Determinism and termination in the semantics of the Céu programming language

January 31, 2017

1 The original formulation

The semantics discussed in this section follows as much as possible the original semantics of Céu presented in [?]. Any deviations from original definitions are duly noted in the text.

1.1 Abstract syntax

The abstract syntax of Céu programs is given by the following grammar:

```
p \in P := skip
                                       do nothing
         v := a
                                       assignment
         | await(e) |
                                       await event
                                       emit event
         | emit(e) |
         | break
                                       break innermost loop
         | if b then p_1 else p_2 |
                                       conditional
                                       sequence
         | p_1; p_2 |
         | loop p_1 |
                                       repetition
         | p_1  and p_2
                                       par/and
         |p_1 \text{ or } p_2|
                                       par/or
         | fin p_1 |
                                       finalization
         | @awaiting(e, n)
                                       awaiting e since reaction n
         | @emitting(e, n)
                                       emitting e on stack level n
         |p_1@loop p_2|
                                       unwinded loop
```

where $n \in N$ is an integer, $v \in V$ is a memory location (variable) identifier, $e \in E$ is an event identifier, $a \in A$ is an arithmetic expression, $b \in B$ is a boolean expression, and $p, p_1, p_2 \in P$ are programs. We assume the usual structure for arithmetic and boolean expressions, and omit their definition.

1.2 The reaction inner-step relation

The *state* of a Céu program within a reaction is represented by a stack of events $\alpha = e_1 e_2 \dots e_n \in E^*$ together with a memory map $m: v \to N \in \mathcal{M}$. A *configuration*

skip precisa aparecer na gramática já que aprece nos programas em P.
 Atribuição agora aparece explicitamente na gramática. Expressões aritméticas e booleanas também estão na gramática mas a sua estrutura interna é omitida.

is a 4-tuple $\langle p, \alpha, m, n \rangle \in \Delta$ that represents the situation of program p waiting to be evaluated in state $\langle \alpha, m \rangle$ and reaction n. Given an initial configuration, each small-step within a program reaction is determined by the reaction-inner-step relation $\to \in \Delta \times \Delta$ such that $\langle p, \alpha, m, n \rangle \to \langle p', \alpha', m', n \rangle$ iff a reaction inner-step of program p in state $\langle \alpha, m \rangle$ and reaction number n evaluates to a modified program p' and a modified state $\langle \alpha', m' \rangle$ in the same reaction (n). Since relation \to can only relate configurations with the same n, we shall write $\langle p, \alpha, m \rangle \xrightarrow{n} \langle p', \alpha', m' \rangle$ for $\langle p, \alpha, m, n \rangle \to \langle p', \alpha', m', n \rangle$.

Relation \rightarrow is defined inductively with the help of the auxiliary functions *eval*, *blocked*, and *clear*. The *eval* function evaluates arithmetic or boolean expressions on a given memory; we omit its definition and assume that such evaluation is deterministic and always terminates. The *blocked* function is a predicate that determines if all trails of a program p are blocked on a given event stack and reaction number. And the *clear* function extracts the body of fin blocks from a given program.

Definition 1.1. Function *blocked*: $P \times E^* \times N \rightarrow \{0, 1\}$ is defined inductively as follows.

```
blocked(\mathbf{skip}, e\alpha, n) = 0
blocked(\mathbf{v} := a, e\alpha, n) = 0
blocked(\mathbf{await}(e'), e\alpha, n) = 0
blocked(\mathbf{emit}(e'), e\alpha, n) = 0
blocked(\mathbf{break}, e\alpha, n) = 0
blocked(\mathbf{if} b \text{ then } p_1 \text{ else } p_2, e\alpha, n) = 0
blocked(p_1; p_2, e\alpha, n) = blocked(p_1, e\alpha, n)
blocked(\mathbf{loop} p, e\alpha, n) = 0
blocked(p_1 \text{ and } p_2, e\alpha, n) = blocked(p_1, e\alpha, n) \cdot blocked(p_2, e\alpha, n)
blocked(p_1 \text{ or } p_2, e\alpha, n) = blocked(p_1, e\alpha, n) \cdot blocked(p_2, e\alpha, n)
blocked(\mathbf{fin} p_1, e\alpha, n) = 0
blocked(\mathbf{@awaiting}(e', n'), e\alpha, n) = \begin{cases} 1 & \text{if } e \neq e' \text{ or } n = n' \\ 0 & \text{otherwise} \end{cases}
blocked(\mathbf{@emitting}(n'), e\alpha, n) = \begin{cases} 1 & \text{if } |e\alpha| \neq n' \\ 0 & \text{otherwise} \end{cases}
blocked(p_1 \, @loop \, p_2, e\alpha, n) = blocked(p_1, e\alpha, n)
```

Definition 1.2. Function *clear*: $P \rightarrow P'$, where $P' = \{v := a\}$, is defined inductively as follows.

não deveria conter instruções if-else?.

(2) No artigo original, clear(p₁; p₂) retorna apenas clear(p₁). Achamos que isso está errado, já que apenas o primeiro fin seria considerado. (Confirmar com o Chicão).

(1) Além de atribuições P'

$$clear(v \coloneqq a) = v \coloneqq a$$
 $clear(await(e')) = skip$
 $clear(emit(e')) = skip$
 $clear(break) = skip$
 $clear(if v then p_1 else p_2) = skip$
 $clear(p_1; p_2) = clear(p_1); clear(p_2)$
 $clear(loop p) = clear(p)$
 $clear(p_1 and p_2) = clear(p_1); clear(p_2)$
 $clear(p_1 or p_2) = clear(p_1); clear(p_2)$
 $clear(fin p) = p$
 $clear(@awaiting(e', n')) = skip$
 $clear(p_1 @loop p_2) = clear(p_1)$

Definition 1.3 (Reaction inner-step). Relation $\rightarrow \subseteq \Delta \times \Delta$ is defined inductively as follows.

