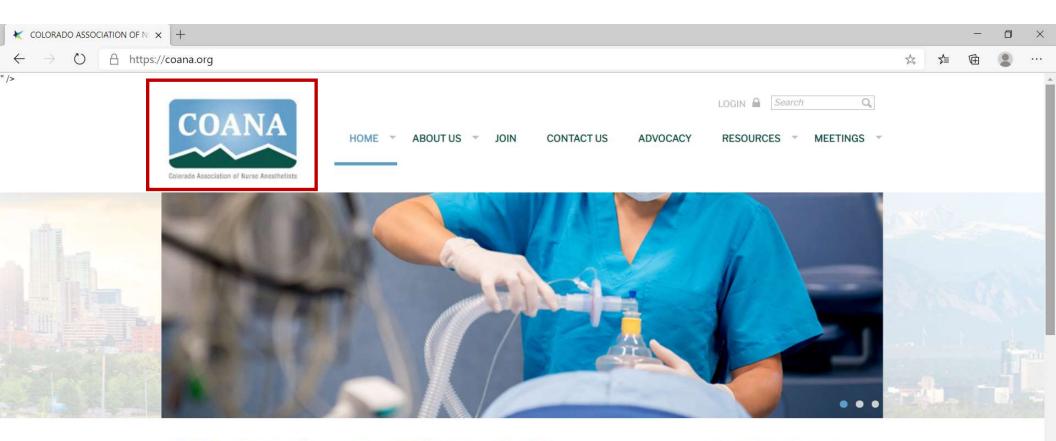
### ETL Project Anesthesia Compliance Management

Kent Rodgers December 13, 2020

# Project Overview



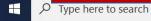
MEMBER AREA LOGIN

**FALL MEETING WAS A SUCCESS!** 

**UPCOMING EVENTS** 

Colorado has 1252 actively licensed non-physician anesthesia clinicians

- The primary purpose of these clinicians is to keep a patient comfortable and alive during a medical procedure.
- These professionals require extensive training and credentials to practice.
- More than 85% are Certified Registered Nurse Anesthetists (CRNA's).



























