

# Master's Thesis

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### Abstract

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## 1 Introduction

## 2 Motivation

### 3 1D Simulation

Bamboo is a natural composite which is composed of fibers embedded in a matrix of parenchyma cells. Bamboo fibers are mainly composed of cellulose, hemicellulose and lignin [L.Y.Mwaikambo et al.]. Fibers are spread out across the cross-section in a graded manner with higher density towards the periphery. Also, the size of parenchyma cells decreases along the radially outward direction as the air content reduces. This results in axisymmetric areal density variation in the radial direction. [Plot showing the distribution of fibers.]

This can be modeled as the distribution of two materials, and air (captured in parenchyma cells). First material being the denser and stiffer fibers and second material being the parenchyma cellular material excluding air. The properties of fibers and parenchyma are taken from [Mannan et al., L.Y.Mwaikambo et al.].

Bamboo, like any other living organism, is a result of an evolutionary process. Survival of the fittest means that bamboo species is nature's best solution for some natural condition lead to the existence of bamboo. Bamboo grows tall up to 20m to rise above the other competing plantation. With such a slender structure, bending load due to high-speed tropical winds are a significant constraint which the evolution had overcome. Bamboo has a very high specific strength, which is also the desired attribute in industrial applications. It is desired to develop composites with high stiffness and lower weight.

#### 3.1 Formulation

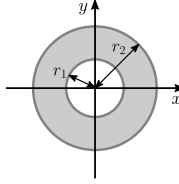
Therefore, we frame a constrained problem, optimizing the radial distribution of two material, with properties corresponding to that of fibers and parenchyma, in an annular cross-section composites. The objective function to optimize is specific strength subjected to the constraints of maximum stress and maximum bending moment. The dimensions of the composites are kept the same as in [Mannan et al.]. Also, the limits of max bending moment and stress are taken from [Mannan et al.]. The general problem formulation is written as

$$\begin{aligned} & \underset{\chi}{\text{maximize}} && \text{strength}(\chi) \\ & \text{subject to} && \sigma(r) \leq \sigma_{max} \quad \forall r \in [r_i, r_o] \\ & && M \leq M_{max} \end{aligned}$$

where,  $\chi$  is the distribution of the two material in the domain whose inner radius is  $r_i$  and outer radius is  $r_o$ .  $\sigma$  is the stress in the longitudinal direction and  $M$  is the bending moment.

#### 3.2 Implementation

Now, flexural rigidity is used as measure of strength which can be written as

$$\begin{aligned}
EI(r) &= \iint_R E(r) y^2 dA = \int_{r_1}^{r_2} \int_0^{2\pi} E(r) (r \sin \theta)^2 (r dr d\theta) \\
&= \pi \int_{r_1}^{r_2} E(r) r^3 dr \quad \text{Integrating over } \theta
\end{aligned} \tag{1}$$


Therefore, specific flexural rigidity will be written as

$$\begin{aligned}
strength(\chi) &= \frac{EI}{\rho} \\
&= \frac{\pi \sum_{r_i}^{r_o} E(r) r^3 \Delta r}{2\pi r \sum_{r_i}^{r_o} \rho(r) \Delta r} \\
&= \frac{\sum_{r_i}^{r_o} E(r) r^3}{2 \sum_{r_i}^{r_o} \rho(r) r}
\end{aligned} \tag{2}$$

where

$$\begin{aligned}
E(r) &= \chi_1(r) E_1 + \chi_2(r) E_2 \\
\rho(r) &= \chi_1(r) \rho_1 + \chi_2(r) \rho_2
\end{aligned} \tag{3}$$

Here  $\{E_1, \rho_1\}$  and  $\{E_2, \rho_2\}$  are the material properties of the fibers and parenchyma respectively.  $\chi_1$  and  $\chi_2$  are the proportion of first and second material corresponding to fiber and parenchyma respectively.

Assuming small deformation, from Euler Bernoulli Beam Theory, we get strain  $\varepsilon_{xx}$  as

Put Schematics for Beam Theory

$$\begin{aligned}
\varepsilon_{xx} &= \frac{\Delta x' - \Delta x}{\Delta x} \\
&= \frac{(R + y)\Delta\theta - R\Delta\theta}{R\Delta\theta} \\
&= \frac{y}{R}
\end{aligned} \tag{4}$$

Therefore, using constitutive relation, we get  $\sigma_{xx}$  as

$$\sigma_{xx} = E \varepsilon_{xx} = \frac{E}{R} y \tag{5}$$

$$\sigma = \frac{E}{R} r \sin \theta \quad (\because y = r \sin \theta) \tag{6}$$

Now, moment can be written as

$$\begin{aligned}
M(x) &= \int \int y \cdot \sigma(x, y) \cdot dy dz \\
M &= \int_{r_i}^{r_o} \int_0^{2\pi} \sigma(r) r^2 \sin\theta \, dr \, d\theta && \text{For a particular } x \\
&= \int_{r_i}^{r_o} \int_0^{2\pi} \frac{E(r) r \sin\theta}{R} r^2 \sin\theta \, dr \, d\theta && \text{(From eqn (6))} \\
&= \pi \int_{r_i}^{r_o} \frac{r^3 E(r)}{R} \, dr
\end{aligned} \tag{7}$$

### 3.3 Optimization Problem

Using (2), (6), (7), the optimization problem becomes

$$\begin{aligned}
&\max_{\chi} \quad \frac{\sum_{r_i}^{r_o} E(r) r^3}{\sum_{r_i}^{r_o} \rho(r) r} \\
&s.t. \quad \frac{r E(r)}{R} \leq \sigma_{max} \quad \forall r \in [r_i, r_o] \\
&\quad \sum_{r_i}^{r_o} r^3 E(r) \leq \frac{M_{max} R}{\pi \Delta r}
\end{aligned} \tag{8}$$

### 3.4 Results

#### 3.4.1 Normal

#### 3.4.2 Extreme

We have also obtained results for different types of bamboos, like bamboos with large cross-sectional diameter but thin annular cross-section and bamboos with small diameter but solid cross section with no hollow center.

It should be noted that we didn't have the experimental data for the set of constraint for these cases of bamboos. To obtain these constraints we first provide a rough estimate using the Euler Bernoulli beam equation. Then if the obtained variation of parenchyma cell size goes beyond what is generally observed in nature that set of constraints are rejected and the optimization problem is solved for a new set of sequentially generated constraints. This process is repeated until a variation of cell size consistent with nature is observed.

