

I. MATRIX ELEMENTS OF $\mathbf{v}_{nm}^{\text{nl}}(\mathbf{k})$ AND $\mathcal{V}_{nm}^{\text{nl},\ell}(\mathbf{k})$

From Eq. (??), we have that

$$\begin{aligned}\mathbf{v}_{nm}^{\text{nl}}(\mathbf{k}) &= \langle n\mathbf{k} | \hat{\mathbf{v}}^{\text{nl}} | m\mathbf{k}' \rangle = \frac{i}{\hbar} \langle n\mathbf{k} | [\hat{V}^{\text{nl}}, \hat{\mathbf{r}}] | m\mathbf{k}' \rangle \\ &= \frac{i}{\hbar} \int d\mathbf{r} d\mathbf{r}' \langle n\mathbf{k} | \mathbf{r} \rangle \langle \mathbf{r} | [\hat{V}^{\text{nl}}, \hat{\mathbf{r}}] | \mathbf{r}' \rangle \langle \mathbf{r}' | m\mathbf{k}' \rangle \\ &= \frac{i}{\hbar} \delta(\mathbf{k} - \mathbf{k}') \int d\mathbf{r} d\mathbf{r}' \psi_{n\mathbf{k}}^*(\mathbf{r}) \langle \mathbf{r} | [\hat{V}^{\text{nl}}, \hat{\mathbf{r}}] | \mathbf{r}' \rangle \psi_{m\mathbf{k}'}(\mathbf{r}'),\end{aligned}\quad (1)$$

where due to the fact that the integrand is periodic in real space, $\mathbf{k} = \mathbf{k}'$ where \mathbf{k} is restricted to the Brillouin Zone. Now,

$$\begin{aligned}\langle \mathbf{r} | [\hat{V}^{\text{nl}}, \hat{\mathbf{r}}] | \mathbf{r}' \rangle &= \langle \mathbf{r} | \hat{V}^{\text{nl}} \hat{\mathbf{r}} - \hat{\mathbf{r}} \hat{V}^{\text{nl}} | \mathbf{r}' \rangle = \langle \mathbf{r} | \hat{V}^{\text{nl}} \hat{\mathbf{r}} | \mathbf{r}' \rangle - \langle \mathbf{r} | \hat{\mathbf{r}} \hat{V}^{\text{nl}} | \mathbf{r}' \rangle \\ &= \langle \mathbf{r} | \hat{V}^{\text{nl}} | \mathbf{r}' \rangle (\mathbf{r}' - \mathbf{r}) = V^{\text{nl}}(\mathbf{r}, \mathbf{r}') (\mathbf{r}' - \mathbf{r}),\end{aligned}\quad (2)$$

where we use $\hat{r} \langle \mathbf{r} | = r \langle \mathbf{r} |$, $\langle \mathbf{r}' | \hat{r} = \langle \mathbf{r}' | r'$, and $V^{\text{nl}}(\mathbf{r}, \mathbf{r}') = \langle \mathbf{r} | \hat{V}^{\text{nl}} | \mathbf{r}' \rangle$ (Eq. (??)). Also, we have the following identity which will be used shortly,

$$\begin{aligned}(\nabla_{\mathbf{K}} + \nabla_{\mathbf{K}'}) \frac{1}{\Omega} \int e^{-i\mathbf{K} \cdot \mathbf{r}} V^{\text{nl}}(\mathbf{r}, \mathbf{r}') e^{i\mathbf{K}' \cdot \mathbf{r}'} d\mathbf{r} d\mathbf{r}' &= -i \frac{1}{\Omega} \int e^{-i\mathbf{K} \cdot \mathbf{r}} (\mathbf{r} V^{\text{nl}}(\mathbf{r}, \mathbf{r}') - V^{\text{nl}}(\mathbf{r}, \mathbf{r}') \mathbf{r}) e^{i\mathbf{K}' \cdot \mathbf{r}'} d\mathbf{r} d\mathbf{r}' \\ (\nabla_{\mathbf{K}} + \nabla_{\mathbf{K}'}) \langle \mathbf{K} | V^{\text{nl}} | \mathbf{K}' \rangle &= \frac{i}{\Omega} \int e^{-i\mathbf{K} \cdot \mathbf{r}} V^{\text{nl}}(\mathbf{r}, \mathbf{r}') (\mathbf{r}' - \mathbf{r}) e^{i\mathbf{K}' \cdot \mathbf{r}'} d\mathbf{r} d\mathbf{r}',\end{aligned}\quad (3)$$

