

Q2 - 20 marks

The aim of this question will be to produce matrices with specified eigen values which are not just triangular! Let $p(x)$ be the polynomial

$$p(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0$$

and define the *companion matrix* to the polynomial as

$$C(p) = \begin{pmatrix} -a_{n-1} & -a_{n-2} & \cdots & -a_1 & -a_0 \\ 1 & 0 & \cdots & 0 & 0 \\ 0 & 1 & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & 0 & 0 \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix}$$

- a) Write down the matrix $C(p)$ of the polynomial $p(x) = x^3 - 4x^2 + 5x - 2$

$$C(p) = \begin{pmatrix} 4 & -5 & 2 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

- b) Find the characteristic polynomial of the matrix $C(p)$ which you wrote in the previous step

$$\begin{aligned} C(p) - \lambda I &= \begin{pmatrix} 4 - \lambda & -5 & 2 \\ 1 & -\lambda & 0 \\ 0 & 1 & -\lambda \end{pmatrix} \\ \det(C(p) - \lambda I) &= 2 \begin{vmatrix} 1 & -\lambda \\ 0 & 1 \end{vmatrix} - \lambda \begin{vmatrix} 4 - \lambda & -5 \\ 1 & -\lambda \end{vmatrix} \\ &= 2(1) - \lambda[(4 - \lambda)(-\lambda) - (-5)] \\ &= 2 - \lambda(-4\lambda + \lambda^2 + 5) \\ &= 2 + 4\lambda^2 - \lambda^3 - 5\lambda \\ &= -\lambda^3 + 4\lambda^2 - 5\lambda + 2 = 0 \end{aligned}$$

c) Show that $\begin{pmatrix} 4 \\ 2 \\ 1 \end{pmatrix}$ is an eigenvector of $C(p)$ with eigenvalue 2

Let \vec{x} be $\begin{pmatrix} 4 \\ 2 \\ 1 \end{pmatrix}$, then

$$C(p)\vec{x} = \begin{pmatrix} 4 & -5 & 2 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} 4 \\ 2 \\ 1 \end{pmatrix} = \begin{pmatrix} 16 - 10 + 2 \\ 4 \\ 2 \end{pmatrix} = \begin{pmatrix} 8 \\ 4 \\ 2 \end{pmatrix}$$

$$\lambda\vec{x} = 2 \begin{pmatrix} 4 \\ 2 \\ 1 \end{pmatrix} = \begin{pmatrix} 8 \\ 4 \\ 2 \end{pmatrix}$$

$$\therefore C(p)\vec{x} = \lambda\vec{x}$$

$\therefore \vec{x} = \begin{pmatrix} 4 \\ 2 \\ 1 \end{pmatrix}$ is an eigenvector of $C(p)$ with eigenvalue $\lambda = 2$

d) Find the matrix $C(p)$ associated to the polynomial $p(x) = x^3 + ax^2 + bx + c$

$$C(p) = \begin{pmatrix} -a & -b & -c \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

- e) Determine the characteristic polynomial of the matrix $C(p)$ from the previous step

$$\begin{aligned}C(p) - \lambda I &= \begin{pmatrix} -a - \lambda & -b & -c \\ 1 & -\lambda & 0 \\ 0 & 1 & -\lambda \end{pmatrix} \\ \det(C(p) - \lambda I) &= -1 \begin{vmatrix} -a - \lambda & -c \\ 1 & 0 \end{vmatrix} - \lambda \begin{vmatrix} -a - \lambda & -b \\ 1 & -\lambda \end{vmatrix} \\ &= -1[(-a - \lambda)(0) - (-c)(1)] - \lambda[(-a - \lambda)(-\lambda) - (-b)(1)] \\ &= -1(c) - \lambda(a\lambda + \lambda^2 + b) \\ &= -c - a\lambda^2 - \lambda^3 - b\lambda \\ &= -\lambda^3 - a\lambda^2 - b\lambda - c = 0\end{aligned}$$

f) Show that if λ is an eigenvalue of the companion matrix $C(p)$, then

$\begin{pmatrix} \lambda^2 \\ \lambda \\ 1 \end{pmatrix}$ is an eigenvector of $C(p)$ corresponding to λ

