



Otto-von-Guericke-University Magdeburg

**Faculty of Computer Science**

Institute for Intelligent Cooperating Systems

Bachelor Thesis

# **Comparison of Real-Time Plane Detection Algorithms on Intel RealSense**

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December 5, 2022

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*Comparison of Real-Time Plane Detection Algorithms on Intel RealSense*  
Bachelor Thesis, Otto-von-Guericke-University Magdeburg, 2022.

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# 1 Introduction

Man-made environments usually contain planar structures to a large extent. They are a central component in numerous use cases in the fields of Augmented and Virtual Reality, as well as robotics.

## 1.1 Real-Time Plane Detection

### **introduction**

1. aktueller stand: gute und schnelle ebenenfindung wird oft gebraucht, gibt es auch schon/ist möglich
2. problem: oft sind die speziellen sensoren sehr kostenspielig
3. Daher die frage: (wie gut) ist das ganze auf bezahlbarer hardware möglich?
4. Nötig, um die Frage zu beantworten:
  - Welche Kamera(s)?
  - Welcher algorithmus?
  - Was heisst "real-time" überhaupt?
5. Problem an letzterem: nicht möglich einen einheitlich besten algorithmus auszuwählen, da ...
6. Lösung: wir wählen algorithmen aus und vergleichen diese einheitlich um die frage aus 3. zu beantworten

## 1.2 Intel RealSense

- Wir müssen zuerst sensoren auswählen, mit denen wir die umgebung aufnehmen
- Da, wie vorher angesprochen, der preis des sensors oft ein problem ist, wählen wir eine relativ billige (im vergleich)
- die intel sensoren sind vergleichsweise bezahlbar.
- genauer gesagt nutzen wir ...
- Zu den sensoren wird eine kostenfreie software bereit gestellt
- Über diese software lassen sich die kameras ansteuern. dazu ist in dieser software ein slam algorithmus namens rtabmap implementiert
- mit rtabmap können wir den strom aus rohdaten zu einer bestehenden karte verarbeiten, was uns ermöglicht ebenen der kompletten umgebung zu finden anstatt nur von dem aktuellen blickwinkel

# 2 Background

In this chapter, we present relevant literature needed to completely understand the proposed concept of chapter 3.

## 2.1 SLAM

SLAM (Simultaneous Localization And Mapping) algorithms aim to solve a usual problem in the field of unmanned robotics; A robot finds itself in an unknown environment and attempts to build a coherent map while keeping track of its location. The robot uses use-case-specific sensors to obtain a snapshot of its current surroundings, which it then uses to update and enhance its known map (Mapping). The robot then attempts to accurately estimate its position based on the updated map. The new information about its position is processed during the next map update. Over decades of research, varieties of different (combinations of) sensors have been employed to solve this problem more accurately and efficiently. Internal odometry sensors alone can be unreliable if the robot moves over uneven or slippery surfaces. For that reason, visual SLAM(V-SLAM) methods like *MonoSLAM*[6] or *Dense Visual SLAM*[13] integrate additional visual input of camera sensors into their algorithm.

### RTAB-MAP

RealSense-ROS internally uses a SLAM algorithm for map building, namely RTAB-MAP (Real-Time Appearance-Based Mapping)[15]. Unlike purely visual-based SLAM algorithms, RTAB-MAP also takes input from odometry sensors, as well as an optional additional input in form of two- or three-dimensional lidar scan. All these inputs are combined during a synchronization step, and the results thereof are passed to RTAB-MAP's *Short-Term-Memory* (STM). The STM assembles a new node from the new inputs and inserts it into the map graph. Based on the newly inserted node, RTAB-MAP attempts to determine if the current location has already been visited earlier, also known as *loop closure*. If a loop closure is detected, i.e., RTAB-MAP detects the re-visiting of a known location, the map graph is optimized and thus minimized. In addition, the global map is reassembled in correspondence with the new information.

The resulting map is published in the form of an unorganized point cloud. RTAB-MAP's general workflow is shown below in Figure 2.1:

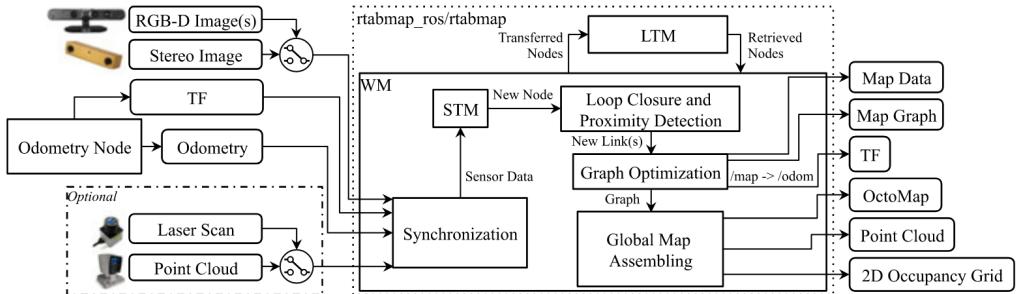


Figure 2.1: Block diagram of RTAB-MAP's main node. Note, that the *Point Cloud* returned by the *Global Map Assembling* is an unorganized point cloud (see Section 2.3). Taken from [15, Figure 1]

## 2.2 Intel Realsense

In this work, we use the Intel RealSense tracking camera T265 and the RGB-Depth(RGB-D) camera D455. A tracking camera is generally used to observe the environment and usually has a wider field of view (FOV). The primary motivation for using RGB-D cameras is depth perception. The primary differences and similarities between the T265 and the D455 are reported in Table 2.1. Beide Kameras sind stereo, die T265 hat 2 fisheye lenses und die D455 hat 2 imagers. Dazu hat die D455 noch einen RGB sensor und einen infrarot sensor. Mit dem IR sensor und den beiden imagern wird ein tiefenbild berechnet. Durch die fisheye lenses hat die T265 mit 163° ein deutlich breiteres Sichtfeld als die D455 mit nur 111°. Die maximale FPS anzahl der D455 ergibt sich aus den individuellen FPS werten der imager sensoren und dem RGB sensor, welche beide einen maximalwert von 90 haben. Dazu sei gesagt, dass bei steigender auflösung die maximale Framerate sinkt und 90FPS nur mit einer maximalen auflösung von 640x480 möglich ist. Furthermore, both cameras have an integrated Inertial Measurement Unit (IMU) which is used to compute its position in combination with visual input.

Intel provides a software development kit, namely RealSense SDK, which allows easy and efficient use of the cameras. The SDK runs on both Windows and Ubuntu, and a ROS(Robot Operating System<sup>1</sup>) adaptation is also provided in Intel's Github repository<sup>2</sup>.

<sup>1</sup><https://www.ros.org/>

<sup>2</sup><https://github.com/IntelRealSense/realsense-ros>

	Image	Type	max. Resolution	D-FOV	Shutter	Price	max. FPS
D455	Stereo	RGB-D	1280x720	111°	global	419\$	90
T265	Stereo	Tracking	848 x 800	163°	global	199\$	30

Table 2.1: Intel RealSense T265 and D455 camera specifications. More information and the complete Datasheets can be found on <https://www.intelrealsense.com/>.

## 2.3 Data Formats

In the following chapters, we often refer to different data representations. Specifically, the data that is given into a plane detection algorithm, and the exportation format of the planes are vital. The following subsections provide necessary information and further distinctions.

### 2.3.1 Common Input Types

Usually, the data representation of the recorded environment falls into one of three categories:

- *unorganized* or *unstructured point cloud* (UPC)
- *organized* or *structured point cloud* (OPC)
- image:
  - Depth (DI)
  - RGB (RGBI)

The differences between these input types are summarized in Table 2.2. The fundamental difference between UPC and OPC is their format. Each point cloud has a *width* and a *height* parameter. An unorganized point cloud  $c$  is generally equal to an unordered 1D array of 3D coordinates, i.e.,  $\text{width} = |c|$  and  $\text{height} = 1$ . In contrast, the memory layout of an organized point cloud is a 2D matrix, where the width and height depend on the resolution of the used sensor. Intuitively, the value at index  $(0,0)$  would be in the top-left corner, and the value at index  $(\text{width}, \text{height})$  would be in the bottom-right corner.

When considering images, a differentiation between RGB images and depth images has to be made. Depth images are inherently similar to organized point clouds, given their resolution and two-dimensional structure. The primary difference is that the matrix stores distances to the sensor instead of 3D coordinates. RGB-images are, like Depth images, stored as a 2D Matrix but instead of distances or coordinates, the matrix

stores values that describe the color of a pixel. Usually, this means that each pixel stores a triple that describes a specific color by the amount of included red, green, and blue.

Another important aspect is that OPC or images can have 0, empty or *not-a-number* (NaN) entries, while unorganized point clouds omit such values. Possible reasons for invalid pixels include points too far away from the sensor, or even windows or mirrors.

Input Type	Value Types	Memory Layout	0 or NaN
OPC	3D Coordinates	2D Matrix	N
UPC	3D Coordinates	1D Array	Y
DI	Distances to Sensor	2D Matrix	Y
RGBI	RGB	2D Matrix	Y

Table 2.2: Possible inputs for plane detection algorithms columns dedicated to the stored data, the memory layout, and whether the input type allows for invalid values.

It is worth noting that, in the literature, the terms "depth image" and "organized point cloud" are used interchangeably.

### 2.3.2 Common Plane Formats

**TODO**

## 2.4 Plane Detection

The field of plane detection has been around for decades. Most methods of detecting planar regions in unorganized point clouds are based on one of three main categories [16, 1]:

- Hough Transform (HT)
- RANSAC (RC)
- Region Growing (RG)

## Hough Transform

The original motivation behind the Hough transform was detecting lines in images [10]. All points are sequentially processed via a voting procedure to detect the best fitting line over a set of 2d points. Multiple lines with different orientations are fit through each given point  $p$ . Because a line in slope-intercept form parallel to the y-axis would lead to an infinite slope, the Hesse normal form is chosen as the primary line representation[7].

In Hesse normal form, an individual line can be parameterized with a pair  $(r, \theta)$ , with  $r$  being the orthogonal distance origin to the plane and  $\theta$  being the angle between the x-axis and the line that connects the origin to the closest point on the line. This pair is also called a *Hough Space* in this context. Votes are cast on the corresponding value of  $\theta$ , depending on the number of inliers within a specific *Hough Space*  $(r_i, \theta_i)$ . The map that connects the votes to each  $\theta$  is called an *accumulator*. Finally, the best fitting line is determined by the number of votes it received.

In the context of plane detection in 3D point clouds, a plane would be uniquely identified by the triple  $(\rho, \theta, \phi)$ , with  $\rho$  being the orthogonal distance from the origin to the plane,  $\theta$  being the azimuthal angle, and  $\phi$  being the inclination. Since more parameters are needed to describe a plane in 3D, the accumulator must be adapted. Therefore, a three-dimensional accumulator is used, whereas the specific shape has been discussed [4].

## RANSAC

RANSAC (RAndom SAmple Consensus) has been researched for decades. While many use cases revolve around image processing, it is also heavily employed in many plane detection algorithms[33, 38, 3]. RANSAC is an iterative process. Each iteration randomly samples a certain amount of data points and fits a mathematical model through them. The level of outliers determines the quality of the obtained model and preserves the best overall model.

Within the context of plane detection in 3D point clouds, an approach could involve random sampling of 3 points, fitting a plane through them, and counting the number of points within a certain range of the plane[38]. The model, in that case, could be a cartesian plane equation.

## Region Growing

Region Growing methods are often used in the field of image or point cloud segmentation [24, 35]. RG-based segmentation methods aim to grow a set of disjoint regions from an initial selection of seed points. The regions increase in size by inserting neighboring values based on an inclusion criterion. The quality of the resulting regions depends on the choice of seed points, e.g., a very noisy seed point could decrease overall quality [19]. In the context of this work, a criterion for region growth could be the distance or curvature between a region and its adjacent data points.

## 2.5 Plane Detection Algorithms

**Disclaimer:** bin grade noch dabei die algorithmen anzupassen. ich schreibe zuerst die erklärungen zuende und gleiche das level of detail dann an (+ andere todos, siehe todoist)

The following subsections detail the necessary background knowledge of all the plane detection algorithms mentioned in this paper. Aside from the functionality of a method, we give relevant higher-level information, e.g., the expected input format and the proposed output format.

### 2.5.1 Robust Statistics approach for Plane Detection

**Parameter:**  $l_O, \varepsilon, MOR, k, MND, MDP$

Robust Statistics approach for Plane Detection (RSPD) [1] is based on region growing. After taking an unorganized point cloud as input, the procedure is divided into three phases; *Split*, *Grow* and *Merge*.

**Split** The authors propose to use an octree to recursively subdivide the point cloud. The subdivision is repeated until every leaf node contains less than 0.1% of the total amount of points. This is followed by a planarity test, during which the octree is traversed bottom-up. If all eight children of a node  $n$  are leaf nodes and fail the planarity test,  $n$  replaces its children and becomes a leaf node of its own. This procedure is repeated until the root of the octree is reached.

**Grow** In preparation for the growth phase, a neighborhood graph (NG) over the entire point cloud is created. Every node of NG represents one point and an edge between two nodes exists only if a k-nearest-neighbor search detects both points being in the same neighborhood.

The graph construction is subsequently followed by a breadth-first-search, during which a point  $x$  is inserted into a planar patch  $p$  if it satisfies the following conditions:

- $x$  is not included in any patch *and*
- $x$  satisfies the inlier conditions for  $p$ :
  - The distance  $d$  of  $x$  to  $p$  is smaller than a threshold  $\theta_d$  (see Eq. 2.1) *and*
  - The angle  $\phi$  between the normals vectors of  $x$  and  $p$  is less than a threshold  $\theta_a$  (see Eq. 2.2).

$$d = |(x - p.\text{center}) \cdot p.\text{normal}| < \theta_d \quad (2.1)$$

$$\phi = \text{acos}(|x.\text{normal}, p.\text{normal}|) < \theta_a \quad (2.2)$$

**Merge** In the last phase, the previously grown patches are merged. Two planar patches  $P_1$  and  $P_2$  can be merged, if the following conditions are met:

- The octree nodes of  $P_1$  and  $P_2$  are adjacent,
- $P_1.n$  and  $P_2.n$  have a divergence within a tolerance range *and*
- at least one inlier of  $P_1$  satisfies the inlier conditions (see Eq. 2.1+2.2) from  $P_2$  and vice versa.

