

Why, When and How to Converse with a Robot

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 Dept. Computer Science, University of Sheffield
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 (Visiting Prof., Bristol Robotics Lab.)



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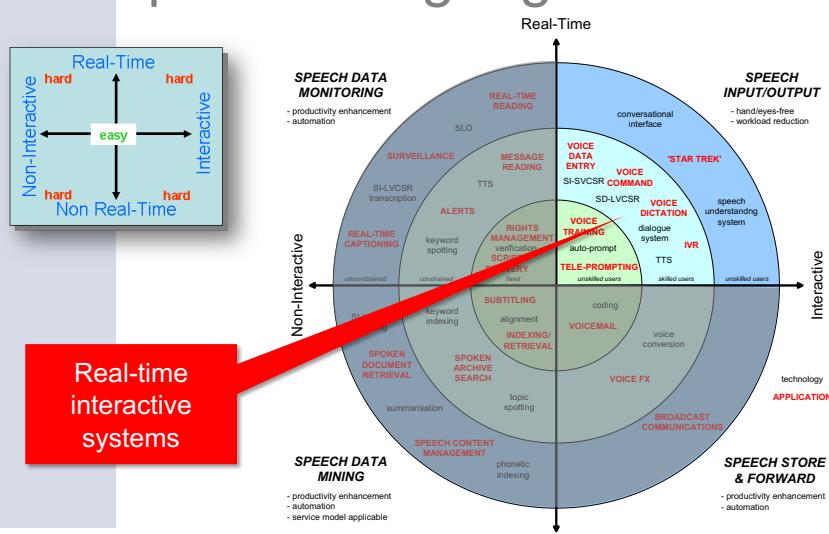
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Spoken Language Technology



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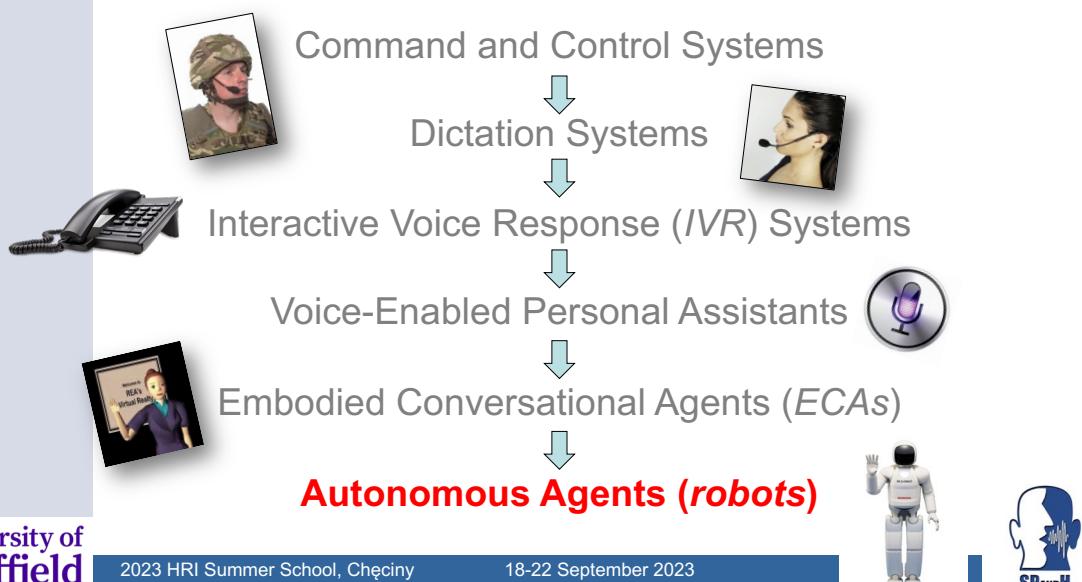
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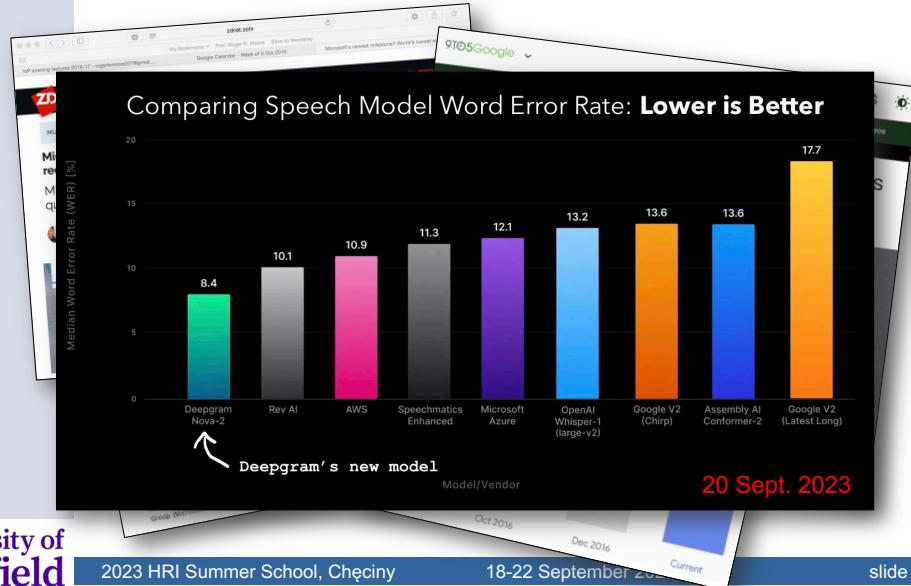
Talking with Machines



Talking with Machines



Amazing Progress



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Amazing Progress



- Tens of millions of Alexa-enabled devices were sold worldwide over the 2017 Christmas holiday season
- Google Assistant is available on over 225 home-control brands and more than 1,500 devices
- Apple's Siri has 41.4 million monthly active users in the U.S. as of July 2017

<https://medium.com/swlh/the-past-present-and-future-of-speech-recognition-technology-cf13c179aaf>



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Where Are We Going?




GLOBAL VOICE ASSISTANT MARKET



North America
Largest Market By Region (2019E)



APAC
Fastest-Growing Market By Region (2020-2030)

2019 Market Size \$1,723.6 million

2030 Market Size \$26,872.6 million

Market Growth Rate (2020-2030) 29.7 %

<https://www.psmarketresearch.com/market-analysis/voice-assistant-market>

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Where Are We Going?




GLOBA

North
Largest By Reg



BUSINESS INSIDER UK Tech Finance Politics Strategy All More: Innovation robot pepper

The next generation of Siri-like assistants will be robots living in your home

■ ANTONIO VILLAS-BOAS JUN. 22, 2015, 6:40 PM | A 19

Most robots so far have remained on testing platforms or on stages at showcase events to show off a company's technological ability.

The Pepper robot built by Japanese companies Alderbaran and Softbank, on the other hand, can be bought online and used at home right now. Except you may have to wait a long time as the first batch of 1000 Peppers was sold out in about a minute, and it's only available in Japan.

BUSINESS INSIDER Pepper, the personal digital assistant robot.

<https://www.psmarketresearch.com/market-analysis/voice-assistant-market>

Siri Google Now Cortana

APAC Fastest-Growing Market (2020-2030)

Market Growth Rate (2020-2030) 29.7 %

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Are We Nearly There Yet?



<https://youtu.be/pIEFKWYW8bg>



<https://youtu.be/JepKVUym9Fg>



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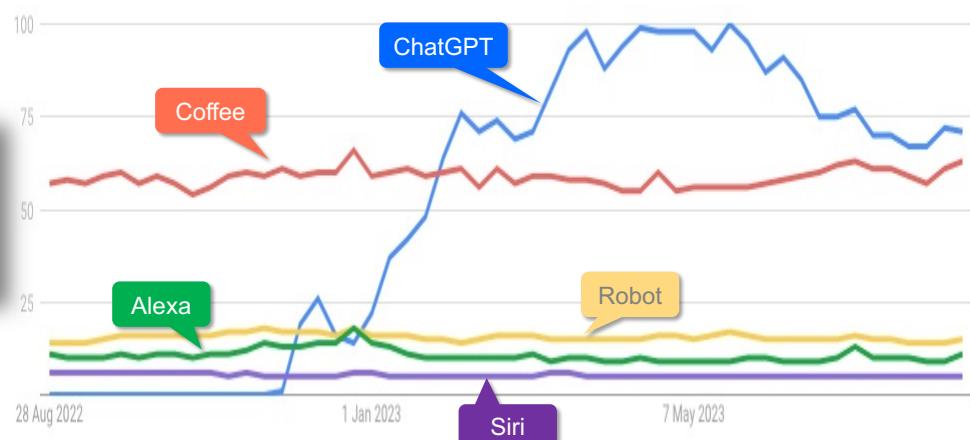
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Recent Trends



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The ‘State-of-the-Art’

- There is steady year-on-year progress
- Improvements come from:
 - increase in available computer power
 - latest machine-learning paradigms
 - huge real-world training corpora
 - open tools / benchmark testing / challenges
- Progress has not come about as a result of deep insights into human spoken language
- Spoken language technology is ...
 - fragile (*in ‘real’ conditions*)
 - expensive (*to port to new applications / languages*)
 - inefficient (*trained on more data than a person hears in a lifetime*)
 - biased (*trained on un-curated data*)
 - untrustworthy (*e.g. hallucinates misinformation*)
 - ecologically damaging (*model training has a significant carbon footprint*)
- It is easy to underestimate the richness and complexity of spoken language interaction
- It is not ‘natural’ to talk to a machine!
- The availability of open tools and data is de-skilling




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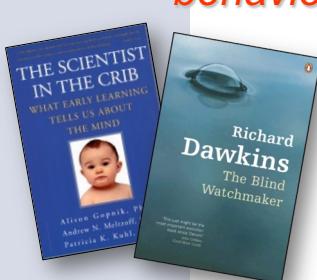
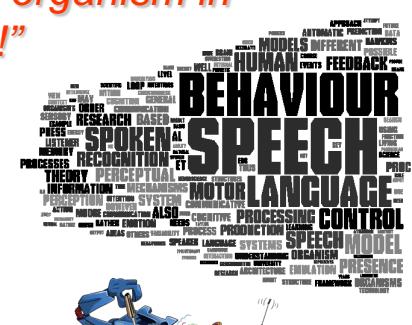


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Speech is not just another Modality

“Spoken language is the most sophisticated behaviour of the most complex organism in the known universe!”

