

# The state of the union: air-sea interactions during coastal marine heatwaves

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## Abstract

The study and documentation of marine heatwaves (MHWs) is outpacing our understanding of the causes of these extreme climatic events. This is even more striking with regards to coastal MHWs. It is therefore becoming increasingly necessary to unravel the relationships between the potential physical drivers of an event and the event itself. An improved understanding of the mechanistic causal pathways of MHWs may allow us to better forecast the occurrence of these devastating events. To this end we have utilized oceanic (BRAN) and atmospheric (ERA-Interim) reanalysis data to examine the state of the air and sea around southern Africa during MHWs. Self-organising maps (SOMs) were then used to cluster each synoptic air-sea state during an event into 1 of 9 nodes to determine the predominant synoptic states during MHWs. It was found that abnormal ocean circulation forcing warm water onto the coast was the main cause of the recorded coastal MHWs. This abnormal circulation often work in tandem with abnormal wind. This may be taken as the first step of a more in depth exploratory analysis between what may be a causal link in the air-sea interaction at these mid-latitude locations. *Keywords:* extreme events, air-sea interaction, reanalysis data, *in situ* data, climate change, nearshore

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## 1. Introduction

Documentation on the negative impacts of changing climates due to anthropogenically forced warming on both marine and terrestrial ecosystems has grown rapidly over the last few decades. The primary focus of which tended towards the measuring of linear increases in mean temperatures in  
5 distinct regions. Whereas these long term changes are effecting a myriad of systems identified as critically important (Stocker et al., 2013), the major impacts on humans and ecosystems in the present are due to extreme events (Easterling et al., 2000). Often unpredictable, cyclones, floods, heatwaves and cold-spells may begin and end before any warning systems may be of use. It is for this reason, and

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others, that more of the focus within climate change research is now being applied to the study of these extreme events (Jentsch et al., 2007).

Due to the currently sparse occurrence of such extreme events in time and space, very few have impacted areas in which long term ecological data were being sampled *a priori*. Two well documented exceptions to this trend are the long periods of aseasonally warm water that occurred in 2003 in the Mediterranean and 2011 off the west coast of Australia. The 2003 Mediterranean event has been documented to have negatively impacted as much as 80% of the Gorgonian fan colonies there (Garra-  
15 bou et al., 2009), whereas the 2011 Western Australia event is now known to have caused a permanent 100 km range contraction of the ecosystem forming kelp species *Ecklonia radiata* in favour of the tropicalisation of reef fishes and seaweed turfs (Wernberg et al., 2016). Both of these anomalously warm seawater temperature events are classified as 'marine heatwaves' (MHWs).

Various definitions for MHWs have been developed but it was Hobday et al. (2016) that created a  
20 numeric definition of MHWs that allowed anomalously warm seawater temperature events occurring anywhere on the globe to be directly comparable. Thus opening up the possibility of researching common causes of these events through space and time. Whereas the common measurements created for these events allowed for comparison, it still did not serve to answer what was causing these events.  
25 Beyond common measurements, it is necessary to identify the possible range of physical causes of MHWs so as to be able to compare similar 'types' of events and to be able to move towards a system of prediction.

It is hypothesized that MHWs should either be caused by oceanic forcing, atmospheric forcing, or a combination of the two. For example, the transport of warm water onto the coast of Western Australia  
30 is responsible for the large scale MHW that occurred there in 2011 (Feng et al., 2013; Benthuisen et al., 2014). However, recent research into the development of a mechanistic understanding between local- *vs.* broad-scale influences on the formation of extreme events at coastal localities has revealed that meso-scale forcing from offshore onto the nearshore (<400 m from the coast) is responsible for the formation of MHWs far less than hypothesized (Schlegel and Smit, 2016). It is therefore necessary  
35 to consider additional mechanisms or interactions that may be responsible for these events.

Air-sea interactions have been a focus of study for decades (Frankignoul, 1985), with mixed results. Whereas interactions are often detectable at high latitudes, mid latitude relationships between air and sea are much more tenuous (Krishnamurti et al., 1988). Equation 1 in Deser et al. (2010) shows the process through which the upper mixed layer in the open ocean is effected by atmospheric and oceanic  
40 process. Unfortunately this process does not appear to apply to the coastal regions of the world, of which little is yet understood of the mechanistic processes driving the extreme events observed there. In certain special instances, such as the 2003 heatwave over the Mediterranean described in Garra-  
45 bou et al. (2009) a clear connection may be drawn between the air and sea. This is however an exception to the norm as most bodies of water are not subject to static atmospheric and oceanic conditions. One reason given for the lack of apparent air-sea interactions at mid-latitudes is that the coupling of these two media drives an increase in the variability of both, inhibiting heat flux from one to the other

(Barsugli and Battisti, 1998).

An earlier version of this manuscript sought to compare the co-occurrence of MHWs and atmospheric heatwaves (AHWs), both measured *in situ* along a coastline via the same methodology outlined in  
50 Schlegel and Smit (2016). The rates of co-occurrence for extreme events between air and sea were found to be lower than those found for nearshore and offshore seawater. It was therefore decided to create an index of mean synoptic air-sea states during the occurrence of coastal MHWs and then cluster them with the use of a self-organising map (SOM) to deduce the general patterns. The temperature dataset used for the calculation of the MHWs consisted of daily temperature records collected *in situ*  
55 at dozens of locations. The state of the sea, both SST and surface currents, were determined with the Bluelink ReANalysis (BRAN; wp.csiro.au/bluelink). The state of the air temperature and winds were determined with ERA-Interim (<http://www.ecmwf.int/en/research/climate-reanalysis/era-interim>). The aim of the clustering of the synoptic air-sea states from these datasets was to visualise broadscale patterns in the air and/ or sea that occur most regularly during MHWs at coastal localities. We  
60 hypothesized that i) similar air and sea mesoscale patterns would be revealed through clustering; ii) these patterns would be more distinct in the sea than the air; and iii) these observed similarities would aid in the development of a broader mechanistic understanding of the relationship between coastal MHWs and air-sea interactions.

All of the code and data used for this analysis may be found at: <https://github.com/schrob040/AHW>.

