



Quest Certification (P) Ltd.

AUDIT CHECKLIST

ORGANISATION NAME: AN45001_3 5		
LOCATION: AN45001_3 6		
SCOPE: AN45001_3 7		
EXCLUSION/JUSTIFICATION : AN45001_3 8		
4 Context of the organization	C/NC	Evidences
4.1 Understanding the organization and its context	C	AN45001_3 10
INTERESTED PARTIES and their requirements (4.2)	NC	AN45001_3 12
SCOPE of OH&S management system (4.3)	C	AN45001_3 14
OH&S Management System (4.4)	NC	AN45001_3 16
5. LEADERSHIP & WORKER PARTICIPATION		
Leadership and Commitment (5.1)	C	AN45001_3 18
OH&S Policy (5.2)	NC	AN45001_3 20
ROLES, RESPONSIBILITIES & AUTHORITIES (5.3)	C	AN45001_3 22
consultation & participation of workers (5.4)	NC	AN45001_3 24
6. PLANNING		
6.1 ACTIONS TO ADDRESS RISK & OPPORTUNITIES	C	AN45001_3 26
RISKS AND OPPORTUNITIES related to OH&S hazards & risks(6.1.1)	NC	AN45001_3 28
Hazard identification and assessment of risks and opportunities. (6.1.2)	C	AN45001_3 30



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Determination of legal requirements and other requirements (6.1.3)	NC	AN45001_3 32
Planning action (6.1.4)	C	AN45001_3 34
OH&S objectives and planning to achieve them (6.2)	NC	AN45001_3 36
OH&S objectives (6.2.1)	C	AN45001_3 38
6.2.2 Planning to achieve OH&S objectives	NC	AN45001_3 40
7 SUPPORT		
7.1 Resources	C	AN45001_3 42
7.2 Competence	NC	AN45001_3 44
7.3 Awareness.	C	AN45001_3 46
7.4 Communication	NC	AN45001_3 48
7.5 Documented information	C	AN45001_3 50
8 OPERATION		
8.1 Operational planning and control	NC	AN45001_3 52
8.1.2 Eliminating hazards and reducing OH&S risks	C	AN45001_3 54
8.1.3 Management of change	NC	AN45001_3 56
8.1.4 Procurement	C	AN45001_3 58
8.2 Emergency preparedness and response	NC	AN45001_3 60
9 PERFORMANCE EVALUATION		
9.1 Monitoring, measurement, analysis and performance evaluation	C	AN45001_3 62
9.2 Internal audit	NC	AN45001_3 64



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9.3 Management review	C	AN45001_3 66
10 IMPROVEMENT		
10.2 Incident, nonconformity and corrective action	NC	AN45001_3 68
SURVEILLANCE	NC	AN45001_3 72
10.3 Continual improvement	C	AN45001_3 70
AUDIT REQUIREMENT :		
<ol style="list-style-type: none"> 1. A review of actions taken from non - conformities identified during the previous audit. 2. Internal audit and Management review. 3. Treatment of complaints. 4. Effectiveness of the management system with regard to achieving the certified client's objectives. 5. Progress of planned activities aimed at continual improvement. 6. Continuing Operational control. 7. Review of any changes 8. Use of marks and/or any other reference to certification 		
RECERTIFICATION AUDIT:		
<ol style="list-style-type: none"> 1. Effectiveness of the management system in its entirety in the light of internal and external changes and its continued relevance and applicability to the scope of certification. 2. Effectiveness and improvement of the management system in order to enhance the overall performance. 3. Whether the operation of the certified management system contributes to the achievement of the Organization's policy and objectives 	C	AN45001_3 74



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The organization shall consider the results of analysis and evaluation, and the outputs from management review, to determine if there are needs or opportunities that shall be addressed as part of continual improvement.		
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SITE DETAILS	C/NC	Evidences
Site name/Location No. of. Employees working in site Activities carried out in site Records maintained in site	NC	AN45001_3 76



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