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Dialogues in Ludics

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Abstract. In this text we expose and defend the following claim: “Ludics is a relevant framework to ensure both the formalisation and another way for studying dialogues”.

Once our model presenting a not formal notion of dialogue, and explaining the correspondance with some core concepts in Ludics has been introduced, we give a light technical presentation of Ludics, focusing on the most relevant points for the study of formal dialogues : objects, actions and interactions. At last, we present the concrete part of the model with some examples of dialogues in Ludics.

Introduction

We postulate that Ludics is a relevant framework to ensure both the formalisation and another way for studying dialogues. This approach, which investigate the dynamics of interactive situations, offers richful intuitions and mathematical means easily linkables to the natural structure of our object. By this way, we can go deep in the interactive layer of dialogues, leaving both the propositional and the constructive ones.

We introduce our model presenting a not formal notion of dialogue, and explaining the correspondence with some core concepts in Ludics. These remarks will be useful to understand the continuity between intuitive and formal dialogues. In the second part we give a light technical presentation of Ludics, focusing on the most relevant points for the study of formal dialogues: objects, actions and interactions. Finally, we present the concrete part of the model with some examples of dialogues in ludics. We begin with an *elementary decomposition* by the *intervention-action* matching. Secondly, we introduce a higher level of formalisation, refined approach of the same object, viewing interventions as complex actions. Finally, we open the level of inside relations, considering superstructures and recursive dialogues.

1 Preliminary remarks

Intuitively, we can define the dialogue as a common research done by some speakers which want to establish some knowledge by exploring possibilities opened by some thesis and its counter-thesis. This intuitive notion of dialogue corresponds

in some way to the greek concept of *dialegesthai* (*gr.* διαλέγεσθαι), which is a primitive notion in the western philosophy of knowledge, as opposed to the more traditional *dialectic*.

We describe parts of dialogues as sequences of polarized actions which constitute the chronology of symbolic exchanges underlying the communication of meanings. Their structure is both the research itself, seen as a process in progress, and the knowledge itself, seen as a stable object, finite at one step of development.

The dialogue carries out three essential functions: exchange of informations, construction of knowledge, resolution of a cognitive tension.

First, at every stage, a speaker is giving a symbol, and this exchange is informative in three ways: it informs us about the *object* discussed (some thesis), the subject which is speaking (his approach about this thesis), and the connection between a present intervention and some counter-interventions (upstream or downstream, actuals or virtuals).

Second, running dialogues shows arguments interacting like machines built up to explore relevant opportunities of discussion according to some global strategy: *I argue in this way to reach this point, I open these branches to induce some reactions...* So, dialogue is a sort of unfolding structure which represent some knowledge. Evidently, involving friendly but tenacious interlocutors ensures a good (exhaustive) exploration.

Third, by the interaction, the locutors can extract some new information which is about the form of the interaction, contained in the result of the dialogue: what is stable, what is explored, what is new, what is in latence.

Interpreting ludics as a paradigmatic level which shows natural dynamics in logic, we can find some correspondences between dialogue functions and properties of the logical world described in ludics.

1.1 Action Dynamics

First, we must observe that abstract identity (the same name refers to the same content) is replaced in Ludics by a concrete identity based, as if in a game, on a behavioral criterion requiring concrete observation:

reactions experienced by a player corresponds likewise
to the actions of his opponent.

Consequently, a logical entity a is characterized by the set of all its interactions with the rest of the logical world. And a is identified with a' when they interact exactly in the same manner with this world. This idea is very close to the fact that conceptual identity does not exist between two natural terms or two sentences, since it is only in the consideration of the context, and so in a definite situation of interaction, that we can evaluate a semantic item.

This property, well known as *holist evaluation* in semantics of natural language, is produced by the localization constraint. In the old style we had different occurrences of a same content. Context-sensitive logics, like linear logic, introduces

occurrences linked by an orthogonality relation, permitting a strict resource management. By the fact of localization, occurrences became locations (*loci*) in a geometrical framework and, necessarily, locations are considered as different ones.

So, we have a first notion of meaning, assuming that the set of all the possible interactions with other elements of the logical world is the conceptual meaning of my strategy, by the fact that interactions explains what can be expected from my actions. The action of my opponent is the meaning of my own: it is induced by actions I have done, and it induces reactions by me which opens or closes possible playings. The first part of our model will give sense to this intuition by setting the elementary decomposition: one intervention is treated as a ludic action, and actions are mirroring themselves.

1.2 Exploration dynamics

We can also refer to locations in two ways, depending on the cognitive subject position: consumer vs. producer, emitter vs. receptor, speaker vs. addressee, etc. In linguistics this point corresponds to the fact that duality is not an objective reality but a local opposition between persons who can change their positions. Even if we know (at least conceptually) that strategies interact with all the strategies in the world, there is a very special relationship between a set of strategies, its counter strategies (a sort of optimal opponent which explores all the determinations of the player's intentions) and the counter strategies of these counter strategies, that is to say the initial set.

So we have a second notion of meaning. My strategies are the meaning of the strategies of my opponent, since he made choices in all the playable strategies in order to select one which can optimally play against mine. Natural language sentences are not considered by themselves but regarding the interpretation processes they suggest. There is a construction of mine, its deconstruction by my opponent, a re-construction knowing that he knows what I said, and his re-deconstruction knowing that I know that he knows...

The second part of our model will focus attention to the explorative part of interventions, which are made in order to explore some thesis, by anticipation on the possible ways which would be chosen by an opponent. This will give a second level of formalisation, considering interventions as more complex structures: an intervention is a whole strategy, made of anticipations (about the opponent) and forecastings (about my own further plays).

1.3 Inside dynamics

Geometrical logics, whose ludics is a very representative one, are founded on the calculus dynamics. That is the crucial point in our attempt considering the fact that too many theories in this domain neglect to develop a really good dynamic part. Till now, we know very expressive systems, based on a lambda-calculus base, but without specific attention on β -reduction and η -expansion processes. On the contrary, here, we consider dynamics as the essential part of our research.

According to this principle, we propose a third notion of meaning: the meaning of our common search is the form of our interaction itself. If we diverge, it means that no durable connection is possible between our games. But we explore, by means of this divergence, some determinations of the invoked strategies. And we would be able, in future games, to modify our intentions in order to greatly explore offered possibilities. In the case of convergence, a really useful exploration is always possible, which produces a new knowledge, not present explicitly in our past determinations. Useful interactions are interactions which urges us to explore more deeply the determinations of our playing intentions.

