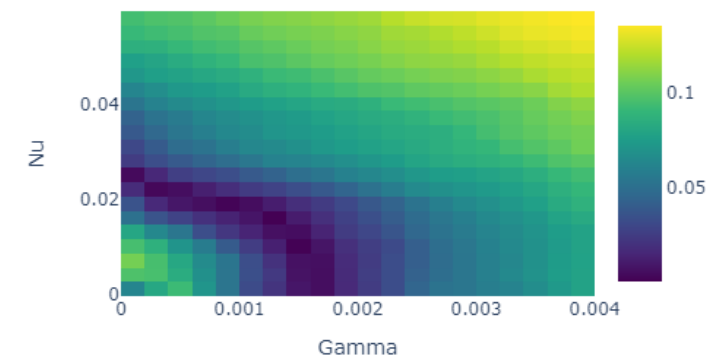
FRR



FAR



abs(FRR - FAR)

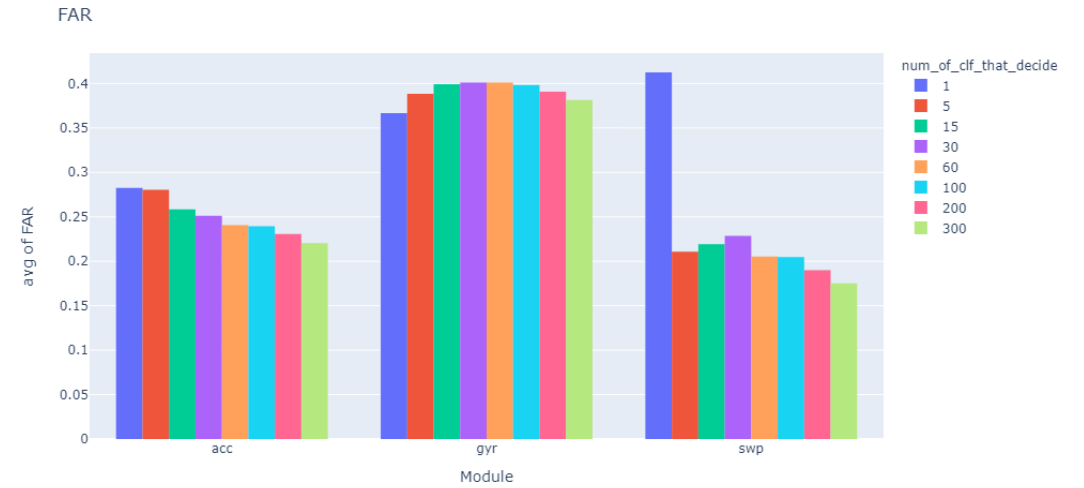
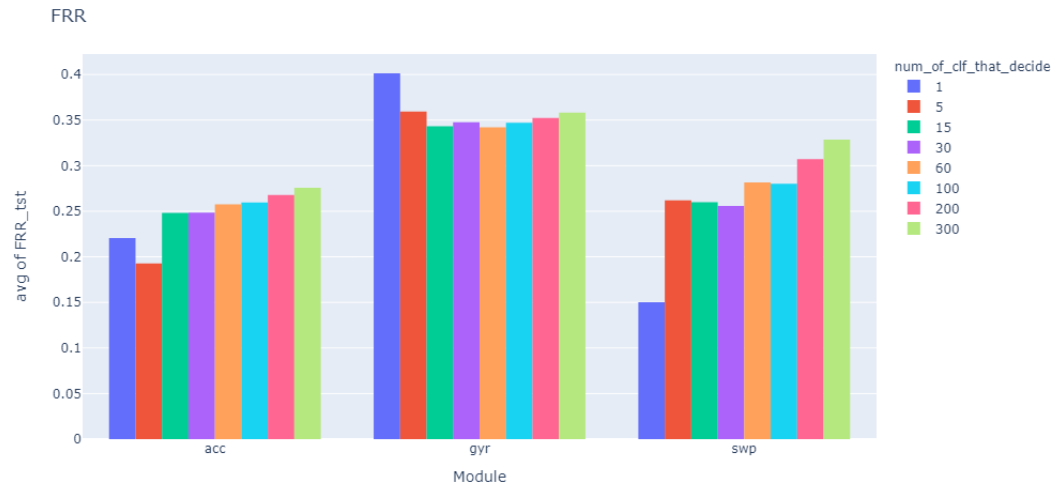


Type	Nu			Gamma		
	Start Value	End Value	Step	Start Value	End Value	Step
Accelerometer	0.001	0.06	0.003	0.0001	0.004	0.0002
Gyroscope	0.11	0.31	0.01	0.001	0.04	0.002
Swipes	0.01	0.21	0.01	0.001	0.06	0.003
Taps	0.02	0.6	0.03	0.7	0.795	0.005