This phase returns all maximally merged planar patches, i.e. the final planes.

### 2.5.2 Oriented Point Sampling

Oriented Point Sampling (OPS) [33] accepts an unorganized point cloud as input.

**more detail parameters:**  $\alpha_s, KNN, \theta_h, \theta_N, p$

First, a sample of points is uniformly selected. The normal vectors of these points are estimated using SVD and the k nearest neighbors, which had been obtained using a k-d tree. An inverse distance weight function is employed to prioritize neighboring points that are closer to the sample of which the normal vector is currently being estimated.

After normal estimation, one-point-RANSAC is used to find the largest plane. Usual RANSAC implementations sample three points to fit a plane. However, OPS fits a plane with only one sample point and its normal vector. Once a plane with the most inliers is obtained, its normal vector is re-estimated using SVD on all inliers, and all inliers are removed from the point cloud. This process is repeated until the number of remaining points falls below a predefined threshold  $\theta_N$ .

- merge smaller planes if they pass a coplanarity test
- re-estimate normals after merge

### 2.5.3 3D Kernel-based Hough Transform

With the 3D Kernel-based Hough Transform (3D-KHT), Limberger and Oliveira[16] propose a hough transform-based plane detection method, which accepts unorganized point clouds as input [16].

**parameters:**  $\phi_{num}$   $\rho_{num}$   $s_{level}$   $s_{ps}$   $d_{max}$   $s_\alpha$   $s_\beta$

The point cloud is spatially subdivided. The authors propose the usage of octrees over k-d trees because the k-d tree lacks efficiency in creation and manipulation. Furthermore, the octree succeeds in capturing the shapes inside the point cloud, while the k-d tree does not.

Each leaf inside the octree continues subdividing until the points inside a leaf node are considered approximately coplanar, or the number of points is less than a predefined threshold. The authors recommend this threshold to value 30 for large point clouds. After the approximately coplanar nodes are refined by removing outliers, a plane is fit through the remaining points.

This plane  $\pi$  can, in polar coordinates, be uniquely described by a triple  $(\rho, \theta, \phi)$ . Inspired by Borrmann et al.[4], an accumulator ball (Fig. 2.2b) is used for the voting procedure because the cells in polar regions are smaller (and therefore contain fewer normal vectors) in three-dimensional accumulator arrays, as portrayed in Figure 2.2a.

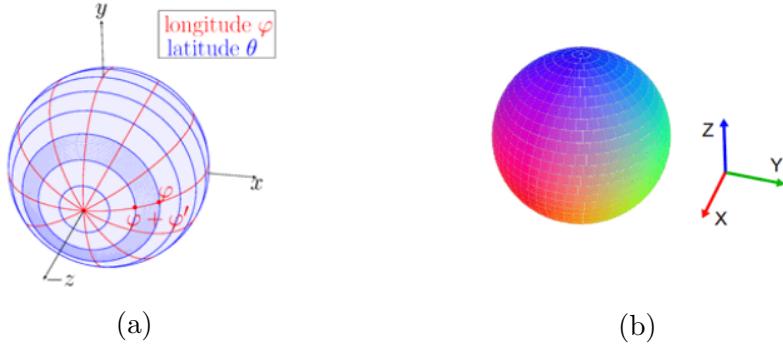


Figure 2.2: Accumulator array (a), taken from [4, Figure 3]. Accumulator ball(b) used in 3D-KHT, taken from [16, Figure 5].

During the voting procedure, votes are not cast for each data point but rather on previously calculated approximately coplanar clusters. When casting a vote on a given cluster  $c_i$  with its plane (represented by  $(\rho, \theta, \phi)$ ), the corresponding entry in the accumulator ball is updated. With this update, its neighboring clusters also receive a vote determined by the uncertainty value of  $c_i$ . Due to the non-discrete values of uncertainty, the votes are floating-point values as well.

All Peaks within the accumulator ball are detected in the last step. Because the votes tend to be sparsely distributed [16, Section 3.4], an auxiliary array  $A$  is used to memorize the entries inside the accumulator that are set. When an accumulator index is assigned a value for the first time, it is also added to  $A$ . Therefore, it is only necessary to iterate the auxiliary array to find peaks inside the accumulator. Furthermore, an intermediary smoothing step is performed by merging adjacent peaks inside the accumulator and storing them in  $A$ . Then,  $A$  is sorted in descending order. If a cell  $c$  in the accumulator has not yet been visited during iteration,  $c$  is considered a peak. In addition,  $c$  and its 26 neighboring cells are tagged as *visited*. That way, the most dominant plane, i.e., the one with the most votes, is detected first. Finally, the detected planes are sorted by the number of different clusters that voted for them.

#### 2.5.4 Octree-based Region Growing

Octree-Based Region Growing (OBRG) [35] is also a method that employs region growing.

**parameters:**  $l_{max}$   $\theta_{res}$   $\theta_d$   $\theta_{ang}$   $\theta_M$   $\theta_p$

First, an unorganized point cloud is recursively subdivided using an octree. An octree node  $n$  repeatedly subdivides itself into eight children until the level of  $n$  supersedes a

predefined maximum subdivision value or if the amount of contained points in  $n$  is less than a predefined minimum of included points. Saliency features are calculated for every leaf node in preparation for the region growing step. A normal vector is obtained by performing a principle component analysis (PCA) on the points inside each leaf node. The best-fitting plane of each leaf is defined by the mean normal vector and its center point. A residual value is obtained by taking the RMS of the distance of all included points to the plane.

For the region growing phase, all leaf nodes are selected as individual seed points. Starting from the seed with the lowest residual value, which relates to a low amount of noise, a neighboring leaf node  $n$  is inserted into the region if  $n$  does not belong to any region and the angular divergence between both normal vectors is smaller than a predefined threshold. Lastly, a refinement step is employed. Fast refinement (FR) is performed on regions that succeed in a planarity test, i.e., 70%-90% of included points fit the best plane [35, Section 3.4]. FR is leaf-based, and all previously unallocated neighboring nodes that satisfy an inlier criterion are added to the region. General refinement (GR) is performed on regions that are considered non-planar. In contrast to the fast refinement, GR is point based. Therefore, points from neighboring and previously unallocated leaf nodes are considered and inserted into the region if they, too, satisfy the inlier criterion. The refinement process returns a complete set of planar regions.

### 2.5.5 Probabilistic Agglomerative Hierarchical Clustering

Probabilistic Agglomerative Hierarchical Clustering (PEAC) [8] is a plane detection algorithm that takes an organized point cloud as input. The agglomerative hierarchical clustering is based on an algorithm called *line regression*[21, Section III.B]. The primary difference is that, instead of a double linked list, PEAC operates on a graph  $G$ .

First, the input organized point cloud is divided into non-overlapping nodes through the initialization of  $G$ . Each node in  $G$  has a pre-determined height  $H$  and width  $W$ , whereas  $H$  and  $W$  correspond to index ranges in the point cloud, i.e., each node contains a set of points. Then,  $G$  is refined by removing the following types of nodes and corresponding edges [8, Section III.A]: **zitieren um depth discontinuities sagen zu dürfen?**

1. Nodes that contain NaN or 0 values, e.g., missing data,
2. nodes that contain at least one point that is depth-discontinuous with its four neighbors, e.g., neighbors in the pixel space but not in coordinate space,
3. nodes with a high MSE, e.g., non-planar regions, *and*
4. nodes that share an edge with two different planes, e.g., the corners of walls.

During this step, all points inside a node share a common plane normal.

The *agglomerative hierarchical clustering* step starts with the construction of a min-MSE-heap data structure that contains the nodes of  $G$ , sorted in ascending order by the value of their MSE. The following steps are then repeated exhaustively. First, the node  $v$  that has the current minimum MSE is merged with one of its neighbors  $u$  that minimizes the merged MSE. If the merged MSE is larger than a threshold  $\theta_{MSE}$ , then a plane is found and the merged node is removed from  $G$ . if  $MSE_{merge}$  is larger than a threshold  $\theta_{MSE}$ , then a plane segment is found and extracted from  $G$ . Otherwise, the merged node is added back to  $G$ , joining the edges of  $v$  and  $u$ .

Lastly, a refinement step is employed. Due to the clustering of nodes that contain a set of points, certain types of artifacts can occur:

- Sawtooth,
- unused data points, *and*
- over-segmentation.

First, if not all neighbors of a node  $v$  belong to the plane of  $v$ , all points of  $v$  are added to a queue  $Q_{RG}$ . Then, region growing is performed on the points inside  $Q_{RG}$ , where the 4-connected neighbors are observed. A neighboring point  $n$  is inserted into the segment  $k$  of a point  $v$  if  $n$  does not belong to  $k$ , and the distance to  $k$  is smaller than the distance to its current segment. Additionally,  $n$  is inserted into  $Q_{RG}$ , which also happens if  $n \in k$ . The region growing is followed by another *agglomerative hierarchical clustering* step.

### 2.5.6 Fast Cylinder and Plane Extraction

Fast Cylinder and Plane Extraction (CAPE) [24] is a region growing-based algorithm that detects planes and cylinders in organized point clouds. The authors propose this algorithm as an extension of their Probabilistic Visual Odometry Framework [23].

In preparation for the region growing step, the cloud is subdivided into patches of pre-defined size, similar to the graph initialization of PEAC (see Section 2.5.5). A patch size of 20x20 was used in their evaluation [24, Section V.A]. Then, the planarity of the patches is tested, and a patch is considered non-planar, if:

- The amount of NaN or 0 points exceeds a threshold, *or*
- the patch has depth discontinuities,

whereas the depth discontinuities are only checked for pixels along two vertical and horizontal lines that pass through the patch center. **satz ist schon sehr ähnlich zum original** A plane is then fit through the patch inliers by performing principle component analysis (PCA). The plane's MSE corresponds to the lowest eigenvalue, and the plane's normal vector is its eigenvector. A patch is considered planar, if:

$$MSE_{patch} < (\sigma_z + \epsilon)^2, \quad (2.3)$$

where  $\sigma_z$  is the estimated standard uncertainty of the patches' mean depth value.  $\epsilon$  is a tolerance coefficient. **satz ist schon sehr ähnlich zum original**

The normal vectors are converted from cartesian to spherical coordinates, thus being described by a polar angle, and an azimuth angle. These spherical normal vectors are then assorted into bins, thus building a histogram  $H$ .

This histogram is now used for the region growing step. First, a set of patches  $C$  of the most frequent bin in  $H$  are obtained. Stop, if the most frequent bin yields fewer patches than a threshold  $k_1$ . The patch with the smallest MSE out of  $C$  is chosen as a seed  $s$ . In general, a 4-connected neighborhood is used in this approach, and a neighboring patch  $n$  is inserted into a region, if:

1.  $n$  is not assigned to any region,
2. the dot product of both patches normal vectors is less than a threshold  $T_N$ , and
3. the orthogonal distance of  $n$ 's center to the region is less than  $T_d(s) = l\sqrt{(1 - T_N^2)}$ ,

where  $l$  is the distance between the corner points of  $s$ . If a complete segment  $S$  is found, all included patches are removed from the list of remaining patches, and the bins of the histogram are updated accordingly. Lastly, if  $S$  exceeds the minimum plane size  $k_2$ , it is added to the set of detected planes.

The planarity of all segments is now assessed. This is done by calculating the covariance of all included points and, subsequently, comparing the ratio of the second largest eigenvalue to the smallest eigenvalue thereof. If this ratio exceeds a pre-determined parameter  $plane_{min, score}$ , the segment is considered a plane. Otherwise, additional steps are needed. First, the surface of a segment is checked for invariance, which is a property of open cylinders. For this task, PCA is performed on the stacked matrix of normal vectors of the segment. Then, the ratio of the first eigenvalue to the last eigenvalue of this covariance matrix, namely the condition number, is calculated. If the condition number exceeds a threshold  $\theta_{cond}$ , the segment is comprised of a set of cylinders or planes [24, Section III.D].

Using the eigenvector with the smallest eigenvalue, the center points and normal vectors of all patches in the segment are then projected onto a plane. Then, multiple models are fit by performing sequential RANSAC. Planes are then fit through each

model obtained by RANSAC. If the MSE of the plane is lower than the MSE of the corresponding cylinder model, the model is considered a plane, and a cylinder otherwise.

Lastly, the segments are undergone a refinement step. First, segments are eroded by removing boundary patches through the use of a 3x3 kernel. Next, the eroded segments are expanded by using a 3x3 8-neighbor kernel. The authors propose, that all patches valid for refinement are given by the difference between the expanded segment and the eroded segment. Lastly, each pixel  $p$  within the patches is added to the segment  $S$  if:

$$dist(p, S) \leq MSE_S \cdot k, \quad (2.4)$$

where  $k$  is a constant. The authors use  $k = 9$  in their work.

### 2.5.7 Plane Extraction using Spherical Convex Hulls

As the name suggests, Plane Extraction using Spherical Convex Hulls (SCH-RG) employs spherical convex hulls to detect planes in organized point clouds. The primary concept behind this method is that the planes are not parameterized, but rather represented as a set of geometric constraints in the spherical coordinate system.

First, a set of pre-processing steps is employed. First, a bilateral filter is used for the reduction of noise. Subsequently, a normal map of the entire image is generated. To prepare for the region growing step, appropriate seeds are selected. This is effectively done by subdividing the image into a grid, discarding non-planar cells, and choosing the cell centroids as seeds. The planarity of a cell is determined by its mean-square-error (MSE), which is calculated through all included points and the best fitting plane. This MSE is then compared against a MSE threshold.

