



Design and Analysis
of Algorithms I

Data Structures

Heaps and Their Applications

Heap: Supported Operations

- A container for objects that have keys
- Employer records, network edges, events, etc.

you can have heap that does extract max
or extract min (not both together)

Insert: add a new object to a heap.

Running time : $O(\log(n))$

Equally well,
EXTRACT MAX



Extract-Min: remove an object in heap with a minimum key value. [ties broken arbitrarily]

Running time : $O(\log n)$ [n = # of objects in heap]

Also : **HEAPIFY** ($\begin{matrix} n \text{ batched Inserts} \\ \text{in } O(n) \text{ time} \end{matrix}$), **DELETE** ($O(\log(n))$ time)

deletion of any arbitrary element of heap

Application: Sorting

Canonical use of heap : fast way to do repeated minimum computations.

↑
when do you know you need to use heap?
when you do repeated min computations

Example : SelectionSort $\sim \theta(n)$ linear scans, $\theta(n^2)$ runtime on array of length n

Heap Sort : 1.) insert all n array elements into a heap
2.) Extract-Min to pluck out elements in sorted order

Running Time = $2n$ heap operations = $O(n \log(n))$ time.

=> optimal for a “comparison-based” sorting algorithm!

Application: Event Manager

“Priority Queue” – synonym for a heap.

Example : simulation (e.g., for a video game)

- Objects = event records $\left[\begin{array}{l} \text{Action/update to occur at} \\ \text{given time in the future} \end{array} \right]$
- Key = time event scheduled to occur
- Extract-Min => yields the next scheduled event

Application: Median Maintenance

I give you : a sequence x_1, \dots, x_n of numbers, one-by-one.

You tell me : at each time step i , the median of $\{x_1, \dots, x_i\}$.

Constraint : use $O(\log(i))$ time at each step i .

some additional work to ensure $i/2$ elements
in H_{Low} and rest in H_{High} as elements
stream in. balancing the heaps

Solution : maintain heaps H_{Low} : supports Extract Max
 H_{High} : supports Extract Min

Key Idea : maintain invariant that $\sim i/2$ smallest (largest) elements in
 H_{Low} (H_{High})

You Check : 1.) can maintain invariant with $O(\log(i))$ work
2.) given invariant, can compute median in $O(\log(i))$ work

Application: Speeding Up Dijkstra

Dijkstra's Shortest-Path Algorithm

- Naïve implementation \Rightarrow runtime =
- with heaps \Rightarrow runtime = $O(m \log(n))$

