

Syntax and Semantics of V^-

Roger Burtonpatel

October 22, 2023

1 Syntax

NR: I recommend macros for optional and sequence, not literal brackets.

We present a grammar of V^- :

$\langle \text{program} \rangle$	$::= \{ \langle \text{def} \rangle \}$
$\langle \text{def} \rangle$	$::= \text{val } \langle \text{name} \rangle \langle \text{exp} \rangle$ $\langle \text{exp} \rangle$
$\langle \text{name} \rangle$	$::=$ any token that is not an <i>int-lit</i> , does not contain whitespace, and is not a $\langle \text{value-constructor-name} \rangle$ or a reserved word.
$\langle \text{value-constructor-name} \rangle$	$::= \text{cons} \mid [] \mid$ any token that begins with a capital letter or a colon
$\langle \text{exp} \rangle$	$::= \langle \text{integer-literal} \rangle$ $\langle \text{name} \rangle$ $\langle \text{guarded-if} \rangle$ $\langle \text{lambda} \rangle$
$\langle \text{integer-literal} \rangle$	$::=$ token composed only of digits, possibly prefixed with a + or -.
$\langle \text{lambda} \rangle$	$::= \lambda \{ \langle \text{name} \rangle \} . \langle \text{exp} \rangle$
$\langle \text{guarded-if} \rangle$	$::= \text{if } [\langle \text{guarded-exp} \rangle \{ [] \langle \text{guarded-exp} \rangle \}] \text{ fi}$
$\langle \text{guarded-exp} \rangle$	$::= \rightarrow \langle \text{exp} \rangle$ $\text{E } \{ \langle \text{logical-var} \rangle \} . \langle \text{guarded-exp} \rangle$ $\langle \text{exp} \rangle ; \langle \text{guarded-exp} \rangle$ $\langle \text{logical-var} \rangle = \langle \text{exp} \rangle ; \langle \text{guarded-exp} \rangle$ $\langle \text{exp} \rangle = \langle \text{exp} \rangle ; \langle \text{guarded-exp} \rangle$ $\langle \text{guarded-exp} \rangle \mid \langle \text{guarded-exp} \rangle$ $\text{one}(\{ \langle \text{guarded-exp} \rangle \})$ $\text{all}(\{ \langle \text{guarded-exp} \rangle \})$
$\langle \text{logical-var} \rangle$	$::=$ a fresh name (cannot be lam- or E-bound in this scope).

NR: I'm skeptical about some of the forms of guarded expression:

- Choice doesn't look flexible enough, e.g., to express this:

$$\exists x. (x < 0 \mid x > 0) \rightarrow 17$$

- Unconvinced about one and all here. Let's see some examples.

Add patterns, value constructors (application), choice, one, all.

2 Refinement ordering on environments

$$\begin{aligned} \rho \subseteq \rho' \text{ when } & \text{dom } \rho \subseteq \text{dom } \rho' \\ & \text{and } \forall x \in \text{dom } \rho : \rho(x) \subseteq \rho'(x) \end{aligned}$$

3 Forms of Judgement for V^- :

Metavariables	
v, v'	value
eq	equation
$?t$	a temporarily-stuck equation NR: <i>Needs to record what is stuck</i>
fail	failure
$\rho, \hat{\rho}$	environment: $name \rightarrow \mathcal{V}_\perp$
\mathcal{T}	Context of all temporarily stuck equations
e	An expression
ge	A guarded expression

Equations

An *equation* is either solved to produce bindings that extend an environment, gets temporarily stuck, or fails.

$$\langle \rho, eq \rangle \mapsto \hat{\rho} \text{ (EQUATIONSUCCESS)}$$

$$\langle \rho, eq \rangle \mapsto ?t \text{ (EQUATIONTEMPSTUCK)}$$

$$\langle \rho, eq \rangle \mapsto \mathbf{fail} \text{ (EQUATIONFAIL)}$$

Success only refines the environment; that is, when $\langle \rho, e \rangle \mapsto \rho'$, we expect $\rho \subseteq \rho'$.