Considering dynamics, we must introduce a level of complexity, presented in the third part of the model. Inside the on-going dialogue, we must have the capability of duplicating some modules, invoking strategies used in previous stages and dialogues, inserting dead-ends and closed loops, cheating in the argument arborescence, or disrupting opponent's plans. These are complex processes of *de-* and *re-localization* inside the running dialogue, and with outside parts turned inside out, as a sort of high-level *transfer of training* mechanism.

To prevent misinterpretations, let's not forget that there is no propositions in this model. We suppose a kind of multilevels structure, each level corresponding to a way of taking in account the object. The more abstract level is concerned by the propositional aspects of communication. And we found at the most primitive level the interactive structure of dialogue itself. Our work is clearly based on the latter. Fortunately, it would be possible to invoke some *principle of compilation* between levels which ensure continuity and restore the connection between abstract and concrete views. But, this is another work.

Here, we don't use propositional analysis as in the syntactic style, and structures we present are not based on conceptual contents as in the semantic styles, because we want to get our model away from the semantic/syntactic duality. We propose a third way, based on the exchange itself, considering the dialogue as a sequence of interactions in *some place* at *some time*. So, a *locus* would be a place and a moment of dialogue, and not a linguistic object considered on its own grammatical structure. Eventually, classical "propositions" can be used as a possible reading of the interactive structures we propose.

2 Ludics in a nutshell

Ludics can be sum up as a *interaction theory*. It appears in the work of J.-Y. Girard [Girard-01] as the issue of several changes of paradigms in "Proof Theory"³: from *provability* to *computation*, then from *computation* to *interaction*.

³ A deep presentation of the philosophic and epistemologic point of view of the mathematical logic progress (when computer science is concerned in) can be found in the works of J.-B. Joinet [Joinet-07] and S. Tronçon [Tronçon-06].

The first change of paradigm arises with the intuitionistic logic, while the second is due to the development of linear logic. Continuing the new approaches of Linear Logic : a geometrical point of view of proofs ; an internal approach of dynamics, Ludics focalizes on the interaction.

The objects of the Ludics are no more proofs but instead incomplete proofs, attempts of proofs. So a rule called *daïmon* is available in order to symbolize the giving up in a proof search or a pending lemma. These objects play the role of a proof architecture. Only what is needed for the interaction is kept. This has been made possible by means of the hypersequentialized linear logic introduced by J-M. Andréoli after he has discovered the polarity of formulas. Moreover, this work within polarized objects world allowed to create a link [Faggian-Hyland-02] between Ludics and recent works in Game Semantics which share similar motivations. So the Game Theory is a good metaphor for a first approach of Ludics, and it is the point of view that from now we shall often follow in this text.

Here you find a presentation of the theory in a very simplified version, but we recommend the source texts [Girard-01], [Girard-03] to the reader concerned with more details on the mathematical notions and rich concepts of Ludics ; we also recommend the reading of this introduction [Currien-01].

2.1 The objects of Ludics

The central object of Ludics is the **design**. By means of the metaphor of Games, a design can be understood as a *strategy*, i.e. as a set of *plays* (**chronicles**) ending by answers of Player against the moves planned by Opposant. The plays are alternated sequences of *moves* (**actions**). The moves are defined as a 3-uplet constituted by : firstly a polarity (positive polarity for a move of Player or negative polarity for a move of Opposant), secondly a locus (a fixed position) from which the move is anchored, and at last a finite number of *positions reachable in one step* (**ramification**). A unusual positive move is also possible : (the **daïmon**).

In Ludics, the positions are addresses, **loci** incoded by means of a finite sequence of integers (often noted $\xi, \rho, \sigma \dots$).

The starting positions (**forks**) are denoted $\Gamma \vdash \Delta$; where Γ and Δ are finite sets of loci such that Γ is either the empty set or a singleton one. When an element belongs to Γ , every play then starts on this element by means of an Opposant move (and the fork is said negative), else Player starts on an element of its choice taken in Δ (and the fork is said positive).

For the hypersequentialized linear logic point of view, a design can be seen as a figure of a proof in this sequent calculus with some particularities : first we can use the *daïmon* rule, for giving up the proof search. Finally we don't work with formulas but with addresses, and we just need two rules (the negative and positive ones) for representing the usual logical rules.

Every usual logic connectives has not its own, only two rules are sufficient for subsuming these rules⁴.

Definition: A design is a tree of forks $\Gamma \vdash \Delta$, built by means of these three rules :

- **Daïmon**

$$\frac{}{\vdash \Delta} \dagger$$

- **Positive rule**

$$\frac{\dots \quad \xi.i \vdash \Delta_i \quad \dots}{\vdash \Delta, \xi} (\xi, I)$$

where I is an eventually empty ramification such that for every couple of indexes $(i, j) \in I$, Δ_i and Δ_j are disconnected and every Δ_i is included⁵ in Δ .

- **Negative rule**

$$\frac{\dots \quad \xi.I \vdash \Delta_I \quad \dots}{\xi \vdash \Delta} (\xi, \mathcal{N})$$

where \mathcal{N} is a possibly empty or infinite set of ramifications such that for all $I \in \mathcal{N}$, Δ_I is included in Δ .

EXAMPLES : \mathcal{Dai} and \mathcal{Fax}

- The following designs are named \mathcal{Dai}^+ ou \mathcal{Dai}^- depending on the polarity of their bases :

$$\begin{array}{ll} \mathcal{Dai}^+ = & \mathcal{Dai}^- = \\ \frac{}{\Delta} \dagger & \frac{\frac{}{\vdash \xi.I, \Delta} \dagger}{\xi \vdash \Delta} (\xi, \mathcal{P}_f(\mathbb{N})) \end{array}$$

- The $\mathcal{Fax}_{\xi, \xi'}$ is the following design, recursively defined (where $\mathcal{P}_f(\mathbb{N})$ is the set of finite subsets of \mathbb{N}) :

$$\frac{\begin{array}{c} \mathcal{Fax}_{\xi'_i, \xi_i} \\ \xi'.i \vdash \xi.i \end{array} \quad \dots \quad \frac{\mathcal{Fax}_{\xi'_j, \xi_j}}{\xi'.j \vdash \xi.j} \quad \dots}{\vdash \xi.I, \xi'}^{(\xi', I)} \quad \frac{\dots \quad \frac{\mathcal{Fax}_{\xi'_j, \xi_j}}{\xi'.j \vdash \xi.j} \quad \dots}{\vdash \xi.J, \xi'}^{(\xi', J)} \quad \dots}{\xi \vdash \xi'}^{(\xi, \mathcal{P}_f(\mathbb{N}))}$$

⁴ it is a direct consequence of the focalization property : a proof of a formula in usual linear logic can be replaced with a proof of an equivalent formula written by means of polarized synthetic connectives. So only two rules schemes (positive or negative ones) are needed

⁵ every rule where the union of the Δ_i is strictly included in Δ correspond to the weakening rule (respectively for negative rule when Δ_I is strictly included in Δ).