#### 3.4.3 Height

### 3.5 Conclusion

Assuming axisymmetry, the radial distribution of constituent materials of bamboo is optimal for the constraints of maximum stress and bending moment that are applicable to bamboo under the loading conditions.

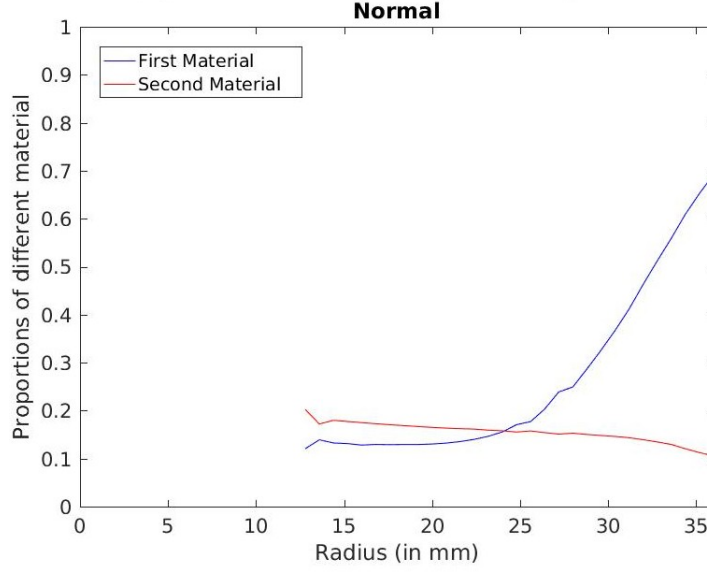


Figure 1: Axisymmetric radial distribution of fibres and parenchyma obtained for an annular rod with inner radius 12mm and outer radius 36mm with  $\sigma_{max} = 28\text{MPa}$  and  $M_{max} = 580 \text{ N-m}$

## 4 2D Simulation

In the previous chapter, we showed that bamboo has optimal one dimensional distribution of the given material for the some constraints. In this chapter, we will discuss the development of 2D topology optimization code required for the generating optimal two dimensional structures from the given materials.

### 4.1 Method

The idea of asymptotic homogenization. In a repeating cell  $Y$ ,

$$\sigma_{ij} = C_{ijkl} \epsilon_{kl} \quad (9)$$

where  $C_{ijkl}(\underline{x} + \underline{y}Y) = C_{ijkl}(\underline{x})$

$$\Rightarrow C_{ijkl}(x_1 + n_1 Y_1 \ x_2 + n_2 Y_2 \ x_3 + n_3 Y_3) = C_{ijkl}(x_1, x_2, x_3) \quad (10)$$

$C_{ijkl}(\underline{x})$  is  $Y$ -periodic

$$\underline{y} = \frac{\underline{x}}{\epsilon} \quad (11)$$

$$\Rightarrow g = g(\underline{x}, \frac{\underline{x}}{\epsilon}) = g(\underline{x}, \underline{y}) \quad (12)$$

$\underline{x} = (x_1, x_2, x_3) \in \mathbb{R}^3$  defines the domain of the composite  $\Omega$ . The domain is composed of base cells of dimensions,  $\epsilon Y_1, \epsilon Y_2, \epsilon Y_3$  where  $\underline{y} = \frac{\underline{x}}{\epsilon}$

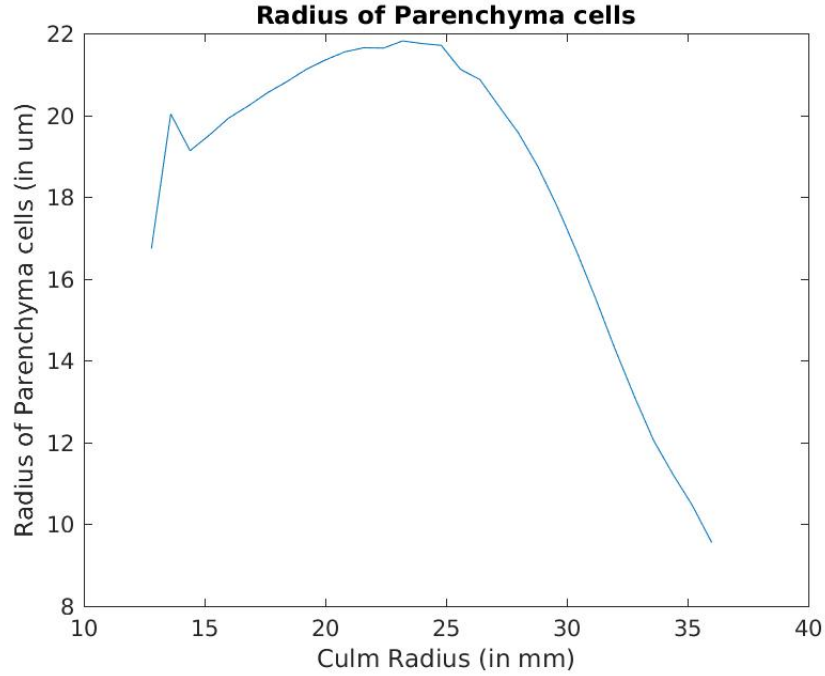


Figure 2: Radius of parenchyma cell size obtained for an annular rod with inner radius 12mm and outer radius 36mm with  $\sigma_{max} = 28\text{MPa}$  and  $M_{max} = 580\text{ N-m}$

## 4.2 1D Elasticity

$$\sigma^\varepsilon = E^\varepsilon \frac{\partial u^\varepsilon}{\partial x} \quad (13)$$

$$\frac{\partial \sigma^\varepsilon}{\partial x} + \gamma^\varepsilon = 0 \quad E^\varepsilon \gamma^\varepsilon \rightarrow \text{macroscopically uniform} \quad (14)$$

Inside each cell,

$$E^\varepsilon\left(x, \frac{x}{\varepsilon}\right) = E(y) \quad (15)$$

$$\gamma^\varepsilon\left(x, \frac{x}{\varepsilon}\right) = \gamma(y) \quad (16)$$

Let

$$u^\varepsilon(x) = u^0(x, y) + \varepsilon u^1(x, y) + \varepsilon^2 u^2(x, y) + \dots \quad (17)$$

$$\sigma^\varepsilon(x) = \sigma^0(x, y) + \varepsilon \sigma^1(x, y) + \varepsilon^2 \sigma^2(x, y) + \dots \quad (18)$$



