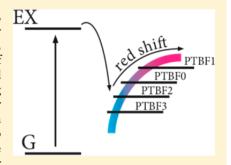


# Effects of Exciton Polarity in Charge-Transfer Polymer/PCBM Bulk **Heterojunction Films**

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Supporting Information

ABSTRACT: Charge-transfer copolymers with local electron density gradients, systematically modified by quantity and position of fluorination, result in widely variable (2-8%) power conversion efficiencies (PCEs). Ultrafast, near-infrared, transient absorption spectroscopy on the corresponding films reveals the influence of exciton polarity on ultrafast populations and decay dynamics for the charge-separated and charge-transfer states as well as their strong correlation to device PCEs. By using an excitation energy-dependent, dynamic red shift in the transient absorption signal for the polymer cation, the exciton polarity induced by push-pull interactions within each polymer fragment is shown to enhance charge dissociation on time scales of tens to hundreds of picoseconds after excitation. These results suggest the important role played by the local electronic structure not only for exciton dissociation but also for device performance.



SECTION: Energy Conversion and Storage; Energy and Charge Transport

rganic photovoltaic (OPV) devices have great potential for renewable, clean, cost-effective energy production. 1-3 However, one of the main challenges is their relatively low power conversion efficiency (PCE) with respect to their inorganic counterparts.<sup>4</sup> In the past several years, the PCEs of OPV devices have almost doubled from 5% to nearly 10% in single junction devices.<sup>5</sup> One of the key factors responsible for such significant improvements in PCEs is the incorporation of charge-transfer polymers (CTPs). In contrast with homopolymers such as poly(3-hexylthoiphene) (P3HT), which contain identical blocks along the polymer backbone, CTPs are composed of distinct building blocks with different electron affinities in each repeating unit of the polymer. As a result, they form  $(d-a)_n$  sequences, where n is the number of the repeating units of the polymer and d and a are adjacent blocks with some degree of donor-acceptor character (Figure 1c). Meanwhile, complete charge separation is observed in bulk heterojunction (BHJ) films where the polymer is the electron donor (D) and fullerene derivatives are the electron acceptor (A) (Figure 1c). Although the initial design ideas for these CTPs were focused on adding quinoidal character to the polymer, which induces a charge-transfer (CT) band to enhance the light harvesting in the red and near-IR regions of the solar spectrum, the CT character in CTPs has brought profound effects in the exciton (EX) dissociation, charge separation, and charge migration steps in the bulk heterojuction (BHJ) devices with an active layer composed of these **D**-**A** pairs (Figure 1b).<sup>7-9</sup> One family

of these CTPs, the poly(thienothiophene-benzodithiophene) (PTB) series, has established new PCE records previously set by P3HT. Understanding why these CTPs could improve device PCEs at the fundamental steps of OPV function is important for establishing design guidance to modulate their properties via chemical modification because both their molecular properties and corresponding device PCEs are highly sensitive to small differences in local molecular structure. 10-12

A clear correlation has previously been observed between the charge-carrier population and the driving force for exciton dissociation in BHJ OPV films containing homopolymers or CTPs as D and fullerene derivatives such as phenyl- $C_{61}$ -butyric acid methyl ester (PCBM) as  $A^{9,13}$  An anomalous deviation was found in certain CTPs by Durrant and coworkers, which has higher yields of charge-carrier population despite a much smaller apparent LUMO-LUMO energy offset, which is an estimate of the driving force for electron transfer from the exciton of the polymer to PCBM. 9,13 The driving force can also be approximated by comparing the ionization potential and electron affinity of the donor and acceptor, respectively. This unexpected result prompts reconsideration of the driving force

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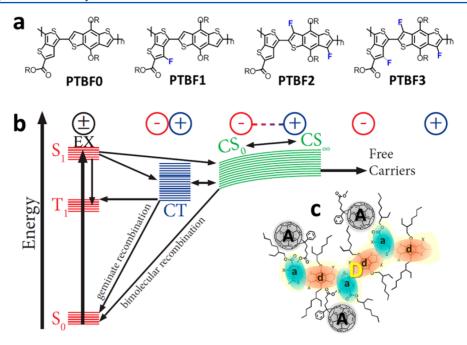


Figure 1. (a) PTBF polymers. (b) Possible intermediate states and their energy levels in BHJ films. (See the text.) (c) Illustration of -d-a- motifs and donor (D) polymer and fullerene acceptor (A).