EXAMPLE : Faggian-Maurel contract in Ludics.

Bob offers the following contract :

She gives him one euro, then she can choose between a book or a surprise of his collection, a CD or a DVD that he will choose himself.⁶

We are going to represent by means of designs the Alice and Bob's strategies for the dialogue based on this contract. In the next section we shall study this contract in progress by studying the effect of the interaction between these designs. We arbitrarily start the interaction at locus ξ .

Two strategies of Bob in Ludics :

The two strategies begin in a same manner : first Bob sets out the contract (represented by a positive action $(\xi, \{0\})$); then he is ready to receive one euro and give a book (represented by a negative action $(-, \xi.0, \{1, 2\})$), and he also is ready to receive one euro and choose a surprise for Alice $((-, \xi.0, \{1, 3\}))$.

In the first strategy, Bob ends the exchange by giving the book in return of one euro ; in ludics, we will say that he plays \dagger ; playing the daimon in a strategy allow us to attest that the exchange correctly ends⁷. In the second strategy, Bob gives a surprise in return of one euro.

Then the two strategies differ according on Bob gives a CD or a DVD. “ Bob chooses the CD” will be represented by the positive action $(+, \xi.0.3, \{1\})$, while “ Bob chooses the DVD” will be represented by the positive action $(+, \xi.0.3, \{2\})$.

$$\begin{array}{c}
 \frac{\frac{\frac{}{\vdash \xi.0.1, \xi.0.2} \dagger \quad \frac{\xi.0.3.1 \vdash \xi.0.1}{\vdash \xi.0.1, \xi.0.3}}{\xi.0 \vdash}}{\vdash \xi} \\
 \hline
 \vdash \xi
 \end{array}
 \qquad
 \begin{array}{c}
 \frac{\frac{\frac{}{\vdash \xi.0.1, \xi.0.2} \dagger \quad \frac{\xi.0.3.2 \vdash \xi.0.1}{\vdash \xi.0.1, \xi.0.3}}{\xi.0 \vdash}}{\vdash \xi} \\
 \hline
 \vdash \xi
 \end{array}$$

Two strategies of Bob

Three Alice strategies in Ludics :

The three strategies begin in a same manner : she hears the exposition of the contract (she plays a negative action $(-, \xi, \{0\})$).

- in the first strategy, “ she gives an euro and chooses a book” is represented by the positive action $(+, \xi.0, \{1, 2\})$; in the second and third strategies “ she gives an euro and chooses a surprise” is represented by the positive action $(+, \xi.0, \{1, 3\})$.

- in the second strategy, she chooses a surprise, so she is ready for both eventualities : receive a CD or receive a DVD ; so this is represented by two negative actions $(-, \xi.0.3, \{1\})$ and $(-, \xi.0.3, \{2\})$. In these two cases, she ends up the exchange by the positive action \dagger (great, thank you).

⁶ This contract can be described by means of this Linear Logic formula :

$$1 \text{ euro} \multimap (1 \text{ livre} \& (1 \text{ CD} \oplus 1 \text{ DVD}))$$

⁷ Another possibility would be to make Bob playing an action (“ Bob gives Alice a book”) and then make Alice playing the daimon (“ Alice thanks Bob”).

- in the third strategy, she chooses a surprise, but she only is ready for receiving a CD ; this is represented by the negative action $(-, \xi.0.3, \{1\})$. In this case, she ends up the exchange by the positive action \dagger (fine, thank you).

$$\begin{array}{c}
\frac{\xi.0.1 \vdash \quad \xi.0.2 \vdash}{\vdash \xi.0} \\
\xi \vdash
\end{array}
\quad
\frac{\xi.0.1 \vdash \quad \frac{\frac{\vdash \xi.0.3.1 \quad \vdash \xi.0.3.2}{\vdash \xi.0.3}}{\vdash \xi.0}}{\xi \vdash}
\quad
\frac{\xi.0.1 \vdash \quad \frac{\vdash \xi.0.3.1}{\vdash \xi.0.3}}{\vdash \xi.0} \\
\xi \vdash$$

Three strategies of Alice

2.2 The interaction

The designs are built on the model of proofs without cut. The underlying signification of the cut is the composition of morphisms or strategies. In Ludics, it is concretely translated by a coincidence of two loci in dual position in the bases of two designs. We can cut for example a design of base $\sigma \vdash \xi$ and a design of base $\xi \vdash \rho$, so forming a cut-net⁸ of base $\sigma \vdash \rho$.

The interaction is obtained by means of the cut ; it creates a dynamics of rewriting of the cut-net ; at the end the process fails or we obtain a design with the same base as the starting cut-net. We are going to describe in a simplified way this dynamics and finish the section with some examples.

Normalization procedure

We set the elementary steps of the normalization procedure of a cut-net \mathcal{R} . As usually, the rewriting is obtained by reiteration of the elementary steps.

Closed case: Let \mathcal{R} be a closed cut-net i.e. of base \vdash

In order to simplify the presentation, we shall say that \mathcal{R} is constituted by \mathcal{E}_1 of base $\xi \vdash$, \mathcal{E}_2 of base $\rho \vdash$ and \mathcal{D} of base $\vdash \xi, \rho$; if the normalization succeeds, the base of design becomes \vdash . In a cut-net there is only one positive design (\mathcal{D} in this example). Let k be its first rule.