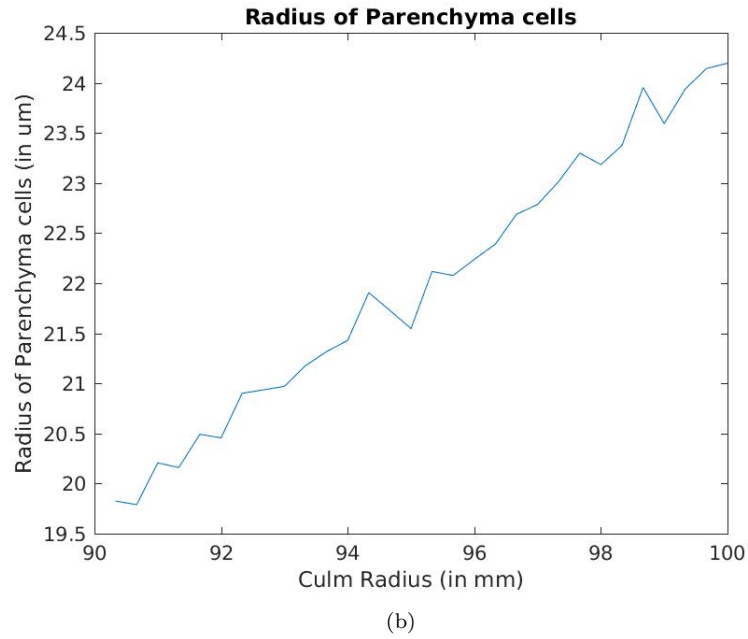
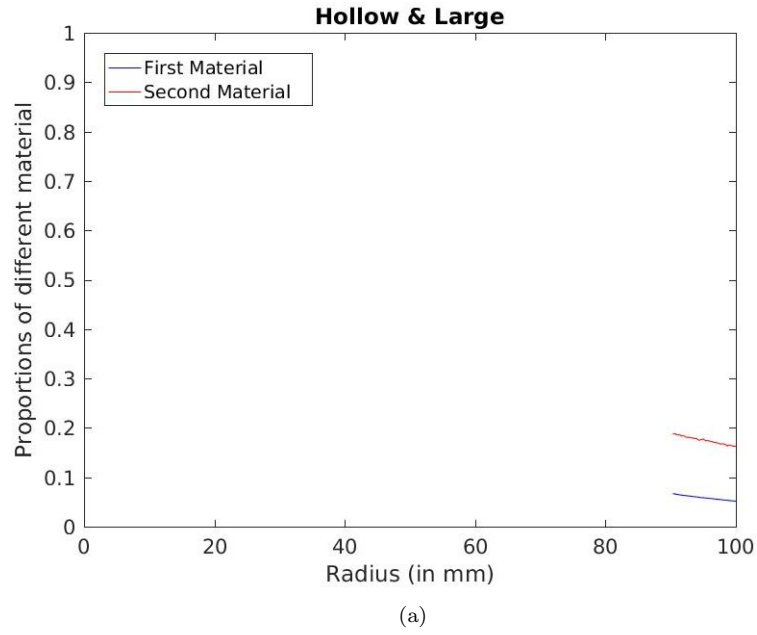


Figure 3: (a) Axisymmetric radial distribution of fibres and parenchyma, (b) Radius of parenchyma cell size obtained for an annular rod with inner radius 90mm and outer radius 100mm with  $\sigma_{max} = 150\text{MPa}$  and  $M_{max} = 630\text{ N-m}$

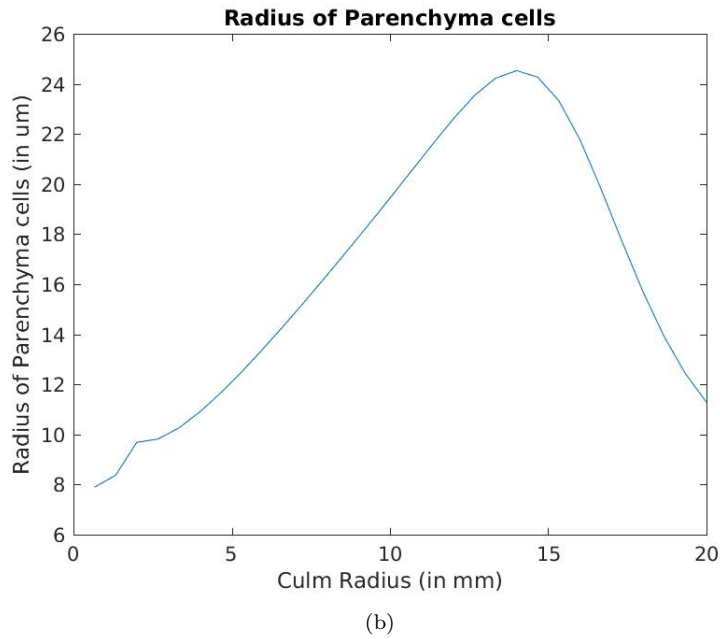
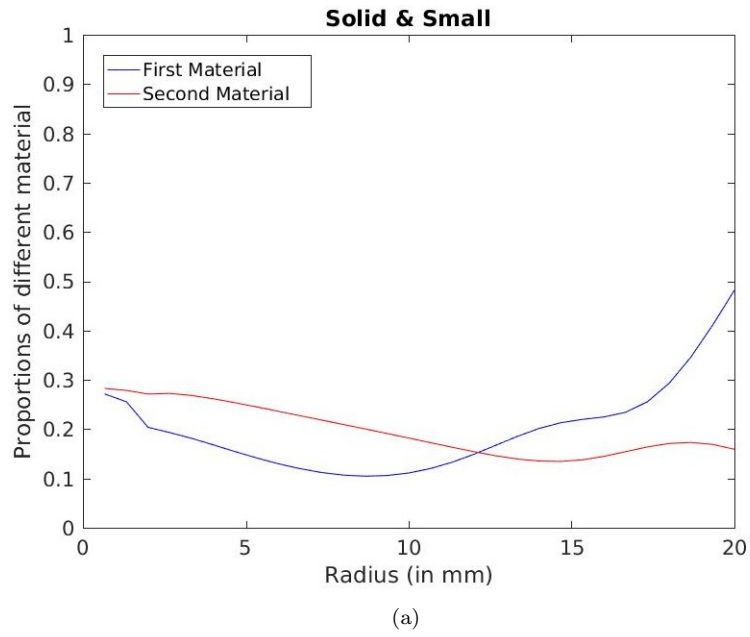