## Methodology

# Classifiers

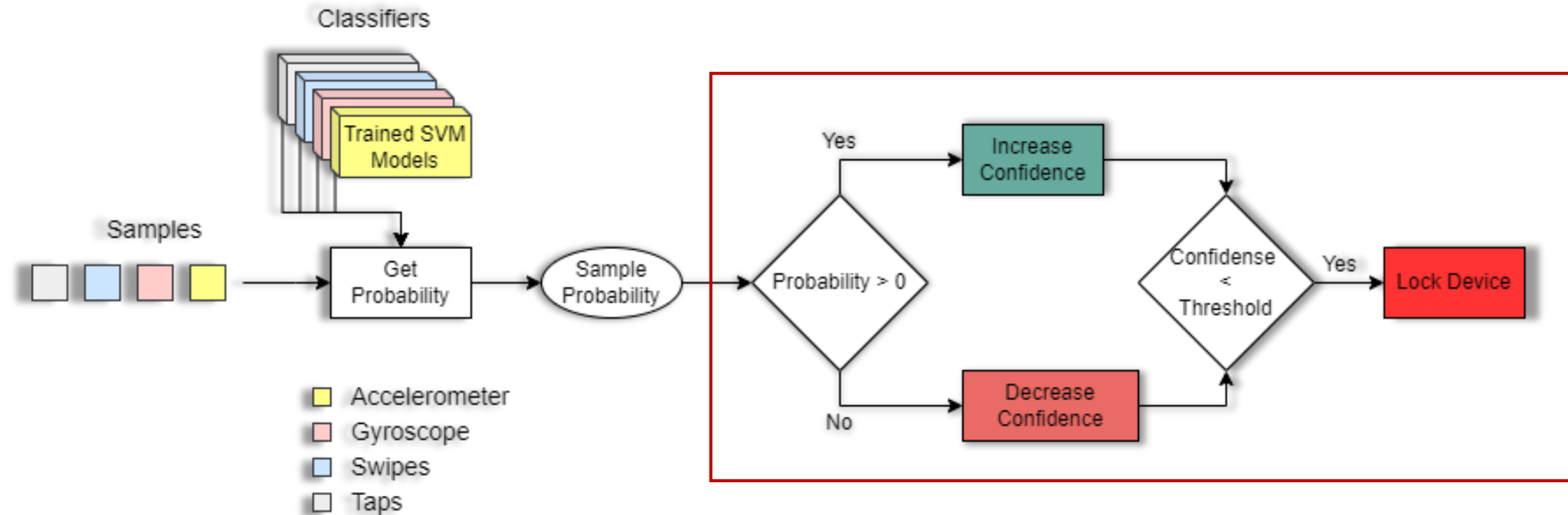
## Number of Models



Data Type	Optimal Number
Accelerometer	30
Gyroscope	60
Swipes	60
Taps	60

## Methodology

# Confidence Subsystem

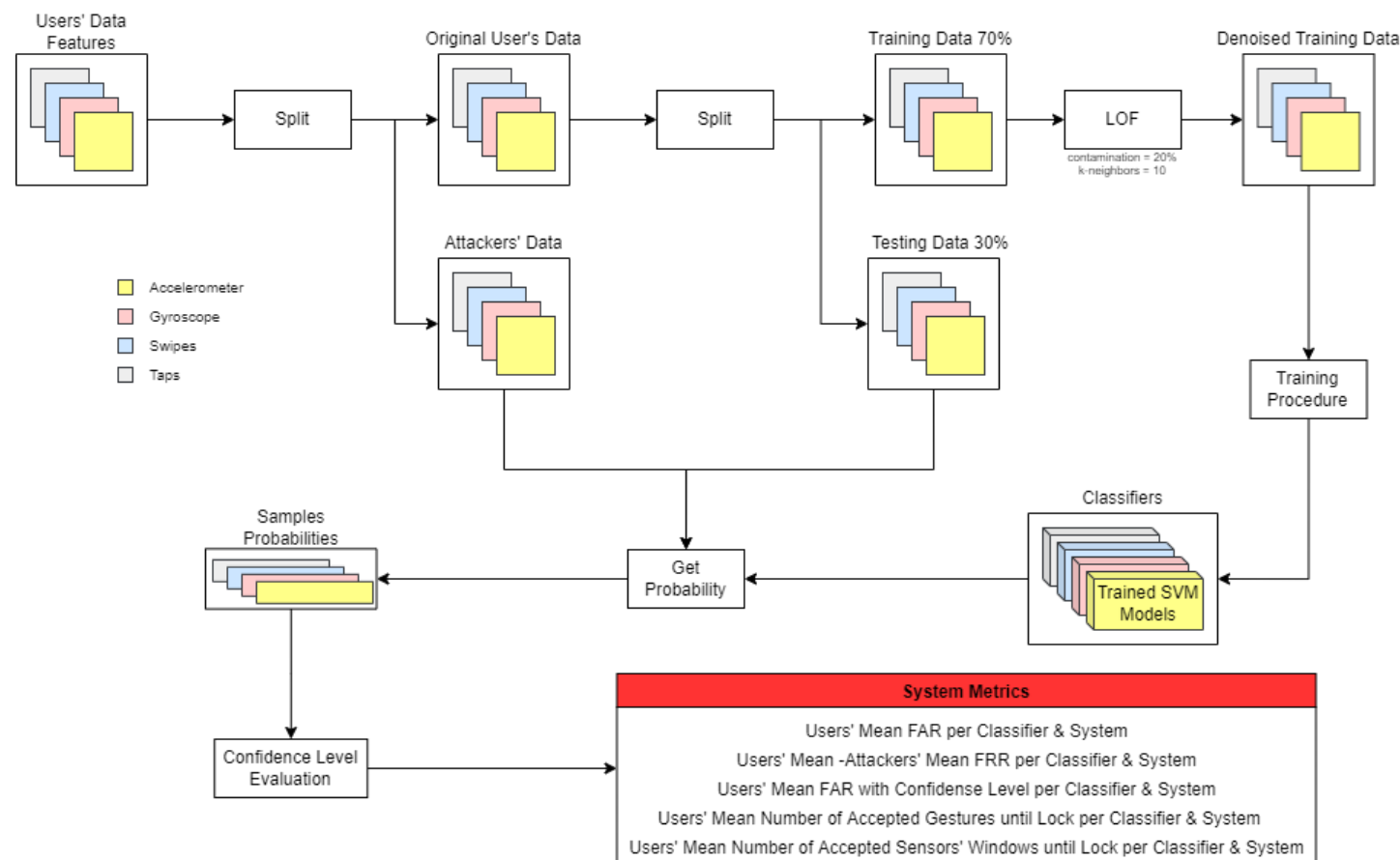


$$CL_n = \begin{cases} CL_{n-1} + \text{PositiveStep}(\text{Game}) * \text{Weights}(\text{DataType}) * \text{abs}(p), & p > 0 \\ CL_{n-1} + \text{NegativeStep}(\text{Game}) * \text{Weights}(\text{DataType}) * \text{abs}(p), & p \leq 0 \end{cases}$$

Initial Confidence Level		60				
Threshold		35				
		Mathisis	Focus	Reacton	Speedy	Memoria
Negative Step		-15	-15	-15	-15	-15
Positive Step		+10	+10	+10	+10	+10

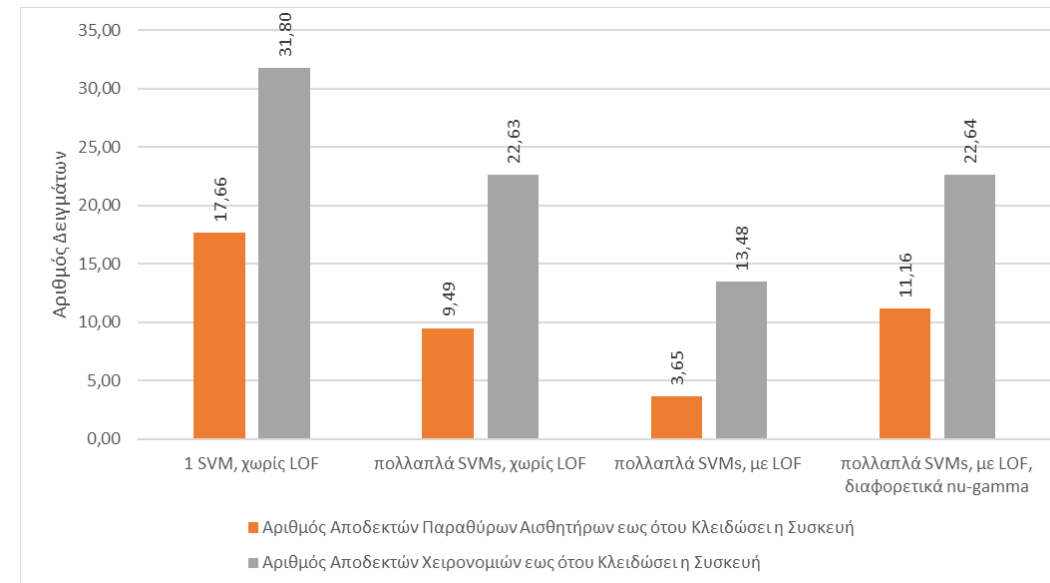
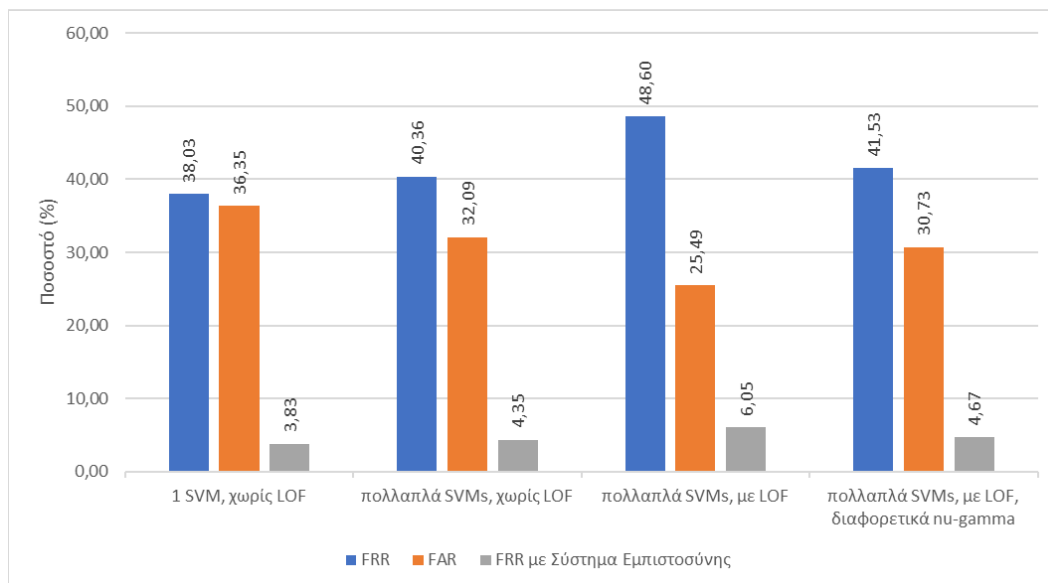
## Methodology