- if k is the *daimon* then the normal form of \mathcal{R} noted $[[\mathcal{R}]]$ is the design $\mathcal{D}ai^+$. It is the only case of termination of a closed cut-net and we shall say that the normalization of the cut-net \mathcal{R} (the interaction between the designs \mathcal{E}_1 , \mathcal{E}_2 , and \mathcal{D}) converges.

- si $k = (\xi, I)$ then we consider the design \mathcal{E}_1 of base $\xi \vdash$; let (ξ, \mathcal{N}) be its last rule.

. If $I \notin \mathcal{N}$, the normalisation fails.

. If $I \in \mathcal{N}$ then for $i \in I$, let \mathcal{D}_i be the subdesign of \mathcal{D} of base $\xi * i \vdash$ or $\xi * i \vdash \rho$ and let \mathcal{E}'_1 be the subdesign of \mathcal{E}_1 of base $\vdash \xi * I$. We define the cut-net

⁸ a cut-net is a finite graph of designs the bases of which are pairwise connected by cuts ; the cut-net is connected and without cycle. The base of the cut-net is obtained by erasing the cut loci.

\mathcal{S} obtained by replacing \mathcal{D} , \mathcal{E}_1 and \mathcal{E}_2 with the \mathcal{D}_i , \mathcal{E}'_I and \mathcal{E}_2 ; the resulting cut-net \mathcal{S}' is not necessarily connected (because of the weakness) ; we denote \mathcal{S}' the connected component \mathcal{E}' in \mathcal{S} . Then $[[\mathcal{R}]]$, the result of the normalisation is recursively defined by : $[[\mathcal{R}]] = [[\mathcal{S}']]$.

$$\begin{array}{c}
\begin{array}{c} \mathcal{D}_i \\ \dots \quad \xi * i \vdash \quad \dots \end{array} \quad (\xi, I) \ ; \quad \begin{array}{c} \mathcal{E}'_I \\ \dots \quad \vdash \xi * I \quad \dots \end{array} \quad (\xi, \mathcal{N}) \ ; \quad \begin{array}{c} \vdots \\ \rho \vdash \\ \mathcal{E}_2 \end{array} \\
\hline
\vdash \xi, \rho \quad \mathcal{D} \quad \mathcal{E}_1
\end{array}
\\
=====
\\
\Downarrow (\text{is reduced in})
\\
\begin{array}{c}
\mathcal{S} : \quad \begin{array}{c} \dots \\ \vdash \xi * I \\ \mathcal{E}'_I \end{array} \ ; \quad \begin{array}{c} \dots \\ \xi * i \vdash \rho \\ \mathcal{D}_i \end{array} \quad \dots \quad \begin{array}{c} \dots \\ \xi * i' \vdash \\ \mathcal{D}_{i'} \end{array} \ ; \quad \begin{array}{c} \dots \\ \rho \vdash \\ \mathcal{E}_2 \end{array}
\\
=====
\end{array}$$

Open case: Let \mathcal{R} be a cut-net with a non empty base). We have to examine two new possibilities besides the previous cases :

- if the cut-net is positive (of positive base $\vdash \xi, \dots$), and if the first rule of \mathcal{D} (the only positif design) is (ξ, I) , where ξ is not a cut of the cut-net. We briefly define this elementary step, moreover the dots are elements taken in Δ :

$$\begin{array}{c}
\mathcal{D}_i \quad \mathcal{D}_{i'} \\
\text{The cut-net } \mathcal{R} : \quad \frac{\xi * i \vdash \rho, \dots \quad \xi * i' \vdash \dots}{\vdash \xi, \Delta, \rho} (\xi, I) \ ; \quad \begin{array}{c} \vdots (\mathcal{E}) \\ \rho \vdash \end{array}
\\
=====
\end{array}$$

\Downarrow

$$[[\mathcal{R}]] : \quad \frac{[[\mathcal{D}_i, \mathcal{E}]] \quad \mathcal{D}_{i'}}{\vdash \xi, \Delta} (\xi, I)$$

- if the cut-net is negatif (of negative base $\xi \vdash \Delta$). We consider the only design \mathcal{D} in the cut-net \mathcal{R} of base $\xi \vdash \Delta, \rho$; let (ξ, \mathcal{N}) be its last rule.

The cut-net \mathcal{R} :

$$\begin{array}{c}
\mathcal{D}_I \quad \mathcal{D}_J \quad \mathcal{D}_K \\
\vdash \xi, I, \rho, \dots \quad \vdash \xi, J, \tau, \dots \quad \vdash \xi, K, \dots \quad (\xi, \mathcal{N}) \ ; \quad \begin{array}{c} \vdots \mathcal{E} \\ \rho \vdash \end{array} \ ; \quad \begin{array}{c} \vdots \mathcal{F} \\ \tau \vdash \end{array}
\\
\hline
\xi \vdash \Delta, \rho, \tau
\\
=====
\end{array}$$

\Downarrow

$$[[\mathcal{R}]] : \frac{[[\mathcal{D}_I, \mathcal{E}]] \quad [[\mathcal{D}_J, \mathcal{F}]]}{\xi \vdash \Delta} (\xi, \mathcal{N}')$$

Let us remark that eventually $\mathcal{N}' \subset \mathcal{N}$ because the cut-net should be connected, then some K are lost.

EXAMPLES : (continuation of Faggian-Maurel example).

Example 1: the interaction between the second strategy of Alice and the first one of Bob:

$$\frac{\frac{\frac{\xi.0.1 \vdash}{\vdash \xi.0} \quad \frac{\frac{\frac{\vdash \xi.0.3.1}{\vdash \xi.0.3} \quad \frac{\vdash \xi.0.3.2}{\vdash \xi.0.3}}{\vdash \xi.0.3.1 \vdash \xi.0.1}}{\vdash \xi.0.1, \xi.0.2} \quad \frac{\xi.0.3.1 \vdash \xi.0.1}{\vdash \xi.0.1, \xi.0.3}}{\xi.0 \vdash} \quad \frac{\vdash \xi}{\vdash \xi}}{\xi \vdash}$$

=====

After two reduction steps we get⁹:

$$\frac{\xi.0.1 \vdash \quad \frac{\xi.0.3.1 \vdash \xi.0.1}{\vdash \xi.0.1, \xi.0.3} \quad \frac{\frac{\vdash \xi.0.3.1}{\vdash \xi.0.3} \quad \frac{\vdash \xi.0.3.2}{\vdash \xi.0.3}}{\xi.0.3 \vdash}}{\vdash \xi.0.1, \xi.0.3}$$

=====

For the next step, we first choose the cut on $\xi.0.3$, then we get:

$$\frac{\frac{\vdash \xi.0.3.1}{\vdash \xi.0.3} \quad \xi.0.3.1 \vdash \xi.0.1}{\vdash \xi.0.1} \quad \frac{\xi.0.1 \vdash}{\vdash \xi.0.1}, \text{ we then get : } \frac{\vdash \xi.0.1}{\vdash \xi.0.1} \quad \frac{\xi.0.1 \vdash}{\vdash \xi.0.1}$$

to end up to \mathcal{Dai}^+ .