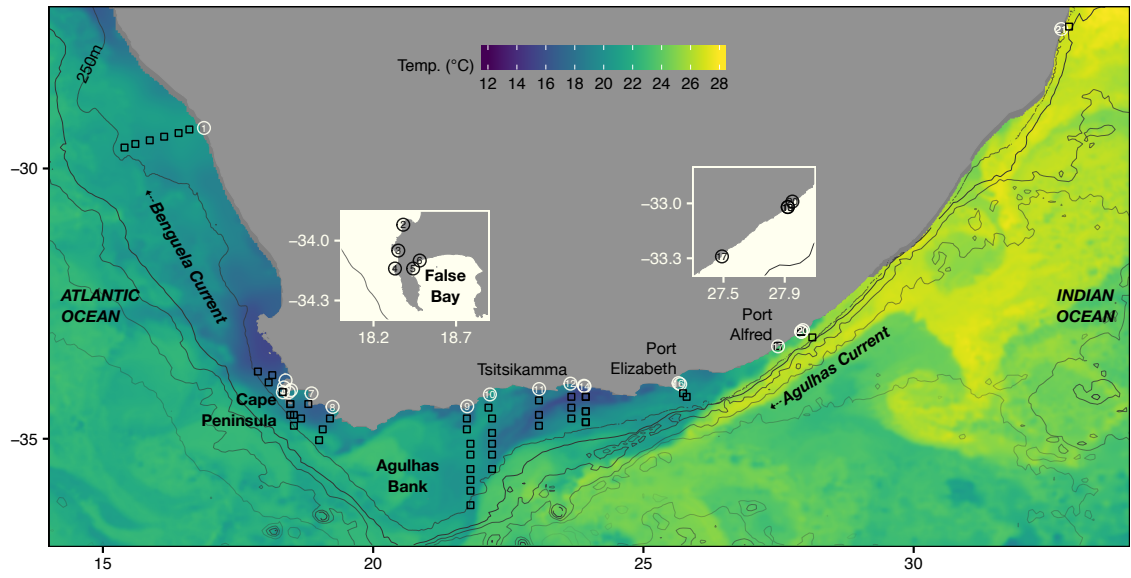
## 65 2. Methods

### 2.1. Study region

The *ca.* 3,100 km long South African coastline provides a natural laboratory for investigations into the offshore forcing of nearshore phenomena as it may be divided into three distinct sections, allowing for a range of meso-scale influences to be considered within the same research framework (Figure 1).  
70 The entire west coast section of the country is distinct from the other two in that it is the realm of the Benguela Current, which forms an Eastern Boundary Upwelling System (EBUS) (Hutchings et al., 2009). Conversely, the east coast section is dominated by the Agulhas Current (Lünning, 1990), a poleward flowing body of warm water. Trapped between these two mighty currents the south coast section is consistently tumultuous. More closely affiliated to the east coast than the west, the south  
75 coast nonetheless experiences both sheer forced and wind driven upwelling in addition to having significantly more thermal variability than either of the other two sections (Schlegel and Smit, 2016). The range of temperatures experienced along all three sections are large and the gradient of increasing temperature as one moves from the border of Namibia to the border of Mozambique is nearly linear. For a more detailed description of these sections see Smit et al. (2013). The extent of the study area  
80 used here was 10°E to 40°E and 25°S to 40°S.

### 2.2. In situ data

The coastal seawater temperature data used in this study were acquired from the South African Coastal Temperature Network (SACTN, <https://github.com/ajsmit/SACTN>, <https://robert-schlegel.shinyapps.io/SACTN>



**Figure 1:** (Rws: This figure is a place holder from the previous paper and I will need to create a new map for this paper.) Map of southern Africa showing bathymetry and the location of the *in situ* temperature time series used in this study shown with circles. The inset maps show detail of the Cape Peninsula/ False Bay area and the Port Alfred region where site labels are obscured due to overplotting of symbols.

The SACTN data are contributed by seven different organizations and are collected *in situ* with a mixture of hand-held alcohol & mercury thermometers as well as digital underwater temperature recorders (UTRs). This data set currently consists of 135 daily time series, with a mean duration of 19.7 years. Therefore many of the time series in this dataset are shorter than the 30 year minimum proscribed for the characterization of marine heatwaves (MHWs, see 'Marine heatwaves' section below) (Hobday et al., 2016), with many having gaps of missing data above the recommended limit of 10%, too. It is however deemed necessary to use these data when investigating extreme events in the nearshore (<400 m from the low tide mark) as satellite derived sea surface temperature (SST) values along the coast have been shown to display large biases (Smit et al., 2013) or capture minimum and maximum temperatures poorly (Smale and Wernberg, 2009; Castillo and Lima, 2010). All of the *in situ* time series from the SACTN shorter than ten years or missing more than 10% of their daily temperature measurements were excluded from use in this study. This reduced the total time series to 26, with a mean length of 22.3 years. Table 3 shows the metadata for the SACTN time series used in this study.

### 2.3. Reanalysis data

To visualise a synoptic view of the air-sea state during coastal marine heatwaves (MHWs) (see sections 'Marine heatwaves' and 'Air-sea state' below) it was necessary to use reanalysis products to provide air or sea temperatures with wind/ current vectors in a single product.

The 1/10°BlueLink ReAnalysis product was chosen to investigate the state of the sea around southern Africa during coastal MHWs. This modelled product relies on the assimilation of an array of data collected *in situ* and remotely. This representation of the sea state is accurate on the scale of 10's of km or larger and is appropriate for the identification of meso-scale events. From this product were taken the sea surface temperature (SST) and surface currents for the study region. BRAN is available for download via XML and is a product of the CSIRO (<https://www.csiro.au/>).

The state of the air was determined with the use of the ERA-Interim reanalysis product, which is produced by the European Centre for Medium-Range Weather Forecasts (ECMWF, <http://www.ecmwf.int/>). The native 3/4° resolution of this product is coarser than BRAN however, it is available for download at finer resolution by interpolation of the data. The data used for this study were downloaded at a resolution of 1/2°. The ERA-Interim variables used for this study were the surface temperature (2 m) and winds (10 m).

All variables from both reanalysis products were rounded to a resolution of 1/2° to ensure a numerically equal representation of the synoptic air and sea states. Once rounded the data were trimmed to contain the same longitude and latitude extents. All variables were then reprocessed into the same data frame format for consistent analysis. The BRAN reanalysis product at the writing of this paper was available from January 1st, 1994 to August 31st, 2016. This is less than the range of data currently available for ERA-Interim at January 1st, 1979 to December 31st, 2016. All dates occurring outside of those in the BRAN product were excluded. The analysis period for the climatologies for the BRAN and ERA-Interim data are then January 1st, 1979 to December 31st, 2016.

**Table 1:** The descriptions for the metrics of MHWs as proposed by Hobday et al. (2016) and taken from Schlegel and Smit (2016).

Name [unit]	Definition
Count [no. events per year]	$n$ : number of MHWs per year
Duration [days]	$D$ : Consecutive period of time that temperature exceeds the threshold
Maximum intensity [ $^{\circ}\text{C}$ ]	$i_{max}$ : highest temperature anomaly value during the MHW
Mean intensity [ $^{\circ}\text{C}$ ]	$i_{mean}$ : mean temperature anomaly during the MHW
Cumulative intensity [ $^{\circ}\text{C}\cdot\text{days}$ ]	$i_{cum}$ : sum of daily intensity anomalies over the duration of the event

#### 2.4. Marine heatwaves

The term marine heatwave (MHW) as used here differs slightly from the definition of a heatwave originally developed for atmospheric events (Perkins and Alexander, 2013). Here we make use of the definition for MHWs given in Hobday et al. (2016) as “a prolonged discrete anomalously warm water event that can be described by its duration, intensity, rate of evolution, and spatial extent.” The characterization of these events in this manner allows investigators from anywhere in the world to compare and classify events using common statistical properties. We therefore use the methodology laid out in Hobday et al. (2016) for the analysis of MHWs in this research.

