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Brian Pennington

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| Family | Wife: Amy Pennington (October 15, 1995).  Children: Olivia (May 16, 2000); Georgia (June 4, 2002), and Brian Barrett (April 5, 2006). |
| Experience | 2009-2020 GoldenTree Asset Management New York, NY  Partner/Director of Risk and Quantitative Resources   * Responsible for designing and implementing an innovative risk management and analytic system using RiskSim, proprietary software that I developed over the course of my career. * Enhanced the investment process by adding a portfolio macro hedge overlay that enabled the firm to separate credit risk and macro risk and differentiate ourselves from our peers.   Member of the CRVH Risk Management Committee.2005-2009 Rock Ridge Advisors Greenwich, CT  Co-Founder/Managing Partner   * Rock Ridge Advisors was a thematic-driven global multi-strategy hedge fund. * Responsible for all aspects of management including risk taking, risk management, and business operations. |
|  | 2001-2004 Caxton Associates Greenwich, CT  Senior Strategist—Caxton Relative Value Holdings   * Responsible for formulating and executing various relative value fixed income investment strategies. * Member of the CRVH Risk Management Committee. |
|  | 1997-2001 Convergence Asset Management Greenwich, CT  Co-Founder/Managing Partner  Responsible for Research/System Development, Global Risk Management, Global Trade Strategy, and Global Trading. |
|  | 1986-1996 Salomon Brothers New York, NY  Fixed Income Arbitrage   * Primarily Responsible for U.S. Yield Curve Trading, 1987-1989. * Managed OTC Option Book, 1990. * Mortgage Arbitrage/Hedging, 1991-1996. |
| Education | 1984-1986 University of Chicago Chicago, IL   * Master of Business Administration with concentrations in Finance/Statistics. * A.B.D. Completed all requirements for a Ph.D. in Finance and Statistics except for a dissertation.   1980-1984 Baylor University Waco, TX   * B.S., Mathematics. * Highest Ranking Student in the University. * Member of Sigma Chi (President; Treasurer), Phi Beta Kappa, Mortar Board, etc. |
| Interests | I am an avid reader and enjoy golf (member of the GlenArbor Golf Club in Bedford, New York and Greenwich Country Club) and horseback riding (competed in A-level Adult Jumping Competitions). I was a trustee of the Baylor University Foundation and served on the Baylor Endowment Investment Committee for over a decade and have endowed several scholarships at Baylor. I have been President of the Board of the Rock Ridge Association since 2003 (Director since 2000). I served as a Trustee the Greenwich Public Library where I was on the Executive Committee and was Treasurer and head of the Investment Committee. I am also involved at the First Presbyterian Church of Greenwich where I served as an Elder chairing the Stewardship and Finance Committee and was President of the Corporation. Amy and I have been actively involved with the Habitat for Humanity, the Red Cross, the Greenwich Historical Society and support many other charitable organizations. |