# Transactional Boosting for Haskell

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**Abstract.** Transactional boosting is a methodology used to transform highly concurrent linearizable objects into highly concurrent transactional objects. In this paper we describe a STM Haskell extension that allows programmers to write boosted versions of highly concurrent abstract data types. Although the technique can only be applied to abstract types that have certain properties, when used correctly, we obtain transactional versions of existing types that are much faster than if they were implemented with pure STM Haskell.

#### 1 Introduction

Transactional memory is a higher level alternative to lock based synchronization in concurrent programming. In this model, all accesses to shared memory are grouped as transactions that can execute concurrently and, if no conflicting accesses to shared memory are detected, may commit, or abort otherwise. Unlike lock based synchronization, transactions are composable and deadlock free.

Transactional memory implementations record the reads and writes that transactions perform and use this information to detect conflicts. A conflict occurs if two or more different transactions access the same memory location and at least one of these accesses is a write. Sometimes the conflict detecting mechanisms used by transactional memory systems are too conservative, and end up detecting false conflicts, or conflicts that might not violate the abstraction of a program. One classical example is two different transactions modifying different parts of a linked list [18, 12, 17, 15]. Although their actions do not conflict, there is a read/write conflict as one transaction is modifying a memory location that was read by another transaction. This kind of read/write conflict detection scheme can have a huge impact in performance when using certain kinds of linked data structures or generally when accessing memory locations that are subject to high contention. On the other hand, using lock based synchronization, or even lock-free algorithms, expert programmers can achieve a high level of concurrency at the cost of code complexity. One alternative to combine these two worlds is transactional boosting [12]. Transactional boosting is a methodology used to transform highly concurrent linearizable objects into highly concurrent transactional objects. It treats base objects as black boxes: we can make a boosted version of a linearizable concurrent library with no knowledge on how it is implemented. Transactional boosting is not applicable to every problem, basically, the methodology requires that method calls have *inverses*, and that only commutative method calls can occur concurrently.

STM Haskell [11] is a Haskell extension that provides the abstraction of composable memory transactions. The programmer defines transactional actions that are composable i.e., they can be combined to generate new transactions, and are first-class values. Haskell's type system forces threads to access shared variables only inside transactions. As transactions can not be executed outside a call to atomically, properties like atomicity (the effects of a transaction must be visible to all threads all at once) and isolation (during the execution of a transaction, it can not be affected by other transactions) are always maintained.

This text describes our experiments with transactional boosting in the context of the functional language Haskell. The contributions of this paper are as follows:

- We propose a new construct for STM Haskell that lets programmers implement boosted versions of existing fast concurrent Haskell libraries. We also describe how the new construct proposed here can interact with the high-level transactional primitives retry and orElse available in STM Haskell.
- We present the implementation of three classic transactional boosting examples using available concurrent Haskell libraries and the new proposed primitive. These examples demonstrate that our extension lets programmers transform existing fast implementations of linearizable structures into composable STM Haskell actions.
- Preliminary performance measurements are presented and indicate that, although transactional boosting can only be used in certain cases, when applied correctly, it is possible to achieve much faster STM actions than using the original STM Haskell. We believe that the primitive proposed here can be used by expert programmers do develop fast concurrent libraries for STM Haskell.

This paper is organized as follows: Section 2 reviews the main concepts of transactional memory and STM Haskell. Section 3 presents our extension to STM Haskell. Next, in Section 4, three examples of boosted versions of abstract data types are described and implemented using the new STM Haskell extension: a unique ID generator, a pipeline buffer, and a set data structure. Section 5 explains how the new primitive was implemented and shows the results of preliminary experiments. Finally, Section 6 discusses related work, and Section 7 concludes.

## 2 Transactional Memory and STM Haskell

#### 2.1 Transactional Memory

Transactional memory was first described as a Hardware feature [13]. This paper focuses on *Software Transactional Memory* (STM), in which transactions are

mainly implemented in software, with little hardware support, i.e., a compare and swap operation.

