

# Software Engineering

The Software Process

# Outline

- Activities
  - Production (requirements, design, implementation), verification, management
- Phases
  - Development, operation, maintenance
- Comparison with traditional engineering
- System and Software process
- SE approaches
- Recent trends

# Software Engineering



Process  
People  
Tools  
Techniques

Requirements



Software  
functions

# Activities

# Goal

## Produce software

- documents, data, code

with defined, predictable process properties

- cost, duration

and product properties

- functionality, reliability, ..

# How to achieve the goal?



# Bottom Up

- We need the final thing
  - Executable code
- But we do not write the executable
  - We write the source code



# Bottom Up - Code

- But the source code is large
  - Several physical units
    - Files and directories
  - Several logical units
    - Functions
    - classes
    - Packages
    - Subsystems
- So, which units? How do we define and organize them?





# Bottom Up - Design

- But exactly, what the software should do?
  - Add numbers
  - Count cars
  - Forecast the weather
  - Control a cell phone
  - Support the administration of a company



requirements

# The Production activities

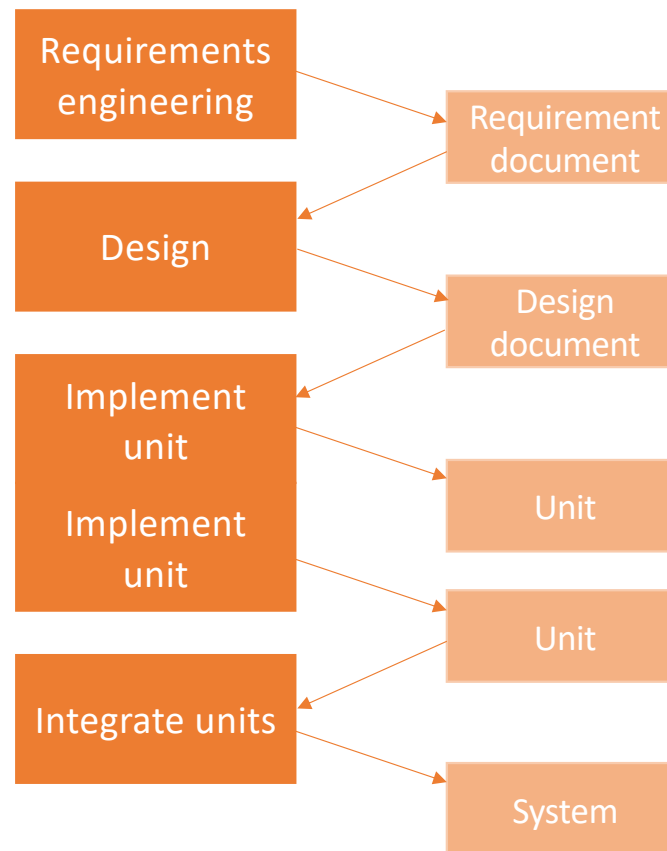
- Requirement engineering
  - What the software should do
- Architecture and design
  - Which units and how organized
- Implementation
  - Write source code, (executable code)
- Integrate units



# The Production activities

- Logically, each activity depends on the previous one(s)
  - To design, one must know the requirements
  - To implement, one must know the design and the requirements
- First approach is to do these activities in sequence
  - See waterfall model later
- In practice feedbacks and recycles must be provided
- Requirements and design are written down in documents