effect in these CTPs and the dependence of the driving force relationship to the exciton and charge-carrier dynamics in CTP BHJ films. In our recent studies on the PTBF CTP series (Figure 1a), in which zero to three electron-withdrawing fluorine atoms are connected to different positions of the CTP backbone, we reported the effect of the local CT character of the -d-a- motif on the initial cation populations, even in solution without A.<sup>7,8,14</sup> Key findings from this solution study indicated the following: (1) significant polymer cation populations, corresponding to charge-separated (CS) populations, were generated via intramolecular exciton dissociation within 100 fs after excitation; (2) this initial cation (or positive polaron as referred by some) population is closely correlated to the local structure that determines the exciton polarity by electron-withdrawing fluorine atoms at different peripheral positions of the polymer backbone; (3) the local exciton polarity, or the displacement of the positive and negative charge densities in the exciton due to the CT character in the CTPs, defrays a part of the driving force required for further exciton dissociation; and (4) the polymers with higher initial CS populations (PTBF0 and PTBF1) have higher PCE in the corresponding devices, while the polymers with higher initial CT populations (PTBF2 and PTBF3) result in lower PCE in the devices.<sup>7,15,16</sup>

What needs to be studied further is how the local CT character in CTPs affects exciton dissociation at **D-A** boundaries in BHJ films used in OPV devices and the resulting CS populations. Because EX dissociation and CS state generation were observed in <100 fs after excitation in these CTPs, the promptly generated EX population may not have relaxed yet, and the exciton size is still unclear and debatable in these CTPs.<sup>17</sup> Hence, it is difficult to define quantitatively the exciton polarity based on polymer structures or the HOMO–LUMO electron density distribution. Instead we use a calculated figure of merit, the local dipole moment change between the ground and first excited states in each **d-a** motif of the **PTBF** polymers, to characterize the local exciton polarity

defined by  $\Delta \mu_{\rm eg} = \mu_{\rm e} - \mu_{\rm g}$  (where  $\mu_{\rm e}$  is the excited-state dipole and  $\mu_{\rm g}$  is the ground-state dipole of the polymer).  $^{6,7,15,16}$ Although this value could be diverse due to the different isomers produced via the rotation along certain C-C bonds along the polymer chain, 18 its averaged value should reflect the ensemble in a series of CTPs with identical backbones such as the PTBF polymers (Figure 1). This figure of merit was justified in our previous study on the PTBF polymers in solution, which showed that a higher  $\Delta\mu_{\rm eg}$  gives a higher initial population ratio of the polymer cation, representing the CS state, to the bound pairs representing the CT state. Here we report our studies of these systematically altered PTBF polymers in BHJ films, focusing on the role of the local exciton polarity on the exciton dissociation dynamics at the D-A interface between CTPs and PCBM using near-infrared (NIR) transient absorption (TA) spectroscopy.<sup>7</sup> In particular, we focus on the importance of local structure in -d-a- motifs of these PTBF CTPs on exciton dissociation dynamics over a time scale of approximately 100 fs to 100 ps in BHJ films as well as the corresponding device properties. The results on these time scales elucidate the characteristics of the following mechanisms in BHJ films: (1) the degree of electron transfer in the CTP BHJ films, (2) the dissociation of the cationic species from its anionic counterpart, (3) the dependence of the local electronic structure on the Coulombic interaction between charges in the D and A species, and (4) the excitation energy dependence on the dissociation time of the exciton. Such studies will provide guidance in designing high-efficiency BHJ materials for highperformance OPV devices.

The general exciton dynamics and photophysical pathways are illustrated in Figure 1b. The most important three transient species, EX (exciton), CT (charge transfer), and CS (cation), all have absorption in the NIR region. The NIR TA spectra for each of the PTBF polymers in BHJ films exhibit a broad absorption signal across the 900–1400 nm window at early delay times (i.e., <1 ps) (Figure 2a), reflecting the energy distribution of the transient species' highly disordered

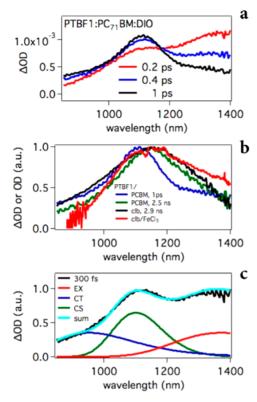
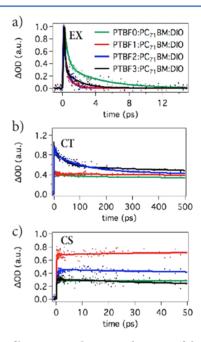