Await and emit

(1.1)
$$\langle \text{await}(e), \alpha, m \rangle \xrightarrow{n} \langle \text{@awaiting}(e, n'), \alpha, m \rangle \text{ with } n' = n + 1$$

(1.2)
$$\langle \text{@awaiting}(e, n'), e\alpha, m \rangle \xrightarrow{n} \langle \text{skip}, e\alpha, m \rangle$$
 if $n' \leq n$

$$(1.3) \qquad \langle \mathsf{emit}(e), \alpha, m \rangle \xrightarrow{n} \langle \mathsf{@emitting}(n'), e\alpha, m \rangle \quad \text{with } n' = |\alpha|$$

(1.4)
$$\langle \text{Qemitting}(n'), \alpha, m \rangle \xrightarrow{n} \langle \text{skip}, \alpha, m \rangle$$
 if $n' = |\alpha|$

Conditionals

(1.5)
$$\langle \text{if } b \text{ then } p_1 \text{ else } p_2, \alpha, m \rangle \xrightarrow{n} \langle p_1, \alpha, m \rangle \text{ if } eval(b, m) = 1$$

(1.6)
$$\langle \text{if } b \text{ then } p_1 \text{ else } p_2, \alpha, m \rangle \xrightarrow{n} \langle p_2, \alpha, m \rangle \text{ if } eval(b, m) = 0$$

Sequences

$$(1.7) \qquad \langle \mathsf{skip}; p, \alpha, m \rangle \xrightarrow{n} \langle p, \alpha, m \rangle$$

(1.8)
$$\langle v := a; p, \alpha, m \rangle \xrightarrow{n} \langle p, \alpha, m' \rangle$$
 with $m' = m[v/eval(a)]$

(1.9)
$$\langle \text{break}; p, \alpha, m \rangle \xrightarrow{n} \langle \text{break}, \alpha, m \rangle$$

$$(1.10) \qquad \frac{\langle p_1, \alpha, m \rangle \xrightarrow{n} \langle p'_1, \alpha', m' \rangle}{\langle p_1; p_2, \alpha, m \rangle \xrightarrow{n} \langle p'_1; p_2, \alpha', m' \rangle} \quad \text{if } p_1 \neq \text{skip}, v \coloneqq a, \text{break}$$

(1) Adicionamos o mapa de memória (m) à configuração e regras explícitas para atribuição. A avaliação de expressões aritméticas e booleanas está encapsulada na função eval. (2) Adicionamos regras para consumir instruções skip. (3) Adicionamos condições que garantem que a cada passo apenas uma regra é aplicável-não há escolha. Outra forma menos verbosa de fazer isso é dizer que elas devem ser avaliadas na ordem em que foram declaradas. Nesse caso, a primeira que for satisfeita deve ser aplicada.

Loops

$$(1.11) \qquad \langle \mathsf{loop}\,p,\alpha,m\rangle \xrightarrow{n} \langle p\,\mathsf{@loop}\,p,\alpha,m\rangle$$

$$(1.12) \quad \langle \text{skip@loop } p, \alpha, m \rangle \xrightarrow{n} \langle \text{loop } p, \alpha, m \rangle$$

$$(1.13) \quad \langle v := a \, @loop \, p, \alpha, m \rangle \xrightarrow{n} \langle loop \, p, \alpha, m' \rangle \qquad \text{with } m' = m[v/eval(a)]$$

(1.14) $\langle \text{break @loop } p, \alpha, m \rangle \xrightarrow{n} \langle \text{skip}, \alpha, m \rangle$

$$(1.15) \qquad \frac{\langle p_1, \alpha, m \rangle \xrightarrow{n} \langle p'_1, \alpha', m' \rangle}{\langle p_1 @ loop \ p_2, \alpha, m \rangle \xrightarrow{n} \langle p'_1 @ loop \ p_2, \alpha', m' \rangle} \qquad \text{if } p_1 \neq \text{skip}, \\ v \coloneqq a, \text{break}$$

Par/and

(1.16)
$$\langle \text{skip and } p, \alpha, m \rangle \xrightarrow{n} \langle p, \alpha, m \rangle$$

(1.17)
$$\langle p \text{ and skip}, \alpha, m \rangle \xrightarrow{n} \langle p, \alpha, m \rangle$$

$$(1.18) \quad \langle v := a \text{ and } p, \alpha, m \rangle \xrightarrow{n} \langle p, \alpha, m' \rangle \qquad \text{with } m' = m[v/eval(a)]$$

(1.19)
$$\langle p \text{ and } v := a, \alpha, m \rangle \xrightarrow{n} \langle p, \alpha, m' \rangle$$
 if $blocked(p, \alpha, n) = 1$, with $m' = m[v/eval(a)]$

(1.20)
$$\langle \text{break and } p, \alpha, m \rangle \xrightarrow{n} \langle p'; \text{break}, \alpha, m \rangle$$
 if $p \neq \text{skip}, v \coloneqq a$, with $p' = clear(p)$

(1.21)
$$\langle p \text{ and break}, \alpha, m \rangle \xrightarrow{n} \langle p'; \text{break}, \alpha, m \rangle$$
 if $blocked(p, \alpha, n) = 1$, with $p' = clear(p)$

(1.22)
$$\frac{\langle p_1, \alpha, m \rangle \xrightarrow{n} \langle p'_1, \alpha', m' \rangle}{\langle p_1 \text{ and } p_2, \alpha, m \rangle \xrightarrow{n} \langle p'_1 \text{ and } p_2, \alpha', m' \rangle} \quad \text{if } blocked(p_1, \alpha, n) = 0 \\ \text{and } p_1 \neq \text{skip}, \\ v := a, \text{break}$$

(1.23)
$$\frac{\langle p_2, \alpha, m \rangle \xrightarrow{n} \langle p'_2, \alpha', m' \rangle}{\langle p_1 \text{ and } p_2, \alpha, m \rangle \xrightarrow{n} \langle p_1 \text{ and } p'_2, \alpha', m' \rangle} \quad \text{if } blocked(p_1, \alpha, n) = 1 \\ \text{and } p_2 \neq \text{skip}, \\ v := a, \text{break}$$

Adicionamos a condição de o lado esquerdo estar bloqueado na regra 1.19.