In an STM system, memory transactions can execute concurrently and, if finished without conflicts, a transaction may commit. Conflict detection may be eager, if a conflict is detected the first time a transaction accesses a value, or lazy when it occurs only at commit time. With eager conflict detection, to access a value, a transaction must acquire ownership of the value, hence preventing other transactions to access it, which is also called pessimistic concurrency control. With optimistic concurrency control, ownership acquisition and validation occurs only when committing. These design options can be combined for different kinds of accesses to data, e.g., eager conflict detection for write operations and lazy for reads. STM systems also differ in the granularity of conflict detection, being the most common word based and object based. In STM Haskell conflicts are detected at a TVar level, e.g, two different transactions writing to the same TVar (see next Section).

STM systems need a mechanism for version management. With *eager* version management, values are updated directly in memory and a transaction must maintain an *undo log* where it keeps the original values. If a transaction aborts, it uses the undo log to copy the old values back to memory. With *lazy* version management, all writes are buffered in a *redo log*, and reads must consult this log to see earlier writes. If a transaction commits, it copies these values to memory, and if it aborts the redo log can be discarded.

An STM implementation can be *lock* based, or *obstruction free*. An *obstruction free* STM does not use blocking mechanisms for synchronization and guarantees that a transaction will progress even if all other transactions are suspended. Lock based implementations, although offering weaker progress guarantees, are believed to be faster and easier to implement [8].

#### 2.2 STM Haskell

STM Haskell extends Haskell with a set of primitives for writing memory transactions[11]. The main abstractions are transactional variables or TVars, which are special variables that can only be accessed inside transactions. Figure 1 shows the main STM Haskell primitives. The readTVar function takes a TVar and returns a transactional action STM a. This action, when executed, will return a value of type a, i.e., the TVar's content. Similarly, writeTVar takes a value of type a, a TVar of the same type and returns a STM action that when executed writes into the TVar.

These transactional actions can be composed together to generate new actions using the basic monadic composition constructs (bind (>>=), then (>> ) and return), or by using the syntactic sugar provided by the do notation.

The retry primitive is used to abort and re-execute a transaction once at least one of the memory positions it has read is modified. or Else is a composition operator, it takes two transactions as arguments, if the first one retries then the second one is executed. If both fail the whole transaction is executed again.

An STM action can only be executed with a call to atomically:

```
writeTVar :: TVar a -> a -> STM ()
readTVar :: TVar a -> STM a
retry :: STM ()
orElse :: STM a -> STM a -> STM a
atomically :: STM a -> IO a
```

Fig. 1. STM Haskell interface

```
atomically (transferMoney tvar1 tvar2 100.00)
```

It takes as an argument a transactional action (STM a) and executes it atomically with respect to other concurrently executing transactions.

## 3 Transactional Boosting for STM Haskell

Transactional boosting is a methodology used to transform existing highly concurrent linearizable objects into highly concurrent transactional objects. It treats existing objects as black boxes, and performs both conflict detection and logging at the granularity of entire method calls. It can not be applied to every object but to objects where commutative method calls can be identified, and which reasonably efficient inverses exist or can be composed from existing methods [12].

To write a transactional boosted version of an abstract type, the operations associated with this type must have inverses. Hence the STM system must provide ways of registering user defined handlers to be called when the transaction aborts or commits. If a transaction aborts, it must undo the changes it has done to the boosted object and if it commits it must make these changes visible to the rest of the system.

We propose a simple new STM Haskell primitive to build transactional versions of abstract data types:

```
boost :: IO (Maybe a) -> ((Maybe a)-> IO ()) -> IO () -> STM a
```

The boost primitive can be used to create a new transactional version of existing Haskell libraries. It wraps a function in a Haskell STM action, providing ways to call this function inside a transaction and also for undoing its effects in the case of an abort. It takes as arguments:

- an action (of type IO (Maybe a)) that is used by the underlying transactional system to invoke the original function. When the original function is called it might return a result of type a, or maybe for some reason the original function could not be called, e.g., an internal lock could not be acquired, in which case the action should return Nothing. Hence the return type is Maybe a

- an undo action (of type Maybe a -> IO ()) used to undo the function call in case of an abort. If we want to abort a STM action, we must know what was the outcome of executing it, e.g., if we want to undo deleting value x from a set, we must insert x again into the set. As the outcome of executing a transactional action is only known at execution time, the undo action takes as argument the value returned by the first argument of boost.
- a commit action (of type IO ()) that is used to commit the action done by the boosted version of the original function, i.e., make it visible to the rest of the system

boost returns a new STM action that is used inside transactions to access the wrapped function.

