

## UNIT-3

### PART-1

#### ARITHMETIC CODE & Its Applications

## Introduction

(2)

- Huffman coding guarantees a coding rate  $R$  within 1 bit of Entropy  $H$ .
- It generates a code whose rate is within  $P_{\max}$  "  $P_{\max} + 0.086$  of Entropy " where  $P_{\max}$  is the Probability of most frequently used symbols.
- For a large Alphabet set the value of  $P_{\max}$  is significantly small & Deviation from entropy is quite small.
- Now for those cases where alphabet is small & probabilities of letters are skewed, then the value of  $P_{\max}$  is quite large and Huffman codes become inefficient when compared to Entropy.

## → Possible Solution (1)

Make a block of more than one symbols & generate the Extended Huffman code.  
(This Approach doesn't work always)

(1)

Ex -  $A = \{a_1, a_2, a_3\}$

$$P(a_1) = 0.95, P(a_2) = 0.02 \text{ \& } P(a_3) = 0.03.$$

The Entropy of the source = 0.335 bits/symbol  
(Entropy shows the lowest rate at w/c source can code)

Symbols	$P(a_i)$	$C(a_i)$
$a_1$	0.95	0
$a_2$	0.02	11
$a_3$	0.03	10

The avg length = 1.05 bits/sym.

Difference b/w Avg length & Entropy = 0.715 bits/sym

w/c is 213% of Entropy.

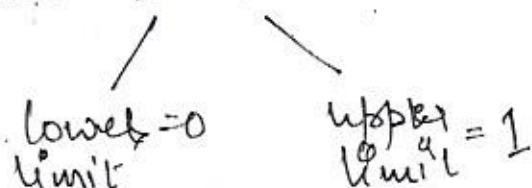
This means in order to code this sequence.  
we would need more than twice the no. of bits.  
promised by Entropy.

Slide 2. Arithmetic coding.

## Arithmetic Coding $\left\{ \begin{array}{l} 3 \\ 6 \end{array} \right\}$ - B ways of Embedding

- generates variable length code
- Useful when dealing with source with small Alphabet such binary sources
- <sup>Block coding</sup> a unique identifies / tag → Represented by a binary fraction value

Form → Tag values  $[0, 1]$



Sequence  $x = \{x_1, x_2, \dots, x_n\}$

$$l^n = l^{n-1} + (u^{n-1} - l^{n-1}) \times f_x(x_{n-1})$$

$$u^n = l^{n-1} + (u^{n-1} - l^{n-1}) \times f_x(x_n)$$

$n \rightarrow$  length of the sequence.

$x_n \rightarrow$  Sequence.

Ex.  $\begin{array}{ccc} 4 & 5 & 6 \\ \uparrow & \uparrow & \uparrow \\ n=1 & n=2 & n=3 \\ x_n=4 & x_n=5 & x_n=6 \end{array}$

$$T_x(x) = \frac{u^n + l^n}{2}$$

### Basic Idea -

- ① Repeat each string  $x$  of length  $n$  by a unique interval  $[L, U]$  in range  $[0, 1]$ .
- ② The width of  $\frac{1}{2^n}$  of Interval  $[L, U]$  represents the probability of occurring  $x$ .
- ③ The interval  $[L, U]$  can itself be represented by any no. called Tag.



for 3<sup>rd</sup> element -

$$\begin{aligned}l_3^3 &= l^2 + (u^2 - l^2) \times f_x(2-1) \\&= 0.656 + (0.8 - 0.656) \times f_x(1) \\&= 0.656 + (0.8 - 0.656) \times 0.8 \\&= 0.7712\end{aligned}$$

$$\begin{aligned}u_3^3 &= l^2 + (u^2 - l^2) \times f_x(2-\phi) \\&= 0.656 + (0.8 - 0.656) \times 0.82 \\&= 0.77408\end{aligned}$$

