

## **Quiz-1, CSE-202, Fundamentals of Database Systems**

Name: \_\_\_\_\_

Roll Number: \_\_\_\_\_

Maximum Marks: 20

Time: 1 hour

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**Question-1: [5 marks]** Say that a transaction  $T$  precedes a transaction  $U$  in a schedule  $S$  if every action of  $T$  precedes every action of  $U$  in  $S$ . Note that if  $T$  and  $U$  are the only transaction in  $S$  then saying  $T$  precedes  $U$  is the same as saying that  $S$  is a serial schedule  $(T,U)$ . However, if  $S$  involves other transactions other than  $T$  and  $U$ , then  $S$  might not be serializable, and in fact, because of the effect of other transactions,  $S$  might not even be conflict serializable. Give an example of schedule  $S$  such that all the following holds.

- i) In  $S$ ,  $T_1$  precedes  $T_2$ , and
- ii)  $S$  is conflict serializable, but
- iii) Every serial schedule conflict-equivalent to  $S$  should have " $T_2$  precedes  $T_1$ ".

**Answer.**  $r_1(A)$ ;  $r_3(A)$ ;  $w_1(A)$ ;  $r_2(C)$ ;  $w_3(C)$

**Precedence graph:**  $T_2 \rightarrow T_3 \rightarrow T_1$

**[Binary marking - either 5 or 0]**

**Question-2:** Consider the following schedule:

$S1: r_2(A); r_1(B); w_2(A); r_2(B); r_3(A); w_1(B); w_3(A); w_2(B)$

- (a) **[1 mark]** What is the precedence graph for the schedule  $S1$ ?
- (b) **[2 marks]** Is the schedule conflict serializable? If yes, write all the equivalent serial schedules?

**Answer:**  $T_1 \rightarrow T_2$ ;  $T_2 \rightarrow T_1$ ;  $T_2 \rightarrow T_3$ . The graph has a cycle; schedule is not conflict serializable.

**Question-3: [3 marks]** Suppose there are eight transactions  $T1, T2, \dots, T8$ , of which the odd-numbered transactions,  $T1, T3, T5$  and  $T7$ , lock the root of the tree, in that order. There are three children of the root, first locked by  $T1, T2, T3$  and  $T4$  in that order. The second child is locked by  $T3, T6$ , and  $T5$  in that order, and the third child is locked by  $T8$  and  $T7$ , in that order.

Give one example of a serial order that is consistent with these statements if transactions are assumed to follow Tree protocol.

**Answer.** T1, T2, T3, T4, T6, T5, T8, T7

**[Binary marking - either 3 or 0]**

**Question-4: [3 marks]** Give an example of a schedule that is possible under the two-phase locking protocol, but not possible under timestamp protocol.

**Answer.**

Sno.	T1	T2
1	Lock-S(A)	
2	Read(A)	
3		Lock-X(B)
4		Write(B)
5		Unlock(B)
6	Lock-S(B)	
7	Read(B)	
8	Unlock (A)	
9	Unlock (B)	

This schedule is not allowed in the timestamp protocol because at step 7, the W-timestamp of *B* is 1.

**[Binary marking - either 3 or 0]**

**Question-5: [4 marks]** Consider a database organized in the form of a rooted tree. Suppose that we insert a dummy vertex between each pair of vertices. Show that, if we follow the tree protocol on the new tree, we get better concurrency than if we follow the tree protocol on the original tree.

**Answer.** Consider two nodes A and B, where A is a parent of B. Let dummy vertex D be added between A and B. Consider a case where transaction T2 has a lock on B, and T1, which has a lock on A wishes to lock B, and T3 wishes to lock A. With the original tree, T1 cannot release the lock on A until it gets the lock on B. With the modified tree, T1 can get a lock on D, and release the lock on A, which allows T3 to proceed while T1 waits for T2. Thus, the protocol allows locks on vertices to be released earlier to other transactions, instead of holding them when waiting for a lock on a child.

**[Binary marking - either 4 or 0]**

*Question-6:* **[2 marks]** Consider the schedule given below.

$r_1(A); w_1(A); r_2(A); r_1(B); C1; C2;$

C1 and C2 are the commit operations of transaction 1 and 2, respectively.

(a) Is the schedule recoverable? Justify your answer. **[1 mark]**

(b) Is the schedule cascadeless? Justify your answer. **[1 mark]**

**Answer.** The schedule is recoverable as transaction  $T_2$  commits after  $T_1$  only. It is not cascadeless as  $T_1$  doesn't commit before the read (A) by  $T_2$ .