## 4 Examples

This section describes the implementation of three classic transactional boosting examples using existing concurrent Haskell libraries plus the new primitive proposed for STM Haskell. The examples are a *Unique ID Generator* (Section 4.1), a *Pipeline Buffer* (Section 4.2), and a *Set* (Section 4.3).

### 4.1 Unique ID Generator

We start with a simple example, a unique ID generator. Generating unique IDs in STM systems is problematic. The <code>generateID</code> function would typically be implemented using a shared counter that is incremented at each call. As different transactions are trying to increment and read a shared location (the counter), transactional memory implementations detect read/write conflicts and abort at least one of the transactions. The problem is that those may not be real conflicts: as long as different calls to <code>generateID</code> return different numbers, we do not care, for example, if the values generated follow the exact order in which the counter was incremented.

A simple and fast thread safe unique ID generator could be implemented using a Fetch-and-Add or Compare-and-Swap (CAS) operation, available in most standard multi-core processors. Haskell, provides an abstraction called IORef that represents a mutable memory locations [16]. An IORef a is a mutable memory location that may contains a value of type a. The library [3] lets programmers perform machine-level compare and swap operation on IORefs. Thus, a unique ID generator can be implemented as follows:

```
type IDGer = IORef Int
newID :: IO IDGer
newID = newIORef 0
generateID :: IDGer -> IO Int
generateID idger = do
```

```
v <- readIORef idger
ok <- atomCAS idger v (v+1)
if ok then return (v+1) else generatetID idger</pre>
```

Under transactional boosting, the ID generator must follow the specification in Figure 2.

Fig. 2. Unique ID Generator specification

When a transaction that called generateID aborts, ideally the ID returned by the call should be returned to a pool of unused IDs. On the other hand, since generateID always returns an unique value, the IDs generated are disposable.

Using transactional boosting, the generateID function could be implemented as follows:

```
generateIDTB :: IDGer -> STM Int
generateIDTB idger = boost ac undo commit
    where
    ac = do
        id <- UniqueIDCAS.generateID idger
        return (Just id)
    undo _ = return ()
    commit = return ()</pre>
```

Now generateIDTB is an STM action that, when executed calls the ac action which simply uses the CAS version of the generator to increment the IORef counter. If the transaction aborts, or the transaction commits, nothing has to be done, hence the undo and commit actions are empty.

#### 4.2 Pipeline Buffer

Pipeline is an abstraction where there is a chain of data processing threads that communicate by bounded queues, or buffers. Each thread is in charge of a stage in the pipeline and consumes data from a buffer, processes it, and writes the new data to a different buffer.

A buffer must provide two functions: offer used to add a value to the buffer and take, that consumes a data item. To implement a buffer using transactional boosting, we need a double-ended queue as it provides inverses for take and offer. Here we use a thread safe double-ended queue implemented by Ryan Newton [4], and follow the specification in Figure 3:

```
Function Call Inverse

offer buf x tryPopL buf
x <-take buf pushR buf x
```

#### Commutativity

offer buf  $x \Leftrightarrow y$  <-take buf, buffer non-empty offer buf  $x \not\Leftrightarrow y$  <-take buf, otherwise

Fig. 3. Pipeline Buffer specification

```
data TBBuffer a = Q (SimpleDeque a) (IORef Int)
newTBBuffer :: TBBuffer a
newTBBuffer = do
      q<-newQ
      ioref <- newIORef 0
     return (Q q ioref)
offer :: TBBuffer a -> a -> STM ()
offer (Q c ioref) v = boost ac undo commit
     where
       ac = do
          pushL c v
          return (Just ())
       undo _ = do
            mv <- tryPopL c
             case mv of
                 Just v -> return ()
       commit = do
             v <- readIORef ioref
             ok<- atomCAS ioref v (v+1)
             if ok then return () else commit
```