— The tag is contained in the interval  $[0.7712, 0.77408]$   
for 4<sup>th</sup> element - '1'

$$\begin{aligned}l^4 &= l^3 + (u^3 - l^3) \times f_x(1-1) \\&= 0.7712 + (0.77408 - 0.7712) \times f_x(0) \\&= 0.7712\end{aligned}$$

$$\begin{aligned}u^4 &= l^3 + (u^3 - l^3) \times f_x(1) \\&= 0.7712 + (0.77408 - 0.7712) \times 0.8 \\&= 0.773504\end{aligned}$$

The tag is

$$T_x(1321) \equiv \frac{l^4 + u^4}{2} = \frac{0.773504 + 0.7712}{2} = \boxed{0.772352}$$

Consider a source with Alphabet  $A = \{a_1, a_2, a_3\}$  with probability model  $P(a_1) = 0.8$ ,  $P(a_2) = 0.02$  &  $P(a_3) = 0.18$ . [ $H(S) = 0.816$  bits/sym]

Ex Encode sequence "1321" /  $a_1, a_3, a_2, a_1$

$$f_x(k) = 0 \quad k \leq 0$$

$$f_x(1) = 0.8 \quad f_x(2) = 0.82 \quad f_x(3) = 1 \quad f_x(k) = 1, k \geq 3$$

Soln Initialize  $l^0 = 0$   $u^0 = 1$

for 1st Element: 1

$$l^1 = l^0 + (u^0 - l^0) \times f_x(1-1)$$

$$= 0 + (1-0) \times f_x(0) = 0$$

$$u^1 = l^0 + (u^0 - l^0) \times f_x(1)$$

$$= 0 + (1-0) \times 0.8 = 0.8$$

$$T_x(1) = \frac{u^1 + l^1}{2}$$

$$= \frac{0.8 + 0}{2}$$

$$= 0.4$$

The tag is contained in the interval  $= [0, 0.8]$

for 2nd Element: 3

$$l^2 = l^1 + (u^1 - l^1) \times f_x(3-1)$$

$$= 0 + (0.8 - 0) \times f_x(2)$$

$$= 0 + 0.8 \times 0.82 = 0.656$$

$$u^2 = l^1 + (u^1 - l^1) \times f_x(3)$$

$$= 0 + (0.8 - 0) \times 1 = 0.8$$

The tag is contained in the interval  $= [0.656, 0.8]$

Note: Generation of tag works by reducing the size of the interval in which the tag resides as more assessment of sequence are received.

## Arithmetic

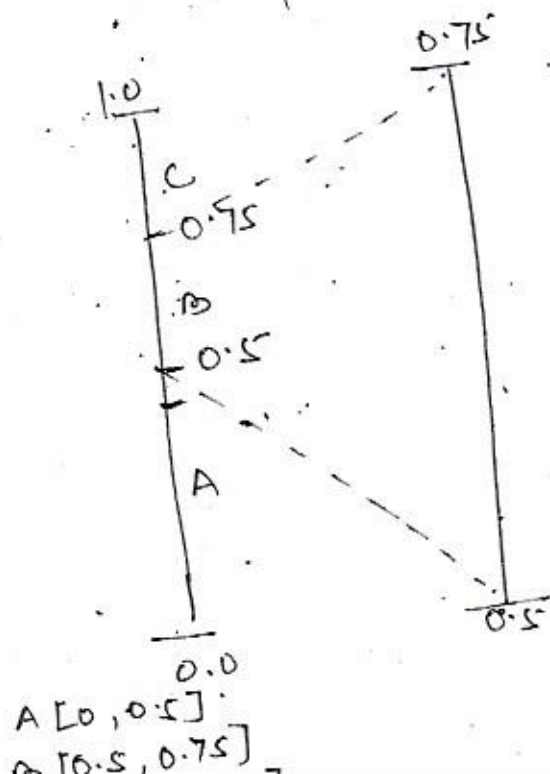
$$P(A) = 0.5 \quad P(B) =$$

### Procedure -

- Step 1 - Divide the numeric range 0 to 1 into no. of different symbols present in the message
- Step 2 - expand the first letter to be coded along with the range further subdivided into this range into no. of symbols.
- Step 3 - Repeat the procedure until termination character is encoded

Ex -  $P(A) = 0.5$      $P(B) = 0.25$     &     $P(C) = 0.25$

Sequence: BACA



## Generation of Tag

→ We require cdf to map sequence of symbols in a unit interval.