Par/or

(1.24)
$$\langle \text{skip or } p, \alpha, m \rangle \xrightarrow{n} \langle p', \alpha, m \rangle$$
 with $p' = clear(p)$

(1.25)
$$\langle p \text{ or skip}, \alpha, m \rangle \xrightarrow{n} \langle p', \alpha, m \rangle$$
 if $blocked(p, \alpha, n) = 1$, with $p' = clear(p)$

(1.26)
$$\langle v := a \text{ or } p, \alpha, m \rangle \xrightarrow{n} \langle p', \alpha, m' \rangle$$
 with $m' = m[v/eval(a)]$ and $p' = clear(p)$

(1.27)
$$\langle p \text{ or } v := a, \alpha, m \rangle \xrightarrow{n} \langle p', \alpha, m' \rangle$$
 if $blocked(p, \alpha, n) = 1$, with $m' = m[v/eval(a)]$ and $p' = clear(p)$

(1.28)
$$\langle \text{break or } p, \alpha, m \rangle \xrightarrow{n} \langle p'; \text{break}, \alpha, m \rangle$$
 with $p' = clear(p)$

(1.29)
$$\langle p \text{ or break}, \alpha, m \rangle \xrightarrow{n} \langle p'; \text{break}, \alpha, m \rangle$$
 if $blocked(p, \alpha, n) = 1$, with $p' = clear(p)$

(1.30)
$$\frac{\langle p_1, \alpha, m \rangle \xrightarrow{n} \langle p'_1, \alpha', m' \rangle}{\langle p_1 \text{ or } p_2, \alpha, m \rangle \xrightarrow{n} \langle p'_1 \text{ or } p_2, \alpha', m' \rangle} \quad \text{if } blocked(p_1, \alpha, n) = 0 \\ \text{and } p_1 \neq \text{skip}, \\ v := a, \text{break}$$

(1.31)
$$\frac{\langle p_2, \alpha, m \rangle \xrightarrow{n} \langle p'_2, \alpha', m' \rangle}{\langle p_1 \text{ or } p_2, \alpha, m \rangle \xrightarrow{n} \langle p_1 \text{ or } p'_2, \alpha', m' \rangle} \quad \text{if } blocked(p_1, \alpha, n) = 1 \\ \text{and } p_2 \neq \text{skip}, \\ v := a, \text{break}$$

The next theorem establishes that the reaction inner-step relation is deterministic, i.e., that it is in fact a *partial* function.

Theorem 1.4 (Determinism of the inner-step relation). For all p, p_1 , $p_2 \in P$, α , α_1 , $\alpha_2 \in E^*$, m, m_1 , $m_2 \in \mathcal{M}$, and $n \in N$,

if
$$\langle p, \alpha, m \rangle \xrightarrow{n} \langle p_1, \alpha_1, m_1 \rangle$$
 and $\langle p, \alpha, m \rangle \xrightarrow{n} \langle p_2, \alpha_2, m_2 \rangle$,
then $\langle p_1, \alpha_1, m_1 \rangle = \langle p_2, \alpha_2, m_2 \rangle$.

Proof. By induction on the structure of derivations. Suppose

$$d_1 \Vdash \langle p, \alpha, m \rangle \xrightarrow{n} \langle p_1, \alpha_1, m_1 \rangle$$
 and $d_2 \Vdash \langle p, \alpha, m \rangle \xrightarrow{n} \langle p_2, \alpha_2, m_2 \rangle$,

for some derivations d_1 and d_2 . Then there are ten possibilities depending on the structure of p. (Note that p cannot be equal to skip, v := a, or break, as there are no rules to evaluate such programs.)

[Case 1] p = await(e), for some $e \in E$. Then d_1 and d_2 are instances of axiom (1.1), and as such, $p_1 = p_2 = \text{@awaiting}(e, n')$ with n' = n + 1, and $\alpha_1 = \alpha_2 = \alpha$ and $m_1 = m_2 = m$.

[Case 2] p = @awaiting(e, n'), for some $e \in E$ and $n' \in N$. Then d_1 and d_2 are instances of axiom (1.2), with $n' \le n$. Thus $p_1 = p_2 = \text{skip}$, $\alpha_1 = \alpha_2 = \alpha$, and $m_1 = m_2 = m$.

[Case 3] p = emit(e), for some $e \in E$. Then d_1 and d_2 are instances of axiom (1.3), and as such, $p_1 = p2 = \text{@emitting}(n')$ with $n' = |\alpha|$, and $\alpha_1 = \alpha_2 = e\alpha$ and $m_1 = m_2 = m$.

[Case 4] p = @emitting(e, n'), for some $e \in E$ and $n' \in N$. Then d_1 and d_2 are instances of axiom (1.4) with $n' = |\alpha|$. Thus $p_1 = p_2 = \text{skip}$, $\alpha_1 = \alpha_2 = \alpha$ and $m_1 = m_2 = m$.

[Case 5] p = if b then p' else p'', for some $b \in B$ and p', $p'' \in P$.

[Case 5.1] eval(b, m) = 1. Then d_1 and d_2 are instances of axiom (1.5), and as such, $p_1 = p_2 = p'$, $\alpha_1 = \alpha_2 = \alpha$, and $m_1 = m_2 = m$.

[Case 5.2] eval(b, m) = 0. Then d_1 and d_2 are instances of axiom (1.6), and as such, $p_1 = p_2 = p''$, $\alpha_1 = \alpha_2 = \alpha$, and $m_1 = m_2 = m$.