Figure 4: (a) Axisymmetric radial distribution of fibres and parenchyma, (b) Radius of parenchyma cell size obtained for a solid rod with radius 20mm with  $\sigma_{max} = 24\text{MPa}$  and  $M_{max} = 420\text{ N-m}$

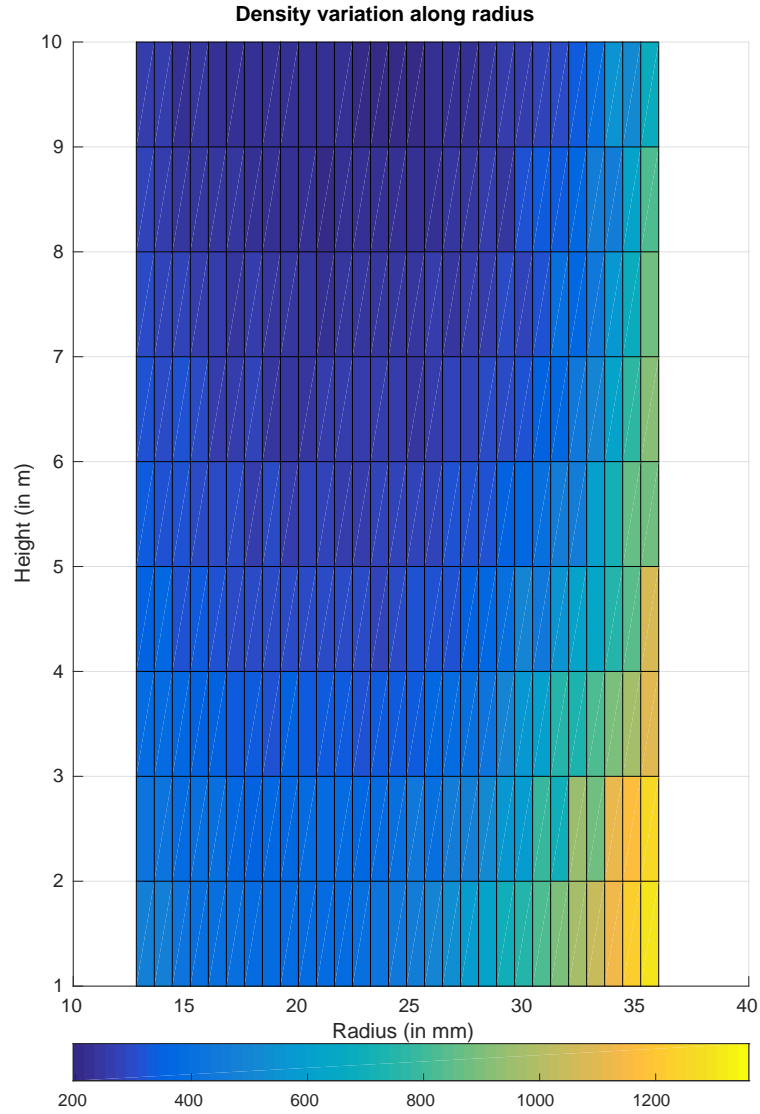


Figure 5: Density variation with height and radius obtained for an annular rod with inner radius 12mm and outer radius 36mm

### 4.3 Optimal Design of Elastic structures

$\mathbf{b} \rightarrow$  body forces

$\mathbf{t} \rightarrow$  surface tractions

Optimal choice of  $\mathbb{C}_{ijkl} \in U_{ad} \leftarrow$  admissible set of elasticity

$\mathbb{C}_{ijkl}(\mathbf{x}) \forall \mathbf{x} \in \Omega$  has 21 independent components

$a_E(\mathbf{u}, \mathbf{v}) = \int_{\Omega} \mathbb{C}_{ijkl} \varepsilon_{kl}(\mathbf{u}) \varepsilon_{kl}(\mathbf{v}) d\mathbf{x} \rightarrow$  energy bilinear form

$L(\mathbf{v}) = \int_{\Omega} \mathbf{v} d\mathbf{x} + \int_{\partial\Omega_t} \mathbf{t} \cdot \mathbf{v} ds \rightarrow$  load linear form.

Minimum compliance problem:

$$\text{minimize} \quad L(\mathbf{v}), \quad (19)$$

$$\text{subject to} \quad \mathbb{C}_{ijkl} \in \mathbb{U}_{ad} \quad (20)$$

$$a_E(\mathbf{u}, \mathbf{v}) = L(\mathbf{v}) \quad \forall \mathbf{v} \in \mathbb{U} \quad (21)$$

where  $\mathbb{U} \rightarrow$  kinematically admissible displacements.

For optimal shape design:

$$\mathbb{C}_{ijkl}(\mathbf{x}) = \chi(\mathbf{x}) \bar{\mathbb{C}}_{ijkl}, \quad \text{where } \bar{\mathbb{C}}_{ijkl} \rightarrow \text{stiffness matrix of the material} \quad (22)$$

$$\chi(\mathbf{x}) = \begin{cases} 1 & \text{if } \mathbf{x} \in \Omega^m, \\ 0 & \text{if } \mathbf{x} \in \Omega \setminus \Omega^m \end{cases} \quad (23)$$

where  $\Omega^m \rightarrow$  part of the domain occupied by the material.

For sizing problem:

$$\mathbb{C}_{ijkl}(\mathbf{x}) = h(\mathbf{x}) \bar{\mathbb{C}}_{ijkl} \quad (24)$$

$$\int_{\Omega} \chi(\mathbf{x}) d\mathbf{x} = V_f \quad (25)$$

$$\& \int_{\Omega} h(\mathbf{x}) d\mathbf{x} = V_f. \quad (26)$$

where  $h(x)$  is a sizing function.