Example 2 : the interaction between the third strategy of Alice and the second one of Bob:

$$\frac{\frac{\frac{\xi.0.1 \vdash}{\vdash \xi.0} \quad \frac{\frac{\vdash \xi.0.3.1}{\vdash \xi.0.3} \quad \frac{\vdash \xi.0.3.2}{\vdash \xi.0.3}}{\vdash \xi.0.3.1 \vdash \xi.0.1}}{\vdash \xi.0.1, \xi.0.2} \quad \frac{\xi.0.3.2 \vdash \xi.0.1}{\vdash \xi.0.1, \xi.0.3}}{\xi.0 \vdash} \quad \frac{\vdash \xi}{\vdash \xi}}{\xi \vdash}$$

⁹ The order chosen for executing the reduction steps is relevant in some rewriting system but it isn't the case in Ludics due to the separation theorem established in [Girard-01]

After two reduction steps we get:

$$\frac{\frac{\xi.0.1 \vdash \quad \frac{\xi.0.3.2 \vdash \xi.0.1}{\vdash \xi.0.1, \xi.0.3} \text{---}(\xi.0.3, \{2\})}{\vdash \xi.0.1, \xi.0.3} \quad \frac{\frac{\vdash \xi.0.3.1}{\vdash \xi.0.3} \text{---}\dagger}{\vdash \xi.0.3} \text{---}\{\{1\}\}}$$

The next step produces a **failure** because $\{2\} \notin \{\{1\}\}$.

EXAMPLE : Crucial normalisation: a design against the $\mathcal{F}ax$.

Interaction between a design \mathcal{D} of base $\vdash \xi$ and the $\mathcal{F}ax$ of base $\xi \vdash \rho$ enables us to *delocalize* a design, to move it, to modify its place of anchoring. The resulting design is then a design \mathcal{D}' of base $\vdash \rho$ which in fact is identical to the design \mathcal{D} except that in the whole design, the locus ξ has been replaced with the locus ρ .

$$\frac{\frac{\mathcal{D}_1 \quad \mathcal{D}_i \quad \mathcal{D}_n}{\xi.1 \vdash \quad \dots \xi.i \vdash \quad \dots \xi.n \vdash} \text{---}(\xi, I) \quad \frac{\mathcal{F}ax}{\vdash \xi} \quad \frac{\vdots}{\xi \vdash \rho}}{\vdash \rho} \text{---}(\rho, I)$$

Two reduction steps produce :

$$\frac{[[\mathcal{F}ax_{\rho.1, \xi.1}, \mathcal{D}_1]] \quad [[\mathcal{F}ax_{\rho.i, \xi.i}, \mathcal{D}_i]] \quad [[\mathcal{F}ax_{\rho.n, \xi.n}, \mathcal{D}_n]]}{\rho.1 \vdash \quad \dots \quad \rho.i \vdash \quad \dots \quad \rho.n \vdash} \text{---}(\rho, I)$$

We observe that the last rule of \mathcal{D} was copied in its normal form \mathcal{D}' and so on.

Dispute

In Ludics, the notion of *dispute* allows us to report the sequence of the moves (actions) of the play (interaction between two designs connected by a cut), from the point of view of one of speakers.

For example, in the first scenario of the foregoing example (FM-contract between Alice et Bob), the dispute, from the point of view of Alice is :

$$(-, \xi, \{0\}), (+, \xi.0, \{1, 3\})(-, \xi.0.3, \{1\})\dagger$$

3 Dialogues in Ludics

In this attempt to provide the dialogues with a formal frame, we shall be interested only in the elements of the dialogue which are supports of the interaction. We suppose then a deconstruction of the dialogue (articulation and analysis

of the successive interventions according to the created opportunities) and a reconstruction of strategies (whose aim is the follow up of the dialogue), on which the dialogical interaction is based.

In this context, a dialogue is the result of an interaction between the strategies of two speakers.

The formal decomposition of dialogues will be considered at various levels of granularity : For an **elementary decomposition**, a dialogue is seen as an alternation of signed interventions ; the speaker anchors its intervention on a locus (a fixed position) created by the previous interventions. Some figures (trees / designs of Ludics) emerge ; also, the supports of the sequence of interventions appear ; we can locate in it the trace of the actual interaction of the dialogue in progress. We can then **refine** this approach and decompose the interventions themselves, with regard to the way they are dynamically built.

3.1 Elementary decomposition

A dialogue and the interventions of each speakers are observed from the point of view of the interaction: on what previous interventions of the speakers a intervention of one of them is attached and which openings are created for the continuation of the dialogue.

As announced in the preliminary remark, we don't retain the propositional content.

‘‘Tomorrow the weather will be fine, I will go to work to Luminy
by bike to Luminy’’

What could be the answers of addressee ? With this utterance, the speaker has opened three potential answers :

1. Are you sure? Did you consult the weather forecast?
2. Are you still working at Luminy?
3. I did not know you are so good at sport!

We only are concerned in studying the geometrical aspect of a dialogue. So this utterance with its three created possibilities is represented by the following design :

$$\frac{\xi.1 \vdash \quad \xi.2 \vdash \quad \xi.3 \vdash}{\vdash \xi, \Delta} (\xi, \{1, 2, 3\})$$

Let us resume what we keep in consideration for our modelisation of dialogue:

- a dialogue is an alternated sequence of interventions;
- an intervention is anchored at a certain place (a locus / a “ sub-formula”, a propositionnal content) among those created by the previous interactions and creates new ones ;
- some interventions allow to close the dialogue.

