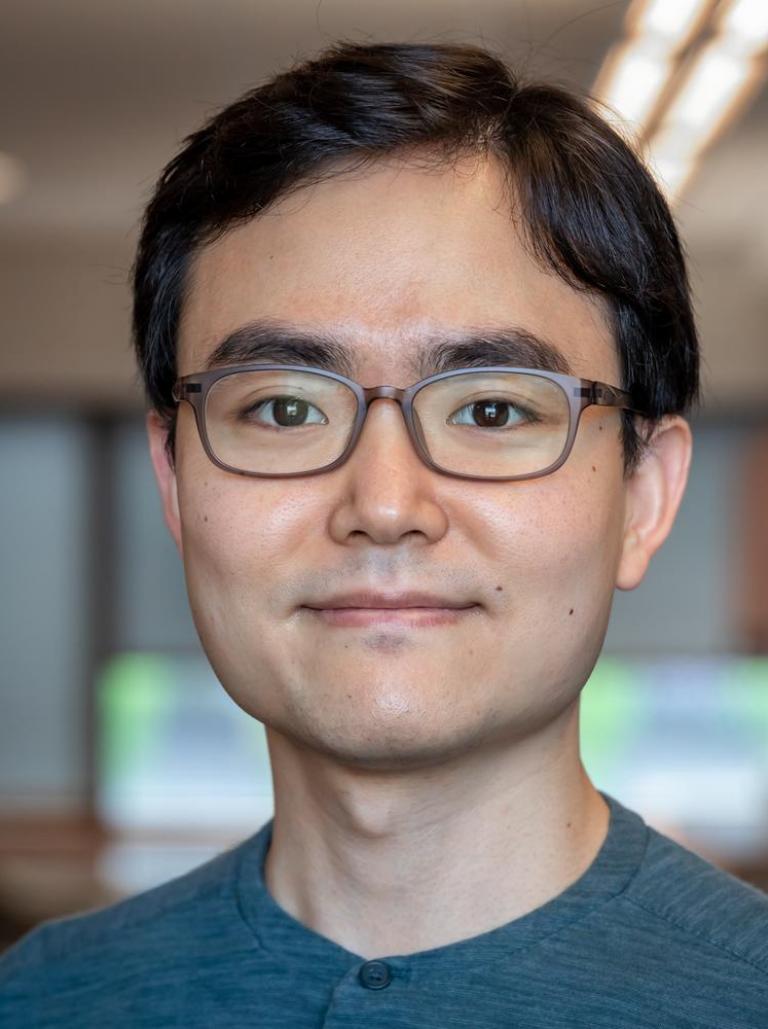


# Bootstrapping the Ising Model on the Lattice

Victor A. Rodriguez  
Princeton University

Positivity @ PCTS

based on [2206.12538 \[hep-th\]](#) w/ Minjae Cho, Barak Gabai, Ying-Hsuan Lin, Joshua Sandor, Xi Yin



Minjae Cho



Barak Gabai



Ying-Hsuan Lin



Joshua Sandor



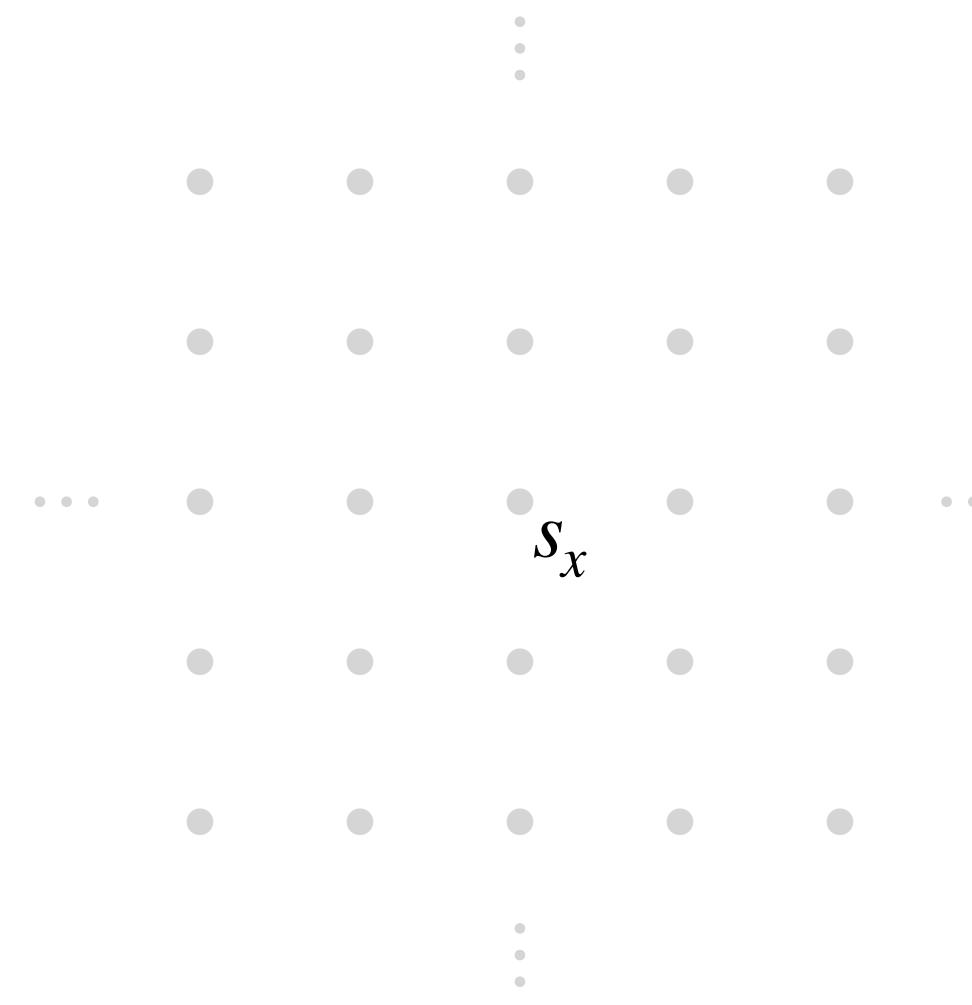
Xi Yin

# Outline

- Ising model review
- Bootstrap of the Ising model
  1. Relation: “spin-flip” equations
  2. Positivity: reflection positivity, Griffiths inequalities, etc.
- Results in 2D and 3D Ising model
- Prospects

# Ising Model

Physical system for intuition: magnets



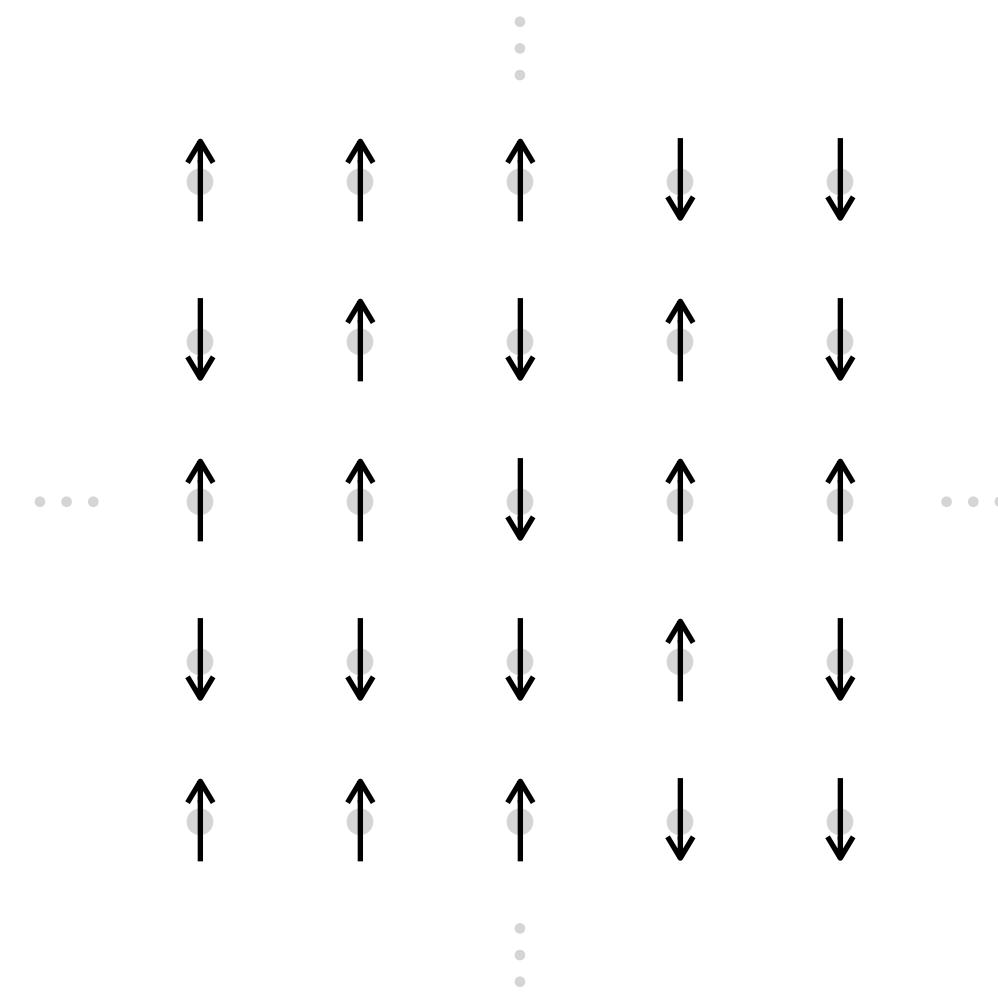
At each lattice site  $x \in \Lambda$ , the variable  $s_x$  (called “spin”) can take either of two values

$$s_x = \begin{cases} 1 & \text{"spin up"} \\ -1 & \text{"spin down"} \end{cases}$$

electrons, tiny magnets

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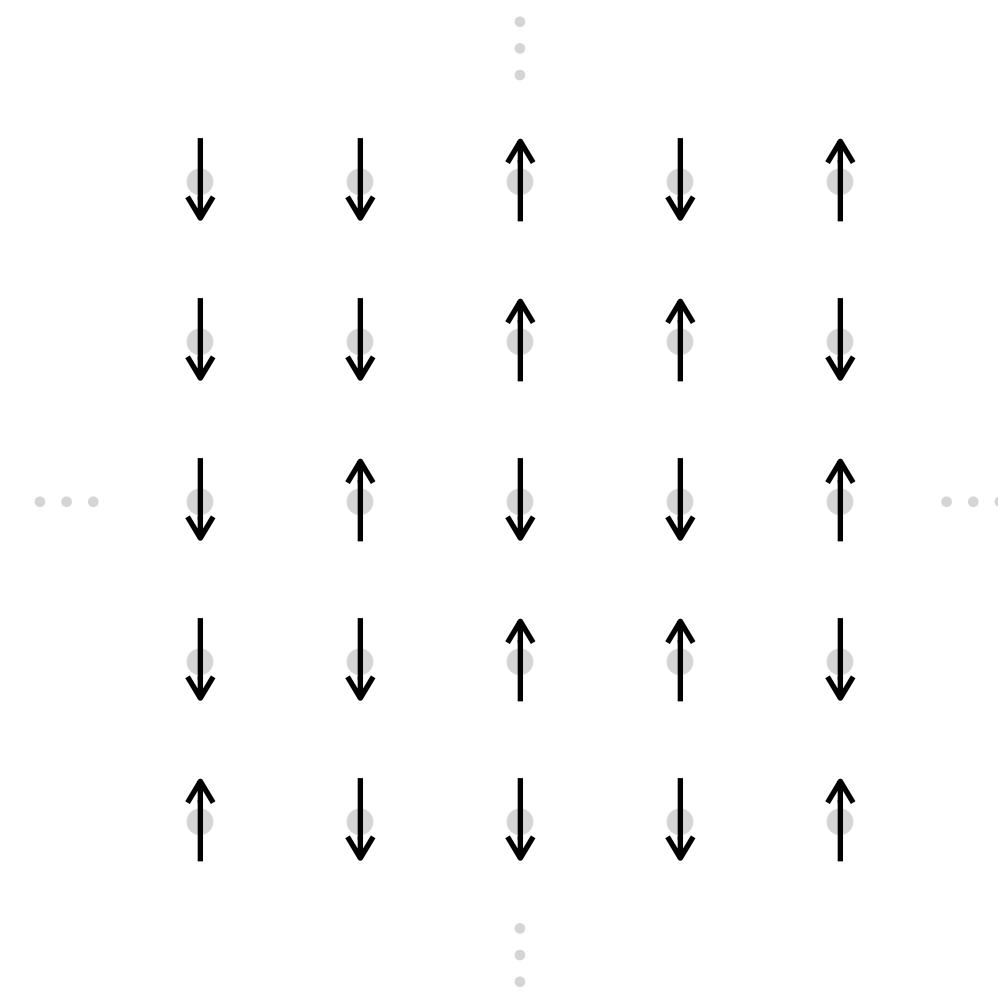
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electrons, tiny magnets

A spin configuration of the lattice system is a particular assignment of a spin value for each site.

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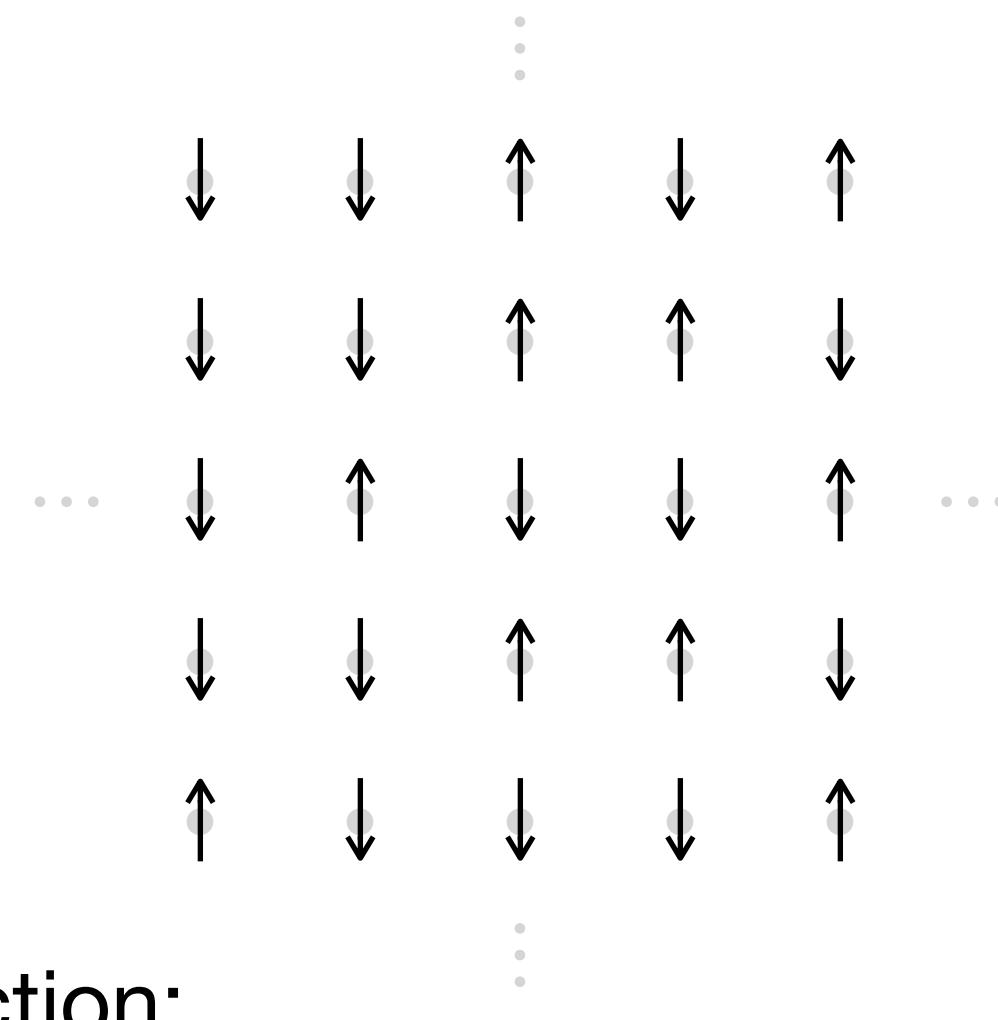
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electrons, tiny magnets

A spin configuration of the lattice system is a particular assignment of a spin value for each site.

# Ising Model

Physical system for intuition: magnets



Partition function:

$$Z = \sum_{\substack{\text{spin} \\ \text{configs}}} \exp \left[ -\frac{1}{T} E \left( \begin{array}{c} \text{spin} \\ \text{config} \end{array} \right) \right]$$

where the exponential is interpreted as the probability that the system is in that specific spin config

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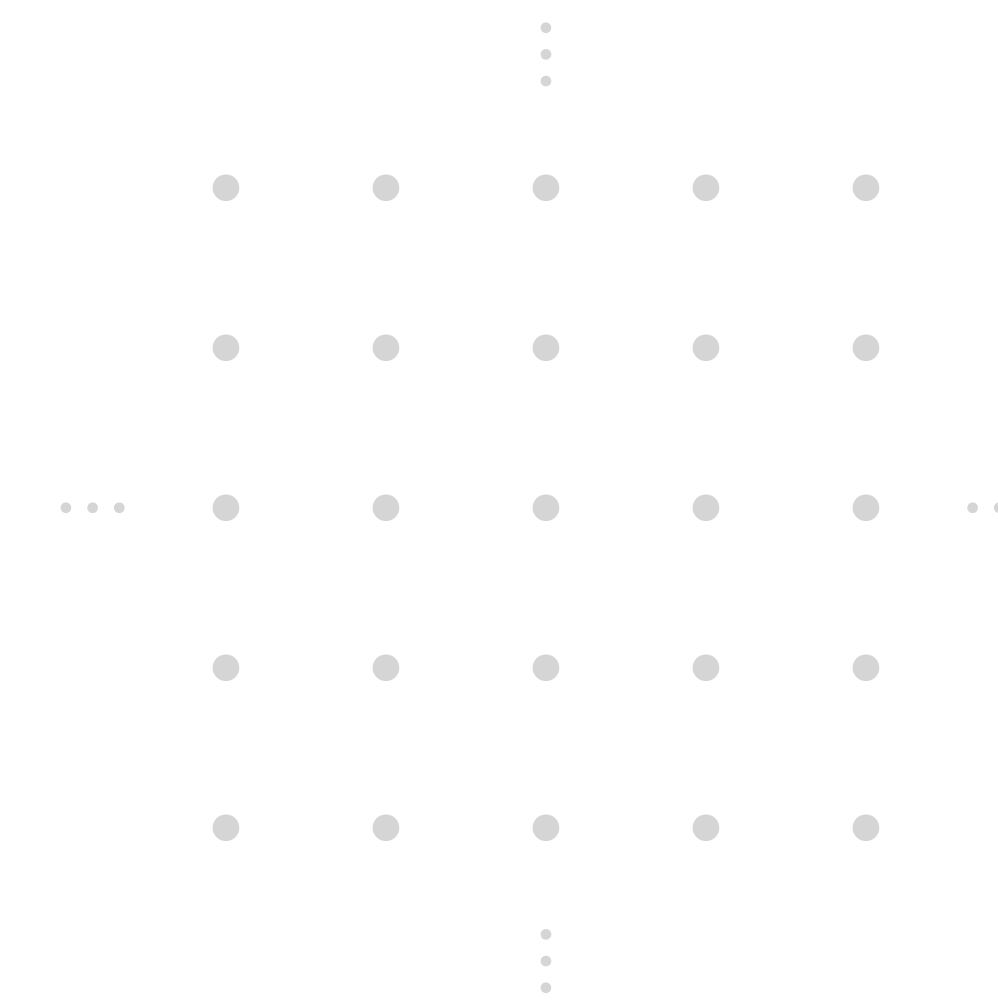
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# Ising Model