Traditionally shape design problems are initiated in the following manner:

$$\text{Ref domain } : \Omega_0 \in \mathbb{R}^3 \quad (27)$$

$$\underline{\phi} : \Omega_0 \rightarrow \phi(\Omega_0) \text{ is a diffeomorphism.} \quad (28)$$

$$L(\mathbf{v}) = \int_{\Omega_0} \mathbf{f} \cdot \mathbf{v} |det(D\underline{\phi}^{-1})| d\mathbf{x} + \int_{\partial\Omega_t} \mathbf{t} \cdot \mathbf{v} |det(D\underline{\phi}^{-1})| ds \quad (29)$$

$$\begin{aligned} a_E &= \int_{\Omega} \mathbb{C}_{ijkl}(\mathbf{x}) \varepsilon_{kl}(\mathbf{v}) \varepsilon_{ij}(\mathbf{v}) d\mathbf{x} \\ &= \int_{\Omega_0} \mathbb{C}_{ijkl} \varepsilon_{kl}(\mathbf{v}) \varepsilon_{ij}(\mathbf{v}) |det(D\underline{\phi}^{-1})| d\mathbf{x} \end{aligned} \quad (30)$$

Now,

$$\begin{aligned} \mathbb{C}_{ijkl} \varepsilon_{kl} &= \mathbb{C}_{ijkl} \frac{1}{2} (u_{k,l} + u_{l,k}) \\ &= \frac{1}{2} \mathbb{C}_{ijkl} u_{k,l} + \frac{1}{2} \mathbb{C}_{ijlk} u_{l,k} \\ &= \mathbb{C}_{ijkl} u_{k,l} \end{aligned} \quad (31)$$

$$\begin{aligned}
a_E &= \int_{\Omega_0} \mathbb{C}_{ijkl} u_{k,l}(\mathbf{u}) u_{i,j}(\mathbf{v}) |det(D\phi^{-1})| d\mathbf{x} \\
&= \int_{\Omega_0} \mathbb{C}_{ijkl} \frac{\partial u_k}{\partial \mathbf{x}_m} (D\phi^{-1})_{ml} \frac{\partial u_i}{\partial \mathbf{x}_p} (D\phi^{-1})_{pj} |det(D\phi^{-1})| d\mathbf{x}
\end{aligned} \tag{32}$$

$$\Rightarrow \mathbb{C}_{ijkl} (D\phi^{-1})_{ml} (D\phi^{-1})_{pj} |det(D\phi^{-1})| = \bar{\mathbb{C}}_{ipkm} \tag{33}$$

$$\bar{\mathbb{C}}_{ijkl} = \mathbb{C}_{ipkm} (D\phi^{-1})_{lm} (D\phi^{-1})_{jp} |det(D\phi^{-1})| \tag{34}$$

Treating  $\phi$  as a design variable is tedious.

#### 4.4 Homogenization method

$$E_{ijkl}^\varepsilon(\mathbf{x}) = E_{ijkl}(\mathbf{x}, \mathbf{y}), \quad \mathbf{y} = \frac{\mathbf{x}}{\varepsilon} \tag{35}$$

The tensor  $E_{ijkl}^\varepsilon$  is a material constant which satisfies the symmetry condition and is assumed to satisfy strong ellipticity condition for every  $\mathbf{x}$ .

$$\Rightarrow E_{ijkl}^\varepsilon = E_{jikl}^\varepsilon = E_{ijlk}^\varepsilon = E_{klji}^\varepsilon \tag{36}$$

$$E_{ijkl}^\varepsilon(\mathbf{x}) \mathbf{X}_{ij} \mathbf{X}_{kl} \geq m \mathbf{X}_{ij} \mathbf{X}_{ij} \quad \text{for some } m > 0 \text{ \& } \forall \quad \mathbf{X}_{ij} = \mathbf{X}_{ji} \tag{37}$$

Let the domain  $\Omega$  has a boundary  $\Gamma$ . Let  $\mathbf{f}$  be the body force acting on  $\Omega$  and  $\mathbf{t}$  be the traction acting on  $\Gamma_t$  part of the boundary  $\Gamma$ . Also, let  $\Gamma_D$  be the part of boundary on which displacement is defined. Then the displacement  $\mathbf{u}^\varepsilon$  can be obtained as the solution to the following minimization problem

$$\min_{\mathbf{v}^\varepsilon \in U} F^\varepsilon(\mathbf{v}^\varepsilon), \tag{38}$$

where  $F^\varepsilon$  is total potential energy given as

$$F^\varepsilon(\mathbf{v}^\varepsilon) = \frac{1}{2} \int_{\Omega} E_{ijkl}^\varepsilon \varepsilon_{kl}(\mathbf{v}^\varepsilon) \varepsilon_{ij}(\mathbf{v}^\varepsilon) dx - \int_{\Omega} \mathbf{f} \cdot \mathbf{v}^\varepsilon dx - \int_{\Gamma_t} \mathbf{t} \cdot \mathbf{v}^\varepsilon ds \tag{39}$$

and  $\mathcal{U}$  is the set of admissible displacements defined such that

$$\mathcal{U} = \{\mathbf{v} = v_i \mathbf{e}_i : v_i \in H^1(\Omega) \text{ and } \mathbf{v} \in \mathcal{G} \text{ on } \Gamma_D\} \tag{40}$$

where  $\mathcal{G}$  is set of displacement defined along the boundary  $\Gamma_D$ .

Let

$$\mathbf{v}^\varepsilon(\mathbf{x}) = \mathbf{v}_0(\mathbf{x}) + \varepsilon \mathbf{v}_1(\mathbf{x}, \mathbf{y}), \quad \mathbf{y} = \frac{\mathbf{x}}{\varepsilon}. \tag{41}$$

Using chain rule for functions in two variables

$$\begin{aligned}
\frac{\partial f(\mathbf{x}, \mathbf{y}(\mathbf{x}))}{\partial \mathbf{x}} &= \frac{\partial f}{\partial \mathbf{x}} + \frac{\partial f}{\partial \mathbf{y}} \frac{\partial \mathbf{y}}{\partial \mathbf{x}} \\
&= \frac{\partial f}{\partial \mathbf{x}} + \frac{1}{\varepsilon} \frac{\partial f}{\partial \mathbf{y}}
\end{aligned} \tag{42}$$

Using above two equations, we can write the linerized strain as

$$\begin{aligned}
\epsilon_{ij}(\mathbf{v}^\varepsilon(\mathbf{x})) &= \frac{\partial(v_{0i}(\mathbf{x}) + \varepsilon v_{1i}(\mathbf{x}, \mathbf{y}))}{\partial x_j} \\
&= \frac{\partial v_{0i}}{\partial x_j} + \varepsilon \left\{ \frac{\partial v_{1i}}{\partial x_j} + \frac{1}{\varepsilon} \frac{\partial v_{1i}}{\partial y_j} \right\} \\
&\approx \frac{\partial v_{0i}}{\partial x_j} + \frac{\partial v_{1i}}{\partial y_j} \quad \leftarrow \{\varepsilon \ll 1\}
\end{aligned} \tag{43}$$

