Fundamentals of metalworking

Subjects of interest

- Introduction/objectives
- Classification of metal processes
- Mechanics of metalworking
- Flow curves
- Effects of temperature on metalworking
 - Hot working
 - Cold working
- Effects of metallurgical structure on forming processes
- Effects of speed of deformation on forming processes
- Effects of friction and lubricant



Objectives

- This chapter provides classification of metal forming processes based on types of forces applied onto metals.
- Mechanics of metal forming will be outlined to understand stress criterion for plastic deformation.
- Differences between hot and cold working will be highlighted and advantages-disadvantages of hot and cold working will be given.
- Effects of deformation speed and friction on metal working process will be included.



Classification of metal forming processes

(based on the type of force applied on to the work piece as it is formed into shape).

Direct-compression-type processes

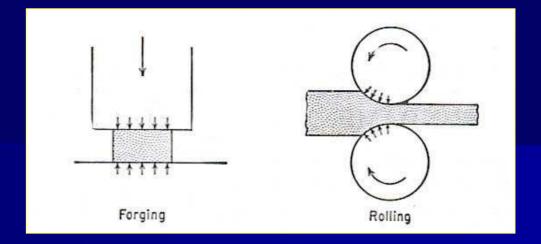
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- Indirect-compression processes
- Tension type processes
- Bending processes
- Shearing processes



Direct-compression type processes:

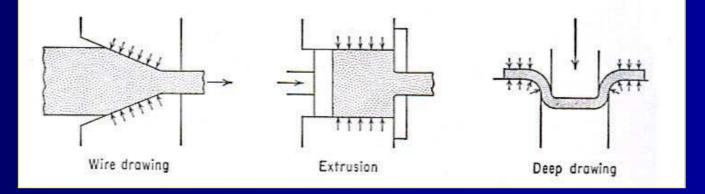
the applied force is normal to the direction of the metal flow in compression, i.e., forging and rolling processes.



Indirect-compression type processes:

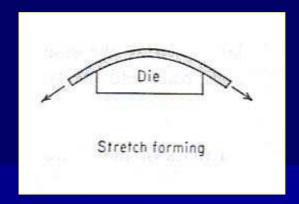
the primary forces are frequently tensile, with indirect compressive forces developed by the reaction of the work piece. The metal flow is therefore under the combined stress state, i.e., extrusion, wiredrawing, tube drawing.





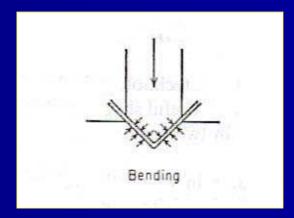
Tension type processes:

the applied force is tensile, i.e., stretching forming.



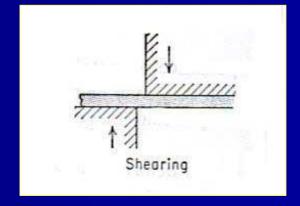
Bending processes:

the applied force involves the application of bending moments to the sheet.



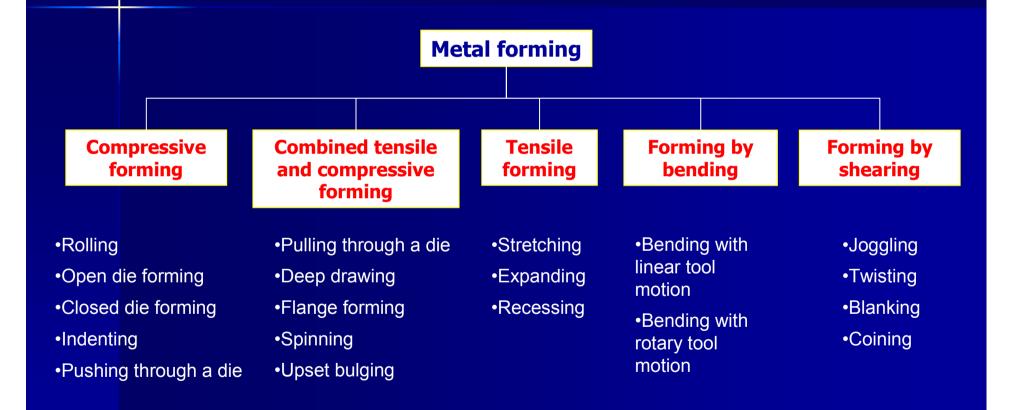
Shearing processes:

the applied force involves the application of shearing forces of sufficient magnitude to rupture the metal in the plane of shear.





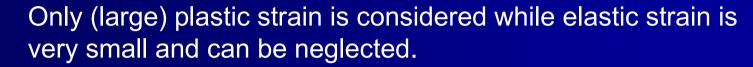
Classification of metal forming by subgroups





Mechanics of metal working

• Metal working occurs due to plastic deformation which is associated with analysis of complex stress distribution. → require simplification.



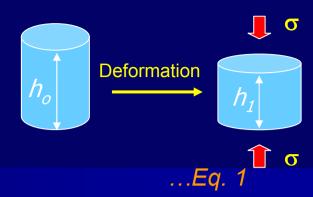
Strain hardening is often neglected.

Metal is considered to be isotropic and homogeneous.

Normally plastic deformation is not uniform and also have frictions, but we need to simplify the stress analysis in order to <u>determine the force</u> required to produce <u>a given amount of deformation</u> to obtain a product in <u>a required geometry</u>.



• Required theory of plasticity, and for plastic deformation → a *constant-volume relationship* is required.



$$\varepsilon_1 + \varepsilon_2 + \varepsilon_3 = 0$$

In metalworking, compressive stress and strain are predominated. If a block of initial height h_o is compressed to h_1 , the axial compressive strain will be:

For true strain

$$\varepsilon = \int_{h_o}^{h_1} \frac{dh}{h} = \ln \frac{h_1}{h_o} = -\ln \frac{h_o}{h_1}, \ h_o > h_1$$

For conventional strain

$$e = \frac{h_1 - h_o}{h_o} = \frac{h_1}{h_o} - 1$$

Note: the calculated strain is negative \rightarrow **compressive strains**.