Physical system for intuition: magnets



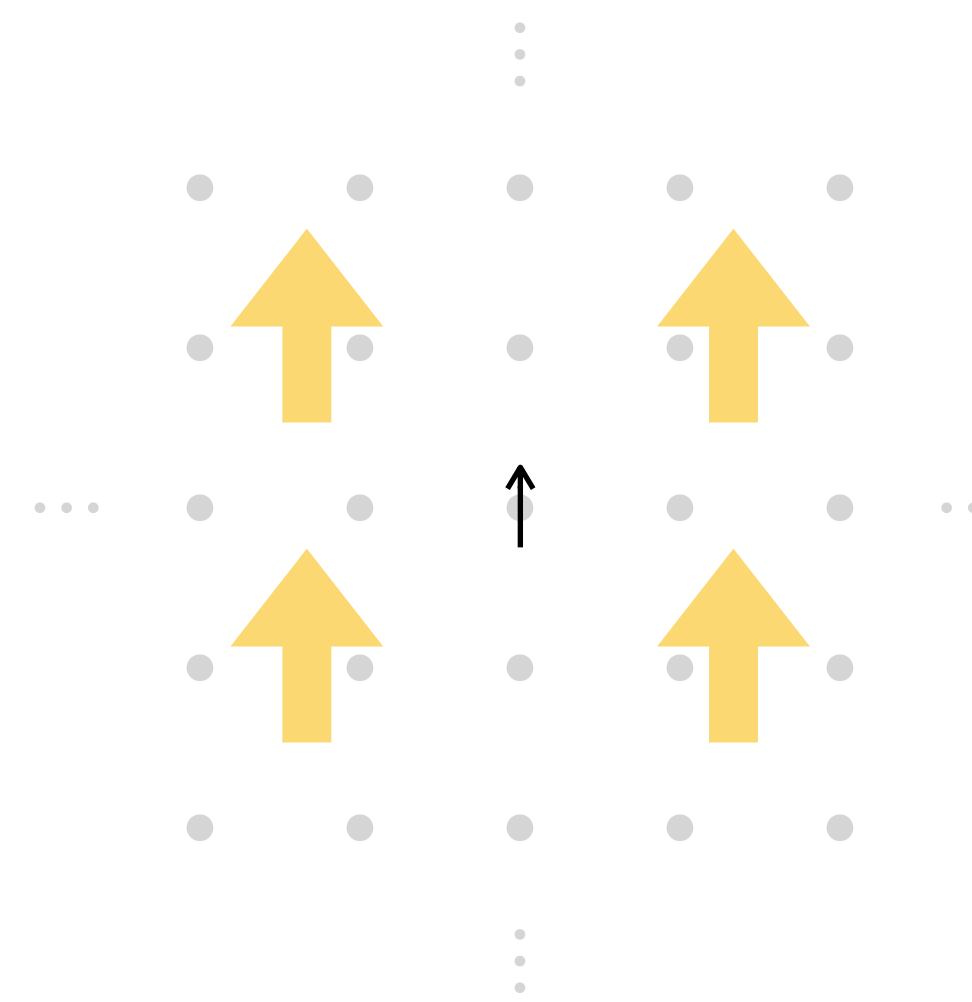
For the Ising model,

$$E(\{s_x\}) = -J \sum_{\langle xy \rangle} s_x s_y - h \sum_{x \in \Lambda} s_x$$

where  $\langle xy \rangle$  means  $x, y \in \Lambda$  such that they are directly adjacent sites

# Ising Model

Physical system for intuition: magnets



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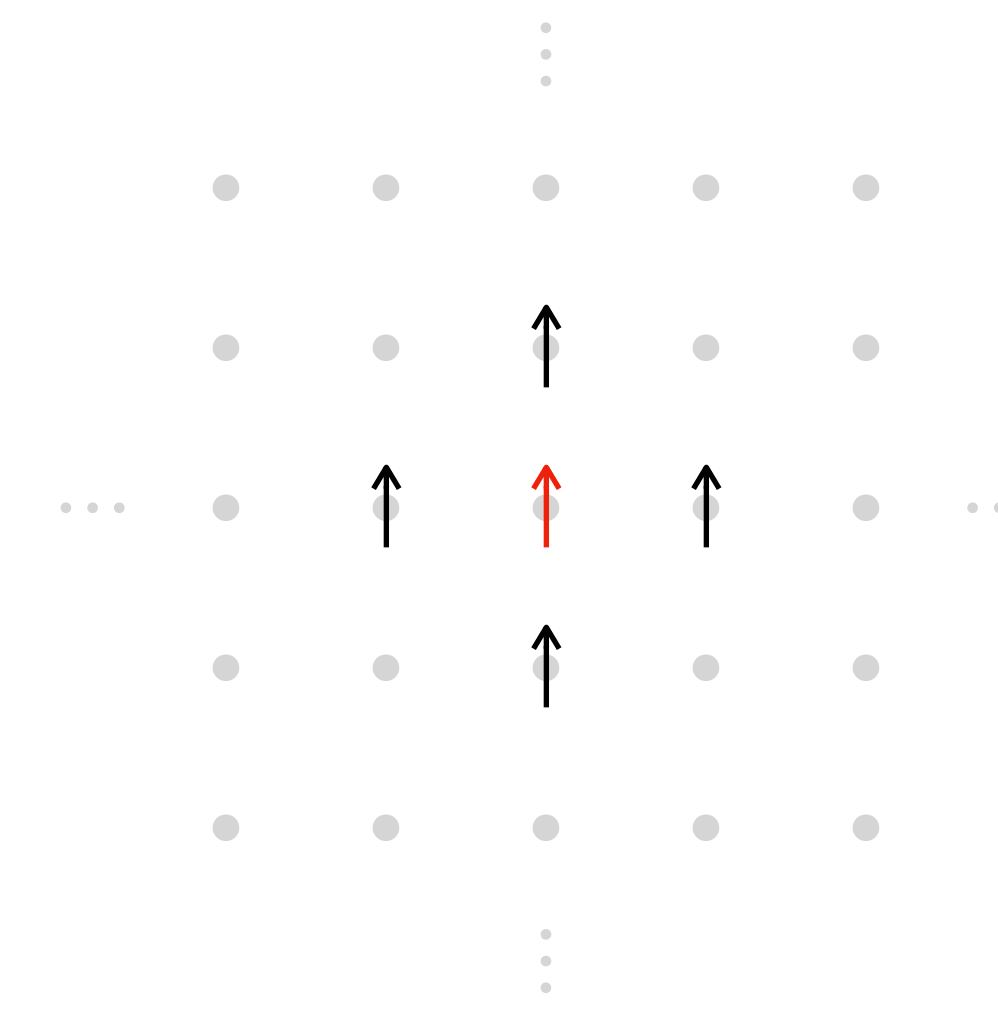
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- 2nd term – external magnetic field  $h$ :  
spins want to point in the same direction as the external magnetic field  
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# Ising Model

Physical system for intuition: magnets



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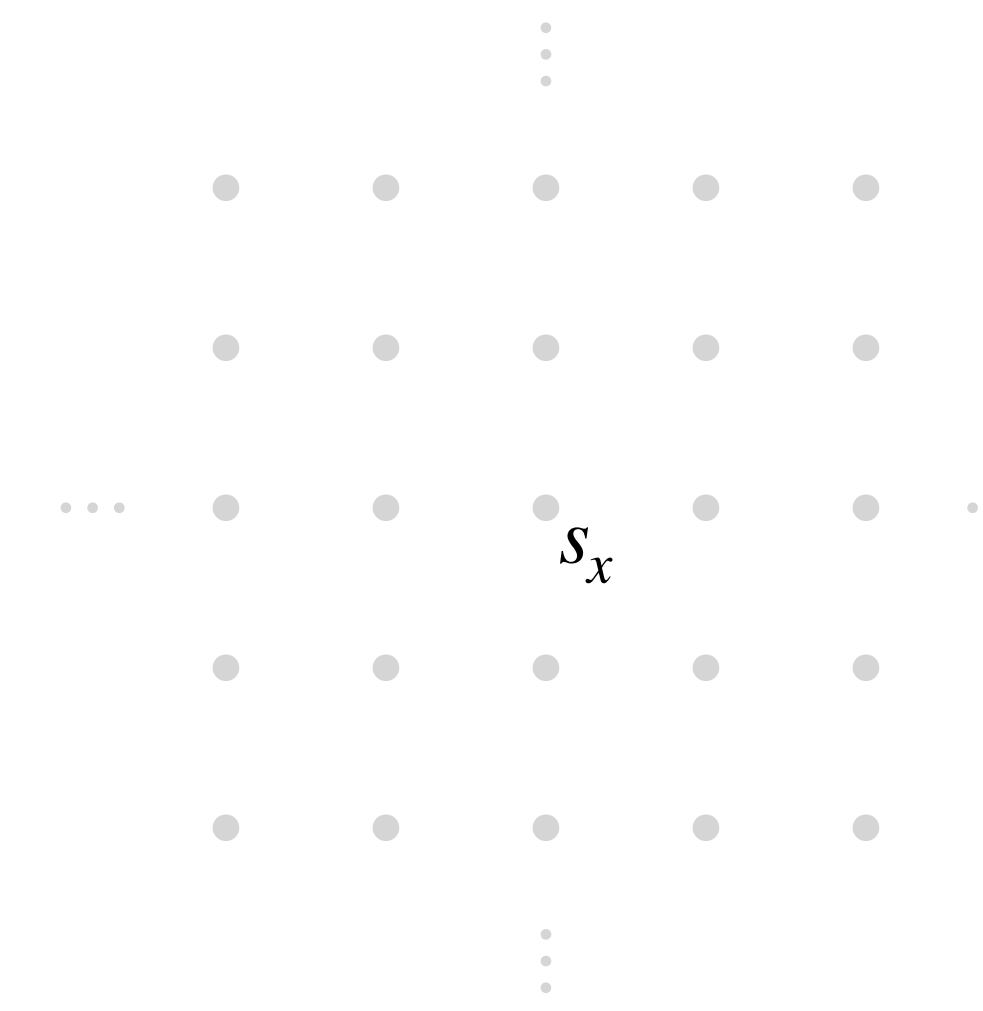
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- 2nd term – external magnetic field  $h$ :  
spins want to point in the same direction as the external magnetic field  
(energetically favorable to do so)
- 1st term – nearest-neighbor interactions only:  
it's energetically favorable for a spin to point along the same direction  
as its neighbor.  $J$  is the strength of this interaction.  
 $J > 0$  ferromagnetic;  $J < 0$  anti-ferromagnetic

# Ising Model

Physical system for intuition: magnets



Ising:

$$Z = \sum_{\substack{s_x = \pm 1 \\ x \in \Lambda}} e^{J \sum_{\langle xy \rangle} s_x s_y + h \sum_x s_x}$$

(temperature T has been absorbed into J and h)

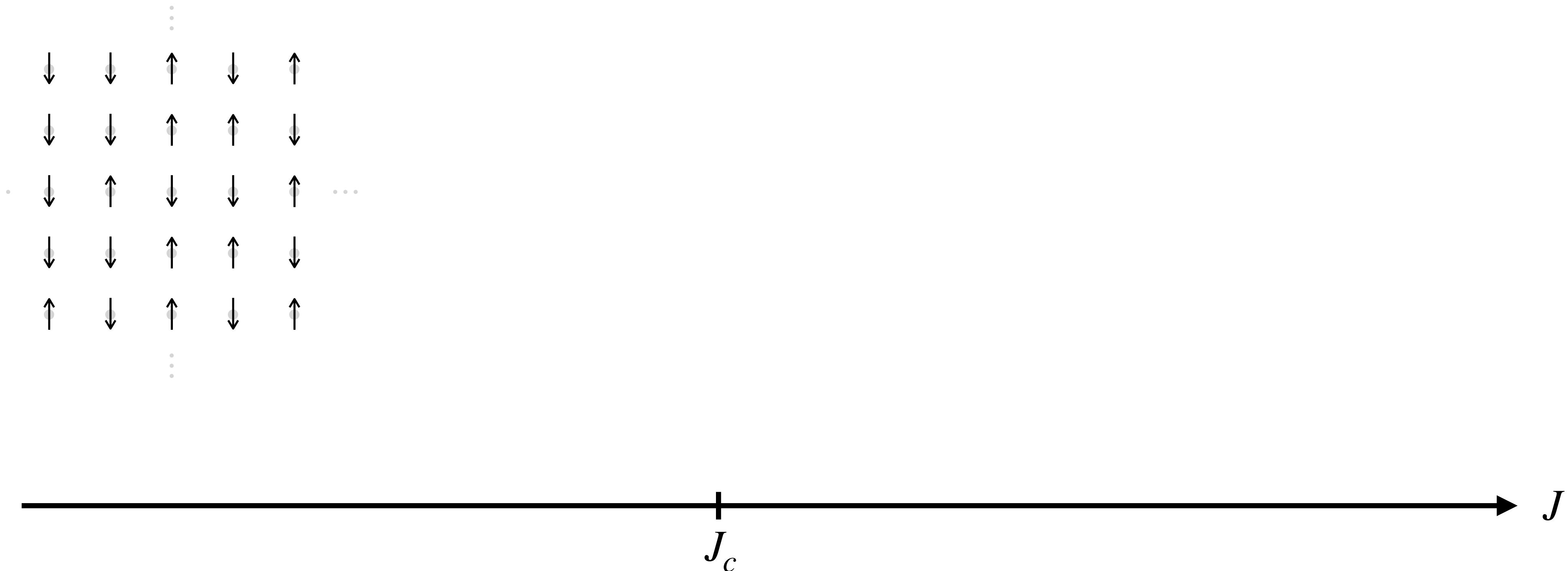
For a function  $f(\{s_x\})$  of the spins,

$$\langle f(\{s_x\}) \rangle = \frac{1}{Z} \sum_{\substack{s_x = \pm 1 \\ x \in \Lambda}} f(\{s_x\}) e^{J \sum_{\langle xy \rangle} s_x s_y + h \sum_x s_x},$$

denotes the average value of  $f$ .

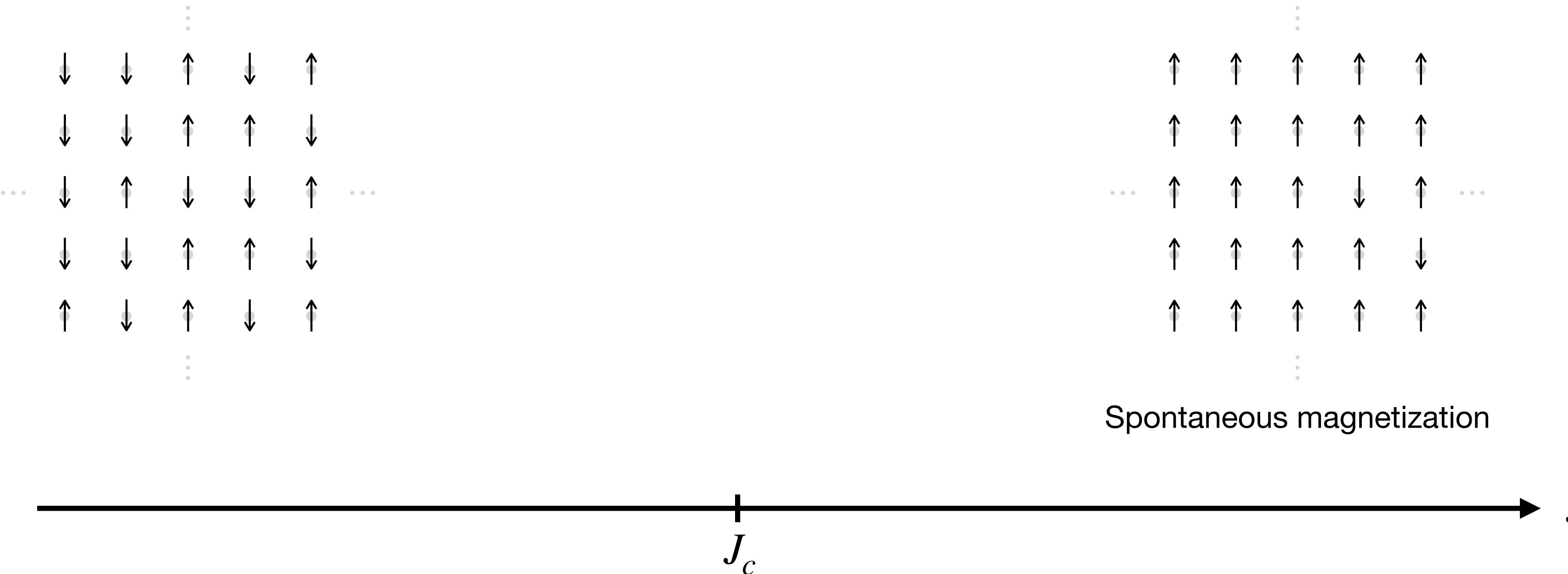
# Ising Model – phase transition

Ising at  $h = 0$



# Ising Model – phase transition

Ising at  $h = 0$



# Ising Model – phase transition

Ising at  $h = 0$



Diagnosis:

average magnetization  
per site  
(order parameter)