where Ω is the volume of the unit cell, and we defined

$$V^{\text{nl}}(\mathbf{K}, \mathbf{K}') \equiv \langle \mathbf{K} | V^{\text{nl}} | \mathbf{K}' \rangle = \frac{1}{\Omega} \int e^{-i\mathbf{K} \cdot \mathbf{r}} V^{\text{nl}}(\mathbf{r}, \mathbf{r}') e^{i\mathbf{K}' \cdot \mathbf{r}'} d\mathbf{r} d\mathbf{r}', \quad (4)$$

where $V^{\text{nl}}(\mathbf{K}', \mathbf{K}) = V^{\text{nl}*}(\mathbf{K}, \mathbf{K}')$, since $V^{\text{nl}}(\mathbf{r}', \mathbf{r}) = V^{\text{nl}*}(\mathbf{r}, \mathbf{r}')$ due to the fact that \hat{V}^{nl} is a hermitian operator. Using the plane wave expansion

$$\langle \mathbf{r} | n\mathbf{k} \rangle = \psi_{n\mathbf{k}}(\mathbf{r}) = \frac{1}{\sqrt{\Omega}} \sum_{\mathbf{G}} A_{n\mathbf{k}}(\mathbf{G}) e^{i\mathbf{K} \cdot \mathbf{r}}, \quad (5)$$

with $\mathbf{K} = \mathbf{k} + \mathbf{G}$, we obtain from Eq. (1) and Eq. (3), that

$$\begin{aligned}\mathbf{v}_{nm}^{\text{nl}}(\mathbf{k}) &= \frac{i}{\hbar} \delta(\mathbf{k} - \mathbf{k}') \sum_{\mathbf{G}, \mathbf{G}'} A_{n\mathbf{k}}^*(\mathbf{G}) A_{m\mathbf{k}'}(\mathbf{G}') \frac{1}{\Omega} \int d\mathbf{r} d\mathbf{r}' e^{-i\mathbf{K} \cdot \mathbf{r}} \langle \mathbf{r} | [\hat{V}^{\text{nl}}, \hat{\mathbf{r}}] | \mathbf{r}' \rangle e^{i\mathbf{K}' \cdot \mathbf{r}'} \\ &= \frac{1}{\hbar} \delta(\mathbf{k} - \mathbf{k}') \sum_{\mathbf{G}, \mathbf{G}'} A_{n\mathbf{k}}^*(\mathbf{G}) A_{m\mathbf{k}'}(\mathbf{G}') \frac{i}{\Omega} \int d\mathbf{r} d\mathbf{r}' e^{-i\mathbf{K} \cdot \mathbf{r}} V^{\text{nl}}(\mathbf{r}, \mathbf{r}') (\mathbf{r}' - \mathbf{r}) e^{i\mathbf{K}' \cdot \mathbf{r}'} \\ &= \frac{1}{\hbar} \delta(\mathbf{k} - \mathbf{k}') \sum_{\mathbf{G}, \mathbf{G}'} A_{n\mathbf{k}}^*(\mathbf{G}) A_{m\mathbf{k}'}(\mathbf{G}') (\nabla_{\mathbf{K}} + \nabla_{\mathbf{K}'}) V^{\text{nl}}(\mathbf{K}, \mathbf{K}').\end{aligned}\quad (6)$$

For fully separable pseudopotentials in the Kleinman-Bylander (KB) form,^[1–3] the matrix elements $\langle \mathbf{K} | V^{\text{nl}} | \mathbf{K}' \rangle = V^{\text{nl}}(\mathbf{K}, \mathbf{K}')$ can be readily calculated. ^[1] Indeed, the Fourier representation assumes the form,^[3–5]

$$\begin{aligned}V_{\text{KB}}^{\text{nl}}(\mathbf{K}, \mathbf{K}') &= \sum_s e^{i(\mathbf{K}-\mathbf{K}') \cdot \boldsymbol{\tau}_s} \sum_{l=0}^{l_s} \sum_{m=-l}^l E_l F_{lm}^s(\mathbf{K}) F_{lm}^{s*}(\mathbf{K}') \\ &= \sum_s \sum_{l=0}^{l_s} \sum_{m=-l}^l E_l f_{lm}^s(\mathbf{K}) f_{lm}^{s*}(\mathbf{K}'),\end{aligned}\quad (7)$$

with $f_{lm}^s(\mathbf{K}) = e^{i\mathbf{K} \cdot \boldsymbol{\tau}_s} F_{lm}^s(\mathbf{K})$, and

$$F_{lm}^s(\mathbf{K}) = \int d\mathbf{r} e^{-i\mathbf{K} \cdot \mathbf{r}} \delta V_l^S(\mathbf{r}) \Phi_{lm}^{\text{ps}}(\mathbf{r}). \quad (8)$$