Our formalization of dialogues is built by means of the following elements:

- An *intervention* of Speaker or Addressee¹⁰ is an **action** (ϵ, ξ, I) , where:
 - . ϵ is a polarity : + (from the point of view of the speaker who performs the intervention) or - (from the point of view of the one who records the intervention) ;
 - . ξ (the focus), is the point from where the speaker either ends the conversation or follows up on the opportunities created during the previous exchanges ;
 - . I (the ramification) is the set of openings created by this intervention.
- A *dialogue* is a sequence of alternated interventions ; the story told by one of the speakers of this alternation will be represented by a **chronicle** or in a more dynamic way by the trace of an interaction between the strategies of each of speakers (a **dispute**). In a same way, in Ludics an alternated sequence of actions can be view either from a static point of view (to tell about a past play) or from a dynamic point of view (to take part in the play in progress).
- A *strategy* of one of the speakers will be represented by a **design**. In order to build a strategy as the tree of its compulsory or offered possibilities, the following rules are used :
 - . to play a positive rule / to accomplish an intervention ;
 - . to play a negative rule / to record, to anticipate the interventions of the other one ;
 - . to play *daïmon* / to terminate a dialogue.

EXAMPLE : “ Sales of real estates ”

Let us consider the following situation and let us imagine several dialogues about it :

P knows that O have three real estates A_1, A_2, A_3 ; he heard that O would like to sell some of its real estates. P is interested in the real estate A_1 , also he starts up a dialogue with O. P wants to know if O intend to sell A_1 ; if yes, at what price does he sell it ; he does not want to show immediatly that he is interested in this purchase.

– First possible dialogue : $\mathcal{D}ial_1$

P: I have heart that you would like to sell some of your real estates, which one?
O: I intend to sell A_1 and A_2 .
P: At what price do you sell A_1 ?
O: 100000 euros
P: OK

This dialogue $\mathcal{D}ial_1$ can be represented as the result of the interaction between the two following designs:

¹⁰ To indicate the actors of a dialogue we shall either use *P* for Player and *O* for Opponent as in Theory Games, or Speaker and Addressee as in theory dialogues.

- σ is the locus where the dialogue starts:
- $\sigma.0$ is the locus of the question I **have heard** ...
- $\sigma.0.2$ and $\sigma.0.3$ are the loci of the answers I **sell** A_2 and I **sell** A_3

the following exchange:

- ‘‘Do you beat your father?’’ - ‘‘ Yes’’ - ‘‘ Do you stop beating him ?’’.

This exchange between the judge J and the delinquent D must be represented by the following interaction according to the previous elementary formalisation:

$$\begin{array}{c}
 \frac{\xi.0.1.0 \vdash}{\vdash \xi.0.1} \quad \vdash \xi.0.2 \quad \frac{\vdash \xi.0.1.0}{\xi.0.1 \vdash} \\
 \hline
 \frac{\xi.0 \vdash}{\vdash \xi} \quad \frac{\vdash \xi.0}{\xi \vdash} \\
 \hline
 J \quad D
 \end{array}$$

But the judge utterance: - ‘‘Do you still beat your father ?’’ contains a presupposition. So it can’t be represented by a only action, but by the whole chronicle:

$$(+, \xi, \{0\}) (-, \xi.0, \{1\}) (+, \xi.0.1, \{0\})$$

So J forbids addressee a branch who was due to him (the possibility to answer ‘‘ No’’). If D agrees to answer according to this configuration (without diverging) he is trapped: he has to record the whole chronicle

$$(-, \xi, \{0\})(+, \xi.0, \{1\})(-, \xi.0.1, \{0\})$$

and answer from the locus $\xi.0.1.0$; so he implicitly answered the question ‘‘ Do you beat your father ?’’ by ‘‘ yes’’ .

Picking up again We want to mean that in a dialogue a speaker can forget the current direction of the discussion and proposes a new one instead of it ; in terms of games, a player can replay a positive move.

In linear logic, the exponential formulae were essentially introduced to give the possibility of identifying various occurrences of the same formula (perform a contraction rule in linear logic). In order to integrate this possibility in Ludics, several propositions were advanced. Michele Basaldella¹¹ suggests to handle multi-addresses (sequence of addresses). These multi-addresses give the possibility (not authorized until now) of replaying a positive action on an already visited locus (provided that this locus is a multi-address).

In our modelisation of dialogues, we state that at anytime a speaker makes an intervention anchored in a locus which is a multi-address, all is proceeding as if this locus has been duplicated ; so the opponent can then decide to give up the discussion which has begun from the point localized at this multi-address ; then he can come back and restart the discussion at this point, but localised at a copy of this locus.

We shall not clarify more the technical aspects of this notion; we keep in mind this possibility and we shall illustrate it by means of an example read in the text from Schopenhauer ‘‘Dialectica Eristica’’, illustrating its first stratagem.

¹¹ Basaldella, M. : *The exponentials in Ludics : how and at what price ?*, Séminaire à l’I.M.L. 2007, <http://iml.univ-mrs.fr:80/ldp/Seminaire/SemLog0708.html>.

I asserted that the English were supreme in drama. My opponent attempted to give an instance to the contrary, and replied that it was a well-known fact that in opera, they could do nothing at all. I repelled the attack by reminding him that dramatic art covered tragedy and comedy alone

The play (as usually in Games Theory) can be written:

design de P :	design de O :	Dialogue:
$(+, \xi.\bar{i}_1, \{1\})$	$c_1 = (-, \xi.\bar{i}_1, \{1\})$	the English were supreme ...
	$(+, \xi.\bar{i}_1.1, \{3\})$... useless in opera
$(-, \xi.\bar{i}_1.1, \{\{1\}, \{2\}\})$... tragedy and comedy alone
$(+, \xi.\bar{i}_2, \{1\})$	$c_2 = (-, \xi.\bar{i}_2, \{1\})$	doesn't it ?
	\uparrow	why not ? I agree

To resume, during the dialogue the interventions can be:

- to push the dialogue a little further (according to a preestablished strategy or not): *to play a positive action*
- to refine the design according to the intervention of addressee: *to play a negative action.*
- to turn back in order to keep on converging: *to use multi-addresses in order to perform a new positive action.*

3.3 Towards more complex dialogues

Ludics seems to be a fruitful framework to deal with more complex aspects of dialogues. During a dialogue, the speaker builds its strategy by using various elements: he can use pieces of former dialogues ; he can use some contextual elements... And, of course, he can use stratagems or dialectical tricks. It is possible to describe such dialogical facts in Ludics. We rest on the following remark: in Ludics, the designs themselves can be seen as resulting of interactions. We already saw, in the case of presupposition, that some interventions have to be associated with some designs already built rather than with some elementary actions. We will go further associating with some elaborated interventions some cut-nets: several designs interacting. Particularly, this will be used to simulate the fact that the strategies supporting one dialogical interaction can be worked out by means of designs which are coming from outside the dialogue in progress. We then need to set that some designs, in fact a set of designs (a context) is available to the locutors when they build their interventions.