# System Summary – Structure of Final Experiments



## Results

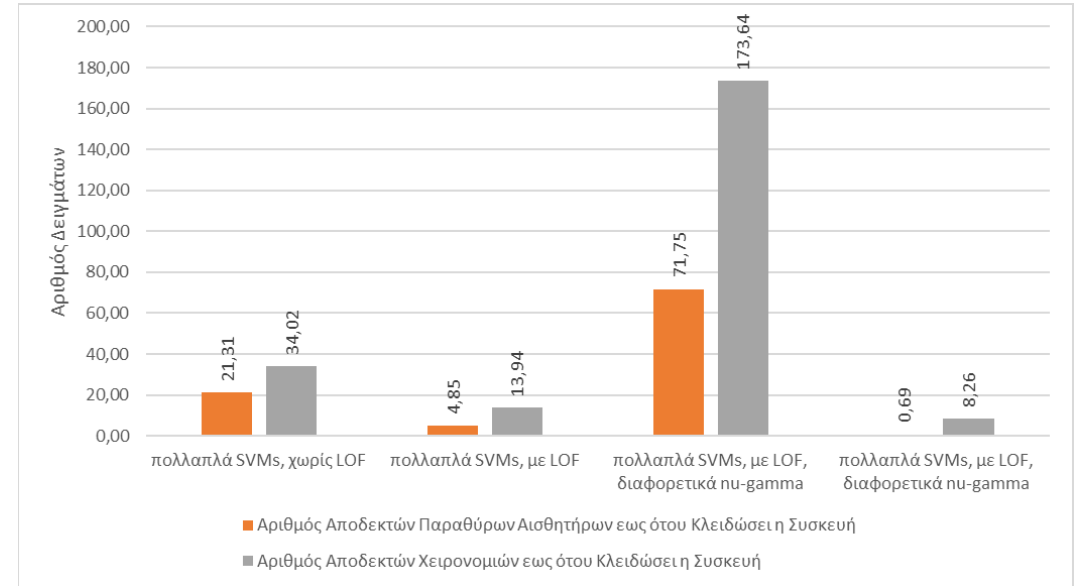
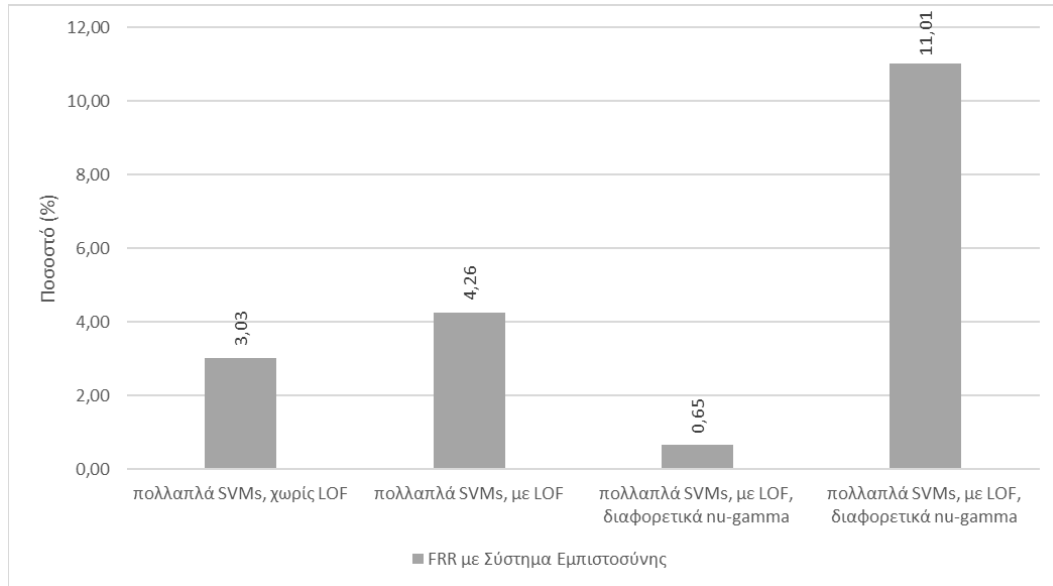
# Trust System – Multiple RBF-OCSVMs