$$A = \{a_1, a_2, \dots, a_m\} \quad p(a_i) \quad \text{Random Variable}$$

$$X(a_i) = i$$

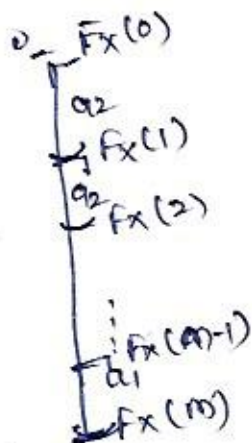
$$\text{PDF: } P(X=i) = P(a_i)$$

↑ index of sym

$$\text{CDF: } F_X(i) = \sum_{k=1}^i P(X=k)$$

IDEA: Reduce the size of interval in w/c the tag resides as more elements of the sequence are received

→ Partition the unit interval into sub-intervals of the form



$$a_i \in [F_X(i-1), F_X(i))$$



Algo

- ① Divide the unit interval into subintervals of the form  $[F_X(i-1), F_X(i))$ ,  $i = 1, 2, \dots, m$
- ② Associate the subintervals with symbols  $a_i$
- ③ 2) choose the interval according to the occurrence of symbol in the sequence.
- ③ The interval contains the tag value will be subinterval  $[F_X(k-1), F_X(k)]$
- ④ Now, ~~partition~~ <sup>so</sup> subinterval partitioned in exactly the same proportions as the original inter.

## Decoding in Arithmetic Coding

Ex decipher the tag = "0.772352" [0,1] by 4 step

$$F_x(1) = 0.8, \quad F_x(2) = 0.82, \quad F_x(3) = 1, \quad F_x(k) = 0, k \leq 0$$

S1 Initialize  $l^0 = 0, u^0 = 1$

$$\begin{aligned} l^1 &= l^0 + (u^0 - l^0) \times F_x(x_{n-1}) \\ &= 0 + (1 - 0) \times F_x(x_{n-1}) = F_x(x_{n-1}) \end{aligned}$$

$$\begin{aligned} u^1 &= l^0 + (u^0 - l^0) \times F_x(x_n) \\ &= 0 + (1 - 0) \times F_x(x_n) = F_x(x_n) \end{aligned}$$

The 1st sequence lies  $[F_x(x_{n-1}), F_x(x_n))$

$$\text{let } x_n = 1 \rightarrow [0, 0.8]$$

Is the tag lies b/w the

tag value lies b/w 0 & 0.8 when  $x_n = 1$

sequence value = "1"

Step 2

$$\begin{aligned} l^2 &= l^1 + (u^1 - l^1) \times F_x(x_{n-1}) \\ &= 0 + (0.8 - 0) F_x(x_{n-1}) \\ &= 0.8 F_x(x_{n-1}) \end{aligned}$$

$$\begin{aligned} u^2 &= l^1 + (u^1 - l^1) \times F_x(x_n) \\ &= 0.8 \times F_x(x_n) \end{aligned}$$

- II<sup>nd</sup> sequence lies b/w  $[0.8 F_X(x_{n-1}), 0.8 F_X(x_n)]$

$$\text{let } x_n = 1 \Rightarrow [0, 0.64]$$

Tag value doesn't lie b/w interval.

$$\text{Now let } x_n = 2 \quad [0.64, 0.656]$$

Again value doesn't lie interval.

$$\text{Now let } x_n = 3 \quad [0.656, 0.8]$$

tag value lies in range  $[0.656, 0.8)$  when  $x_n = 3$

So Sequence Value  $\rightarrow '3'$

$$\begin{aligned} \text{Step 3. } l^3 &= l^2 + (u^2 - l^2) \times F_X(x_{n-1}) \\ &= 0.656 + 0.144 \times F_X(x_{n-1}) \end{aligned}$$

$$\begin{aligned} u^3 &= l^2 + (u^2 - l^2) \times F_X(x_n) \\ &= 0.656 + 0.144 \times F_X(x_n) \end{aligned}$$