[Case 6] p = p'; p'', for some $p', p'' \in P$.

[Case 6.1] p' = skip. Then d_1 and d_2 are instances of axiom (1.7), and as such, $p_1 = p_2 = p''$, $\alpha_1 = \alpha_2 = \alpha$ and $m_1 = m_2 = m$.

[Case 6.2] p' = v := a, for some $v \in V$ and $a \in A$. Then d_1 and d_2 are instances of axiom (1.8), and as such, $p_1 = p_2 = p''$, $\alpha_1 = \alpha_2 = \alpha$ and, as *eval* is a total function, $m_1 = m_2 = m[v/eval(a)]$.

[Case 6.3] p' = break. Then d_1 and d_2 are instances of axiom (1.9), and as such, $p_1 = p_2 = p'$, $\alpha_1 = \alpha_2 = \alpha$ and $m_1 = m_2 = m$.

[Case 6.4] $p' \neq \text{skip}, v := a$, break. Then d_1 and d_2 are instances of rule (1.10). Thus there are derivations d_1' and d_2' such that

$$d_1' \Vdash \langle p', \alpha, m \rangle \xrightarrow{n} \langle p_1', \alpha_1, m_1 \rangle$$
 and $d_2' \Vdash \langle p', \alpha, m \rangle \xrightarrow{n} \langle p_2', \alpha_2, m_2 \rangle$,

for some p_1' , $p_2' \in P$. Since $d_1' < d_1$ and $d_2' < d_2$, by induction hypothesis, $\alpha_1 = \alpha_2$, $m_1 = m_2$, and $p_1' = p_2'$, which implies

$$p_1 = p_1'; p'' = p_2'; p'' = p_2.$$

[Case 7] p = loop p', for some $p' \in P$. Then d_1 and d_2 are instances of axiom (1.11), and as such, $p_1 = p_2 = p'$ @loop p', $\alpha_1 = \alpha_2 = \alpha$, and $m_1 = m_2 = m$.

[Case 8] p = p' @loop p'', for some p', $p'' \in P$.

[Case 8.1] p = skip @loop p', for some $p' \in P$. Then d_1 and d_2 are instances of axiom (1.12), and as such, $p_1 = p_2 = \text{loop } p'$, $\alpha_1 = \alpha_2 = \alpha$, and $m_1 = m_2 = m$

[Case 8.2] p = v := a @ loop p', for some $a \in A$, $v \in V$, and $p' \in P$. Then d_1 and d_2 are instances of axiom (1.13), and as such, $p_1 = p_2 = loop p'$, $\alpha_1 = \alpha_2 = \alpha$, and as eval is a total function, $m_1 = m_2 = m[v/eval(a)]$.

[Case 8.3] p = break @loop p', for some $p' \in P$. Then d_1 and d_2 are instances of axiom (1.14), and as such, $p_1 = p_2 = \text{skip}$, $\alpha_1 = \alpha_2 = \alpha$, and $m_1 = m_2 = m$.

[Case 8.4] p = p' @loop p'', for some p', $p'' \in P$ such that $p' \neq \text{skip}$, $v \coloneqq a$, break. Then d_1 and d_2 are instances of rule (1.15). Thus there are derivations d_1' and d_2' such that

$$d_1' \Vdash \langle p', \alpha, m \rangle \xrightarrow{n} \langle p_1', \alpha_1, m_1 \rangle$$
 and $d_2' \Vdash \langle p', \alpha, m \rangle \xrightarrow{n} \langle p_2', \alpha_2, m_2 \rangle$,

for some p'_1 , $p'_2 \in P$. Since $d'_1 < d_1$ and $d'_2 < d_2$, by induction hypothesis, $\alpha_1 = \alpha$, $m_1 = m_2$, and $p'_1 = p'_2$, which implies

$$p_1 = p_1'$$
 @loop $p'' = p_2'$ @loop $p'' = p_2$.

[Case 9] p = p' and p'', for some p', $p'' \in P$.

[Case 9.1] p = skip and p', for some $p' \in P$. Then d_1 and d_2 are instances of axiom (1.16), and as such, $p_1 = p_2 = p'$, $\alpha_1 = \alpha_2 = \alpha$, and $m_1 = m_2 = m$.

[Case 9.2] p = p' and skip, for some $p' \in P$. Similar to Case 9.1.

[Case 9.3] p = v := a and p', for some $v \in V$, $a \in A$ and $p' \in P$. Then d_1 and d_2 are instances of axiom (1.18), and as such, $p_1 = p_2 = p'$, $\alpha_1 = \alpha_2 = \alpha$, and as eval is a total function, $m_1 = m_2 = m[v/eval(a)]$.

[Case 9.4] p = p' and v := a, for some $v \in V$, $a \in A$ and $p' \in P$. Then either blocked(p') = 0 or blocked(p') = 1. If blocked(p') = 0 then this case becomes Case 9.7. Otherwise, if blocked(p') = 1, then d_1 and d_2 are instances of axiom (1.19), and as such, $p_1 = p_2 = p'$, $\alpha_1 = \alpha_2 = \alpha$, and as eval is a total function, $m_1 = m_2 = m[v/eval(a)]$.

[Case 9.5] p = break and p', for some $p' \in P$. Then d_1 and d_2 are instances of axiom (1.20), and as such, $\alpha_1 = \alpha_2 = \alpha$, $m_1 = m_2 = m$, and as *clear* is a total function, $p_1 = p_2 = clear(p')$; break.

[Case 9.6] p = p' and break, for some $p' \in P$. Then either blocked(p') = 0 or blocked(p') = 1. If blocked(p') = 0 then this case becomes Case 9.7. Otherwise, if blocked(p') = 1, then d_1 and d_2 are instances of axiom (1.21), and as such, $\alpha_1 = \alpha_2 = \alpha$, $m_1 = m_2 = m$, and as clear is a total function, $p_1 = p_2 = clear(p')$; break.