 However the convention is reversed in metalworking problems so that compressive stresses and strains are defined as positive.
 Note: e_c is used as strain in deformation process.

$$\varepsilon_{c} = \ln \frac{h_{o}}{h_{1}}, \ e_{c} = \frac{h_{o} - h_{1}}{h_{o}} = 1 - \frac{h_{1}}{h_{o}}$$

g r

...LY. T

And the *fractional reduction* (reduction of area) in metal working deformation is given by

$$r = \frac{A_o - A_1}{A_o}$$

 $A_1L_1=A_2L_2$

...Eq. 5

From the *constant-volume relation*

$$A_o$$
 L_o

$$r = 1 - \frac{A_1}{A_0}$$
 or $\frac{A_1}{A_0} = 1 - r$

5

$$\varepsilon = \ln \frac{L_1}{L_o} = \ln \frac{A_o}{A_1} = \ln \frac{1}{1 - r}$$





Example: Determine the engineering strain, true strain, and reduction for (a) a bar which is doubled in length and (b) a bar which is halved in length.

(a) For a bar which is double in length, $L_2 = 2L_1$

$$e = \frac{L_2 - L_1}{L_1} = \frac{2L_1 - L_1}{L_1} = 1.0$$

$$\varepsilon = \ln \frac{L_2}{L_1} = \ln \frac{2L_1}{L_1} = 0.693$$

$$r = \frac{A_1 - A_2}{A_1} = 1 - \frac{A_2}{A_1} = 1 - \frac{L_1}{L_2} = 1 - \frac{L_1}{2L_1} = 0.5$$

(b) For a bar which is halved in length, $L_2 = L_1/2$

$$e = \frac{L_1/2 - L_1}{L_1} = -0.5 \text{ or } e_c = \frac{L_1 - L_1/2}{L_1} = 0.5$$

$$\varepsilon = \ln \frac{L_1/2}{L_1} = \ln \frac{1}{2} = -\ln 2 = -0.693$$

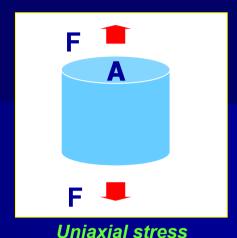
$$r = 1 - \frac{L_1}{L_1/2} = -1.0$$

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Yield criteria and stress-strain relations

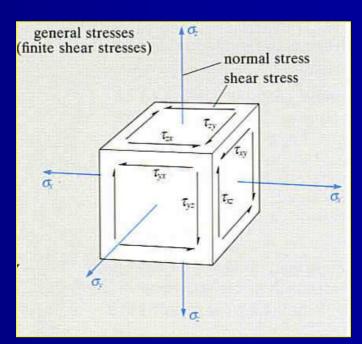
 Yielding in unidirectional tension test takes place when the stress $\sigma = F/A$ reaches the critical value.



$$\sigma = \frac{F}{A}$$

...Eq. 7

- Yielding in multiaxial stress states is not dependent on a single stress but on a combination of all stresses.
 - Von Mises yield criterion (Distortion energy criterion)
 - Tresca yield criterion (maximum shear stress)







Von Mises yield criterion

Yielding occurs when the second invariant of the stress deviator J₂ > critical value k2.

$$\left[(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2 \right] = 6k^2$$

...Eq. 8

In *uniaxial tension*, to evaluate the constant k, note $\sigma_1 = \sigma_{op}$ $\sigma_2 - \sigma_3 = 0$, where σ_0 is the yield stress;

Therefore

$$2\sigma_o^2 = 6k^2, then \ k = \frac{\sigma_o}{\sqrt{3}}$$

...Eg. 9

Substituting **k** from Eq.9 in Eq.8;

$$\left[(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2 \right]^{\frac{1}{2}} = \sqrt{2}\sigma_o$$

...Eg. 10

In *pure shear*, to evaluate the constant k, note $\sigma_1 = \sigma_3 = \tau_v$, $\sigma_2 = 0$, where σ_0 is the yield stress; when yields: $\tau_v^2 + \tau_v^2 + 4\tau_v^2 = 6k^2$ then $k = \tau_v$

By comparing with Eq 9 we then have $\tau_v = 0.577 \sigma_o$... Eq. 11

$$au_y = 0.577 \sigma_o$$
 *** ... Eq. 1



Tresca yield criterion

Yielding occurs when the maximum shear stress τ_{max} reaches the value of the shear stress in the uniaxial-tension test, τ_0 .

$$\tau_{\text{max}} = \frac{\sigma_1 - \sigma_3}{2}$$

Where σ_1 is the algebraically largest $\tau_{\text{max}} = \frac{\sigma_1 - \sigma_3}{2}$... Eq. 17 and σ_3 is the algebraically smallest principal stress.

For uniaxial tension, $\sigma_1 = \sigma_0$, $\sigma_2 = \sigma_3 = 0$, $\tau_{\text{max}} = \frac{\sigma_1 - \sigma_3}{2} = \tau_o = \frac{\sigma_o}{2}$...Eq.12 and the shearing yield stress $\tau_0 = \sigma_0/2$.

$$\tau_{\text{max}} = \frac{\sigma_1 - \sigma_3}{2} = \tau_o = \frac{\sigma_o}{2}$$
 ...Eq. 12