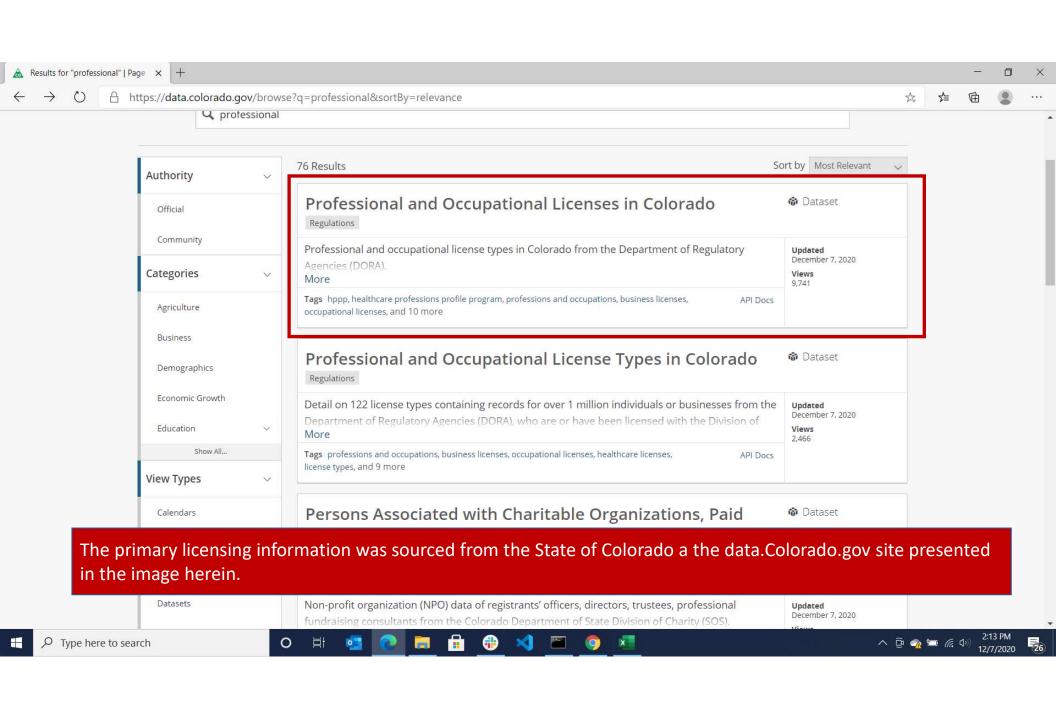
#### **Problem Statement**

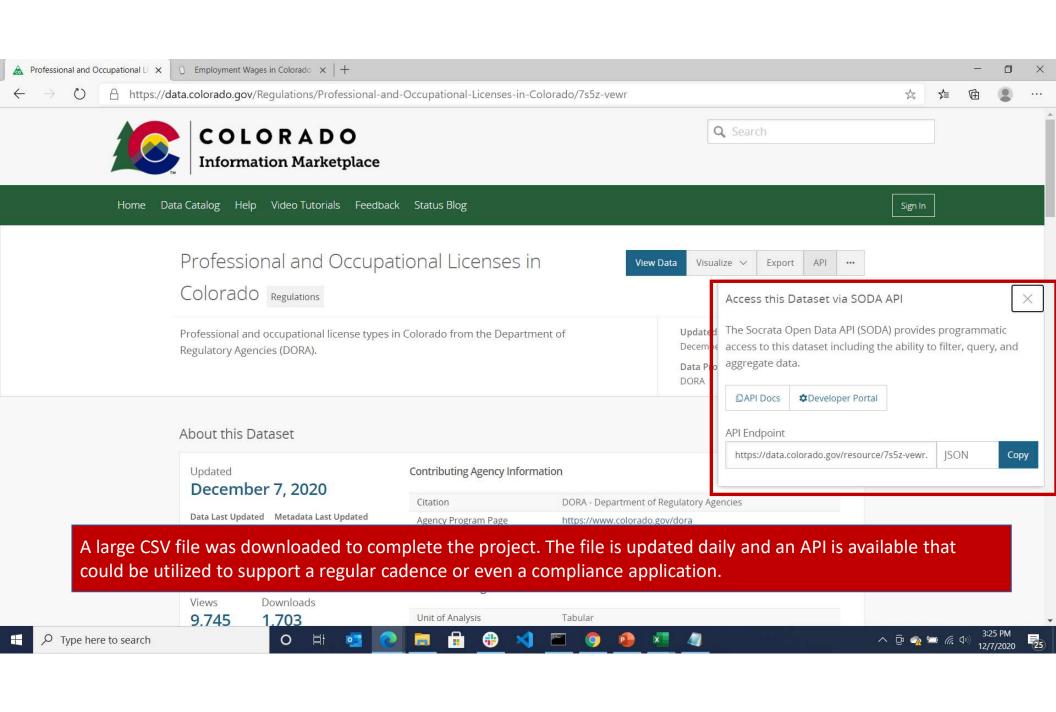
- Managing Nurse Anesthetists licensing is complicated
  - ... and if not done properly can be costly
- A Nurse Anesthetist is an advanced practice nurse and must maintain two licenses concurrently a) Advanced anesthesia b) underlying RN
- "Compact" licensing permits the two licenses to be issued from separate states which makes it harder to ensure compliance
- It can be interpreted as fraud if a Nurse Anesthetist bills Medicare while either license is expired or out of compliance. This can result in refunds, fines, loss of license due to censure and contract breach

#### **Project Overview**

- Develop an improved process for managing non-physician anesthesia licensing compliance
  - Extract
    - Colorado Professional License file with approximately 1.2 million licenses including healthcare works, plumbers, electricians etc.
    - Filter this master file into in a Registered Nurse and a separate Anesthesia license file.
    - Create a fictitious employee file that is representative of an anesthesia practice team roster (utilized public information)
    - Stage the targeted files for project use and github repository. The original file was too big to push to github
  - Cleaning:
    - Remove excess columns
    - Establish a protocol to deal with duplicate but relevant many-to-one clinician license types
    - · Create a matching element for each clinician to enable merging/joining of licensing and employee data
    - Stage clean and simplified files for placement into a relational database
  - Load
    - Author an ERD for planning and archive purposes
    - Create the table schema and tables in PGAdmin
    - Import the 'transformed' licensing and employee files into the PGAdmin tables
    - Make the database available for use via sqlalchemy
  - See the value
    - · Produce two exception reports to establish a timely process for identifying and tracking at risk licensing issues
    - Produce two compliance reports to establish a process and format for timely employee compliance