Having obtained a set of seed points, the region growing step is employed. Each time a new seed is retrieved, all normals of the corresponding cell are gathered and transformed into the spherical domain. A queue is used for the retrieval of seeds and neighboring points. Starting from a seed  $s$ , neighboring points and their normals are sequentially retrieved. If a neighbor  $n$  is already assigned to some region or the depth difference is larger than a threshold  $T$ , it is discarded. If  $n$  is located inside of the spherical convex hull of the region of  $s$ , it is added to the region, and its neighbors are inserted into the queue. If  $n$  is not inside a convex hull, it is necessary to check whether  $n$  is outside of the so-called *cluster-permissible region* (CPR):

$$CPR(p) = \{q \in \mathbb{S} | \angle(p, q) \leq 2\theta\}, \quad (2.5)$$

where  $\theta$  is an angular threshold. Note that the CPR of multiple points, e.g., a region, is determined by the intersection of all individual points. If  $n$  is outside the CPR of

the current region, it is discarded. Otherwise, the convex hull is updated with  $n$ ,  $n$  is added into the set of points included in the region, and its neighbors are inserted into the queue. It is worth noting, that seed points that are associated with already detected planes are discarded upon retrieval.

Lastly, dilation is performed to eliminate holes in planes. This is not further detailed in [20, Section III.B].

### 2.5.8 Depth Kernel-based Hough Transform

Depth Kernel-based Hough Transform (D-KHT) [34] is a Hough transform-based plane detection algorithm that accepts depth images as input.

The algorithm is performed in three stages: *Clustering*, *Voting*, and *Peak Detection*.

**Clustering** In the clustering step, a quadtree is constructed to spatially subdivide the image. A node recursively subdivides itself, until a minimum of included points  $s_{ms}$  is reached, or until the set of points is considered approximately coplanar. The latter is determined through a principle component analysis (PCA). Therein, the mean and a covariance matrix are computed. Pre-computing and subsequently referencing Summed-Area-Tables (SATs) is done to increase the efficiency of this step, leading to a constant-time calculation of the covariance matrix. If a non-planar node has less than  $s_{ms}$  points, these points are then considered non-relevant and ignored during the voting step.

**Voting** The clustering step returns a set of approximately coplanar point clusters. The best-fitting plane of each cluster is calculated. It is determined by the cluster's mean point, and a normal vector which is the eigenvector associated with the least eigenvalue of the covariance matrix. Two things are necessary to perform the voting step:

- An Accumulator to store the votes, *and*
- a set of gaussian kernels corresponding to the coplanar clusters.

Given the mean point  $\mu_{(x,y,z)}$  and the unit normal vector  $\vec{n} = (n_x, n_y, n_z)^T$  of a cluster, the center of the gaussian kernel is calculated:

$$\mu_{(\rho,\phi,\theta)} = \begin{pmatrix} \mu_x \\ \mu_y \\ \mu_z \end{pmatrix} = \begin{pmatrix} n_x\mu_x + n_y\mu_y + n_z\mu_z \\ \cos^{-1}(n_z) \\ \tan^{-1}\left(\frac{n_y}{n_x}\right) \end{pmatrix} \quad (2.6)$$

The covariance matrix of the gaussian kernel can then be calculated through first-order error propagation:

$$\Sigma_{(\rho, \phi, \theta)} = J \Sigma_{(x, y, z)} J^T, \quad (2.7)$$

where  $J$  is the Jacobi-Matrix of Equation 2.6.

An unbiased spherical accumulator, like in [4], is used to store votes. The axes of the accumulator range are in the following ranges:

$$\theta \in [-\pi, +\pi], \phi \in [0, \pi], \rho \in [0, \rho_{high}]$$

, where  $\rho_{high}$  is determined by the farthest distance between the camera and a point of the input image. The discretization of  $\theta$  and  $\phi$  are pre-determined by the user and should be based on the expected granularity of detected planes. Most likely, this also relates to the expected amount of noise. During the voting itself, the accumulator bins are incremented if they are within two standard deviations of the kernel mean  $\mu_{(\rho, \phi, \theta)}$ .

**Peak Detection** The voting step fills the bins of the accumulator with votes. A smoothing of the bins is performed to avoid oversegmentation or the detection of multiple equivalent planes. Vera et al.[34, Section 3.5] compute a convolution of the accumulator, as well as a 6-connected filter with a central weight of 0.2002 and neighbor weights of 0.1333. A hill-climbing strategy is then adopted to detect peaks in the accumulator. Each peak represents the parameterization of a detected plane. These planes are then sorted by relevance, whereas the relevance of a plane is determined by a weighted sum of the clusters that voted for the corresponding peak. The weights therein depend more on the number of corresponding pixels of a cluster, rather than the number of samples.

All planes, parameterized by  $(\rho, \phi, \theta)$ , are then transformed back into cartesian coordinates. Moreover, they are parameterized by a center point, which is defined by  $\rho$ , and a normal vector  $\vec{n}$ :

$$\vec{n} = \begin{pmatrix} n_x \\ n_y \\ n_z \end{pmatrix} = \begin{pmatrix} \sin \phi \cos \theta \\ \sin \phi \sin \theta \\ \cos \phi \end{pmatrix} \quad (2.8)$$

## 2.5.9 Depth Dependent Flood Fill

**another case of "nicht perfekt verstanden, daher zu lang". reicht aber als erste iteration** Depth Dependent Flood Fill (DDFF) [25] is a region growing-based

algorithm that detects planes in organized point clouds. The algorithm builds upon and improves their previous method [26].

The algorithm starts by selecting appropriate seeds. First, the organized point cloud gets subdivided into cubes of a pre-determined size  $\sigma_{seed}$ . Within these cubes, the points are checked for depth discontinuities, as well as curvature discontinuities. They are evaluated against  $\sigma_{seed}$  and a normal orientation difference threshold  $\theta_{ang}$ , respectively. If neither of the thresholds are exceeded, the center of the cube is marked as a seed for region growing.

The region growing is performed on point-level. Starting from a given seed  $s$ , a region is expanded by checking neighbors within a horizontal span. Given a horizontal span of length  $n$ , its middle pixel  $p$ , the minimum plane size  $\sigma$  is calculated as follows:

$$\sigma = \rho(p) = \kappa_\rho \cdot \delta(p)^2 + \gamma_\rho, \quad (2.9)$$

where  $\delta(p)$  is the depth value of  $p$ , and  $\kappa_\rho, \gamma_\rho$  are tuneable parameters. If the span is growing towards the right, the pixel  $n$  that is  $\sigma$  pixel away from the right border is checked for membership. Additionally,  $n$ 's upper and lower neighbors are also checked for membership. If  $n$  and at least one of  $n$ 's vertical neighbors pass the following membership test,  $n$  and all pixels in between are added to the span of  $s$ .

1. The perpendicular distance of  $n$  to the plane defined by  $s$  and its normal is smaller than a threshold  $\tau_{flood}$ ,
2. the euclidian distance between  $p$  and a neighboring pixel is smaller than a threshold  $\tau_{point}$ , and
3.  $n$  is neither NaN, nor 0.

This region growing process yields a set of oversegmented planes. In a refinement step, the set of planes is reduced by performing merging of planes. First, a neighborhood graph of the rough segments is constructed. Any segments that share a border, i.e., the bordering pixels have a small euclidian distance, are connected through a bidirectional edge. The graph is traversed in a breadth-first manner, merging two connected nodes,  $a, b$ , if they satisfy the following condition:

1. the seed normals of  $a$  and  $b$  diverge less than a threshold  $\tau_{angle}$ , and
2. the perpendicular distance between the segments is less than threshold  $\tau_{merge}$ .

If  $a$  fails the membership test with its neighbor  $b$ , the membership test is repeated between  $b$  and all planes  $a$  has merged with. If this fails, the unidirectional edge  $(ab)$  is

removed from the graph. Note, that the edge  $(ba)$  will be validated later, as  $b$  is subject to change through merges with other neighbors.

After a successful merge, a new centroid and normal are obtained by adding both plane normals and centroids together, while the respective amount of inliers acts as a weight.

The BFS iteration is repeated, until no merge occurs during an iteration. The authors state that, typically, no more than five iterations are needed [25, Section III.E].

The flooding under the constraint of minimum plane size leaves gaps. Therefore, as a refinement step, a two-pass algorithm is used to fill these gaps. The first pass scans top-to-bottom, and the second bottom-to-top. In both passes, horizontal strips of non-assigned pixels are detected. If the vertical neighbors have the same plane label, we mark all unmarked pixels with the same label.

## 2.5.10 PlaneNet

PlaneNet [17] ist a deep learning-based approach to piece-wise planar reconstruction of a scene from a single RBB-Image.

**hier würde sich wahrscheinlich die grafik anbieten**

- built upon Dilated Residual Networks (DNR)
- followed by three prediction tasks
  1. plane parameters
    - global average pooling, reduce feature map size to 1x1
    - fully connected layer to produce  $K \times 3$  plane parameters. ( $K=10$  in experiments)
    - loss function: order agnostic, based on chamfer distance metric for regressed plane parameters
  2. segmentation mask
    - start: pyramid pooling module
    - then: convolution layer. produces  $K+1$  channel likelihood maps for planar and non-planar surfaces
    - finally: Dense conditional random field (DCRF), based on fast inference. meanfield iterations: 5/10 (training/testing)

- loss function: softmax cross entropy to supervise segmentation training (pixel level)
3. non-planar depthmap
    - start: pyramid pooling module
    - followed by convolution layer, produces a 1-channel depthmap
    - loss function: sum of squared depth differences between GT and predicted plane or non-planar depthmap (weighted by probabilities)

## 2.5.11 PlaneRecNet

PlaneRecNet [37] is a deep learning-based approach for piece-wise plane detection and reconstruction that takes RGB images as input.

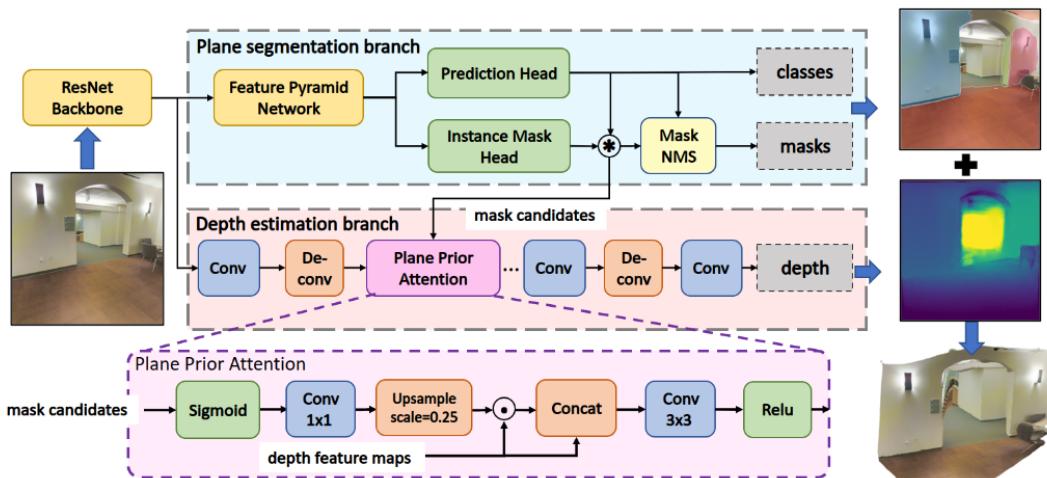


Figure 2.3: The PlaneRecNet Architecture. Taken from the respective paper [37]. **die grafik gibts evtl nochmal in scharf im repo**

approach: focus on high quality global depth estimation so that plane params can be computed by PCA or RANSAC with the plane segmentation and depth prediction.

- ResNet backbone output as input for the following
- two separate branches
  - plane segmentation branch

- \* in general: light weight configuration of SOLOV2 [36] adapted for planar segmentation
- \* more specific: Feature pyramid network, followed by a prediction head and an instance mask head
- \* returns classes (obt. from pred. head)
- \* and masks obt. from non maximum suppression of pred head and inst. mask head
- depth estimation branch
  - \* repeated convolution and de-convolution
  - \* after the first iteration: plane prior attention module with mask candidates as input which are obtained from dynamic convolution between the prediction head and instance mask head from the plane segmentation branch
  - \* finally: returns depth
- plane prior attention module:
  1. sigmoid function
  2. 1x1 convolution
  3. upscale( $=0.25$ ) with interpolation
  4. multiplication and concatenation of mask candidates with depth feature maps
  5. concatenation of monocular depth estimation and depth feature maps
  6. 3x3 convolution
  7. relu activation
- i guess classes+masks are combined into planes
- which is combined with the depth for a reconstructed scene

## 2.5.12 PlaneRCNN

RCNN [18] is a deep neural architecture that detects planar regions and reconstructs a piecewise planar depth map from RGB-Images.

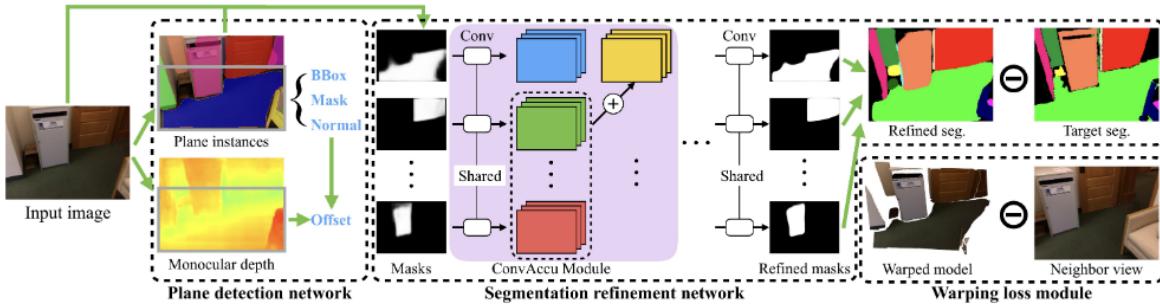


Figure 2.4: The PlaneRCNN Architecture. Taken from the respective paper [18]. **die grafik gibts evtl nochmal in scharf im repo**

3 main components:

1. plane detection network
  - predicts 3d plane parameters and segmentation mask
  - built upon Mask-R-CNN. here: "planar" as object instance
  - maskrcnn detects instances and estimates segmentation masks
  - then, estimate plane normal and offset  $d$ :
    - a) predict normal per planar instance
    - b) estimate depth map
    - c) algebraically calculate plane offset **formel?**
2. segmentation refinement network
  - takes the planar regions from 1. and optimizes the masks
  - uses convAccu: combines convolution layer and an accumulation scheme
  - aggregates information from all masks
  - at the end, refined plane masks are concatenated and compared against target masks with a cross entropy loss
3. warping loss module (only during training)

- uses a second angle on the same scene to ensure consistency
- nearby view is 20 frames ahead during training
- calculate nearby view by warping every pixel in the nearby view to the current one given the camera intrinsics
- loss function is a simple absolute error between the current view and the warped nearby view.