Therefore the maximum - shear stress criterion is given by

In pure shear,
$$\sigma_1 = -\sigma_3 = k$$
, $\sigma_2 = 0$, $\rightarrow \tau_{max} = \tau_y$

$$\sigma_1 - \sigma_3 = \sigma_o$$

$$\tau_{y} = 0.5\sigma_{o}$$
 *** ...Eq.14



Therefore, from *von Mises* and *Tresca* yield criteria we have

Von Mises yield criterion

$$\tau_y = 0.577 \sigma_o$$

Tresca yield criterion

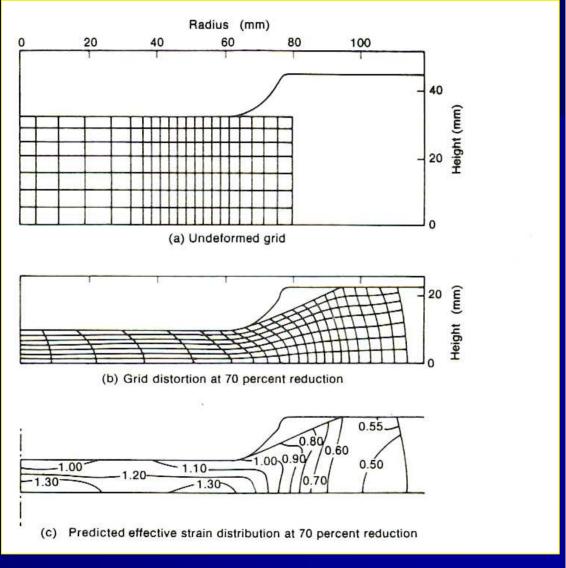
$$\tau_y = 0.5\sigma_o$$

- The differences in the maximum shear stress prediction from both criteria lie between 0-15%.
- However experiments confirmed that the *von Mises criterion* is more accurate to describe the actual situations.
- Once the metal has reached its yield point, the metal starts to flow under the influence of stress state. This is in the plastic regime where stress is not directly proportional to strain.
- The manner of flow or deformation is dependent on the stress state.



FEM analysis

- Finite element method (FEM) is used in metalworking plasticity where stresses are complex.
- FEM is a very powerful technique for determining stress-strain distributions in plane strain or plane stress conditions.

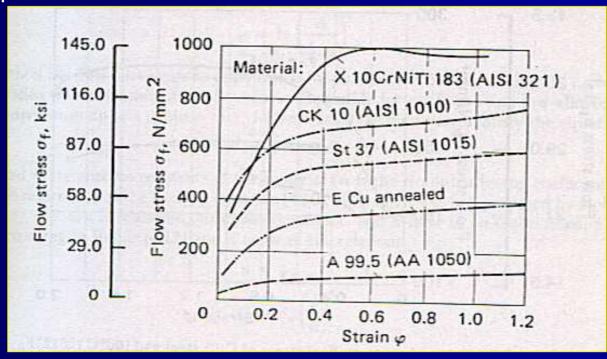




Distortion of FEM grid in forging of a compressor disk.

Flow curves

- Flow curve indicates whether metal is readily deformed at given conditions, i.e., strain rate, temperature.
- Flow curve is strongly dependent on strain rate and temperature.

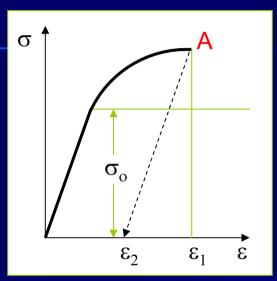




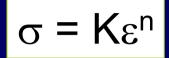
Flow curves of some metals at room temperature

Determination of flow curve

Stress-strain curve



Ture stress-strain curve of a ductile metal under uniaxial tensile loading.



 σ is ture stress

s is true strain

K is constant

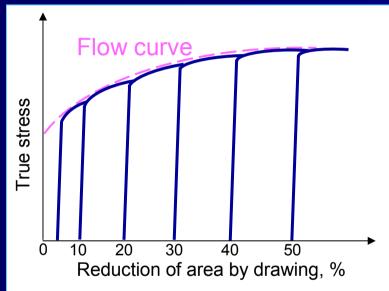
n is work hardening exponent (this is valid from the beginning of plastic flow to the maximum load at which the specimen begins to neck down.)

- Hook's law is followed up to the yield point, σ_{o}
- Beyond σ_0 , metal deforms plastically (strain hardening).
- Unloading from \triangle immediately decreases the strain from ε_1 to $\varepsilon_2 = \sigma/E$ the strain decrease $\varepsilon_1 \varepsilon_2$ is the recoverable elastic strain.

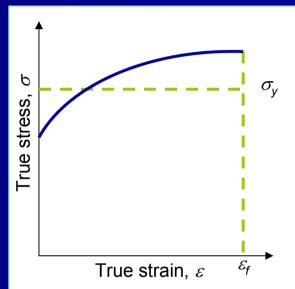
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Flow curve



Flow curve constructed from stressstrain curves after different amounts of reduction.



Method of using average flow stress to compensate for strain hardening.



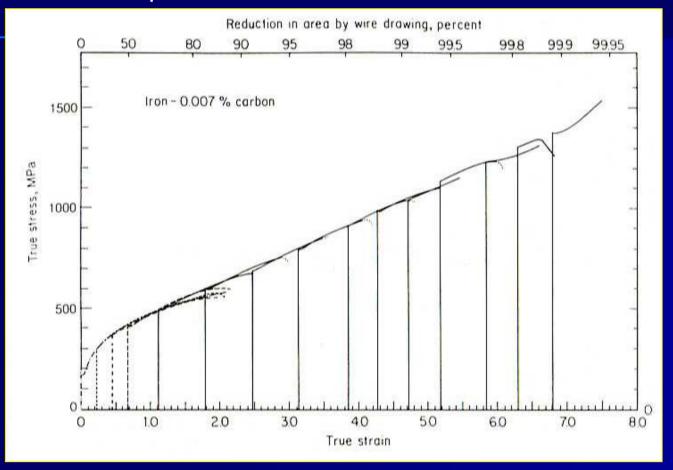


Flow stress



Flow curve

• Strain hardening occurred when an iron wire had been drawn to a specific true strain.