# Extract Source & Highlights





## Anesthesia File Example

225	lastName	firstName	middleName	suffix	entityName	city	state	mailZipC	Code lice	enseP <mark>ref</mark> ix	subCategory	license	Status[	Description specialty title		
0	Lonnquist	Eryn	Amelia	NaN	NaN	Denver	СО	8	0206	TL		In [28]:	anes	sthesia.info()		
1	James	Joseph	NaN	NaN	NaN	Golden	СО	8	0401	APE			<cla< td=""><td>ass 'pandas.core.frame.DataFr</td><td>ame'&gt;</td><td></td></cla<>	ass 'pandas.core.frame.DataFr	ame'>	
2	Lenthall	Sandra	R	NaN	NaN	Morrison	CO	8	0465	cos				54Index: 2448 entries, 400 to		
3	Baker	Allison	W	NaN	NaN	Kingman	AZ	8	6409	PE			Data #	a columns (total 24 columns): Column	Non-Null Count	Dtype
7.0	20001 1	22. 21		60 50		Castle		22	405400	2222						
4	Hubbach	John	Wallace	NaN	NaN	Rock		8	0104	DEN			0	lastName	2448 non-null	object
-													1 2	firstName middleName	2448 non-null 2200 non-null	object object
51	ows × 24 c	columns											3	suffix	44 non-null	object
													4	entityName	0 non-null	object
													5	city	2448 non-null	object
[27]:													6	state	2448 non-null	object
-[-,],		stName fir	stName mide	lleName	suffix enti	tyName	city	state n	nailZipCo	de license	Prefix s		7	mailZipCode	2447 non-null	object
						,							8	licensePrefix	2448 non-null	object
	400	Charles	James	Robert	NaN	NaN	Golden	CO	804	01	APN		0	subcatagory	2210 non_null	object
	400	Offaries	Janies	Robert	Ivaiv	IVAIV	Colucii	00	004	01	ALIV		10	licenseNumber	2448 non-null	object
													11	licensel at Day and Date	2440 non null	object
	552	Stagg	Russell	Adair	NaN	NaN	Meeker	CO	816	41 (	C-APN		12 13		2447 non-null 2448 non-null	object object
		00											14		2448 non-null	object
													15		3 non-null	object
	835	Sampier	Nicole	Louise A	NaN	NaN	Tampa	FL	336	02	APN		16		0 non-null	floate
													17		1800 non-null	object
													18	0	7 non-null	object
	1646	Trouskie	William	Leonard	JR	NaN	Rifle	CO	816	50	APN		19		7 non-null	object
													20		7 non-null	object
							_						21		1 non-null	object
						NI-NI	Fort	CO	805	26	APN		22	linkToVerifyLicense	2448 non-null	object
	2359	Penix	Patrick A	lexander	NaN	NaN	Collins	CO	000	20	AFIN		22	TINKTOVERITYLICENSE	2448 HOH-HULL	object

### Nurse File Example

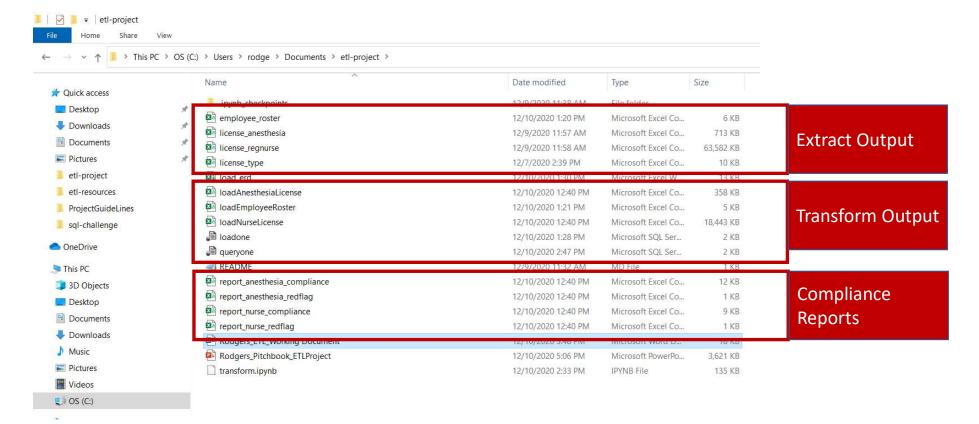
Out[29]: lastName firstName middleName suffix entityName licensePrefix subCategory ... licenseStatusDescription specialty tit city state mailZipCode 80004 RN NaN ... 6 Saracino Jodi Kay NaN NaN Arvada CO Active NaN Na In [30]: regnurse.info() Neal Carmen Darlene NaN NaN Longview TX 75608 RN <class 'pandas.core.frame.DataFrame'> BAILEY CO 80421 RN Int64Index: 196995 entries, 6 to 1231867 Freeland Judith NaN NaN Data columns (total 24 columns): Colorado Column # Non-Null Count Healy Julie NaN NaN CO 80920 RN lastName object 0 196994 non-null firstName 196993 non-null object 80013 RN CO Englade Keith Steven NaN NaN Aurora middleName 180778 non-null object suffix 615 non-null object entityName 1 non-null object 5 city 196194 non-null object 194724 non-null state object mailZipCode 195656 non-null object licensePrefix 196995 non-null object 10 licenseNumber 196991 non-null object 196994 non-null licenseLastRenewedDate 196990 non-null object licenseExpirationDate 196994 non-null object licenseStatusDescription 196995 non-null object specialty 0 non-null object 16 title 0 non-null float64 degrees 153935 non-null object 17 caseNumber 6990 non-null object programAction 6990 non-null object disciplineEffectiveDate 6990 non-null object disciplineCompleteDate 2640 non-null object linkToVerifyLicense 196995 non-null 23 linkToViewHealthcareProfile 120532 non-null dtypes: float64(1), object(23) memory usage: 37.6+ MB