# The Production activities



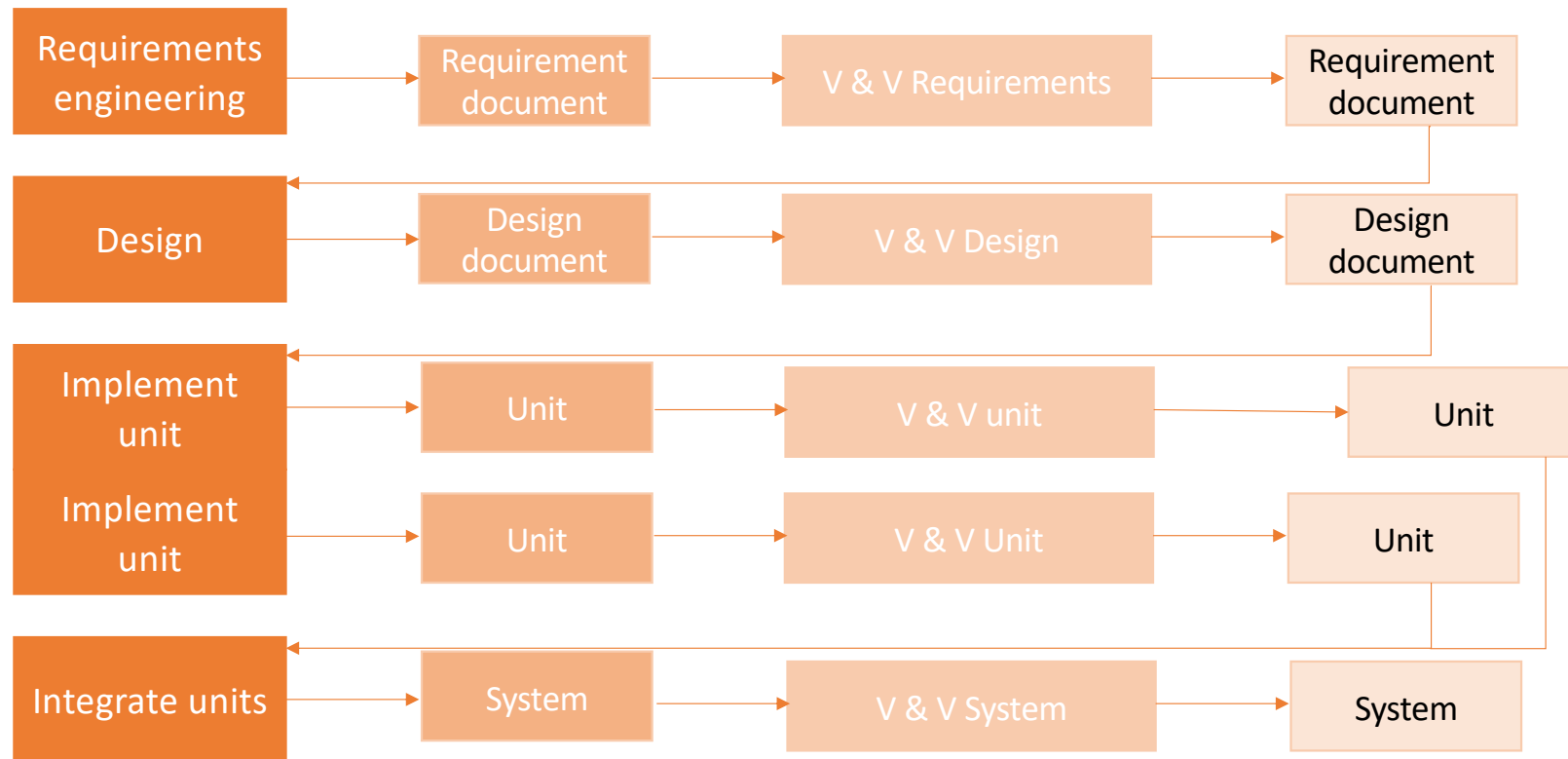
# The Production activities

- Ok, we did it
  - Does it work?
  - Is it doing what it should do?
- Or
  - Did we understand the requirements correctly?
  - Did we implement the requirements correctly?

# The Validation & Verification activities

- These activities are usually called **V & V activities**
- Control that the requirements are correct
  - Externally: did we understand what the customer/user wants?
  - Internally: is the document consistent?
- Control that the design is correct
  - Externally: is the design capable of supporting the requirements
  - Internally: is the design consistent?
- Control that the code is correct
  - Externally: is the code capable of supporting the requirements and the design?
  - Internally: is the code consistent (syntactic checks)

# Production + V & V activities



# Production + V & V activities

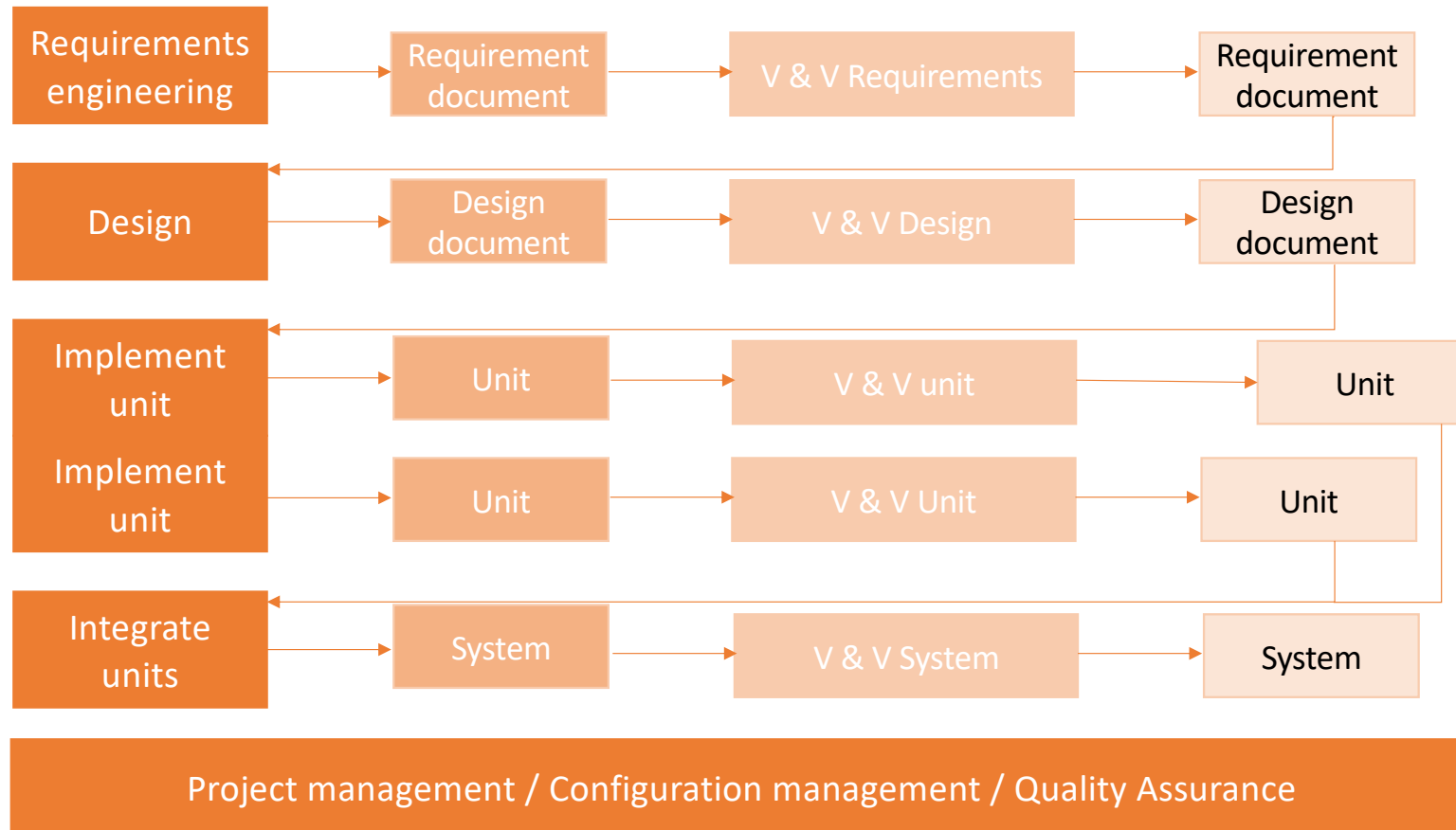
- Well, seems a lot of work
  - Who does what, when?
  - With what resources?
  - How much will it cost, when will we finish?
  - Where are the documents and units? Who can modify what?
  - Are we doing it state of the art?