$$\langle s_0 \rangle_{h=0^+} = 0$$

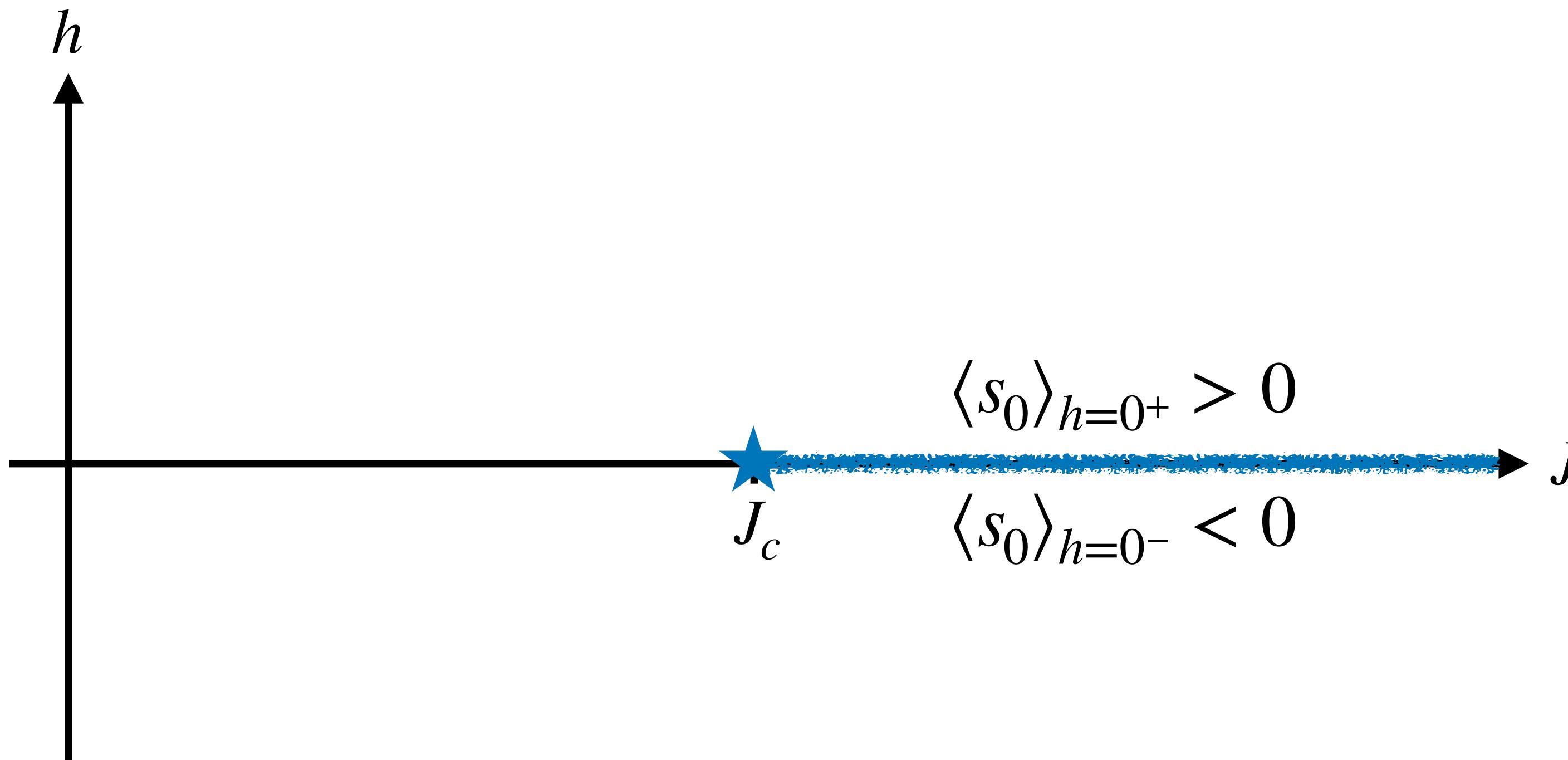
$$J_c$$

$$\langle s_0 \rangle_{h=0^+} \neq 0$$

$$J$$

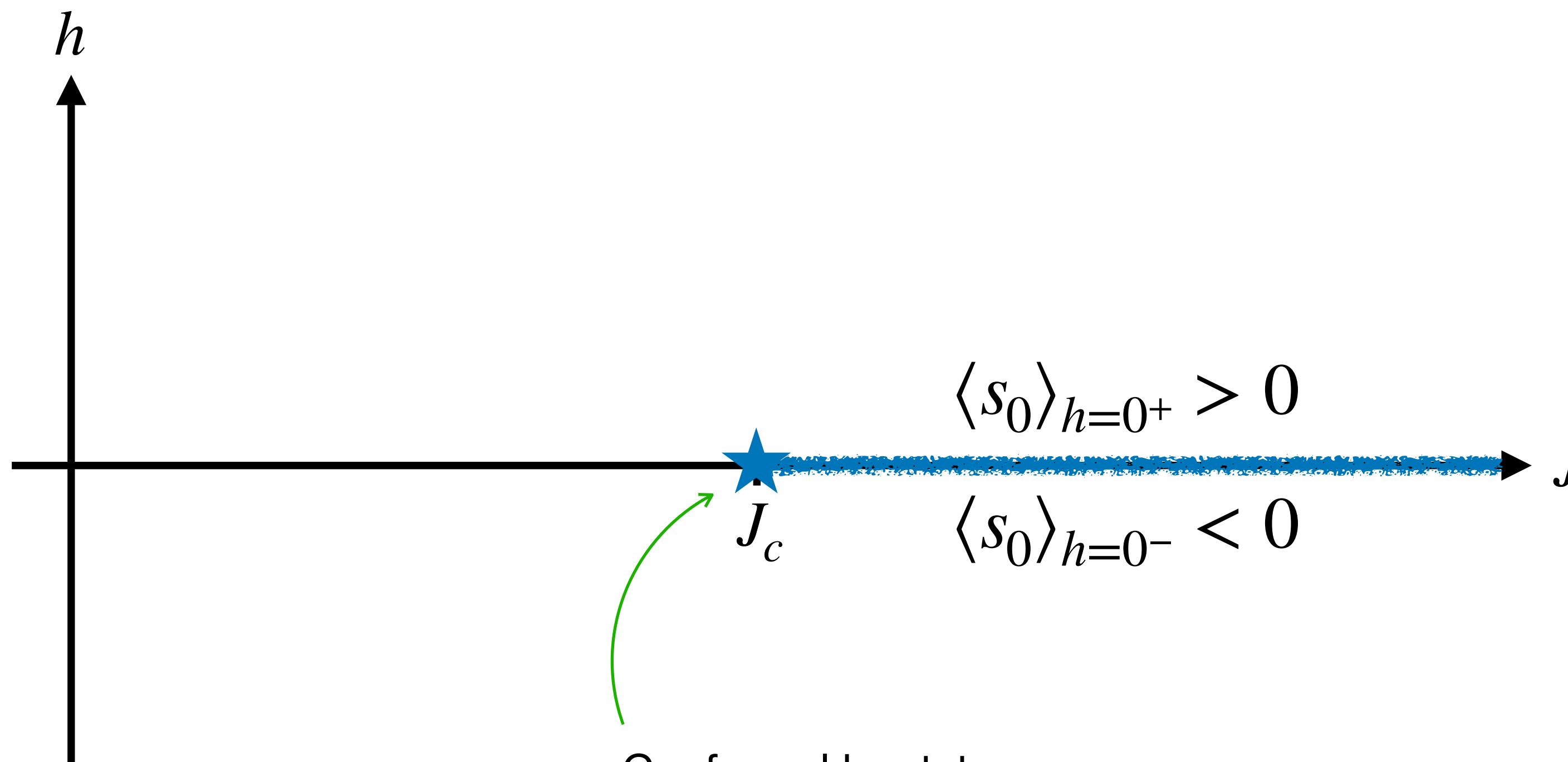
# Ising Model – phase diagram

2D Ising



# Ising Model – phase diagram

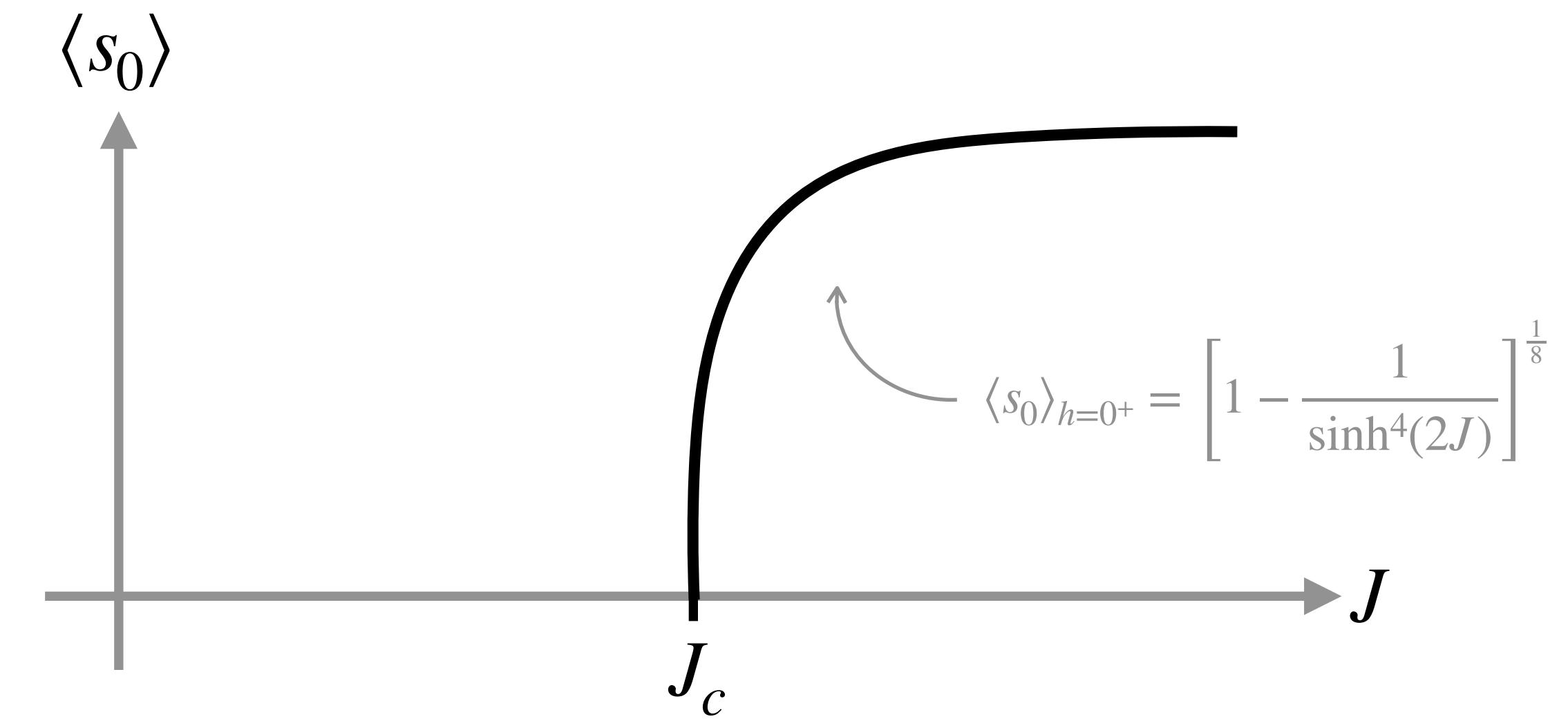
2D Ising



Conformal bootstrap  
See talks by [Poland] [van Rees]

# Ising Model – summary

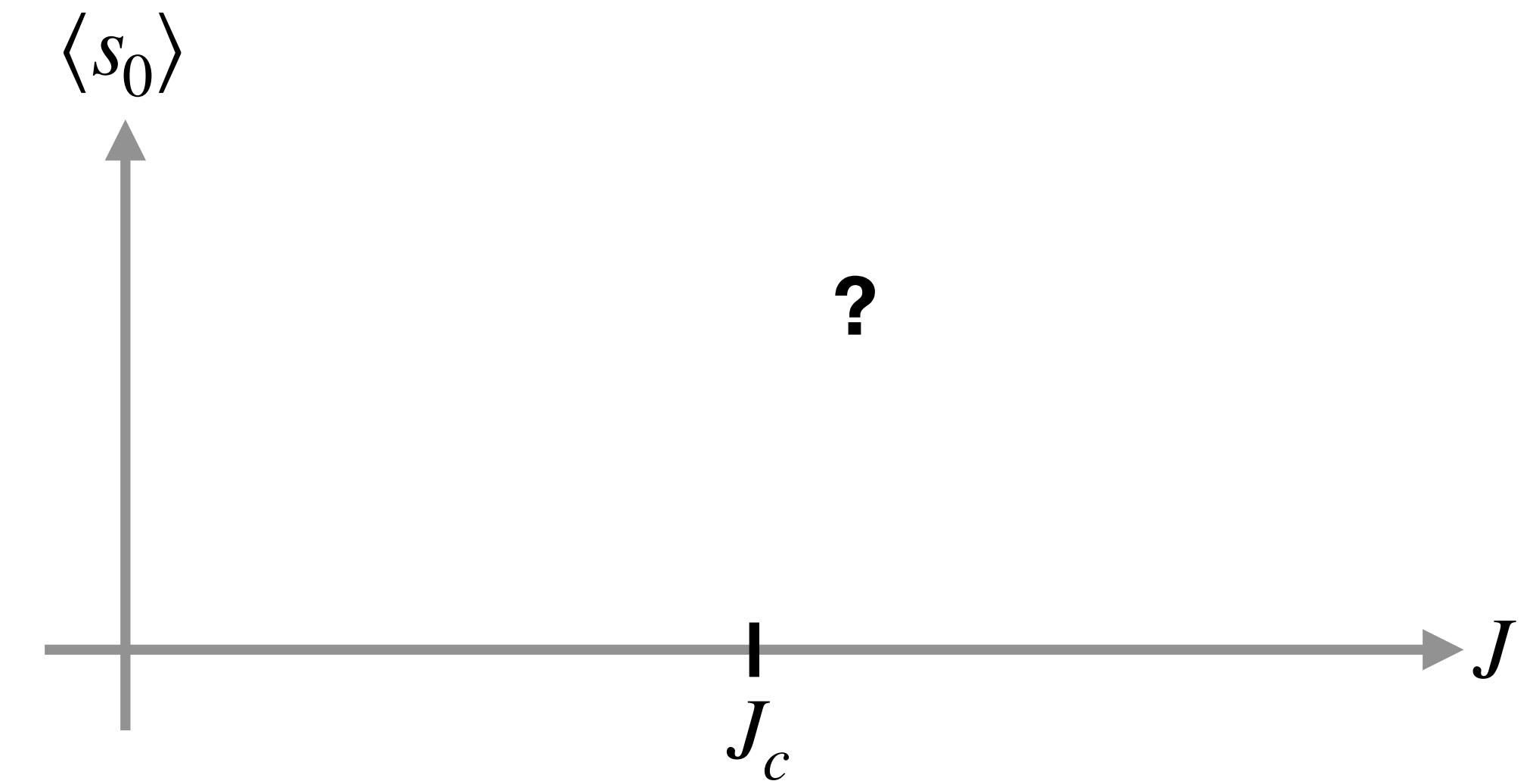
- 1D
  - Exactly soluble
  - No phase transition
- 2D
  - Exactly soluble for  $h = 0$  only
  - Exhibits a phase transition!
- 3D
  - No exact solution known today
  - Exhibits a phase transition as well



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Bootstrap:

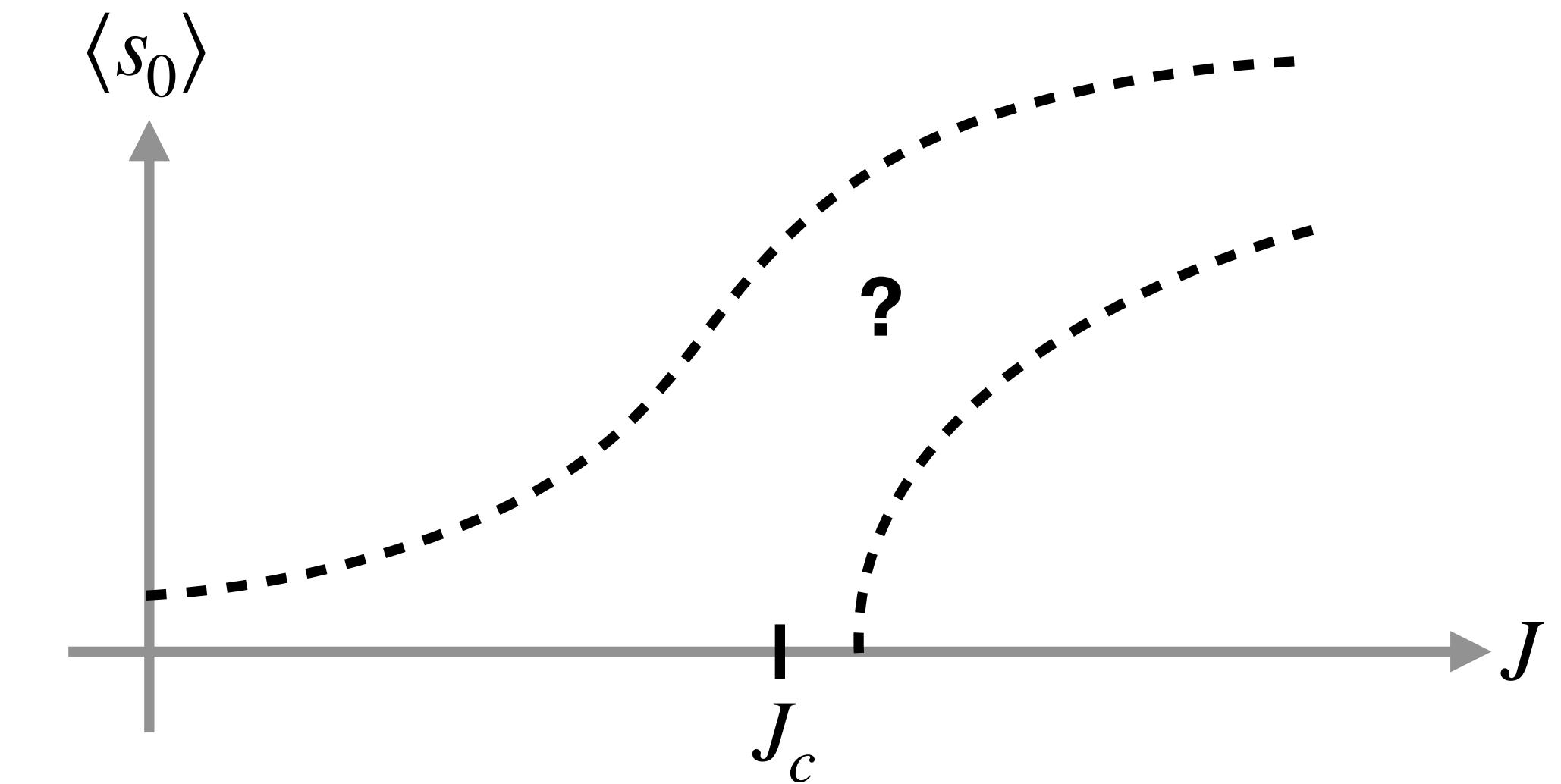


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Bootstrap:

“put a bound on our ignorance”

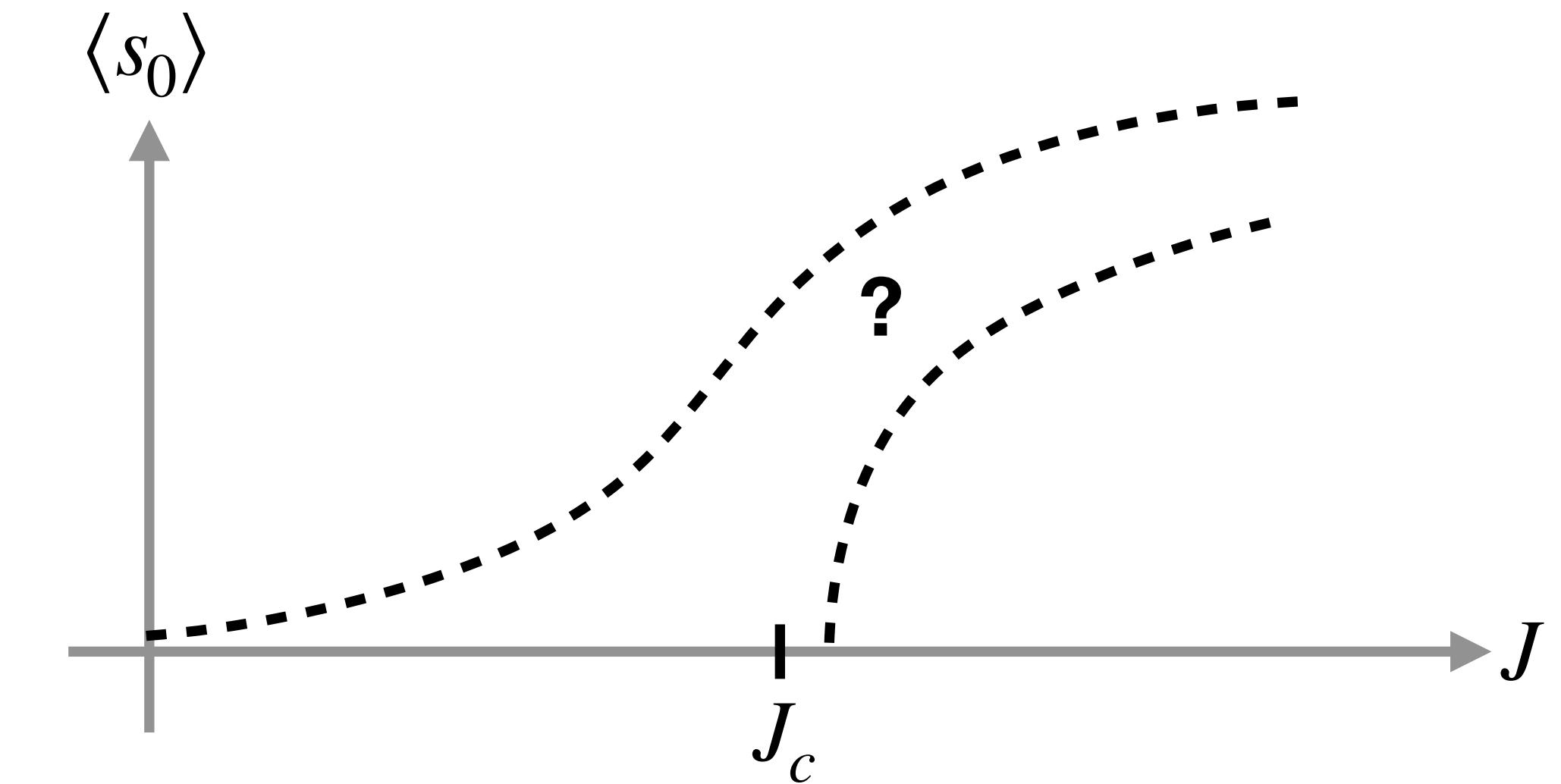


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# **Ising model lattice bootstrap**