Simply interfacing state-of-the-art speech technology with a state-of-the-art robot does not lead to effective human-robot interaction
(*talking and listening is not enough*)




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Speech is not just Audible Text



Speech is ...

- variable
- ambiguous
- effortful
- contrastive
- prosodic
- adaptive
- context-dependent
- meaningful
- referential
- indexical
- rhetorical
- paralinguistic
- personalised
- affective
- multimodal
- contaminated



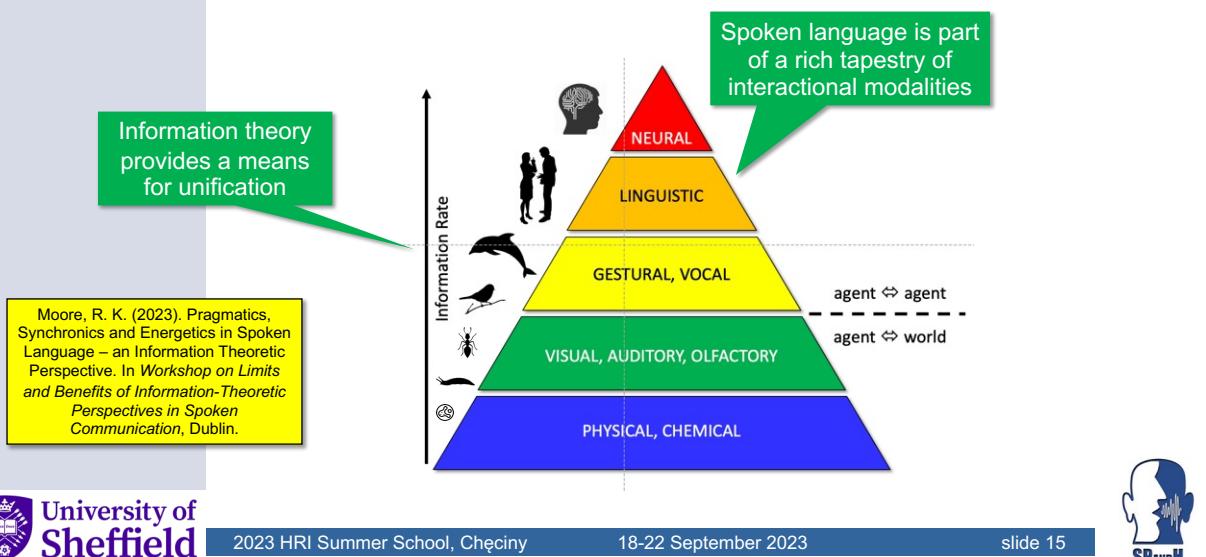
What is Language Like?



Cummins, F. (2011). Periodic and aperiodic synchronization in skilled action. *Frontiers in Human Neuroscience*, 5(170), 1-9.



A Unifying Framework



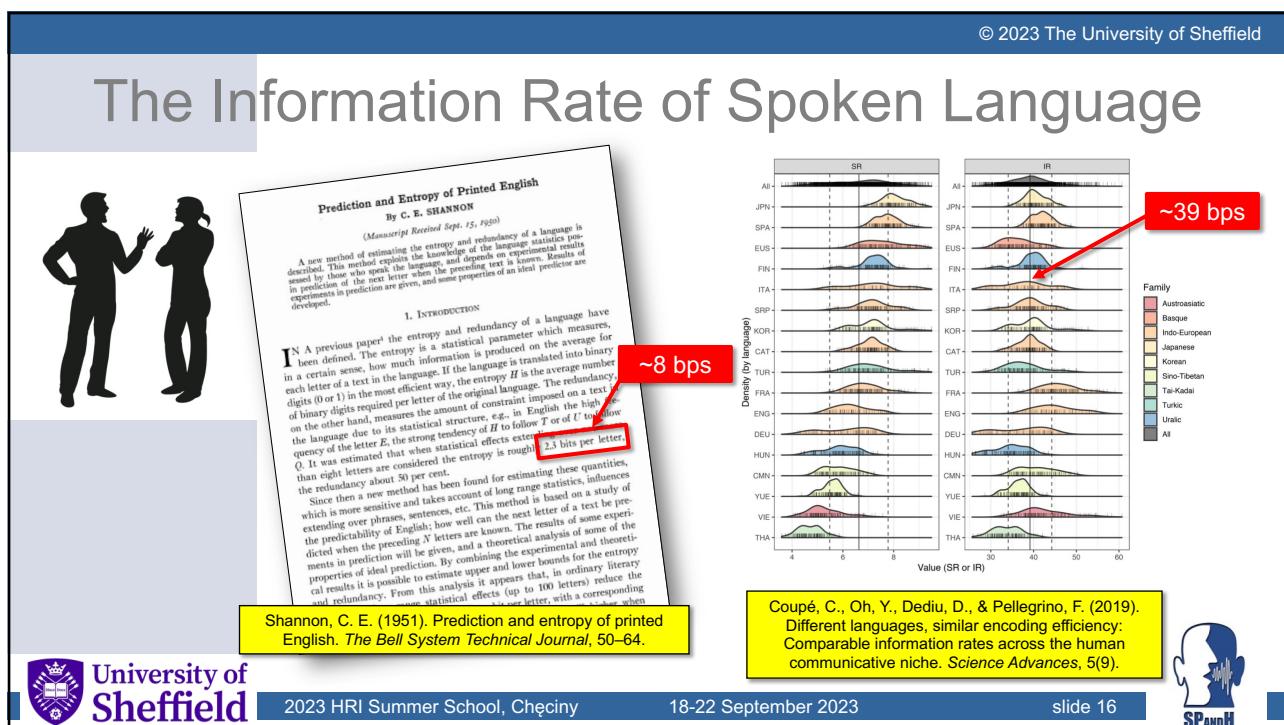
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The Information Rate of Spoken Language



Moore, R. K. (2023). Pragmatics, synchronics and energetics in spoken language – an information theoretic perspective. In *Workshop on Limits and Benefits of Information-Theoretic Perspectives in Spoken Communication* (LBIT), Dublin.

- Of course, spoken language is *not* a fixed code with a constant information rate
- What people say is conditioned on critical *causal* factors such as ...
 - their situated and embodied circumstances: '*pragmatics*'
 - the temporal evolution of events: '*synchronics*'
 - the level of effort that they are prepared to devote to their behaviour: '*energetics*'
- In other words, the information rate in spoken language varies as a function of the pragmatic, synchronic and energetic context



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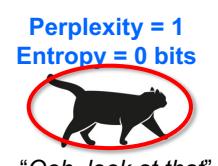
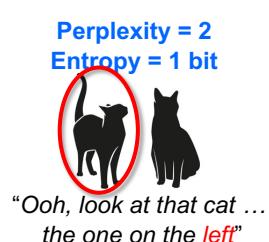
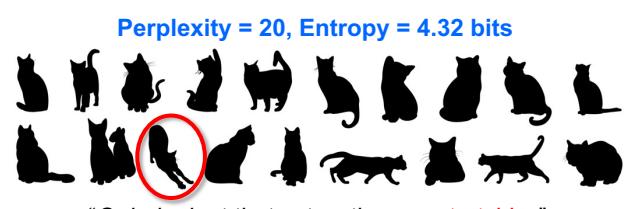
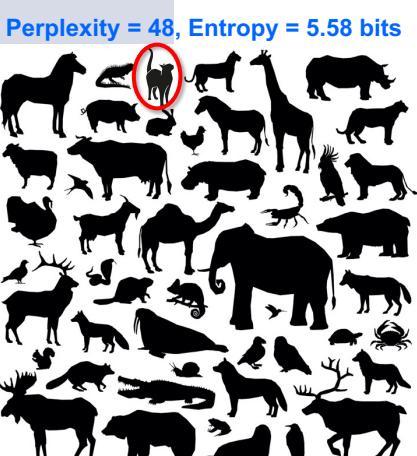
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Pragmatics: *situated/embedded context*



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Pragmatics → Energetics

Entropy = 1 bit

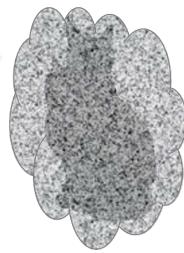


"Ooh, look at that cat ...
the one *in front and slightly
to the left*"

Transmitted Information = 83 bits

Ambiguity
(Shannon's 'noisy
channel' metaphor)

Entropy = 1 bit



"Ooh, look at that ... *I think it's a
cat, or maybe two cats with one
sitting in front of the other as I
can see what looks like three
ears with one head lower than
the other and slightly to the left?*"

Transmitted Information = 450 bits



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Silhouette images from Freepik

Zipf, G. K. (1949). *Human Behavior
and the Principle of Least-Effort*.
Cambridge: Addison-Wesley Press.

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Energetics: *motivational context*

Transmitted Information \propto Effort



"Why do I have to shout?"

"I ... DO ... NOT ... KNOW!"
"I do not know" 47 bits
"*I don't know*"
"I dunno"
"dunno"
[əəə]

3 bits

Hawkins, S. (2003). Roles and
representations of systematic fine
phonetic detail in speech
understanding. *Journal of
Phonetics*, 31, 373-405.

"Huh? Speak more clearly!"



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Silhouette images from Freepik

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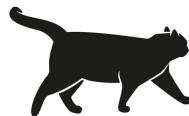
20

Energetics → Synchronics

Lindblom, B. (1990). Explaining phonetic variation: a sketch of the H&H theory. In W. J. Hardcastle & A. Marchal (Eds.), *Speech Production and Speech Modelling* (pp. 403–439). Kluwer Academic Publishers.