True stress-strain curve for iron wire deformed by wiredrawing at room temperature.

Working processes

 The methods used to mechanically shape metals into other product forms are called **Working Processes**.

Working processes

Hot working

 $T \sim 0.6 - 0.8 T_{\rm m}$

Cold working

 $T \le 0.3T_m$



Hot working $(0.6-0.8T_m)$

Definition: deformation under conditions of temperature and strain rate such that recrystallisation process take place simultaneously with the deformation.

Examples: rolling, forging, extrusion



Cold working ($< 0.3T_m$)

Definition: deformation carried out under conditions where recovery processes are not effective.

<u>Examples</u>: rolling, forging, extrusion, wire/tube drawing, swaging, coining



• The products resulting from the working of metals are called <u>Wrought Products.</u> such as sheet, plate, bar, forging.

 Plastic working processes can also divided into:

Primary mechanical working process

Designed to reduce an ingot or billet to a standard mill product of simple shape, i.e., sheet, plate, bar.

Secondary mechanical working process

Primary sheets, plates or bars are formed into final finished shapes, i.e., wire & tube drawing, sheet metal forming operation.





m/m/m/m/m

Steel plates



Magnesium bars

www.magnesiumextrusions.com

Drawn wires

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Hot working

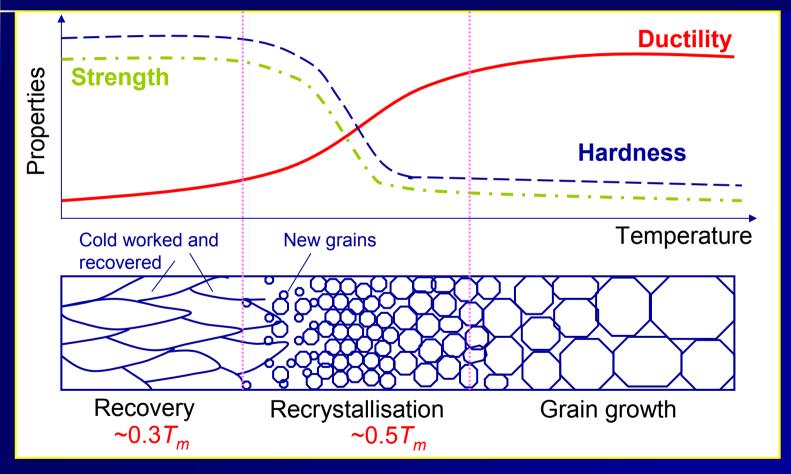
 Hot working involves deformation at temperatures where recrystallisation can occur (0.6-0.8 T_m).

Examples of hot working temperatures for each metal

Metal	Melting point (°C)	Recrystallisation temperature (°C)	Hot working range (°C)
Iron	1535	450	900-1200
Copper	1083	200	650-900
Aluminium (alloys)	660	150	350-500
Zinc	420	20	110-170



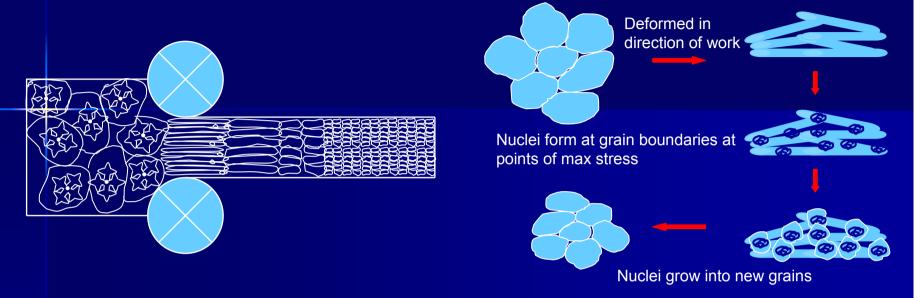
Effects of temperature on metal forming processes





Annealing mechanisms in cold worked metals

Recrystallisation during hot working



- The minimum temperature at which reformation of the crystals occurs is called *Recrystallisation Temperature*.
- Above the recrystallisation temperature the kinetic energy of atoms increases and therefore they are able to attach themselves to the newly formed nuclei which in turn begin to grow into crystals. This process continues until all the distorted crystals have been transformed.



Hot working results in grain refining.

Recrystallisation

- Recrystallisation takes place at higher temperatures than recovery which leads to a new formation of grains.
- The process includes 1) primary recystallisation and 2) secondary recrystallisation and grain growth.



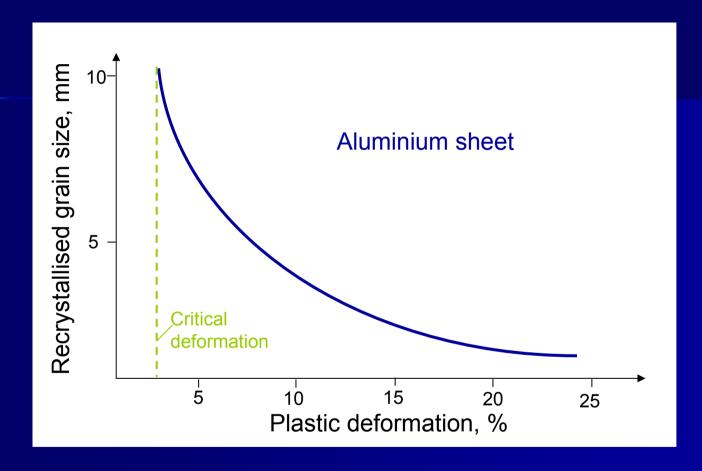
Recrystallised grain with annealing twins surrounded by deformed matrix with high density of dislocations.

Primary recrystallisation

- Primary recrystallisation occurs at the beginning of the new grain formation process.
- Recrystallisation temperature does not depend on the metal alone, but on a whole number of variables temperature, strain and minimum dislocation density available (amount of deformation)
- Small impurities in pure metals can considerably increase the recrystallisation temperature.