$$x_n = 1 \Rightarrow [0.656, 0.7712] \times$$

$$x_n = 2 \Rightarrow [0.7712, 0.77408] \checkmark$$

tag value

$\therefore$  Sequence value  $\rightarrow '2'$

Step 4

$$l^4 = 0.7712 + 0.00288 \times F_x(x_{n-1})$$

$$u^4 = 0.7712 + 0.00288 \times F_x(x_n)$$

$$x_{n=1} \rightarrow [0.7712, 0.773504]$$

tag value lies b/w 0.7712 & 0.773504

When  $x_n = 1$

∴ Fourier sequen<sup>n</sup> = "1"

$$0.772352 \rightarrow \frac{"1321"}{"a_1 a_3 a_2 a_1"}$$

Algorithm for deciphering / Decoding the tag

Step 1: Initialize  $l^{(0)} = 0$  &  $u^{(0)} = 1$

2: For each  $k$  find  $t^* = \frac{(\text{tag} - l^{(k-1)})}{(u^{(k-1)} - l^{(k-1)})}$

3. Find the value of  $x_k$  for w/c  $F_x(x_{k-1}) \leq t^* \leq F_x(x_k)$

4. update  $l^k$  and  $u^k$

5. Continue until the entire sequence has been decoded.



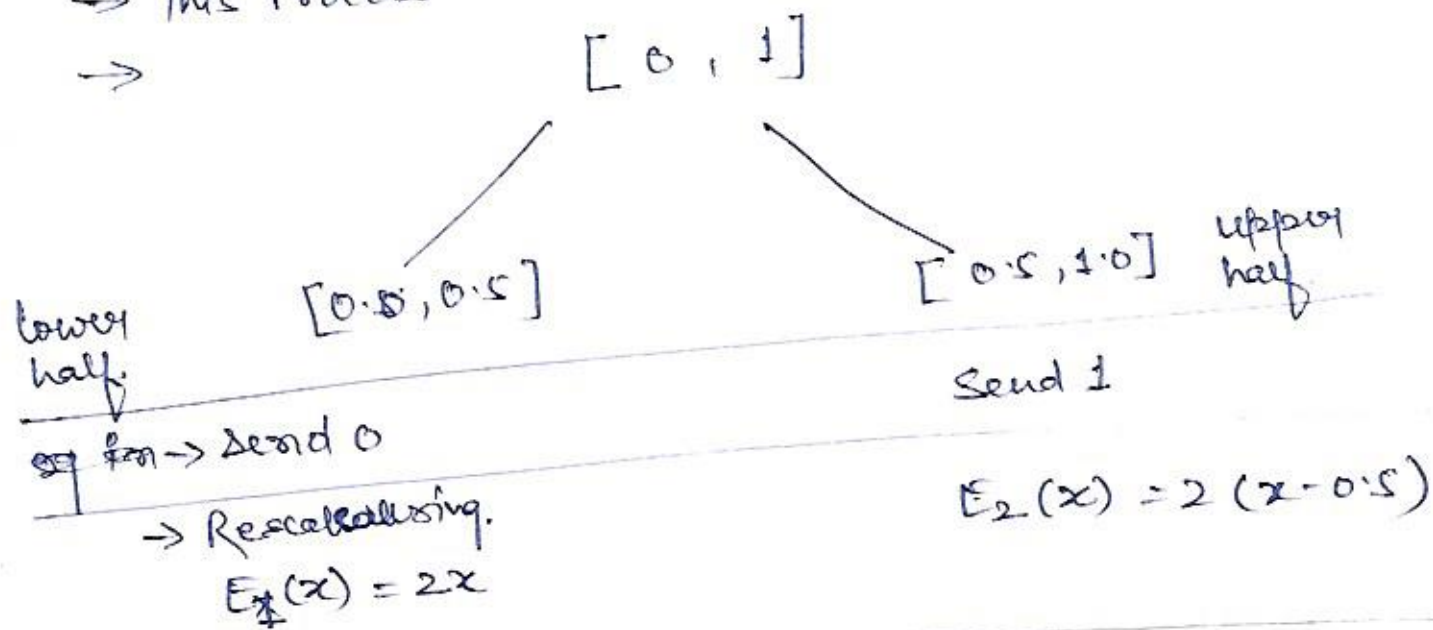
# Tag Generation with Scaling.