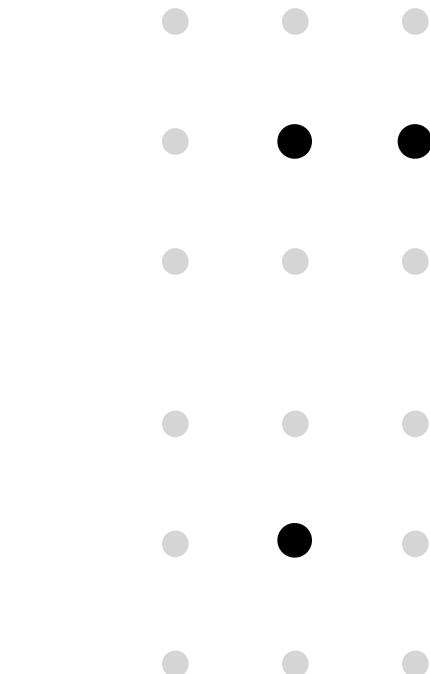
# Lattice Bootstrap – Ising Model

- **Objects** to be bootstrapped: spin correlation functions

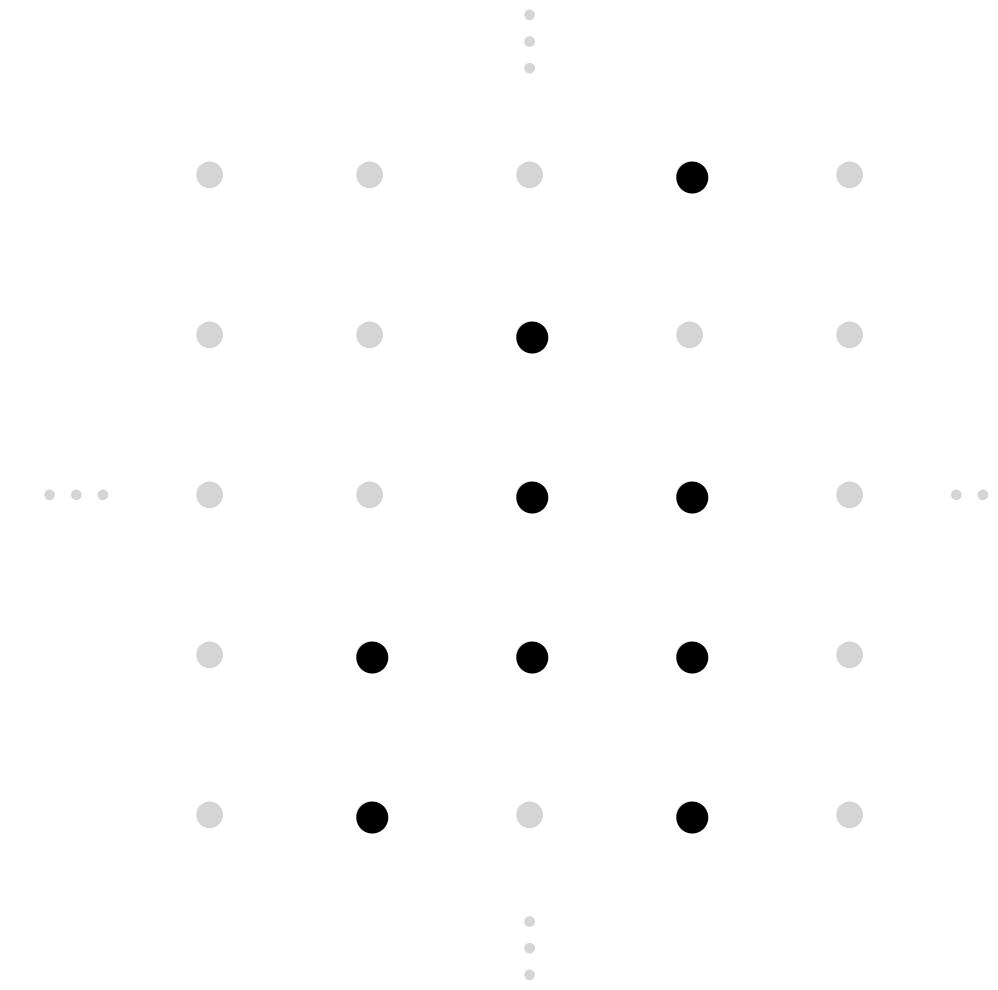
$$\langle \underline{s}_A \rangle = \frac{1}{Z} \sum_{s_x = \pm 1, x \in \Lambda} \underline{s}_A e^{J \sum_{\langle xy \rangle} s_x s_y + h \sum_x s_x}, \quad \underline{s}_A \equiv \prod_{x \in A} s_x,$$

Examples:

- $\langle s_0 s_{e_1} \rangle$



- $\langle s_0 s_{2e_1} \rangle$

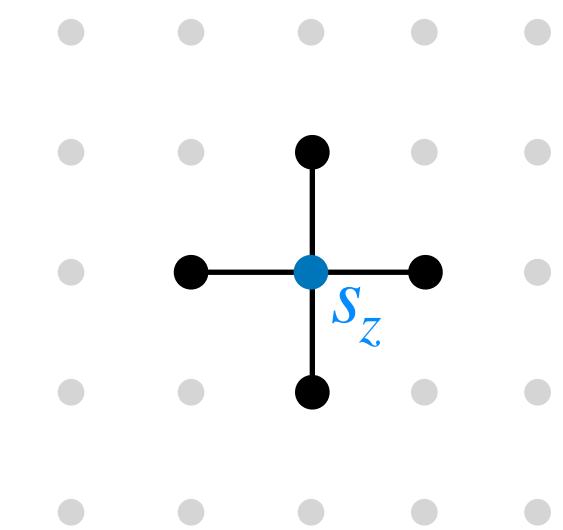


# Lattice Bootstrap – Ising Model

1. Relation: spin-flip equations (from a change of variable)

$$s_z \rightarrow -s_z$$

Sounds trivial, but

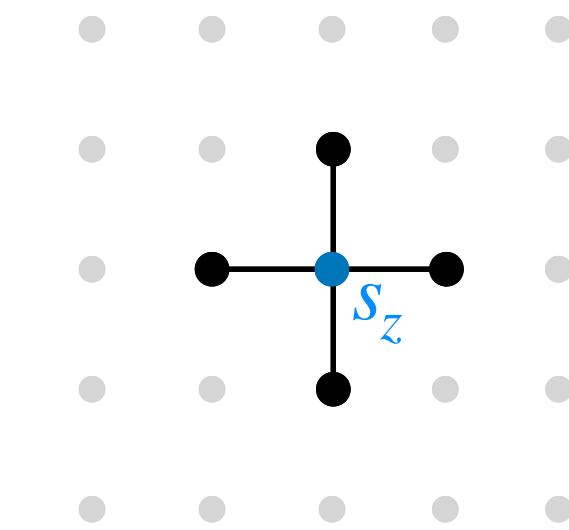


$$\exp\left[ - J s_z \sum_{\mu=1}^d (s_{z+e_\mu} + s_{z-e_\mu}) - h s_z \right]$$

# Lattice Bootstrap – Ising Model

1. Relation: spin-flip equations (from a change of variable)

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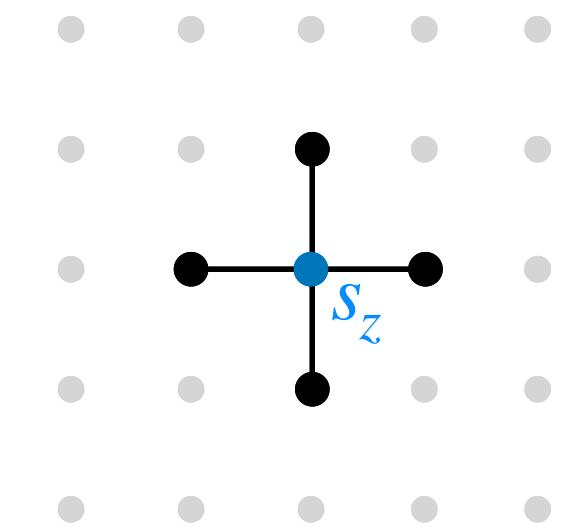
Sounds trivial, but

$$\exp\left[ -2J s_z \sum_{\mu=1}^d (s_{z+e_\mu} + s_{z-e_\mu}) - 2h s_z + J s_z \sum_{\mu=1}^d (s_{z+e_\mu} + s_{z-e_\mu}) + h s_z \right]$$

# Lattice Bootstrap – Ising Model

## 1. Relation: spin-flip equations (from a change of variable)

$$s_z \rightarrow -s_z$$



Sounds trivial, but

$$\langle \underline{s}_A \rangle = \zeta_A(z) \left\langle \exp \left[ -2J s_z \sum_{\mu=1}^d (s_{z+e_\mu} + s_{z-e_\mu}) - 2h s_z \right] \right\rangle$$

$\downarrow$

$$\zeta_A(z) = \begin{cases} -1, & \text{if } z \in A \\ 1, & \text{otherwise} \end{cases}$$

$:= w \in \{0, \pm 2, \dots, \pm d\}$     finitely many terms

# Lattice Bootstrap – Ising Model

1. Relation: spin-flip equations ( $s_z = s_0$  here)

$$0 = [-\zeta_A(0) + \cosh(2h)] \langle \underline{s}_A \rangle + \sum_{\ell=0}^{2d} [A_\ell \cosh(2h) + B_\ell \sinh(2h)] \langle \underline{s}_A w^\ell \rangle$$
$$-\sinh(2h) \langle \underline{s}_A s_0 \rangle - \sum_{\ell=0}^{2d} [A_\ell \sinh(2h) + B_\ell \cosh(2h)] \langle \underline{s}_A s_0 w^\ell \rangle$$

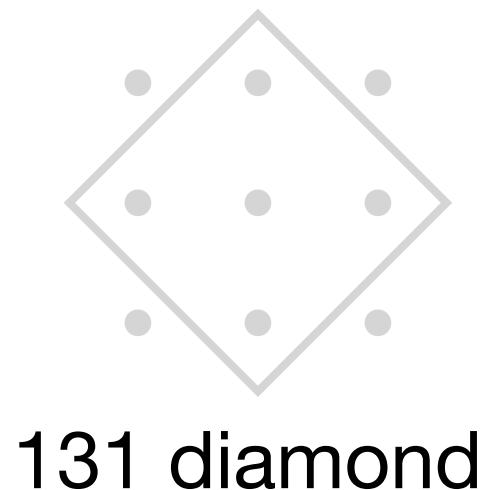
where  $A_l$  and  $B_l$  are some fixed coefficients ( $\sinh(J)$ 's and  $\cosh(J)$ 's)

- Linear equations
- Equations between variables in a small region

# Lattice Bootstrap – Ising Model

1. Relation: spin-flip equations, examples in 2D  $h=0$

Spin correlators in the “131” diamond:

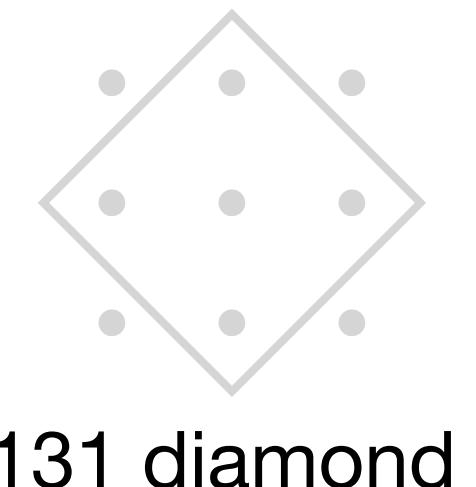
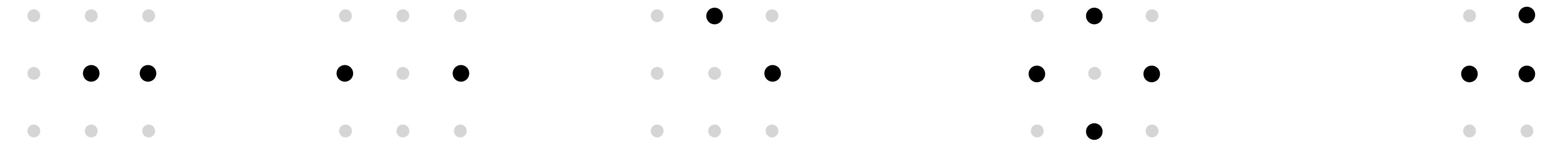


# Lattice Bootstrap – Ising Model

## 1. Relation: spin-flip equations, examples in 2D h=0

Spin correlators in the “131” diamond:

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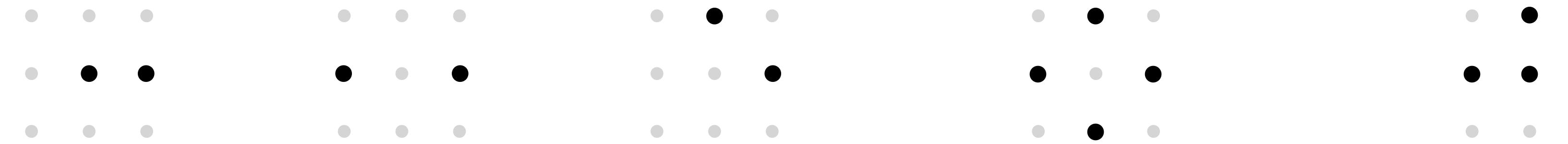
131 diamond

# Lattice Bootstrap – Ising Model

## 1. Relation: spin-flip equations, examples in 2D h=0

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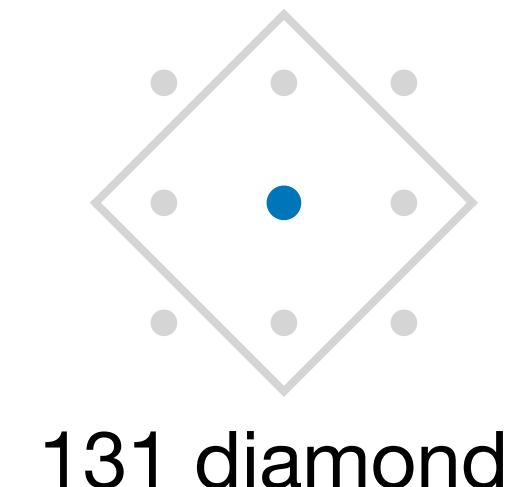
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Spin-flip equations relates spin correlators:

(total of 6 spin-flip eqs for 131, not all independent)

$$(A = \emptyset) \quad 0 = A_2 (4 + 4x_2 + 8x_3) + A_4 (40 + 64x_2 + 128x_3 + 24x_4) - 4B_1 x_1 - B_3 (40x_1 + 24x_5)$$



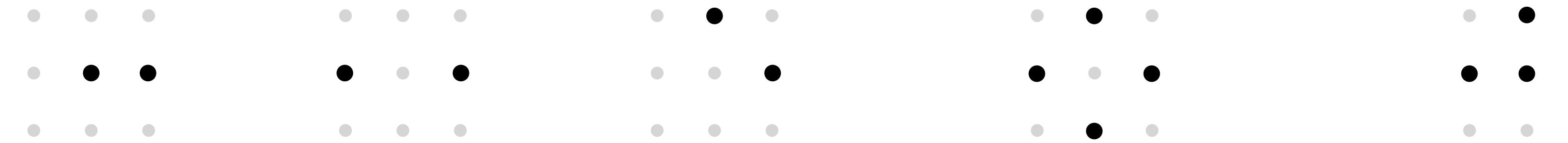
$$A_2 = \frac{-15 + 16 \cosh(4J) - \cosh(8J)}{48}$$
$$A_4 = \frac{3 - 4 \cosh(4J) + \cosh(8J)}{192}$$
$$B_1 = \frac{8 \sinh(4J) - \sinh(8J)}{12}$$
$$B_3 = \frac{-2 \sinh(4J) + \sinh(8J)}{48}$$

# Lattice Bootstrap – Ising Model

## 1. Relation: spin-flip equations, examples in 2D h=0

Spin correlators in the “131” diamond:

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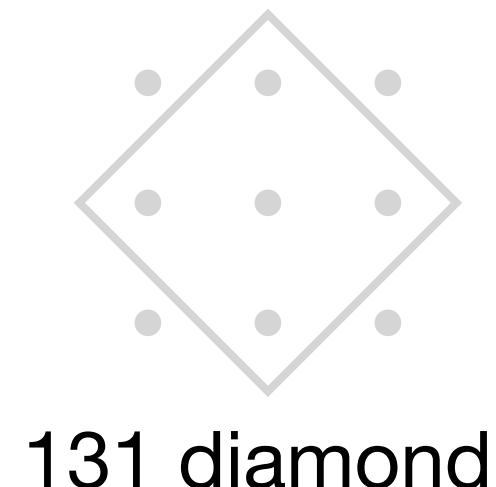
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Correlators  $x_4$  and  $x_5$  are not independent:

$$x_4 = \frac{-8(\cosh(2J) + \cosh(6J))x_1 + \sinh(2J)(-1 + 2x_2 + 4x_3) + \sinh(6J)(3 + 2x_2 + 4x_3)}{4 \sinh^3(2J)}$$

$$x_5 = \frac{-(1 + 3 \cosh(4J))x_1 + \sinh(4J)(1 + x_2 + 2x_3)}{2 \sinh^2(2J)}$$



$$A_2 = \frac{-15 + 16 \cosh(4J) - \cosh(8J)}{48}$$

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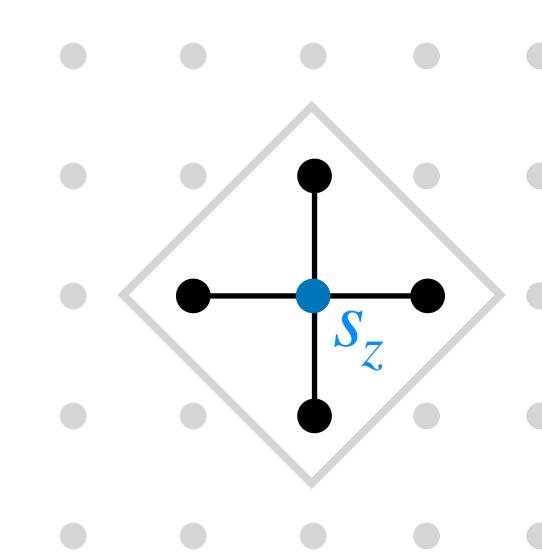
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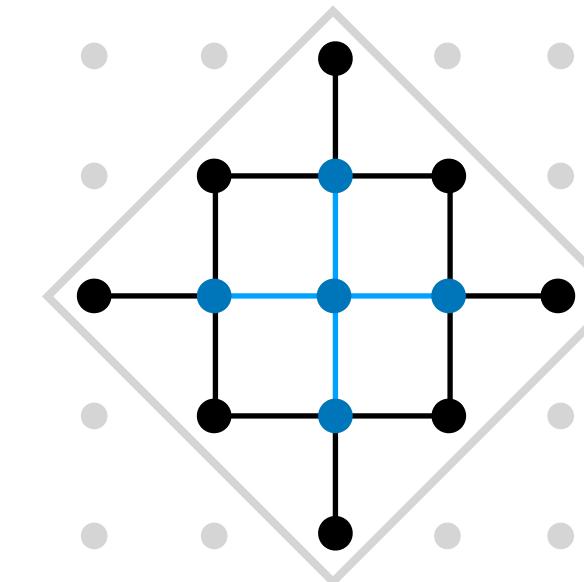
# Lattice Bootstrap – Ising Model