# Employee File Example

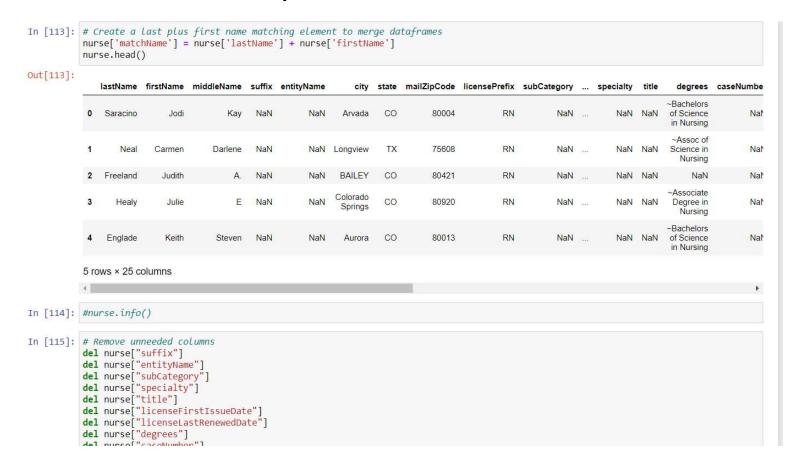
		lastName	firstName	middleName	employeeNum	city	state	mailZipCode	title	hireDate	projectIndicator
	0	Tobin	David	А	40	winston salem	NC	27103	CRNA	12/27/2016 0:00	1
	1	Barfield	Benjamin	James	67	Denver	CO	80238	AA	6/5/2013 0:00	1
	2	Ackerman	Serena	Maija	97	Mount Shasta	CA	96067	CRNA	3/16/2009 0:00	1
	3	Shank	Claudine	Dale A	107	Colorado Springs	CO	80921	CRNA	7/7/2004 0:00	1
	4	Sims	John	NaN	112	Little Rock	AR	72210	CRNA	12/13/2016 0:00	1
In [40]:	emp]	loyees.i	nfo()	<u>_</u>		_					
				frame.DataF							
	Rang	geIndex:	80 entri s (total	frame.DataF es, 0 to 79 10 columns) Non-Null	:	e -					
	Rang Data #  Ø	geIndex: a column Column  lastNa	80 entri s (total me	es, 0 to 79 10 columns) Non-Null  80 non-n	: Count Dtyp  ull obje	- ct					
	Rang Data #	geIndex: a column Column	80 entri s (total me ame	es, 0 to 79 10 columns) Non-Null	: Count Dtyp ull obje ull obje	- ct ct					
	Rang Data #  Ø	geIndex: a column Column  lastNa firstN	80 entri s (total me ame	es, 0 to 79 10 columns) Non-Null  80 non-n	: Count Dtyp ull obje ull obje	- ct ct					
	Rang Data #  0 1 2 3	geIndex: a column Column lastNan firstNan middle employe	80 entri s (total me ame	es, 0 to 79 10 columns) Non-Null 80 non-n 80 non-n 80 non-n	: Count Dtyp obje ull obje ull sije ull int6	ct ct ct					
	Rang Data #  0 1 2 3	geIndex: a column Column lastNan firstNan middlen employe city state	80 entri s (total me ame Name eeNum	es, 0 to 79 10 columns) Non-Null 80 non-nu 80 non-nu 80 non-nu 80 non-nu 80 non-nu	Count Dtyp ull obje ull obje ull int6 uil obje ull obje	ct ct ct ct					
	Rang Data #  0 1 2 3 4 5	geIndex: a column Column lastNa firstNa middle employ city state mailZi	80 entri s (total me ame Name eeNum	es, 0 to 79 10 columns) Non-Null 80 non-n 67 non-n 80 non-n 80 non-n 80 non-n 80 non-n	: Count Dtyp ull obje ull obje ull int6 uil obje ull obje ull int6	ct ct ct dt dt ct					
	Rang Data #  0 1 2 3 4 5 6 7	geIndex: a column Column lastNal firstN. middle employ city state mailZi title	80 entri s (total me ame Hame eeNum	es, 0 to 79 10 columns) Non-Null 80 non-n 67 non n 80 non-n 68 non-n 80 non-n 80 non-n 80 non-n 80 non-n	: Count Dtyp ull obje ull obje ull int6 uil obje ull int6 uil obje ull obje ull obje	ct ct tt t					
	Rang Data #  Ø 1 2 3 4 5 6 7 8	geIndex: a column Column lastNan firstN. middle employ city state mailZi title hireDa	80 entri s (total me ame Name eeNum pCode te	es, 0 to 79 10 columns) Non-Null 80 non-ni	Count Dtyp  Count Dtyp  Ull obje  Ull obje  Ull obje  Ull int6  Ull obje  Ull obje  Ull obje  Ull obje  Ull obje  Ull obje  Ull obje	ct ct 4 ct 4 ct					
	Rang Data #  0 1 2 3 4 5 6 7 8	geIndex: a column Column lastNan firstN middle employ City state mailZi title hireDa project	80 entri s (total me ame Name eeNum pCode te	es, 0 to 79 10 columns) Non-Null 80 non-n	Count Dtyp  Count Dtyp  Ull obje  Ull obje  Ull obje  Ull int6  Ull obje  Ull obje  Ull obje  Ull obje  Ull obje  Ull obje  Ull obje	ct ct 4 ct 4 ct					