“Ooh, look at that cap”



“Ooh, look at that cart”



“Ooh, look at that rat”

Localised Contrastive Effort
(in time)



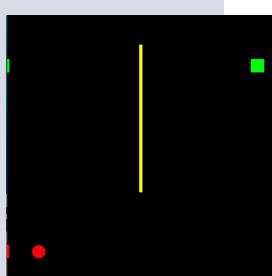
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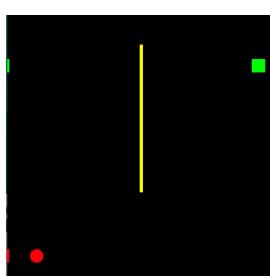
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trapped by a local minimum



overcoming trap by communicating

Moore, R. K. (2023). Local minima drive communications in cooperative interaction. *Proceeding of the AISB Convention, Swansea.*



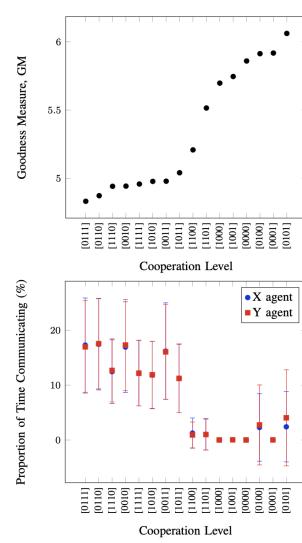
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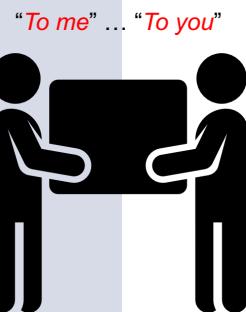
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Synchronics: *temporal context*



Mutual Information
(multi-modal)



"Look at that ..."

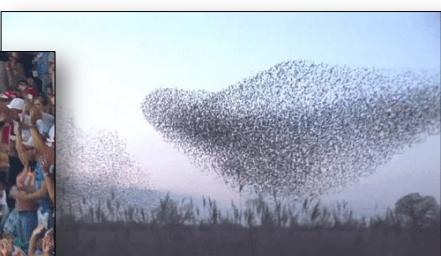


Silhouette images designed by kjpargeter / Freepik
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Synchronics: *temporal context*



Strogatz, S. H. (2012). *Sync: How Order Emerges from Chaos In the Universe, Nature, and Daily Life*. Hachette Book Group.



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Synchronics: temporal context



alignment → accommodation → entrainment



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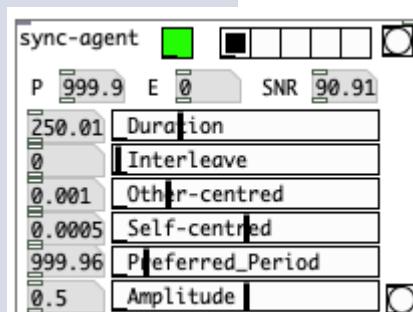
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Cummins, F. (2014). Voice, (inter-)subjectivity, and real time recurrent interaction. *Frontiers in Psychology*, 5, 760.

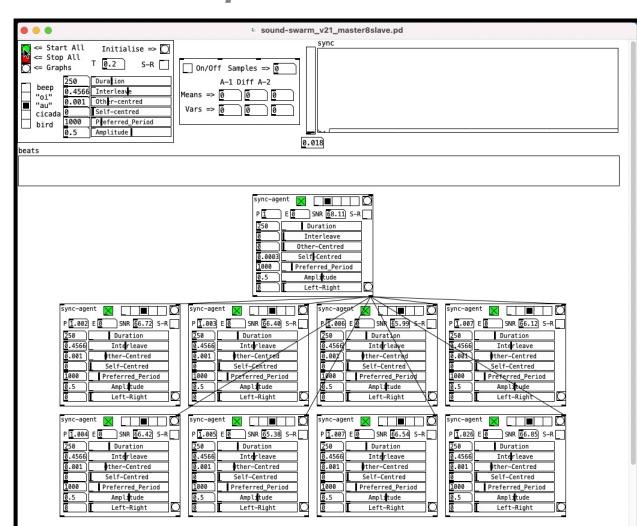
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Synchronics: temporal context



Vocalising/Listening 'Agent'

Moore, R. K. (2023). A control systems perspective on entrainment. *RITMO Entrainment Workshop*, Oslo.



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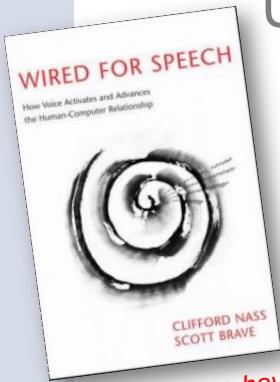
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Usability Issues



“Voice interfaces have become notorious for fostering frustration and failure.”

- noise
- accents
- understanding
- privacy
- embarrassment
- alternative GUIs
- task familiarity
- limited functionality



Moore, R. K., Li, H., & Liao, S.-H. (2016). Progress and prospects for spoken language technology: what ordinary people think. *INTERSPEECH* (pp. 3007–3011). San Francisco, CA.

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Alexa is not a Robot!

(and a robot may not be a personal assistant)



"Alexa, play morning playlist."



Hey Siri



"A robot is an actuated mechanism programmable in two or more axes with a degree of autonomy, moving within its environment, to perform intended tasks."

<http://www.leorobotics.nl/definition-robots-and-robotics>



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Talking to a Robot



Huang, G. & Moore, R. K. (submitted). Freedom Comes with a Cost?: How Affordance Designs Affect Users' Experience with a Conversational Social Robot. *Frontiers in Robotics and AI*.



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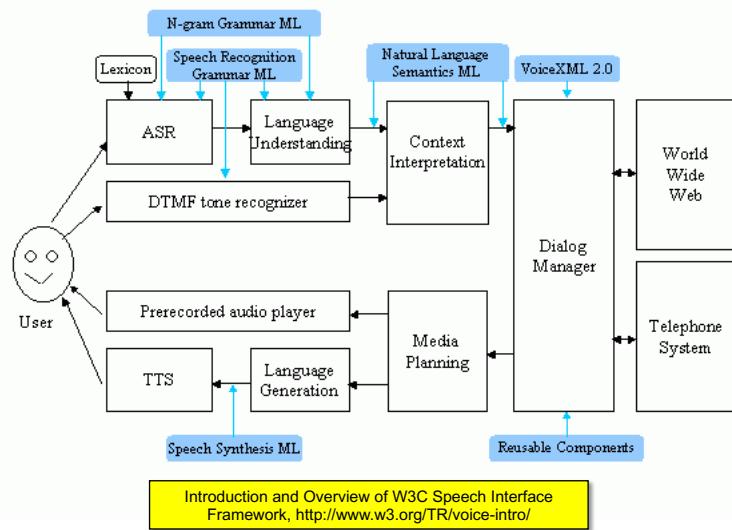
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'Standard' Speech Interface