## 1. Relation: spin-flip equations

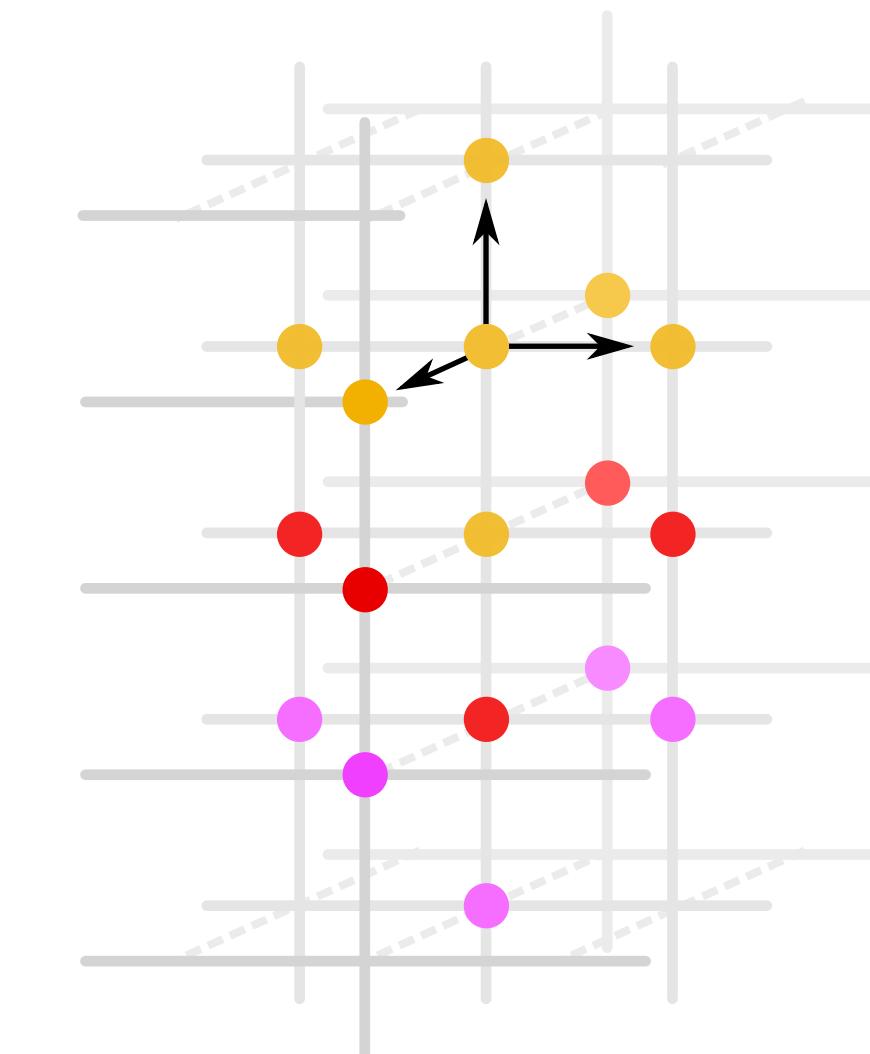
- Linear equations
- Equations between variables in a small subregion



131 diamond



13531 diamond



15551 domain

# Lattice Bootstrap – Ising Model

## 1. Relation: spin-flip equations

	prime subsets	ind. spin-flip equations	ind. spin correlators
2D 131, $h=0$	6	2	3
2D 13531, $h=0$	569	549	19
2D 13531, $h \neq 0$	1127	1097	29
3D 15551, $h=0$	5214	4584	629

- Solve numerically
- Not the bottleneck of the computation

# Lattice Bootstrap – Ising Model

## 2. Positivity: several kinds

- Reflection positivity
- Square positivity (appears to be redundant)
- Griffiths inequalities

# Lattice Bootstrap – Ising Model

## 2. Reflection Positivity

$$\langle \mathcal{O}^R \mathcal{O} \rangle \geq 0, \quad \text{where} \quad \mathcal{O} = \sum_{A \subset H} t^A \underline{S}_A, \quad \mathcal{O}^R = \sum_{A \subset H} t^A \underline{S}_{\mathbf{R}(A)}$$

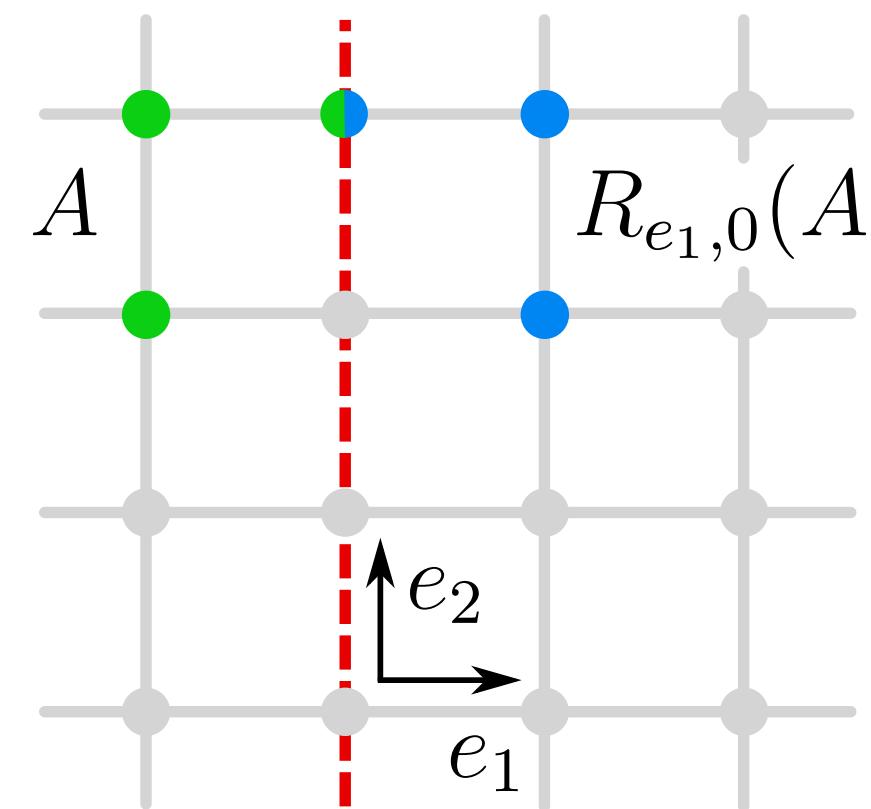
The three inequivalent reflection planes:  $R_{v,c}(x) = x - \frac{2(v \cdot x - c)}{v^2} v$   $H = \{x \in \Lambda : v \cdot x \geq c\}$

# Lattice Bootstrap – Ising Model

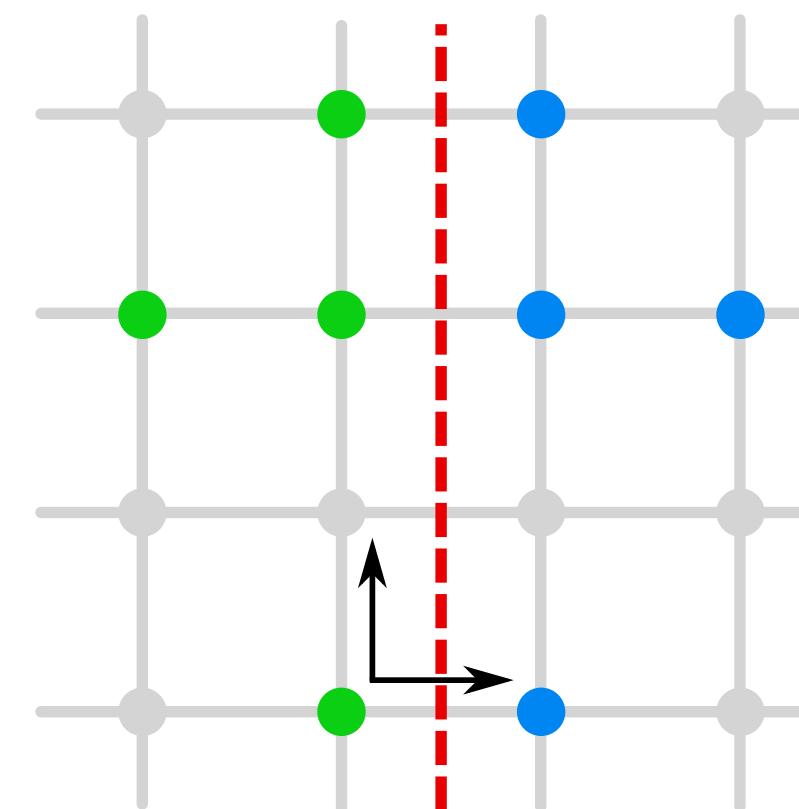
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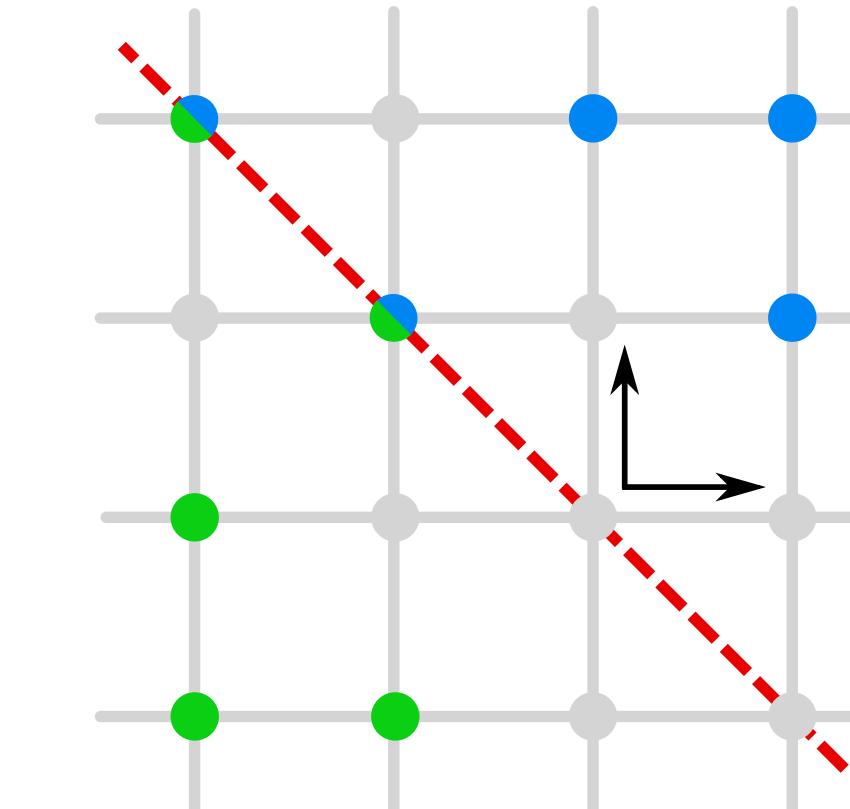
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$R_{e_1,0}$



$(J \geq 0)$



# Lattice Bootstrap – Ising Model

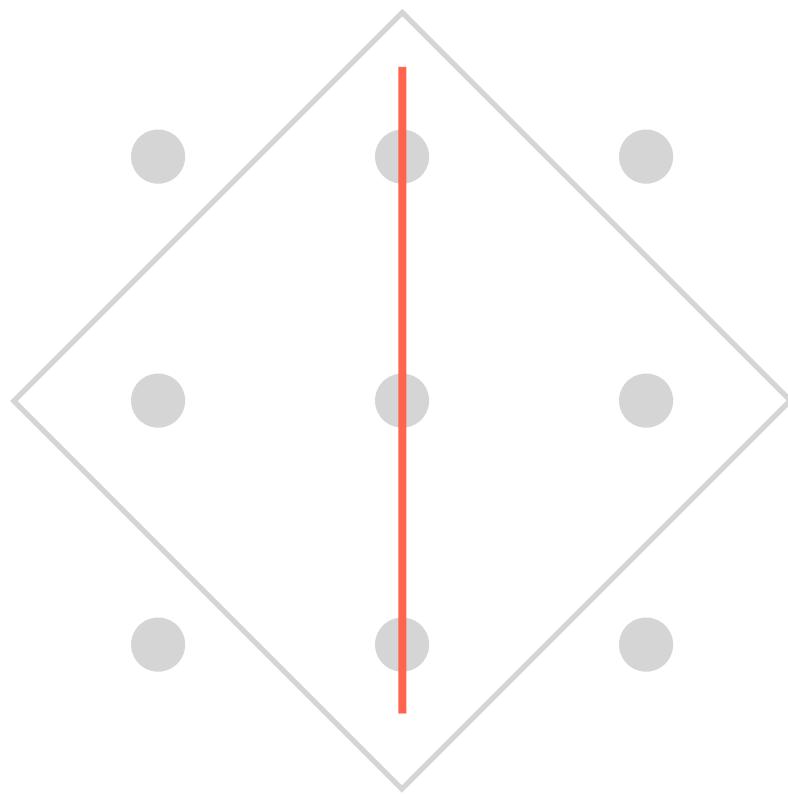
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Equivalently,  $\vec{t}^T M \vec{t} \geq 0$  with  $M_{AA'} := \langle s_{R(A)} s_{A'} \rangle \iff M \succeq 0$

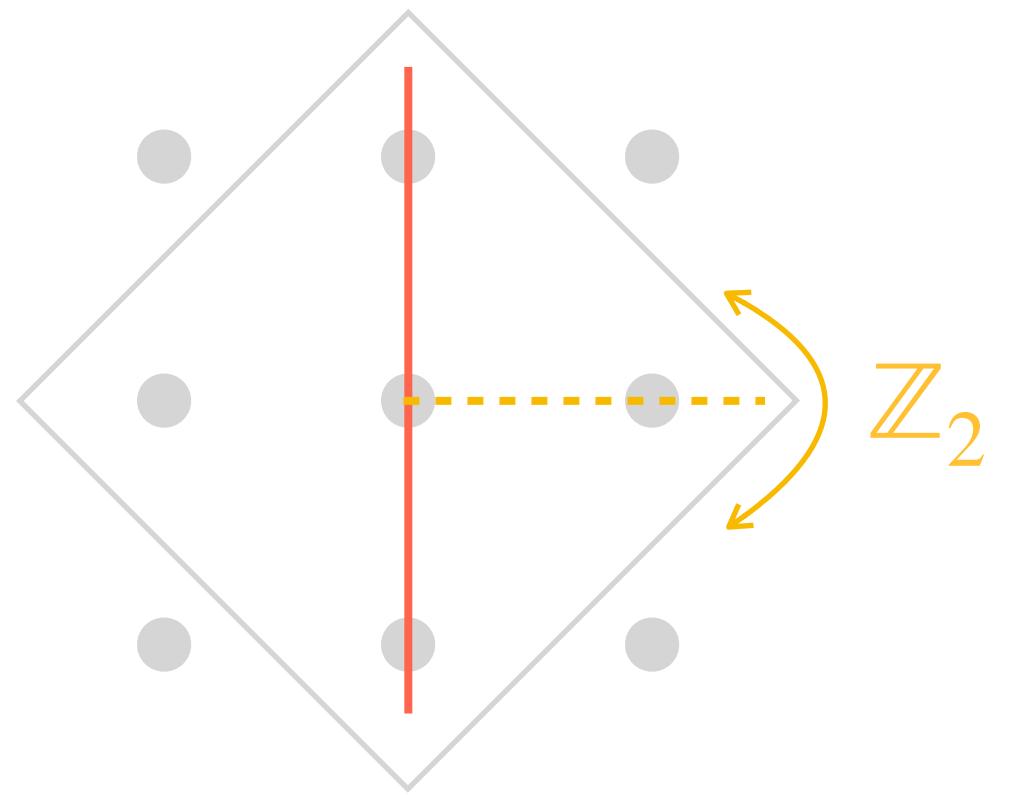
# Lattice Bootstrap – Ising Model

2. Reflection **Positivity**, example 131 diamond



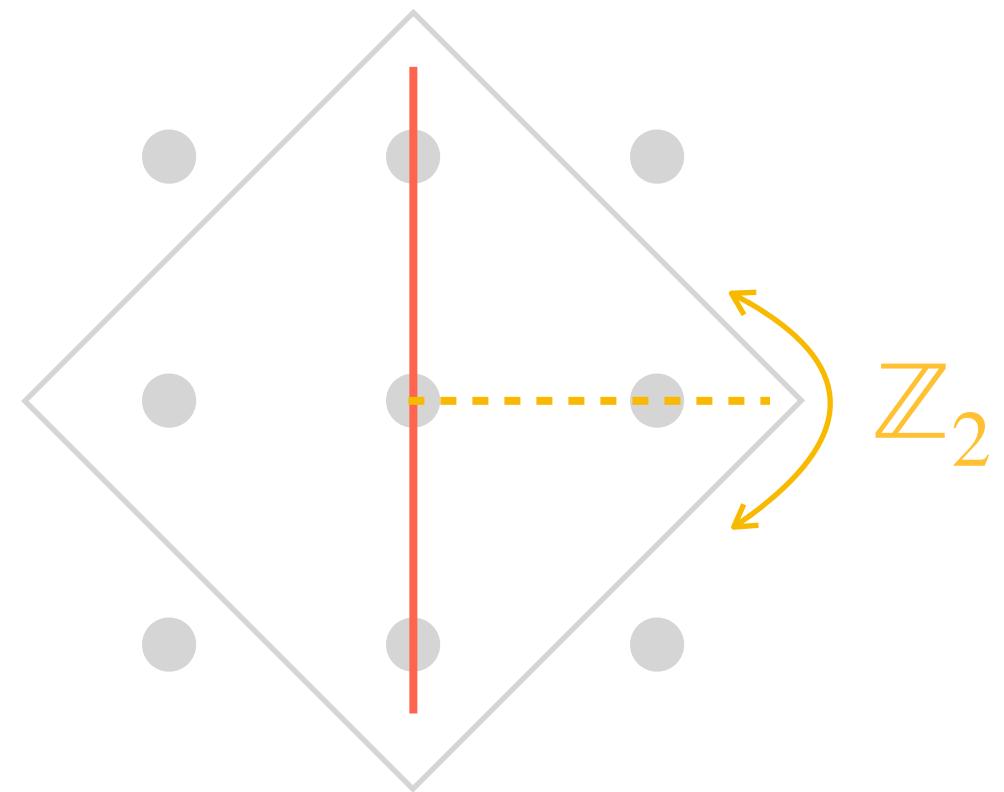
# Lattice Bootstrap – Ising Model

2. Reflection **Positivity**, example 131 diamond



# Lattice Bootstrap – Ising Model

## 2. Reflection **Positivity**, example 131 diamond



$$\begin{aligned} \text{even : } & 1, s_0 s_{e_1}, s_{e_2} s_{-e_2}, \frac{s_0 s_{e_2} + s_0 s_{-e_2}}{2}, \frac{s_{e_1} s_{e_2} + s_{e_1} s_{-e_2}}{2}, s_0 s_{e_1} s_{e_2} s_{-e_2}; \\ \text{odd : } & \frac{s_0 s_{e_2} - s_0 s_{-e_2}}{2}, \frac{s_{e_1} s_{e_2} - s_{e_1} s_{-e_2}}{2}. \end{aligned}$$

Invariant SDP: sufficient to impose positive semidefiniteness of matrices built from states that transform in each irrep of the symmetry group.