## 2.6 Datasets

This section outlines a set of datasets popular in the evaluation of algorithms in the field of plane detection. Table 3.3 summarizes the key characteristics of each dataset. The individual datasets are outlined in the following subsections.

### 2.6.1 2D-3D-S

*2D-3D-S* was recorded in three different buildings and divided into six distinct areas, including 272 different scenes. A detailed statistic of the included scene types can be found in Table 4.1. An individual scene has a complete unstructured point cloud and a list of annotated files representing semantically different objects that can be found therein. The dataset includes a wide range of point cloud sizes, with a minimum of  $8 \cdot 10^4$  and a maximum of  $7 \cdot 10^6$ . Furthermore, the average amount of points per scene is  $\sim 10^6$  with an average file size of  $\sim 34mb$ .

**diese Sätze eignen sich eher im Concept. aber: ich sehe auch keinen Vorteil sie drin zu lassen** Furthermore, one could argue that an uneven distribution of scene types introduces a particular bias. While it is true that the distribution is quite uneven, the dataset nevertheless reflects a realistic distribution of scene types since it is not realistic if a building contains only lecture halls. Inversely, it is appropriate to assume that an office complex contains a substantial amount of hallways needed to connect all offices.

**TODO datensätze umreissen, was , wie, wo, wieviel etc**

## **2.6.2 Leica**

## **2.6.3 Kinect**

## **2.6.4 SYNBEP**

## **2.6.5 ARCO**

## **2.6.6 SegComp**

## **2.6.7 NYU V2**

## **2.6.8 ICL-NUIM**

## **2.6.9 SUN**

## **2.6.10 TUM**

# **2.7 Evaluation Metrics**

Wenn man Sachen segmentiert oder Muster erkennen möchte usw. benutzt man oft zur Evaluierung Metriken, die die Qualität der benutzten Methode beschreiben. Usual metrics are *Precision*, *Recall* and the *F1-Score*. In general, *Precision* describes how many of the results are relevant, i.e., the percentage of correctly calculated values (see Eq. 2.10). *Recall* describes the ratio of relevant results to all relevant data, i.e. the likelihood of a result being relevant (see Eq. 2.11). Lastly, the *F1-Score* is the harmonic mean of the former two metrics (see Eq. 2.12).

$$Precision = \frac{\text{True Positive}}{\text{True Positive} + \text{False Positive}} \quad (2.10)$$

$$Recall = \frac{\text{True Positive}}{\text{True Positive} + \text{False Negative}} \quad (2.11)$$

$$F1\text{-Score} = 2 \cdot \frac{Precision \cdot Recall}{Precision + Recall} \quad (2.12)$$

In the context of this work, we calculate *Precision*, *Recall* and the *F1-Score* as follows. Required are the original point cloud  $PC$ , the corresponding list of ground truth planes  $GT$  and the planes obtained from a plane detection algorithm  $A$ . First, we regularize the  $PC$  to reduce complexity and to avoid proximity bias, because of the inverse relationship between distance to sensor and cloud density. This regularization is obtained through voxelization of the point cloud. With this voxel grid, we can now calculate corresponding sets of voxels for each list of points that represent a plane. In the next step, we compare our planes from  $GT$  with  $A$  to obtain a list of corresponding pairs of ground truth and found planes. A ground truth plane  $gt_i$  is marked as *detected* if any plane from the list of found planes achieves a minimum voxel overlap of 50%. With this list of correspondences, we calculate *Precision*, *Recall* and the *F1-Score*.

For a given ground truth plane  $gt_j$  and a corresponding detected plane  $a_k$  we can sort a given voxel  $v_i$  into the categories *True Positive(TP)*, *False Positive(FP)* and *False Negative(FN)* as follows.

$$\begin{aligned} v_i \in gt_j \wedge v_i \in a_k &\Rightarrow v_i \in TP \\ v_i \in gt_j \wedge v_i \notin a_k &\Rightarrow v_i \in FN \\ v_i \notin gt_j \wedge v_i \in a_k &\Rightarrow v_i \in FP \end{aligned}$$

With those rules, we can calculate the Precision, Recall and F1 score like this:

$$\begin{aligned} Precision &= \frac{|TP|}{|TP| + |FP|} \\ Recall &= \frac{|TP|}{|TP| + |FN|} \end{aligned}$$

## 3 Concept

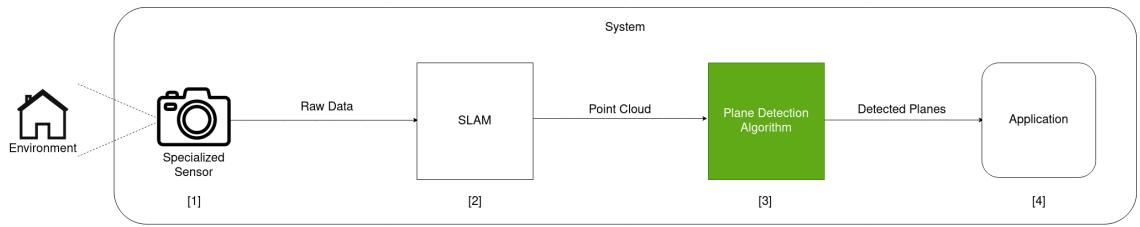


Figure 3.1: The procedure of the plane detection process. The specialized sensor records data ([1]), which is passed to a SLAM algorithm ([2]). After map assembly, a point cloud is handed to a plane detection algorithm ([3]). The detected planes are given to a use-case-specific application ([4]).

Many AR and VR Systems integrate plane detection into their software, some use it only to calculate the ground floor while others use plane detection to build a smaller model of the environment. Figure 3.1 shows a generic block diagram of such a VR/AR system including plane detection. In general, the environment is continuously recorded by a specialized sensor which is usually a camera([1]). A SLAM algorithm then integrates the new data into its already existing map([2]). The map, in form of a point cloud, is subsequently passed to a plane detection algorithm([3]). The algorithm performs the necessary steps to detect all planes inside the current map and passes the planes to the application([4]). The application would then further process those planes, e.g., by creating a live visualization of them or by assisting the movement of visually impaired people [5].

To remove any noticeable delay in the application, the plane detection step has to run under a temporal restriction, henceforth referred to as *real-time*. We introduce a thorough definition of *real-time* in Section 3.3.

When creating such an AR/VR system, the choice of plane detection algorithm is naturally of great importance. The problem is that most published algorithms are inherently incomparable. Often different datasets or metrics are used, which precludes comparison by quantification. Alternatively, algorithms are not comparable by internal functionality because many methods require other inputs, and the format of the planes differs

accordingly. All in all, selecting a single 'best' algorithm, solely based on the results presented in their respective work, is impossible.

To answer the question of which algorithm is best and whether it is real-time capable, we make a unified comparison of plane detection algorithms. To perform this evaluation, we need the following:

1. Appropriate plane detection algorithms,
2. a useful dataset, *and*
3. a definition of *real-time*.

The following sections are dedicated to these requirements.

## 3.1 Selection of Plane Detection Algorithms

Since most algorithms differ in certain aspects, it is not possible to compare them all uniformly. Furthermore, not all algorithms are created out of the same motivation and, therefore, focus on different things. Evaluating an algorithm in a scenario it has not been designed for would not yield meaningful results. It is, therefore, necessary to first define objective criteria to superficially determine which algorithm seems to be relevant for the context of this work.

### 3.1.1 Criteria

In the following paragraphs, we outline appropriate criteria for the objective assessment of plane detection algorithms.

**Type of Input** The first criterion is the type of input expected by a plane detection algorithm. Allowing vastly different input types is likely to render the evaluation more complicated, if not impossible because an equivalent transformation between two input types is not always possible.

We detail the different types of input in Section 2.3. To reiterate, the data representation of the recorded environment falls into one of three categories:

- *unorganized or unstructured point cloud* (UPC)
- *organized or structured point cloud* (OPC)
- image:

- Depth (DI)
- RGB (RGBI)

OPC and UPC both describe point clouds in the cartesian coordinate system. The primary difference is that the 3D coordinates inside an *organized* point cloud are saved in a 2D grid, while the *unorganized* point cloud resembles an unsorted 1D array.

Like OPC, depth images are a 2D grid of values. However, in contrast to the 3D coordinates of an OPC, the data points of depth images are the distances to the sensor.

**Detected Plane Format** Which specific representation the detected planes take the form of is also essential. If no uniform output type can be determined, consequently, no uniform metric for comparison can also be found.

Often the found planes are saved as a list of 3D points, henceforth referred to as inliers, which were assigned to a plane. Another often used plane output format is the cartesian equation of a plane described by a normal vector  $n$  and a vector  $d$ .

In methods that work on image data, found planes are often described by a segmentation mask (SM) or regions of pixels that belong together.

Finally, some methods use plane detection as a means to an end, e.g., for reconstructing a scene.

### 3.1.2 Plane Detection Algorithms

A list of state-of-the-art algorithms is compiled through comprehensive research of the current literature on plane detection (see Table 3.1). The table shows the input type and the output format of all algorithms. Note, that the final output of PlaneRecNet is a piecewise-planar reconstruction of a scene (see Subsection ??). However, modifying the architecture to return the segmentation masks would require minimal effort (see Figure 2.3). **explain table further?**

Plane Detection Algorithm	Input Data	Plane Format	Section
RSPD [1]	UPC	inliers	2.5.1
OPS [33]	UPC	inliers	2.5.2
3DKHT [16]	UPC	inliers	2.5.3
OBRG [35]	UPC	inliers	2.5.4
PEAC [8]	OPC	inliers	2.5.5
CAPE [24]	OPC	$n, d$	2.5.6
SCH-RG [20]	OPC	inliers	2.5.7
D-KHT [34]	DI	inliers	2.5.8
DDFF [27]	DI	inliers	2.5.9
PlaneNet [17]	RGBI	$n, d$	2.5.10
PlaneRecNet [37]	RGBI	SM	2.5.11
PlaneRCNN [18]	RGBI	$n, d$	2.5.12

Table 3.1: A list of Plane Detection Algorithms compiled by reviewing the current literature. The algorithms are clustered by their type of input. The rightmost column provides the placement within this work.

Addressing the criterion of input type, we are only interested in performing plane detection in complete environments. Each update published by RTAB-MAP is the union of new data and the current state of the recorded map. RTAB-MAP publishes this update in form of an *unorganized* point cloud (see Figure 2.1). To perform plane detection with an algorithm that expects an *organized* point cloud as input, the UPC has to be transformed into an OPC. This transformation is not-trivial and involves the projection of 3D coordinates onto a sphere based on a set of sensor parameters. An exemplary implementation thereof is included in the lidar toolbox of MATLAB<sup>1</sup>. However, this transformation neglects the global structure of the environment, as it returns a two-dimensional representation of the environment. Therefore, we focus on *unorganized* point clouds in this work and exclude *PEAC*, *CAPE*, *SCH-RG*, *D-KHT*, *DDFF*, *PlaneNet*, *PlaneRecNet* and *PlaneRCNN* from our evaluation.

Secondly, the detected planes need to be in the same format because, even for the same plane, different representations could very well lead to different results. Assume a plane in cartesian form and a plane represented by its inliers. The calculated metrics may differ significantly because the plane in cartesian form is infinitely dense. Conversely, the plane described by its inliers allows for holes and non-rectangular shapes, e.g., doorways or a round table, respectively. Being able to represent planes of any shape is important for many applications. We thereby determine *inliers* as the preferred plane format and exclude all methods which do not comply, namely *CAPE*, *PlaneNet*, *PlaneRecNet*, and *PlaneRCNN*.

---

<sup>1</sup><https://de.mathworks.com/help/lidar/ug/unorganized-to-organized-pointcloud-conversion.html>

Applying these restrictions, we end up with, and thus include, the following plane detection algorithms in our evaluation:

- **RSPD**
- **OPS**
- **3D-KHT**
- **OBRG**

**Temporal Subdivision in Phases** To enable a precise evaluation, we subdivide these plane detection algorithms into three phases: The pre-processing phase, the plane detection phase, and the post-processing phase. Note, that we use the terms "phase" and "step" interchangeably in this work. In the following, we outline the pre-processing and post-processing steps taken by the selected algorithms. To avoid redundancy, we refer the reader to the Subsections 2.5.1-2.5.4 for a detailed explanation of each algorithm.

The pre-and post-processing steps are summarized in Table 3.2. RSPD, 3D-KHT, and OBRG construct an octree (OC) during their pre-processing phase. Additionally, RSPD and OBRG perform an initial estimation of normals (NE). OPS estimates the normal vectors for a randomly chosen sample set of points of pre-determined size.

During post-processing, OPS merges smaller planes if they pass a coplanarity test and then re-estimates the normals of the resulting plane. In the post-processing step, OBRG refines the borders of detected planes by inserting previously unallocated regions. RSPD and 3D-KHT do not perform post-processing.

	RSPD	OPS	3D-KHT	OBRG
Pre	NE	NE	OC	OC + NE
Post	/	Merge	/	Refinement

Table 3.2: The Pre-processing and post-processing steps of the plane detection algorithms. "/" denotes the absence of a pre-/post-processing step.

## 3.2 Datasets

As mentioned at the beginning of this chapter, we also need an appropriate dataset for the evaluation. Through extensive research of current literature, we compiled a list of popular datasets (see Table 3.3).