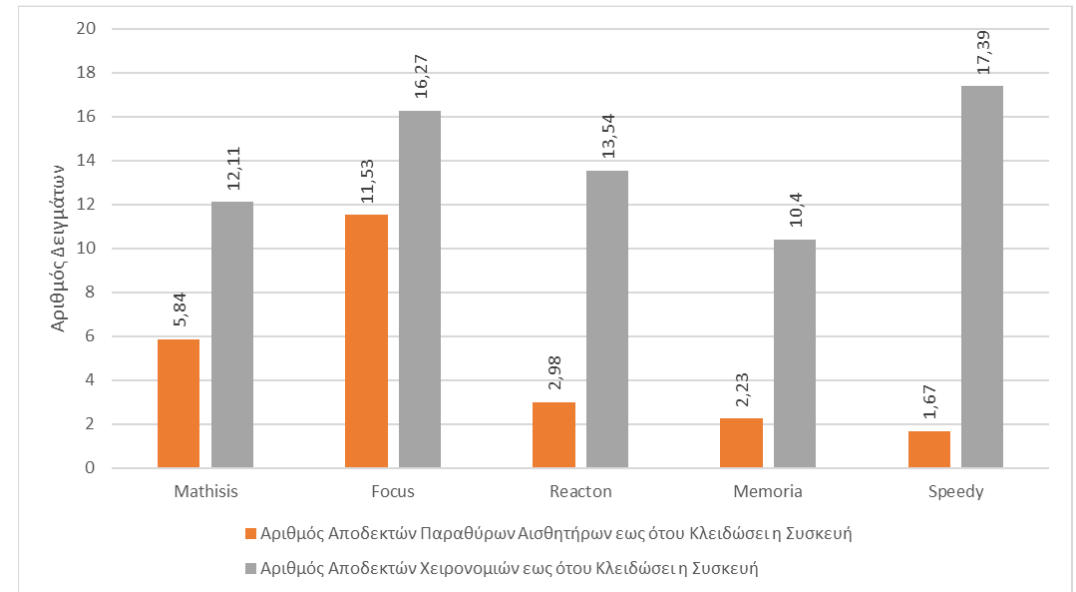
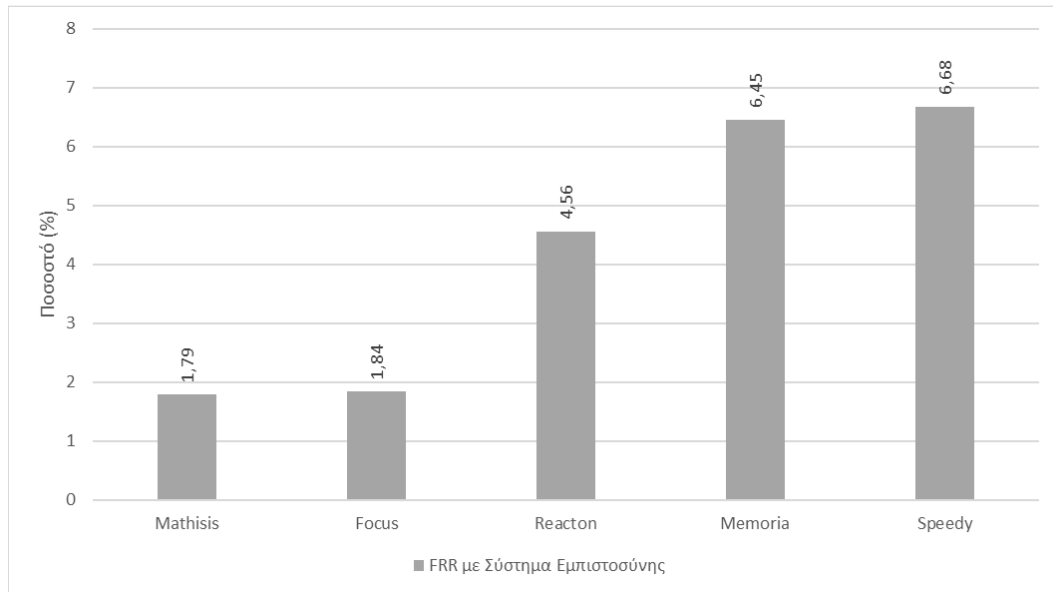