$$\begin{aligned}
E_\lambda &= \text{Nul}(C(p) - \lambda I) \\
&= \left(\begin{array}{ccc|c} -a-\lambda & -b & -c & 0 \\ 1 & -\lambda & 0 & 0 \\ 0 & 1 & -\lambda & 0 \end{array} \right) \xrightarrow{R_2 \leftrightarrow R_3} \left(\begin{array}{ccc|c} -a-\lambda & -b & -c & 0 \\ 1 & -\lambda & 0 & 0 \\ 0 & 1 & -\lambda & 0 \end{array} \right) \\
&\xrightarrow{R_1 \leftrightarrow R_3} \left(\begin{array}{ccc|c} 1 & -\lambda & 0 & 0 \\ 0 & 1 & -\lambda & 0 \\ -a-\lambda & -b & -c & 0 \end{array} \right) \xrightarrow{\substack{R_3+bR_2 \\ R_1+\lambda R_2}} \left(\begin{array}{ccc|c} 1 & 0 & -\lambda^2 & 0 \\ 0 & 1 & -\lambda & 0 \\ -a-\lambda & 0 & -c-b\lambda & 0 \end{array} \right) \\
&\xrightarrow{R_3+(a+\lambda)R_1} \left(\begin{array}{ccc|c} 1 & 0 & -\lambda^2 & 0 \\ 0 & 1 & -\lambda & 0 \\ 0 & 0 & -\lambda^3 - a\lambda^2 - b\lambda - c & 0 \end{array} \right)
\end{aligned}$$

From the characteristic polynomial: $\lambda^3 = -a\lambda^2 - b\lambda - c$

$$\begin{aligned}
&\therefore \left(\begin{array}{ccc|c} 1 & 0 & -\lambda^2 & 0 \\ 0 & 1 & -\lambda & 0 \\ 0 & 0 & -(-a\lambda^2 - b\lambda - c) - a\lambda^2 - b\lambda - c & 0 \end{array} \right) \\
&\therefore \left(\begin{array}{ccc|c} 1 & 0 & -\lambda^2 & 0 \\ 0 & 1 & -\lambda & 0 \\ 0 & 0 & 0 & 0 \end{array} \right)
\end{aligned}$$

$$\begin{aligned}
x_1 - \lambda^2 x_3 &= 0 \\
x_2 - \lambda x_3 &= 0
\end{aligned}$$

$$\begin{aligned}
x_1 &= \lambda^2 s \\
x_2 &= \lambda s \\
x_3 &= s
\end{aligned} \quad \Rightarrow \quad \vec{x} = s \begin{bmatrix} \lambda^2 \\ \lambda \\ 1 \end{bmatrix}$$

$$\therefore \mathcal{B}_{E_\lambda} = \left\{ \begin{bmatrix} \lambda^2 \\ \lambda \\ 1 \end{bmatrix} \right\} \Rightarrow \begin{pmatrix} \lambda^2 \\ \lambda \\ 1 \end{pmatrix} \text{ is an eigenvector of } C(p) \text{ corresponding to } \lambda$$

- g) Construct a non-triangular 3×3 matrix of eigenvalues -2, 1, 3 using companion matrices. *Briefly* justify your answer.

Let $P = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix}$. Then,

$$[P \mid I] = \left(\begin{array}{ccc|ccc} 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{array} \right) \xrightarrow{R_1 - R_3} \left(\begin{array}{ccc|ccc} 1 & 0 & 0 & 1 & 0 & -1 \\ 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{array} \right)$$

$$\xrightarrow{R_2 - R_1} \left(\begin{array}{ccc|ccc} 1 & 0 & 0 & 1 & 0 & -1 \\ 0 & 1 & 0 & -1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{array} \right) \xrightarrow{R_3 - R_2} \left(\begin{array}{ccc|ccc} 1 & 0 & 0 & 1 & 0 & -1 \\ 0 & 1 & 0 & -1 & 1 & 1 \\ 0 & 0 & 1 & 1 & -1 & 0 \end{array} \right)$$

$$\therefore P^{-1} = \begin{pmatrix} 1 & 0 & -1 \\ -1 & 1 & 1 \\ 1 & -1 & 0 \end{pmatrix}$$

$$\text{Let } D = \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix} = \begin{pmatrix} -2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 3 \end{pmatrix}$$

$$A = PDP^{-1} = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix} \begin{pmatrix} -2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 3 \end{pmatrix} \begin{pmatrix} 1 & 0 & -1 \\ -1 & 1 & 1 \\ 1 & -1 & 0 \end{pmatrix}$$

$$A = \begin{pmatrix} 0 & -2 & 3 \\ -3 & 1 & 3 \\ 2 & -2 & 1 \end{pmatrix}$$