Here $\delta V_l^S(\mathbf{r})$ is the non-local contribution of the ionic pseudopotential centered at the atomic position $\boldsymbol{\tau}_s$ located in the unit cell, $\Phi_{lm}^{\text{ps}}(\mathbf{r})$ is the pseudo-wavefunction of the corresponding atom, while E_l is the so called Kleinman-Bylander energy. Further details can be found in Ref. [5]. From Eq. (7) we find

$$\begin{aligned} (\nabla_{\mathbf{K}} + \nabla_{\mathbf{K}'}) V_{\text{KB}}^{\text{nl}}(\mathbf{K}, \mathbf{K}') &= \sum_s \sum_{l=0}^{l_s} \sum_{m=-l}^l E_l (\nabla_{\mathbf{K}} + \nabla_{\mathbf{K}'}) f_{lm}^s(\mathbf{K}) f_{lm}^{s*}(\mathbf{K}') \\ &= \sum_s \sum_{l=0}^{l_s} \sum_{m=-l}^l E_l ([\nabla_{\mathbf{K}} f_{lm}^s(\mathbf{K})] f_{lm}^{s*}(\mathbf{K}') + f_{lm}^s(\mathbf{K}) [\nabla_{\mathbf{K}'} f_{lm}^{s*}(\mathbf{K}')]), \end{aligned} \quad (9)$$

and using this in Eq. (6) leads to

$$\begin{aligned} \mathbf{v}_{nm}^{\text{nl}}(\mathbf{k}) &= \frac{1}{\hbar} \sum_s \sum_{l=0}^{l_s} \sum_{m=-l}^l E_l \sum_{\mathbf{G}, \mathbf{G}'} A_{n, \vec{k}}^*(\mathbf{G}) A_{n', \vec{k}}(\mathbf{G}') \\ &\quad \times (\nabla_{\mathbf{K}} f_{lm}^s(\mathbf{K}) f_{lm}^{s*}(\mathbf{K}') + f_{lm}^s(\mathbf{K}) \nabla_{\mathbf{K}'} f_{lm}^{s*}(\mathbf{K}')) \\ &= \frac{1}{\hbar} \sum_s \sum_{l=0}^{l_s} \sum_{m=-l}^l E_l \left[\left(\sum_{\mathbf{G}} A_{n, \vec{k}}^*(\mathbf{G}) \nabla_{\mathbf{K}} f_{lm}^s(\mathbf{K}) \right) \left(\sum_{\mathbf{G}'} A_{n', \vec{k}}(\mathbf{G}') f_{lm}^{s*}(\mathbf{K}') \right) \right. \\ &\quad \left. + \left(\sum_{\mathbf{G}} A_{n, \vec{k}}^*(\mathbf{G}) f_{lm}^s(\mathbf{K}) \right) \left(\sum_{\mathbf{G}'} A_{n', \vec{k}}(\mathbf{G}') \nabla_{\mathbf{K}'} f_{lm}^{s*}(\mathbf{K}') \right) \right], \end{aligned} \quad (10)$$

where there are only single sums over \mathbf{G} . Above is implemented in the DP code.[6]

Indeed, in DP `calcolacommutatore.F90` above expansion coefficients are called $E_l f_{lm}^s(\mathbf{K}) \rightarrow \text{fnlkslm}$ and $E_l \nabla_{\mathbf{K}} f_{lm}^s(\mathbf{K}) \rightarrow \text{fnldkslm}$, where `fnlkslm` is an array indexed by $\mathbf{k} + \mathbf{G}$, and `fnldkslm` is vector array indexed by $\mathbf{k} + \mathbf{G}$.

