# Syntax and Semantics of $V^-$

#### Roger Burtonpatel

October 22, 2023

### 1 Syntax

*NR*: *I recommend macros for optional and sequence, not literal brackets.* We present a grammar of  $V^-$ :

```
::= \{\langle def \rangle\}
(program)
\langle def \rangle
                              ::= val \langle name \rangle \langle exp \rangle
                               |\langle exp \rangle
\langle name \rangle
                              ::= any token that is not an int-lit, does not contain whitespace, and is not a
                                     ⟨value-constructor-name⟩ or a reserved word.
(value-constructor-name) ::= cons | any token that begins with a capital letter or a colon
\langle exp \rangle
                               ::= \(\lambda \text{integer-literal}\)
                                    \langle name \rangle
                                     (guarded-if)
                                    (lambda)
                              ::= token composed only of digits, possibly prefixed with a + or -.
(integer-literal)
⟨lambda⟩
                              := \lambda \{\langle name \rangle \} . \langle exp \rangle
                              := if [\langle guarded-exp \rangle \{ [] \langle guarded-exp \rangle \}] fi
⟨guarded-if⟩
⟨guarded-exp⟩
                              := \rightarrow \langle exp \rangle
                                 \mathbb{E}\left\{\langle logical\text{-}var\rangle\right\}.\langle guarded\text{-}exp\rangle
                                    \langle exp \rangle; \langle guarded-exp \rangle
                                    \langle logical\text{-}var \rangle = \langle exp \rangle; \langle guarded\text{-}exp \rangle
                                    \langle exp \rangle = \langle exp \rangle; \langle guarded - exp \rangle
                                     \(\langle \guarded-exp\rangle \| \langle \guarded-exp\rangle \|
                                    one(\{\langle guarded-exp\rangle\})
                                    all(\{\langle guarded-exp\rangle\})
⟨logical-var⟩
                              ::= a fresh name (cannot be lam- or E-bound in this scope).
```

NR: I'm skeptical about some of the forms of guarded expression:

• Choice doesn't look flexible enough, e.g., to express this:

$$\exists x.(x < 0 | x > 0) \to 17$$

• Unconvinced about one and all here. Let's see some examples.

Add patterns, value constructors (application), choice, one, all.

# 2 Refinement ordering on environments

```
\rho \subseteq \rho'
 when 
dom \rho \subseteq dom \rho'

and 
\forall x \in dom \rho : \rho(x) \subseteq \rho'(x)
```

# **3** Forms of Judgement for $V^-$ :

Metavariables	
v, v'	value
eq	equation
?t	a temporarily-stuck equation NR: Needs to record what is stuck
fail	failure
$ ho,~\hat{ ho}$	environment: $name  o \mathcal{V}_{\perp}$
${\mathcal T}$	Context of all temporarily stuck equations
e	An expression
ge	A guarded expression

#### **Equations**

An *equation* is either solved to produce bindings that extend an environemnt, gets temporarily stuck, or fails.

$$\langle 
ho, eq 
angle 
ightarrow \hat{
ho}$$
 (EquationSuccess) 
$$\langle 
ho, eq 
angle 
ightarrow ? {\sf t} \ \ ({\sf EquationTempStuck})$$
 
$$\langle 
ho, eq 
angle 
ightarrow {\sf fail} \ \ ({\sf EquationFail})$$

Success only refines the environment; that is, when  $\langle \rho, e \rangle \rightarrow \rho'$ , we expect  $\rho \subseteq \rho'$ .

#### **Expressions**

An expression either evaluates to produce a value or fails. *NR*: *Don't we want a sequence of values in the success case? And if we have a sequence, do we need fail? Or will the empty sequence suffice?* 

$$\langle \rho, e \rangle \Downarrow v$$
 (EVALSUCC)  $\langle \rho, e \rangle \Downarrow \mathbf{fail}$  (EVALFAIL)  $\langle \rho, \mathcal{T}, ge \rangle \Downarrow v$  (EVALGESUCC)  $\langle \rho, \mathcal{T}, ge \rangle \Downarrow \mathbf{fail}$  (EVALGEFAIL)

## Temporarily stuck equations

$$\langle \rho, ?t; \mathcal{T} \rangle \mapsto \langle \hat{\rho}, \mathcal{T} \rangle$$
 (CTXTORHO)

*NR*: *I don't buy it. The token ?t won't be enough to enable a rule to compute*  $\hat{\rho}$ .