The algorithm developed by Hobday et al. (2016) isolates MHWs by finding the days in which the temperature of a given locality exceeds the 90th percentile of temperatures found there, based on an 11-day moving average. Perkins and Alexander (2013) concluded that the minimum duration for the analysis of atmospheric heatwaves was 3 days. Hobday et al. (2016) found that a minimum length of 5 days allowed for more uniform global results in event detection, leading them to conclude that this would be a better default starting point for MHW detection. Previous work by Schlegel and Smit (2016) showed that the inclusion of these much shorter days may lead to spurious connections between events found across different datasets. In this research we are interested in deducing the air-sea state patterns during very large MHWs. We found that eliminating events shorter than 15 days in length caused the removal of 847 of the 976 total MHWs detected in the *in situ* dataset. The events that occurred before or after the reanalysis period were also excluded. This left us with 98 events over a 20 year period. It must also be highlighted that any of the aforementioned 98 MHWs that had ‘breaks’ below the 90th percentile threshold lasting  $\leq 2$  days followed by subsequent days above the threshold were considered as one continuous event (Hobday et al., 2016).

In order to calculate a MHW it is necessary to supply a climatology against which daily values may be compared. It is proscribed in Hobday et al. (2016) that this period be at least 30 years. Because 20 of the 26 time series used here are below this threshold we have opted to use the first and last complete years of data for each individual time series as the boundaries constituting the climatological period against which the MHWs for each respective time series were calculated. By juxtaposing MHWs against daily climatologies in this way the amount they differ from their local standard may be quantified and compared across time and space. The definitions for the metrics that will be focused on in this paper may be found in Table 1. (RWS: This table is directly copied and pasted from the previous paper.)

We calculated the MHWs in the SACTN dataset with the use of the R package ‘RmarineHeatWaves’,

which may be downloaded via CRAN (<https://cran.r-project.org/web/packages/RmarineHeatWaves/index.html>), with the developmental version available on GitHub (<https://github.com/ajsmit/RmarineHeatWaves>). The original algorithm used in Hobday et al. (2016) is available for use via python and may be found at <https://github.com/ecjoliver/marineHeatWaves>.

It is necessary to emphasise that MHWs as defined here exist against the daily climatological means of the time series in which they are found and not by exceeding an arbitrarily chosen static threshold. Therefore, one may just as likely find a MHW during winter months as summer months. This is a valuable characteristic of this method of investigation because aseasonal warm winter waters may have deleterious effects on relatively thermophobic species (Wernberg et al., 2011), while concurrently aiding the recruitment or establishment con-specific species (cite).

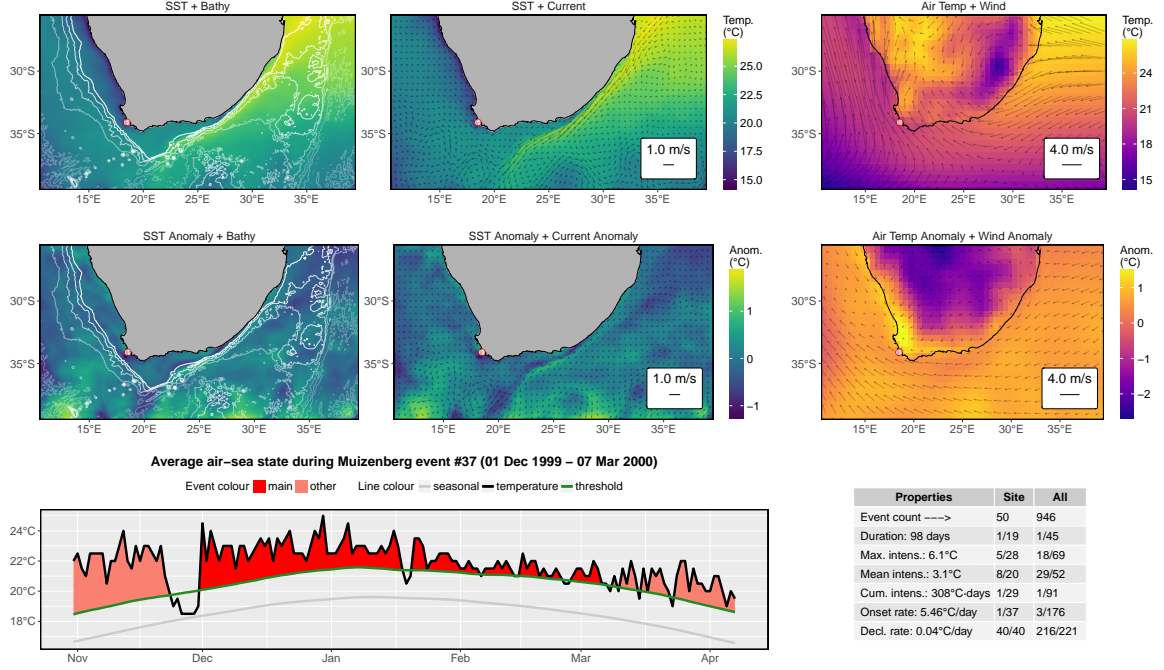
### 2.5. Air-sea states

The synoptic air-sea state during each coastal MHW was created by averaging the SST, air temperature, wind and current (U and V vectors) values from the BRAN and ERA-Interim products at a 0.5°(pixel) resolution for each day found within the start and end date of each individual event for the entire study area. This allows for possible teleconnections between different coastal section to be accounted for in the study. An example average synoptic air-sea state during one of the largest MHWs in the SACTN dataset is shown in Figure 2. In order to create anomaly values for the synoptic states a daily climatology of the Julian day for each variable within each pixel was calculated using the same 11-day running mean used to determine seasonal climatologies for MHWs. This provided 366 mean air-sea states that could be subtracted from the daily air-sea values during a coastal MHW for the anomaly values.

The daily anomalies during each of the separate 98 events for both air and sea states were averaged to create one mean air-sea state for each event. These synoptic air-sea states were then converted into single vectors with each pixel represented by one column. All 98 vectors representing each MHW were combined into one dataframe to allow for them to be used in a cluster analysis.

### 2.6. Cluster analysis

There have been several methods employed in climate science to cluster synoptic air and or sea states. Most commonly in the past K-means clustering (Corte-Real et al., 1998; Burrough et al., 2001; Kumar et al., 2011, e.g.) or, to a lesser extent, hierarchical cluster analysis (HCA) (e.g. Unal et al., 2003) have been used. Though already decades old, the use of self-organising maps (SOMs) has been gaining in popularity in climate studies over only the past several years (e.g. Cavazos, 2000; Hewitson and Crane, 2002; Morioka et al., 2010). As it is outside of the focus of the research presented here, we will not go into detail on the differences in the results generated by the three aforementioned methods. We will state however that it was the SOMs that best clustered out the data when all methods were visualised in two dimensions via a principal component analysis (PCA). In addition to the superior pattern recognition displayed by the SOM method, the orientation of the nodes (clusters) as produced by the SOM is also of use to the interpretation of the results of this work.