$\Rightarrow .11000!$

Encode a sequence into binary value

1 3 2 1 } binary fraction  
 $a_1 a_3 a_2 a_1$  }  $[0, 1]$

$\rightarrow$  This Process Also known as incremental Encoding.



Ex Encode the sequence "1 3 2 1" into binary code  
 $a_1 a_3 a_2 a_1$

$P(a_1) = 0.8 \quad P(a_2) = 0.02 \quad P(a_3) = 0.18$

Initially  $l^0 = 0$  &  $u^0 = 1$   $[0, 1]$

$$l^n = l^{n-1} + (u^{n-1} - l^{n-1}) \times F_x(x_{n-1})$$

$$u^n = l^{n-1} + (u^{n-1} - l^{n-1}) \times F_x(x_n)$$

For 1st Element "1"

$$l^1 = l^0 + (u^0 - l^0) \times F_x(1-1) = 0 + (1-0) \times 0 = 0$$

$$u^1 = l^0 + (u^0 - l^0) \times F_x(1) = 0 + (1-0) \times 0.8 = 0.8$$

Interval:  $[0.0, 0.8)$  is not confined in any half.

So we Proceed further.

Now Rescale:-

$$l^2 = 2(0.656 - 0.5) = 0.312$$

$$u^2 = 2(0.8 - 0.5) = 0.6$$

~~$[0.312, 0.6]$  Not confined in any half.~~

For Second Element - "3"

$$l^2 = l^1 + (u^1 - l^1) \times F_X(2)$$

$$= 0 + 0.8 \times F_X(2) = 0.8 \times 0.82 = 0.656$$

$$u^2 = l^1 + (u^1 - l^1) \times F_X(3) = 0 + 0.8 \times 1 = 0.8$$

Interval  $[0.656, 0.8]$  confined in the upper half.

thus send "1"

Now perform scaling

$$E_2(x) = 2(x - 0.5)$$

$$l^2 = 2(0.656 - 0.5) = 0.312$$

$$u^2 = 2(0.8 - 0.5) = 0.6$$

$$[0.312, 0.6]$$

Not confined

So we proceed further.

~~Next Interval~~

For 3<sup>rd</sup> Element - "2"

$$l^3 = 0.312 + (0.6 - 0.312) \times F_X(2-1)$$

$$= 0.312 + (0.6 - 0.312) \times 0.8 = 0.5424$$

$$u^3 = 0.312 + (0.6 - 0.312) \times F_X(2)$$

$$= 0.312 + (0.6 - 0.312) \times 0.82 = 0.54816$$

$[0.5424, 0.54816] \rightarrow$  upper half

send  $\Rightarrow$  '2'

Rescale

$$l^3 = 2(0.5424 - 0.5) = 0.0848$$

$$u^3 = 2(0.54816 - 0.5) = 0.09632$$

$[0.0848, -0.09632] \rightarrow$  lower half

↓  
Send "0"

Rescale

$$l^3 = 2 \times 0.0848 = 0.1696$$

$$u^3 = 2 \times 0.09632 = 0.19264$$

$[0.1696, 0.19264] \rightarrow$  lower half

↓  
Send "0"

Rescale.

$$l^3 = 2 \times 0.1696 = 0.3392$$

$$u^3 = 2 \times 0.19264 = 0.38528$$

$[0.3392, 0.38528] \rightarrow$  lower half  $\Rightarrow$  Send "0"

Rescale -

$$l^3 = 2 \times 0.3392 = 0.6784$$

$$u^3 = 2 \times 0.38528 = 0.77056$$

$[0.6784, 0.77056] \rightarrow$  upper half.

$\rightarrow$  Send "1"

Rescale

$$l^3 = 2 \times (0.6504 - 0.5) = 0.3568$$

$$u^3 = 2 \times (0.77056 - 0.5) = 0.54112$$

$[0.3568, 0.54112] \rightarrow$  Not confined any half.



