

## Knapsack Problem



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SP10 Knapsack DP3

Solution 3: DP

$dp[i][j]$  := max value achieved by using the first  $i$  items and total weight is exact  $j$ .

$dp[i][j] = \max\{dp[i-1][j-w[i]]+v[i], w[j] \leq j \leq W\}$   
 $ans = \max\{dp[N][*]\}$

Time complexity:  $O(NW)$

Space complexity:  $O(NW) \rightarrow O(W)$

When to use?

- $n > 20$ ,  $v$ ,  $w$ ,  $W < 10^6$

```
def Knapsack10(w, v):
    dp = new int[N+1][W+1]
    for i = 1 to N:
        for j = w[i] to W:
            dp[i][j] = max(dp[i][j], dp[i-1][j-w[i]] + v[i])
    return max(dp[N])
```

w	1	1	2	2
v	1	2	4	5

W=4

i/j	0	1	2	3	4
0	0	-	-	-	-
1	0	1	-	-	-
2	0	2	3	-	-
3	0	2	4	6	7
4	0	2	5	7	9

Java 代码如下:

```
for (int i = 1; i <= N; ++i) {
    for (int j = 0; j <= V; ++j) {
        backpack[i][j] = backpack[i - 1][j];
        if (j >= cap[i]) {
            backpack[i][j] = Math.max(backpack[i][j], backpack[i - 1][j - cap[i]] + val[i]);
        }
    }
}
```

**0-1 Knapsack Problem**

Problem definition: Given  $N$  items,  $w[i]$  is the weight of the  $i$ -th item and  $v[i]$  is value of the  $i$ -th item. Given a knapsack with capacity  $W$ . Maximize the total value. Each item can be used **0 or 1 time**.

$dp[i][j]$  := max value of using first  $i$  items and total weight is exact  $j$ .

```
dp[i][j] = max(dp[i-1][j],           # i-th item not used
               dp[i-1][j-w[i]]+v[i]) # i-th item used
```

Ans =  $\max(dp[N][*])$

Time complexity:  $O(NW)$

Space complexity:  $O(NW) \rightarrow O(W)$

Space complexity reduction:

Iterate  $j$  in reverse order to prevent using  $i$ -th item multiple times.

```
for i = 1 to N:
  for j = 0 to W:
    dp[i][j] = max(dp[i-1][j],
                   dp[i-1][j-w[i]]+v[i])
return max(dp[N])
```

```
def knapsack01(w, v):
  for j = W to 0:
    dp[j] = max(dp[j], dp[j-w] + v)
```

```
for i = 1 to N:
  knapsack01(w[i], v[i])
return max(dp[N])
```

优化:

Reduce space complexity

Approach 1: Use a tmp array

```
def Knapsack10(w, v):
  dp = new int[W+1]
  for i = 1 to N:
    tmp = new int[W+1]
    for j = w[i] to W:
      tmp[j] = max(tmp[j],
                  dp[j-w[i]]+v[i])
    dp = tmp
  return max(dp)
```

Approach 2: iterator  $j$  in reverse order

```
Push:
def Knapsack10(w, v):
  dp = new int[W+1]
  for i = 1 to N:
    for j = W-w[i] to 0:
      dp[j+w[i]] = max(dp[j+w[i]],
                      dp[j]+v[i])
  return max(dp)

Pull:
def Knapsack10(w, v):
  dp = new int[W+1]
  for i = 1 to N:
    for j = W to w[i]:
      dp[j] = max(dp[j],
                 dp[j-w[i]]+v[i])
  return max(dp)
```

w	1	1	2	2
v	1	2	4	5

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i/j	0	1	2	3	4
4	0	2	4	7	9

1.滚动数组优化

2.一维数组优化: 把第二层循环倒序即可。

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## 滚动数组优化

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目前我们得到了一个空间复杂度为  $O(NV)$  的算法来解决 0-1 背包问题。

思考 1 分钟，空间复杂度上我们是否可以继续优化？

答案：能！

观察到在计算 `backpack[i][j]` 时，我们只用到了 `backpack[i - 1][j]` 和 `backpack[i - 1][j - cap[i]]` 这两个数，并没有用到 `backpack[i - 1][0]`, `backpack[i - 2][0]`, ... 的信息，也就是 `backpack[i][0]` 只和 `backpack[i - 1][0]` 有关，那么我们只需要两个数组就够了。

根据以上思路可以得到 Core Code。

Java 代码如下：

```
for (int i = 1; i <= N; ++i) {
    for (int j = 0; j <= V; ++j) {
        int index = i & 1;
        backpack[index][j] = backpack[index ^ 1][j];
        if (j >= cap[i]) {
            backpack[index][j] = Math.max(backpack[index][j], backpack[index ^ 1][j - cap[i]] + val[i]);
        }
    }
}
```

## 一维数组优化

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虽然空间复杂度从  $O(NV)$  降到了  $O(V)$ ，但是我们仍然需要 `backpack[2][V]` 这样的二维数组。有没有只通过一维数组就可以解决 0-1 背包问题呢？

答案：有！

事实上我们只需要把第二层循环倒序即可。

Java 代码如下：

```
for (int i = 1; i <= N; ++i) {
    for (int j = V; j >= cap[i]; --j) {
        f[j] = Math.max(f[j], f[j - cap[i]] + val[i]);
    }
}
```

怎么理解呢？

在第  $i$  层循环初 `f[j]` 存的相当于 `backpack[i - 1][j]` 的值。

在更新 `f[j]` 时，我们用到了 `f[j - cap[i]]`，由于第二层循环倒序，所以 `f[j - cap[i]]` 未被更新，此时它代表 `backpack[i - 1][j - cap[i]]`。所以 `f[j] = Math.max(f[j], f[j - cap[i]] + val[i])` 等价于 `backpack[i][j] = Math.max(backpack[i - 1][j], backpack[i - 1][j - cap[i]] + val[i])`。

在第  $i$  层循环末 `f[j]` 存的相当于 `backpack[i][j]` 的值。