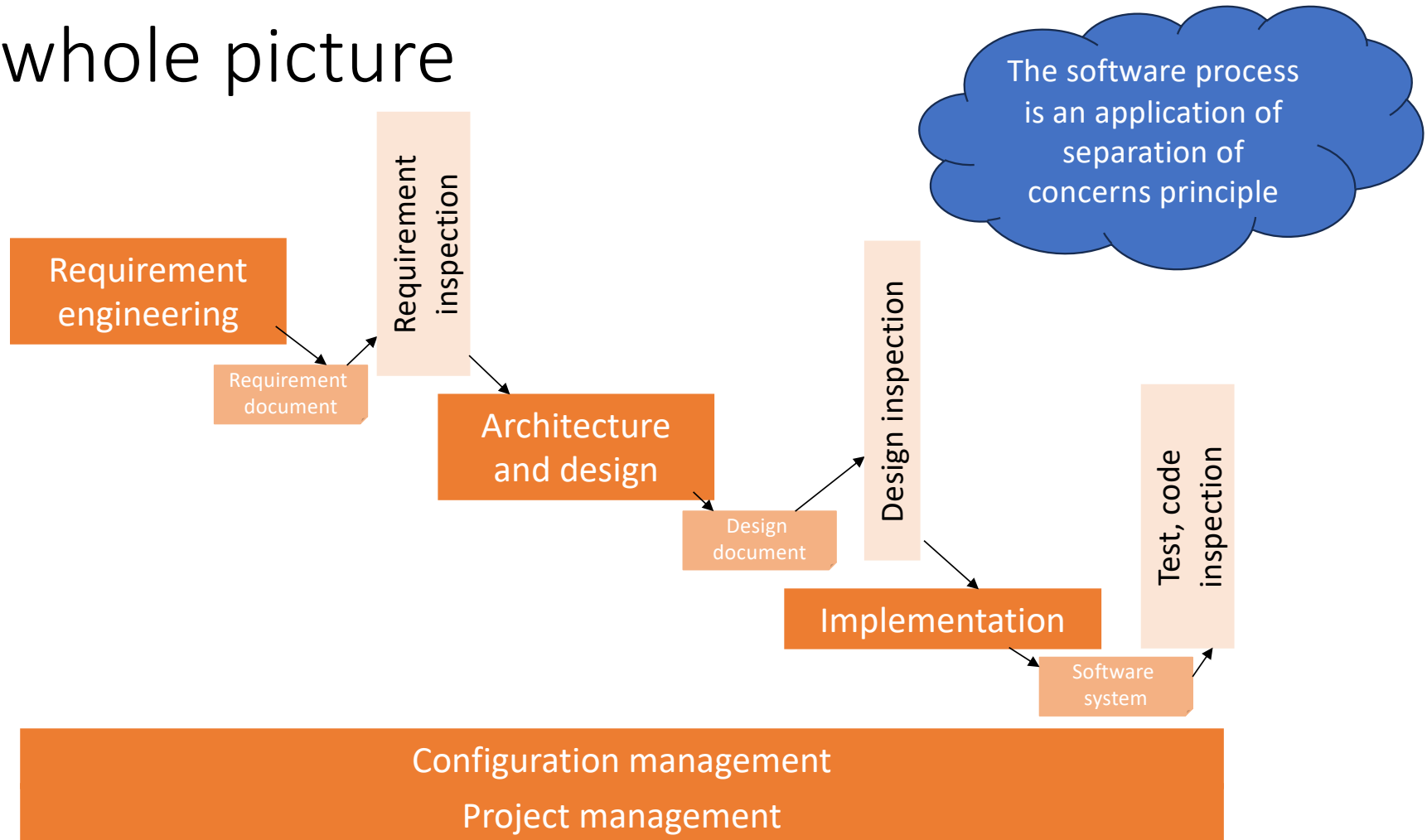
# The management activities

- Project management
  - Assign work and monitor progress
  - Estimate and control budget
- Configuration management
  - Identify, store documents and units
  - Keep track of relationships and history
- Quality assurance
  - Define quality goals
  - Define how work will be done
  - Control results