Step 4  
for 4<sup>th</sup> element

$$l_4 = 0.3568 + (0.5412 - 0.3568) \times f_x(0) = 0.3568$$
$$u_4 = 0.3568 + (0.5412 - 0.3568) \times f_x(1)$$
$$= 0.504256$$

[0.3568, 0.504256] X

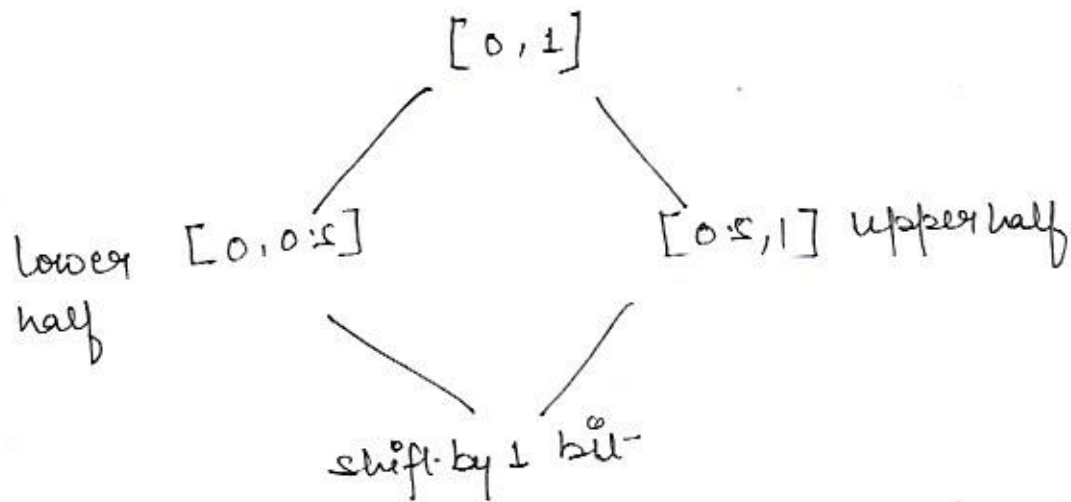
Take binary value of Any of  $l_4$  or  $u_4$  let  
let take binary of 0.5  $\rightarrow$  .1000 ----

Final Code

So Final Code  $\rightarrow$  11000100 ----



## TAG DEGENERATION WITH SCALING



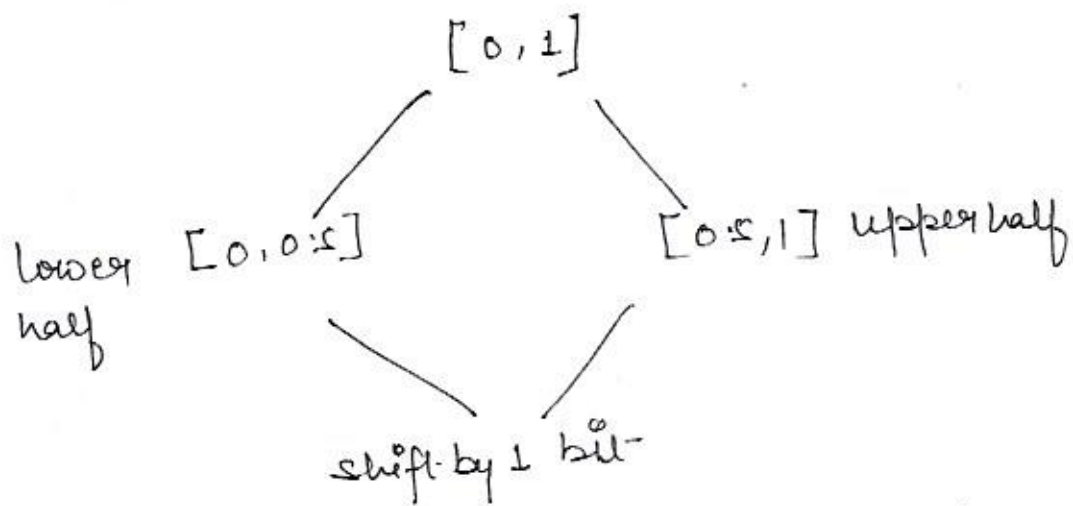
Rescaling.  $E_1 = 2x$   $E_2 = 2(x - 0.5)$

→ Difference b/w two smallest interval.