Now we derive $\mathbf{v}_{nm}^{\text{nl}, \ell}(\mathbf{k})$. First we prove that

$$\sum_{\mathbf{G}} |\mathbf{k} + \mathbf{G}\rangle \langle \mathbf{k} + \mathbf{G}| = 1. \quad (11)$$

Proof:

$$\langle n\mathbf{k} | 1 | n'\mathbf{k} \rangle = \delta_{nn'}, \quad (12)$$

take

$$\begin{aligned} \sum_{\mathbf{G}} \langle n\mathbf{k} | \mathbf{k} + \mathbf{G} \rangle \langle \mathbf{k} + \mathbf{G} | n'\mathbf{k} \rangle &= \int d\mathbf{r} d\mathbf{r}' \sum_{\mathbf{G}} \langle n\mathbf{k} | \mathbf{r} \rangle \langle \mathbf{r} | \mathbf{k} + \mathbf{G} \rangle \langle \mathbf{k} + \mathbf{G} | \mathbf{r}' \rangle \langle \mathbf{r}' | n'\mathbf{k} \rangle \\ &= \int d\mathbf{r} d\mathbf{r}' \sum_{\mathbf{G}} \psi_{n\mathbf{k}}^*(\mathbf{r}) \frac{1}{\sqrt{\Omega}} e^{i(\mathbf{k} + \mathbf{G}) \cdot \mathbf{r}} \frac{1}{\sqrt{\Omega}} e^{-i(\mathbf{k} + \mathbf{G}) \cdot \mathbf{r}'} \psi_{n'\mathbf{k}}(\mathbf{r}') \\ &= \int d\mathbf{r} d\mathbf{r}' \psi_{n\mathbf{k}}^*(\mathbf{r}) \psi_{n'\mathbf{k}}(\mathbf{r}') \frac{1}{V} \sum_{\mathbf{G}} e^{i(\mathbf{k} + \mathbf{G}) \cdot (\mathbf{r} - \mathbf{r}')} \\ &= \int d\mathbf{r} d\mathbf{r}' \psi_{n\mathbf{k}}^*(\mathbf{r}) \psi_{n'\mathbf{k}}(\mathbf{r}') \delta(\mathbf{r} - \mathbf{r}') = \int d\mathbf{r} \psi_{n\mathbf{k}}^*(\mathbf{r}) \psi_{n'\mathbf{k}}(\mathbf{r}) = \delta_{nn'}, \end{aligned} \quad (13)$$

and thus Eq. (11) follows. Q.E.D. We used

$$\langle \mathbf{r} | \mathbf{k} + \mathbf{G} \rangle = \frac{1}{\sqrt{\Omega}} e^{i(\mathbf{k} + \mathbf{G}) \cdot \mathbf{r}}. \quad (14)$$

From Eq. (??), we would like to calculate

$$\mathbf{v}_{nm}^{\text{nl}, \ell}(\mathbf{k}) = \frac{1}{2} \langle n\mathbf{k} | C^\ell(z) \mathbf{v}^{\text{nl}} + \mathbf{v}^{\text{nl}} C^\ell(z) | m\mathbf{k} \rangle. \quad (15)$$

We work out the first term in the r.h.s,

$$\begin{aligned}
\langle n\mathbf{k}|C^\ell(z)\mathbf{v}^{\text{nl}}|m\mathbf{k}\rangle &= \sum_{\mathbf{G}} \langle n\mathbf{k}|C^\ell(z)|\mathbf{k}+\mathbf{G}\rangle \langle \mathbf{k}+\mathbf{G}|\mathbf{v}^{\text{nl}}|m\mathbf{k}\rangle \\
&= \sum_{\mathbf{G}} \int d\mathbf{r} \int d\mathbf{r}' \langle n\mathbf{k}|\mathbf{r}\rangle \langle \mathbf{r}|C^\ell(z)|\mathbf{r}'\rangle \langle \mathbf{r}'|\mathbf{k}+\mathbf{G}\rangle \\
&\times \int d\mathbf{r}'' \int d\mathbf{r}''' \langle \mathbf{k}+\mathbf{G}|\mathbf{r}''\rangle \langle \mathbf{r}''|\mathbf{v}^{\text{nl}}|\mathbf{r}'''\rangle \langle \mathbf{r}'''|m\mathbf{k}\rangle \\
&= \sum_{\mathbf{G}} \int d\mathbf{r} \int d\mathbf{r}' \langle n\mathbf{k}|\mathbf{r}\rangle C^\ell(z) \delta(\mathbf{r}-\mathbf{r}') \langle \mathbf{r}'|\mathbf{k}+\mathbf{G}\rangle \\
&\times \int d\mathbf{r}'' \int d\mathbf{r}''' \langle \mathbf{k}+\mathbf{G}|\mathbf{r}''\rangle \langle \mathbf{r}''|\mathbf{v}^{\text{nl}}|\mathbf{r}'''\rangle \langle \mathbf{r}'''|m\mathbf{k}\rangle \\
&= \sum_{\mathbf{G}} \int d\mathbf{r} \langle n\mathbf{k}|\mathbf{r}\rangle C^\ell(z) \langle \mathbf{r}|\mathbf{k}+\mathbf{G}\rangle \\
&\times \frac{i}{\hbar} \int d\mathbf{r}'' \int d\mathbf{r}''' \langle \mathbf{k}+\mathbf{G}|\mathbf{r}''\rangle V^{\text{nl}}(\mathbf{r}'', \mathbf{r}''') (\mathbf{r}''' - \mathbf{r}'') \langle \mathbf{r}'''|m\mathbf{k}\rangle,
\end{aligned} \tag{16}$$