## Results

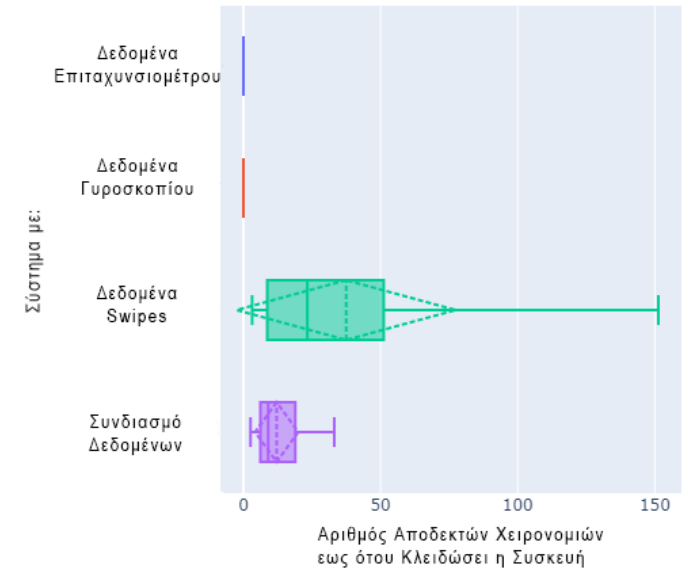
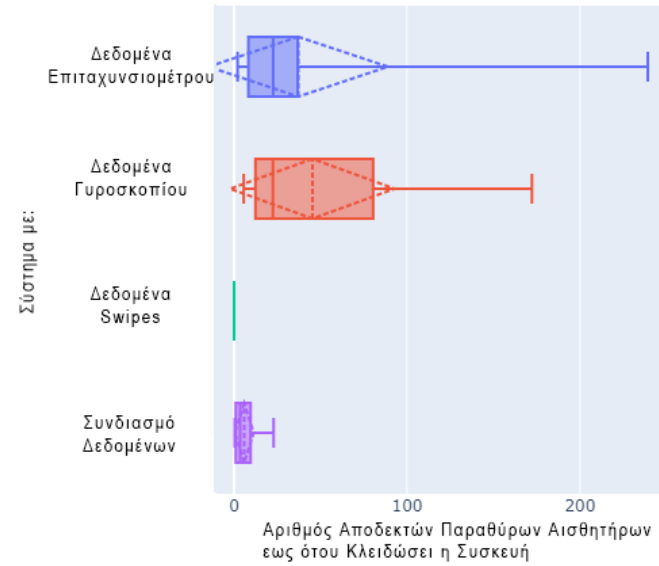
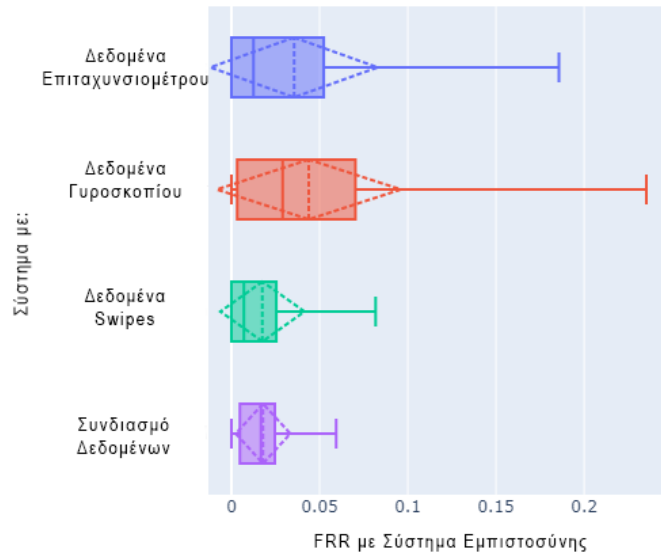
### LOF – Nu-Gamma



