Adaptive Decision Trees with Dynamic Depth Pruning for Uneven Data Distributions

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### Introduction

- Many machine learning datasets are imbalanced, with dense and sparse regions
- Traditional decision trees tend to overfit in dense areas and underfit in sparse regions
- Our solution: an adaptive decision tree that dynamically adjusts depth based on data density

## Problem Statement

- Decision trees struggle with uneven data distributions
- Dense regions → excessive branching → overfitting
- Sparse regions → shallow trees → underfitting
- Need for a method that balances generalizability and interpretability

## Proposed Solution

- Adaptive Decision Tree (ADT) with Density-Based Pruning
- Inspired by K-Nearest Neighbors (KNN) to dynamically adjust tree depth
  - Utilized a variant that uses radius rather than K
- Prevents excessive branching in sparse areas while allowing deeper splits in dense areas
- Results in better accuracy and generalization

## Related Works

Various methods address decision tree adaptability:

- Depth control methods (global, lacks local adaptability)
- KNN-inspired local adaptability (better local patterns, but computationally expensive)
- Hybrid pruning techniques (reduces overfitting, but parameter tuning is tedious)

Our approach balances global structure and local adaptability without sacrificing

interpretability

### Dataset & Features

Dataset: Traffic Accident Prediction Dataset (Kaggle)

Class: Accident\_Severity (Low, Moderate, High)

• Low was the most common, High was least common

#### Features:

- Weather, Road Type, Time of Day, Speed Limit
- Number of Vehicles, Road Condition, Vehicle Type
- Driver Age, Driver Experience, Road Light Condition

# Preprocessing

• First, the attributes such as speed limit, number of vehicles, driver age, and driver experience were discretized into defined ranges

Weather	Road_Type	Time_of_Day	Speed_Limit	Number_of_Vehicles
Clear $\rightarrow$ 0 Rainy $\rightarrow$ 1 Foggy $\rightarrow$ 2 Snowy $\rightarrow$ 3	Highway $\rightarrow$ 0 City Road $\rightarrow$ 1 Rural Road $\rightarrow$ 2 Mountain Road $\rightarrow$ 3	Morning $\rightarrow$ 0 Afternoon $\rightarrow$ 1 Evening $\rightarrow$ 2 Night $\rightarrow$ 3	(-inf - 75.75] $\rightarrow$ 0 (75.75 - 121.5] $\rightarrow$ 1 (167.25 - inf) $\rightarrow$ 2	(-inf - 4.25] $\rightarrow$ 0 (4.25 - 7.5] $\rightarrow$ 1 (7.5 - 10.75] $\rightarrow$ 2 (10.75 - inf) $\rightarrow$ 3

Road_Condition	Vehicle_Type	Time_of_Day	Driver_Experience	Road_Light_Condition
$\begin{array}{c} Dry \to 0 \\ Under \\ Construction \to 1 \\ Wet \to 2 \\ Icy \to 3 \end{array}$	Bus $\rightarrow$ 0 Truck $\rightarrow$ 1 Car $\rightarrow$ 2 Motorcycle $\rightarrow$ 3	(-inf - 30.75] → 0 $(30.75 - 43.5] \rightarrow 1$ $(43.5 - 56.25] \rightarrow 2$ $(56.25 - inf) \rightarrow 3$		Daylight → 0 Artificial Light → 1 No Light → 2

# Preprocessing

- Instances with missing class values were removed
- Other missing values were replaced by their respective modes
- All the data values were then changed to numeric categories for computation such as Euclidean Distance
- Stratified train-test set with a 70-30 ratio to preserve the class distribution across the subsets

# Methods - Control & Weka J48 Pruned Decision Trees

#### Regular Decision Tree

- Splits nodes recursively using Gain Ratio
- Prone to overfitting in dense areas
- Fails to generalize well in sparse regions
- Continues to split until a pure state is reached or attributes have all been used

#### J48 Pruned Tree (Weka)

- Java implementation of C4.5 decision tree algorithm
- Implements pruning to reduce overfitting and excessive branch length
- Still lacks local adaptability