Dataset	Scene Format	Real	Indoor	GT	Section
<b>2D-3D-S</b> [2]	UPC	Y	Y	objects	2.6.1
<b>Leica</b> <sup>2</sup>	UPC	Y	N	planes	2.6.2
<b>Kinect</b> [22]	OPC	Y	Y	planes	2.6.3
<b>SYNBEP</b> [29]	OPC	N	/	planes	2.6.4
<b>ARCO</b> [11]	OPC	Y	Y	/	2.6.5
<b>SegComp</b> [12]	DI	N	/	planes	2.6.6
<b>NYU V2</b> [30]	DI	Y	Y	classes	2.6.7
<b>ICL-NUIM</b> [9]	DI	Y	Y	trajectory	2.6.8
<b>SUN</b> [31]	DI	Y	Y	objects	2.6.9
<b>TUM</b> [32]	DI	Y	Y	trajectory	2.6.10
<b>FIN (ours)</b>	UPC	Y	Y	planes	4.4

Table 3.3: Plane detection Datasets. The *GT*(Ground Truth) column specifies what the ground truth of each dataset represents. Note, that the synthetic datasets (e.g., SegComp and SYNBEP) represent neither indoor nor outdoor scenes, hence the ”/” in the respective table entries. The datasets are clustered by their type of format. Moreover, the remaining order is arbitrary. The rightmost column provides the placement within this work. Note, that we include our dataset (FIN) in this table for completeness reasons.

**strich drunter, ours (FIN)** In Subsection 3.1.2, we determine *unorganized* point clouds as the type of input. Furthermore, we focus on plane detection in real environments in this work. Because most datasets do not conform to these two requirements, only *2D-3D-S* and *Leica* remain. Since we focus on plane detection in indoor environments, *Leica* ceases to be an option. Thus, we choose *2D-3D-S* as the dataset for the evaluation.

Nonetheless, we cannot use the provided ground truth of *2D-3D-S* because it represents the segmented scene on the level of objects, rather than planes in the scene. Consequently, we create an appropriate ground truth by manual segmentation of all planes in a given scene. We outline the details thereof in Section 4.3.

Lastly, *2D-3D-S* does not inherit any temporal component, i.e., the *unorganized* point clouds do not grow incrementally over time. To the best of our knowledge, there exists no dataset that meets the above criteria and, additionally, provides a plane-focused ground truth. Therefore, we record an incrementally growing dataset in the Faculty of Computer Science at Otto-von-Guericke University Magdeburg, henceforth referred to as the *FIN* dataset.

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<sup>2</sup><https://shop.leica-geosystems.com/de/leica-blk/blk360/dataset-downloads>

To perform a thorough comparison between the *FIN* and *2D-3D-S*, and, subsequently, between the static and the dynamic dataset, we record a scene for each of the following scene types:

- office
- conference room
- auditorium
- hallway

We focus on these four scene types because they are the most common in a real indoor environment. The recorded point clouds can be seen in Figure 3.2. Lastly, since this is a novel dataset and thus has no ground truth, we create a ground truth. The details thereof are explained in Section 4.4.

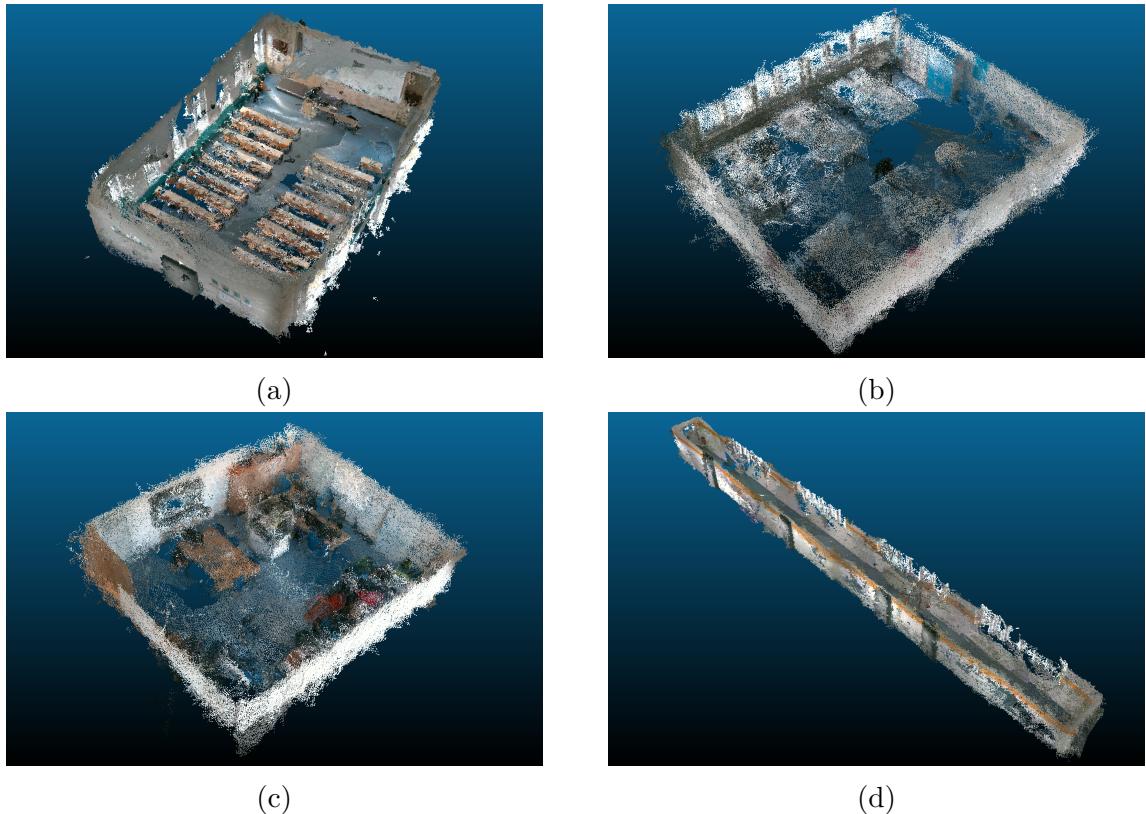


Figure 3.2: The recorded point clouds for each scene type: (a) auditorium, (b) conference room, (c) office and (d) hallway. The ceilings have been manually removed for visualization purposes but remain in the dataset for the experiments.

### 3.3 Definition Real-Time

Finally, as mentioned in Section 3, to determine whether or not an algorithm runs in real-time, we must first define the meaning of real-time.

In Subsection 3.1.2, we introduce the differentiation between pre-processing and post-processing steps. It is possible that one phase of an algorithm accounts for the majority of the total calculation time and that the algorithm would be considered *real-time* applicable, if that phase were to be excluded. Because some steps can be covered by previous steps in the AR/VR system (see Figure 3.1), i.e., by the sensor or the SLAM algorithm, we give two definitions of *real-time*.

In general, and without taking the algorithms internal structure into consideration, we have to consider possible hardware limitations, data flow, and how often it is needed to perform calculations, e.g., how quickly the SLAM algorithm updates the map (Figure 3.1, [2]) or how frequent new planes are needed (Figure 3.1, [4]).

The recorded raw data is not directly sent to the plane detection algorithm but instead given to RTAB-MAP, which then performs calculations to update and publish the map. Therefore, the upper limit is the frequency of how often RTAB-MAP publishes those updates, which by default is once per second.

**Total Real-Time  $RT_{tot}$**  According to this upper limit of RTAB-MAP, we consider an algorithm *totally Real-Time* applicable, if it achieves an average frame rate of minimum 1, e.g., the total processing time of an algorithm lies under one second. In the remainder of this work, we use *total Real-Time* and  $RT_{tot}$  interchangeably.

**Real-Time Plane Calculation  $RT_{calc}$**  Being a subset of *totally Real-Time applicability*, *Real-Time Plane Calculation* determines the real-time applicability if the processing time of an algorithm *excluding* pre-processing lies under the aforementioned upper bound of 1s. Like  $RT_{tot}$ , we use *Real-Time Plane Calculation* and  $RT_{calc}$  interchangeably.

### 3.4 Summary

Many applications have constraints in the form of a temporal component. Augmented or Virtual Reality applications that include plane detection are no exception. In addition to time constraints, good quality is usually tightly coupled to expensive sensors. In this work, we aim to evaluate the quality of real-time plane detection algorithms under the

use of more affordable hardware, i.e., Intel RealSense. At the beginning of this chapter, we state that three aspects are required for this evaluation, namely plane detection algorithms, a dataset, and a definition of real-time. The selection of the best plane detection algorithm, however, is non-trivial. After defining meaningful criteria for objective judgement, we select appropriate plane detection algorithms. Moreover, we select two datasets, one of which is a novel creation, and present two definitions of *real-time* namely  $RT_{tot}$  and  $RT_{calc}$ . In Chapter 5, we perform the evaluation.

# 4 Implementation

This chapter provides the implementation details of the outlined concept of the previous chapter.

## 4.1 System Setup

It is necessary to perform all experiments on the same machine to ensure a consistent comparison. We implement all algorithms and further architecture on a Lenovo IdeaPad 5 Pro, which runs Linux Ubuntu 20.04.5. The laptop has an AMD Ryzen 7 5800H CPU and 16 GB of RAM.

We install the most recent ROS distribution, *ROS Noetic Nijjemys*, as well as *realsense-ros* with all additional dependencies. **footnote, zu realsense ggf version number weil die neue nicht mehr funzt**

## 4.2 Plane Detection Algorithms

In Chapter 2, we provide detailed information about the algorithms we select in Section 3.1. The following subsections deal with the implementation details thereof. Note, that the subsections of RSPD and OPS are joined due to a lack of noticeable difference in implementation details.

### 4.2.1 RSPD & OPS

We implement RSPD<sup>1</sup> and OPS<sup>2</sup> using their respective open source implementations on GitHub. Note that, while the implementation of RSPD is provided by one of the authors, we could not determine whether the user who uploaded his implementation of OPS is affiliated with Sun and Mordohai[33]. Both methods are implemented in

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<sup>1</sup><https://github.com/abnerrjo/PlaneDetection>

<sup>2</sup><https://github.com/victor-amblard/OrientedPointSampling>

C++ and depend on the C++ linear algebra library *Eigen*<sup>3</sup>, and the C++ API of the Point-Cloud Library [28], *libpcl-dev*.

### 4.2.2 3D-KHT

The authors of 3D-KHT, provide an implementation, in form of a Visual Studio project, on their website<sup>4</sup>. Since the laptop we use does not run Windows, we use *cmake-converter*<sup>5</sup> to convert the solution to a CMake project we can build using *make*. The dependencies of this implementation include the C++ library *Dlib* [14], as well as the OpenGL Utility Toolkit *GLUT*<sup>6</sup>. Lastly, the multi-processing API OpenMP<sup>7</sup> is required as well.

### 4.2.3 OBRG

To our knowledge, no open-source implementation is available for the algorithm. We, therefore, use our own implementation.

We implement the algorithm using python. We choose to write our own octree implementation for spatial subdivision of our point cloud, since the implementation of public libraries like *open3d* [39] are limited in terms of leaf node functionality. The subdivision is followed by calculating the saliency features using *open3d*'s normal estimation function. We follow the pseudocode as stated in [35, Algorithm 1]. We modify the insertion into the set of regions by adding a containment check, to avoid redundancy of regions. By reducing the number of regions (incl. redundancies), we also reduce the total calculation time.

## 4.3 2D-3D-S

The *2D-3D-S* dataset provides a ground truth in form of annotated point clouds corresponding to 13 object classes. Since these annotated objects are not always planar, we cannot use them for the evaluation of plane detection algorithms. Thus, we create a ground truth that focuses on planar structures. We use the open-source 3D point cloud and mesh processing software *CloudCompare*<sup>8</sup> to visualize a scene and manually segment

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<sup>3</sup><https://eigen.tuxfamily.org/index.php>

<sup>4</sup>[https://www.inf.ufrgs.br/~oliveira/pubs\\_files/HT3D/HT3D\\_page.html](https://www.inf.ufrgs.br/~oliveira/pubs_files/HT3D/HT3D_page.html)

<sup>5</sup><https://cmakeconverter.readthedocs.io/>

<sup>6</sup>[https://www.opengl.org/resources/libraries/glut/glut\\_downloads.php](https://www.opengl.org/resources/libraries/glut/glut_downloads.php)

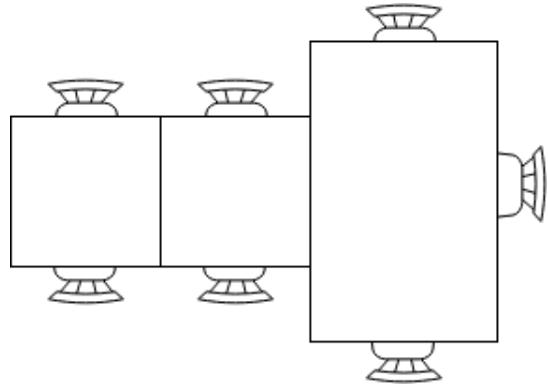
<sup>7</sup><https://www.openmp.org/>

<sup>8</sup><https://www.danielgm.net/cc/>

included planes. Because we cannot assume all walls to be planar or that, e.g., the tops or three adjacent tables always form the same number of planes (see Figure 4.1b), we have to view each point cloud and segment the included planes manually. An exemplary before-and after-segmentation is shown below in Figure 4.1a. **bounding boxes der GT, oder anderer plot wäre gut - da sieht man nischt**



(a) Ground Truth Segmentation of a hallway in CloudCompare. Shown is the input cloud on the left and segmented planes on the right. Both are cropped and without ceilings for visualization purposes.



(b) The provided ground truth considers these tables to be three separate objects. Within the context of plane detection, the three table tops would form exactly one plane.

Figure 4.1

The manual segmentation process is very time consuming, not only because of the large amount of data but also due to the level of subjectivity involved. On average, the segmentation of a scene took 8-10 minutes, which, for all 272 scenes, would result in a total of 36-45 hours. To reduce the time spent in segmentation, we perform an initial analysis of the scenes in a given area and omit scenes that show no noticeable difference compared to others. This analysis reduces the number of segmented scenes to slightly more than half of the total, reducing the time to 18-23 hours.