where we used Eq. (2) and (??). We use Eq. (5), (14) and (3) to obtain

$$\begin{aligned}
\langle n\mathbf{k}|C^\ell(z)\mathbf{v}^{\text{nl}}|m\mathbf{k}\rangle &= \sum_{\mathbf{G}} \sum_{\mathbf{G}'} A_{n\mathbf{k}}^*(\mathbf{G}') \frac{1}{\Omega} \int d\mathbf{r} e^{-i(\mathbf{k}+\mathbf{G}')\cdot\mathbf{r}} C^\ell(z) e^{i(\mathbf{k}+\mathbf{G})\cdot\mathbf{r}} \\
&\times \sum_{\mathbf{G}''} A_{m\mathbf{k}}(\mathbf{G}'') \frac{i}{\hbar\Omega} \int d\mathbf{r}'' \int d\mathbf{r}''' e^{-i(\mathbf{k}+\mathbf{G})\cdot\mathbf{r}''} V^{\text{nl}}(\mathbf{r}'', \mathbf{r}''') (\mathbf{r}''' - \mathbf{r}'') e^{i(\mathbf{k}+\mathbf{G}'')\cdot\mathbf{r}'''} \\
&= \frac{1}{\hbar} \sum_{\mathbf{G}} \sum_{\mathbf{G}'} A_{n\mathbf{k}}^*(\mathbf{G}') \delta_{\mathbf{G}_{\parallel}\mathbf{G}'_{\parallel}} f_{\ell}(\mathbf{G}_{\perp} - \mathbf{G}'_{\perp}) \sum_{\mathbf{G}''} A_{m\mathbf{k}}(\mathbf{G}'') (\nabla_{\mathbf{K}} + \nabla_{\mathbf{K}''}) V^{\text{nl}}(\mathbf{K}, \mathbf{K}''),
\end{aligned} \tag{17}$$

where

$$\frac{1}{\Omega} \int d\mathbf{r} C^\ell(z) e^{i(\mathbf{G}-\mathbf{G}')\cdot\mathbf{r}} = \delta_{\mathbf{G}_{\parallel}\mathbf{G}'_{\parallel}} f_{\ell}(\mathbf{G}_{\perp} - \mathbf{G}'_{\perp}), \tag{18}$$

and

$$f_{\ell}(g) = \frac{1}{L} \int_{z_{\ell}-\Delta_{\ell}^b}^{z_{\ell}+\Delta_{\ell}^f} e^{igz} dz, \tag{19}$$

where $f^*(g) = f(-g)$. We define

$$\mathcal{F}_{n\mathbf{k}}^{\ell}(\mathbf{G}) = \sum_{\mathbf{G}'} A_{n\mathbf{k}}(\mathbf{G}') \delta_{\mathbf{G}_{\parallel}\mathbf{G}'_{\parallel}} f_{\ell}(\mathbf{G}'_{\perp} - \mathbf{G}_{\perp}), \tag{20}$$

and

$$\mathcal{H}_{n\mathbf{k}}(\mathbf{G}) = \sum_{\mathbf{G}'} A_{n\mathbf{k}}(\mathbf{G}') (\nabla_{\mathbf{K}} + \nabla_{\mathbf{K}'}) V^{\text{nl}}(\mathbf{K}, \mathbf{K}'), \tag{21}$$

thus we can compactly write,

$$\langle n\mathbf{k}|C^\ell(z)\mathbf{v}^{\text{nl}}|m\mathbf{k}\rangle = \frac{1}{\hbar} \sum_{\mathbf{G}} \mathcal{F}_{n\mathbf{k}}^{\ell*}(\mathbf{G}) \mathcal{H}_{m\mathbf{k}}(\mathbf{G}). \tag{22}$$