Therefore, equation (39) can be written as

$$\begin{aligned}
F^\varepsilon(\mathbf{v}^\varepsilon) &= \frac{1}{2} \int_{\Omega} E_{ijkl}^\varepsilon \left( \frac{\partial v_{0k}}{\partial x_l} + \frac{\partial v_{1k}}{\partial y_l} \right) \left( \frac{\partial v_{0i}}{\partial x_j} + \frac{\partial v_{1i}}{\partial y_j} \right) dx \\
&\quad - \int_{\Omega} \mathbf{f} \cdot \mathbf{v}_0 dx - \int_{\Gamma_t} \mathbf{t} \cdot \mathbf{v}_0 ds + \varepsilon R^\varepsilon(\mathbf{v}_0, \mathbf{v}_1)
\end{aligned} \tag{44}$$

Here,  $R^\varepsilon$  is the contribution of  $\varepsilon \mathbf{v}_1$  in the calculation of energy from body force and traction. Using

$$\lim_{\varepsilon \rightarrow 0} \int_{\Omega} \Phi(x, x/\varepsilon) dx = \frac{1}{|Y|} \int_{\Omega} \int_Y \Phi(x, y) dy dx, \tag{45}$$

we get,

$$\begin{aligned}
\lim_{\varepsilon \rightarrow 0} F^\varepsilon(\mathbf{v}^\varepsilon) &= F(\mathbf{v}_0, \mathbf{v}_1) \\
&= \frac{1}{2|Y|} \int_{\Omega} \int_Y E_{ijkl}(x, y) \left( \frac{\partial v_{0k}}{\partial x_l} + \frac{\partial v_{1k}}{\partial y_l} \right) \left( \frac{\partial v_{0i}}{\partial x_j} + \frac{\partial v_{1i}}{\partial y_j} \right) dy dx \\
&\quad - \int_{\Omega} \mathbf{f} \cdot \mathbf{v}_0 dx - \int_{\Gamma_t} \mathbf{t} \cdot \mathbf{v}_0 ds
\end{aligned} \tag{46}$$

A minimizer  $\{\mathbf{u}_0, \mathbf{u}_1\}$  of the functional  $F$ , follow the following equations:

$$\begin{aligned}
&\frac{1}{|Y|} \int_{\Omega} \int_Y E_{ijkl}(x, y) \left( \frac{\partial u_{0k}}{\partial x_l} + \frac{\partial u_{1k}}{\partial y_l} \right) \left( \frac{\partial v_{0i}}{\partial x_j} \right) dy dx \\
&= \int_{\Omega} \mathbf{f} \cdot \mathbf{v}_0 dx + \int_{\Gamma_t} \mathbf{t} \cdot \mathbf{v}_0 ds \quad \text{for every } \mathbf{v}_0
\end{aligned} \tag{47}$$

$$\frac{1}{|Y|} \int_{\Omega} \int_Y E_{ijkl}(x, y) \left( \frac{\partial u_{0k}}{\partial x_l} + \frac{\partial u_{1k}}{\partial y_l} \right) \left( \frac{\partial v_{1i}}{\partial x_j} \right) dy dx = 0, \quad \text{for every } \mathbf{v}_1 \tag{48}$$

Now, from localizing  $u_{1k}$

$$u_{1k}(x, y) = -\chi_k^{pq}(y) \frac{\partial u_{0p}}{\partial x_q}(x), \tag{49}$$

$$\begin{aligned}
&\Rightarrow \int_{\Omega} \int_Y E_{ijkl}(x, y) \left( \frac{\partial u_{0k}}{\partial x_l} - \frac{\partial \chi_k^{pq}}{\partial y_l} \frac{\partial u_{0p}}{\partial x_q} \right) \frac{\partial v_i}{\partial x_j} dy dx = 0 \\
&\int_{\Omega} \int_Y \left( E_{ijkl} \frac{\partial u_{0k}}{\partial x_l} - E_{ijkl} \frac{\partial \chi_k^{pq}}{\partial y_l} \frac{\partial u_{0p}}{\partial x_q} \right) \frac{\partial v_i}{\partial x_j} dy dx = 0 \\
&\int_{\Omega} \int_Y \left( E_{ijkl} \frac{\partial u_{0k}}{\partial x_l} - E_{ijpq} \frac{\partial \chi_p^{kl}}{\partial y_q} \frac{\partial u_{0k}}{\partial x_l} \right) \frac{\partial v_i}{\partial x_j} dy dx = 0 \\
&\int_{\Omega} \int_Y \frac{\partial u_{0k}}{\partial x_l} \left( E_{ijkl} - E_{ijpq} \frac{\partial \chi_p^{kl}}{\partial y_q} \right) \frac{\partial v_i}{\partial x_j} dy dx = 0 \\
&\int_{\Omega} \frac{\partial u_{0k}}{\partial x_l} dx \cdot \int_Y \left( E_{ijkl} - E_{ijpq} \frac{\partial \chi_p^{kl}}{\partial y_q} \right) \frac{\partial v_i}{\partial x_j} dy = 0 \\
&\Rightarrow \int_Y \left( E_{ijkl} - E_{ijpq} \frac{\partial \chi_p^{kl}}{\partial y_q} \right) \frac{\partial v_i}{\partial x_j} dy = 0 \quad \text{for } k, l = 1 \text{ and } 2, \tag{50}
\end{aligned}$$

Similarly, substituting equation (49) in (47) gives the homogenized equation.

$$\begin{aligned}
\text{LHS} &= \frac{1}{|Y|} \int_{\Omega} \int_Y E_{ijkl}(x, y) \left( \frac{\partial u_{0k}}{\partial x_l} + \frac{\partial u_{1k}}{\partial y_l} \right) \left( \frac{\partial v_{0i}}{\partial x_j} \right) dy dx \\
&= \frac{1}{|Y|} \int_{\Omega} \int_Y \left( E_{ijkl} \frac{\partial u_{0k}}{\partial x_l} - E_{ijpq} \frac{\partial \chi_p^{kl}}{\partial y_q} \frac{\partial u_{0k}}{\partial x_l} \right) \frac{\partial v_{0i}}{\partial x_j} dy dx \\
&= \frac{1}{|Y|} \int_{\Omega} \left\{ \int_Y \left( E_{ijkl} - E_{ijpq} \frac{\partial \chi_p^{kl}}{\partial y_q} \right) dy \right\} \frac{\partial u_{0k}}{\partial x_l} \frac{\partial v_{0i}}{\partial x_j} dx \\
&= \int_{\Omega} E_{ijkl}^H(x) \frac{\partial u_{0k}}{\partial x_l} \frac{\partial v_{0i}}{\partial x_j} dx
\end{aligned}$$