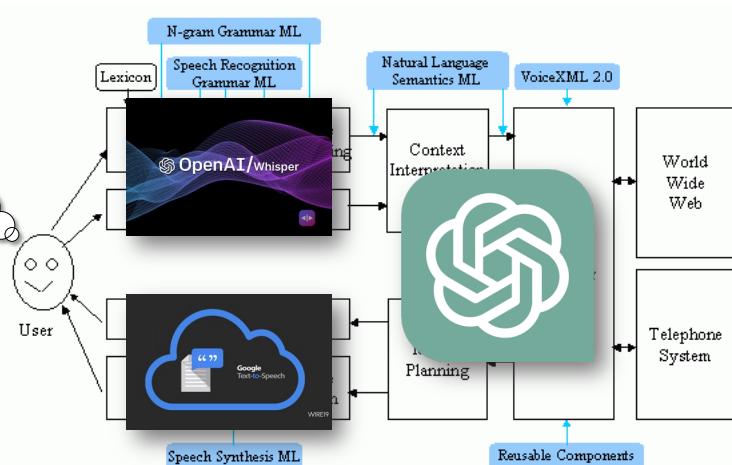
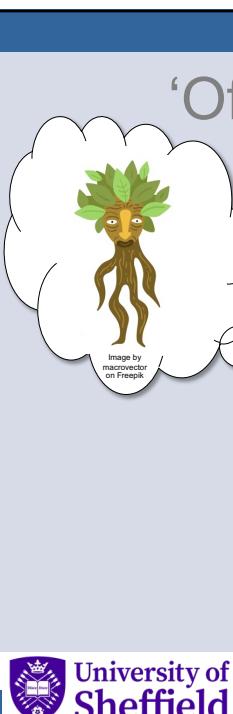
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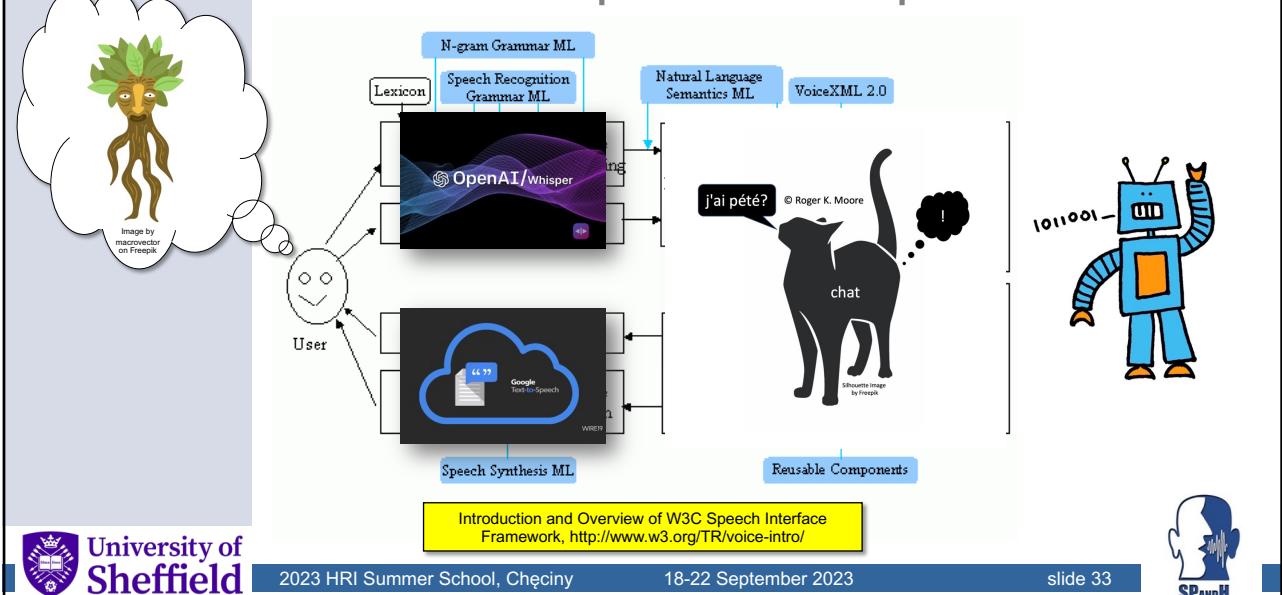
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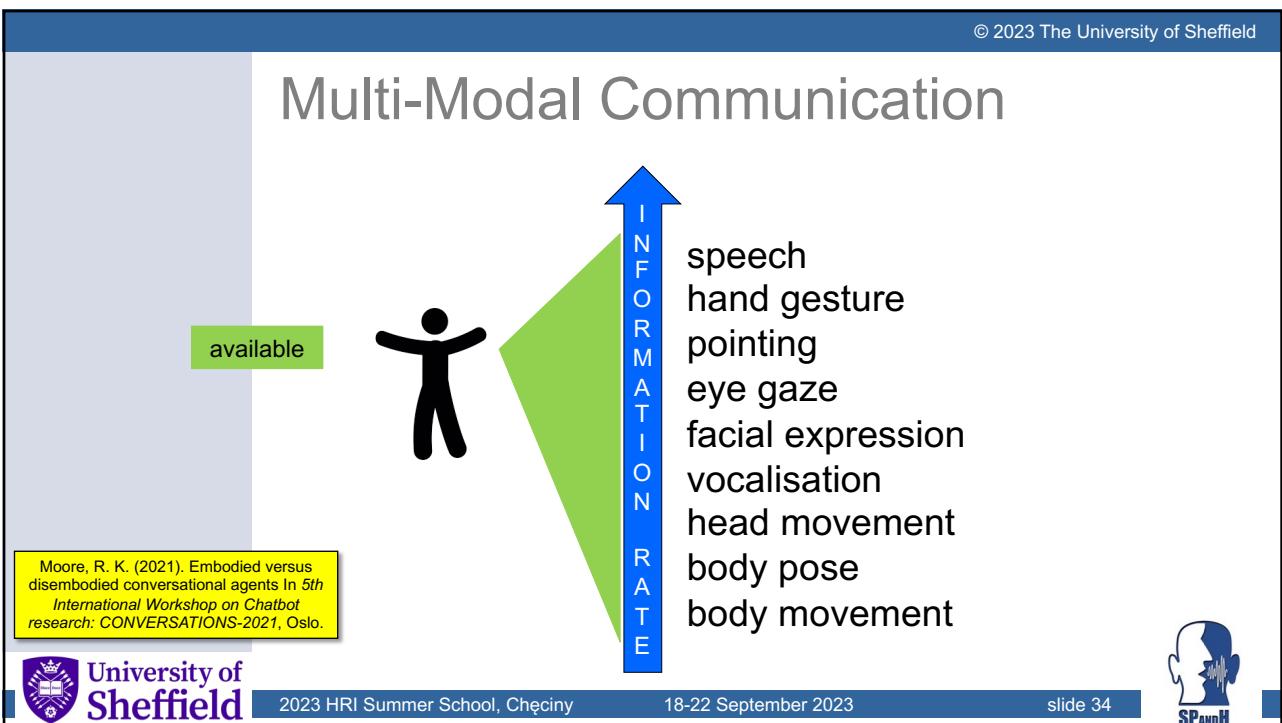


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'Off-the-Shelf' Speech Components

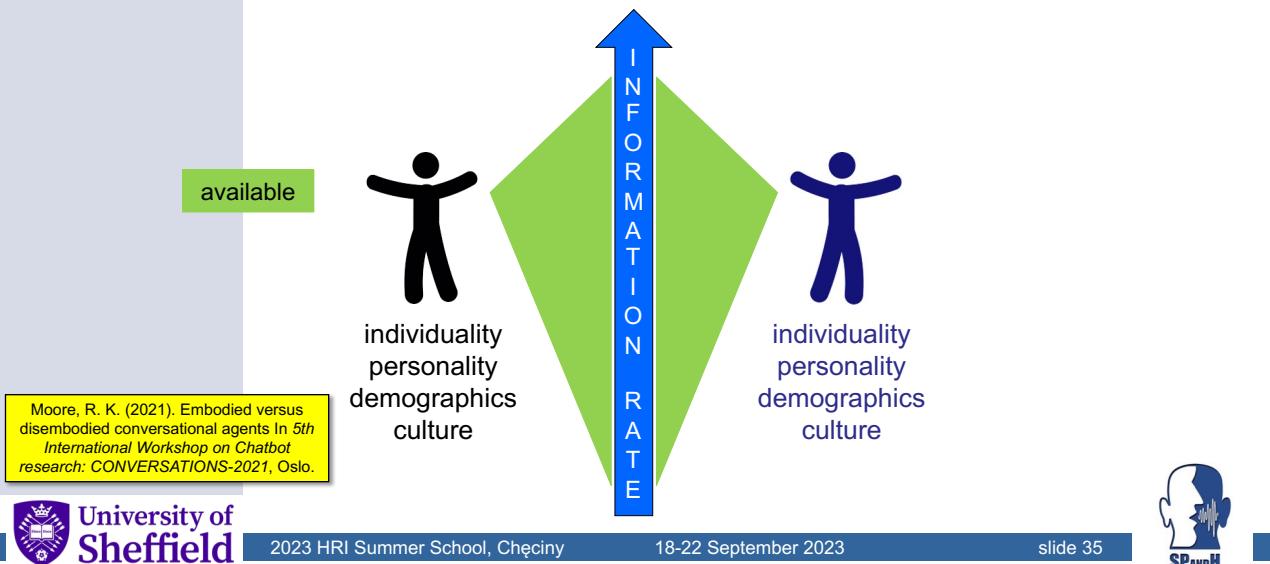


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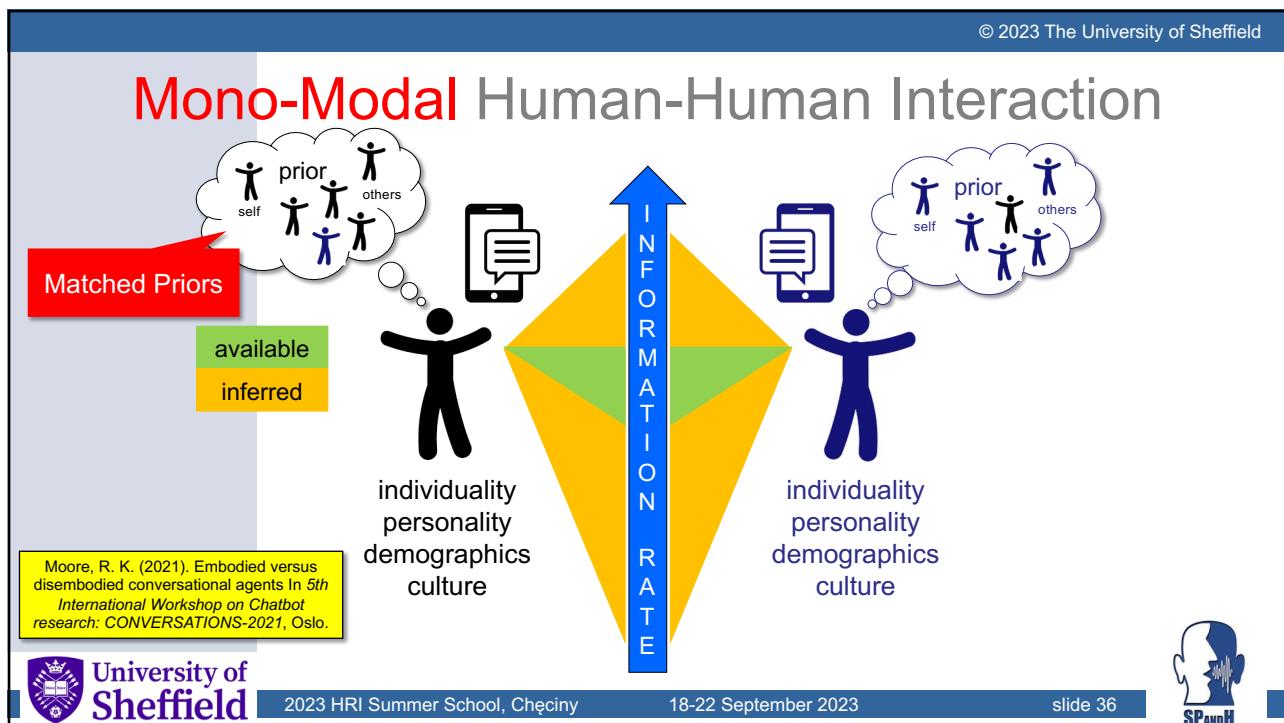


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Multi-Modal Human-Human Interaction