**Figure 2:** Synoptic air and sea states during a marine heatwave (MHW).

The initialisation of a SOM is similar to more traditional clustering techniques in that K random points are chosen and from there the data points from the given dataset are re-oriented in an iterative process to reduce the within group sum of squares (Jain, 2010). SOMs differ from more traditional methods in that they also account for the stress of the clustered values in relation to one another and endeavour to orient the nodes (clusters) into the least stressful position possible within a two dimensional space.

Because the synoptic air-sea states during each MHW consist of over 9,000 pixels it is difficult for a computer algorithm to arrive satisfactorily at a consistent answer each time the analysis is run. For this reason we opted out of using random initialization (RI) for our SOM models in favor of principal component initialization (PCI). PCI differs from RI in that it uses the two principal components of the dataset, as determined from a principal component analysis (PCA) to initialize the choice of node centers for the SOM. This allows the SOM model to create the exact same result whenever it is run on the same data.

The appropriate number of nodes (clusters) to use in a cluster analysis is well known to be a contentious decision. We have chosen here to use 9 nodes for a number of reasons. The first reason was that SOMs are best run on even grids of data (e.g. 2x3, 3x3, 4x4, etc.) (cite). Because 4 nodes was too few, and 16 was too many, 9 was settled on as a provisional number. Calculating the within group sum of squares (WGSS) value as more nodes were included showed that 4 could be satisfactory, but that at least 6 would be better. By comparing the results of the K-means and HCA also performed on these data (not included here) with the SOM results it became clear that 7 or more nodes (clusters) was appropriate. Ultimately we settled on 9 nodes as this allowed for a wider variety of different



synoptic air-sea states to be separated out from one another, allowing for a better understanding of the dominant air-sea states that exist during coastal MHWs to be formed. A final consideration for the validity of the choice of nodes, as proposed in Johnson (2013), is that the nodes must be significantly different from one another. Using an analysis of similarity ( $p=xxx$ ) we found this to be true for the choice of 9 nodes.

Once each event was clustered into 1 of 9 nodes, the synoptic air-sea state for each node was calculated by taking the average for each pixel for each variable from all of the mean air-sea states for each MHW, as outlined in the 'Air-sea states' section above, for that node. Ambroise et al. (2000) and Ramos (2001) provide examples for the use of multiple clustering techniques for categorizing climate data. We felt it was unnecessary to use more than one technique for the clustering of the events however, we did find that the use of other clustering and ordination methods did help to inform this decision.

### 2.7. Normal days

A necessary consideration for the investigation of air-sea states during coastal MHWs is to ensure that they differ from 'normal' air-sea states. In order to compare the normal against the extreme, multi-dimensional scaling (MDS) was performed on the daily climatologies together with the mean air-sea states during the 98 MHWs used here. Furthermore, HCA was applied to all of these combined data with a cutoff at four groups, presumably one for each season. This then would allow us to see if the events that occurred during a certain season would also cluster with the daily air-sea states from those seasons, as well as seeing spatially how these relationships measure out.