Transform Highlights

#### Local Project Repository



#### Notebook Example

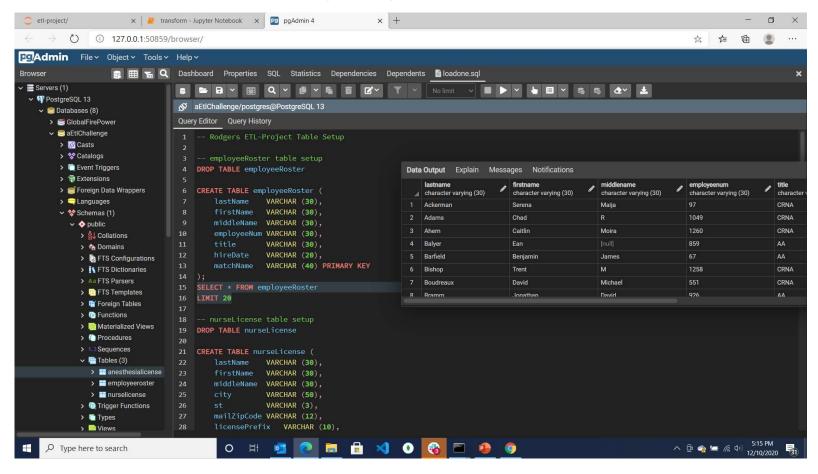


# Load Highlights

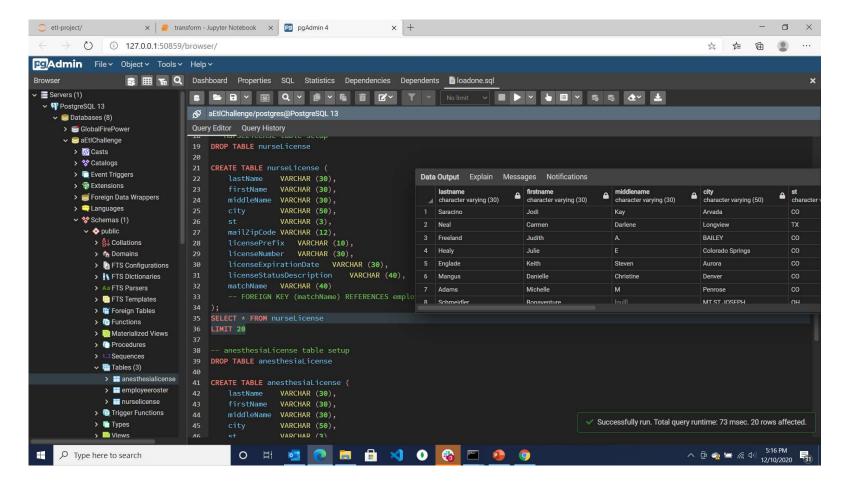
## Entity Relationship Diagram

NurseLicense				AnesthesiaLicense		
matchName	VARCHAR (40)			7 matchName	VARCHAR (40)	
lastName	VARCHAR (30)			lastName	VARCHAR (30)	
firstName	VARCHAR (30)			firstName	VARCHAR (30)	
middleName	VARCHAR (30)			middleName	VARCHAR (30)	
city	VARCHAR (30)			city	VARCHAR (30)	
st	VARCHAR (3)			st	VARCHAR (3)	
mailZipCode	VARCHAR (12)			mailZipCode	VARCHAR (12)	
licensePrefix	VARCHAR (10)			licensePrefix	VARCHAR (10)	
licenseNumber	VARCHAR (30)			subCategory	VARCHAR (30)	
licenseExpirationDate	VARCHAR (20)			licenseNumber	VARCHAR (30)	
licenseStatusDescription	VARCHAR (20)			license First Issue Date	VARCHAR (30)	
				license Last Renewed Date	VARCHAR (30)	
				licenseExpirationDate	VARCHAR (30)	
				licenseStatusDescription	VARCHAR (30)	
				caseNumber	VARCHAR (30)	
EmployeeRoster				programAction	VARCHAR (240)	
matchName	VARCHAR (40)	PK	//	discipline Effective Date	VARCHAR (20)	
lastName	VARCHAR (30)			disciplineCompleteDate	VARCHAR (20)	
firstName	VARCHAR (30)					
middleName	VARCHAR (30)					
employeeNum	VARCHAR (30)					
title	VARCHAR (30)					
hireDate	VARCHAR (20)					