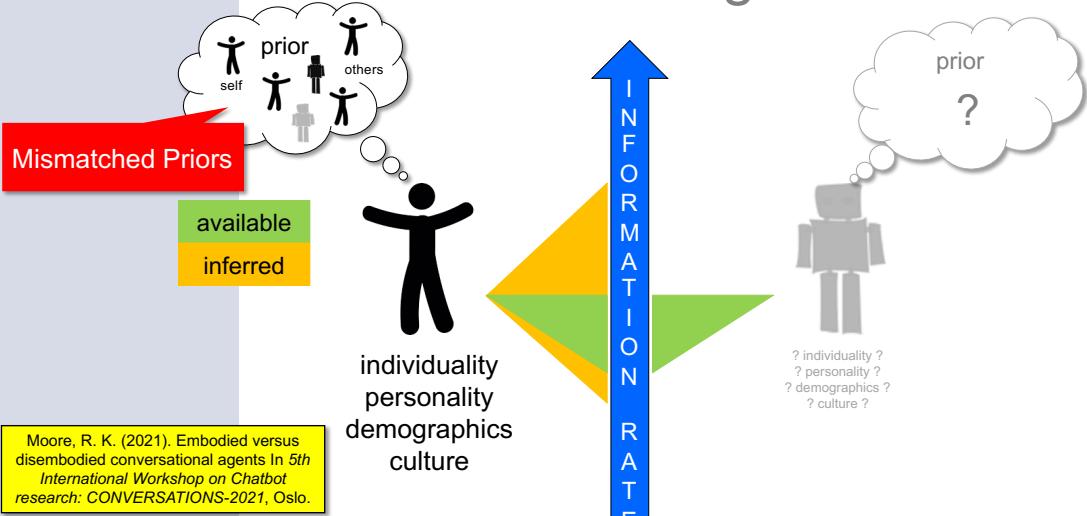


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Mono-Modal Human-Agent Interaction



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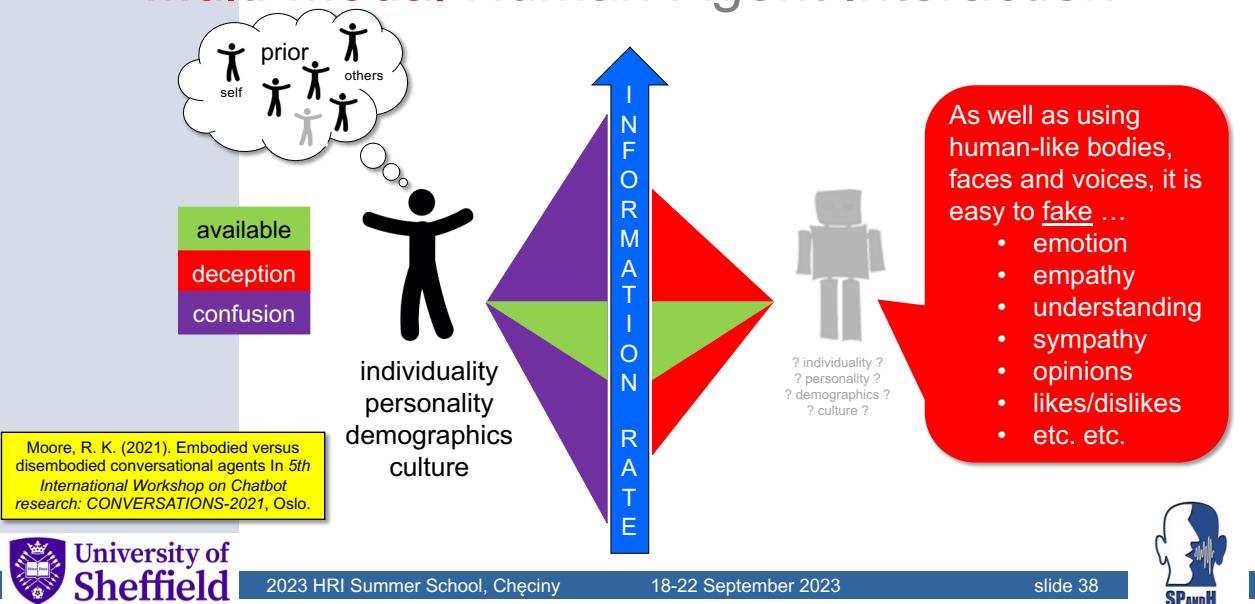
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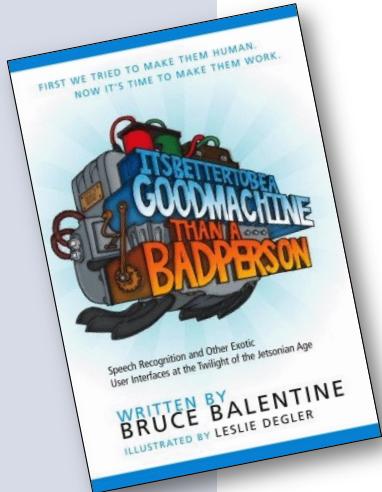
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Multi-Modal Human-Agent Interaction



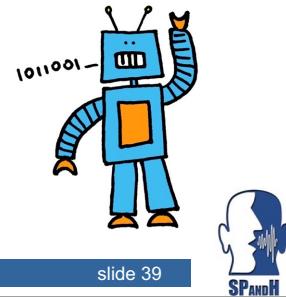
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Mismatched Interlocutors



- Spoken language interaction between human beings is founded on **shared experiences, representations and priors** (*i.e. mutual situatedness/embodiment*)
- So, is there a fundamental limit to the language-based interaction that can take place between **mismatched interlocutors**?

Moore, R. K. (2016). Is spoken language all-or-nothing? Implications for future speech-based human-machine interaction. In K. Jokinen & G. Wilcock (Eds.), *Dialogues with Social Robots – Enablements, Analyses, and Evaluation*. Springer Lecture Notes in Electrical Engineering (LNEE).



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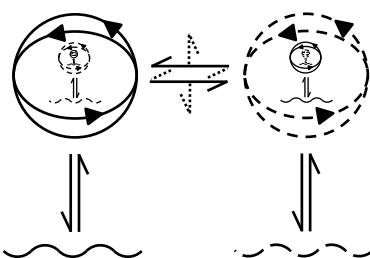
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Matched Interlocutors



Human-Human Languaging

=

“Ostensive Inferential Recursive Mind-Reading”

Moore, R. K. (2016). Introducing a pictographic language for envisioning a rich variety of enactive systems with different degrees of complexity. *Int. J. Advanced Robotic Systems*, 13(74).



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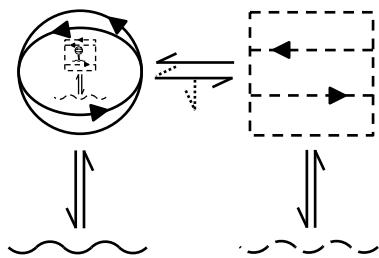
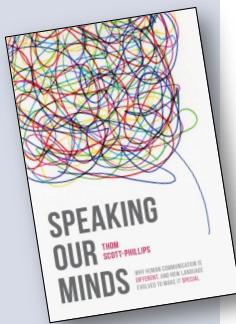
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Mismatched Interlocutors



Moore, R. K. (2016). Introducing a pictographic language for envisioning a rich variety of enactive systems with different degrees of complexity. *Int. J. Advanced Robotic Systems*, 13(74).

Human-Robot Languaging

=

~~"Ostensive Inferential Recursive Mind Reading"~~

T. Scott-Phillips: "The assumption of continuity between a fully coded communication system at one end, and language at the other, is simply not justified."



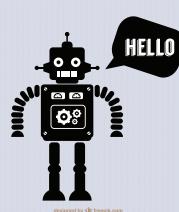
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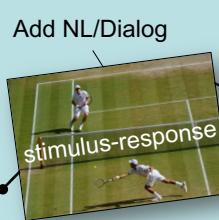


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Usability

Philips, M. (2006). Applications of spoken language technology and systems. In M. Gilbert & H. Ney (Eds.), *IEEE/ACL Workshop on Spoken Language Technology (SLT)*



Structured Dialog

Like a Human



'Habitability Gap'



Flexibility



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Talking to a Machine

SCIENTIFIC REPORTS

A Bayesian explanation of the 'Uncanny Valley' effect and related psychological phenomena

Roger K. Moore

14 June 2012
Accepted 9 October 2012
Published 18 November 2012

Moore, R. K. (2012). A Bayesian explanation of the "Uncanny Valley" effect and related psychological phenomena. *Nature Scientific Reports*, 2(864).

Like a Human

Flexibility

Mori, M. (1970). *Bukimi no tani* (the uncanny valley). *Energy*, 7, 33-35.

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The Uncanny Valley

FINANCIAL TIMES

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What happens when AI passes through the 'uncanny valley'?

Robots are close to being so convincing that we can't tell them apart from humans — and that could be a problem

The Register

Why can't Nvidia boss Jensen Huang escape the Uncanny Valley that makes AI feel icky?

Is he human? Is he an avatar? Does it really even matter?

ARTnews

Gagosian's DALL-E-Enabled Art Exhibition Throws Us Headfirst into the Uncanny Valley

IFLSCIENCE

Starfield character smiles are creepy and a game developer explains exactly why

The Uncanny Valley – What is it?

Dr. Russell Moore

University of Sheffield

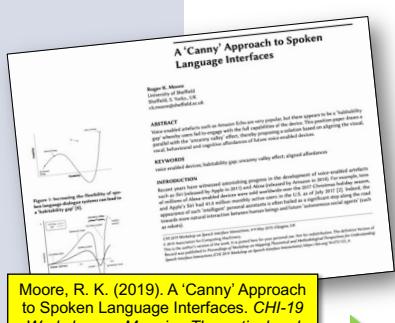
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A 'Canny' Approach



Moore, R. K. (2019). A 'Canny' Approach to Spoken Language Interfaces. *CHI-19 Workshop on Mapping Theoretical and Methodological Perspectives for Understanding Speech Interface Interactions*, Glasgow.

- Minimise conflicting cues by aligning an agent's 'affordances':
 - visual (i.e. *what it looks like*)
 - vocal (i.e. *what it sounds like*)
 - behavioural (i.e. *how it behaves*)
 - cognitive (i.e. *what it appears to know*)
 - communicative (i.e. *how it interacts*)
- This means that an agent's capabilities will be capped (*but in a meaningful way*)
- It also implies that a voice-enabled agent should have an '**appropriate**' voice (*and a chatbot should use an appropriate font!*)

uncanniness ↓ ► affinity ↑ ► rapport ↑



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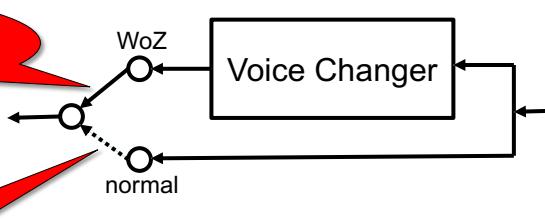
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Deploying an 'Appropriate' Voice

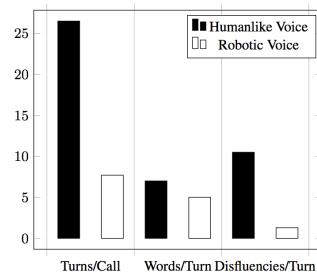
"Welcome to the route planning service - how can I help you?"