# The whole picture



# The whole picture

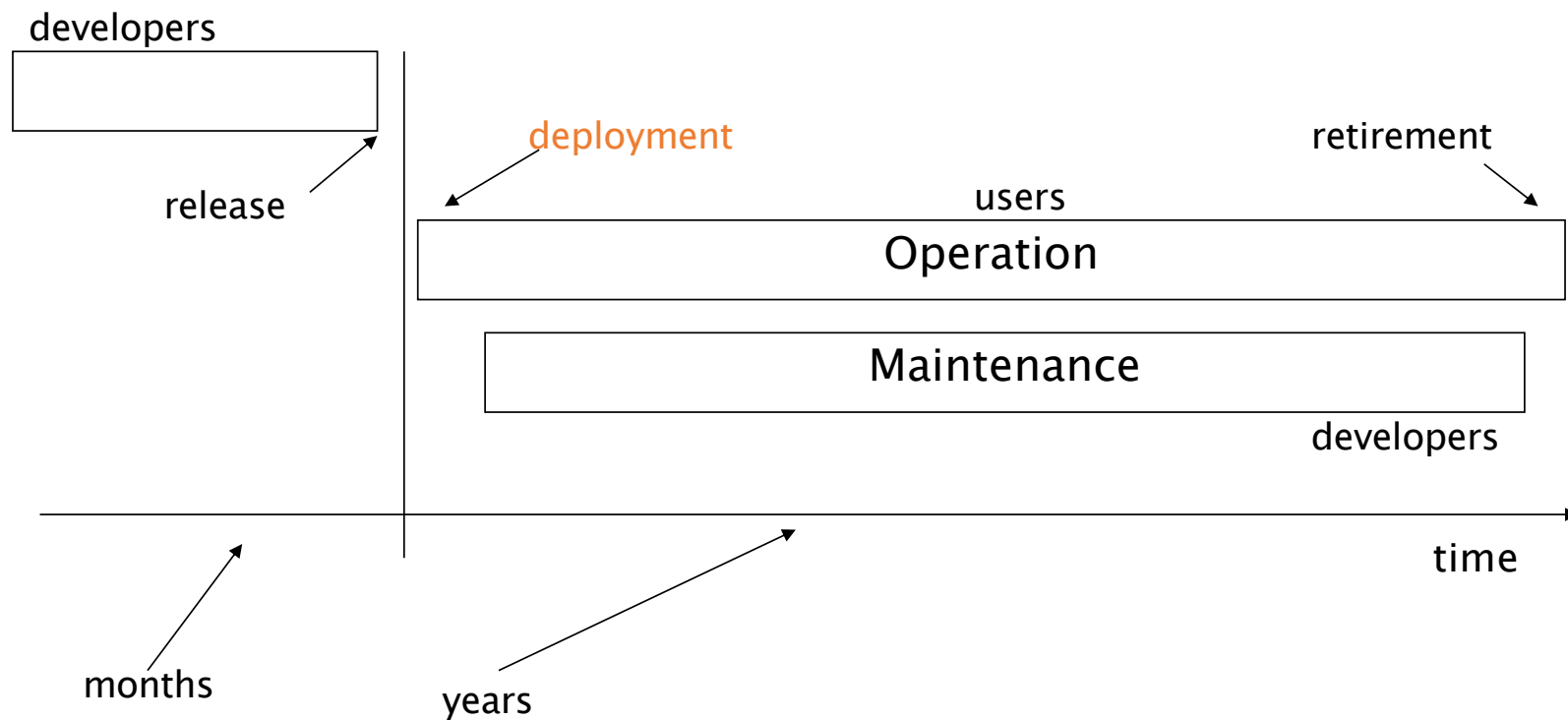


# Phases

# Beyond the code development

- Development is only the first part of the game
  - Operate the software
    - Deployment, operation
  - Modify the software
    - Maintenance
  - End up
    - retirement

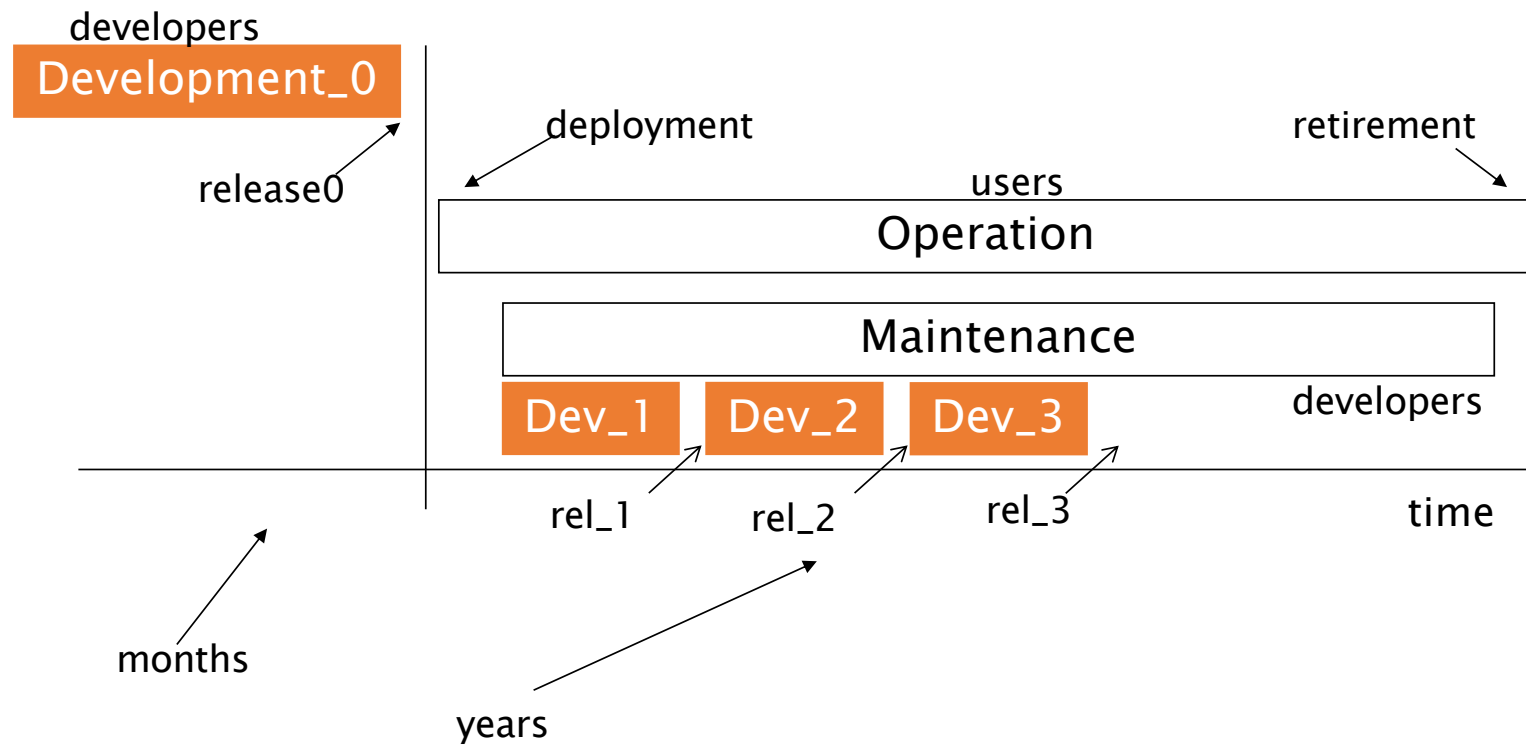
# The main phases



# Maintenance

- Can be seen as a sequence of developments
- First development usually longer
- Next developments constrained by previous ones and related choices
  - If dev\_0 chooses Java, next developments are in Java
  - If dev\_0 chooses client server model, next developments keep C/S