Now, the second term of Eq. (15)

$$\begin{aligned}
\langle n\mathbf{k}|\mathbf{v}^{\text{nl}}C^\ell(z)|m\mathbf{k}\rangle &= \sum_{\mathbf{G}} \langle n\mathbf{k}|\mathbf{v}^{\text{nl}}|\mathbf{k}+\mathbf{G}\rangle \langle \mathbf{k}+\mathbf{G}|C^\ell(z)|m\mathbf{k}\rangle \\
&= \sum_{\mathbf{G}} \int d\mathbf{r}'' \int d\mathbf{r}''' \langle n\mathbf{k}|\mathbf{r}''\rangle \langle \mathbf{r}''|\mathbf{v}^{\text{nl}}|\mathbf{r}'''\rangle \langle \mathbf{r}'''|\mathbf{k}+\mathbf{G}\rangle \\
&\times \int d\mathbf{r} \int d\mathbf{r}' \langle \mathbf{k}+\mathbf{G}|\mathbf{r}\rangle \langle \mathbf{r}|C^\ell(z)|\mathbf{r}'\rangle \langle \mathbf{r}'|m\mathbf{k}\rangle \\
&= \sum_{\mathbf{G}} \frac{i}{\hbar} \int d\mathbf{r}'' \int d\mathbf{r}''' \langle n\mathbf{k}|\mathbf{r}''\rangle V^{\text{nl}}(\mathbf{r}'', \mathbf{r}''') (\mathbf{r}''' - \mathbf{r}'') \langle \mathbf{r}'''|\mathbf{k}+\mathbf{G}\rangle \\
&\times \int d\mathbf{r} \langle \mathbf{k}+\mathbf{G}|\mathbf{r}\rangle C^\ell(z) \langle \mathbf{r}|m\mathbf{k}\rangle \\
&= \sum_{\mathbf{G}} \sum_{\mathbf{G}'} A_{n\mathbf{k}}^*(\mathbf{G}') \frac{i}{\hbar\Omega} \int d\mathbf{r}'' \int d\mathbf{r}''' e^{-i(\mathbf{k}+\mathbf{G}')\cdot\mathbf{r}''} V^{\text{nl}}(\mathbf{r}'', \mathbf{r}''') (\mathbf{r}''' - \mathbf{r}'') e^{i(\mathbf{k}+\mathbf{G})\cdot\mathbf{r}'''} \\
&\times \sum_{\mathbf{G}''} A_{m\mathbf{k}}(\mathbf{G}'') \frac{1}{\Omega} \int d\mathbf{r} e^{-i(\mathbf{k}+\mathbf{G})\cdot\mathbf{r}} C^\ell(z) e^{i(\mathbf{k}+\mathbf{G}'')\cdot\mathbf{r}} \\
&= \frac{1}{\hbar} \sum_{\mathbf{G}} \sum_{\mathbf{G}'} A_{n\mathbf{k}}^*(\mathbf{G}') (\nabla_{\mathbf{K}} + \nabla_{\mathbf{K}'}) V^{\text{nl}}(\mathbf{K}', \mathbf{K}) \\
&\times \sum_{\mathbf{G}''} A_{m\mathbf{k}}(\mathbf{G}'') \delta_{\mathbf{G}_{\parallel}\mathbf{G}''_{\parallel}} f_{\ell}(\mathbf{G}_{\perp}'' - \mathbf{G}_{\perp}) \\
&= \frac{1}{\hbar} \sum_{\mathbf{G}} \mathcal{H}_{n\mathbf{k}}^*(\mathbf{G}) \mathcal{F}_{m\mathbf{k}}^{\ell}(\mathbf{G}).
\end{aligned} \tag{23}$$

Therefore Eq. (15) is compactly given by

$$\mathcal{V}_{nm}^{\text{nl},\ell}(\mathbf{k}) = \frac{1}{2\hbar} \sum_{\mathbf{G}} (\mathcal{F}_{n\mathbf{k}}^{\ell*}(\mathbf{G}) \mathcal{H}_{m\mathbf{k}}(\mathbf{G}) + \mathcal{H}_{n\mathbf{k}}^*(\mathbf{G}) \mathcal{F}_{m\mathbf{k}}^{\ell}(\mathbf{G})). \tag{24}$$