"Welcome to the route planning service - how can I help you?"



Moore, R. K., & Morris, A. (1992). Experiences collecting genuine spoken enquiries using WOZ techniques. *5th DARPA Workshop on Speech and Natural Language*, 61–63.



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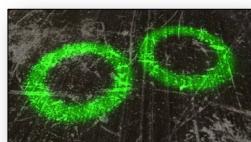
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'Appropriate' Voices



Wilson, S., & Moore, R. K. (2017). Robot, alien and cartoon voices: implications for speech-enabled systems. *1st Int. Workshop on Vocal Interactivity in-and-between Humans, Animals and Robots (VIHAR-2017)*, 40–44.



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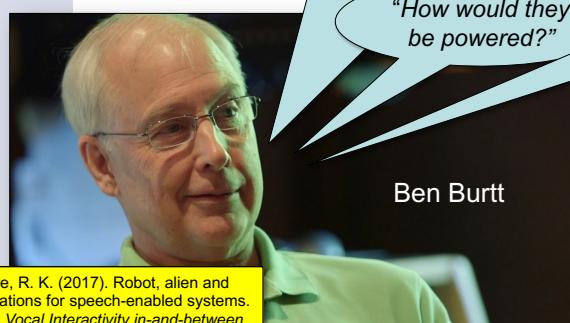
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'Appropriate' Voices

"I usually first think, if these objects, places, robots or machines really existed what would they sound like?"

"How would they be powered?"

"What would be the actual physics of how they work?"



Ben Burtt

Wilson, S., & Moore, R. K. (2017). Robot, alien and cartoon voices: implications for speech-enabled systems. *1st Int. Workshop on Vocal Interactivity in-and-between Humans, Animals and Robots (VIHAR-2017)*, 40–44.



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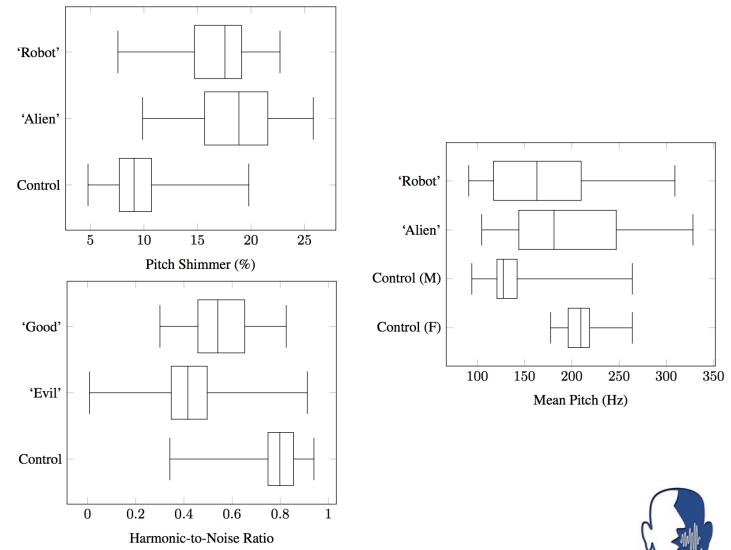


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Designer Voices

Technique	Method
Time reversal	delay line
Speed change	delay line
Tremolo	modulated amplitude
Vibrato	modulated pitch
Ring modulation	multiplication of two signals
Comb filter	short delayed version added to the original
Echo	long delayed version added to the original
Flanger	delay-modulated version added to the original
Chorus	multiple flangers with different delays
Phaser	phase-modulated version added to the original
Reverberation	convolution with room acoustic
Pitch shift	homomorphic filtering
Harmony	pitch-shifted version added to the original
Filtering	frequency shaping
Formant shift	altered vocal tract length
Vocoding	linear prediction analysis-synthesis

Wilson, S., & Moore, R. K. (2017). Robot, alien and cartoon voices: implications for speech-enabled systems. *1st Int. Workshop on Vocal Interactivity in-and-between Humans, Animals and Robots (VIHAR-2017)*, 40–44.



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A Voice for the 'MiRo' Robot

The screenshot shows the 'Mammalian_Vocalisation' software interface. It includes sections for 'Lungs' (lung_capacity: 0.11, lung_rate: 0.024), 'Emotion' (ang_sad: 1, valence: 0.5), 'Body' (BODY_MASS: 2 kg, options for elephant, cow, lion, chimp, big dog, small dog, rabbit, mouse, animal, robot), 'Larynx' (fundamental_frequency: 757.8, pitch_quantisation: 1000, pitch_difference: 1, modulation_frequency: 0.223, FM_depth: 0.3, AM_depth: 1, vocal_quality: 3, aspiration: 0), 'Post_Processing' (ring_modulation: 0, delay_line: 0, options for 44100 Hz, 22050 Hz, 11025 Hz, 5512 Hz), 'Vocal_Tract' (vocal_frequency: 0, vocal_tract_length: 6.628, vocal_close/open: 0, syllabic_rate: 0.223, degree_of_closure: 1), and 'Presets' (options for default, normal, breath, snore, laugh/cry, dog, cat, sneeze, cough). A 'Soundrecorder' section at the bottom has buttons for RECORD, PLAYBACK, and SAVE, with a volume slider from 1s to 100s.



Body mass = 2 kg
Breathing rate = 0.7 Hz
Fundamental frequency = 760 Hz
Vocal tract length = 6.6 cm

Moore, R. K., & Mitchinson, B. (2017). A biomimetic vocalisation system for MiRo. In *Living Machines 2017*. Stanford, CA.



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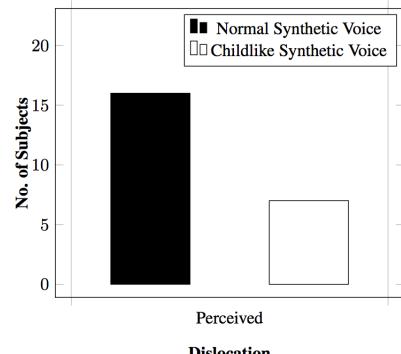
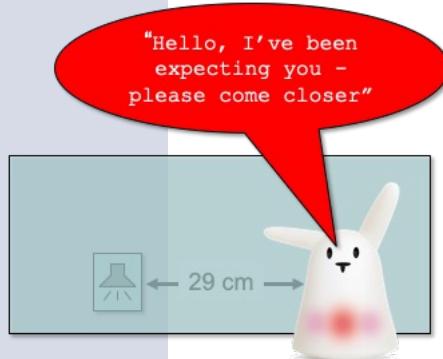
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Assessing Appropriateness (using the 'ventriloquist effect')



Moore, R. K., & Maier, V. (2012). Visual, vocal and behavioural affordances: some effects of consistency. *5th International Conference on Cognitive Systems - CogSys 2012*, 76.



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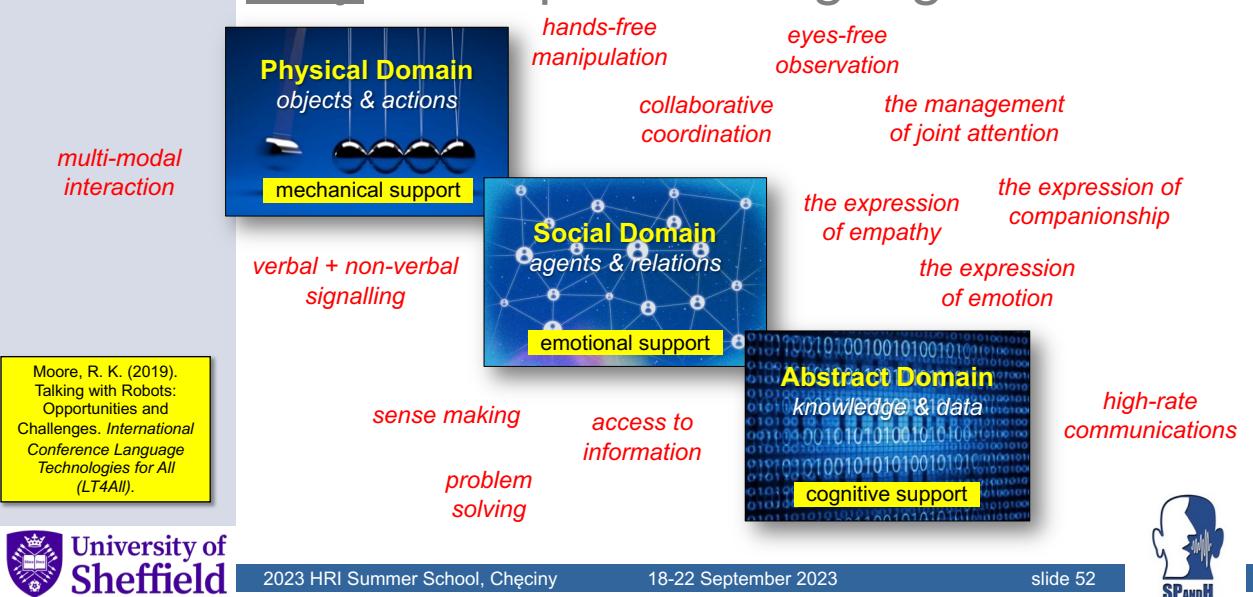
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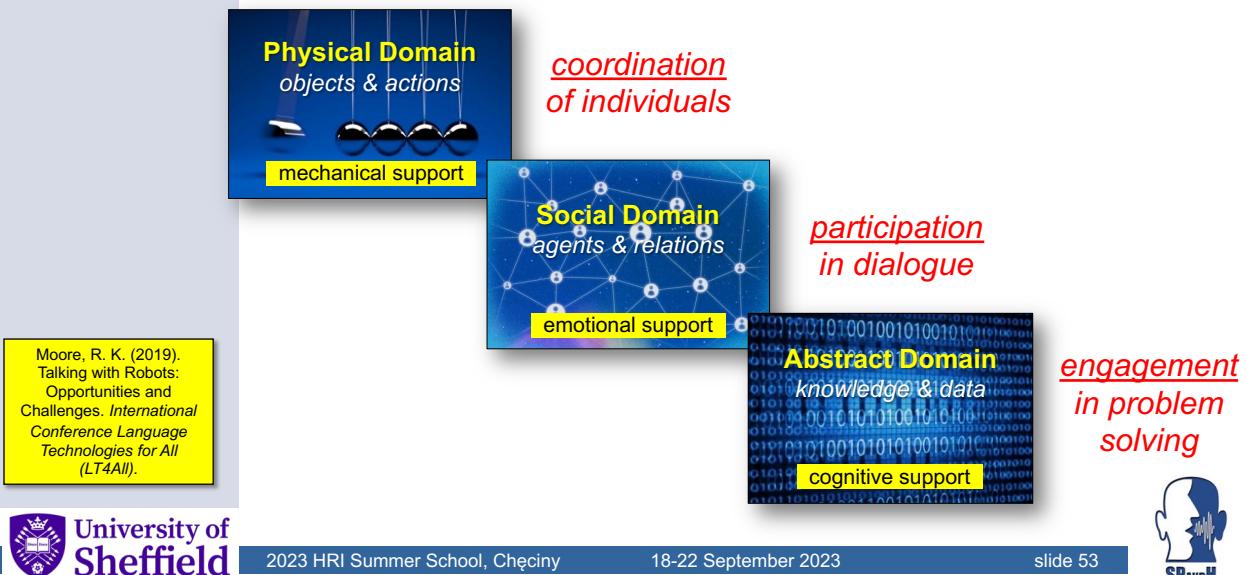
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Why Use Spoken Language?