We will illustrate this possibility to deal with more complex aspects of dialogues by studying two examples : first application of Ludics is proposed to deal with one of the stratagems suggested by Schopenhauer in Art to be right always ; a second one illustrates the possibility to explore in Ludics the core of fallacious sophisms by dealing with the petition of principle.

The study of the 4th stratagem of Schopenhauer We sum up the fourth stratagem below:

If you want to draw a conclusion, you must not let it be foreseen, but you must get the premisses admitted one by one, unobserved, mingling them here and there in your talk: otherwise, your opponent will attempt all sorts of chicanery. Or, if it is doubtful whether your opponent will admit them, you must advance the premisses of these premisses; that is to say, you must draw up pro-syllogisms, and get the premisses of several of them admitted in no definite order. In this way you conceal your game until you have obtained all the admissions that are necessary, and so reach your goal by making a circuit. [...]

Let us suppose the following situation: the speaker (here designed by “player” or P while addressee is designed by “opponent” or O) defends a thesis A ; he wants to justify A by resting on the fact that the propositions B and C imply A .

- Some dialogical exchanges took place. The player affirmed B , which was accepted by O . In the same way, P affirmed C , which was also accepted by O .

That is represented as follows: the proposition B was played at an arbitrary locus α , O recorded this affirmation and accepted it (he gave up). The same for C at an arbitrary locus β .

The following interactions took place:

$$\frac{\frac{\alpha.0 \vdash}{\vdash \alpha} \quad \frac{\overline{\vdash \alpha.0}}{\alpha \vdash}}{P \quad O} \quad \frac{\frac{\beta.0 \vdash}{\vdash \beta} \quad \frac{\overline{\vdash \beta.0}}{\beta \vdash}}{P \quad O}$$

Let us denote by \mathcal{D}_α and \mathcal{D}_β the winning designs of P respectively based on $\vdash \alpha$ and $\vdash \beta$.

The supports of such exchanges have been recorded and will be still available when the speaker P will play its proposition A .

- Now, we come back to the dialogue in progress: P is asserting its thesis A , arguing it by means of the premises B and C and disclosing its stratagem. This intervention (initiating the (short) dialogue in progress) is represented by the following design \mathcal{D} , located in ξ :

$$\frac{\begin{array}{cc} \mathcal{R}_1 & \mathcal{R}_2 \\ \vdots & \vdots \\ \xi.1 \vdash & \xi.2 \vdash \quad \xi.3 \vdash \end{array}}{\vdash \xi}$$

The first action of this design is $(+, \xi, \{1, 2, 3, \})$ where $\xi.1$ is the locus of the argument B , $\xi.2$ the one of C and $\xi.3$ the locus of the proposition $B \wedge C \Rightarrow A$.

- Let us comment the construction of the subdesigns (normal forms of cut-nets) \mathcal{R}_1 and \mathcal{R}_2 of \mathcal{D} :

- the design \mathcal{R}_1 is built from the winning design \mathcal{D}_α by using:
 - one delocalisation from α into $\xi.1.0$ (the proposition B affirmed “out of context” in α or affirmed in the context of the defense of the thesis A in $\xi.1.0$);
 - one shift (the proposition B affirmed in $\xi.1.0$ is used as an argument when it is localized in $\xi.1$).
 The design \mathcal{R}_1 , based on $\xi.1 \vdash$, is the normal form of the cut-net consisting in the interaction¹² between \mathcal{D}_α and $\mathfrak{F}ax_{\alpha, \xi.1.0}$.
 Then $\mathcal{R}_1 = \downarrow [[\mathcal{D}_\alpha, \mathfrak{F}ax_{\alpha, \xi.1.0}]]$;
 - In the same way: $\mathcal{R}_2 = \downarrow [[\mathcal{D}_\beta, \mathfrak{F}ax_{\beta, \xi.2.0}]]$ and is based on $\xi.2 \vdash$.
- P is then in a good position to win the controversy. Indeed the reaction of O is strongly constrained ; this can be seen by looking at the interaction. After normalization, the design corresponding to the intervention of P is the following:

$$\frac{\frac{\frac{\xi.1.0.0 \vdash}{\vdash \xi.1.0}}{\xi.1 \vdash} \quad \frac{\frac{\xi.2.0.0 \vdash}{\vdash \xi.2.0}}{\xi.2 \vdash} \quad \xi.3 \vdash}{\vdash \xi}$$

In order to converge with this intervention of P , during the dialogue in progress, O has to develop the following design:

$$\frac{\frac{\frac{\xi.1.0.0 \vdash}{\vdash \xi.1.0}}{\xi.1 \vdash} \quad \frac{\frac{\xi.2.0.0 \vdash}{\vdash \xi.2.0}}{\xi.2 \vdash} \quad \xi.3 \vdash \quad \frac{\frac{\frac{\vdash \xi.1.0.0, \xi.2.0.0, \xi.3}{\xi.2.0 \vdash \xi.1.0.0, \xi.3}}{\vdash \xi.1.0.0, \xi.2, \xi.3}}{\xi.1.0 \vdash \xi.2, \xi.3}}{\vdash \xi.1, \xi.2, \xi.3}}{\frac{\vdash \xi}{P} \quad \frac{\xi \vdash}{O}}$$

That is: O has to recognize that \mathcal{D}_1 is the shift of one delocalisation of \mathcal{D}_α (and \mathcal{D}_2 of \mathcal{D}_β), and has to remember that against this designs O may only play the *daïmon* (to stay coherent with itself).

Then it is the turn to O to play. He is in the position $\vdash \xi.1.0.0, \xi.2.0.0, \xi.3$. The only opening for O would be to play an action located in $\xi.3$ (since either on $\xi.1$ or on $\xi.2$, he can only play the *daïmon*.) ; if he has nothing to oppose to the proposition $B \wedge C \Rightarrow A$ then O accepts the thesis of P , and plays the *daïmon*.