The results of the manual segmentation process are documented in Table 4.1. The table is inspired by [2, Table 7]. Like the original, it shows the amounts of scenes per scene type in each area. We extended the table by adding a column dedicated to the planes included in each scene type. According to the table, a total amount of 3410 planes are included in the dataset.

Scene Categories	Area_1	Area_2	Area_3	Area_4	Area_5	Area_6	TOTAL	Planes
auditorium	-	2/2	-	-	-	-	2/2	70
conference room	2/2	1/1	1 /1	3/3	3/3	1/1	11/11	375
copy room	1/1	-	-	-	-	1/1	2/2	45
hallway	8/8	12/12	6/6	14/14	1/15	6/6	48/61	977
lobby	-	-	-	2 /2	1/1	-	3/3	207
lounge	-	-	2/2	-	-	1/1	3/3	101
office	16/31	5/14	10/10	9/22	4/42	3/37	48/156	1116
open space	-	-	-	-	-	1 /1	1/1	10
pantry	1/1	-	-	-	/1	1/1	3/3	73
storage	-	9/9	2 /2	4/4	4/4	-	19/19	222
WC	1/1	2/2	2/2	4/4	4/2	-	11/11	214
							<b>139/272</b>	<b>3410</b>

Table 4.1: 2D-3D-S dataset statistics. Shown are the number of scenes per category and for how many we created a ground truth ( $\#GT/\#Total$ ). Note, that the rightmost column reports the number of segmented planes per scene category and does *not* correspond to other columns in this table.

doppel trennung

## 4.4 FIN Dataset

Reiterating Section 3.2, we select four scene types of the *2D-3D-S* dataset for the recording of the self-created FIN dataset. Namely, these scene types are *auditorium*, *conference room*, *hallway*, and *office*. All scenes are recorded inside the *Faculty of INformatik* (FIN) at Otto-von-Guericke-University in Magdeburg. Running *realsense-ros* and holding our cameras, we walk through the corresponding parts of the building while scanning to the best of our ability. We save each incremental map update to a file for further usage.

Given the differences in spatial dimension, the recordings of each scene also differ in length and size. The auditorium scene has a total of 296 individual time frames, the conference room scene has 113, the hallway has a total of 174, and the office has 125 time frames. The FIN dataset, thereby, has a total of 708 time frames.

Since no ground truth exists for a novel dataset like this, we create a set of ground truth planes  $gt_{end}$  for only the most recent update of each scene, e.g., for the entire recording. By creating a ground truth for only the last frame of each scene, we substantially reduce the time invested in this task. To prepare for the evaluation of a map  $m_t$  at a given time  $t$ , we crop all planes in  $gt_{end}$  by removing all points that are not present in  $m_t$ , as shown in Figure 4.2. We speed up this expensive process by employing a KD-Tree

neighbor search with a small search radius since we only need to know whether a certain point is present or not. **for the love of god, please change this figure before submitting**

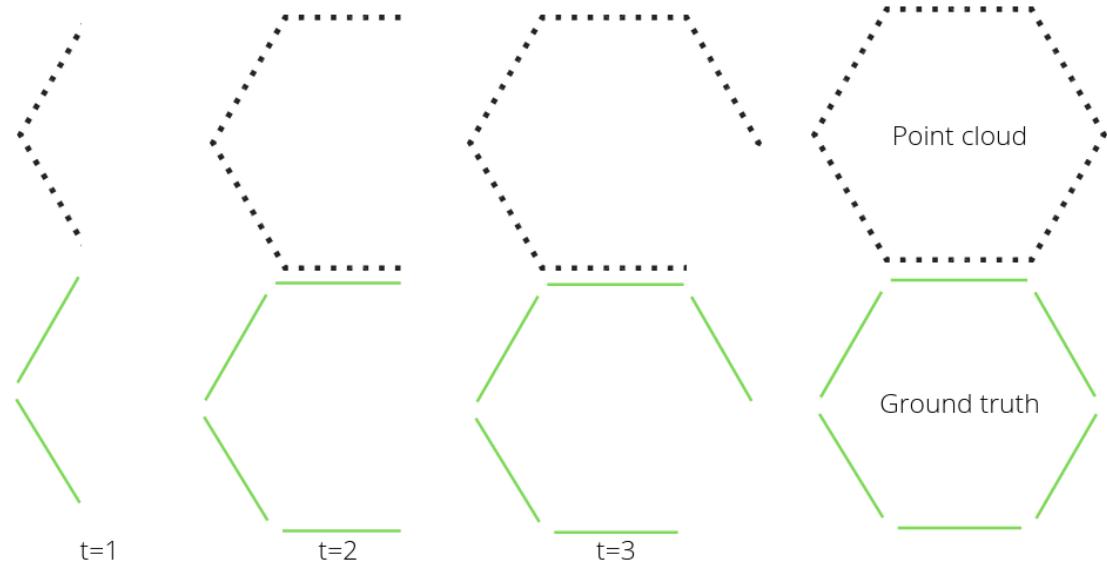


Figure 4.2: Dynamic ground truth generation. All planes that are included in *Ground Truth* are cropped depending on the available point cloud at each time  $t$

# 5 Evaluation

In this chapter, the previously selected algorithms are uniformly compared. We present and analyze the results. Prior to the evaluation, we present the protocol thereof.

## 5.1 Protocol

This work aims to determine which plane detection algorithm is the most suitable for an AR/VR system. For this decision, we uniformly compare the algorithms selected in Chapter 3. We split the comparison into two experiments conducted on different datasets: the *2D-3D-S* and the self-created *FIN* dataset. We conduct both experiments on an AMD Ryzen 7 5800H CPU with 16GB of RAM. Since both datasets are fundamentally different, we will perform the experiments and the analysis separately and then compare the results. First, we present the metrics used for comparison, followed by an outline of the used configurations of parameters for each experiment.

### 5.1.1 Metrics

**Accuracy** To quantify the accuracy of the plane detection algorithms, we use the detected planes and the created ground truth to calculate the three following metrics: *Precision*, *Recall*, and the *F1-Score*. The procedure of calculation is taken from [1, Section 4] and detailed in Section 2.7. Note that we use the detected planes for the calculation, however, we are not using them directly as a measure of performance due to the subjective nature of manual ground truth segmentation. **todo:we calculate the precision.. we calculate the recall..**

We calculate the *F1-Score*, as it is the harmonic mean of the *Precision* and the *Recall*. In practice, this means that an algorithm has to yield sufficient *Precision* and *Recall* results to score a sufficient *F1-Score*. The *F1-Score*, thereby, is the primary metric for the quantitative comparison of algorithms in this work. However, we report the *Precision* and the *Recall* for thorough analysis.

**Time Measurements** In addition to the accuracy, we are interested in the real-time applicability of an algorithm. We introduce two definitions of *real-time* in Section 3.3. To reiterate, we consider an algorithm to be *totally real-time* ( $RT_{tot}$ ) applicable if its total runtime is smaller than 1s. Furthermore, we consider an algorithm to achieve *real-time plane detection* ( $RT_{calc}$ ) if the total calculation time excluding the pre-processing step runs faster than 1s.

A simple approach to measuring calculation times could involve reporting the average total calculation time over a dataset. However, when recording a real environment, the point clouds likely grow incrementally with each map update. Therefore, the average calculation time alone is of limited significance, as we expect shorter times for the start of a recording and longer times for the most recent point cloud. Based on this assumption, it is necessary to analyze the relationship between the point cloud size and the calculation time as well.

Following the separation of algorithms into phases (see Section 3.1.2), we divide the calculation time into the pre-processing time  $t_{pre}$ , the time spent during plane detection  $t_{calc}$ , and the duration of post-processing steps  $t_{post}$ . This separation enables an in-depth analysis of both average results and the results per time frame. Since we have two definitions of real-time, we introduce two metrics of calculation time:

1. Focusing on  $t_{calc}$  and  $t_{post}$  allows us to determine whether an algorithm is *real-time plane detection* applicable, *and*
2. to determine whether an algorithm is *totally real-time* applicable, we consider the total computation time  $t_{tot}$ , which is the sum of the individual times:

$$t_{tot} = t_{pre} + t_{calc} + t_{post} \quad (5.1)$$

### 5.1.2 Parameterization of Algorithms

Because the datasets inherit different amounts of noise, it is necessary to modify the algorithms accordingly. We thereby modify the algorithms' parameterization to achieve more noise robustness. In the following, the parameterizations of the algorithms with respect to the two experiments are outlined. Therein, we refer to the parameterization of the 2D-3D-S experiment as the default configuration. Furthermore, we determine an appropriate parameterization for the FIN dataset through empirical experiments. These experiments include multiple tests of different combinations of values on all scenes of the FIN dataset, the ranges of which are specified in the respective paragraph of each algorithm.

### 5.1.2.1 RSPD

die abkürzungen werden sicherlich im BG erklärt.

Experiment	$l_O$	$\varepsilon$	MOR	$k$	MND	MDP
2D-3D-S	10	30	25%	30	60°	0.258
FIN	10	30	25%	30	60°	0.258

Table 5.1: Parameter configuration of RSPD used for the experiments.

**2D-3D-S** For the *2D-3D-S* experiment, we use the parameters of the provided implementation<sup>1</sup>. These parameters include the maximum octree level  $l_O$ , the minimum number of samples per leaf node  $\varepsilon$ , the Maximum Outlier Ratio  $MOR$  per plane, the size of the nearest neighborhood  $k$ , the Maximum Normal Deviation  $MND$ , and the Maximum Distance to Plane  $MDP$ . Note that while  $k = 50$  is used in the respective paper [1, Section 3.3],  $k = 30$  the official implementation. We adopted the latter because, in our experience, it produces sufficient results while reducing the pre-processing time.

**FIN** Multiple experiments with different values had been conducted. The individual ranges are:  $l_O \in [8, 12]$ ,  $\varepsilon \in [15, 150]$ ,  $MOR \in [15, 40]$ ,  $k \in [30, 90]$ ,  $MND \in [50, 70]$ , and  $MDP \in [0.15, 0.4]$ . Through these experiments, we were not able to find a configuration that yields considerably better results than the default parameterization. Therefore, no parameter modifications for the FIN dataset are made.

### 5.1.2.2 OPS

Experiment	$\alpha_s$	$KNN$	$\theta_h$	$\theta_N$	$p$
2D-3D-S	3%	30	0.05	100	0.99
FIN	3%	90	0.35	100	0.99

Table 5.2: Parameter configuration of OPS used for the experiments.

**2D-3D-S** The parameter configuration used for the *2D-3D-S* experiment is shown in the first row of Table 5.2. Like the parameterization of RSPD, we took this configuration from the provided implementation<sup>2</sup>. We use a sampling rate  $\alpha_s$  of 3% and a neighborhood size  $KNN$  of 30 for the estimation of normal vectors. Additionally, we use a distance

<sup>1</sup><https://github.com/abnerrjo/PlaneDetection>

<sup>2</sup><https://github.com/victor-amblard/OrientedPointSampling>

threshold  $\theta_h$  of 0.05(m). Furthermore, we set the inlier threshold  $\theta_N$  to 100 and the probability for adaptively determining RANSAC iterations  $p$  to 0.99, as proposed in [33, Section 4A].

**FIN** We ran experiments on the FIN dataset with different parameter values in the respective ranges:  $\alpha \in [0.3\%, 6\%]$ ,  $KNN \in [30, 150]$ ,  $\theta_h \in [0.05, 0.5]$ ,  $\theta_N \in [50, 1000]$ , and  $p \in [0.90, 1.0]$ . We choose the configuration, that shows a balance between speed and accuracy, namely the following. We increase  $KNN$  to 90, as larger neighborhood sizes increase the accuracy of normal estimation and, consequently, the overall accuracy of a method. Furthermore, we increase the tolerated plane thickness  $\theta_h$  because an increase in sensor noise ultimately thickens the recorded planes. Both modifications are highlighted in bold in the second row of Table 5.2.

### 5.1.2.3 3D-KHT

Experiment	$\phi_{num}$	$\rho_{num}$	$s_{level}$	$s_{ps}$	$d_{max}$	$s_\alpha$	$s_\beta$
2D-3D-S	30	200	2	0.002	0.08	18	6
FIN	30	<b>100</b>	2	0.002	<b>0.1</b>	<b>8</b>	6

Table 5.3: Parameter configuration of 3D-KHT used for the experiments.

**2D-3D-S** The parameter configuration is shown in Table 5.3. We use an accumulator discretization of 30 and 200 for  $\phi$  and  $\rho$ , respectively. Starting to check for planarity at an octree level  $s_{level}$  of 2 seems to yield the best results. Limberger and Oliveira[16] propose a minimum of 30 samples per cluster  $s_{ps}$ , however, we use 0.2% of the total point cloud due to the wide ranges of point cloud sizes in the dataset (see Subsection 2.6.1). Lastly, we set the plane isotropy tolerance  $s_\beta$  to 6, as proposed in [16, Section 3.1]. In contrast, using a plane thickness tolerance  $s_\alpha$  value of 18 seemed to yield better results than the proposed 25. This was determined by a small set of experiments with  $s_\alpha$  values ranging between 15 and 33.

**FIN** For the FIN experiment, we modify the values of  $\rho_{num}$ ,  $d_{max}$  and  $s_\alpha$  to accommodate for the higher levels of noise. These values are obtained by performing tests with different parameterizations. Precisely, we used the following parameter ranges combinations of values therein:  $\phi_{num} \in [20, 100]$ ,  $\rho_{num} \in [50, 600]$ ,  $s_{level} \in [1, 5]$ ,  $\theta_N \in [50, 1000]$ , and  $d_{max} \in [0.05, 2.0]$ ,  $s_\alpha \in [5, 23]$ , and  $s_\beta \in [4, 8]$ . Reducing  $\rho_{num}$  should decrease the accuracy, however, it seems to yield better results in a high-noise environment like the FIN dataset. We increase  $d_{max}$  and decrease  $s_\alpha$  to allow for slightly thicker, e.g. noisier, planes to be detected. The modification of parameters is highlighted in bold in Table 5.3.