→  $2^{-k} < \text{Difference}$

$$\boxed{k =}$$

## TAG DEGENERATION WITH SCALING



Rescaling.  $E_1 = 2x$   $E_2 = 2(x - 0.5)$

→ Difference b/w two smallest interval.

→  $2^{-k} < \text{Difference}$

$k =$

92, 116, 144, 154, 163,

178, 215, 229, 288, 311, 345,

Decode the sequence - "1100011000....0"

$$P(a_1) = 0.8 \quad P(a_2) = 0.02 \quad P(a_3) = 0.18$$

$$F_X(1) = 0.8 \quad F_X(2) = 0.82 \quad F_X(3) = 1.0$$

→ In order to find out - How many bits

$$[0.8, 0.82] = 0.$$

$$\text{Diff} = 0.82 - 0.8 = 0.02$$

$$2^{-k} < 0.02$$

$$\text{let } k = 5 \rightarrow \frac{1}{2^5} = \frac{1}{32} = 0.031$$

$$\text{let } k = 6 \rightarrow \frac{1}{2^6} = 0.015625 = 0.0156$$

$$\text{So } k = 6$$

$$\text{So 1st 6 bits are } 110001 \rightarrow 0.765625$$

$$l^0 = 0 \quad u^0 = 1$$

$$\begin{aligned} & 1 \times 2^{-1} + 1 \times 2^{-2} + 0 \times 2^{-3} \\ & + 0 \times 2^{-4} + 0 \times 2^{-5} + 1 \times 2^{-6} \\ & = 0.765625 \end{aligned}$$

Now

$$\begin{aligned} l' &= l^0 + (u^0 - l^0) \times F_X(x_{n-1}) \\ &= 0 + (1 - 0) \times F_X(x_{n-1}) = F_X(x_{n-1}) \\ u' &= l^0 + (u^0 - l^0) \times F_X(x_n) = F_X(x_n) \end{aligned}$$

$$\text{let } x_{n-1} = 1 \quad [F_X(x_{n-1}), F_X(x_n)]$$

$$\text{let } x_n = 1 \quad [0, 0.8] \quad \text{Tag lies b/w Interval}$$

So the Decoded Symbol  $\rightarrow \underline{\underline{1}}$

The Interval  $[0, 0.8]$  not confined in any half.  
 $\therefore$  we move further.

For 2<sup>nd</sup> Symbol -

$$l^2 = l^1 + [u^1 - l^1] \times Fx(x_{n-1}) = 0.8 Fx(x_{n-1})$$

$$u^2 = l^1 + (u^1 - l^1) \times Fx(x_n) = 0.8 Fx(x_n)$$

$$\text{Interval: } [0.8 Fx(x_{n-1}), 0.8 Fx(x_n)]$$

$$\text{let } x_n = 1 \quad [0, 0.84] \times$$

$$\therefore x_n = 2 \quad [0.64, 0.656] \times$$

$$\therefore x_n = 3 \quad [0.656, 0.8] \checkmark$$

So Decoded Symbol is : "3"

The Interval  $[0.656, 0.8]$  lies in upper half.

so we shift by 1 bit and Rescale.

Rescale  $l^2 = 2(0.656 - 0.5) = 0.312$

$$u^2 = 2(0.8 - 0.5) = 0.6$$

$$\text{Next 6 bit} \rightarrow "100011" \rightarrow 1 \times 2^{-1} + 0 \times 2^{-2} + 0 \times 2^{-3} + 0 \times 2^{-4} + 1 \times 2^{-5} + 1 \times 2^{-6}$$
$$= 0.546875$$

$[0.312, 0.6]$  not confined in any half. so

we calculate



For 3<sup>rd</sup> element-

$$l^3 = 0.312 + (0.288 \times Fx(x_{n-1}))$$

$$u^3 = 0.312 + 0.288 \times Fx(x_n)$$

EF

$$x_n = 1 = [0.312, 0.5424] \times$$
$$x_n = 1 \quad [0.5424, 0.54816] \quad \checkmark \quad \underline{\underline{"2"}}$$