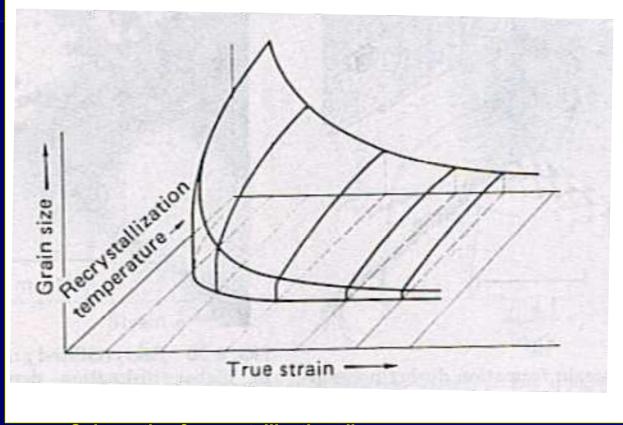
Recrystallised grain size and prior plastic strain



The greater the driving force (greater prior plastic deformation), the greater the number of nuclei that will form and the finer will be the final grain size.



Effects of grain size and strain on recrystallisation temperature

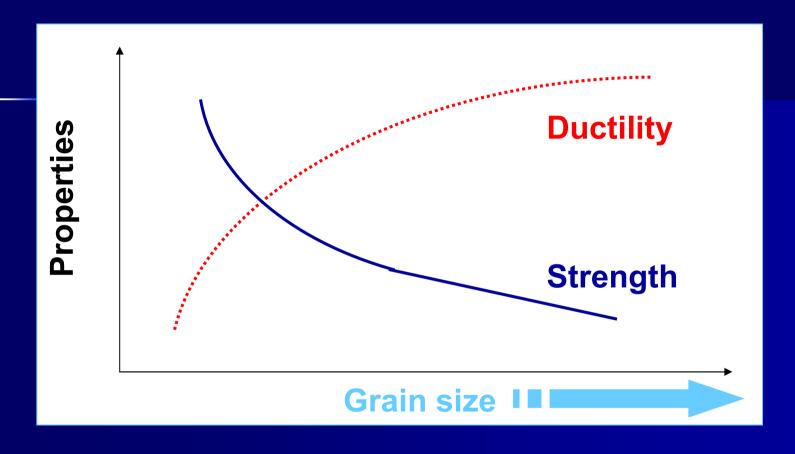


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Schematic of recrystallisation diagram



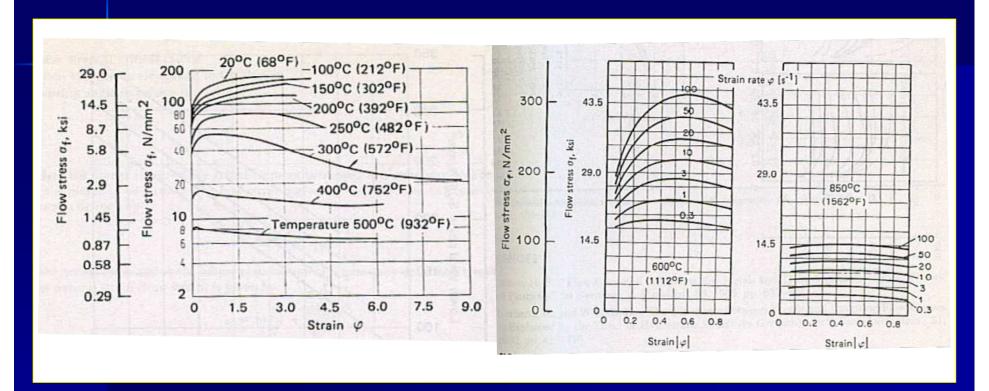
Effects of grain size on properties



- Small grains make dislocations more difficult to move
- More slip plane, therefore, greater ductility



Effects of strain rate and temperature



Flow stress of aluminium as a function of strain at different temperature

Flow curves of Cu Zn28







Flow stress



Strain rate



Flow stress



Secondary recrystallisation and grain growth

- At higher temperature and longer annealing time, *further grain growth processes* take place in the primary recrystallisation structure.
- The *driving force energy* from the energy gained by lowering the ratio of the grain boundary area to the enclosed volume.

Secondary recrystallisation



Only individual grains grow preferentially, resulting in very large grains present near the primarily recystallised grains.

Grain growth



Result in an increase in average grain diameter.

Mechanical property deterioration

Ductility <a>__

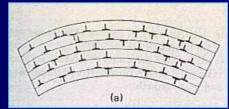
Formability <a>__



Recovery

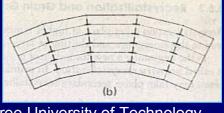
• Recovery is a thermally activated process, which results in lower density of dislocations or rearrangement of dislocation structure (as a consequence of strain hardening during deformation process).

- Recovery process includes annihilation of dislocation, polygonization of dislocation, dislocation climb.
- Certain amount of stored energy is released during annealing without an obvious change in optical microstructure.

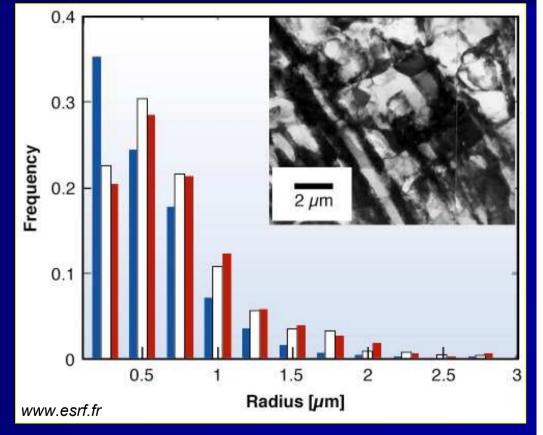


Polygonization





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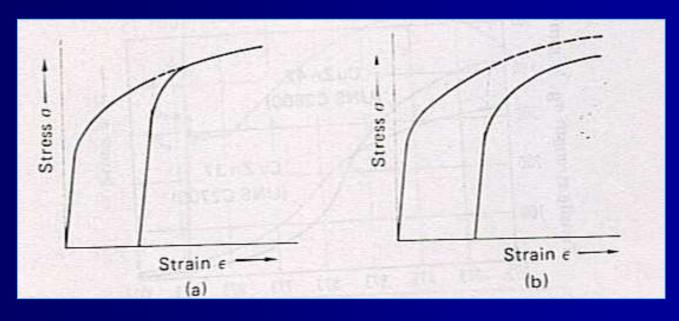
Recovery of 38% cold-rolled aluminium showing different sizes of subgrains.