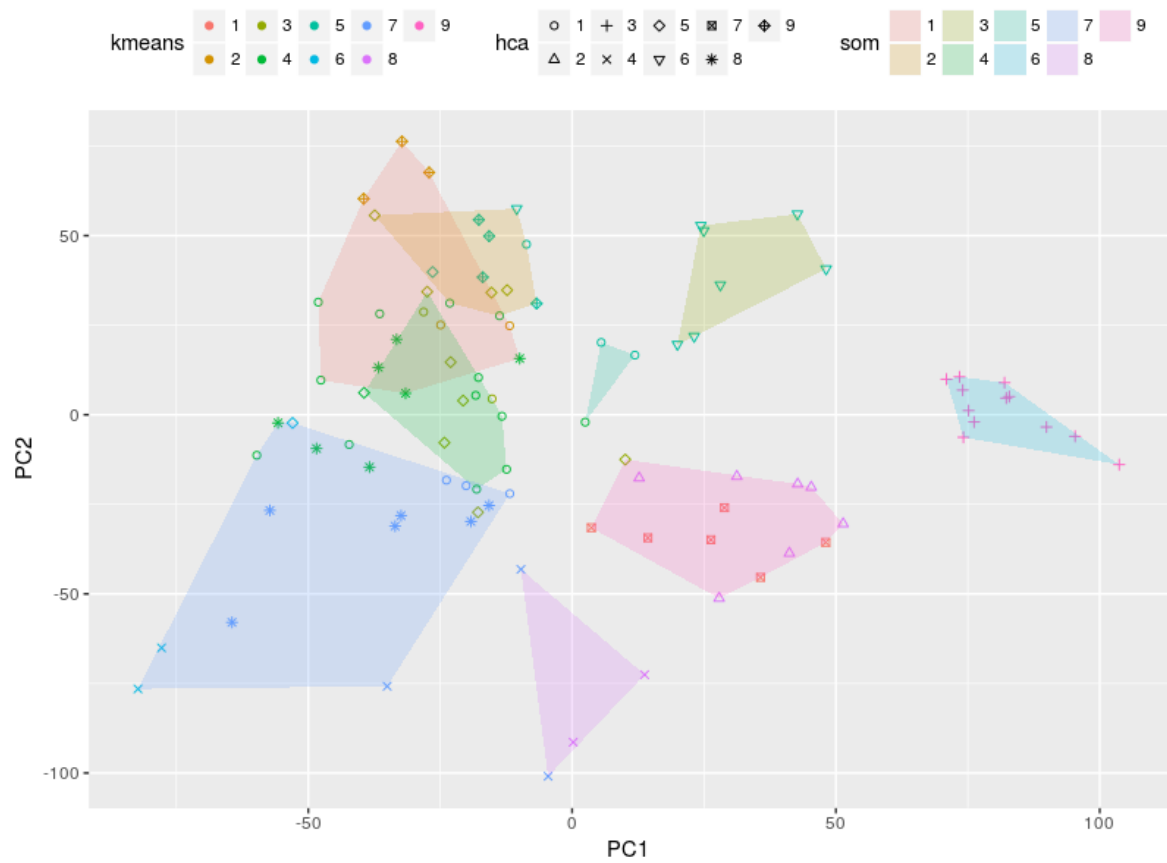
## 3. Results

### 3.1. Cluster analyses

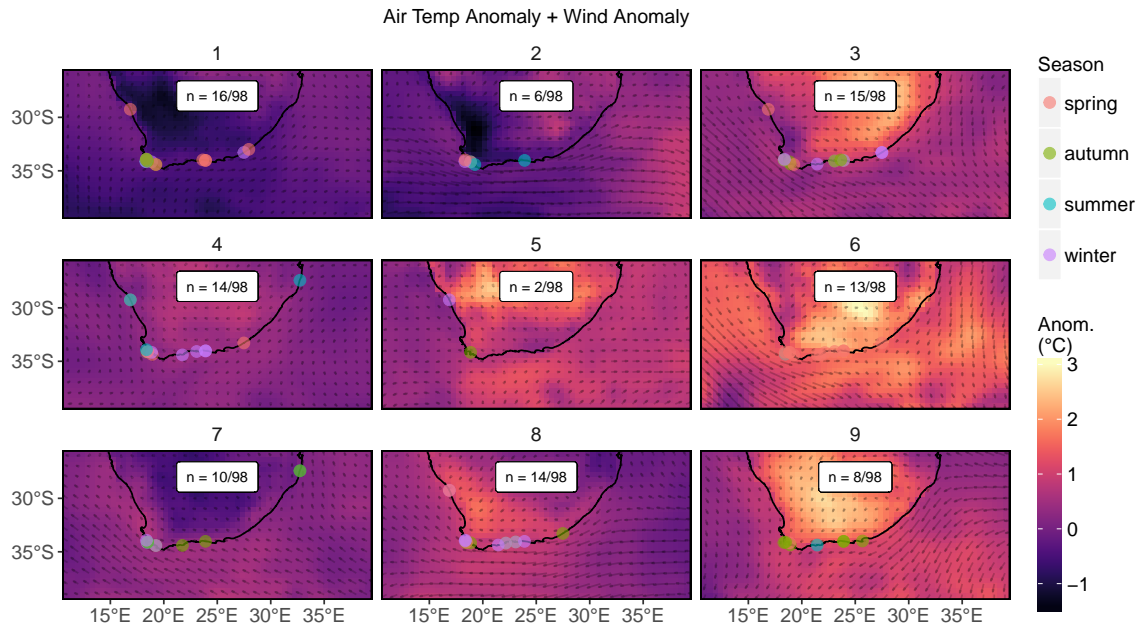
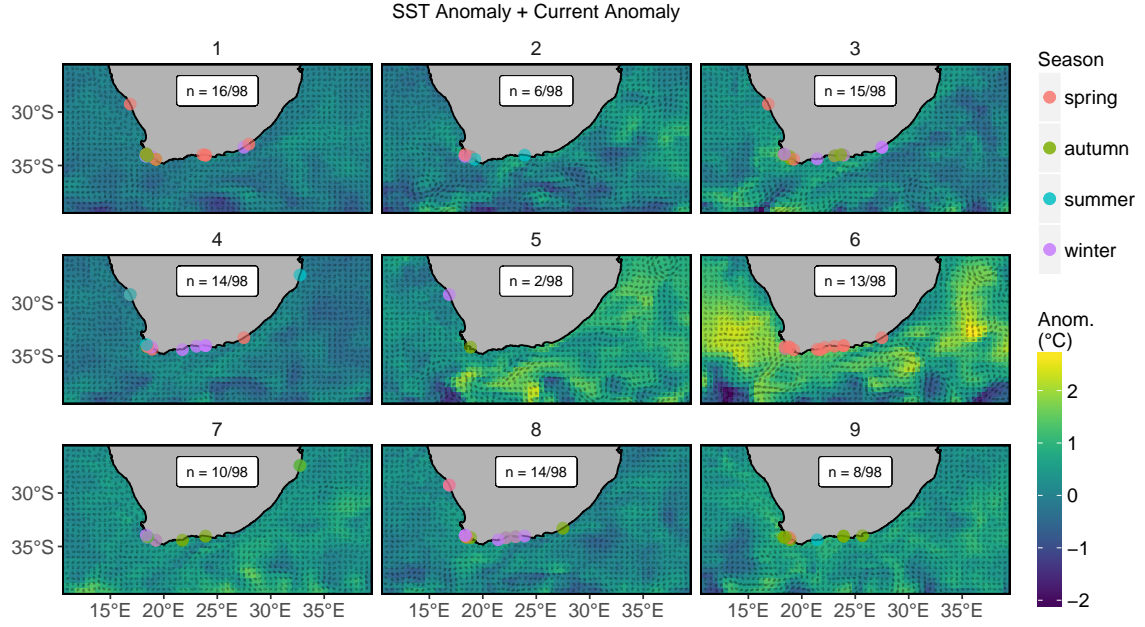
Due to a lack of consensus in the literature around the best practices for clustering synoptic air-sea states, we decided to compare K-means, HCA and SOM clustering results against one another. Figure 3 shows the results of each clustering technique when each synoptic air-sea state is laid out on a two dimensional plane as determined via a PCA. One may see that the SOM analysis is best able to determine distinct different clusters. Surprisingly HCA appears to produce slightly more distinct clusters than K-means, but both are inferior to the SOM results.

### 3.2. Air-sea states

The 9 most common air-sea states around southern Africa during coastal MHWs may be seen in Figure 4. The top nine panels show the SST and currents, while the bottom 9 panels show the air temperature and winds. All values shown are anomalies. One may note that the patterns in the wind and air temperature states are more clear and pronounced than the SST and currents.



**Figure 3:** Results for each of three different clustering techniques used to determine the groupings of synoptic air-sea states during coastal MHWs. (RWS: I think I will rather show the results for each technique as separate facets, rather than plotting them together like this.).



**Figure 4:** Common air and sea states during coastal marine heatwaves (MHWs).

### 3.3. Nodes

(RWS: Perhaps it would be better to describe each node individually.)

Immediately apparent in the clustering of the data is that node 6 stands out in starkest contrast to the other nodes the most anomalously warm air and sea states as well as having the strongest winds and currents. As one moves from the right hand nodes to the left they become progressively less anomalous. With less and less of a pattern present. These left hand nodes serve to show that there are still many coastal MHWs that occur without any apparent meso-scale pattern present. Or at least not a pattern that has occurred often enough over the past 30+ years that would afford them their own node. Due to the vast dissimilarity between the 9 nodes, only 2 events were clustered into the central node. Otherwise the clustering of events into nodes was equitable.

If we look at the events within the nodes via lolliplots (Figure 5) we see that only one of the nodes shows an air-sea state during primarily one large event that was recorded at multiple locations (node 6). Besides node 6 (and 5), the other nodes consist of a medley of multiple independent events that occurred during different years and seasons, and of varying magnitudes, that cluster together due to their similarity. These nodes represent what a more common air-sea state during a coastal MHW may look like. Also important to note is that a common pattern in many of the nodes, but particularly node 6, is the abnormal retroflexion of the Agulhas current onto the Agulhas Bank (Figure 4).