# Lattice Bootstrap – Ising Model

## 2. Reflection Positivity, example

$$\begin{array}{c}
 \begin{array}{ccccccc}
 \text{Diagram 1} & \text{Diagram 2} & \text{Diagram 3} & \text{Diagram 4} & \text{Diagram 5} & \text{Diagram 6} & \text{Diagram 7} \\
 \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\
 \end{array}
 \end{array}
 \quad
 \left( \begin{array}{cccccc}
 1 & x_1 & x_2 & x_1 & x_3 & x_5 \\
 x_1 & x_2 & x_5 & x_3 & x_5 & x_4 \\
 x_2 & x_5 & 1 & x_1 & x_3 & x_1 \\
 x_1 & x_3 & x_1 & \frac{1+x_2}{2} & \frac{x_1+x_5}{2} & x_3 \\
 x_3 & x_5 & x_3 & \frac{x_1+x_5}{2} & \frac{x_2+x_4}{2} & x_5 \\
 x_5 & x_4 & x_1 & x_3 & x_5 & x_2 \\
 \end{array} \right) \geq 0$$

$s_{R(A)}$

$$x_1 = \langle s_0 s_{e_1} \rangle, \quad x_2 = \langle s_{e_1} s_{-e_1} \rangle, \quad x_3 = \langle s_{e_1} s_{e_2} \rangle, \quad x_4 = \langle s_{e_1} s_{-e_1} s_{e_2} s_{-e_2} \rangle, \quad x_5 = \langle s_0 s_{e_1} s_{-e_1} s_{e_2} \rangle$$

# Lattice Bootstrap – Ising Model

## 2. Reflection Positivity, example

$$\left( \begin{array}{cccccc} 1 & x_1 & x_2 & x_1 & x_3 & x_5 \\ x_1 & x_2 & x_5 & x_3 & x_5 & x_4 \\ x_2 & x_5 & 1 & x_1 & x_3 & x_1 \\ x_1 & x_3 & x_1 & \frac{1+x_2}{2} & \frac{x_1+x_5}{2} & x_3 \\ x_3 & x_5 & x_3 & \frac{x_1+x_5}{2} & \frac{x_2+x_4}{2} & x_5 \\ x_5 & x_4 & x_1 & x_3 & x_5 & x_2 \end{array} \right) \succ 0$$

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# Lattice Bootstrap – Ising Model

2. Griffith's inequalities (**Positivity**)

[Glimm-Jaffe]

$$\langle \underline{s}_A \rangle \geq 0 \quad (G_1)$$

$$\langle \underline{s}_A \underline{s}_B \rangle - \langle \underline{s}_A \rangle \langle \underline{s}_B \rangle \geq 0 \quad (G_2)$$

for finite subsets  $A, B \subset \Lambda$ .

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for finite subsets  $A, B \subset \Lambda$ .

- True for ferromagnetic coupling  $J \geq 0$ .
- $G_2$  implies  $\langle \underline{s}_A \rangle$  are monotonic as functions of  $J$  or  $h$ .
- $G_2$  are non-linear inequalities. Many of them are non-convex.  
Thus far, we have not been able to implement them in SDP in a useful way. More on this later.

# Lattice Bootstrap – Ising Model

SDP problem:

- Reflection **positivity** matrices, one for each irrep of symmetry group:

$$X^{(k)} = \sum_{A \in \mathcal{D}} Y_A^{(k)} \langle \underline{s}_A \rangle \succeq 0, \forall k$$

(e.g.  $k=\{\text{even}, \text{odd}\}$  in previous slide)

- Plug-in numerical solution of **spin-flip equations**  $\langle \underline{s}_A \rangle = \sum_I a_A^I \langle \underline{s}_I \rangle + c_A$ , where  $\langle \underline{s}_I \rangle$  are the independent variables, and so

$$X^{(k)} = \sum_I W_I^{(k)} \langle \underline{s}_I \rangle + V^{(k)} \succeq 0, \forall k$$

where  $W_I^{(k)} = \sum_A a_A^I Y_A^{(k)}$ ,  $V^{(k)} = \sum_A c_A Y_A^{(k)}$

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$$\min_{y_I \in \mathbb{R}} \sum_I b^I y_I$$

subject to  $\sum_I a_A^I y_I + c_A \geq 0, \forall A \quad (G_1)$

and  $\sum_I W_I^{(k)} y_I + V^{(k)} \succeq 0, \forall k \quad (RP)$

Solve using MOSEK or SDPA-QD.

Did not impose  $G_2$

# Lattice Bootstrap – Ising Model

Some numbers:

2D 13531 diamond  $h \neq 0$

- 29 independent variables
- 8 positive semidefinite matrices  
 $(288^2, 224^2, 12^2, 4^2, 144^2, 112^2, 20^2, 12^2)$

3D 15551 domain  $h=0$

- 629 independent variables
- 17 positive semidefinite matrices  
Largest matrix:  $2400 \times 2400$
- Too big for SDP solver. Had to truncate matrices to  $100 \times 100$

# Lattice Bootstrap – Ising Model

Some numbers:

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## 3D 15551 domain $h=0$

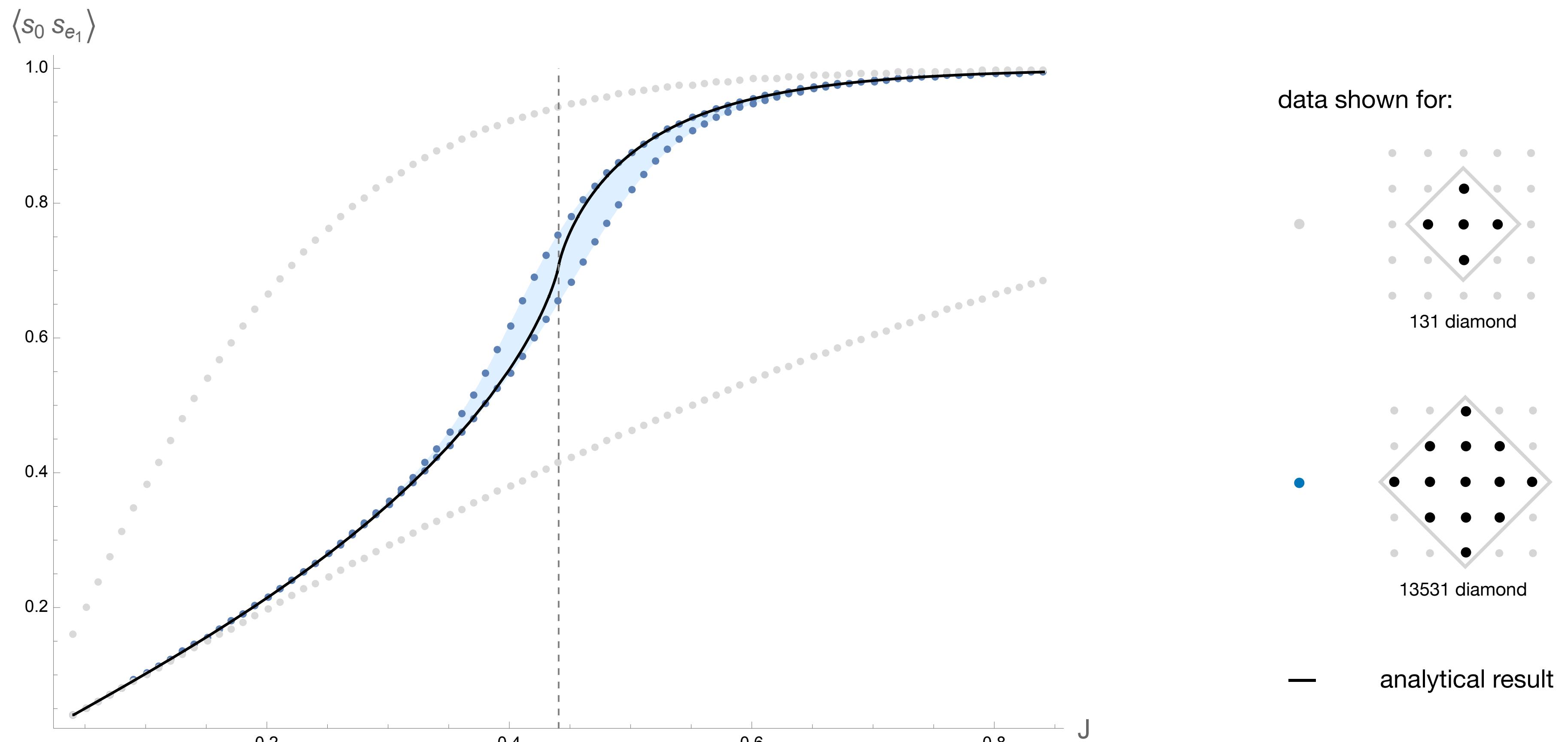
- 629 independent variables
- 17 positive semidefinite matrices  
Largest matrix:  $2400 \times 2400$
- Too big for SDP solver. Had to truncate matrices to  $100 \times 100$

Large scale separation in positive-semidefinite matrices  $\sim 10^{10}$

- Effectively lose 10 digits of accuracy
- SDPA-QD for most precise results, and MOSEK for when  $\leq 6$  digits is enough (which is a much faster SDP solver).

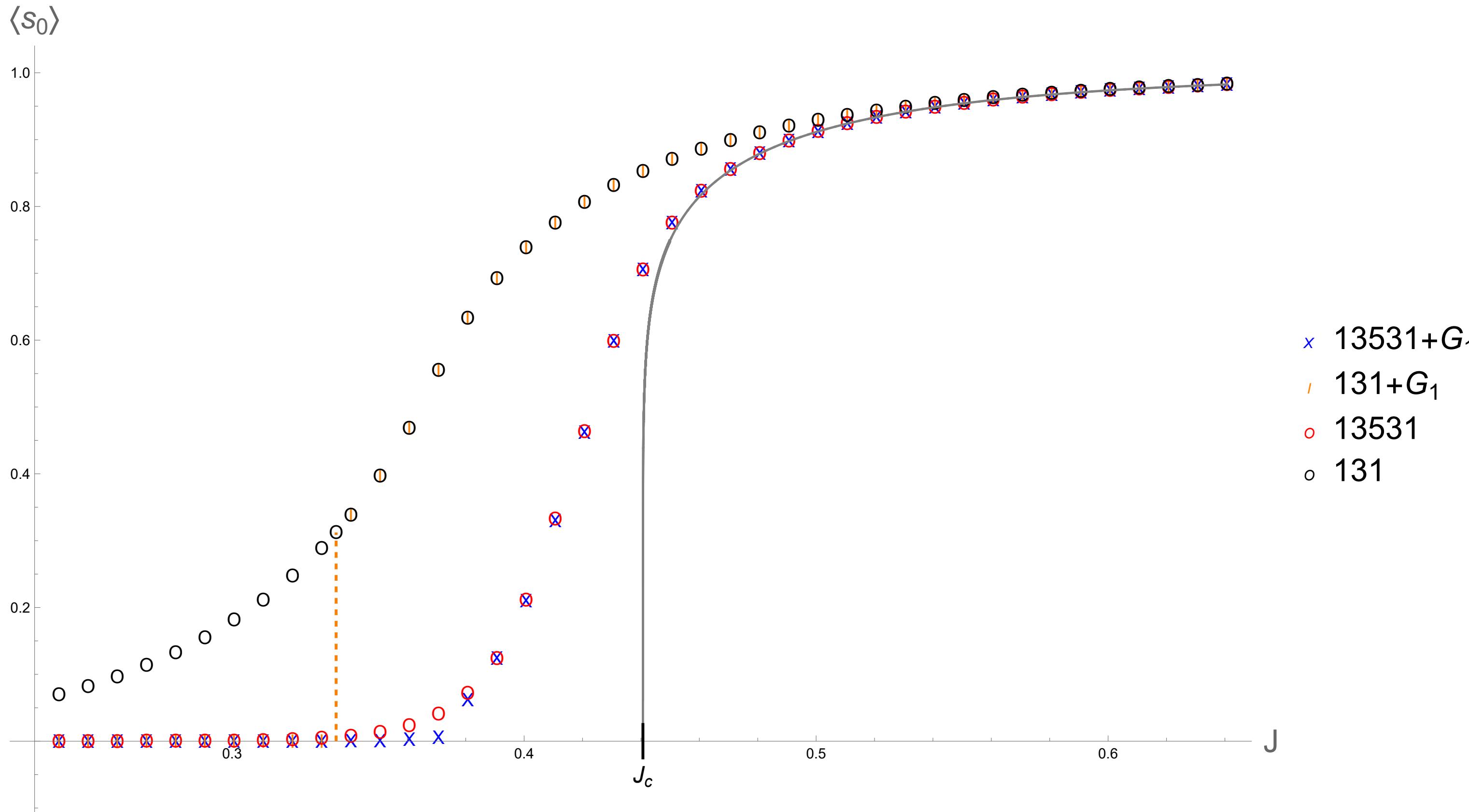
# Results

# 2D Ising, $h=0$



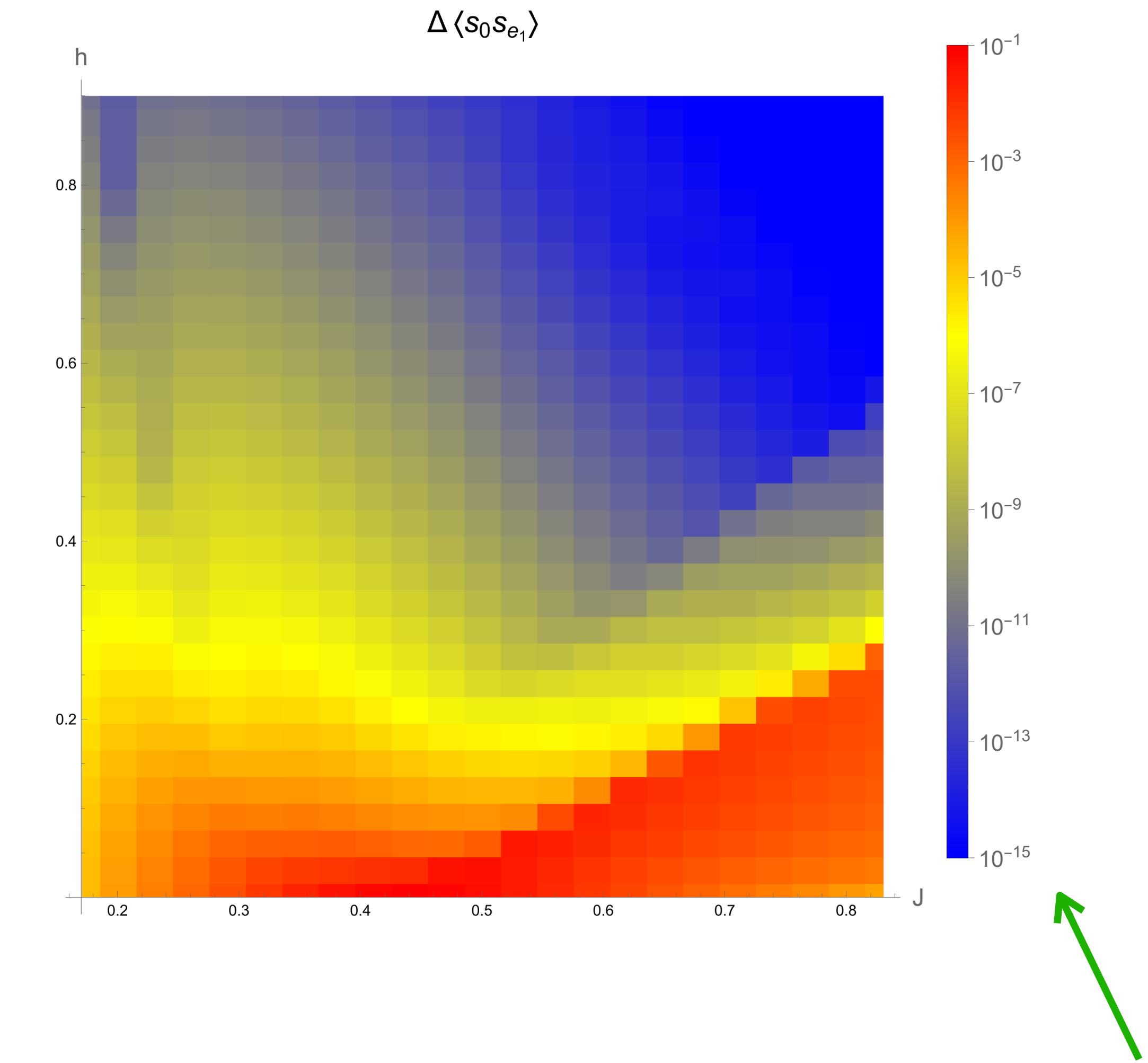
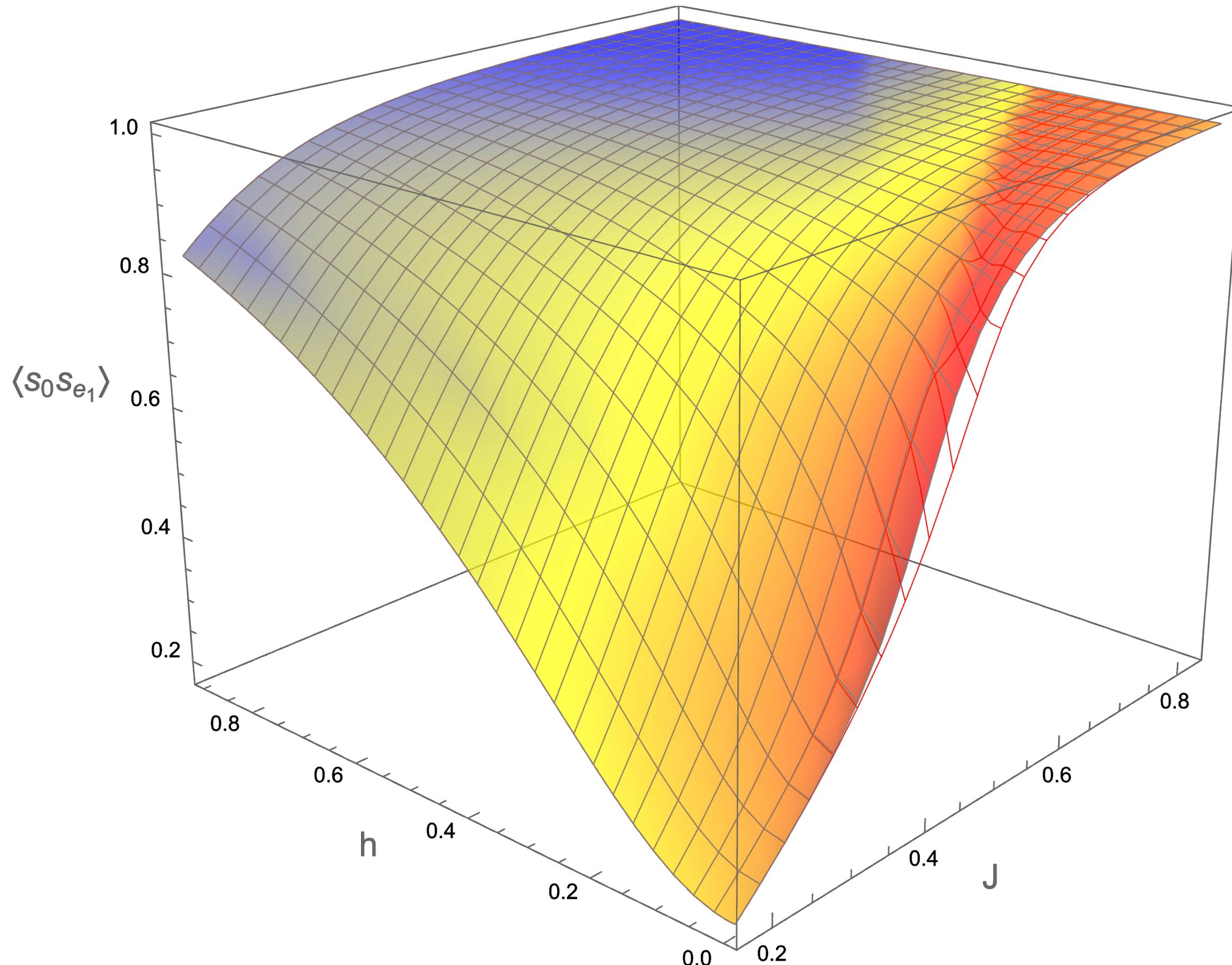
- Dramatic improvement by increasing size of diamond!