Homogenized equation

$$\int_{\Omega} E_{ijkl}^H(x) \frac{\partial u_{0k}}{\partial x_l} \frac{\partial v_{0i}}{\partial x_j} dx = \int_{\Omega} \mathbf{f} \cdot \mathbf{v}_0 dx + \int_{\Gamma_t} \mathbf{t} \cdot \mathbf{v}_0 ds \quad \text{for every } \mathbf{v}_0 \tag{51}$$

where  $E_{ijkl}^H(x)$  is

$$\boxed{E_{ijkl}^H = \frac{1}{|Y|} \int_Y \left( E_{ijkl} - E_{ijpq} \frac{\partial \chi_p^{kl}}{\partial y_q} \right) dy} \tag{52}$$

Now, Define

$$a_H(\mathbf{u}, \mathbf{v}) = \int_{\Omega} E_{ijkl}^H(\mathbf{x}) \frac{\partial u_k}{\partial x_l} \frac{\partial v_i}{\partial x_j} dx, \tag{53}$$

$$a_Y(\chi^{kl}, \mathbf{v}) = \int_Y E_{ijpq}(\mathbf{x}, \mathbf{y}) \frac{\partial \chi_p^{kl}}{\partial y_q} \frac{\partial v_i}{\partial y_j} dy, \tag{54}$$

$$L_Y^{kl}(\mathbf{v}) = \int_Y E_{ijkl} \frac{\partial v_i}{\partial y_j} dy \tag{55}$$

At microscopic level, we have

$$a_Y(\chi^{kl}, \mathbf{v}) = L_Y^{kl}(\mathbf{v}) \quad \forall \mathbf{v} \in \mathcal{U}_Y, \quad (56)$$

At macroscopic level, we have

$$a_H(\mathbf{u}, \mathbf{v}) = L(\mathbf{v}) \quad \forall \mathbf{v} \in \mathcal{U}_0 \quad (57)$$

where  $\mathcal{U}_0$  is homogeneous case of  $\mathcal{U}$ , i.e.,  $\mathbf{g} = 0$ .

## 4.5 Implementation 2D Homogenization

Basic homogenization equation,

$$u_{1i}(\mathbf{x}, \mathbf{y}) = -\chi_i^{pq} \frac{\partial u_{0p}(\mathbf{x})}{\partial x_q} \quad (58)$$

Solve  $\chi_p^{kl}$  from:

$$\int_Y \left( E_{ijkl} - E_{ijpq} \frac{\partial \chi_p^{kl}}{\partial y_q} \right) \frac{\partial v_{1i}}{\partial y_j} dy = 0 \quad (59)$$

Compute:

$$E_{ijkl}^H = \frac{1}{|Y|} \int_Y \left( E_{ijkl} - E_{ijpq} \frac{\partial \chi_p^{kl}}{\partial y_q} \right) dy \quad (60)$$

## 4.6 Examples

Consider:  $k=1, l=1$

$$\begin{aligned} \int_Y E_{ijkl} \frac{\partial v_i}{\partial y_j} dy &= \int_Y E_{ij11} \frac{\partial v_i}{\partial y_j} dy \\ &= \int_Y \left( E_{1111} \frac{\partial v_1}{\partial y_1} + E_{2211} \frac{\partial v_2}{\partial y_2} \right) dy \end{aligned} \quad (61)$$



$$\begin{aligned}
\int_Y E_{ijpq} \frac{\partial \chi_p^{kl}}{\partial y_q} \frac{\partial v_i}{\partial y_j} dy &= \int_Y E_{ijpq} \frac{\partial \chi_p^{11}}{\partial y_q} \frac{\partial v_i}{\partial y_j} dy \\
&= \int_Y \left\{ E_{11pq} \frac{\partial \chi_p^{11}}{\partial y_q} \frac{\partial v_1}{\partial y_1} + E_{12pq} \frac{\partial \chi_p^{11}}{\partial y_q} \frac{\partial v_1}{\partial y_2} \right. \\
&\quad \left. + E_{21pq} \frac{\partial \chi_p^{11}}{\partial y_q} \frac{\partial v_2}{\partial y_1} + E_{22pq} \frac{\partial \chi_p^{11}}{\partial y_q} \frac{\partial v_2}{\partial y_2} \right\} dy \\
&= \int_Y \left\{ \left( E_{1111} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{1112} \frac{\partial \chi_1^{11}}{\partial y_2} + E_{1121} \frac{\partial \chi_2^{11}}{\partial y_1} + E_{1122} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \frac{\partial v_1}{\partial y_1} \right. \\
&\quad + \left( E_{1211} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{1212} \frac{\partial \chi_1^{11}}{\partial y_2} + E_{1221} \frac{\partial \chi_2^{11}}{\partial y_1} + E_{1222} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \frac{\partial v_1}{\partial y_2} \\
&\quad + \left( E_{2111} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{2112} \frac{\partial \chi_1^{11}}{\partial y_2} + E_{2121} \frac{\partial \chi_2^{11}}{\partial y_1} + E_{2122} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \frac{\partial v_2}{\partial y_1} \\
&\quad \left. + \left( E_{2211} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{2212} \frac{\partial \chi_1^{11}}{\partial y_2} + E_{2221} \frac{\partial \chi_2^{11}}{\partial y_1} + E_{2222} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \frac{\partial v_2}{\partial y_2} \right\} dy \\
&= \int_Y \left\{ \left( E_{1111} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{1112} \frac{\partial \chi_1^{11}}{\partial y_2} + E_{1121} \frac{\partial \chi_2^{11}}{\partial y_1} + E_{1122} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \frac{\partial v_1}{\partial y_1} \right. \\
&\quad + \left( E_{1211} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{1212} \frac{\partial \chi_1^{11}}{\partial y_2} + E_{1221} \frac{\partial \chi_2^{11}}{\partial y_1} + E_{1222} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \left( \frac{\partial v_1}{\partial y_2} + \frac{\partial v_2}{\partial y_1} \right) \\
&\quad + \left( E_{2211} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{2212} \frac{\partial \chi_1^{11}}{\partial y_2} + E_{2221} \frac{\partial \chi_2^{11}}{\partial y_1} + E_{2222} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \frac{\partial v_2}{\partial y_2} \Big\} dy \\
&= \int_Y \left\{ \left( E_{1111} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{1122} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \frac{\partial v_1}{\partial y_1} \right. \\
&\quad + E_{1212} \left( \frac{\partial \chi_1^{11}}{\partial y_2} + \frac{\partial \chi_2^{11}}{\partial y_1} \right) \left( \frac{\partial v_1}{\partial y_2} + \frac{\partial v_2}{\partial y_1} \right) \\
&\quad \left. + \left( E_{2211} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{2222} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \frac{\partial v_2}{\partial y_2} \right\} dy
\end{aligned} \tag{62}$$