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Effect of recovery annealing on stress-strain diagram

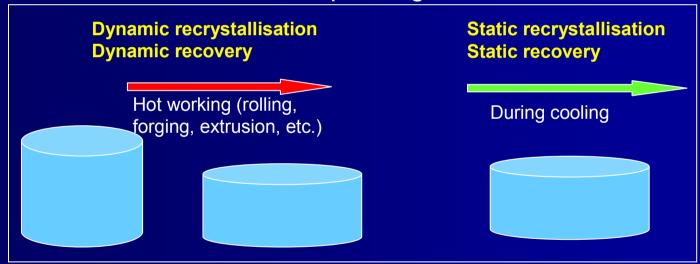
- Recovery process depends strongly on temperature.
- Increasing temperature (T ≥ 0.5T_m) during step tensile tests fig.
 (b) reduces the yield stress, due to the rearrangement and reactions of dislocations during recovery.





Static and dynamic changes of structure during hot forming

- During plastic deformation, *new dislocations and vacancies* are produced continuously, which leads to a new state of equilibrium through *dynamic recrystallisation and dynamic recovery*.
- These two processes take place in the *forming zone* during plastic deformation at corresponding stresses and strain rates.



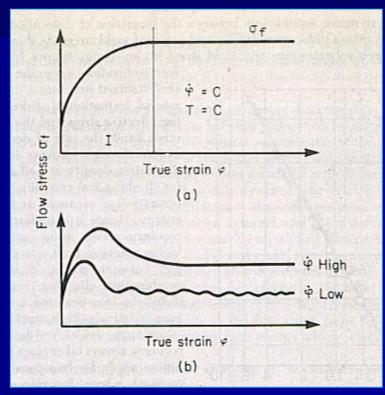


- During forming, structure changes through dynamic recrystallisation and dynamic recovery.
- During cooling or heating, structure changes through static recrystallisation and static recovery.



Static and dynamic changes of structure during hot forming

- Dynamic and static recovery are strongly encouraged in metals with high stacking fault energy (easy for climb and cross slip) such as aluminium , α –Fe, ferritic alloys.
- Hot flow curve with a constant or slightly drop of yield stress are typical for *dynamic recovery*.
- On the contrary, the flow curves with dynamic recrystallisation (after initial hardening) show a sudden drop in yield stress.



Schematic form of hot flow curves by (a) dynamic recovery alone (b) both dynamic recovery and recrystallisation.

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Advantages and disadvantages of hot working

Advantages

- Higher ductility more deformation without cracking.
- Lower flow stress less mechanical energy required for deformation.
- Pores seal up.
- Smaller grain size.
- Microsegregation is much reduced or removed due to atomic diffusion, which is higher at high temperatures.
- Stronger, tougher and more ductile than as-cast metals due to breaking down and refinement of coarse columnar grains in the cast ingot.

Disdvantages

• Surface reactions between the metal and the furnace atmosphere, i.e., oxidation (oxide scales), decaburisation in steels.

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- Hot shortness, when the working temperature exceeds the melting temperature of constituent at grain boundaries such as FeS.
- Dimension tolerance is poor due to thermal expansion at high temperatures.
- Handling is more difficult (from furnace to machine).



Cold working

- Normally performed at room temperature but *in general* $< 0.3T_m$, where recovery is limited and recrystallisation does not occur.
- Work hardening occurs (strength and hardness increase but ductility decreases).
- The extent of deformation is rather limited if cracks are to be avoid, therefore intermediate anneals that enable recrystallisation are frequently used afterwards.
- The materials suitable for cold working should have a relatively **low yield stress** and a relatively **high work hardening rate** (determined primarily by its tensile properties).



Advantages and disadvantages of cold working

Advantages

- Provide work hardening, materials are stronger.
- Provide fine grain size and good surface finish.
- Dimension tolerance is better than in hot working.
- Easier handling (low operating temperatures).

Disdvantages

- Use high amount of deformation due to low operating temperatures, therefore, require soft materials.
- Equipment (rolls, dies, presses) is big and expensive.
- Reduced ductility, therefore, require subsequent annealing treatments.

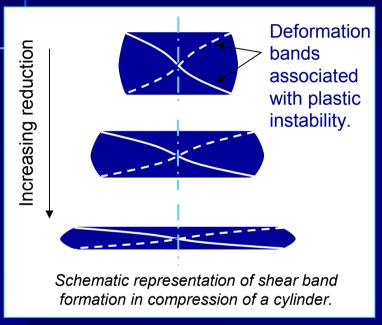


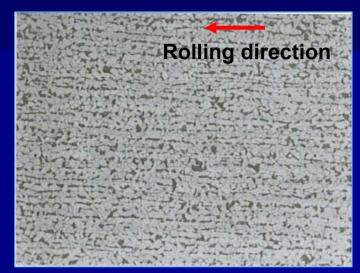
Properties of steels (C10) after hot-cold working

Mechanical properties	Hot rolled	Cold rolled
Ultimate tensile strength, $\sigma_{\rm TS}$ (MPa)	427	558
Yield stress, $\sigma_{\!\scriptscriptstyle y}$ (MPa)	220	345
Brinell hardness (HB)	94	174



Effects of metallurgical structure on working processes





Fibrous texture in rolled plate.