## Results Per Game



## Results Comparisons (1)



## Results

### Comparisons (2)

	Mathisis	Focus	Reacton	Memoria	Speedy
Σύστημα Αισθητήρων (FRR %)	5,20	6,00	4,30	5,70	5,70
Σύστημα Χειρονομειών (FRR με Σύστημα Εμπιστοσύνης %)	1,92	1,06	2,32   3,58 (Swipes   Taps)	3,44	0,065
Τρέχουσα Εργασία (FRR με Σύστημα Εμπιστοσύνης %)	1,79	1,84	4,56	6,45	6,68

	Mathisis	Focus	Reacton	Memoria	Speedy
Σύστημα Αισθητήρων (FAR %)	4,08	3,50	6,90	1,10	5,40
Σύστημα Χειρονομειών (Αριθμός Αποδεκτών Χειρονομειών)	1,70	3,92	8,08   11,37 (Swipes   Taps)	21,83	277,47
Προκείμενο Σύστημα (Αριθμός Αποδεκτών Δειγμάτων Αισθητήρων & Χειρονομειών)	5,84 & 12,11	11,53 & 16,27	2,98 & 13,54	2,23 & 10,40	1,67 & 17,39

Sensor Pack Size: 500 counts

Sensor Packet Size: ~50 counts

# Conclusions

## Methodology & Techniques

- Using multiple RBF- OCSVMs serves system security.
- The trust system helps form an easy-to-use system.
- Denoising the training data with LOF improves security.
- The nu and gamma parameters of RBF- OCSVMs play a decisive role in ensuring a balance between security and usability.

## System

- Robust to measurement errors.
- Satisfactory security and transparency metrics.
- Quick check
- Objective evaluation

## Future Work

### Ideas

- Dynamic weights in classifiers
- Option to select nu-gamma ranges
- Combination with context-aware techniques
- Ability to adapt to changes in owner behavior





ΑΡΙΣΤΟΤΕΛΕΙΟ  
ΠΑΝΕΠΙΣΤΗΜΙΟ  
ΘΕΣΣΑΛΟΝΙΚΗΣ



**issel**  
Intelligent Systems  
& Software Engineering  
Labgroup

Thanks!

## Anomaly Detection – One Class Classification

### Detection of Extreme Samples ( Outlier Detection ):

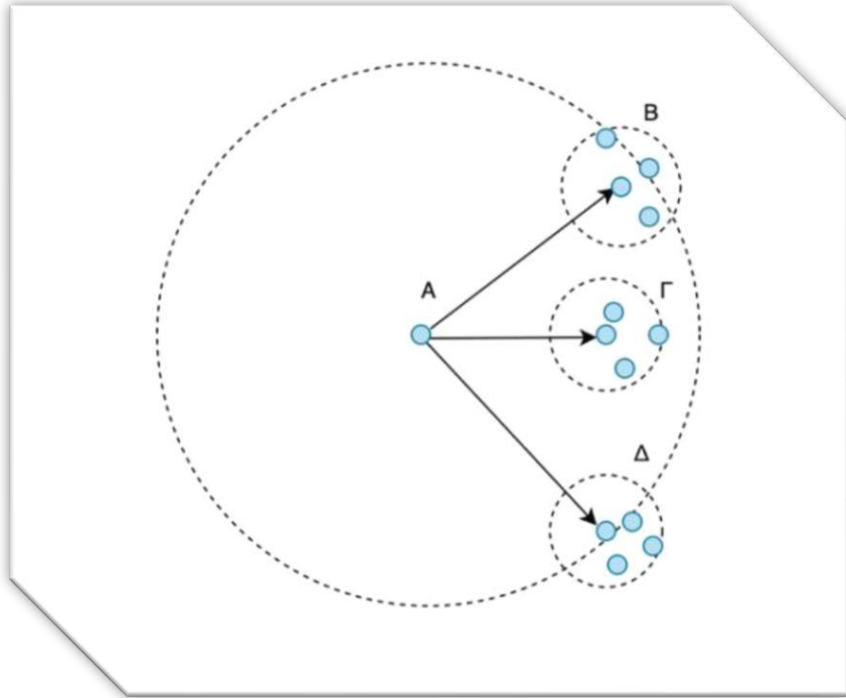
- Unsupervised
- Detection of Areas of High Sample Density
- Data Denoising
- Isolation Forest, Elliptic Envelope, Local Outlier Factor

### Detection of Unusual Samples ( Novelty Detection ):

- Semi-Supervised
- Delimitation of the Total Education Area
- Denoised Training Sets
- One Class Support Vector Machine