# 2D Ising, spontaneous magnetization



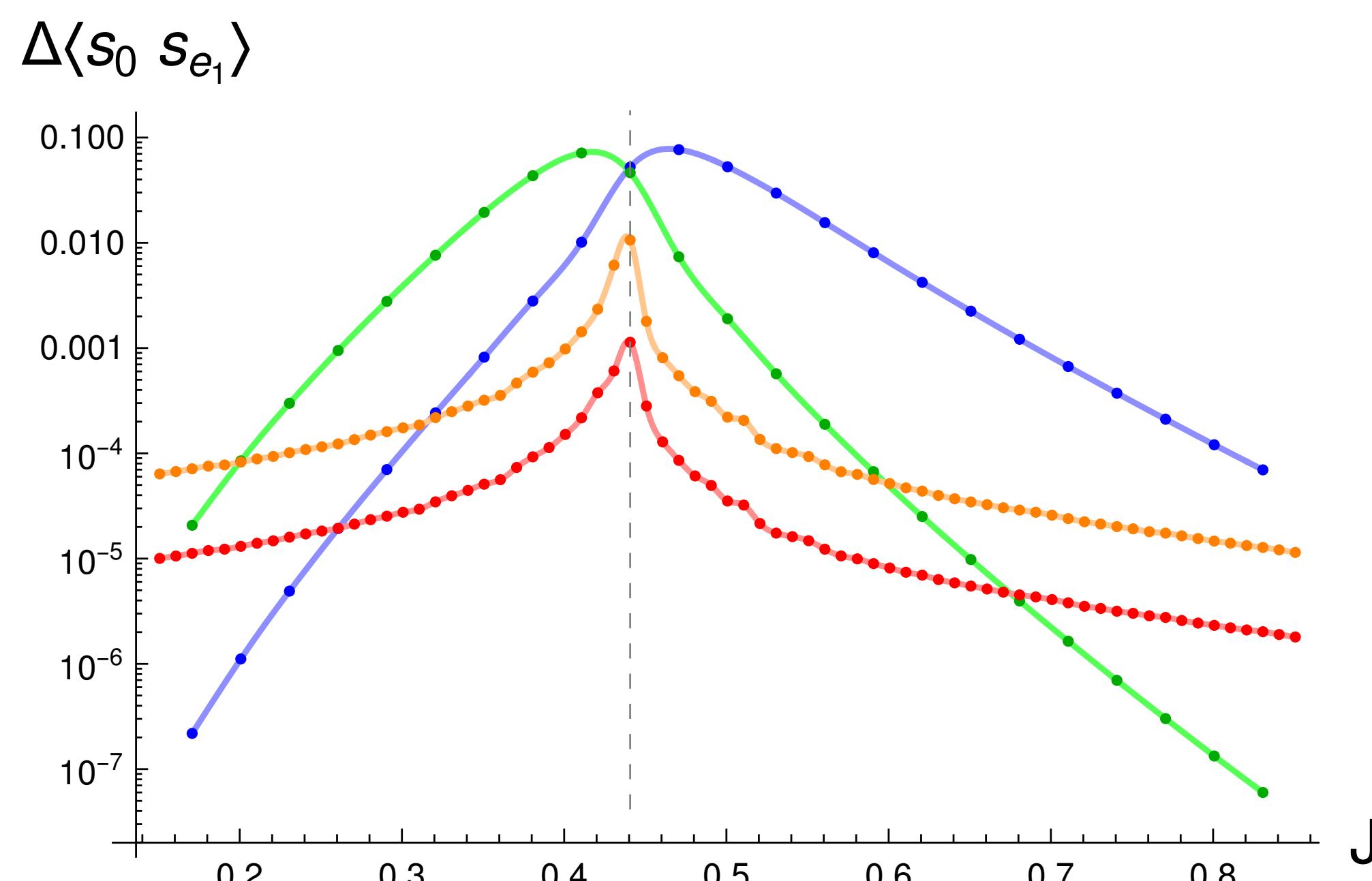
- Only upper bound
- 1st Griffiths inequality plays a role, but appears to be not essential

# 2D Ising, $h \neq 0$

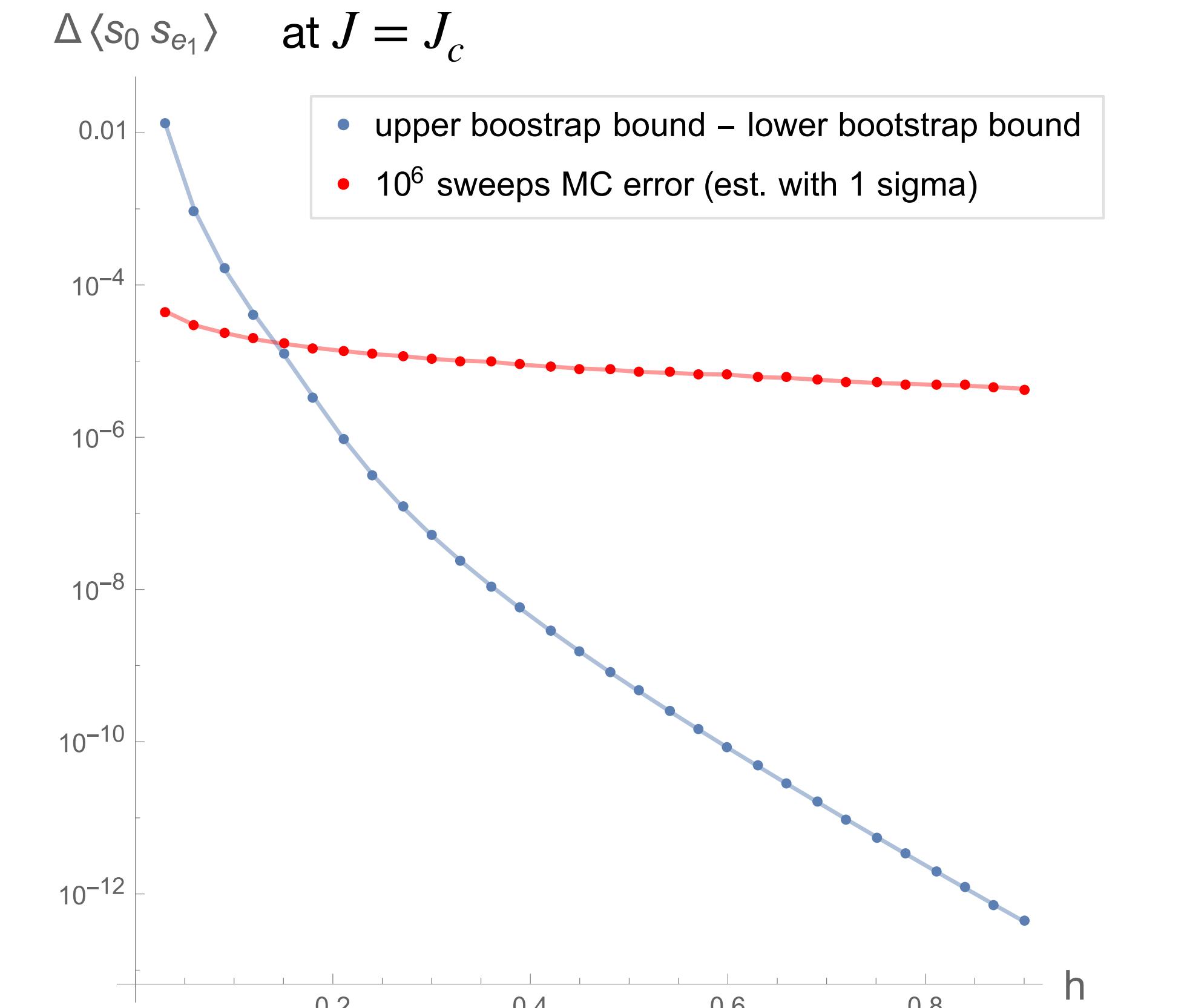


- 13531 diamond bootstrap

# 2D Ising



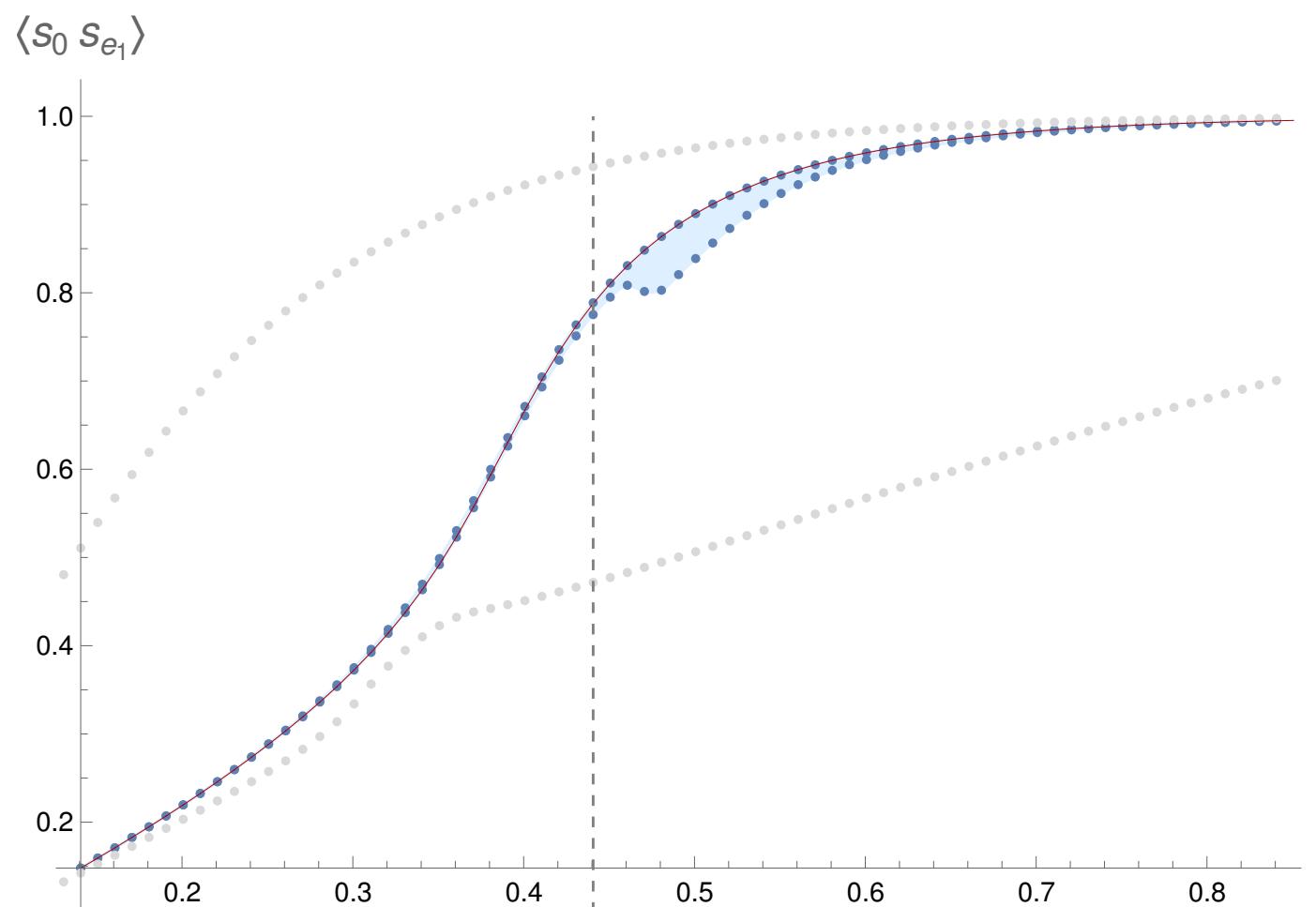
- exact – lower bound
- upper bound – exact
- $10^4$  sweeps MC error (est. with 1 sigma)
- $10^6$  sweeps MC error (est. with 1 sigma)



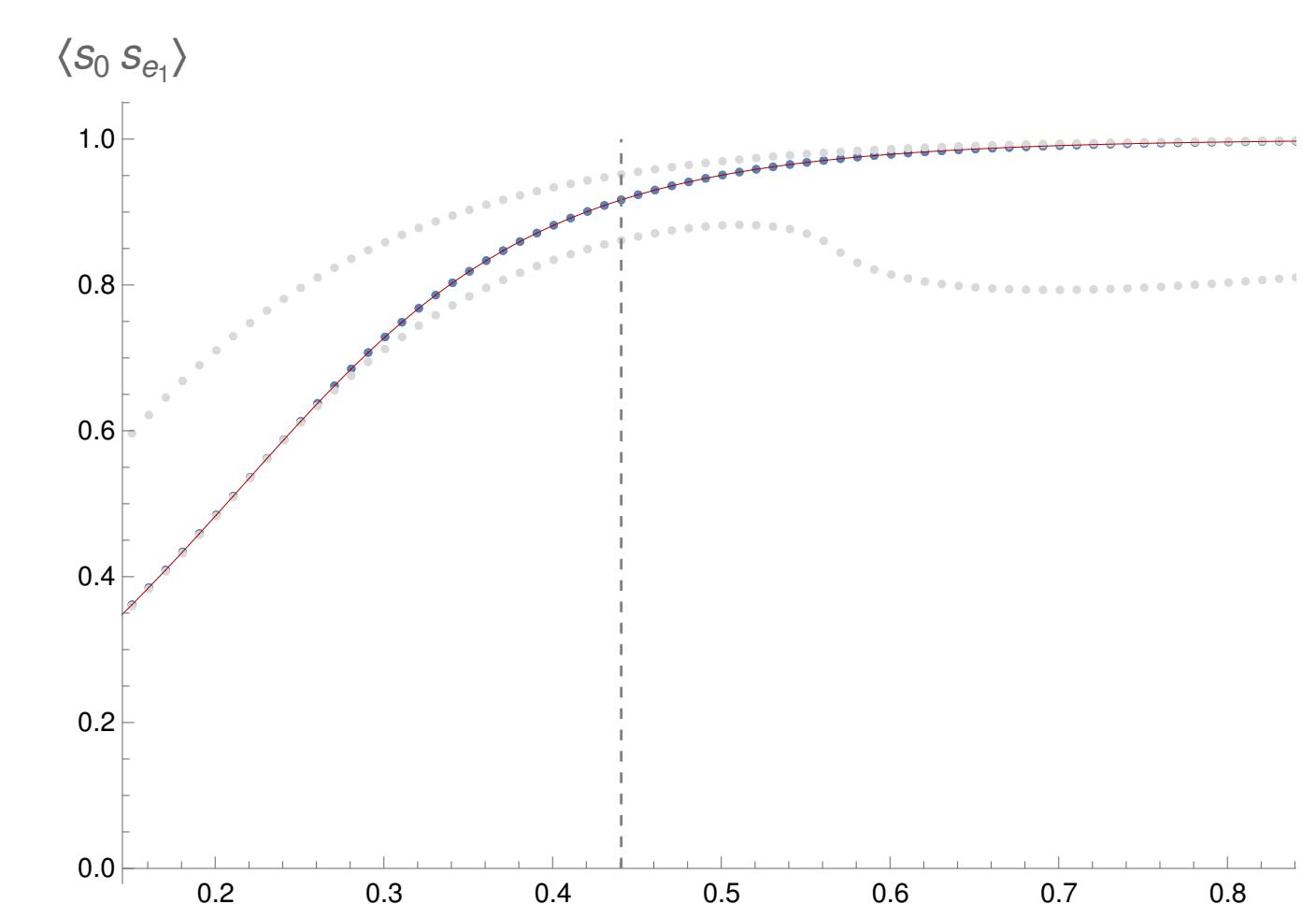
- 13531 diamond bootstrap
- MC on a  $200 \times 200$  lattice,  $10^6$  Metropolis sweeps