## Methods - KNN & Adaptive Decision Tree

#### K-Nearest Neighbors (KNN)

- Classifies based on closest data points in feature space
- Good for local patterns but expensive for large datasets

#### Adaptive Decision Tree (Our Model)

- Dynamically adjusts depth based on local density
- Uses density thresholding:
  - $\circ$  High density  $\rightarrow$  deeper splits
  - Low density → early stopping (pruning)
- Strikes a balance between interpretability and flexibility

## Experiment Setup

#### Models compared:

- Control Decision Tree (Baseline)
- J48 Pruned Tree (Benchmark)
- Adaptive Decision Tree (Our Model)

#### **Evaluation Metrics:**

- Accuracy, Precision, Recall, F1-Score
- Confusion Matrices

# Experiment setup

#### Hyperparameters:

- Max depth = 8
- Minimum density threshold = 2
- Radius for density estimation = 14.0

# Results - Accuracy Comparison

Model	Training Accuracy	Testing Accuracy	
Control Decision Tree	99.5%	49.2%	
Weka J48 Pruned Tree	74.0%	54.6%	
Adaptive Decision Tree	52.0%	73.3%	

## Results - Accuracy Comparison

- Control Decision Tree: Overfits (high training accuracy, poor generalization)
- J48 Pruned Tree: Better, but struggles with class overlap
- Adaptive Decision Tree: Best testing accuracy (73.3%) due to density-based pruning

# Results - Control Decision Tree Confusion Matrices

	<u>Predicted</u>			
<u>Actual</u>	Low Moderate High			
Low	333	0	1	
Moderate	2	167	0	
High	0	0	55	

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	<u>Predicted</u>			
<u>Actual</u>	Low Moderate High			
Low	92	34	18	
Moderate	40	25	7	
High	15	8	1	

**Control Decision Tree - Testing** 

## Results - Weka J48 Pruned Decision Tree Confusion Matrices

	<u>Predicted</u>			
<u>Actual</u>	Low Moderate High			
Low	309	25	0	
Moderate	75	91	3	
High	37	5	15	

Weka J48	3 Decision	Tree -	Training
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	<u>Predicted</u>			
<u>Actual</u>	Low Moderate High			
Low	117	24	3	
Moderate	55	14	3	
High	16	8	0	

Weka J48 Decision Tree - Testing

# Results - Adaptive Decision Tree Confusion Matrices

	<u>Predicted</u>			
<u>Actual</u>	Low Moderate High			
Low	269	43	22	
Moderate	139	18	12	
High	47	5	3	

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	<u>Predicted</u>			
<u>Actual</u>	Low Moderate High			
Low	131	6	7	
Moderate	38	31	3	
High	9	1	14	

Adaptive Decision Tree - Testing

# Confusion Matrix Analysis

- Control Decision Tree: Poor classification of Moderate/High categories
- J48 Pruned Tree: Some improvement, but still misclassified overlapping cases
- Adaptive Decision Tree: More balanced classification, with significantly better recall for Moderate cases

## Results - Precision, Recall, F1-Score

Model	Precision	Recall	F1-Score
Control Decision Tree	0.345	0.343	0.344
Weka J48 Pruned Tree	0.309	0.336	0.322
Adaptive Decision Tree	0.712	0.641	0.675

• Adaptive Decision Tree outperforms other models in handling

imbalanced classes

# Discussion - Key Takeaways

Trade-off between Training Accuracy and Generalization

• Control Decision Tree overfits, while Adaptive Decision Tree prioritizes generalization

Density-based pruning prevents overfitting in sparse regions

Improves interpretability while maintaining accuracy

#### Limitations:

- Struggles with very sparse data cases
- Some edge cases may still require finer splits

### Conclusion & Future Work

#### Key Contribution:

- Introduced a density-aware decision tree that balances local adaptability and global structure
- Achieved a 20% improvement in test accuracy over traditional models

#### **Future Work:**

- Optimize density thresholds for better performance
- Expand to other real-world applications (e.g., healthcare, finance)
- Test on larger, more diverse datasets to ensure scalability

#### References

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