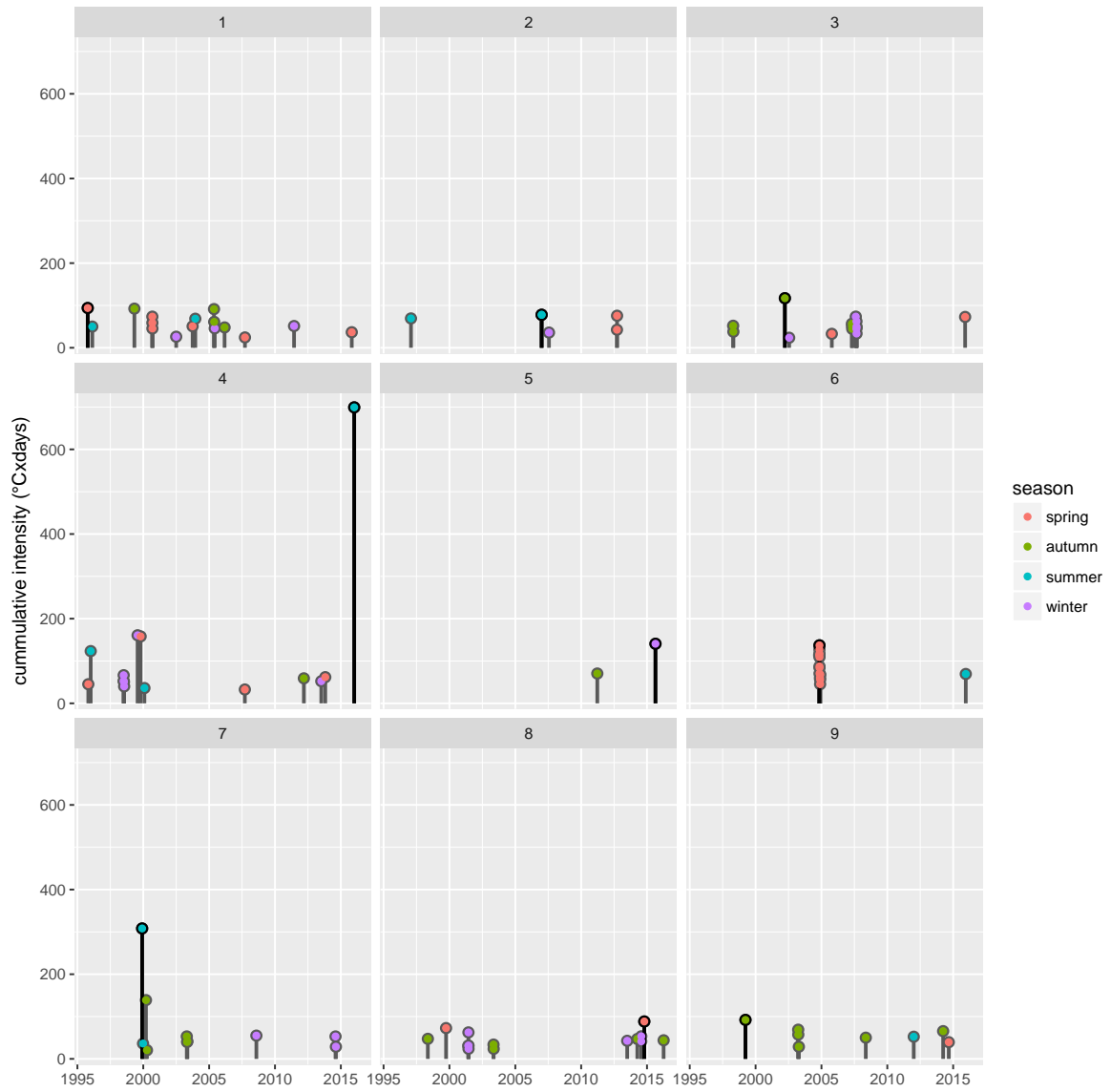
### 3.4. Marine heatwaves

(RWS: Would it be worth running an ANOVA on the nodes to pull out significant differences?)

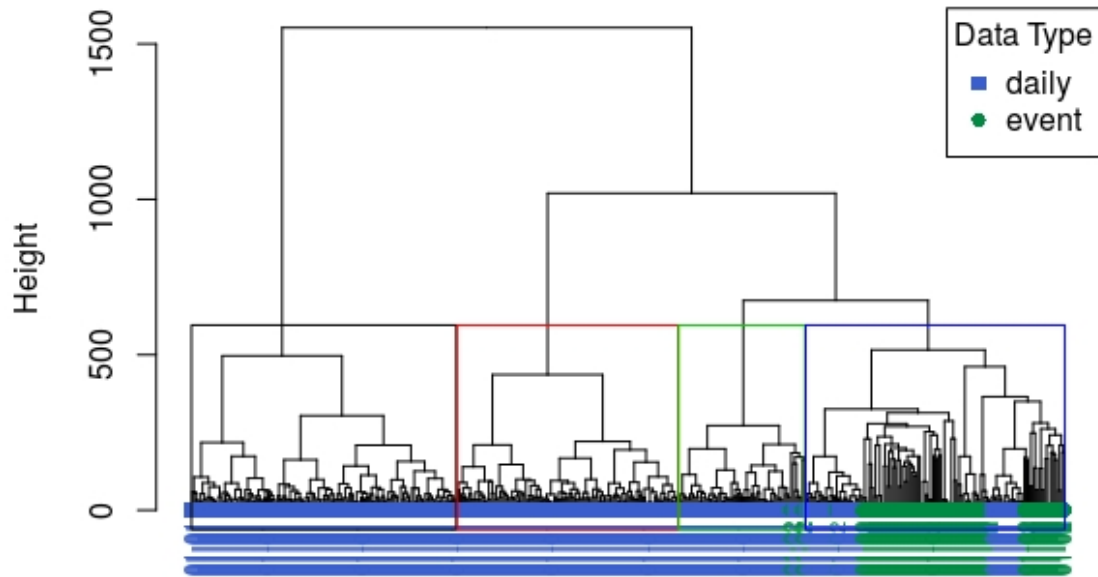
When we look at the mean statistics for each node (Table 2) we see that there is a large difference in the mean duration (days) of MHWs clustered therein. Nodes 4 and 5 show the longest mean durations however, the mean duration in node 5 is skewed by having one very long event and only two total events in that node. Nodes 9 and 2 are characterized by having the shortest MHWs. As large cumulative mean intensities are generally a product of lengthy MHWs, it is not surprising to see that Nodes 4 and 5 also have the highest values for this metric as well. Again though node 5 is misrepresented in this regard due to the one large event clustered there. As for the maximum intensity of events within each node, there is less difference between the nodes than for the other two metrics shown. Nodes 2 and 8 however did have events with the lowest maximum intensities ( $^{\circ}\text{C}$ ) on average.