# 2D Ising, $h \neq 0$ fixed

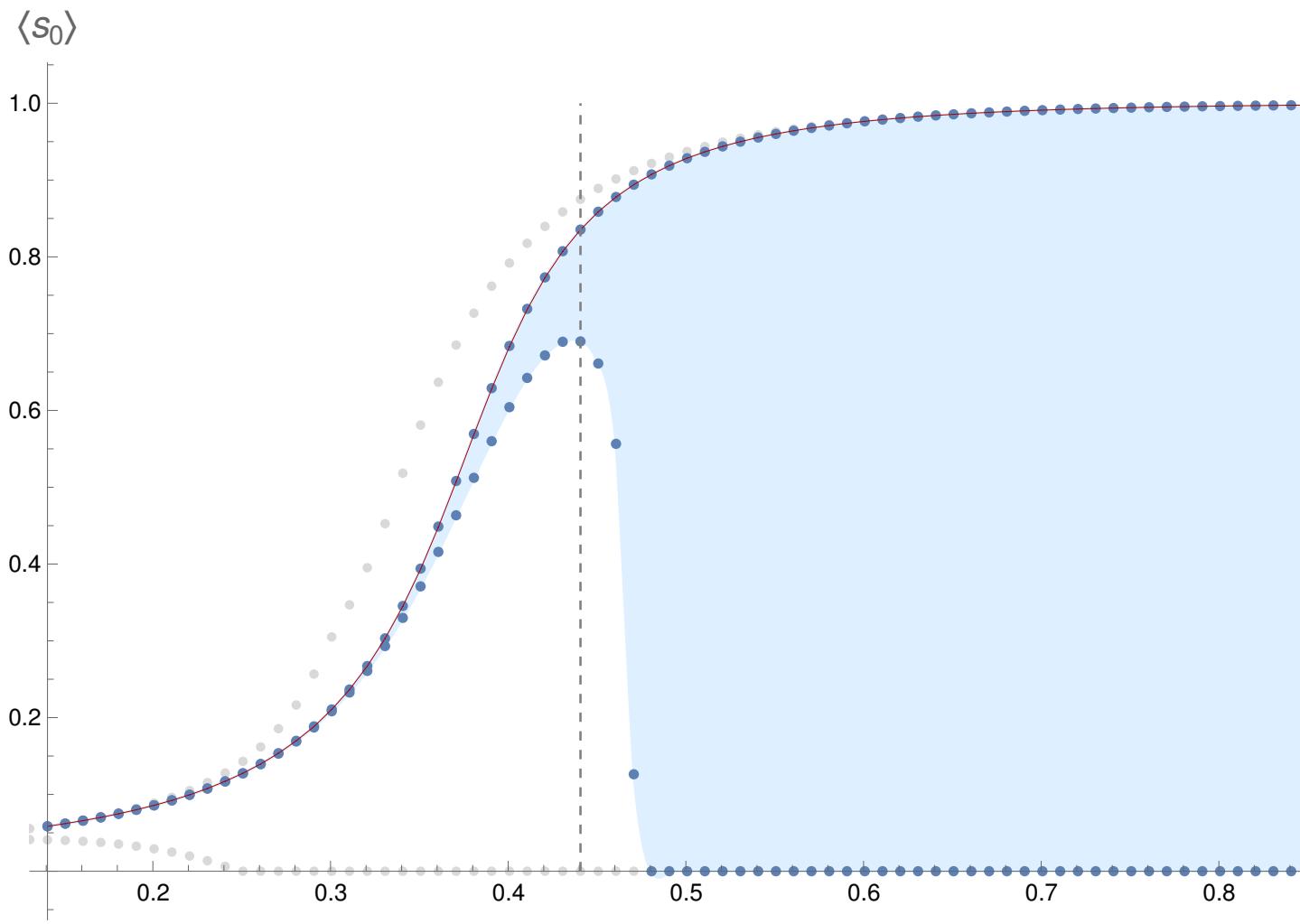
$h=0.03$



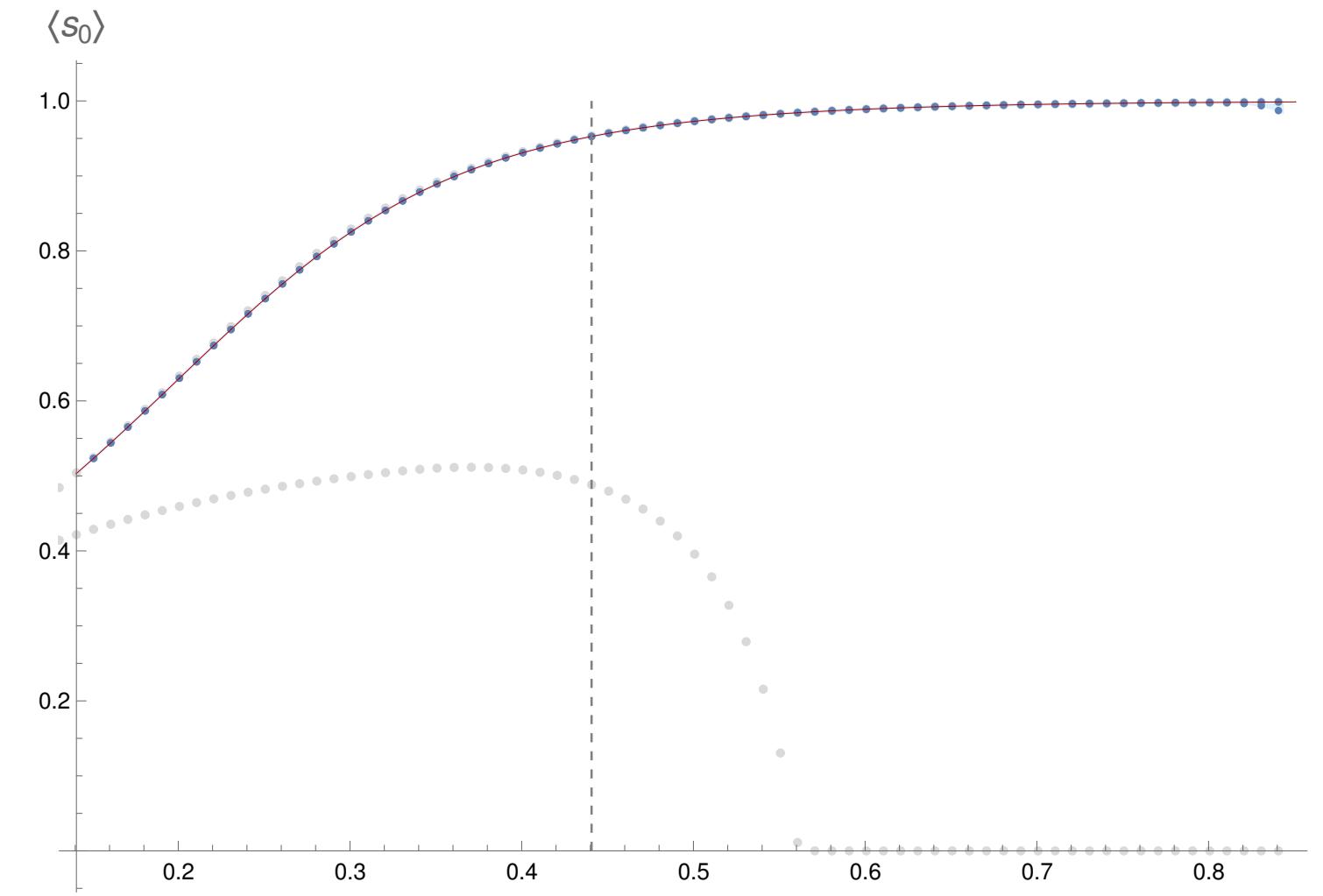
$h=0.3$



$h=0.03$

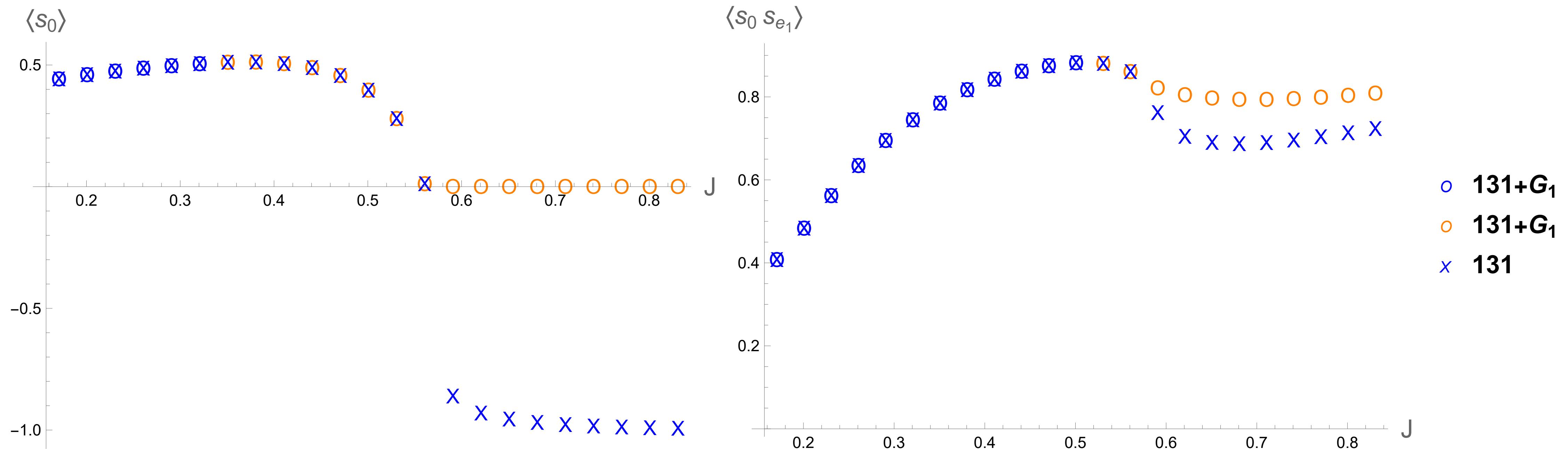


$h=0.3$



# 2D Ising, Griffiths 2nd inequality

$$h = 0.3$$



- Orange circles: 2nd Griffiths inequality  $G_2$  is violated  $\sim$  when bound looks non-monotonic.

# $G_2$ inequalities

$$\langle \underline{s}_A \underline{s}_B \rangle - \langle \underline{s}_A \rangle \langle \underline{s}_B \rangle \geq 0$$

- Some can be phrased as positive-semidefinite matrix:
  - Namely, those with  $B = A^g$  for some  $g \in G$  of the symmetry group  $G$ , so that  $\langle \underline{s}_A \underline{s}_{A^g} \rangle - \langle \underline{s}_A \rangle^2 \geq 0$ , or

$$\begin{pmatrix} 1 & \langle \underline{s}_A \rangle \\ \langle \underline{s}_A \rangle & \langle \underline{s}_A \underline{s}_{A^g} \rangle \end{pmatrix} \succeq 0$$

But do not appear to improve bounds.

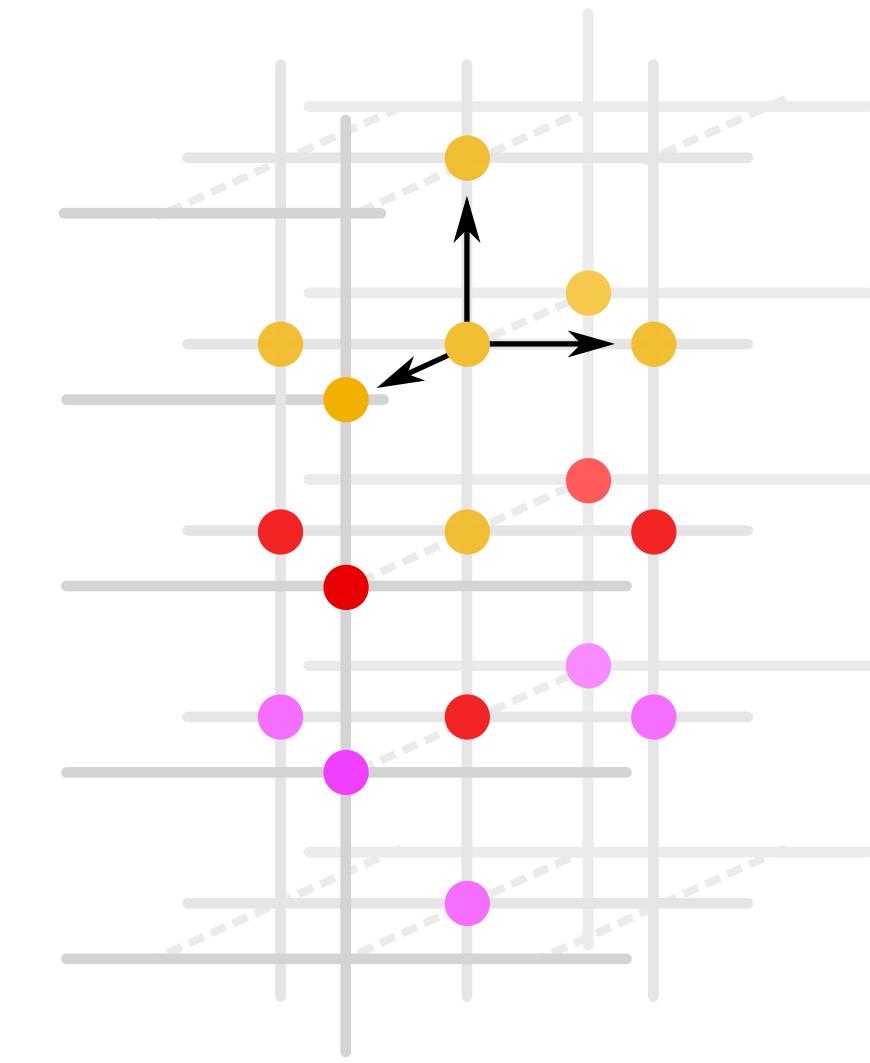
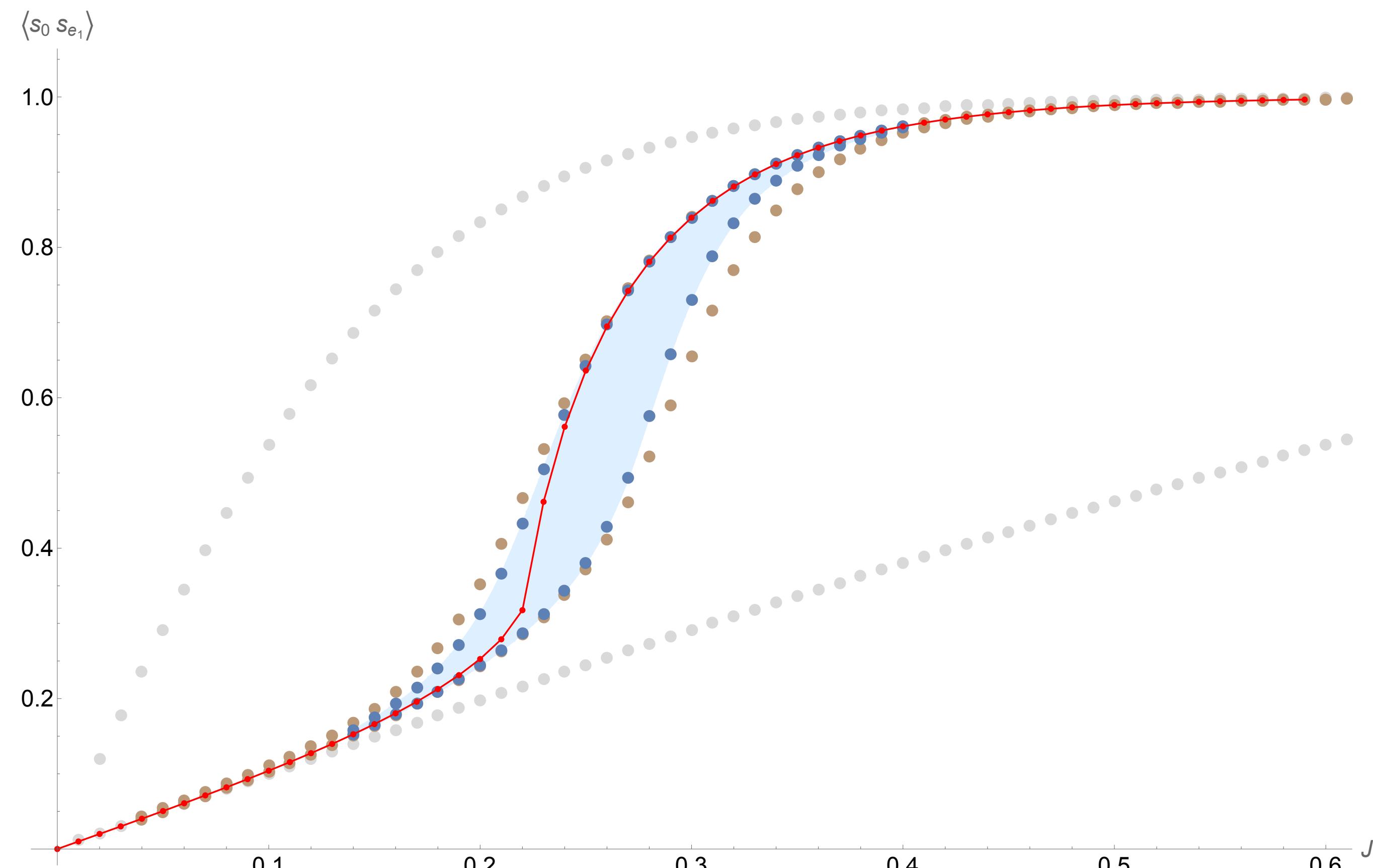
- Not others, for example in the 2D 131 diamond

$$\langle s_{-e_2} s_0 s_{e_2} s_{e_1} \rangle - \langle s_0 s_{e_1} \rangle \langle s_{-e_2} s_{e_2} \rangle \geq 0, \quad \langle s_{e_2} \rangle - \langle s_{-e_1} s_{e_1} s_{e_2} \rangle \langle s_{-e_1} s_{e_1} \rangle \geq 0 \quad \text{etc.}$$

Some are violated! So we do expect to improve our bounds.

- A naive relaxation did not improve bounds (didn't try too hard...)

# 3D Ising, $h=0$

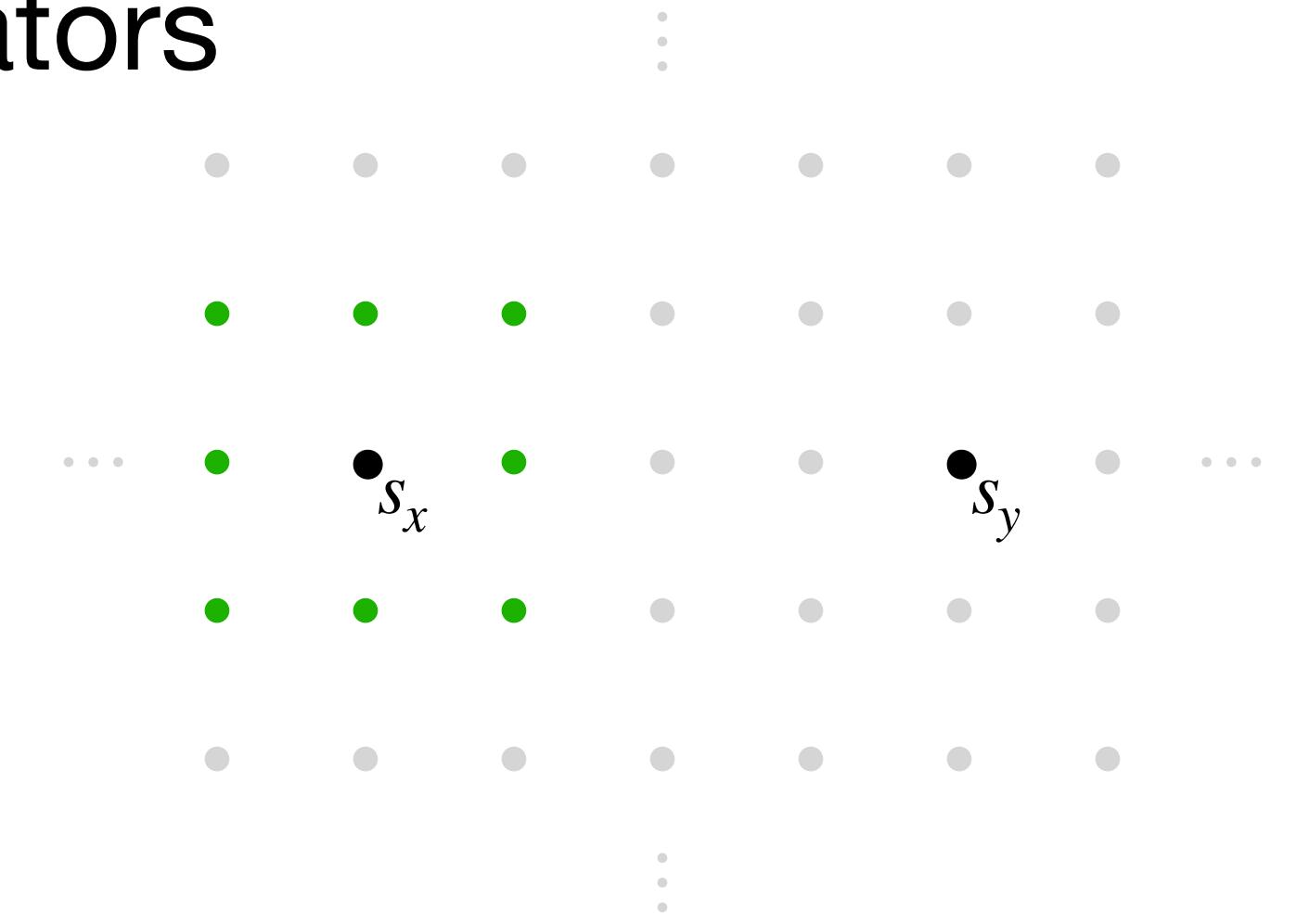


# Future Directions

- Improve the algorithm
  - Subset of spin configurations that are more important
  - Null state relations
- More inequalities
  - Incorporate  $G_2$  inequalities (non-convex)
  - Simon-Lieb inequalities - long-distance spin correlators

$$\langle s_x s_y \rangle \leq \sum_{z \in B} \langle s_x s_z \rangle \langle s_z s_y \rangle$$

- Aizenman-Lebowitz inequality
- More!



# Future Directions

- Theories with fermions
- Incorporate RG block-spin transformations (criticality)
- Systematic understanding of the convergence of bounds
- Gauge theories (see [\[Kruczenski talk\]](#) [\[Kazakov-Zheng\]](#) for pure YM)
- Study lattice defects
- Combine with the conformal bootstrap
- ...



# 2D Ising, $h=0$