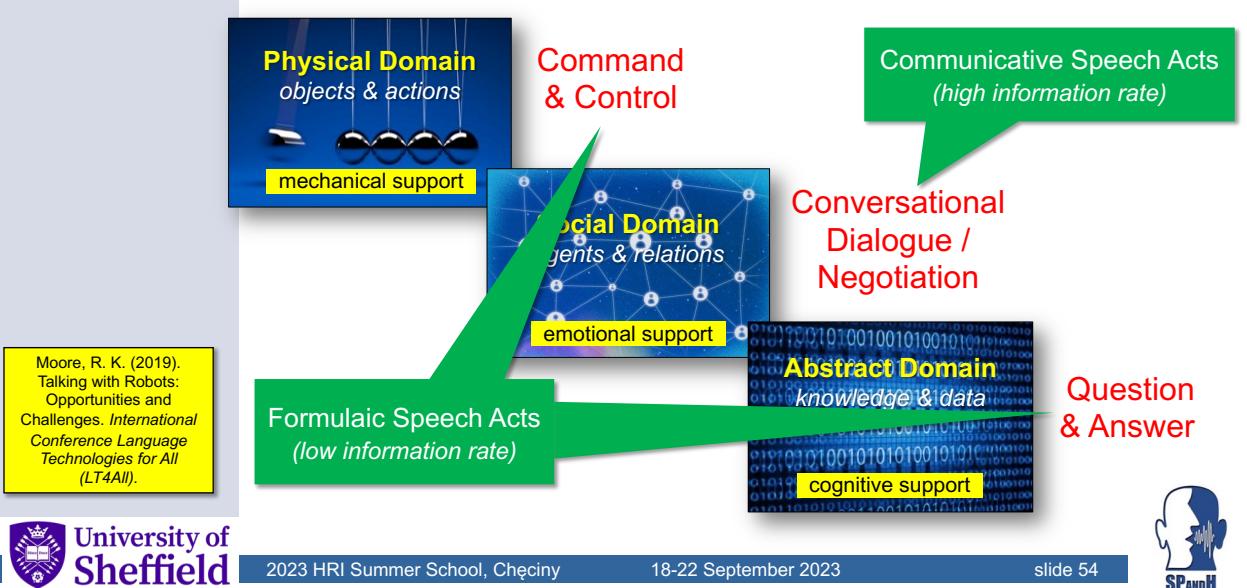
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When to Use Spoken Language?



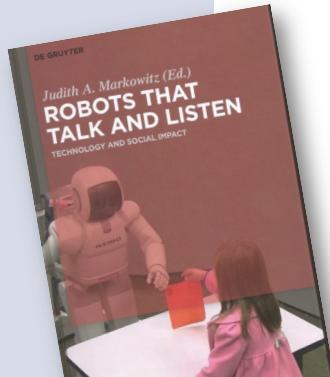
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How to Use Spoken Language?



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The Way Forward?



Moore, R. K. (2015). From talking and listening robots to intelligent communicative machines. In J. Markowitz (Ed.), *Robots That Talk and Listen* (pp. 317–335). Boston, MA: De Gruyter.

To progress from talking and listening devices to **intelligent communicative machines**, we need to move beyond ...

- speech
- words
- meaning
- communication
- dialogue
- one-off interactions



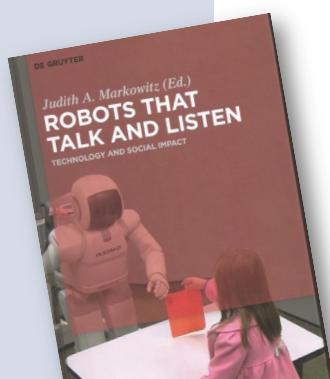
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Moore, R. K. (2015). From talking and listening robots to intelligent communicative machines. In J. Markowitz (Ed.), *Robots That Talk and Listen* (pp. 317–335). Boston, MA: De Gruyter.

To progress from talking and listening devices to intelligent communicative machines, we need to move beyond ...

- speech
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- meaning
- communication
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- one-off interactions

Spoken language is multimodal, distributed, and optimised to the physical and temporal context.



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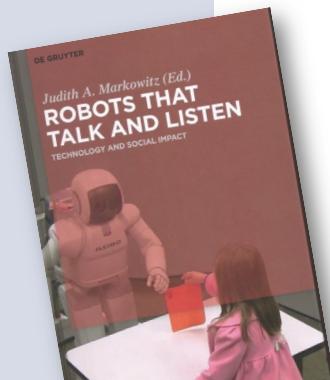
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The Way Forward?



Moore, R. K. (2015). From talking and listening robots to intelligent communicative machines. In J. Markowitz (Ed.), *Robots That Talk and Listen* (pp. 317–335). Boston, MA: De Gruyter.

To progress from talking and listening devices to intelligent communicative machines, we need to move beyond ...

- speech
- **words**
- meaning
- communication
- dialogue
- one-off interactions

Agents need to know who is talking, where they are, what they have said, why they have said it, what to say back, when to say it and how to say it.



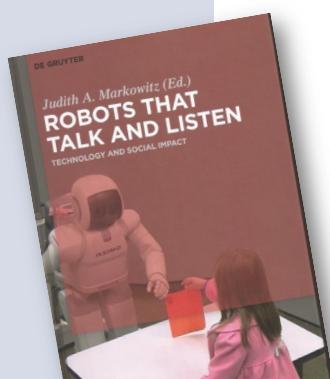
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Moore, R. K. (2015). From talking and listening robots to intelligent communicative machines. In J. Markowitz (Ed.), *Robots That Talk and Listen* (pp. 317–335). Boston, MA: De Gruyter.

To progress from talking and listening devices to intelligent communicative machines, we need to move beyond ...

- speech
- words
- **meaning**
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The behaviour of a living system is determined by personality, drives, needs, beliefs, desires, intentions and emotions. Hence an 'intelligent' machine needs to be able to interpret and express the consequent paralinguistic phenomena.



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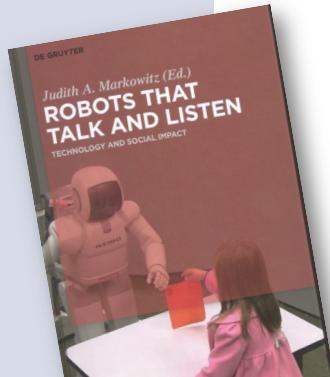
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The Way Forward?



Moore, R. K. (2015). From talking and listening robots to intelligent communicative machines. In J. Markowitz (Ed.), *Robots That Talk and Listen* (pp. 317–335). Boston, MA: De Gruyter.

To progress from talking and listening devices to intelligent communicative machines, we need to move beyond ...

- speech
- words
- meaning
- **communication**
- dialogue
- one-off interactions

Interaction between social agents is more than exchanging messages using some shared code.

Behaviours are crafted to support continuous, coordinated **joint action**: i.e. continuous adaptive coupling between talking-listeners and listening-talkers.



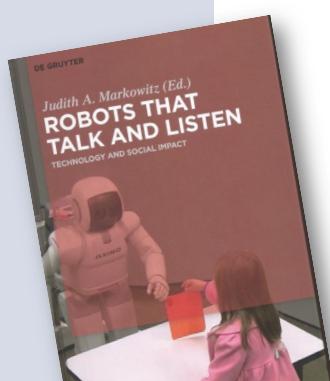
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Moore, R. K. (2015). From talking and listening robots to intelligent communicative machines. In J. Markowitz (Ed.), *Robots That Talk and Listen* (pp. 317–335). Boston, MA: De Gruyter.

To progress from talking and listening devices to intelligent communicative machines, we need to move beyond ...

- speech
- words
- meaning
- **communication**
- **dialogue**
- one-off interactions

Interaction is grounded in social relationships, e.g. status and trust. These act as priors and influence the way people interact. An autonomous social agent is not a neutral partner.



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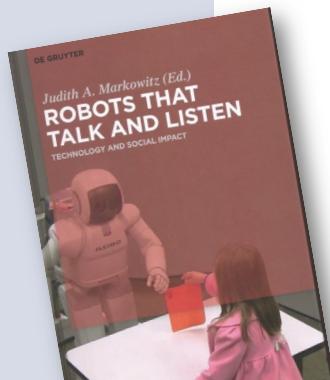
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The Way Forward?