#### 4 Lists

Ideas:

- Use · as an infix, associative concatenation operator.
- Extend it to single values in the customary way.
- Write the empty sequence as  $\varepsilon$ .

NR: Could it be worth writing laws here?

# 5 Rules (Big-step Operational Semantics) for $V^-$ :

NR: Semicolon for list concatenation is not going to work here. I suggest concatenating with a small dot, as in "eq  $\cdot T$ ." As the notation stands, it makes my brain hurt. I'm not going to try to follow it.

$$\begin{array}{cccc} \text{(Ge-CTX-STUCK)} & \frac{\langle \rho, eq \rangle \rightarrowtail ?\texttt{t} & \langle \rho, ?\texttt{t}; \mathcal{T} \rangle \rightarrowtail \langle \hat{\rho}, \mathcal{T} \rangle & \langle \rho, \mathcal{T}, ge \rangle \Downarrow v \\ & & \langle \rho, eq; \mathcal{T}, ge \rangle \Downarrow v \end{array}$$

NR: The notation below has multiple horizontal lines. That makes it a derivation, not a rule. I'm having trouble figuring out what's being said here.

$$(\text{GE-EQ-SUCC}) \xrightarrow{\begin{array}{cccc} \langle \rho, eq \rangle \rightarrowtail ?t & \langle \rho, ?t; \mathcal{T} \rangle \rightarrowtail \langle \hat{\rho}, \mathcal{T} \rangle & \langle \rho, \mathcal{T}, ge \rangle \Downarrow v \\ \hline & & \langle \rho, eq; \mathcal{T}, ge \rangle \Downarrow v \\ \hline & & \langle \rho, \mathcal{T}, eq; ge \rangle \Downarrow v \end{array}} \text{(GE-CTX-STUCK)}$$

NR: If you're trying to pluck an equation out of a list of things, try " $T \cdot eq \cdot T'$ ."

(GE-CTX-SUCC) 
$$\frac{\langle \rho, eq \rangle \rightarrowtail \hat{\rho} \qquad \langle \hat{\rho}, \mathcal{T}, ge \rangle \Downarrow v}{\langle \rho, eq; \mathcal{T}, ge \rangle \Downarrow v}$$

$$\begin{array}{l} \text{(GE-CTX-SUCC)} \quad \frac{\langle \rho, eq \rangle \rightarrowtail \hat{\rho} \quad \langle \hat{\rho}, \mathcal{T}, ge \rangle \Downarrow v}{\langle \rho, eq; \mathcal{T}, ge \rangle \Downarrow v} \\ \hline \\ \frac{\langle \rho, eq; \mathcal{T}, ge \rangle \Downarrow v}{\langle \rho, \mathcal{T}, eq; ge \rangle \Downarrow v} \end{array}$$

(GE-CTX-FAIL) 
$$\frac{\langle \rho, eq \rangle \rightarrowtail \mathbf{fail}}{\langle \rho, eq; \mathcal{T}, ge \rangle \Downarrow \mathbf{fail}}$$

$$(\text{GE-EQ-FAIL}) \ \frac{\langle \rho, eq \rangle \rightarrowtail \textbf{fail}}{\langle \rho, eq; \mathcal{T}, ge \rangle \Downarrow \textbf{fail}} \ (\text{GE-CTX-FAIL})}{\langle \rho, \mathcal{T}, eq; ge \rangle \Downarrow \textbf{fail}}$$

(Ge-Exp-Fail) 
$$\frac{\langle \rho, e \rangle \Downarrow \mathbf{fail}}{\langle \rho, \mathcal{T}, e; ge \rangle \Downarrow \mathbf{fail}}$$

$$(\text{GE-EQ-SUCC}) \ \frac{\langle \rho, e \rangle \Downarrow v' \qquad \langle \rho, \mathcal{T}, ge \rangle \Downarrow v}{\langle \rho, \mathcal{T}, e; ge \rangle \Downarrow v}$$