Figure 2. (a) Transient spectra of the PTBF1 BHJ film. (b) PTBF1 spectra of the polymer cation, obtained by oxidation with FeCl<sub>3</sub> (red), TA at 2.9 ns delay in chlorobenzene (clb) PTBF1 solution (black), and TA at 1 ps (blue) and 2.5 ns (green) delay in PTBF1/PC<sub>71</sub>BM/DIO BHJ film. (c) TA signal of PTBF1 at 300 fs delay is plotted as well as the fitted peaks for EX, CT, and CS and the sum of these three peaks. The fit peaks appear asymmetric in wavelength because they were modeled as Gaussian in energy space.

structures in the BHJ films. Careful examination of these BHJ film TA spectra (Figure 2a) at different delay times confirms the following key observations: (1) the TA feature at the 1300-1400 nm region, which is assigned to the EX state, decays faster than signals elsewhere in the TA spectral window; (2) the TA feature centered at 1150 nm rises as the TA signal at 1300-1400 nm decays; and (3) the signal peaked at ~1150 nm retains considerable intensity well beyond a few nanoseconds and decays more slowly than the TA features elsewhere in the TA spectral window. Because the TA feature in the 1300-1400 nm region appears at the earliest delay time and decays with the concurrent rise of the TA feature centered at ~1150 nm in the BHJ film, the 1150 nm region is assigned to the polymer cation absorption of the CS state, and this assignment is confirmed by the absorption spectra of oxidized polymers in solution titrated with FeCl<sub>3</sub> as an oxidant (Figure 2b). For the assignment of the 900-1100 nm feature, we have considered different possibilities, such as a triplet state, PC71BM anion, and the CT state. In the CT state, the hole and electron after exciton dissociation are only separated at a short distance and are strongly interacting due to Coulombic attraction. The triplet states can be ruled out because the ultrafast formation (within the IRF) of this feature is too short for intersystem crossing in conjugated polymers, 8,19,20 and the PC71BM anion can be ruled out because the decay kinetics differ from those of the CTP cation on geminate recombination time scales both in solution<sup>7</sup> and BHJ films. (See later.) Therefore, the most likely assignment for the 900-1100 nm

feature is the CT state, as in the corresponding solution samples.<sup>7</sup>

Using a global fitting method,<sup>7</sup> the TA spectra in the NIR region of BHJ films were fit to three Gaussian components  $B_x$ , where x corresponds to the three transient species: EX, CT, or CS. The number of simultaneous fitting parameters was minimized by fixing several of the parameters at independently deduced values. (See the Supporting Information.) The kinetics of these  $B_x$  components were obtained by fitting each component in the transient spectrum to yield kinetic traces



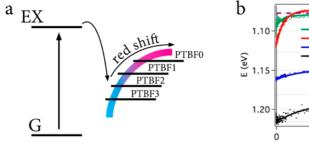
**Figure 3.** BHJ film transient absorption dynamics of the **PTBF** series are shown, normalized by the EX signal intensity.

(Figure 3). These traces subsequently were fit to n exponential decays and a Gaussian instrument response function (eq 1).

$$\Delta OD_{x}(\omega, t) = \sum_{n} A_{n}^{x}(\omega) \exp\left(-\frac{t}{\tau_{n}^{x}}\right) * \exp\left[-\frac{1}{2}\left(\frac{t - t_{0}}{\sigma}\right)^{2}\right]$$
(1)

In this equation, x denotes EX, CT, or CS; n is the index of the exponential components;  $\tau_n^x$  are time constants; t is the time delay between the pump and probe pulses;  $t_0$  is time zero;  $\sigma$  is the temporal width of a Gaussian instrument response function; and  $A_n^x(\omega)$  is the pre-exponential weight of the nth exponential component of transient species x at the probe energy proportional to  $\omega$ .