#### 5.1.2.4 OBRG

Experiment	$l_{max}$	$\theta_{res}$	$\theta_d$	$\theta_{ang}$	$\theta_M$	$\theta_p$
2D-3D-S	5	0.08	0.08	0.18	5000	90%
FIN	5	<b>0.22</b>	<b>0.2</b>	<b>0.2</b>	5000	<b>70%</b>

Table 5.4: Parameter configuration of OBRG used for the experiments.

**2D-3D-S** The used configurations for the experiments are shown in Table 5.4. Since the specific values of The parameterization was determined during the implementation process and showed a reasonable compromise between efficiency and accuracy. Due to the low level of noise, we assign a very small tolerance to the residual threshold  $\theta_{res}$  and the distance threshold  $\theta_d$ . Additionally, we assign a high planarity threshold value of  $\theta_p = 90\%$ .

**FIN** We performed tests with different parameterizations, individually ranging as follows.  $l_{max} \in [4\%, 7\%]$ ,  $\theta_{res} \in [0.05, 0.3]$ ,  $\theta_d \in [0.05, 0.3]$ ,  $\theta_{ang} \in [0.13, 0.3]$ ,  $\theta_M \in [200, 6000]$ , and  $\theta_p \in [70, 90]$ . Due to higher levels of noise, and thus, thicker walls, we increase  $\theta_{res}$ ,  $\theta_d$ , and the angular divergence threshold  $\theta_{ang}$ . According to [35, Section 3.4], the planarity threshold  $\theta_p$  should be chosen between 70% and 90% depending on the noise level. As the expected noise level of the FIN dataset is much higher than the noise of the 2D-3D-S dataset, we reduce this threshold to 70%. The used parameters for the FIN experiment are summarized in the second row of Table 5.4.

## 5.2 Results

This section deals with the results of the experiments. The individual results of both experiments are presented and analyzed. Lastly, the results are compared.

### 5.2.1 2D-3D-S

We ran RSPD, OPS, 3D-KHT, and OBRG on 139 scenes of the 2D-3D-S dataset. Subsequently, for each scene, the *Precision*, *Recall*, and the *F1-Score* of each algorithm were calculated. The computation times were measured and divided into pre-processing  $t_{pre}$ , plane detection  $t_{calc}$ , and post-processing  $t_{post}$ . Table 5.5 shows the average of the computed results for each algorithm. The rightmost column gives the total computation time  $t_{tot}$ . The largest values of the accuracy and the smallest average values of the times are indicated in bold. It is to be noted that no lowest value of  $t_{post}$  is indicated since

RSPD and 3D-KHT have no post-processing steps and, therefore, "per default" spend less time in this step.

Algorithm	Precision	Recall	F1-Score	$t_{pre}$	$t_{calc}$	$t_{post}$	$t_{tot}$
RSPD	84.80%	<b>89.79%</b>	<b>86.84%</b>	62.65	1.04	/	63.69
OPS	<b>88.98%</b>	70.45%	77.68%	13.12	10.97	1.01	25.10
3DKHT	71.40%	78.32%	75.19%	<b>0.71</b>	<b>1.03</b>	/	<b>1.74</b>
OBRG	81.38%	66.77%	71.00%	28.07	34.29	2.61	62.97

Table 5.5: Average results of each algorithm over the *2D-3D-S* dataset. The right half of the inner columns shows the average time spent in pre-processing ( $t_{pre}$ ), the average time spent in the plane detection ( $t_{calc}$ ), and the average time spent in post-processing steps ( $t_{post}$ ). The rightmost column shows the average total calculation time  $t_{tot}$ . Note, that the absence of post-processing steps is denoted as "/". All times are measured in seconds.

**Accuracy** RSPD has the overall highest accuracy with a *Precision* of  $\sim 85\%$ , a *Recall* of  $\sim 90\%$ , and an *F1-Score* of  $\sim 87\%$ . OPS supersedes RSPD with a *Precision* value of  $\sim 89\%$  but scores significantly lower *Recall* and *F1-Score* values. 3D-KHT yields *Precision*, *Recall* and *F1-Score* results in the range of  $\sim 71\%$  and  $\sim 79\%$ . OBRG has a high *Precision* value of  $\sim 81\%$ , however its *Recall* and *F1-Score* values are the lowest out of all algorithms with  $\sim 67\%$  and  $\sim 71\%$ , respectively.

**Average Time** With an average of  $0.71s$  spent in pre-processing, and an average of  $1.03s$  spent in plane detection, 3D-KHT only needs an average total of  $1.74s$  to process an entire point cloud and thereby achieves the lowest calculation times. RSPD is the only algorithm that scores similar  $t_{calc}$  values, with an average of  $1.04s$ . However, RSPD's time spent in pre-processing is the highest among the algorithms. With an average  $t_{tot}$  of  $\sim 25s$  and  $\sim 63s$ , OPS and OBRG, respectively, run dramatically slower than the other two algorithms. According to these values, no algorithm achieves *total real-time* applicability. RSPD and 3D-KHT are very close to falling under the threshold of  $1s$  for *real-time plane detection*, exceeding it by only  $0.4s$  and  $0.3s$ , respectively.

**Relationship of Time and Size** For each scene of the *2D-3D-S* dataset, the pairs of processing times and point cloud file sizes are presented in Figure 5.1.

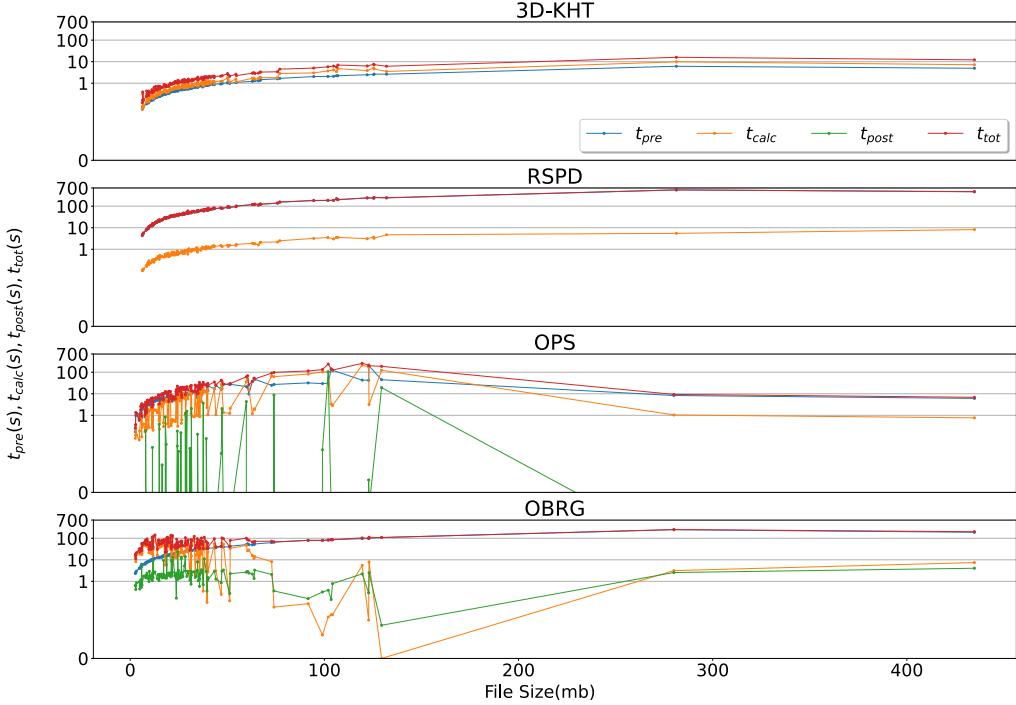


Figure 5.1: The time spent in pre-processing  $t_{pre}$ , plane detection  $t_{calc}$ , post-processing  $t_{post}$ , and the total calculation time  $t_{tot}$  per point cloud file size of the 2D-3D-S dataset. Note, that the y-axis is scaled logarithmically to the base of ten.

**t<sub>pre</sub> in seconds figure noch höher machen!** The computation times of 3D-KHT do not seem to strongly relate to the size of the point cloud. Both the duration of the pre-processing and the duration of the plane detection initially grow linearly but do not show a large growth even with large jumps in point cloud size. The difference in calculation times between  $\sim 280\text{mb}$  and  $>400\text{mb}$  is hardly noticeable.

The computation times of RPSD show a similar relation, with the difference that the pre-processing of RSPD takes significantly longer. As with 3D-KHT, the duration of plane detection seems to have an upper limit.

In general, the pre-processing time of OPS has a linear growth depending on the size of the point cloud. The duration of plane detection also shows a linear relationship, with the difference that there is a certain level of fluctuation. The post-processing times are negligible for the most part, given the small number of values above 0. The irregularity of the spikes gives reason to assume that it primarily depends on the structure of the recorded environment rather than size alone.

The duration of the pre-processing of OBRG also shows a linear relationship with respect

to the point cloud size. The average high  $t_{pre}$  values from Table 5.5 are also reflected here since most values are in the range of  $10s - 100s$ , even for smaller cloud sizes at  $\sim 25mb$ . The  $t_{calc}$  values of OBRG do not appear to be dependent on the size of the point cloud, as the computation times tend to decrease with growing point clouds. A possible reason could be the fixed value of the octree levels  $l_{max}$ . An adaptive determination of octree size would likely lead to a more balanced curve. Since the post-processing phase operates on the unrefined regions returned by the plane detection phase, the curve behaves similarly, supporting the sub-optimal parameterization argument. **schwierig das zu behaupten. SISO prinzip** From our experience, the mid-sized scenes in the *2D-3D-S* dataset are often long and narrow hallways. Assuming an inappropriate octree depth parameter, the subdivision could effectively split the hallway, returning a set of chunks that are in no way to be considered planar. This would consequently lead to an early termination due to failed thresholds, thus reducing the calculation times (and most likely yielding low accuracy).

**Summary 2D-3D-S Experiment** With an average of  $\sim 89\%$ , OPS has the highest value in *Precision*, while RSPD achieves the highest *Recall* and *F1-Score* with  $\sim 90\%$  and  $\sim 87\%$ , respectively. 3D-KHT has the lowest total computation time  $t_{tot}$  with an average of  $1.74s$ . With  $>60s$ , RSPD and OBRG have the largest  $t_{tot}$  values among the algorithms, with  $t_{pre}$  accounting for the majority for RSPD.

Figure 5.1 shows that the runtimes of 3D-KHT are the smallest. RSPD also has low  $t_{calc}$  values but consistently spends the longest time in pre-processing. Moreover, the pre-processing times of all algorithms seem to be generally dependent on the size of the point cloud. The plane detection runtimes of OPS and OBRG fluctuate. However, OPS fluctuates less than OBRG. The post-processing runtimes of OPS are negligible overall. The  $t_{post}$  values of OBRG are stable at  $2.61s$  on average, except for medium cloud sizes, see table 5.5.

Adhering strictly to our definitions of real-time, no algorithm achieves real-time applicability in this experiment. However, we might consider RSPD and 3D-KHT to be *real-time plane detection* applicable, since the point cloud sizes vary greatly, and the average duration of their plane detection phase is only  $0.03s - 0.04s$  greater than the  $1s$  threshold. Furthermore, as Figure 5.1 suggests, RSPD and 3D-KHT are *real-time plane detection* applicable for point clouds with a size  $\lesssim 40mb$ , or  $\lesssim 1.5 \cdot 10^6$  points.

## 5.2.2 FIN

Each of the total 708 time frames of the FIN data set was processed by each algorithm. Subsequently, we evaluated each time frame separately, i.e., by calculating the *Precision*, the *Recall*, and the *F1-Score*. Additionally, we measured the computation times of each

time frame for all algorithms, again divided into pre-processing  $t_{pre}$ , plane detection  $t_{calc}$ , and post-processing  $t_{post}$ .

The average results over all time steps of all scenes of the FIN experiment are presented in Table 5.6. The highest values are written in bold for *Precision*, *Recall*, and the *F1-Score*, as are the lowest times of each calculation step and the total time.

Algorithm	Precision	Recall	F1-Score	$t_{pre}$	$t_{calc}$	$t_{post}$	$t_{tot}$
RSPD	57.30%	<b>60.75%</b>	<b>58.70%</b>	14.36	<b>0.19</b>	/	14.55
OPS	<b>69.38%</b>	29.23%	39.43%	4.61	0.89	0.13	5.63
3DKHT	49.76%	44.40%	46.48%	<b>0.14</b>	0.29	/	<b>0.43</b>
OBRG	49.23%	27.42%	33.94%	6.03	14.70	0.35	21.08

Table 5.6: Average Results of the FIN experiment. Shown are the average values of all scenes and time frames, sorted by algorithm. The right half of the columns shows the average time spent in pre-processing ( $t_{pre}$ ), the average time spent in the plane detection itself ( $t_{calc}$ ), and the average time spent in post-processing steps ( $t_{post}$ ). The rightmost column shows the average total calculation time  $s_{tot}$ . Note, that the absence of post-processing steps is denoted as "/". All times are measured in seconds.

**Accuracy** OPS has the highest average *Precision* of the algorithms, with almost 70%. RSPD achieves the highest values for *Recall* and the *F1-Score* with  $\sim 61\%$  and  $\sim 59\%$ , respectively. 3D-KHT and OBRG achieve a similar *Precision*, but for *Recall* and *F1-Score*, however, 3D-KHT has higher values than OBRG by approx. 13% and approx. 17%, respectively. RSPD thus achieves the highest overall accuracy, while OBRG achieves the overall lowest.

**Average Time** With almost 15s, RSPD spends the most time in pre-processing among the algorithms. In contrast, RSPD has the shortest time spent during plane detection, with an average of 0.19s. Overall, 3D-KHT needs the shortest time for the complete computation  $t_{tot}$  of a time step with an average of 0.43s. OPS achieves comparatively average total calculation time with  $\sim 6$ s, and OBRG takes the longest overall to compute a time step with more than 20s.