A TBBuffer is represented by a double-ended queue and an IORef that contains the size of the buffer. The offer function must use pushL to add a new value to the queue. If a transaction aborts, the data that was inserted in the queue must be eliminated using tryPopL. If the transaction commits, the only thing to be done is to increment the buffer size thus making the new item visible to the transaction that is consuming values. The take function uses tryPopR to consume data from a buffer:

```
take :: TBBuffer a -> STM a
take (Q c ioref) = boost ac undo commit
  where
    ac = do
        size<-readIORef ioref
    if size ==0 then return Nothing
        else do</pre>
```

If there are not enough items then the transaction aborts by returning Nothing. Otherwise it decrements the buffer counter using CAS (with the decIORef function) and consumes an item (tryPopR). The undo action must increment the counter using CAS (incIORef) and return the value taken back to the buffer.

#### 4.3 Set

A set is a collection of distinct objects. An implementation of a set usually provides three functions, add, remove and contains.

```
Function Call Inverse

add set x / False noop

add set x / True remove set x / True

remove set x / False noop

remove set x / True add set x / True

contains set x / noop

Commutativity

add set x / = \( \Delta \) add set y / -, x \( \neq \) y

remove set x / = \( \Delta \) remove set y / -, x \( \neq \) y

add set x / - \( \Delta \) remove set y / -, x \( \neq \) y

add set x / False \( \Delta \) remove set x / False \( \Delta \) contains set x / -
```

Fig. 4. Set specification

As there is no linearizable implementation of a set data structure available for Haskell, the boosted set described here uses a thread safe linked list, described in [18] (see Figure 5). When implementing a boosted version of a set, we must guarantee that if one transactions is working on a key, no other transaction can be using the same key (see Figure 4). We can achieve this by using key-based locking [15]. Key based locking can be implemented using a hash table to associate a lock with each key. The problem is that currently there are no thread safe Hash tables available for Haskell. To implement key locking we use an STM Hash table from the Haskell STM benchmark suite [1]:

```
data KLock = KLock (THash Int Lock)
```

```
data Lock = Lock (IORef Integer) (IORef Integer)
newKLock :: IO KLock
newKLock = do
    hasht <- atomically (new hashInt)
    return (KLock hasht)</pre>
```

A KLock is a hash table that maps Ints (keys) to locks. Locks are represented by two IORefs. The first is a versioned lock [9]: if it contains 0 the locks is free, if it contains a transaction ID the lock is locked. The second IORef counts how many times the lock holder locked the same key. The newKLock function creates a new KLock by simply creating an empty Hash table. A key can be locked with the lock function:

It takes a Klock and a key as arguments. If there is already a lock associated with the key, and the lock is free (i.e., contains 0), it tries to acquire the lock using atomCAS. If successful, it increments the counter. If the current transaction already holds the lock, it just needs to increment the counter one more time. If there is no lock associated with the key, a new one must be created and inserted into the hash table:

```
Nothing -> do
ior <- newIORef myId
counter <- newIORef 1
ok <- atomically (insert ht key (Lock ior counter))
if ok then return True else (lock alock key)
```

The unlock function

```
unlock :: KLock -> Int -> IO Bool
```

finds the lock associated with the key, and if the current transaction holds the lock it simply decrements the lock's counter. If the counter gets to zero, then the lock is freed using CAS.