On the basis of the global fitting previously mentioned, the kinetics of the EX, CT, and CS populations in BHJ films are obtained (Figure 3, and Table S1 in the Supporting Information). The majority ( $A_1^{\rm EX}=70-87\%$ ) of the initial EX state population in the BHJ films decays with a time constant of 100–400 fs after photoexcitation, and the entire EX population decays within 10 ps for all films. A concurrent rise is observed in the CS signals of **PTBF2** and **PTBF3**. The ~100 fs, dominating EX decay kinetics appear only in the presence of **PCBM**, suggesting their association with intermolecular CT from the polymer to **PCBM** as a result of exciton dissociation. This result is consistent with other BHJ systems with sufficient



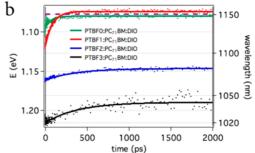


Figure 4. (a) Schematic of the dynamics revealed by the red shift dynamics revealed in part b. The color scheme indicates the wavelength of the CS feature as it detraps at the donor—acceptor interface. (b) CS (cation) peak position in energy (left axis) and wavelength (right axis) of PTBF polymers versus pump—probe delay time. The line shape of these curves represents a red shift of the transient CS signal, while the final CS peak energy indicates the average energy of the CS population after the fast dissociation process has occurred. The purple dotted line at 1.078 eV indicates the baseline obtained from the peak positions of each polymer's CS feature in transient solution studies.

intercalation. <sup>16–18,36–43</sup> Similar to the solution case, the CT feature in 900–1100 nm region in the BHJ films is consistently more pronounced for PTBF2 and PTBF3 at earlier times than in corresponding PTBF0 and PTBF1 TA spectra.

While the CS peak positions for all polymers in solution remain at  $\sim$ 1150 nm (1.078 eV) up to  $\sim$ 3 ns after excitation, these features initially appear significantly blue-shifted from 1150 nm in the corresponding BHJ films and subsequently undergo a continuous red shift to different steady-state positions. Therefore, the position of the CS peak was allowed to vary in the fitting procedure. The CS peak positions for PTBF0 and PTBF1 in BHJ films shifted to ~1150 nm to match those in solution within 100 ps, whereas those for PTBF2 and PTBF3 remained significantly blue-shifted of their corresponding 1150 nm solution peaks with four to five times slower peak shift time constants. The initially blue-shifted cation TA feature suggests a larger energy gap, which is reduced over time, between the cation and the upper states that are populated by probe photon absorption. Because the final peak positions are identical for all polymers in solution but differ in films of PTBF2 and PTBF3, (a) the early time stabilizations of the CS state energies for PTBF2 and PTBF3 are lower than those for PTBF0 and PTBF1 in the BHJ films and (b) considering the sustained blue shift at ~3 ns relative to their solution positions, the PTBF2 and PTBF3 cations remain partially stabilized in BHJ films, while the other polymers' cations do not. This difference among the PTBF polymers can be ascribed to counterion stabilization, where the oppositely charged, proximal hole and electron from a dissociated exciton stabilize each other relative to when they are fully separated. The electron from the dissociated exciton, localized on PC71BM as an anion, can take that role to stabilize the polymer cation when these species are proximal. This is possible because, in a 3-D space, the entropy of two initially colocalized charge carriers diffusing apart face an energy barrier on the order of  $k_BT$  at room temperature.<sup>27</sup> In addition, the PTBF polymers form a mixed phase with PC<sub>71</sub>BM, 6 resulting in only ~20% crystalline regions within the PTBF1 BHJ film, for instance.<sup>28</sup> The initial cation peak energies in these four PTBF polymers follow the same order as the energies of their HOMOs, PTBF0 > PTBF1 > PTBF2 > PTBF3, suggesting that the cations are stabilized most in PTBF3 and least in PTBF0. This trend, which only occurs when the polymer is in the presence of PCBM, can be understood as an effect of the electron density depletion in the backbone of the polymers. The fluorine atoms draw electron density toward the periphery

and lower the net electron density on the backbone, which is equivalent to increasing the positive charge from the perspective of a nearby PCBM anion and therefore enhances the electrostatic interaction between the D-A pair, localizing the CS state. PTBF3 has the lowest electron density on the backbone, so it has the lowest energy for the cation or CS state. The stronger Coulombic attraction between the anionic PCBM and cationic PTBF near the PTBF-PCBM interface adequately explains the initial blue shift of the CS (cation) peak in the PTBF film spectra compared with those in solution, where the intramolecular charge separation is screened by the surrounding solvent molecules.