[Case 9.7] p = p' and p'', for some p' and $p'' \in P$. Then there are two possibilities. If blocked(p') = 0 then d_1 and d_2 are instances of (1.22). Thus there are derivations d_1' and d_2' such that

$$d_1' \Vdash \langle p', \alpha, m \rangle \xrightarrow{n} \langle p_1', \alpha_1, m_1 \rangle$$
 and $d_2' \Vdash \langle p', \alpha, m \rangle \xrightarrow{n} \langle p_2', \alpha_2, m_2 \rangle$,

for some p'_1 , $p'_2 \in P$. Since $d'_1 < d_1$ and $d'_2 < d_2$, by induction hypothesis, $\alpha_1 = \alpha_2$, $m_1 = m_2$, and $p'_1 = p'_2$, which implies

$$p_1 = (p'_1 \text{ and } p'') = (p'_2 \text{ and } p'') = p_2.$$

If, however, blocked(p') = 1 and $p'' \neq skip$, $v \coloneqq a$, break, then d_1 and d_2 are instances of (1.23). Thus there are derivations d_1'' and d_2'' such that

$$d_1'' \Vdash \langle p'', \alpha, m \rangle \xrightarrow{n} \langle p_1'', \alpha_1, m_1 \rangle$$
 and $d_2'' \Vdash \langle p'', \alpha, m \rangle \xrightarrow{n} \langle p_2'', \alpha_2, m_2 \rangle$,

for some p_1'' , $p_2'' \in P$. Since $d_1'' < d_1$ and $d_2'' < d_2$, by induction hypothesis, $\alpha_1 = \alpha_2$, $m_1 = m_2$, and $p_1'' = p_2''$, which implies

$$p_1 = (p' \text{ and } p_1'') = (p' \text{ and } p_2'') = p_2.$$

[Case 10] p = p' or p'', for some p', $p'' \in P$.

[Case 10.1] p = skip or p', for some $p' \in P$. Then d_1 and d_2 are instances of axiom (1.24), and as such, $\alpha_1 = \alpha_2 = \alpha$, $m_1 = m_2 = m$, and as *clear* is a total, $p_1 = p_2 = clear(p')$.

[Case 10.2] p = p' or skip, for some $p' \in P$. Then either blocked(p') = 0 or blocked(p') = 1. If blocked(p') = 0 then this case becomes Case 10.7. Otherwise, if blocked(p') = 1, then d_1 and d_2 are instances of axiom (1.25), and as such, $\alpha_1 = \alpha_2 = \alpha$, $m_1 = m_2 = m$, and as clear is a total function, $p_1 = p_2 = clear(p')$.

[Case 10.3] p = v := a or p', for some $v \in V$, $a \in A$ and $p' \in P$. Then d_1 and d_2 are instances of axiom (1.26), and as such, $\alpha_1 = \alpha_2 = \alpha$, and as *eval* and *clear* are total functions, $m_1 = m_2 = m[v/eval(a)]$ and $p_1 = p_2 = clear(p')$.

[Case 10.4] p = p' or v := a, for some $v \in V$, $a \in A$ and $p' \in P$. Then either blocked(p') = 0 or blocked(p') = 1. If blocked(p') = 0 then this case becomes Case 10.7. Otherwise, if blocked(p') = 1, then d_1 and d_2 are instances of axiom (1.27), and as such, $\alpha_1 = \alpha_2 = \alpha$, and as eval and eval are total functions, $m_1 = m_2 = m[v/eval(a)]$ and $p_1 = p_2 = clear(p')$.

[Case 10.5] p = break or p', for some $p' \in P$. Then d_1 and d_2 are instances of axiom (1.28), and as such, $\alpha_1 = \alpha_2 = \alpha$, $m_1 = m_2 = m$, and as *clear* is a total function, $p_1 = p_2 = clear(p')$; break.

[Case 10.6] p = p' or break, for some $p' \in P$. Then either blocked(p') = 0 or blocked(p') = 1. If blocked(p') = 0 then this case becomes Case 10.7. Otherwise, if blocked(p') = 1, then d_1 and d_2 are instances of axiom (1.29), and as such, $\alpha_1 = \alpha_2 = \alpha$, $m_1 = m_2 = m$, and as clear is a total function, $p_1 = p_2 = clear(p')$; break.

[Case 10.7] p = p' or p'', for some p' and $p'' \in P$. Then there are two possibilities. If blocked(p') = 0 then d_1 and d_2 are instances of (1.30). Thus there are derivations d_1' and d_2' such that

$$d_1' \Vdash \langle p', \alpha, m \rangle \xrightarrow{n} \langle p_1', \alpha_1, m_1 \rangle$$
 and $d_2' \Vdash \langle p', \alpha, m \rangle \xrightarrow{n} \langle p_2', \alpha_2, m_2 \rangle$,

for some p'_1 , $p'_2 \in P$. Since $d'_1 < d_1$ and $d'_2 < d_2$, by induction hypothesis, $\alpha_1 = \alpha_2$, $m_1 = m_2$, and $p'_1 = p'_2$, which implies

$$p_1 = (p'_1 \text{ or } p'') = (p'_2 \text{ or } p'') = p_2.$$

If, however, blocked(p') = 1 and $p'' \neq skip$, $v \coloneqq a$, break, then d_1 and d_2 are instances of (1.31). Thus there are derivations d_1'' and d_2'' such that

$$d_1'' \Vdash \langle p'', \alpha, m \rangle \xrightarrow{n} \langle p_1'', \alpha_1, m_1 \rangle$$
 and $d_2'' \Vdash \langle p'', \alpha, m \rangle \xrightarrow{n} \langle p_2'', \alpha_2, m_2 \rangle$,

for some p_1'' , $p_2'' \in P$. Since $d_1'' < d_1$ and $d_2'' < d_2$, by induction hypothesis, $\alpha_1 = \alpha_2$, $m_1 = m_2$, and $p_1'' = p_2''$, which implies

$$p_1 = (p' \text{ or } p_1'') = (p' \text{ or } p_2'') = p_2.$$

The next lemma establishes that given a program either it is possible to advance it by an inner-step or all its trails are blocked, but not both.