Therefore, using equations (56) , (62) and (61) for k=1, l=1 we have:

$$\begin{aligned}
& \int_Y \left\{ \left( E_{1111} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{1122} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \frac{\partial v_1}{\partial y_1} \right. \\
& \quad + E_{1212} \left( \frac{\partial \chi_1^{11}}{\partial y_2} + \frac{\partial \chi_2^{11}}{\partial y_1} \right) \left( \frac{\partial v_1}{\partial y_2} + \frac{\partial v_2}{\partial y_1} \right) \\
& \quad \left. + \left( E_{2211} \frac{\partial \chi_1^{11}}{\partial y_1} + E_{2222} \frac{\partial \chi_2^{11}}{\partial y_2} \right) \frac{\partial v_2}{\partial y_2} \right\} dy = \\
& \int_Y \left( E_{1111} \frac{\partial v_1}{\partial y_1} + E_{2211} \frac{\partial v_2}{\partial y_2} \right) dy
\end{aligned} \tag{63}$$

From equation (60), we can write

$$E_{1111}^H = \frac{1}{|Y|} \int_Y \left( E_{1111} - E_{1111} \frac{\partial \chi_1^{11}}{\partial y_1} - E_{1122} \frac{\partial \chi_2^{11}}{\partial y_2} \right) dy \tag{64}$$

$$E_{2211}^H = \frac{1}{|Y|} \int_Y \left( E_{2211} - E_{2211} \frac{\partial \chi_1^{11}}{\partial y_1} - E_{2222} \frac{\partial \chi_2^{11}}{\partial y_2} \right) dy \tag{65}$$

$$E_{1211}^H = -\frac{1}{|Y|} \int_Y \left( E_{1212} \frac{\partial \chi_1^{11}}{\partial y_2} + E_{1221} \frac{\partial \chi_2^{11}}{\partial y_1} \right) dy \tag{66}$$

Let  $\chi_1^{11} = \Phi_1, \chi_2^{11} = \Phi_2$  and  $E_{1111} = D_{11}, E_{2222} = D_{22}, E_{1212} = D_{66}, E_{1122} = E_{2211} = D_{12}$

$$\begin{aligned}
& \int_Y \left\{ \left( D_{11} \frac{\partial \Phi_1^{11}}{\partial y_1} + D_{12} \frac{\partial \Phi_2^{11}}{\partial y_2} \right) \frac{\partial v_1}{\partial y_1} \right. \\
& \quad + D_{66} \left( \frac{\partial \Phi_1^{11}}{\partial y_2} + \frac{\partial \Phi_2^{11}}{\partial y_1} \right) \left( \frac{\partial v_1}{\partial y_2} + \frac{\partial v_2}{\partial y_1} \right) \\
& \quad \left. + \left( D_{12} \frac{\partial \Phi_1^{11}}{\partial y_1} + D_{22} \frac{\partial \Phi_2^{11}}{\partial y_2} \right) \frac{\partial v_2}{\partial y_2} \right\} dy = \\
& \int_Y \left( D_{11} \frac{\partial v_1}{\partial y_1} + D_{12} \frac{\partial v_2}{\partial y_2} \right) dy
\end{aligned} \tag{67}$$

Also,

$$D_{11}^H = \frac{1}{|Y|} \int_Y \left( D_{11} - D_{11} \frac{\partial \Phi_1}{\partial y_1} - D_{12} \frac{\partial \Phi_2}{\partial y_2} \right) dy \tag{68}$$

Rearranging Eq. (67)

$$\begin{aligned}
& \int_Y \left\{ \frac{\partial v_1}{\partial y_1} \quad \frac{\partial v_2}{\partial y_2} \quad \frac{\partial v_1}{\partial y_2} + \frac{\partial v_2}{\partial y_1} \right\} \begin{bmatrix} D_{11} & D_{12} & 0 \\ D_{12} & D_{22} & 0 \\ 0 & 0 & D_{66} \end{bmatrix} \\
& \quad \times \begin{bmatrix} \frac{\partial \Phi_1}{\partial y_1} \\ \frac{\partial \Phi_2}{\partial y_2} \\ \frac{\partial \Phi_1}{\partial y_2} + \frac{\partial \Phi_2}{\partial y_1} \end{bmatrix} dY \\
& = \int_Y \left\{ \frac{\partial v_1}{\partial y_1} \quad \frac{\partial v_2}{\partial y_2} \quad \frac{\partial v_1}{\partial y_2} + \frac{\partial v_2}{\partial y_1} \right\} \begin{bmatrix} D_{11} \\ D_{12} \\ 0 \end{bmatrix} dY
\end{aligned} \tag{69}$$

Let us define

$$\mathbf{b} = \begin{bmatrix} \frac{\partial}{\partial y_1} & 0 \\ 0 & \frac{\partial}{\partial y_2} \\ \frac{\partial}{\partial y_1} & \frac{\partial}{\partial y_2} \end{bmatrix} \tag{70}$$

and

$$\mathbf{D} = [\mathbf{d}_1 \quad \mathbf{d}_2 \quad \mathbf{d}_3] \tag{71}$$

Then Eq (67), can be written as

$$\int_Y \mathbf{v}^T \mathbf{b}^T \mathbf{D} \mathbf{b} \Phi dY = \int_Y \mathbf{v}^T \mathbf{b}^T \mathbf{d}_1 \quad \forall \mathbf{v} \in \mathbf{V}_Y \tag{72}$$

and eq. (68) becomes:

$$\boxed{D_{11}^H = \frac{1}{|Y|} \int_Y \left( D_{11} - \mathbf{d}_1^T \mathbf{b} \Phi \right) dy} \tag{73}$$

## 5 Results

[1] [2]

## 6 References

### References

- [1] John Doe. Title. *Journal*, 2017.
- [2] Intel. Example website. <http://example.com>, Dec 1988. Accessed on 2012-11-11.