**Table 2:** The relevant metrics and statistics for the events found within each node. (RWS: I will add +- standard deviation to the mean columns)

node	count	summer	autumn	winter	spring	west	south	east	duration_mean	int_cum_mean	int_max_mean
1	16	2	4	3	7	7	8	1	22.20	57.48	3.62
2	6	3	0	1	2	2	4	0	18.50	63.26	4.51
3	15	0	6	7	2	4	11	0	23.70	53.47	3.22
4	14	3	1	6	4	3	10	1	43.50	117.09	3.92
5	2	0	1	1	0	1	1	0	42.00	105.59	4.23
6	13	1	0	0	12	0	13	0	31.20	88.12	4.02
7	10	2	5	3	0	1	7	2	30.00	77.53	3.49
8	14	0	5	7	2	4	10	0	20.20	45.99	3.27
9	8	1	6	0	1	1	7	0	17.50	56.85	4.39
ALL	98	12	28	28	30	23	71	4	27.00	71.14	3.72



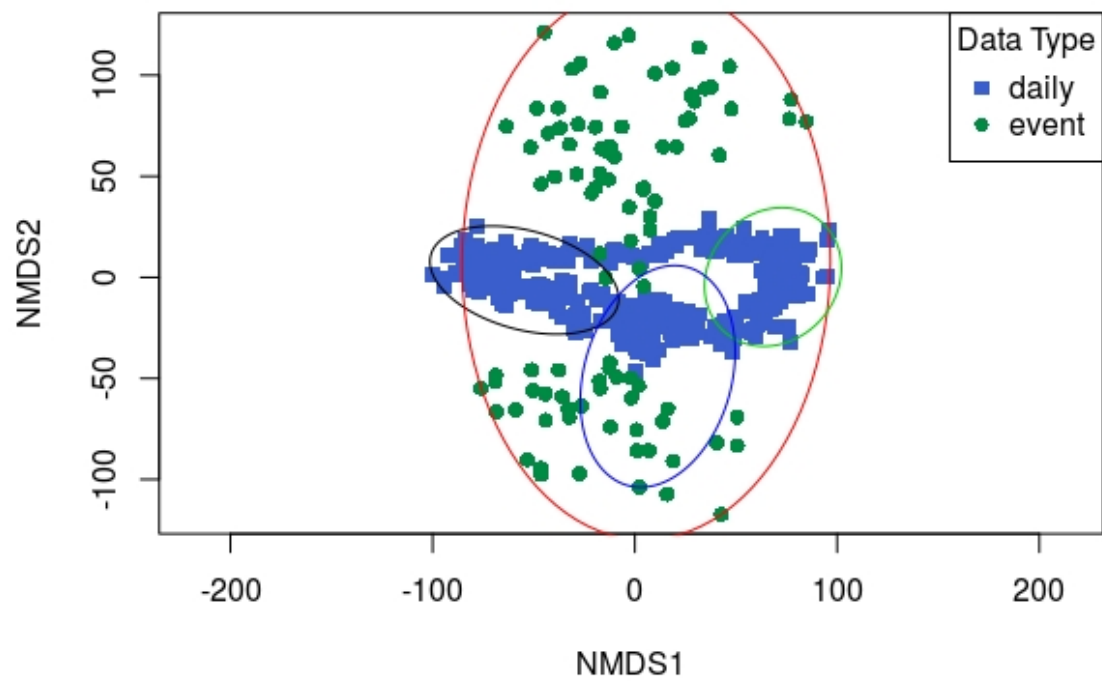
**Figure 5:** Lollipop showing the date during which each event began. The height of each lolli shows the cumulative intensity of the event for comparison of the severity of the events.



**Figure 6:** (RWS: I will clean this figure up before submission) Dendrogram showing the distribution of normal daily climatological air-sea states (blue) versus the distribution of mean air-sea states during Marine Heatwaves (MHWs; green). Four clusters are shown as proxies for the four seasons of the year.

### 3.5. Seasonality

When we consider the seasonal distribution of MHWs in each node, we see that except for node 6, there appears to be no consistency in the season during which a certain air-sea pattern may occur. If we were to plot the air-sea states during MHWs against normal days we see in Figure 6 and Figure 7 that the synoptic air-sea states during the 366 daily climatologies are different from almost all of the synoptic air-sea states during coastal MHWs. As one may see from the flat ellipse of blue squares (the daily climatology points), the variance represented in the x axis is seasonality. Indeed, if the dates are included in the figure above they are in a nearly contiguous state. With January 1st in the top left edge of the ellipse of blue squares with the dates then moving clockwise. May is roughly in the middle of the top of the ellipse and October in the middle on the bottom. The synoptic states during events appear to be controlled by the variance represented by the y axis. This then must be some sort of variance that is aseasonal. Likely the anomalous characteristics of air and or sea that occur during the events. This shows that whatever those states may be, they are different from the common air-sea states that occur at any time during the year. Also worth noting is that the daily climatologies for summer and winter do not cluster at all with any of the events (Figure 6). They are almost all clustered with autumn, and a few with spring days.



**Figure 7:** (RWS: I will clean this figure up before submission) Ordiplo showing the distribution of normal daily climatological air-sea states (blue) versus the distribution of mean air-sea states during Marine Heatwaves (MHWs; green). The four clusters from Figure 6 are shown here as ellipsis.

### 3.6. Spatiality

As shown in Table 2, there are very few events with durations greater than 15 days that occurred in the east coast section of the study area. Therefore it is difficult to judge any potential relationships between meso-scale activity that may be responsible for events only on the east coast, or between the east coast and other sections of the coastline. Table 2 does show that, with the exception of node 6, there are no nodes that contain only events from one coastal section. 8 of the 9 nodes created by the SOM consist of synoptic air-sea states that were occurring during MHWs separated over large distances and by oceanographically dissimilar features.

## 4. Discussion

### 4.1. Abnormal behavior

Most notable from the clustering of these events has been the Agulhas current retroflecting north onto the Cape Point region, rather than its usual southward retroflexion (cite), when coastal MHWs were detected. This is a similar finding to the cause of the Western Australia MHW (Feng et al., 2013; Benthuisen et al., 2014). This onshore push of water is most apparent in panel six of Figure 4 however, panels 7, 3 and 9 also show advection of warm water onto the coast around Cape Point. This shows that the abnormally warm temperatures in the areas where MHWs were detected are due to meso-scale activity, and not any local processes. Nodes 8, 4, 1 and 5 lack the apparent onshore forcing of the Agulhas current. These nodes do not show any apparent anomalous behaviour in the sea. When we look at the atmospheric data we see that there are much clearer patterns at play. This supports the argument that some coastal MHWs may be linked more strongly to atmospheric processes than to meso-scale oceanographic forcing.

### 4.2. Seasonality

With the exception of node 6, all of the nodes produced by the SOM contain events not only over large periods of time, but during most if not all four seasons of the year. This means that the abiotic forces driving MHWs are truly aseasonal. Indeed, as we may see in Figure 7, not only do events occurring during a particular season not relate to the air-sea states during that season, they do not relate to air-sea states during any time of the year. The only small exception to this finding being that some small similarities may be noted during some days in spring and several more during autumn. This implies that whereas air-sea states during events depart from anything seen throughout a normal year, they most closely resemble air-sea states during the tumultuous transitional seasons of spring and Autumn (cite?).

