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|  | **Certification Audit Report** |

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| Organization Name | | {org\_name} | | | |
| Address :  (Give Physical location,  State and country)  Site (if any) | | {address} | | | |
| ManagementRepresentative | | {mgt\_rep} | | | |
| Contract Number | | {cnt\_no} | | | |
| Accreditation Body | | {acc\_bdy} | | | |
| Standard | | {std} | | | |
| Type of Audit | | {audit\_type} | | | |
| Audit Objective | | {audit\_obj} | | | |
| Scope of Certification | | {scope} | | | |
| NACE/EA Code  ANZSIC Code | | {nace\_ea} | | | |
| Audit Team | | {audit\_team} | | | |
| Audit  Dates | Stage 1 | {stage1\_audit\_date} | | Mandays | {mandays} |
| Stage 2 | {stage2\_audit\_date} | |
| Any deviation from  the audit plan and  their reason | | {deviation} | | | |
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| Any significant  Issues impacting on the  audit programme | | {sig\_issues} | | | |
| Applicable Statutory /  Regulatory Requirement(s),  Aspects and their  associated impacts,  emergency  preparedness response and its  inclusion in relevant  functional areas | | {app\_stat\_req} | | | |
| Introduction about the  Organisation | | {org\_intro} | | | |
| Capability of the  Management system to meet  applicable requirements and  expected outcomes. | | {mgt\_capability} | | | |
| Effectiveness of Internal  audit and MRM | | {eff\_int\_adt} | | | |
| Any unresolved issues | | {unres\_issues} | | | |
| Significant Changes if any,  since last audit  (Applicable for surveillance  and re-certification audit) | | {sig\_chnges} | | | |
| Review of actions taken  from non-conformities  identified during the  previous audit | | {action\_review} | | | |
| Treatment of complaints | | {cmp\_trt} | | | |
| Progress of planned  activities aimed at  continual  improvement. | | {prg\_planned} | | | |
| Use of Quality Marks and  Certification documents | | {qual\_mrks} | | | |
| Effectiveness and  improvement of  management system,  certified management  systems contributions to the achievement of  organization’s policy &  objectives | | {eff\_impr} | | | |
| Effectiveness of ICT in achieving the the audit objectives | | {eff\_ict} | | | |
| Adequacy of documentation  structure for the scope of  certification | | Acceptable ak1 | Not acceptable ak2 | | |
| Opportunities for  Improvement | | {opp\_impr} | | | |
| Non-Conformities raised  Major:00 Minor: 01 | | {nc\_raised} | | | |

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| Considering this assessment is a sampling exercise, each of the nonconformities and observations  should be reviewed in such a manner as to assure that they do not exist in other elements or other  portions of your management system.  Note: In case of minor non-conformities, the corrective action shall be submitted within 30 days to our CB. The effectiveness of the action taken shall be verified during surveillance audit. In case of Major nonconformities, the corrective action taken shall be completed and verified by on-site verification within 3 months. Corrective action may not be submitted in case of observation |

All findings identified during the audits were reviewed and discussed with the management during the closing meeting.

**CONCLUSION**

1. Based on the analysis of all the information and audit evidences gathered during the Stage 1 and stage 2 audits, the audit team concluded to:

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| bk1 Recommend for Certification |
| bk2 Recommend to Hold till Major NC’s are effectively closed. |
| bk3 Conduct a full audit again as the system is not yet ready. |

2.Follow up actions (if any): {follow\_up\_actions}

(Signature)/ date: {date}, Lead Auditor

Client Representative Sign :

Signature and Stamp