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To progress from talking and listening devices to intelligent communicative machines, we need to move beyond ...

- speech
- words
- meaning
- communication
- dialogue
- one-off interactions

Users retain person/context-specific memories of previous interactions, and are able to draw on this in order to converse efficiently.



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Bringing It All Together

Need to take a holistic approach to ...

- designing and implementing interactional affordances
- accommodating user priors

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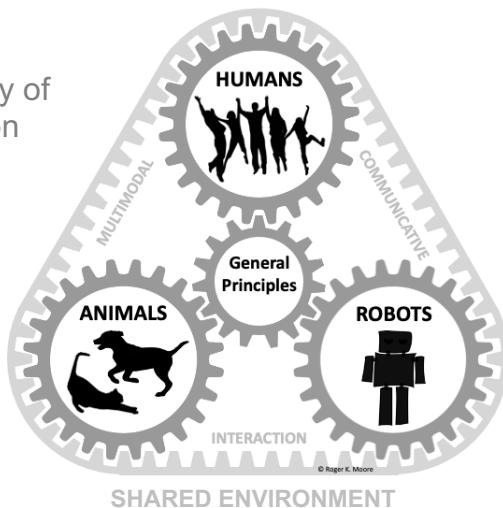
slide 62

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Bringing It All Together

Need an overarching theory of communicative interaction

Moore, R. K. (2021). Où les êtres Sociaux: vers une théorie de l'interaction communicative. 3e Colloque International - Objets Animés, Humains, Animaux : Partenaires de Soins Tendres.



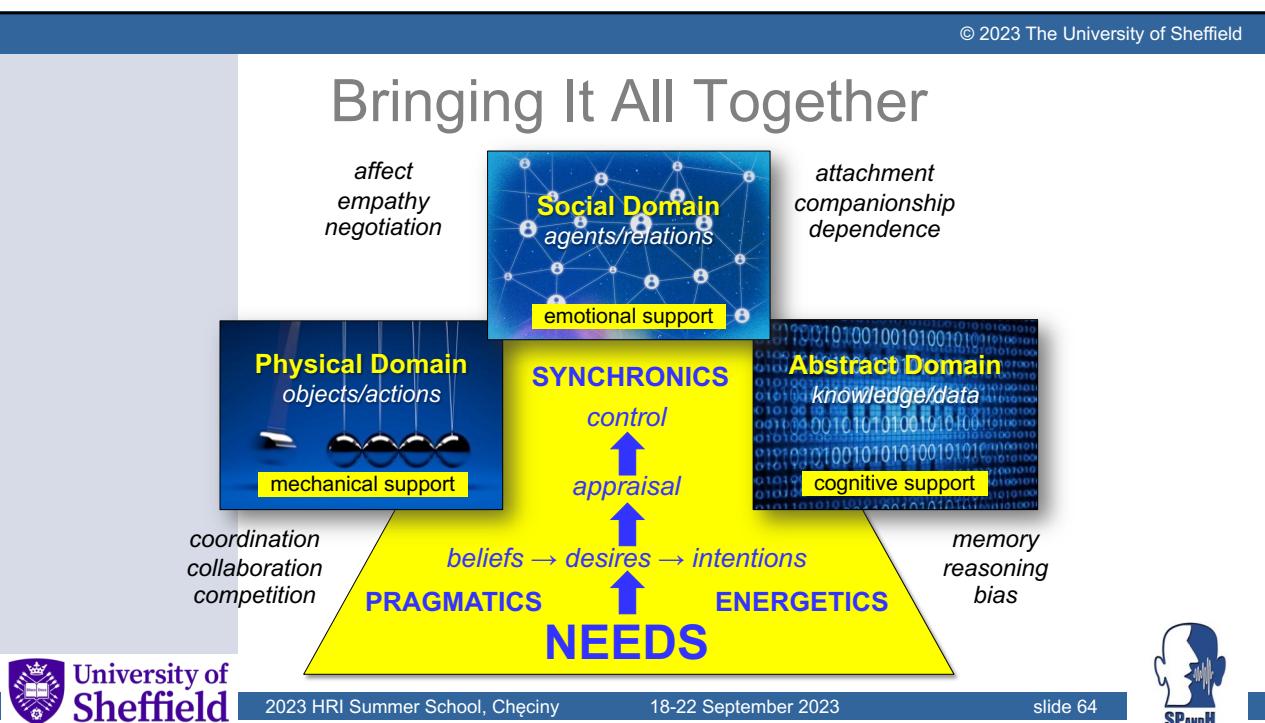
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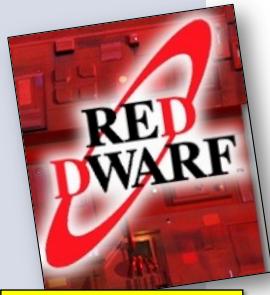


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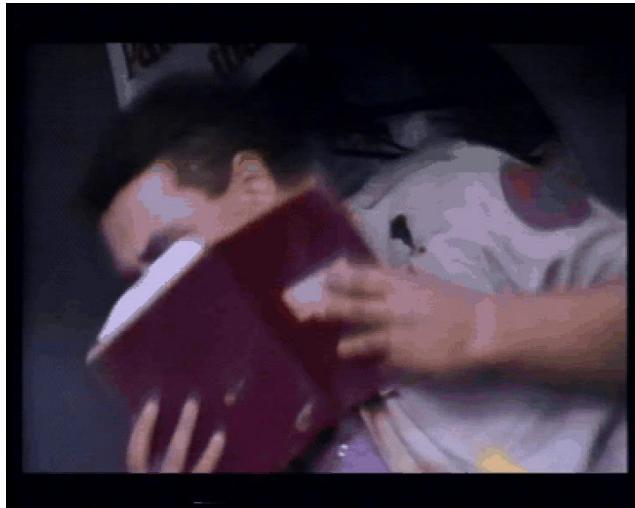


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Bringing It All Together



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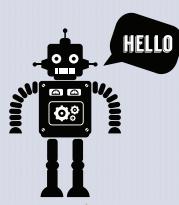
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Summary

- Next-generation language-based interactive systems need to have a much **deeper understanding** of user behaviour
- Embodied systems need to facilitate **continuous adaptive multimodal incremental closed-loop coupling** between users and the physical, social and abstract worlds
- The visual, vocal and behavioural **affordances** of speech-enabled devices need to reflect their actual abilities
- We need to understand how language might function between **mismatched agents**
- We need to be able to measure (*and thus optimise and predict*) '**usability**' for language-enabled systems
- We must guard against **inappropriate use-cases** (e.g. *infantilising users*)



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Where to Find Out More

The collage includes:

- A screenshot of the Elsevier journal "Computer Speech & Language" showing a paper titled "Spoken language interaction with robots: Recommendations for future research".
- A screenshot of a website for "Prof. Roger K. Moore" featuring his profile, publications, and news.
- A screenshot of a YouTube video titled "Speech 101" by Prof. Roger K. Moore.

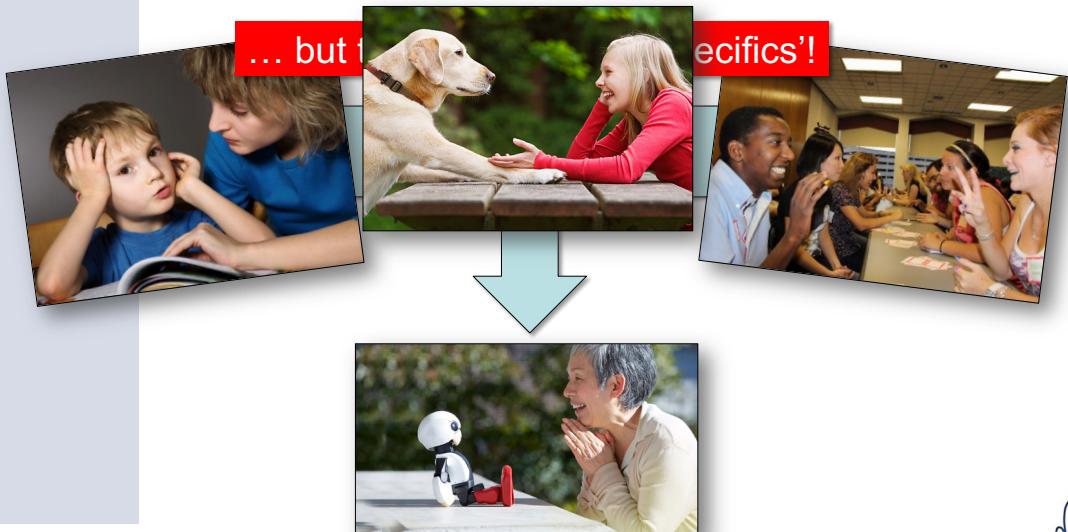
University of Sheffield logo and text: "University of Sheffield" and "2023 HRI Summer School, Chęciny 18-22 September 2023". A small blue icon of a head with sound waves labeled "SPANDH" is also present.

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Mismatched Interlocutors



Abstract

- It is often taken for granted that speech provides a ‘natural’ means by which users can interact with an autonomous agent such as robot - especially if it is humanoid in design.
- Indeed, the ready availability of off-the-shelf spoken language tools such as Google’s speech-to-text/text-to-speech or OpenAI’s Whisper/ChatGPT makes it relatively easy to implement a ready-made solution.
- However, not only does such an approach risk creating a chimera - a potentially confusing and inappropriate compilation of misaligned visual, vocal and behavioural affordances - but it also facilitates a casual misrepresentation/faking of agency (e.g. by having the robot express apparently personal likes, dislikes and opinions using the pronoun “I”).
- This talk will address these issues, and provide an insight into why, when and how users might wish to converse with a robot.
- It will also give an appreciation of the necessary design considerations appropriate to any given use-case.
- The talk will conclude with a discussion of the potential limits for language-based interaction between ‘mismatched’ agents such as humans and robots.
- 90 mins = ~50-60 slides