Lemma 1.5. For all $p \in P$, $\alpha \in E^*$, $m \in \mathcal{M}$, and $n \in N$, if $p \neq skip$, $v \coloneqq a$, break then either

$$\exists \delta \in \Delta(\langle p, \alpha, m \rangle \xrightarrow{n} \delta) \quad or \quad blocked(p, \alpha, n) = 1,$$

but not both.

Proof. By induction on the structure of programs.

[Case 1] p = await(e), for some $e \in E$. Then by axiom (1.1),

$$\langle \text{await}(e), \alpha, m \rangle \xrightarrow{n} \langle \text{@awaiting}(e, n'), \alpha, m \rangle = \delta,$$

where n' = n + 1. And by Definition 1.1, $blocked(await(e), \alpha, n) = 0$.

[Case 2] p = @awaiting(e, n'), for some $e \in E$ and $n' \in N$.

[Case 2.1] n' < n. If e is the top-of-stack event in α , in symbols $e = \alpha_{[1]}$, then by axiom (1.2),

$$\langle \text{@awaiting}(e, n'), \alpha, m \rangle \xrightarrow{n} \langle \text{skip}, \alpha, m \rangle = \delta.$$

And by Definition 1.1, $blocked(@awaiting(e, n'), \alpha, n) = 0$.

If, however, $e \neq \alpha_{[1]}$, then there is no such δ , as no rule is applicable. And by Definition 1.1, $blocked(@awaiting(e, n'), \alpha, n) = 1$.

[Case 2.2] n' = n. [FIXME: Pelo axioma (1.2),

$$\langle @awaiting(e, n'), \alpha, m \rangle \xrightarrow{n} \langle skip, \alpha, m \rangle.$$

E $blocked(@awaiting(e, n'), \alpha, n) = 1$. Ou seja, ambos os lados do "ou" deram verdadeiro, o que invalida o lema.]

[Case 2.3] n' > n. If $e = \alpha_{[1]}$ then [FIXME: Não existe tal δ e

$$blocked(@awaiting(e, n'), \alpha, n) = 0.$$

O que, novamente, invalida o lema.]

If, however, $e \neq \alpha_{[1]}$, then there is no such δ (no rule is applicable) and, by Definition 1.1, $blocked(@awaiting(e, n'), \alpha, n) = 1$.

[Case 3] p = emit(e), for some $e \in E$. Then by axiom (1.3),

$$\langle \text{emit}(e), \alpha, m \rangle \xrightarrow{n} \langle \text{@emitting}(n'), e\alpha, m \rangle = \delta,$$

where $n' = |\alpha|$. And by Definition 1.1, $blocked(emit(e'), e\alpha, n) = 0$.

[Case 4] p = @emitting(e, n'), for some $e \in E$ and $n' \in N$.

[Case 4.1] $n' = |\alpha|$. By axiom (1.4),

$$\langle \text{Qemitting}(e, n'), \alpha, m \rangle \xrightarrow{n} \langle \text{skip}, \alpha, m \rangle = \delta.$$

And by Definition 1.1, $blocked(@emitting(e, n'), \alpha, n) = 0$.

[Case 4.2] $n' \neq |\alpha|$. Then there is no such δ (no rule is applicable) and, by Definition 1.1, $blocked(@emitting(e, n'), \alpha, n) = 1$.

[Case 5] $p = \text{if } b \text{ then } p' \text{ else } p'', \text{ for some } b \in B \text{ and } p', p'' \in P.$ By axioms (1.5) and (1.6), if eval(b,m) = 1, $\delta = \langle p', \alpha, m \rangle$, otherwise $\delta = \langle p'', \alpha, m \rangle$. And by Definition 1.1, $blocked(\text{if } b \text{ then } p' \text{ else } p'', e\alpha, n) = 0$

[Case 6] p = p'; p'', for some p', $p'' \in P$.

[Case 6.1] $p' = \text{skip. By axiom } (1.7), \delta = \langle p'', \alpha, m \rangle$, and by Definition 1.1, $blocked(\text{skip}, \alpha, n) = 0$.

[Case 6.2] p' = v := a, for some $v \in V$ and $a \in A$. By axiom (1.8), $\delta = \langle p'', \alpha, m[v/eval(a)] \rangle$, and by Definition 1.1, $blocked(v := a, \alpha, n) = 0$.

[Case 6.3] p' = break. By axiom (1.9), $\delta = \langle Break, \alpha, m \rangle$, and by Definition 1.1, $blocked(\text{break}, \alpha, n) = 0$.

[Case 6.4] $p' \neq \text{skip}, v := a, \text{break}$. By induction hypothesis, exactly one of the following hold:

$$\exists d' \in \Delta(\langle p', \alpha, m \rangle \xrightarrow{n} d')$$
 or $blocked(p', \alpha, n) = 1$.

Suppose $\langle p', \alpha, m \rangle \xrightarrow{n} \langle p'_1, \alpha', m' \rangle$, for some $p'_1 \in P$, $\alpha' \in E^*$, and $m' \in \mathcal{M}$. Then by rule (1.10),

$$\langle p'; p'', \alpha, m \rangle \xrightarrow{n} \langle p'_1; p'', \alpha', m' \rangle.$$

And by Definition 1.1,

$$blocked(p'; p'', \alpha, n) = blocked(p', \alpha, n) = 0.$$

If, however, $blocked(p', \alpha, n) = 1$, then there is no such d (no rule is applicable) and by Definition 1.1,

$$blocked(p'; p'', \alpha, n) = blocked(p', \alpha, n) = 1.$$

[Case 7] p = loop p', for some $p' \in P$. Then we can derive δ using the axiom (1.11), and as such, $\delta = \langle p' \text{@loop } p', e\alpha, m \rangle$, and according to definition 1.1, $blocked(\text{loop } p', e\alpha, n) = 0$.