Also of interest in this study was during which season do MHWs in excess of 15 days tend to occur. We found, to some surprise, that only a small portion (Table 2) of MHWs occurred during summer months. This implies that the phenomena that may be driving these long MHWs occur more often during the cooler months of the year. This may mean that summer months around southern Africa are more stable than at other times of the year, or that the processes that drive long MHWs are linked to



the transitioning of warmer temperatures to cooler temperatures. And vice versa. It is not possible to draw any conclusions on this relationship from the output of this research. Further investigation into this possible causal link is required.

#### 4.3. Spatiality

That 8 of the 9 nodes created by the SOM consist of synoptic air-sea states that occurred during MHWs on different coastal sections of the study area leads to two possible implications. The first is that the onshore forcing of the Agulhas current during the MHWs must be extending onto the shore through the Benguela upwelling system. The other implication is that it may be temperature exchange between air and sea at the coast that is leading to these events. (RWS: Must expound upon these two ideas more fully.)

### 5. Conclusion

This research has highlighted that the cause of coastal MHWs is often the abnormal advection of water onto the coast due to atypical meso-scale activity. In the case of the west and south coast sections of South Africa this offshore water is often warmer than coastal waters and so it was not necessary that the offshore waters be seasonally warm at their point of origin.

Also of importance in the findings represented here is that the air-sea states during MHWs do not relate closely to any of the normal air-sea states seen throughout the year. This means that the meso-scale activity that is occurring during these MHWs is not directly related to any time of year. Indeed, the fewest MHWs occurred during summer than any other season.

The mean air-sea state during the longest, most cumulatively intense events was also one of the most unassuming. Meaning that for some of the longest events, those which could potentially be having the most negative impact on nearshore ecosystems, there does not appear to be any large scale forcing from the air or sea on these coastal waters.

This finding shows that a knowledge of the meso-scale oceanographic and atmospheric properties of an area are necessary to determine what forces may be causing MHWs along a stretch of coastline. But that even with this knowledge, many of the largest MHWs do not show any relationship to these potential meso-scale forces. One must therefore not assume that meso-scale activity may be at the root of any particularly large MHWs observed in nearshore environments. Finer spatial resolutions must be considered when investigating such events. This is however challenging as such high resolution *in situ* data are often very sparse.

It is therefore advised that areas of particular susceptibility to MHWs be identified in order to allow for finer scale monitoring of these areas. Once these areas have been identified and such monitoring systems installed, it may then be possible to better determine what leads to MHWs.

**Table 3:** The metadata and coastal averages for all *in situ* time series used in this study.

	order	site	src	index	lon	lat	depth	type	coast	date.start	date.end	length	N
	84	2	Port Nolloth	SAWS	Port Nolloth/ SAWS	16.87	-29.25	0	thermo	wc	1299.00	16800.00	15502
	100	16	Sea Point	SAWS	Sea Point/ SAWS	18.38	-33.92	0	thermo	wc	1461.00	16527.00	15067
	71	17	Oudekraal	DAFF	Oudekraal/ DAFF	18.35	-33.98	9	UTR	wc	12108.00	16835.00	4728
	41	18	Hout Bay	DEA	Hout Bay/ DEA	18.35	-34.05	28	UTR	wc	7753.00	13992.00	6240
	52	20	Kommetjie	SAWS	Kommetjie/ SAWS	18.33	-34.14	0	thermo	wc	8095.00	16527.00	8433
	12	22	Bordjies	DAFF	Bordjies/ DAFF	18.46	-34.32	4	UTR	sc	12502.00	16748.00	4247
	13	23	Bordjies Deep	DAFF	Bordjies Deep/ DAFF	18.47	-34.31	9	UTR	sc	12087.00	16748.00	4662
	33	27	Fish Hoek	SAWS	Fish Hoek/ SAWS	18.44	-34.14	0	thermo	sc	8095.00	16527.00	8433
	65	29	Muizenberg	SAWS	Muizenberg/ SAWS	18.48	-34.10	0	thermo	sc	1220.00	16527.00	15308
	36	30	Gordons Bay	SAWS	Gordons Bay/ SAWS	18.86	-34.16	0	thermo	sc	986.00	16527.00	15542
	10	31	Betty's Bay	DAFF	Betty's Bay/ DAFF	18.92	-34.36	5	UTR	sc	12765.00	16751.00	3987
	38	32	Hermanus	SAWS	Hermanus/ SAWS	19.25	-34.41	0	thermo	sc	7274.00	16527.00	9254
	109	37	Stilbaai	SAWS	Stilbaai/ SAWS	21.44	-34.37	0	thermo	sc	3652.00	16527.00	12876
	131	38	Ystervarkpunt	DEA	Ystervarkpunt/ DEA	21.74	-34.40	3	UTR	sc	9426.00	13685.00	4260
	61	39	Mossel Bay	DEA	Mossel Bay/ DEA	22.16	-34.18	8	UTR	sc	7846.00	13685.00	5840
	50	42	Knysna	DEA	Knysna/ DEA	23.07	-34.08	7	UTR	sc	9210.00	14554.00	5345
	119	45	Tsitsikamma West	SAWS	Tsitsikamma/ SAWS	23.65	-33.98	0	thermo	sc	7486.00	13559.00	6074
	111	46	Storms River Mouth	SAWS	Storms River Mouth/ SAWS	23.90	-34.02	0	thermo	sc	8491.00	14244.00	5754
	118	47	Tsitsikamma East	DEA	Tsitsikamma/ DEA	23.91	-34.03	10	UTR	sc	7849.00	14558.00	6710
	78	58	Pollock Beach	SAWS	Pollock Beach/ SAWS	25.68	-33.99	0	thermo	sc	10724.00	16527.00	5804
	43	59	Humewood	SAWS	Humewood/ SAWS	25.65	-33.97	0	thermo	sc	1332.00	10956.00	9625
	37	67	Hamburg	DEA	Hamburg/ DEA	27.49	-33.29	4	UTR	sc	9433.00	14667.00	5235
	30	68	Eastern Beach	SAWS	Eastern Beach/ SAWS	27.92	-33.02	0	thermo	ec	5113.00	10438.00	5326
	70	69	Orient Beach	SAWS	Orient Beach/ SAWS	27.92	-33.02	0	thermo	ec	5113.00	16527.00	11415
	68	70	Nahoon Beach	SAWS	Nahoon Beach/ SAWS	27.95	-32.99	0	thermo	ec	5113.00	10438.00	5326
	102	133	Sodwana	DEA	Sodwana/ DEA	32.73	-27.42	18	UTR	ec	8835.00	14636.00	5802

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## Supplementary

### Meta-data

Further meta-data for each time series and source listed in geographic order along the South African coast from the border of Namibia to the border of Mozambique may be found in Table 3.

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