Now, a boosted version of a Set data structure can be represented by a KLock and a linked list:

```
newList :: IO (ListHandle a)
addToTail :: Eq a => ListHandle a -> a -> IO ()
find :: Eq a => ListHandle a -> a -> IO Bool
delete :: Eq a => ListHandle a -> a -> IO Bool
```

Fig. 5. Interface for the concurrent linked list described in [18]

```
data IntSet = Set KLock (ListHandle Int)
```

To add a key to a set, we must acquire the lock associated with it and then insert the key in the linked list. As the linked list may contain duplicates, we must also make sure that the key is not already in the list:

If a key was inserted and the transaction aborts, the same key must be deleted from the list and the lock freed. If the transaction commits, the only thing to do is to free the lock:

To remove a key, we must acquire the right lock and then delete the key from the linked list:

```
remove :: IntSet -> Int -> STM Bool
```

```
remove (Set klock list) key = boost ac undo commit
    where
     ac = do
        ok<-lock klock key
        case ok of
           True -> do v<-CASList.delete list key
                      return (Just v)
           False -> return Nothing
     undo ok = do
        case ok of
           Just True -> do
                CASList.addToTail list key
                unlock klock key
                return ()
           Just False-> do unlock klock key
                           return ()
           Nothing -> return ()
     commit = do unlock alock key
                 return ()
```

To undo a successful remove, the key must be inserted back again in the list and the lock freed. As before, to commit the operation we just need to liberate the lock.

The contains operation

```
contains :: IntSet -> Int -> STM Bool
```

is simpler and the code is omitted. As contains does not changes the internal list, if the transaction aborts or commits, nothing has to be done except liberating the lock associated with the searched key.

## 5 Implementation and Preliminary Experiments

#### 5.1 Prototype Implementation

To test the examples presented in this paper, we extended TL2 STM Haskell [7, 2], a high-level implementation of STM Haskell that uses the TL2 [6] algorithm for transactions. The TL2 implementation uses lazy conflict detection with optimistic concurrency control as happens in the original C implementation of STM Haskell that comes with the GHC compiler[10].

As the TL2 library is implemented completely in Haskell, it is easier to extend and modify. It also provides reasonable performance for a prototype: programs run 1 to 16 times slower than the C implementation, with factors of 2 and 3 being the most common. Experiments using the Haskell STM Benchmark suite also demonstrate that the library provides scalability similar to the original C run-time system [7].

In TL2 STM Haskell, as in other implementations of STM Haskell [14, 5], the STM data type is represented as a state passing monad. Thus, an STM a action

in the monad is in fact a function that takes the state of the current transaction (e.g. its read and write logs) as an argument, executes a computation in the transaction (e.g. reads a TVar), and returns a new transaction state and a result of type a:

```
data STM a = STM (TState -> IO (TResult a))
```

The TResult type describes the possible outcomes of executing a transaction:

```
data TResult a = Valid TState a | Retry TState | Invalid TState
```

Our implementation of the boost extends the type that represents the transaction state (TState) with two IO () actions:

```
data TState = Tr {
(...)
   tbUndo :: IO (),
   tbCommit :: IO ()
}
```

The tbUndo and tbCommit actions are constructed during the execution of a transaction, and the first is executed only if a transaction aborts, i.e., finishes with an Invalid state, and the later is executed only if a transaction commits. Hence, the implementation of boost becomes simply:

The *then* monadic combinator (>>) is used to add the new actions to the current tbUndo and tbCommit IO actions.

The design and implementation of the retry construct is tied closely to the concept of TVar: when a transaction retries, it will not execute again until at least one of the tvars it read is updated. We would like to extend the concept further, for example, in the Set example, if we can not acquire the lock for a key, we could retry or abort the transaction and only start it again once the lock is freed. It is difficult to predict all possible cases in which we want a transaction to be restarted and we also do not want to include in the design primitives that are too low level. Hence we decided for a simpler approach: when a transaction that executed TB actions calls retry, the transaction is stopped, tbUndo is executed, and the transaction waits on the TVars it has read.

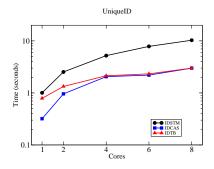
We also extend the behavior of the orElse construct to support transactional boosting. A call to orElse t1 t2 will first save the tbUndo and tbCommit actions of the current transaction's state and execute t1 with new and empty tbUndo and tbCommit. If t1 retries, its newly created tbUndo is called and the transaction

continues by executing t2 with the saved actions. If t1 finishes without retrying, then both new and saved TB actions are combined and orElse returns the result of executing t1.