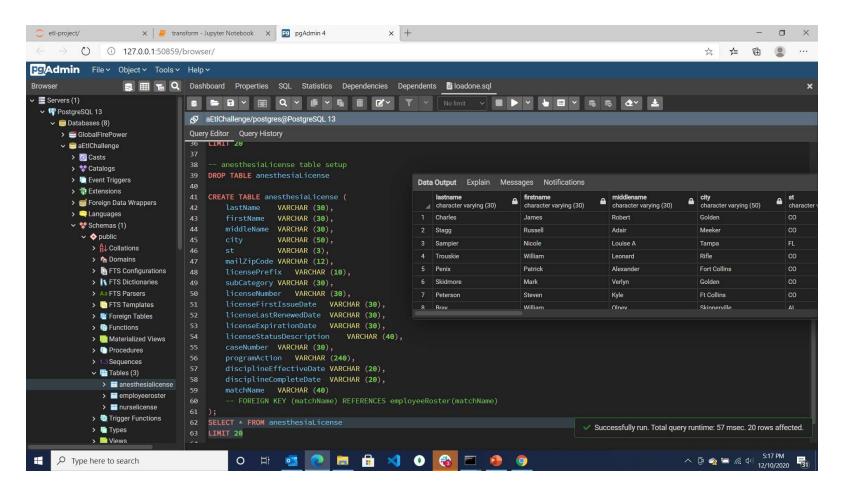
#### Table Schema - employeeRoster



#### Table Schema - nurseLicense



#### Table Schema - anesthesiaLicense



# SQL Alchemy Reporting

### Anesthesia License Red Flag Report

-	Last_Name	First_Name	Middle_Name	Employee_Number	Title	Hire_Date	License_Number	Expiration_Date	License_Status
81	Smith	Catherine	Vu	1255	CRNA	11/24/2020 0:00	1082	11/24/2020 12:00:00 AM	Expired





Last_Name	First_Name	Middle_Name	Employee_Number	Title	Hire_Date	License_Number	Expiration_Date	License_Status
Smith	Catherine	Vu	1255	CRNA	11/24/2020 0:00	1082	11/24/2020 0:00	Expired

### RN Sub-License Red Flag Report

	Last_Name	First_Name	Middle_Name	Employee_Number	Title	Hire_Date	License_Number	Expiration_Date	License_Status
20	Gates	Niki	Lynn	522	CRNA	6/19/2018 0:00	NaN	NaN	NaN
25	Jernigan	Amanda	Rhea	129	CRNA	3/14/2017 0:00	195510.0	03/14/2017 12:00:00 AM	Expired
43	Lagrimas	Carl	None	775	CRNA	9/27/2019 0:00	NaN	NaN	NaN
44	Lam	Bao	Thai	370	CRNA	3/2/2018 0:00	NaN	NaN	NaN
77	Sims	John	None	112	CRNA	12/13/2016 0:00	NaN	NaN	NaN

Notebook Example



Last_Nam	First_Nam	Middle_N	Employee_	Title	Hire_Date	License_Number	Expiration_Date	License_Status
Gates	Niki	Lynn	522	CRNA	6/19/2018 0:00			
Jernigan	Amanda	Rhea	129	CRNA	3/14/2017 0:00	195510	3/14/2017 0:00	Expired
Lagrimas	Carl		775	CRNA	9/27/2019 0:00			
Lam	Вао	Thai	370	CRNA	3/2/2018 0:00			
Sims	John		112	CRNA	12/13/2016 0:00			
Skinner	Zachary		697	CRNA	1/23/2020 0:00			
Starr	Ann	Warner	969	CRNA	12/13/2018 0:00	122350	12/15/2011 0:00	Expired
Vial	Leslie	Elaine	334	CRNA	8/29/2018 0:00			
Wieser	Christophe	Lee	1215	CRNA	5/5/2020 0:00			

## Anesthesia License Compliance Report

	Last_Name	First_Name	Middle_Name	Employee_Number	Title	Hire_Date	License_Number	Expiration_Date	License_Status
0	Ackerman	Serena	Maija	97	CRNA	3/16/2009 0:00	6026	09/30/2021 12:00:00 AM	Active
1	Adams	Chad	R	1049	CRNA	7/2/2018 0:00	1154	09/30/2022 12:00:00 AM	Active
4	Balyer	Ean	None	859	AA	3/20/2019 0:00	109	01/31/2022 12:00:00 AM	Active
5	Barfield	Benjamin	James	67	AA	6/5/2013 0:00	10	01/31/2022 12:00:00 AM	Active
8	Boudreaux	David	Michael	551	CRNA	10/12/2010 0:00	100010	09/30/2022 12:00:00 AM	Active