## Local Outlier Factor (LOF)

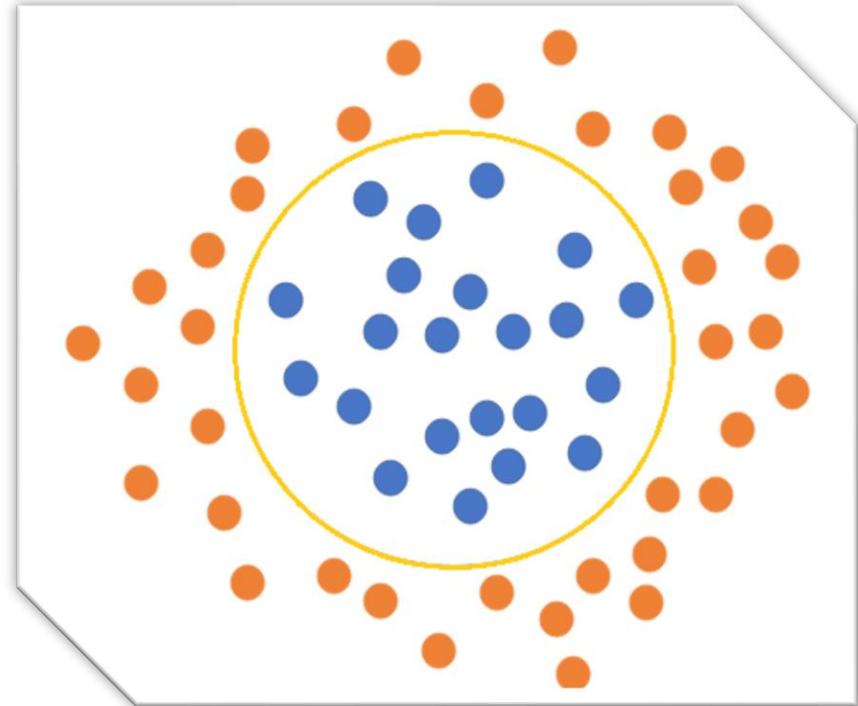
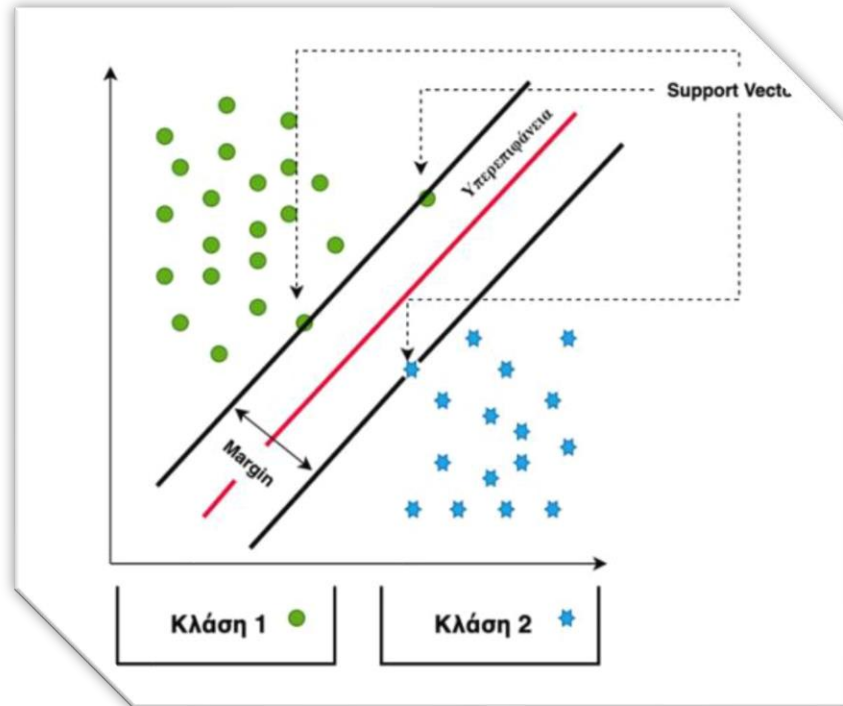


$$RD(X_i, X_j) = \max(kDistance(X_j), Distance(X_i, X_j))$$

$$LDR_k(A) = \frac{1}{\sum_{X_j \in N_k(A)} \frac{RD(A, X_j)}{||N_k(A)||}}$$

$$LOF_k(A) = \frac{\sum_{X_j \in N_k(A)} LRD_k(X_j)}{||N_k(A)||} \times \frac{1}{LDR_k(A)}$$

## One Class Support Vector Machine (OCSVM)



## Evaluation Metrics

$$\text{False Rejection Rate} = \frac{FN}{TP + FN}$$

$$\text{False Acceptance Rate} = \frac{FP}{TN + FP}$$

		Πραγματική Κλάση	
		1	-1
Προβλεπόμενη Κλάση	1	TP	FP
	-1	FN	TN