# Maintenance

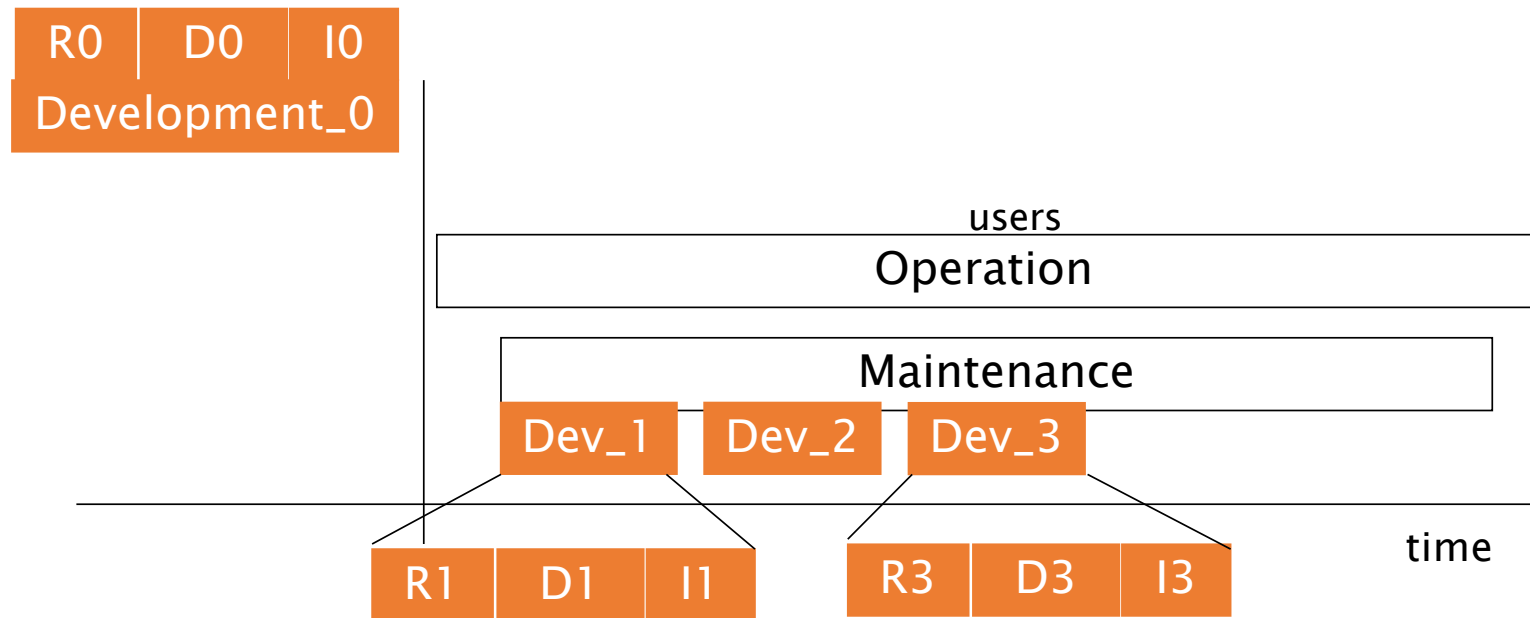




# Maintenance

- Development and maintenance do the same activities (requirement, design, etc)
  - But in maintenance an activity is constrained by what has been done before
  - After years, the constraints are so many that changes become impossible