[Case 8] p = p' @loop p'', for some p', $p'' \in P$. According to definition 1.1, blocked(p' @loop p'', $e\alpha$, n) = blocked(p', $e\alpha$, n).

[Case 8.1] p = skip@loop p', for some $p' \in P$. Then we can derive δ using the axiom (1.12), and as such, $\delta = \langle \text{loop } p', e\alpha, m \rangle$, and according to definition 1.1, $blocked(\text{skip}, e\alpha, n) = 0$.

[Case 8.2] p = v := a @loop p', for some $p' \in P$. Then we can derive δ using the axiom (1.13), and as such, $\delta = \langle loop p', e\alpha, m' \rangle$, with m' = m[v/eval(a)], and according to definition 1.1, $blocked(v := a, e\alpha, n) = 0$.

[Case 8.3] p = break @loop p', for some $p' \in P$. Then we can derive δ using the axiom (1.14), and as such, $\delta = \langle \text{skip}, e\alpha, m \rangle$, and according to definition 1.1, $blocked(\text{break}, e\alpha, n) = 0$.

[Case 8.4] p = p' @loop p'', for some p', $p'' \in P$ such that $p' \neq \text{skip}, v \coloneqq a$, break. [TODO: induction hypothesis]

[Case 9] p = p' and p'', for some p', $p'' \in P$. According to definition 1.1, $blocked(p' \text{ and } p'', e\alpha, n) = blocked(p', e\alpha, n) \cdot blocked(p'', e\alpha, n)$.

[Case 9.1] p = skip and p', for some $p' \in P$. Then we can derive δ using the axiom (1.16), and as such, $\delta = \langle p', e\alpha, m \rangle$, and according to definition 1.1, $blocked(\text{skip}, e\alpha, n) = 0$, therefore $blocked(\text{skip} \text{ and } p'', e\alpha, n) = 0$.

[Case 9.2] p = p' and skip, for some $p' \in P$. Similar to Case 9.1.

[Case 9.3] p = v := a and p', for some $v \in V$, $a \in A$ and $p' \in P$. Then we can derive δ using the axiom (1.18), and as such, $\delta = \langle p', e\alpha, m' \rangle$, with m' = m[v/eval(a)], and according to definition 1.1, $blocked(v := a, e\alpha, n) = 0$, therefore $blocked(v := a \text{ and } p'', e\alpha, n) = 0$.

[Case 9.4] p = p' and v := a, for some $v \in V$, $a \in A$ and $p' \in P$. Then there are two possibilities.

- if $blocked(p', e\alpha, n) = 1$, then according to definition 1.1, $blocked(v := a, e\alpha, n) = 0$, thus blocked(p') and $v := a, e\alpha, n) = 0$. In this case, we can derive δ using the axiom (1.19), and as such, $\delta = \langle p', e\alpha, m' \rangle$, with m' = m[v/eval(a)].
- if $blocked(p', e\alpha, n) = 0$ and $p' \neq skip, v := a$, break, then $blocked(p' \text{ and } v := a, e\alpha, n) = 0$. The derivation of δ becomes Case 9.7

[Case 9.5] p = break and p', for some $p' \in P$. Then we can derive δ using the axiom (1.20), and as such, $\delta = \langle clear(p'), e\alpha, m \rangle$, and according to definition 1.1, $blocked(\text{break}, e\alpha, m) = 0$, therefore $blocked(\text{break} \text{ and } p', e\alpha, n) = 0$

[Case 9.6] p = p' and break, for some $p' \in P$. Then there are two possibilities.

- if $blocked(p', e\alpha, n) = 1$, then according to definition 1.1, $blocked(break, e\alpha, n) = 0$, thus blocked(p') and $break, e\alpha, n) = 0$. In this case, we can derive δ using the axiom (1.21), and as such, $\delta = \langle clear(p'); break, e\alpha, m \rangle$.
- if $blocked(p', e\alpha, n) = 0$ and $p' \neq skip, v := a$, break, then blocked(p') and break, $e\alpha, n = 0$. The derivation of δ becomes Case 9.7

[Case 9.7] p = p' and p'', for some p' and $p'' \in P$. Then there are two possibilities.

• if blocked(p') = 0 and $p' \neq skip$, $v \coloneqq a$, break, then blocked(p') and p'', $e\alpha$, m) = 0. We can derive δ using the rule (1.22), as such, $\delta = \langle p'_1 \text{ and } p'', e\alpha, m' \rangle$.

1.3 The reaction outer-step relation

From the previous inner-step relation we define an outer-step relation (\Rightarrow) that when necessary pops the event stack advances blocked programs. [TODO: Improve this description.]

Definition 1.6 (Reaction outer-step).

(1.32)
$$\frac{\langle p, \alpha, m \rangle \xrightarrow{n} \langle p', \alpha', m' \rangle}{\langle p, \alpha, m \rangle \xrightarrow{n} \langle p', \alpha', m' \rangle} \quad \text{if } blocked(p, \alpha, n) = 0$$

(1.33)
$$\langle p, e\alpha, m \rangle \stackrel{n}{\Rightarrow} \langle p, \alpha, m \rangle$$
 if $blocked(p, \alpha, n) = 1$

Theorem 1.7 (Determinism of the outer-step relation). For all p, p_1 , $p_2 \in P$, α , α_1 , $\alpha_2 \in E^*$, m, m_1 , $m_2 \in \mathcal{M}$, and $n \in N$,

if
$$\langle p, \alpha, m \rangle \stackrel{n}{\Rightarrow} \langle p_1, \alpha_1, m_1 \rangle$$
 and $\langle p, \alpha, m \rangle \stackrel{n}{\Rightarrow} \langle p_2, \alpha_2, m_2 \rangle$,
then $\langle p_1, \alpha_1, m_1 \rangle = \langle p_2, \alpha_2, m_2 \rangle$.