Now tag is

Upper half. (Shift by 1 bit & update)

$$l^3 = 2 \times (0.5424 - 0.5) = 0.0848$$

$$u^3 = 2 \times (0.54816 - 0.5) = 0.09632$$

Next 6 bit  $\Rightarrow$  000110

Lower half (by 1 bit and update)

$$l^3 = 2 \times 0.0848 = 0.1696$$

$$u^3 = 2 \times 0.$$

Next 6 bit  $\Rightarrow$  001100

low ~

## Binary Code for a Tag

→ If the mid-point of an interval is used as tag  $T_x(x)$   
 ~~$T_x(x)$~~  A binary code for  $T_x(x)$  is the binary representation of number Truncated of  $l(x) = \lceil \log(1/p(x)) \rceil + 1$  bit

→ Ex.  $A = \{a_1, a_2, a_3, a_4\}$  with Probabilities  $\{0.5, 0.25, 0.125, 0.125\}$ , the binary code for each symbol is as follows

Sym	$F_x$	$T_x$	Im binary	$\lceil \log \frac{1}{p(x)} \rceil + 1$	Code
1	0.5	0.25	.0100	2	01
2	0.75	0.625	.01010	3	101
3	0.875	0.8125	.1101	4	1101
4	1.0	0.9375	.1111	4	1111

Ex Sequence - 1

$$l' = 0 + (1-0) F(0) = 0$$

$$u' = 0 + (1-0) F_x(1) = 0.5$$

$$\text{Interval} \rightarrow \frac{0.5 + 0}{2} = 0.25$$

## Unique decodability of the code

→ Note that tag  $T_x(x)$  uniquely specified the Interval  $[F_x(x_{n-1}), F_x(x_n))$ , if  $\lfloor T_x(x) \rfloor_{2^x}$  is still in the interval, it is unique.

Since -  $\lfloor T_x(x) \rfloor_{2^x} > F_x(x_{n-1})$  because

$$\frac{1}{2^{2^x}} < \frac{P(x)}{2} = T_x(x) - F_x(x_{n-1}),$$

We know  $\lfloor T_x(x) \rfloor_{2^x}$  is in interval.

→ To show that the code is uniquely decodable, we can show that code is Prefix code.

This is true because  $[\lfloor T_x(x) \rfloor_{2^x} + \frac{1}{2^{2^x}}) \subset [F_x(x_{n-1}), F_x(x_n)]$

Therefore, any other code outside the interval will have different  $l(x)$ -bit prefix

## Efficiency of code

Avg length of source  $A^{(m)}$  is

$$L_{A^{(m)}} = \sum P(x) \cdot l(x)$$

$$= \sum P(x) \left[ \left\lceil \log \frac{1}{P(x)} \right\rceil + 1 \right]$$

$$< \sum P(x) \left[ \log \frac{1}{P(x)} + 1 + 1 \right]$$

$$= - \sum P(x) \log P(x) + 2 \sum P(x)$$

$$= H(X^m) + 2$$

Recall that for i.i.d. source  $H(X^m) = m H(X)$

So 
$$H(X) \leq L_A \leq H(X) + \frac{2}{m}$$



## Comparison b/w Arithmetic Coding & Huffman Coding

⑨ Average code length of  $n$  symbol sequence

→ Arithmetic code:  $H(X) \leq L_A < H(X) + \frac{2}{n}$

→ Extended Huffman:  $H(X) \leq L_H < H(X) + 1/n$

→ Both code have same asymptotic behaviour:

→ Extended Huffman coding requires large codebook for  $n^n$  extended symbols while AC doesn't.

→ In general -

→ Small alphabet sets favours Huffman coding

→ Skewed distribution favours Arithmetic coding

→ Arithmetic coding can adapt to input statistics easily.

→ Arithmetic codes allow us to code a sequence while in the typical Huffman code the ~~by~~ all the symbols are encoded.

→ It is easy to implement a system with multiple Arithmetic codes.

→ It is much easier to adapt Arithmetic codes to changing I/P statistics.

→ ① Arithmetic codes are not useful if we are coding the one symbol at a time.