Notebook Example

	Last_Name	First_Name	Middle_Name	Employee_Number	Title	Hire_Date	License_Number	Expiration_Date	License_Status
	Ackerman	Serena	Maija	97	CRNA	3/16/2009 0:00	6026	9/30/2021 0:00	Active
	Adams	Chad	R	1049	CRNA	7/2/2018 0:00	1154	9/30/2022 0:00	Active
	Balyer	Ean		859	AA	3/20/2019 0:00	109	1/31/2022 0:00	Active
	Barfield	Benjamin	James	67	AA	6/5/2013 0:00	10	1/31/2022 0:00	Active
		David	Michael	551	CRNA	10/12/2010 0:00	100010	9/30/2022 0:00	Active
CC) / O t.	4	Jonathan	David	926	AA	10/21/2013 0:00	24	1/31/2022 0:00	Active
CSV Out	put	Tara	Suzanne	168	CRNA	8/9/2011 0:00	100049	9/30/2021 0:00	Active
		Leah	Sue	513	CRNA	3/30/2007 0:00	5252	9/30/2021 0:00	Active
	Christie	Timothy	Robert	139	CRNA	12/16/2010 0:00	100021	9/30/2022 0:00	Active
	Croshal	Andrea	Lynn	906	AA	5/27/2016 0:00	61	1/31/2022 0:00	Active
	De Lay	Mary	S	662	CRNA	3/10/2010 0:00	25019	9/30/2022 0:00	Active
	Engelmann	Amy	Lynne	499	CRNA	10/4/2011 0:00	100055	9/30/2021 0:00	Active
	Engstrom	Jaclyn	Marie	591	CRNA	10/8/2019 0:00	995091	9/30/2021 0:00	Active
	Heringhaus	Nathan	S	1041	CRNA	4/15/2015 0:00	991732	9/30/2021 0:00	Active

### RN Sub-license Compliance Report

	Last_Name	First_Name	Middle_Name	Employee_Number	Title	Hire_Date	License_Number	Expiration_Date	License_Status
0	Ackerman	Serena	Maija	97	CRNA	3/16/2009 0:00	181740.0	09/30/2021 12:00:00 AM	Active
1	Adams	Chad	R	1049	CRNA	7/2/2018 0:00	167534.0	09/30/2021 12:00:00 AM	Active
2	Ahern	Caitlin	Moira	1260	CRNA	10/23/2020 0:00	1622686.0	09/30/2021 12:00:00 AM	Active
5	Bishop	Trent	M	1258	CRNA	11/13/2020 0:00	1671331.0	09/30/2022 12:00:00 AM	Active
6	Boudreaux	David	Michael	551	CRNA	10/12/2010 0:00	197160.0	09/30/2022 12:00:00 AM	Active

Middle Name

Last Name

First Name

Notebook Example

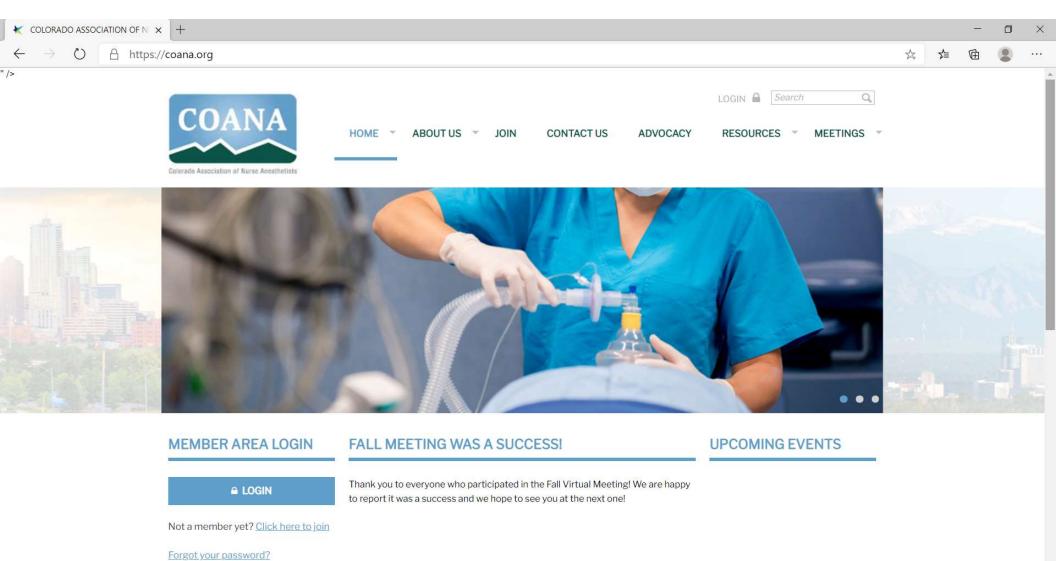
License Number Expiration Date License Status