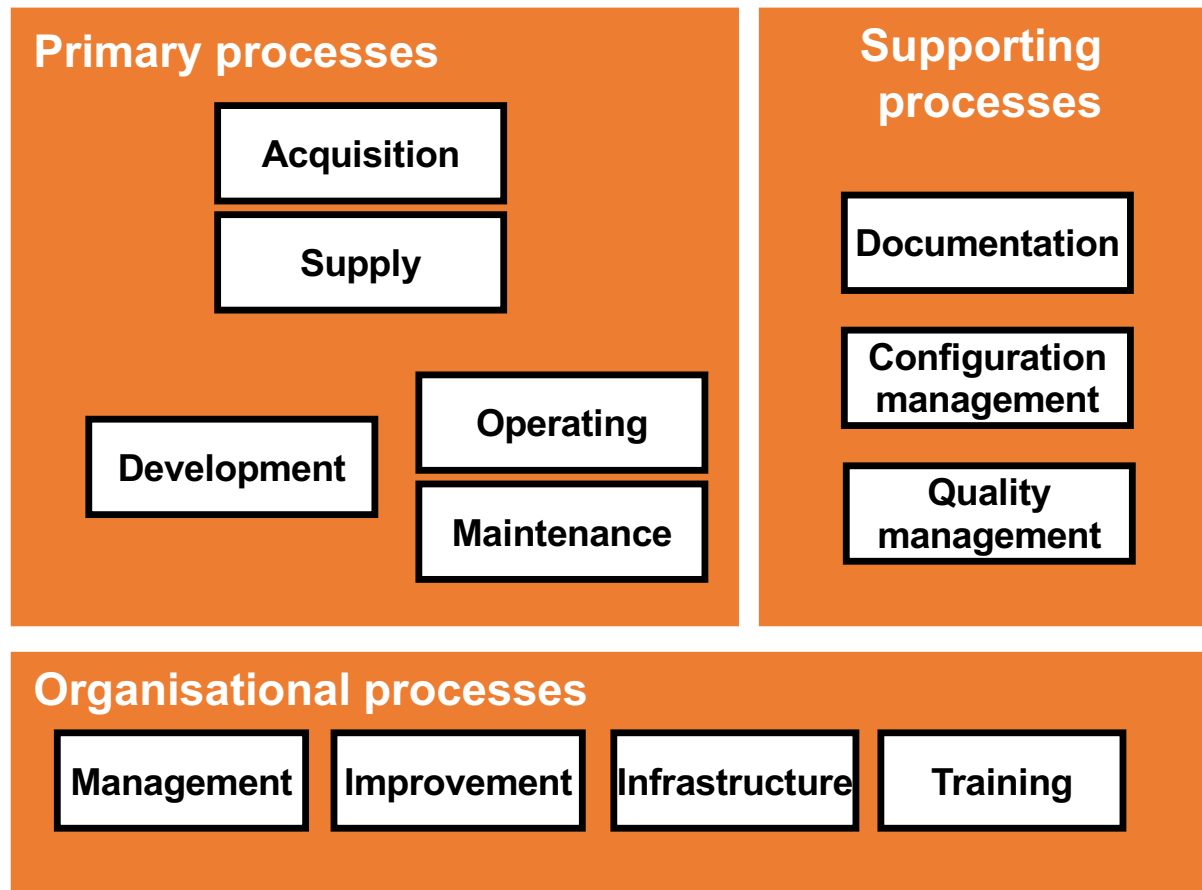
# Maintenance



# Maintenance

- Development\_0
  - Req\_0 developed from scratch
  - Design\_0 developed from req\_0
  - Impl\_0 developed from design\_0
- Development\_1
  - Req\_1 from Req\_0 (and Des\_0, Impl\_0)
  - Des\_1 from Req\_1
  - Impl\_1 from Des\_1

# ISO / IEC 12207



# Scenarios in dev / maintenance / operation

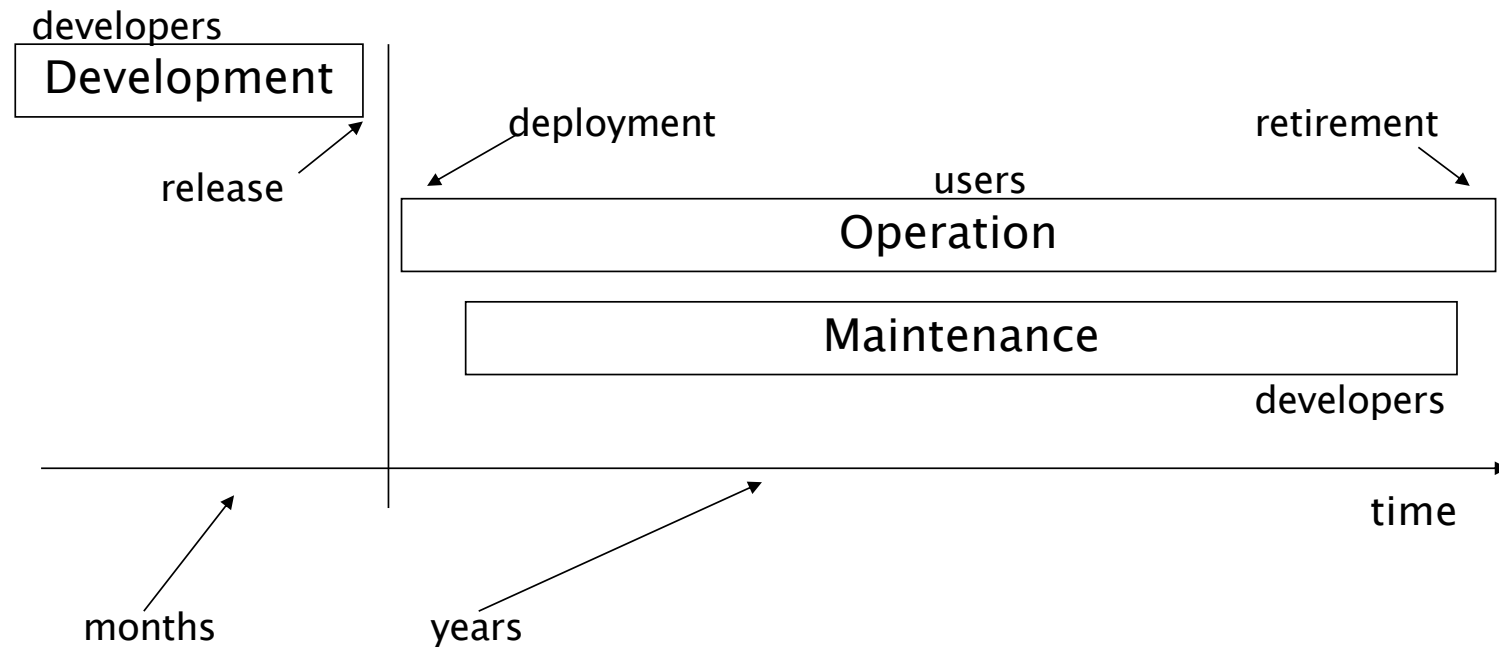
- Scenario 1: IT to support businesses
  - Development: several months
  - Operation: years
  - Maintenance: years, up to 60% of overall costs
- Scenario 2: consumer software (games)
  - Development: months
  - Operation: months (weeks)
  - Virtually no maintenance

# Scenarios in dev / maintenance / operation

- Scenario 3: Operating System
  - Development: years
  - Operation: years
  - Maintenance: years, up to 60% of overall costs
- Scenario 3\_1: Commercial OS (MS)
  - 2, 3 years to develop
  - Several years maintenance
  - Patches issued every day
  - Major releases (Service Pack) at long intervals
  - In parallel development of a new release
  - E.g. W95, NT, 2000, XP, Vista, 7, 10, 11