Expressions

An expression either evaluates to produce a value or fails. NR: *Don't we want a sequence of values in the success case? And if we have a sequence, do we need **fail**? Or will the empty sequence suffice?*

$$\langle \rho, e \rangle \Downarrow v \text{ (EVALSUCC)}$$

$$\langle \rho, e \rangle \Downarrow \mathbf{fail} \text{ (EVALFAIL)}$$

$$\langle \rho, \mathcal{T}, ge \rangle \Downarrow v \text{ (EVALGESUCC)}$$

$$\langle \rho, \mathcal{T}, ge \rangle \Downarrow \mathbf{fail} \text{ (EVALGEFAIL)}$$

Temporarily stuck equations

$$\langle \rho, ?t :: \mathcal{T} \rangle \mapsto \langle \hat{\rho}, \mathcal{T} \rangle \text{ (CTXTORHO)}$$

NR: *I don't buy it. The token $?t$ won't be enough to enable a rule to compute $\hat{\rho}$.*

4 Lists

Ideas:

- Use \cdot as an infix, associative concatenation operator.
- Extend it to single values in the customary way.
- Write the empty sequence as ε .

NR: *Could it be worth writing laws here?*

5 Rules (Big-step Operational Semantics) for V^- :

NR: Semicolon for list concatenation is not going to work here. I suggest concatenating with a small dot, as in “ $eq \cdot \mathcal{T}$.” As the notation stands, it makes my brain hurt. I’m not going to try to follow it.

$$(GE-CTX-STUCK) \frac{\langle \rho, eq \rangle \mapsto ?t \quad \langle \rho, ?t :: \mathcal{T} \rangle \mapsto \langle \hat{\rho}, \mathcal{T} \rangle \quad \langle \rho, \mathcal{T}, ge \rangle \Downarrow v}{\langle \rho, eq :: \mathcal{T}, ge \rangle \Downarrow v}$$

NR: The notation below has multiple horizontal lines. That makes it a derivation, not a rule. I’m having trouble figuring out what’s being said here.

$$(GE-EQ-SUCC) \frac{\frac{\langle \rho, eq \rangle \mapsto ?t \quad \langle \rho, ?t :: \mathcal{T} \rangle \mapsto \langle \hat{\rho}, \mathcal{T} \rangle \quad \langle \rho, \mathcal{T}, ge \rangle \Downarrow v}{\langle \rho, eq :: \mathcal{T}, ge \rangle \Downarrow v} \quad (GE-CTX-STUCK)}{\langle \rho, \mathcal{T}, eq; ge \rangle \Downarrow v}$$

NR: If you’re trying to pluck an equation out of a list of things, try “ $\mathcal{T} \cdot eq \cdot \mathcal{T}'$.”

$$(GE-CTX-SUCC) \frac{\langle \rho, eq \rangle \mapsto \hat{\rho} \quad \langle \hat{\rho}, \mathcal{T}, ge \rangle \Downarrow v}{\langle \rho, eq :: \mathcal{T}, ge \rangle \Downarrow v}$$

$$(GE-CTX-SUCC) \frac{\langle \rho, eq \rangle \mapsto \hat{\rho} \quad \langle \hat{\rho}, \mathcal{T}, ge \rangle \Downarrow v}{\langle \rho, eq :: \mathcal{T}, ge \rangle \Downarrow v} \quad [Right]$$

$$(GE-EQ-SUCC) \frac{\langle \rho, eq :: \mathcal{T}, ge \rangle \Downarrow v}{\langle \rho, \mathcal{T}, eq; ge \rangle \Downarrow v}$$

$$(GE-CTX-FAIL) \frac{\langle \rho, eq \rangle \mapsto \mathbf{fail}}{\langle \rho, eq :: \mathcal{T}, ge \rangle \Downarrow \mathbf{fail}}$$

$$(GE-EQ-FAIL) \frac{\frac{\langle \rho, eq \rangle \mapsto \mathbf{fail}}{\langle \rho, eq :: \mathcal{T}, ge \rangle \Downarrow \mathbf{fail}} \quad (GE-CTX-FAIL)}{\langle \rho, \mathcal{T}, eq; ge \rangle \Downarrow \mathbf{fail}}$$

$$(GE-EXP-FAIL) \frac{\langle \rho, e \rangle \Downarrow \mathbf{fail}}{\langle \rho, \mathcal{T}, e; ge \rangle \Downarrow \mathbf{fail}}$$

$$(GE-EQ-SUCC) \frac{\langle \rho, e \rangle \Downarrow v' \quad \langle \rho, \mathcal{T}, ge \rangle \Downarrow v}{\langle \rho, \mathcal{T}, e; ge \rangle \Downarrow v}$$