Proof. [TODO: By induction on the structure of derivations.]

Theorem 1.8 (Termination of the outer-step relation). For all $p \in P$, $\alpha \in E$, and $m \in M$, if $p \neq skip$, $v \coloneqq a$, break then

$$\exists \delta \in \Delta(\langle p, \alpha, m \rangle \stackrel{n}{\Rightarrow} \delta).$$

Proof. [TODO: Directly from Lemma 1.5.]

1.4 The reaction relation

From the reflexive-transitive closure of the outer-step relation ($\stackrel{*}{\Rightarrow}$) we define the reaction relation $\models \subseteq \Delta \times (P \times \mathcal{M} \times N)$, which computes a full program reaction. Given an initial configuration, the reaction relation evaluates it until the event stack becomes empty.

Definition 1.9 (Reaction). Let $p, p' \in P, \alpha \in E^*, m, m' \in \mathcal{M}$. Then

$$\langle p, \alpha, m \rangle \stackrel{n}{\models} \langle p', m' \rangle$$
 iff $\langle p, \alpha, m \rangle \stackrel{*}{\Rightarrow} \langle p', \varepsilon, m' \rangle$.

The next two theorems establish, respectively, that reactions are deterministic and always terminate (for the nontrivial programs $p \neq \text{skip}, v \coloneqq a, \text{break}$).

Theorem 1.10 (Determinism of the reaction relation). *For all p, p*₁, $p_2 \in P$, $\alpha \in E^*$, m, m_1 , $m_2 \in M$, and $n \in N$,

if
$$\langle p, \alpha, m \rangle \stackrel{n}{\models} \langle p_1, m_1 \rangle$$
 and $\langle p, \alpha, m \rangle \stackrel{n}{\models} \langle p_2, m_2 \rangle$,
then $\langle p_1, m_1 \rangle = \langle p_2, m_2 \rangle$.

Proof. [TODO: ?]

Theorem 1.11 (Termination of the reaction relation). *For all* $p \in P$, $\alpha \in E$, and $m \in M$, if $p \neq skip$, $v \coloneqq a$, break then

$$\langle p, \alpha, m \rangle \stackrel{n}{\models} \langle p', m' \rangle$$
,

for some $p' \in P$ and $m' \in M$.

Proof. [TODO: ?]

2 Big-step version of the original formulation

[TODO: Minha ideia aqui é fazer uma versão big-step da formulação original. E no final comparar as duas versões, i.e., mostrar que são equivalentes.]

Definition 2.1. [TODO: Parcial e provavelmente incorreta.] *Empty program*

$$(2.1) \qquad \langle \varepsilon, \alpha, m, n \rangle \leadsto \langle \alpha, m, n \rangle$$

Assignment

$$(2.2) \langle v \coloneqq a, \alpha, m, n \rangle \leadsto \langle \alpha, m[v/eval(a)], n \rangle$$

Conditionals

(2.3)
$$\frac{\langle p_1, \alpha, m, n \rangle \leadsto \langle \alpha', m', n' \rangle}{\langle \text{if } b \text{ then } p_1 \text{ else } p_2, \alpha, m, n \rangle \leadsto \langle \alpha', m', n' \rangle} \quad \text{if } eval(b, m) = 1$$

(2.4)
$$\frac{\langle p_2, \alpha, m, n \rangle \leadsto \langle \alpha', m', n' \rangle}{\langle \text{if } b \text{ then } p_1 \text{ else } p_2, \alpha, m, n \rangle \leadsto \langle \alpha', m', n' \rangle} \quad \text{if } eval(b, m) = 0$$

Await

(2.5)
$$\frac{\langle @awaiting(e, n+1), e\alpha, m, n \rangle \leadsto \langle \alpha', m', n' \rangle}{\langle await(e), \alpha, m, n \rangle \leadsto \langle \alpha', m', n' \rangle}$$

(2.6)
$$\langle \text{@awaiting}(e', n'), e\alpha, m, n \rangle \rightarrow \langle \alpha, m, n \rangle$$
 if $e' = e$ and $n' < n$

$$(2.7) \qquad \frac{\langle @\mathsf{awaiting}(e',n'),\alpha,m,n\rangle \leadsto \langle \alpha'',m'',n''\rangle}{\langle @\mathsf{awaiting}(e',n'),e\alpha,m,n\rangle \leadsto \langle \alpha'',m'',n''\rangle} \quad \text{if } e'\neq e \text{ or } n'\geq n$$

Emit

$$(2.8) \qquad \frac{\langle @\mathsf{emitting}(|\alpha|), e\alpha, m, n \rangle \leadsto \langle \alpha', m', n' \rangle}{\langle \mathsf{emit}(e), \alpha, m, n \rangle \leadsto \langle \alpha', m', n' \rangle}$$

$$(2.9) \hspace{1cm} \langle @\texttt{emitting}(n'), e\alpha, m, n \rangle \leadsto \langle \alpha, m, n \rangle \hspace{1cm} \text{if } |e\alpha| = n'$$

$$(2.10) \qquad \frac{\langle @\texttt{emitting}(n'), \alpha, m, n \rangle \leadsto \langle \alpha'', m'', n'' \rangle}{\langle @\texttt{emitting}(n'), e\alpha, m, n \rangle \leadsto \langle \alpha'', m'', n'' \rangle} \quad \text{if } |e\alpha| \neq n'$$