RSPD and 3D-KHT achieve *real-time plane detection* applicability. Additionally, 3D-KHT also achieves *total real-time* applicability, with an average total time of 0.43s. OPS can almost be considered to be in  $RT_{calc}$  with a subtotal calculation time of 1.02s, thereby taking 0.02s too long. With an average pre-processing time of  $\sim 6$ s and an average of  $\sim 15$ s spent in plane detection, OBRG qualifies for neither of the introduced definitions of *real-time*.

**Relationship of Time and Size** As mentioned before, we consider the relationship between the size of the point cloud and the corresponding calculation time. In Figure 5.2, we compare the processing times of each time step to the file size of the *auditorium* scene of the FIN data set.

Note, that we created similar graphs for the other scenes of the FIN dataset. However, they do not introduce new information into the argumentation and are presented in the appendix **\$REF** for completeness and spatial reasons. The auditorium scene was selected as the most representative because it contains the longest recording, and thus contains the most data.

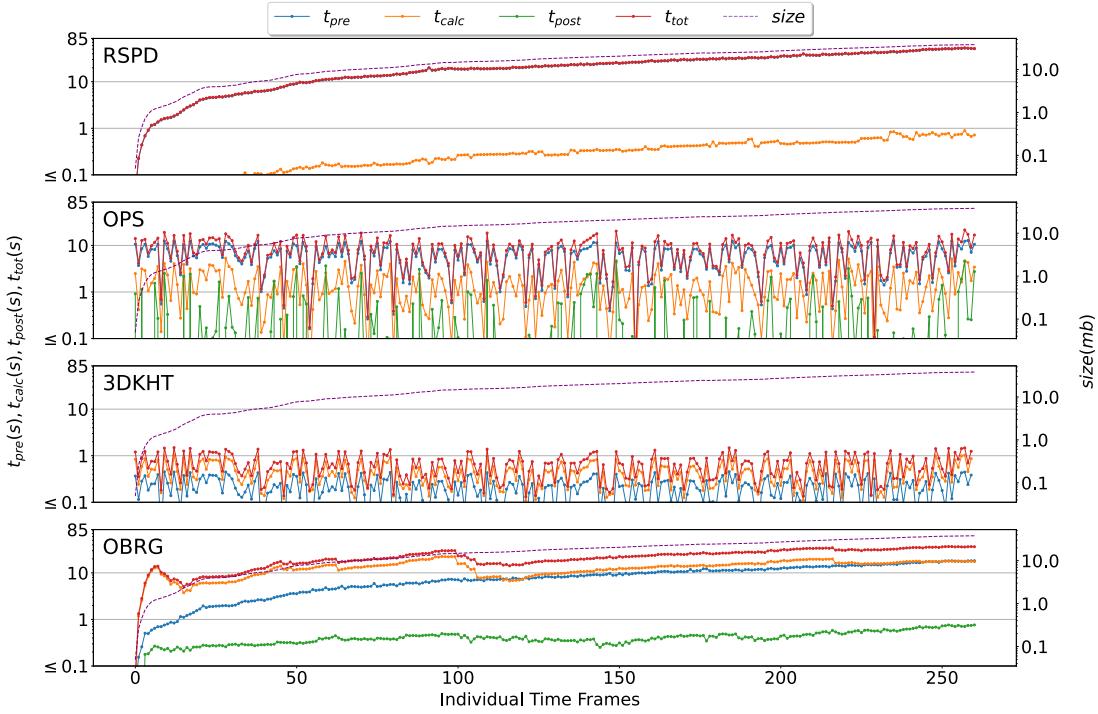


Figure 5.2: Time spent in pre-processing  $t_{pre}$ , plane detection  $t_{calc}$ , post-processing  $t_{post}$ , and total calculation time  $t_{tot}$  of the auditorium scene and cloud sizes  $size$  of each time frame. Note, that both y-axes are scaled logarithmically to the base of ten.

**time frames erklären!** The pre-processing times of RSPD and OBRG are noticeably proportional to the point cloud size. In contrast, for OPS and 3D-KHT, the pre-processing times seem to correlate with the plane detection times since both show similar spikes. The plane detection steps of OPS and 3D-KHT do not seem to depend on the point cloud size, as both seem to be limited by an upper bound ( $\sim 1s$  for 3D-KHT and  $\sim 6s$  for OPS). The pre-processing and plane detection times of OBRG grow rapidly in the beginning but afterward, show a linear growth in relation to the

cloud size. The post-processing times of OPS fluctuate between 0 and the duration of plane detection. After the spike in the beginning, the  $t_{post}$  values of OBRG seem to be consistent.

The apparent upper bound of  $\sim 1s$  in the calculation times qualifies 3D-KHT for *total real-time* applicability. We consider RSPD *real-time plane detection* applicable, as the duration of the plane detection step consistently stays under  $1s$ .

**Summary FIN Experiment** OPS has the highest average *Precision*, and RSPD has the largest percentages of *Recall* and the *F1-Score*. Additionally, RSPD has the lowest  $t_{calc}$  value among the algorithms, with an average of  $0.19s$  per time frame. In contrast, RSPD has the longest pre-processing time with  $14.36s$  on average. The algorithm with the shortest pre-processing and the shortest total time is 3D-KHT with  $t_{pre} = 0.14s$  and  $t_{tot} = 0.43s$ , respectively.

In general, the calculation times of RSPD and OBRG seem to depend on the point cloud size. However, the time RPSD spends in pre-processing is significantly higher than in its plane detection step. In contrast, the pre-processing and plane detection times of OBRG seem to converge at the end. The calculation times of OPS and 3D-KHT seem to be independent of the point cloud size and consistently stay under an upper bound. However, 3D-KHT has a smaller upper bound than OPS.

The development over time supports the average calculation times of 3D-KHT, as the calculation seems independent of the cloud size. Thereby, we determine 3D-KHT to be *total real-time* applicable. Furthermore, RSPD achieves *real-time plane detection* in the FIN experiment, as the plane detection step takes  $< 1s$  for all time frames. Lastly, the average subtotal calculation time of OPS is slightly longer than  $1s$ . However, given the apparent upper bound and considerable fluctuations, we cautiously consider OPS to be *real-time plane detection* applicable in the FIN experiment.

### 5.2.3 Comparison

When comparing Table 5.5 and Table 5.6, a pattern emerges: OPS has the highest *Precision* value, RSPD yields the highest *Recall* and *F1-Score*, and 3D-KHT has the lowest average total processing time.

Observing Figure 5.2 and Figure 5.1, common features are noticeable: The curve shape of RSPD is very similar for both experiments, linearly dependent on the point cloud size, and the  $t_{pre}$  accounts for 99% of the total calculation time  $t_{tot}$ . In both experiments, the post-processing time of OPS fluctuates. The post-processing of OBRG seems to be oriented around a given value, however, this value differs between the experiments

( $\sim 0.3s$  for the FIN experiment and  $\sim 3s$  for the *2D-3D-S* experiment). 3D-KHT scores very low processing times in both experiments.

However, there are also notable differences. Whereas the calculation times of 3D-KHT seem to be proportional to the point cloud size in the *2D-3D-S* experiment, they show no such relation during the FIN experiment. It is, however, noteworthy that the cloud sizes widely differ between the experiments, as the maximum size of the FIN experiment ( $\sim 30mb$ ) is very small, compared to largest scene of the *2D-3D-S* dataset ( $>400mb$ ). Nonetheless, since Figure 5.1 portrays a proportionality, even for smaller clouds, the reason for different curves is likely the difference of parameterization.

In Paragraph 5.2.1, we report that no algorithm is *real-time* applicable. We state, that RSPD and 3D-KHT can be considered *real-time plane detection* applicable, since the cloud sizes vary and the difference between their average  $t_{calc}$  times and the threshold of 1s is very small. In Paragraph 5.2.2, we consider 3D-KHT *totally real-time* applicable due to the observed upper bound in calculation time in combination with a low average  $t_{tot}$  value of  $0.43s$ . Additionally, we consider  $RSPD \in RT_{calc}$  because RSPD takes consistently less than 1s during the plane detection step. The results of neither experiment show a *real-time* applicability of OBRG.

## 5.3 Summary

OBRG does not achieve the highest or lowest values in any experiment. OPS has the highest *Precision* in both experiments. Due to *Recall* and the *F1-Score*, RSPD has the highest overall accuracy in both experiments. The pre-processing of RSPD takes the longest time of all algorithms. In contrast, RSPD has the smallest average  $t_{calc}$  value in the FIN experiment. 3D-KHT has the lowest total computation time in both experiments, and in the *2D-3D-S* experiment, it outperforms the plane detection time of RSPD.

3D-KHT is *real-time plane detection* applicable in both experiments, *totally real-time* applicable in the FIN experiment, even. RSPD achieves *real-time plane detection* in both experiments. We consider OPS to be *real-time plane detection* applicable. These *real-time* applicabilities of all algorithms are summarized in Table 5.7.

Experiment	RSPD	OPS	3D-KHT	OBRG
2D-3D-S	$RT_{calc}^*$	/	$RT_{calc}^*$	/
FIN	$RT_{calc}$	$RT_{calc}^*$	$RT_{tot}$	/

Table 5.7: Real-time applicabilities of the selected plane detection algorithms given the results from both experiments. Note, that  $RT_{tot}$  implies  $RT_{calc}$ . “/” denotes no real-time applicability. “\*” denotes that the given real-time applicability is coupled with a restriction. We refer to the text for details.

# 6 Conclusion and Future Work

## 6.0.1 Summary

**Use case, scenario, real-world application, current problem** Viele AR/VR anwendungen benutzen plane detection.. Echtzeit ist wichtig.. Es werden spezielle Sensoren benötigt, ein SLAM algo und ein geeigneter plane detection algo.. Die Auswahl des besten plane detection algorithmus ist nicht trivial..

**Daher das thema dieser arbeit** Wir vergleichen algorithmen mit fokus auf die echtzeitfähigkeit wir haben 2 definitionen für echtzeit angegeben.. Wir beschränken uns in dieser arbeit auf plane detection mit intel realsense technologie das beinhaltet 2 kameras und die dazugehörige software (+SLAM)

**algorithmen** Es wurde die aktuelle literatur gelesen und eine liste aus algorithmen erstellt. Es wurden metriken zum objektiven und oberflächlichen Vergleich der algorithmen angegeben basierend auf den metriken wurden vier algorithmen für einen vergleich ausgewählt

**Testscenario / datensatz** Da die ausgewählten algorithmen nicht auf dem selben Datensatz getestet wurden haben wir geeignete ausgesucht. Wir haben uns für den *2D-3D-S* Datensatz entschieden.. motiviert durch die abwesenheit eines datensatzes mit temporaler komponente haben wir einen eigenen datensatz erstellt

**Experimentaufbau** Da wir zwei datensätze haben haben wir auch zwei experimente Zunächst wurden bewertungsmetriken der datensätze eingeführt: precision, recall, f1, dazu wurden die berechnungszeiten genau gemessen und es wird unterschieden in pre-processing, plane detection und post-processing. diese experimente wurden separat durchgeführt und ausgewertet im anschluss darauf wurden die ergebnisse beider Experimente verglichen

**Ergebnisse der Experimente** die ergebnisse lassen sich zusammenfassen in: 3D-KHT ist der insgesamt schnellste RSPD ist der insgesamt präziseste

wir haben zwei definitionen von echtzeit gemäß dieser definitionen und anhand der ergebnisse aus dem letzten kapitel gilt: (in einem realwelt environment)

- 3D-KHT  $\in RT_{tot}$ , da  $t_{tot} < 1$
- RSPD  $\in RT_{calc}$ , da  $t_{calc} < 1$

## 6.0.2 Fazit

OBRG ist nicht echtzeitfähig.

Da das pre-processing von RSPD viel zu lange dauert ist RSPD insgesamt nicht total echtzeitfähig, die echtzeitfähigkeit beschränkt sich somit auf die plane detection phase.

OPS erzielt im FIN experiment so grade werte die für eine plane detection echtzeit sprechen würden. Auch hier dauert das pre-processing zu lange um von einer totalen echtzeit sprechen zu können.

3D-KHT ist im FIN experiment deutlich unter der Schranke von einer sekunde, damit in einem realen enviroment total echtzeitfähig.

**Limitationen der ergebnisse** Ein Faktor der langsamen laufzeit von OBRG ist definitiv die implementation. Python ist aus diversen Gründen magnituden langsamer als C++ und es wurde bei der anfertigung der implementation die präzision priorisiert da durch die wahl der programmiersprache sowieso nicht von echtzeitfähigkeit ausgegangen wurde.

3D-KHT ist der schnellste algorithmus, lässt aber leider in sachen accuracy viele prozente liegen.

**Algo x ist der beste, mögl verbesserungen** Das einzige was RPSD von einer eindeutigen dominanz trennt ist die Dauer des preprocessings. In RPSD's pre-processing phase werden die normalen der Punktfolge berechnet. Es ist möglich dass die normalen noch vor der plane detection phase aus Figure 3.1 berechnet werden. Zb kann sogar die intel realsense technologie die normalen exportieren. Dies würde nach angemessenen anpassungen des algorithmuses die Berechnungszeiten auf  $t_{calc}$  reduzieren. Somit würde RSPD ebenfalls total echtzeitfähig sein.

Diese anpassung des experiments würde einen bias gegenüber RSPD darstellen, weswegen wir das unterlassen haben und als weitere aufgabe nach dem abschluss dieser arbeit ansehen.

Ebenso interessant sind die Laufzeiten einer optimierten implementierung von OBRG in C++.

Die parametrisierung von 3D-KHT ist definitiv die komplizierteste aus den algorithmen und hat daher das größte optimierungspotential. Das ist in dem fall ein doppelschneidiges schwert, da zuerst eine optimale/ausreichende parametrisierung gefunden werden muss, bevor der algorithmus das volle potential entfaltet.

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# **Declaration of Academic Integrity**

I hereby declare that I have written the present work myself and did not use any sources or tools other than the ones indicated.

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