¹² an interaction with a $\mathfrak{F}ax$ enables one to delocalize some design.

$$\begin{array}{c}
\frac{\frac{\frac{\xi.1.0.0 \vdash}{\vdash \xi.1.0}}{\xi.1 \vdash} \quad \frac{\frac{\xi.2.0.0 \vdash}{\vdash \xi.2.0}}{\xi.2 \vdash} \quad \xi.3 \vdash}{\vdash \xi} \quad \frac{\frac{\frac{\frac{\frac{\frac{\vdash \xi.1.0.0, \xi.2.0.0, \xi.3}{\vdash \xi.1.0.0, \xi.2, \xi.3, \xi.3}}{\xi.2.0 \vdash \xi.1.0.0, \xi.3}}{\vdash \xi.1.0.0, \xi.2, \xi.3, \xi.3}}{\xi.1.0 \vdash \xi.2, \xi.3}}{\vdash \xi.1, \xi.2, \xi.3}}{\xi \vdash}
\end{array}$$

Petition of principle We already evoked the possibility of dealing with presuppositions in Ludics: to play a block already structured rather than an elementary action allows one to control the form of the interaction, and to give the initiative to the interlocutor only after the imposed achievement of a certain course. Our aim now is to understand in Ludics an utterance which uses petition of principle. Rather using action, rather using already built designs, we propose to use a whole cut-net. The loci for connection (on which the opponent could anchor its answer) should appear after the normalization of such a cut-net, but these places would be in fact never available.

- either because these places are pushed back to the infinite. It is the case when the petition of principle is expressed by a circular reasoning.
- or because theses places are pinched. It is the case of a petition of principle consisting in imposing the premise of a thesis as it was commonly admitted instead of offer it to the discussion.

Let us comment the foregoing affirmations.

At first, let us study one example of a petition of principle expressed by a circular reasoning. *The soul is immortal because it never dies* is a petition of principle resting on the fact that an affirmation is justified by some proposition having the same meaning

We propose to formalize this utterance “The soul is immortal because it never dies” , by the following (recursively defined) design:

$$\mathcal{D}_\xi = \frac{\frac{\frac{[[\mathcal{D}_\xi, \mathfrak{F}ax_{\xi, \xi 11}]]}{\vdash \xi.1.1}}{\xi.1 \vdash}}{\vdash \xi}$$

Let us explain how such a design is recursively built: the proposition *the soul is immortal* is arbitrary located on a locus ξ and is justified by only one argument (*it never dies*). The first positive action of the design \mathcal{D}_ξ is then something like: $(+, \xi, \{1\})$ (with a ramification containing only one element). Moreover the intervention explicitly contains its argument ; it is then reasonable to set that this intervention is a design already built ; in this design appear the locus $\xi.1$ on which the proposition *the soul never dies* is suggested as premise and the locus $\xi.1.1$ on which the same proposition *the soul never dies* is affirmed.

At last, such a design contains a subdesign corresponding to the defense of *it never dies* which seems to be nothing but *The soul never dies because it is immortal*. This subdesign $\mathcal{D}_{\xi.1.1}$, which has the same content than \mathcal{D}_{ξ} , is then obtained by a delocalization of the design \mathcal{D}_{ξ} from ξ into $\xi.1.1$, that is: $\mathcal{D}_{\xi.1.1} = [[\mathcal{D}_{\xi}, \text{Fax}_{\xi, \xi.1.1}]]$.

The resulting design is infinite; the loci to which the addressee could cling are never available:

$$\mathcal{D}_{\xi} = \frac{\frac{\frac{\frac{\frac{\vdots}{\xi.1.1.1.1.1 \vdash}}{\mathcal{D}_{\xi.1.1.1.1} = \vdash \xi.1.1.1.1}}{\xi.1.1.1 \vdash}}{\mathcal{D}_{\xi.1.1} = \vdash \xi.1.1}}{\xi.1 \vdash}}{\vdash \xi}$$

Let us consider now an another case of the petition of principle. The one consisting in imposing one of the premises of an affirmation as if it was commonly admitted. An intervention using such a petition of principle may be presented by the following schema: *Since A (which must have been justify but which is taken for granted) and since A implies B, you will agree about B.*

$$\frac{\frac{\frac{\text{---}(\xi.1.1, \emptyset)}{\vdash \xi.1.1}}{\xi.1 \vdash} \quad \xi.2 \vdash}{\vdash \xi}$$

where ξ is the locus were B is affirmed, $\xi.1$ and $\xi.2$ are the loci of the premises of B (respectively A and $A \Rightarrow B$). The subdesign corresponding to the justification of A is the design :

$$\frac{\text{---}\emptyset}{\vdash \xi.1.1}$$

That is: A appears here as being a data, not needing to be justified. The affirmation of such a data is then an action $(+, \xi.1.1, \emptyset)$. The set of loci from which some speaker could continue the investigation of A is empty. Once again the loci to which the addressee could cling are not available.

4 Conclusion

The model we proposed has two essential features. The descriptive one, is done by the fact we retrieve the simple form of communicative exchange in the basic structure of formal dialogues. The prospective one, commits us to observe complex processes inside dialogues.

Evidently, being descriptive is required by a genuine notion of model. But, by the fact of this double feature, we obtain a multi-scale theory, giving possibility of viewing objects at different levels of granularity, depending on what we want to study in them. If our focus is on strategic remodelling processes upon arguments, we could make use of complex operations inside dialogues plans. If we just want to describe the chronology of actions, taking in account the fact that agents anticipate the plays of their opponents, we do not need more than the elementary decomposition level. So the model ensures continuity between fine, refined and complex levels, without change of formal background, just going deep beyond the surface structure of objects.

We must observe that this work opens the way to many others formalisations or conceptual problems. It takes a very important place in our program which consists in investigate possibilities offered by the geometrical turn in furnishing and deploying mathematical means for human and social sciences¹³. The formalisation of dialogues takes a great position in this project, by the fact the notion is intrinsically connected to epistemic, semiotic, pragmatic and semantic layers.

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¹³ Some details in Lecomte, A. *Vers une pragmatique théorique*, Note d'intention à l'origine du projet "Prélude", <http://anr-prelude.fr/article16.html>.