- •The presence of preferred orientation causes *anisotropy of mechanical properties*, especially in rolled sheets.
- The development of texture is the formation of <u>deformation</u> <u>bands or shear bands</u>, which are regions of distortion where a portion of grains have rotated towards another orientation to accommodate the applied strain.

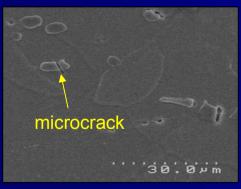


Example: Plastic working in two-phase alloys

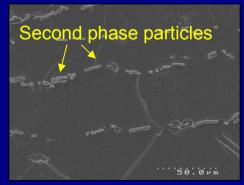
The plastic working characteristics of two-phase alloys depends on the microscopic distribution of the two phases.

- A high V_f of hard uniformly dispersed particles increases the flow stress and makes working difficult.
- Hard and massive particles tend to fracture on deformation with softer matrix.
- Second phase particles or inclusions will be distorted in the principal working direction (*fibrous structure*)- affect mechanical properties.
- <u>Precipitation hardening</u> during hot working results in high flow stress and lowered ductility.





Cracked particle in softer matrix.

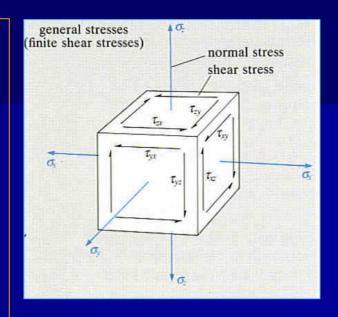


Alignment of second phase particles along the principal working direction.



Effect of principal stresses in metal working

- When there is no shear stresses acting on the planes → giving the maximum normal stress acting on the planes.
- These planes are called the **principal planes**, and stresses normal to these planes are the **principal stresses** σ_1 , σ_2 and σ_3 which in general do not coincide with the **cartesian coordinate axes x**, **y**, **z**. Directions of principal stresses are 1, 2 and 3.

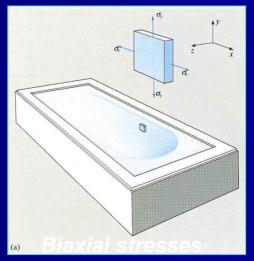


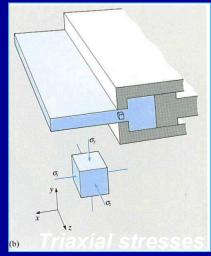
Biaxial-plane stress condition

• Two principal stresses, σ_1 and σ_2 .

Triaxial-plane strain condition

• Three principal stresses, σ_1 , σ_2 and σ_3 , where $\sigma_1 > \sigma_2 > \sigma_3$.



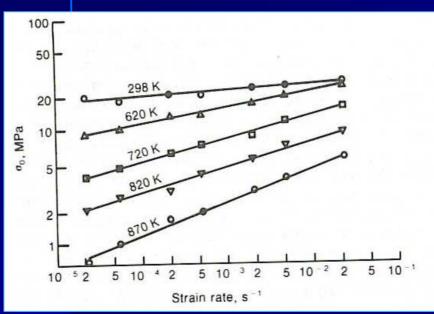


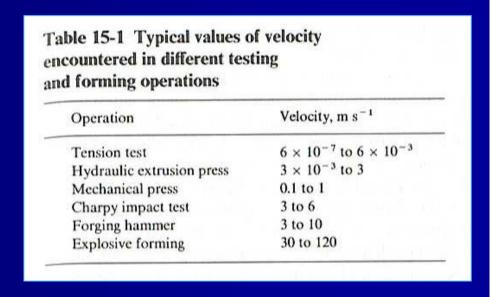
Effects of speed of deformation

High deformation speed (high strain rate)



- High flow stress.
- Increased the temperature of the workpiece.
- improved lubrication at the tool-metal interface.





Flow stress dependence on strain rate and temperature

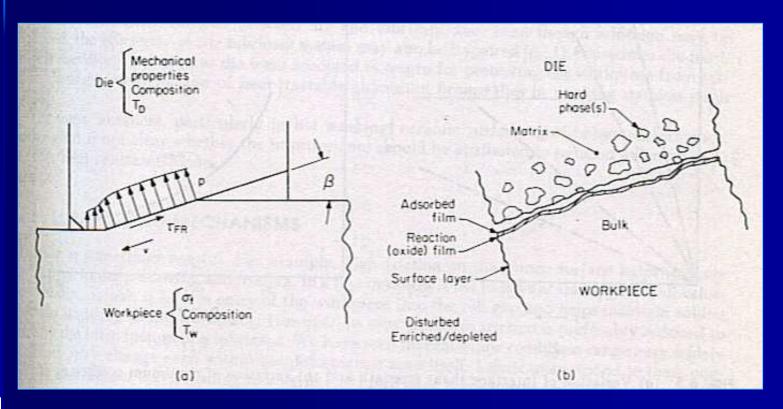
Note:

- If the speed of deformation is too high, metal cracking is possible.
- Can cause plastic instability in cold working
- Can cause hot shortness in hot working



Effects of friction and lubrication

Friction at tool-workpiece interface depends on geometry of the tooling and the geometry of the deformation, temperature, nature of metal, speed of deformation.

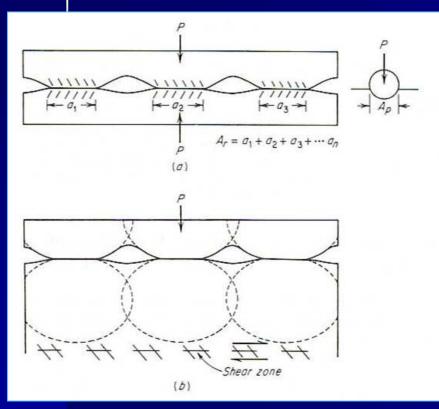




Die-workpiece interface (a) on the macroscale, (b) on the microscale.