## 5.2 Experiments

The experiments were executed on an Intel Core i7 processor at 2.1 GHz and 8 GiB of RAM and the times presented are the mean of 10 executions. The operating system was Ubuntu 12.04, with Haskell GHC 7.4. The Core i7 processor has 4 real cores plus 4 more with hyper threading.

Figure 6 compares three implementations of the unique ID generator: ID STM that uses the C implementation of STM Haskell that comes with GHC, ID CAS that uses CAS to increment the ID counter, and ID TB that is the boosted implementation described in Section 4.1. The experiment executes 10 million calls to generateID in total, dividing these operations by the threads available.



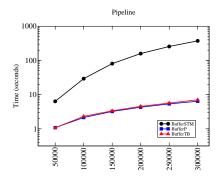


Fig. 6. UniqueID execution times

Fig. 7. Pipeline Buffer execution times

The second experiment (Figure 7) creates two threads, a producer and a consumer, that communicate through a pipeline buffer each executing the number of operations described on the X axis. Three different implementations of the pipeline buffer are compared: Buffer STM that uses the TChan STM library that comes with GHC, BufferP is the thread safe deque implemented by Ryan Newton, and BufferTB is the boosted version described in Section 4.2.

To benchmark the set data structure, we randomly generated test data consisting of an initial list of 2000 elements and 8 lists of 2000 operations. Each list of operations is given to a different thread and we vary the number of cores used from 1 to 8, as can be seen in Figure 8. Note that the Y axis is a logarithmic scale. Two implementations of Set are compared, one that uses an ordered linked list of TVars and is compiled using the original C STM Haskell (GHC-STM), and the boosted version described in Section 4.3 (TB).

As can be seen by these preliminary experiments, even though our prototype implementation uses the slower TL2 STM Haskell implementation, all TB examples are still much faster than the original STM Haskell implemented in C.

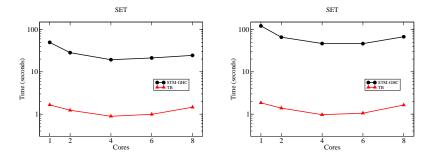


Fig. 8. 8 threads each executing 2000 operations in a Set (On the left: 40% adds and removes +60% contains, On the right: 65% adds and removes +25% contains)

This happens because the overhead introduced by transactional layer added to the fast parallel implementations is not enough to harm the performance.

#### 6 Related Work

Other works have extended the original STM Haskell design with escape primitives that allow the programmer to change the state of the current transaction hence avoiding false conflicts. The unreadTVar [17] construct is an extension to the original STM Haskell interface that improves execution time and memory usage when traversing transactional linked structures. It is used to eliminate from the read set values that are far behind from the current position. For the same purpose in [18] the authors propose readTVarIO that reads a TVar without adding a log entry for this read into the current transaction's read set. If the lock of the TVar is being held by a transaction trying to commit, readTVarIO blocks until the lock is freed. Twilight STM in Haskell [5] extends STM Haskell with safe embedding of I/O operations and a repair facility to resolve conflicts. Twilight splits the code of a transaction into two phases, a functional atomic phase (that behaves just like the original STM Haskell), and an imperative phase where Twilight code can be used. A prototype implementation of Twilight Haskell in Haskell is provided but no performance figures are given.

All these new primitives are used to implement new data types in a way that false conflicts are avoided. The method presented here is used as a way of accessing existing fast highly concurrent structures inside transactions.

### 7 Concluding Remarks

We have described an STM Haskell extension to write transactional boosted versions of abstract data types. We extended STM Haskell with a single new primitive and have described three examples of its use. Preliminary experiments show that the new primitive can help programmers to write faster transactional

libraries if used in the right context. Transactional boosting is low level concurrent programming and can lead to all the problems associated with concurrency, such as deadlocks [12]. We believe that the primitive presented here can be used by expert programmers to write fast concurrent libraries for Haskell.

We can also use boost to implemented transactional versions of sequential structures that are not available in STM libraries, however there will be a performance impact depending on the approach used to protect these structures (e.g., a single lock) plus the overhead of the transactional boosting system, as can be seen in the experiments in Section 5.

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