For fully separable pseudopotentials in the Kleinman-Bylander (KB) form [1–3], we can use Eq. (9) and evaluate above expression, that we have implemented in the DP code [6]. Explicitly,

$$\begin{aligned}
\mathcal{V}_{nm}^{\text{nl},\ell}(\mathbf{k}) &= \frac{1}{2\hbar} \sum_s \sum_{l=0}^{l_s} \sum_{m=-l}^l E_l \\
&\left[\left(\sum_{\mathbf{G}''} \nabla_{\mathbf{G}''} f_{lm}^s(\mathbf{G}'') \sum_{\mathbf{G}} A_{n\mathbf{k}}^*(\mathbf{G}) \delta_{\mathbf{G}_{\parallel}\mathbf{G}''_{\parallel}} f_{\ell}(G_z - G_z'') \right) \left(\sum_{\mathbf{G}'} A_{m\mathbf{k}}(\mathbf{G}') f_{lm}^{s*}(\mathbf{K}') \right) \right. \\
&+ \left(\sum_{\mathbf{G}''} f_{lm}^s(\mathbf{G}'') \sum_{\mathbf{G}} A_{n\mathbf{k}}^*(\mathbf{G}) \delta_{\mathbf{G}_{\parallel}\mathbf{G}''_{\parallel}} f_{\ell}(G_z - G_z'') \right) \left(\sum_{\mathbf{G}'} A_{m\mathbf{k}}(\mathbf{G}') \nabla_{\mathbf{K}'} f_{lm}^{s*}(\mathbf{K}') \right) \\
&+ \left(\sum_{\mathbf{G}} A_{n\mathbf{k}}^*(\mathbf{G}) \nabla_{\mathbf{G}} f_{lm}^s(\mathbf{G}) \right) \left(\sum_{\mathbf{G}''} f_{lm}^{s*}(\mathbf{G}'') \sum_{\mathbf{G}'} A_{m\mathbf{k}}(\mathbf{G}') \delta_{\mathbf{G}'_{\parallel}\mathbf{G}''_{\parallel}} f_{\ell}(G_z'' - G_z') \right) \\
&\left. + \left(\sum_{\mathbf{G}} A_{n\mathbf{k}}^*(\mathbf{G}) f_{lm}^s(\mathbf{G}) \right) \left(\sum_{\mathbf{G}''} \nabla_{\mathbf{G}''} f_{lm}^{s*}(\mathbf{G}'') \sum_{\mathbf{G}'} A_{m\mathbf{k}}(\mathbf{G}') \delta_{\mathbf{G}'_{\parallel}\mathbf{G}''_{\parallel}} f_{\ell}(G_z'' - G_z') \right) \right].
\end{aligned} \tag{25}$$

For a full slab calculation, equivalent to a bulk calculation, $C^\ell(z) = 1$ and then $f_{\ell}(g) = \delta_{g0}$, and Eq. (25) reduces to Eq. (10).

[1] C. Motta, M. Giantomassi, M. Cazzaniga, K. Gaál-Nagy, and X. Gonze. Implementation of techniques for computing optical properties in 0-3 dimensions, including a real-space cutoff, in ABINIT. *Comput. Mater. Sci.*, 50(2):698–703, 2010.

- [2] L. Kleinman and D. M. Bylander. Efficacious form for model pseudopotentials. *Phys. Rev. Lett.*, 48(20):1425–1428, 1982.
- [3] B. Adolph, V. I. Gavrilenko, K. Tenelsen, F. Bechstedt, and R. Del Sole. Nonlocality and many-body effects in the optical properties of semiconductors. *Phys. Rev. B*, 53(15):9797–9808, 1996.
- [4] A. B. Gordienko and A. S. Poplavnoi. Influence of the pseudopotential nonlocality on the calculated optical characteristics of crystals. *Russian Physics Journal*, 47(7):687–691, July 2004.
- [5] M. Fuchs and M. Scheffler. Ab initio pseudopotentials for electronic structure calculations of poly-atomic systems using density-functional theory. *Comput. Phys. Commun.*, 119(1):67–98, June 1999.
- [6] V. Olevano, L. Reining, and F. Sottile. <http://dp-code.org>.