Effects of friction and lubrication

When two surfaces are brought into contact, the high spot (asperities) will come into contact.



(a) Contact at asperities (b) overlap of deformation zones to produce subsurface shear zone.

 As we increase the load, the metal at the asperities deform plastically and produce sub-shear zone.

The coefficient of friction is given by

$$\mu = \frac{F}{P} = \frac{\tau_i A_r}{p A_r} = \frac{\tau_i}{p}$$

Where

μ = frictional coefficient

τ = the shearing stress at the interface

P = the load normal to the interface

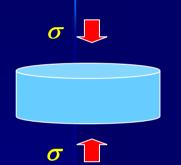
F = the shearing force

A,= summation of asperity areas in contact

p = the stress normal to the interface

Example: homogeneous compression of a flat circular disk

Assumption: no barrelling and small thickness, then the frictional conditions on the top and bottom faces of the disk are described by a constant coefficient of Coulomb friction;



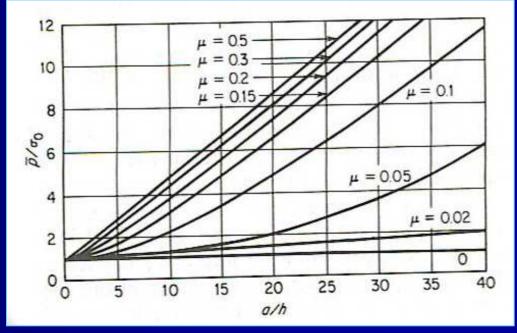
$$\mu = \frac{\tau}{p}$$

Where

 μ = frictional coefficient

 τ = the shearing stress at the interface

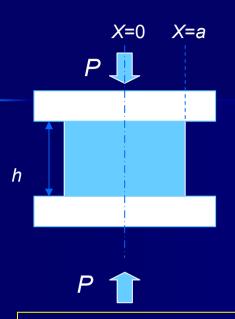
p = the stress normal to the interface

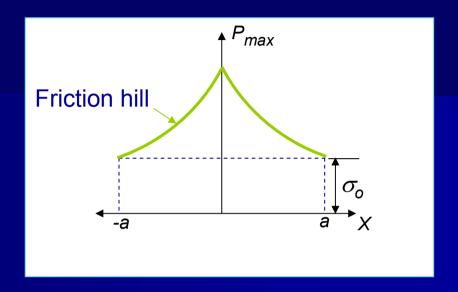


Deformation pressure in compression as a function of *u* and *a/h*.



Example: friction in forging





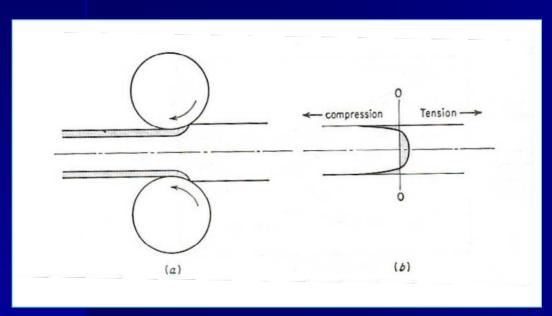
Functions of a metal working lubricant

- Reduces deformation load
- Increases limit of deformation before fracture
- Controls surface finish
- Minimises metal pickup on tools
- Minimises tool wear
- Thermally insulates the workpiece and the tools
- Cools the workpiece and/or tools



Effect of residual stresses

- **Residual stresses** is generated by **non-uniform plastic deformation** when external stresses are removed.
- **Ex:** in rolling process, the surface grains in the sheet are deformed and tend to elongate, while the grain in the centre are unaffected.



(a) Inhomogeneous deformation in rolling of sheet, (b) resulting distribution of longitudinal residual stress over thickness of sheet.

• Due to continuity of the sheet, the central fibres tend to restrain the surface fibres from elongating while the surface fibres tend to stretch the central fibres.



• Residual stress pattern consisting of high compressive stress at the surface and tensile stress in the centre.



Effect of residual stresses

- Residual stresses are only elastic stresses. The maximum value which a residual stress can reach is the yield stress of the material.
- Residual stresses can be considered the same as ordinary applied stresses.
- Compressive residual stress can effectively *subtracts* from the applied tensile stresses.
- Metals containing residual stresses can be **stress relieved** by heating to a temperature where the yield strength of the material is the same or lower than the value of the residual stress such that the material can deform and release stress.
- However *slow cooling* is required otherwise residual stress can again develop during cooling.

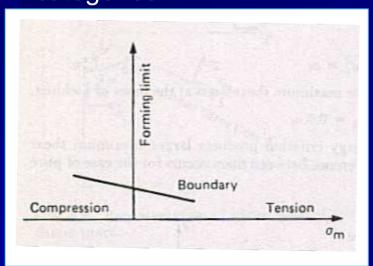


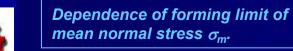
Workability

• Workability is concerned with the extent to which a material can be deformed in a specific metal working process without

the formation of cracks.

• Cracks which occur in metal working processes can be grouped into three broad categories:

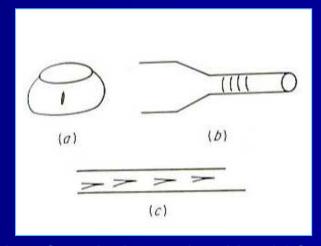




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- 2. Cracks that develop in a surface where interface friction is high
- 3. Internal cracks.



Examples of cracks in metalworking (a) free surface crack (b) surface crack from heavy die friction in extrusion, (c) centre burst or chevron cracks in a drawn rod.

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