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| **ORGANISATION NAME: AN45001\_3 5**  **LOCATION: AN45001\_3 6**  **SCOPE: AN45001\_3 7**  **EXCLUSION/JUSTIFICATION :** AN45001\_3 8 | | | |
| **4 Context of the organization** | **C/NC** | **Evidences** | |
| 4.1 Understanding the organization and its context | C | AN45001\_3 10 | |
| INTERESTED PARTIESand their requirements *(4.2)* | NC | AN45001\_3 12 | |
| SCOPE of OH&S management system (4.3) | C | AN45001\_3 14 | |
| OH&S Management System (4.4) | NC | AN45001\_3 16 | |
| 5. **LEADERSHIP & WORKER PARTICIPATION** | | | |
| Leadership and Commitment (5.1) | C | AN45001\_3 18 | |
| OH&S Policy (5.2) | NC | AN45001\_3 20 | |
| ROLES, RESPONSIBILITIES & AUTHORITIES (5.3) | C | AN45001\_3 22 | |
| consultation & participation of workers (5.4 ) | NC | AN45001\_3 24 | |
| **6. PLANNING** | | | |
| **6.1 ACTIONS TO ADDRESS RISK & OPPORTUNITIES** | C | | AN45001\_3 26 |
| RISKS AND OPPPORTUNITIES related to OH&S hazards & risks(6.1.1) | NC | | AN45001\_3 28 |
| Hazard identification and assessment of risks and opportunities. (6.1.2) | C | | AN45001\_3 30 |
| Determination of legal requirements and other requirements (6.1.3) | NC | | AN45001\_3 32 |
| Planning action (6.1.4) | C | | AN45001\_3 34 |
| OH&S objectives and planning to achieve them (6.2) | NC | | AN45001\_3 36 |
| OH&S objectives (6.2.1) | C | | AN45001\_3 38 |
| 6.2.2 Planning to achieve OH&S objectives | NC | | AN45001\_3 40 |
| **7 SUPPORT** | | | |
| 7.1 Resources | C | | AN45001\_3 42 |
| 7.2 Competence | NC | | AN45001\_3 44 |
| 7.3 Awareness. | C | | AN45001\_3 46 |
| 7.4 Communication | NC | | AN45001\_3 48 |
| 7.5 Documented information | C | | AN45001\_3 50 |
| **8 OPERATION** | | | |
| 8.1 Operational planning and control | NC | | AN45001\_3 52 |
| 8.1.2 Eliminating hazards and reducing OH&S risks | C | | AN45001\_3 54 |
| 8.1.3 Management of change | NC | | AN45001\_3 56 |
| 8.1.4 Procurement | C | | AN45001\_3 58 |
| 8.2 Emergency preparedness and response | NC | | AN45001\_3 60 |
| **9 PERFROMANCE EVALUATION** | | | |
| 9.1 Monitoring, measurement, analysis and performance evaluation | C | | AN45001\_3 62 |
| 9.2 Internal audit | NC | | AN45001\_3 64 |
| 9.3 Management review | C | | AN45001\_3 66 |
| **10 IMPROVEMENT** | | | |
| 10.2 Incident, nonconformity and corrective action | NC | | AN45001\_3 68 |
| 10.3 Continual improvement | C | | AN45001\_3 70 |

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| **SURVEILLANCE**  **AUDIT REQUIREMENT :**   1. A review of actions taken from non -conformities identified during the previous audit. 2. Internal audit and Management review. 3. Treatment of complaints. 4. Effectiveness of the management system with regard to achieving the certified client’s objectives. 5. Progress of planned activities aimed at continual improvement. 6. Continuing Operational control. 7. Review of any changes   8.Use of marks and/or any other reference to certification | NC | AN45001\_3 72 |
| **RECERTIFICATION AUDIT:**   1. Effectiveness of the management system in its entirety in the light of internal and external changes and its continued relevance and applicability to the scope of certification. 2. Effectiveness and improvement of the management system in order to enhance the overall performance. 3. Whether the operation of the certified management system contributes to the achievement of the Organization’s policy and objectives   The organization shall consider the results of analysis and evaluation, and the outputs from management review, to determine if there are needs or opportunities that shall be addressed as part of continual improvement. | C | AN45001\_3 74 |

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| **SITE DETAILS**  **Site name/Location**  **No. of. Employees working in site**  **Activities carried out in site**  **Records maintained in site** | **C/NC**  NC | **Evidences**  AN45001\_3 76 |