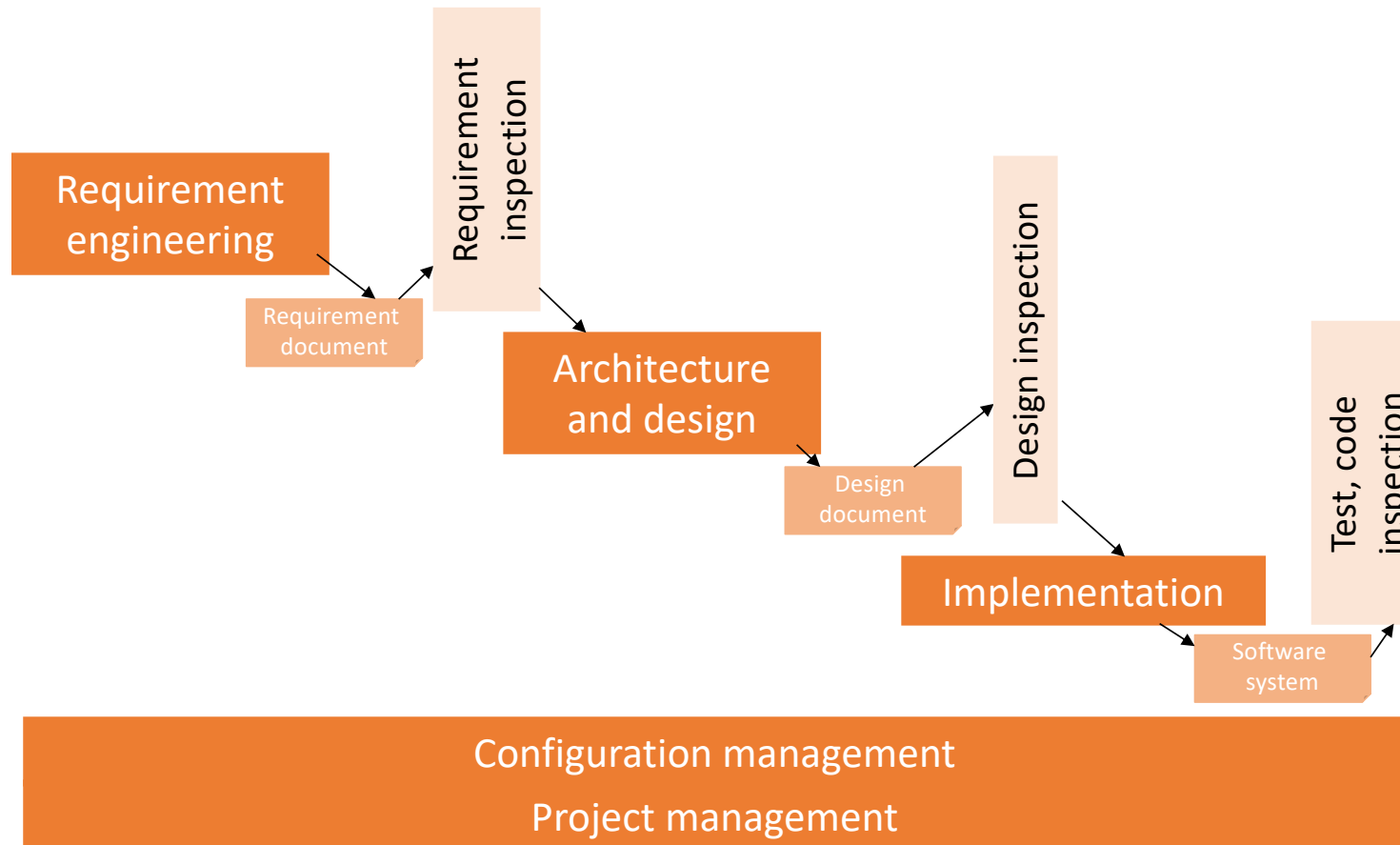
In summary, top down!

# Phases





# Development activities



# Comparison with traditional engineering

# The software process

- Not new
- Just applying engineering approach to software production
- What do aeronautics engineers do?

# Production + test activities

- Requirement definition (“what”)
  - airplane, civil usage
  - capacity > 400 people
  - range > 12000km,
  - Noise level < xdB, consumption < .., acquisition cost < y\$, operation cost < w \$/year
- high level design (“how”)
  - Blueprints of the airplane
  - Definition of subsystems
    - Avionics, structure, engines
  - Mathematical models
    - Structural (wings and frame)
    - Thermodynamic + structural (engines)

# Production + test activities

- Low level design
  - Further definition of subsystems
  - In several cases subcontracted or acquired (engine)
- Implementation
  - Implementation of each subsystem
- Unit test
  - Verification that subsystem complies to its specification

# Production + test activities

- Integration
  - Put subsystems together (ex. wing + frame)
- Integration test
  - Test the assemblies
- Acceptance test
  - Does it fly?
- Certification
  - FAA (or other agency) tests that it flies and issues a certificate
  - (a defined and long list of checks)

# Management activities

- project management
  - project planning
  - project tracking
  - budgeting, accounting
- configuration management
  - parts and assemblies
  - change control
- Quality management
  - Quality handbook
  - Quality plan
  - roles

# Is there a difference?

## Traditional engineering

- Hundreds of year old
- Theory from physics or other hard science, laws and mathematical models
- Maturity of customers and managers

## Software engineering

- 54 years old
- Limited theories and laws.  
More a social science?
- Variable maturity of customers and managers