	2001			p.o / coa		0 att		zwpac.obacc	
	Ackerman	Serena	Maija	97	CRNA	3/16/2009 0:00	181740	9/30/2021 0:00	Active
	Adams	Chad	R	1049	CRNA	7/2/2018 0:00	167534	9/30/2021 0:00	Active
		Caitlin	Moira	1260	CRNA	10/23/2020 0:00	1622686	9/30/2021 0:00	Active
		Trent	M	1258	CRNA	11/13/2020 0:00	1671331	9/30/2022 0:00	Active
CSV Outp	ut	David	Michael	551	CRNA	10/12/2010 0:00	197160	9/30/2022 0:00	Active
		Tara	Suzanne	168	CRNA	8/9/2011 0:00	160403	9/30/2021 0:00	Active
	burgos-viera	Nelson		623	CRNA	1/24/2017 0:00	1645472	9/30/2022 0:00	Active
	Carpenter	Leah	Sue	513	CRNA	3/30/2007 0:00	180068	9/30/2021 0:00	Active
	Christie	Timothy	Robert	139	CRNA	12/16/2010 0:00	197930	9/30/2022 0:00	Active
	Copelin	Craig	Ernst	1261	CRNA	10/9/2020 0:00	1671382	9/30/2022 0:00	Active
	Davignon	Amy	Rebecca	1234	CRNA	8/14/2009 0:00	177961	9/30/2022 0:00	Active
	De Lay	Mary	S	662	CRNA	3/10/2010 0:00	1658405	9/30/2022 0:00	Active
	Dunn	Alexander	Joseph	254	CRNA	3/28/2016 0:00	190775	9/30/2021 0:00	Active

Hire Date

Employee Number Title

Ancillary Resources
Associate Websites
(Out-of-scope but might use later)

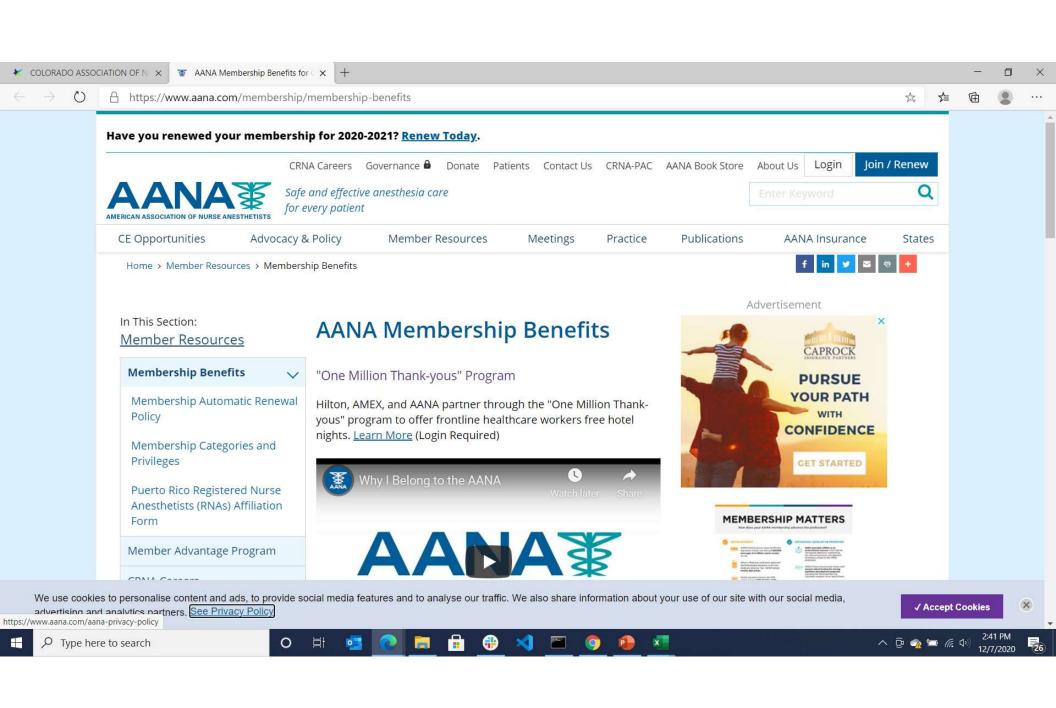


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12/7/2020



#### Original Project Proposal

- Pull professional licensing data from Colorado.gov and create a reporting process to better manage expired and pending expiration licenses.
  - Extract
    - Ideally utilize an API from the CO-DORA license listing that is updated daily.
    - Add fictitious employee file to align with the state licensing status (fabricated with publicly available info)
    - Add the state license expiration and license name information if needed form "Professional-Types" csv
  - Cleaning:
    - Narrow the dataset to the appropriate license types, i.e. separate anesthetists from the other professional types such as plumber or electrician.
    - Drop unnecessary information required to meet the license management process (simplify)
    - Determine if additional information is needed for the underlying RN license
      - Note: Out of state / compact license will not be included in dataset, missing RN license will be part of risk reporting later in project
  - Load
    - Load to a PGAdmin database with the idea that the data set might provide feeds to third-party credentialling software such as Apogee or scheduling software such as Qgenda.
  - See the value
    - Join the dataset with the states license type and reoccurring expiration data cycle dates
    - Join the dataset with a (fabricated) employee file based on license number and/or name
    - Produce a report of "at-risk clinical employees" whose licenses show a) expired or b) about to expire (w/in 30 days)
    - Ancillary, determine number of practicing CRNA and AA's in state of Colorado ... always wondered