# System and software process

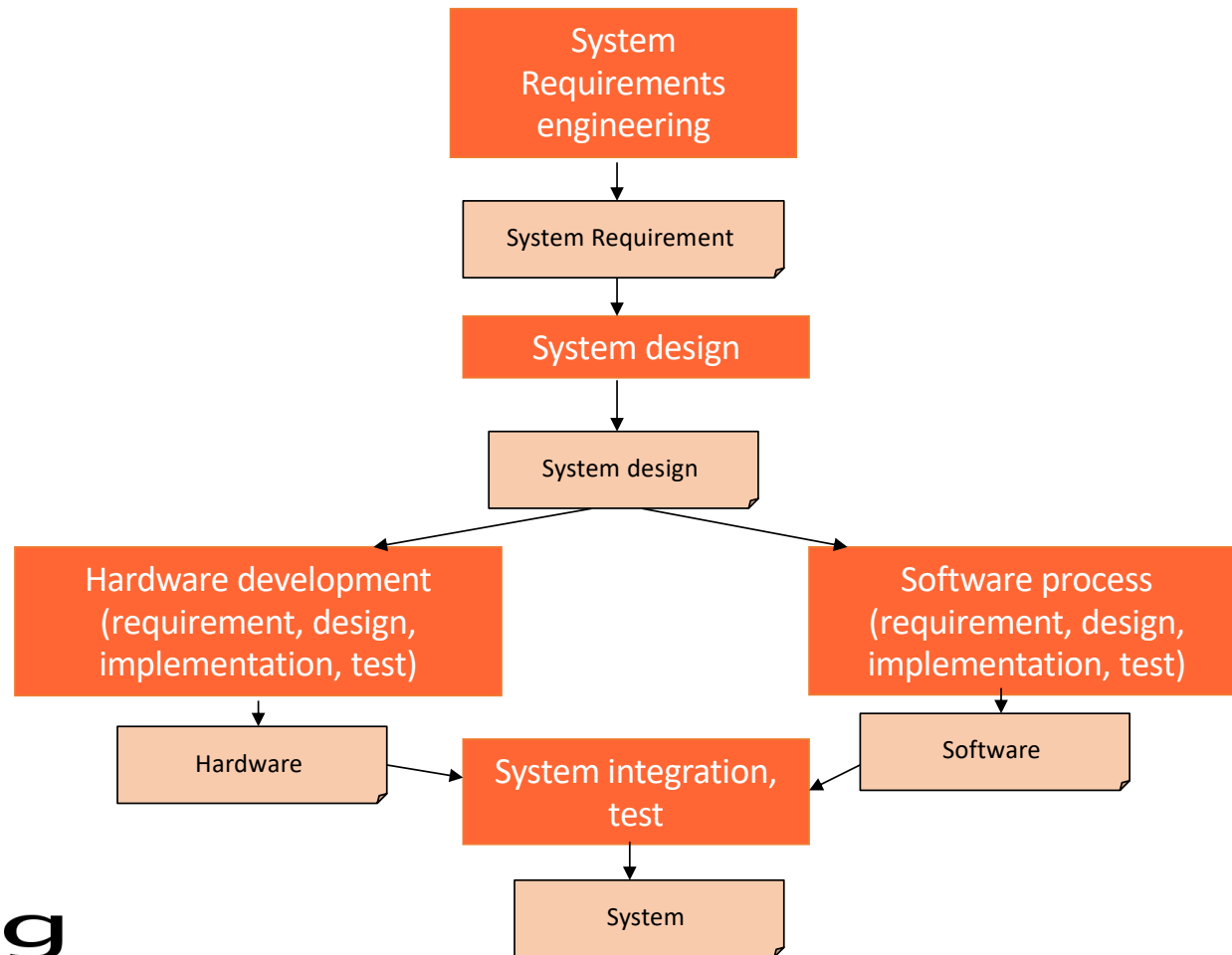
# System vs. Software

- Different types of software require different processes
  - Stand alone software → software process
  - Embedded software → system process

# The system process

- System requirements
- System design
- Software development
  - Requirements, design, implementation, test, integration
- System integration and test

# The system process



# Software Engineering approaches

# Software Engineering in one slide

- Activities
  - Production, VV, management
- Documents (and code)
  - To share and control information, decisions
- Techniques
  - To support activities
- Languages
  - To write documents (UML), code
- Models
  - To guide, support activities and the whole
  - CMM and CMM-I, ISO 9000-3, ISO 15504, ISO 12207, ISO 9126, IEEE, ..

# Approaches

- There are many ways of putting everything together
- But at least 3 approaches can be recognized

# Three basic approaches to SE

- Cow boy programming
  - Just code, all the rest is time lost and real programmers don't do it
- 1. Document based, semiformal, UML
  - Semiformal language for documents (UML), hand (human) based transformations and controls
- 2. Formal/model based
  - Formal languages for documents, automatic transformations and controls
- 3. Agile
  - Limited use of documents, emphasis on code and tests



# Approaches, diffusion

- Cowboy programming  
Not un-applied ..
- 1. Document based, semiformal, UML
  - Standard industrial practice, especially on large projects and mature companies/domains
- 2. Formal
  - Limited application in critical domains, small part of projects, does not scale up in large projects
- 3. Agile
  - Latest approach, increasing usage

# Approaches

- This course is focused on approach 1
- Specific lectures on approach 2 and 3
- Attend course Software Engineering 2 to apply approach 3 in practice

# Recent trends in Software Engineering

# Trends - development

- Software as a service
- Cloud
- Devops
- Outsourcing
- Agile

# Trends – business models

- ASP – pay per use
  - software is run on the provider's machines. Users use it through a network (Internet or Extranet). Users pay for using the software rather than purchasing it. E.g., mySAP.com.
- Freeware and pro versions
  - a light version of the software is distributed free of charge. The professional version is charged. E.g., RealPlayer.
- Shareware: software is distributed freely to facilitate trial use. Users pay for it if they decide to keep it and use it. E.g., WinZIP.
- Adware: the software is free. The interface show advertisement banners refreshed via Internet. E.g., Eudora

# Summary

- Main phases are development, operation, maintenance
- Development has production, control and management activities
- The software process is the reference framework for techniques and tools
- For embedded software the software process is part of the system process
- Different categories of processes organize these activities in different ways