When comparing the cation peak energies for all four PTBF polymers in solution and in BHJ films, we observed the dependence of the cation dynamics in the two media in terms of (1) the initial cation peak energy, (2) the peak position shift; (3) the local structure-dependent kinetics for the peak shift, and (4) the excitation energy dependence of the cation dynamics. As previously discussed, the cation peak positions for all four polymers in solution are unchanged from 100 fs to a few nanoseconds after excitation, but they shift to longer wavelengths continuously in the corresponding BHJ films on the time scale of <100 ps to several hundred picoseconds. About 80 ps after excitation, the BHJ cation peak positions made from PTBF0 and PTBF1 red shift to approximately the same energy (1.08 eV) as those in solution. In contrast, the corresponding cation peaks in the BHJ films made from PTBF2 and PTBF3 remain at higher energies ~3 ns after excitation (Figure 4). The magnitudes of the red-shifted energies  $E_s =$  $E_{\rm CS}(3~{\rm ns}) - E_{\rm CS}(0)$  for all samples are within a factor of 2 of  $k_{\rm B}T$  at room temperature (26 meV) (Figure 4a). Additionally,  $E_{\rm S}$  is dependent on excitation energy. The red shifts in Figure 4 result from 600 nm light excitation. When the PTBF1 BHJ films are excited by 695 nm light at the red edge of the groundstate absorption spectrum, the cation peak begins at a similar energy but exhibits a drastically slower red shift and does not reach 1150 nm within the 3 ns measurement window. 6 The red shift of the CS (cation) peak occurs when the geminate holeelectron pair further separates,<sup>29</sup> weakening Coulombic attraction. This dissociation process can be assisted by local thermal energy derived from excess excitation energy, explaining the excitation-wavelength-dependent red shifts within a factor of 2 of k<sub>B</sub>T.6 Within the time window of the TA experiments (~3 ns), the cation peak position in solution sets an approximate limit of the red shift, resulting from the wellscreened cationic species in the absence of anionic PCBM.

As shown in Figure 4, the extent and lifetime of the red shifts depend on the local structure of the repeating units of the polymers. The electron density push-pull character modulated by electron-withdrawing fluorine atoms can also influence hole-electron dissociation behavior at BHJ interfaces. The kinetics of the cation peak red shifts can be modeled by a single exponential function using the initial  $(E_{CS}(0))$  and final  $(E_{CS}(3))$ ns)) peak energies of the cation:  $E_{CS}(t) = [E_{CS}(0) - E_{CS}(3)]$ ns)] $e^{-t/\tau_S}$ , where  $\tau_S$  is the time constant for the red shift.  $\tau_S$  is smaller for PTBF0 and PTBF1 than for PTBF2 and PTBF3. The  $\tau_{\rm S}$  values for both PTBF0 and PTBF1 are ~77 ps, but those for both PTBF2 and PTBF3 are ~380 ps. Likewise,  $E_{CS}(3 \text{ ns})$  for PTBF0, PTBF1, PTBF2, and PTBF3 are 1.080, 1.074, 1.147, and 1.19 eV, respectively. The larger the energy difference between  $E_{CS}(0)$  and the solution intramolecular CS state, the stronger the Coulombic attraction (or "trap," not to be confused with the free carrier trap sites that are important at longer time scales<sup>9</sup>) for the geminate charge pair. Therefore, the CS electron-hole pairs in PTBF2 and PTBF3 are Coulombically trapped more deeply and dissociate more slowly, while they are not trapped as deeply and dissociate more quickly in PTBF0 and PTBF1. Thus,  $E_S$  describes the cation energy change, as the cation separates from the anion to escape from the Coulombically stabilized CTP-PCBM interface. The magnitude of  $E_S$  is near  $k_BT$ , suggesting that the population with Coulombic attraction equal to or less than the ambient energy can be dissociated via the thermal energy generated due the vibrational relaxation that converts the excess excitation energy to heat.

The Role of Intrinsic Charge Transfer Character in Exciton Dissociation Dynamics. Intramolecular charge-transfer (ICT) character has been observed in many oligomers  $^{30-48}$  and polymers,  $^{10-12,36,49-66}$  that are composed of multiple building blocks with different electron affinities in each repeating unit, giving rise to ICT character across the conjugated backbone. Such CT bands are red-shifted from the  $\pi \to \pi^*$  transition band of the corresponding homooligomers or homopolymers, as demonstrated in a series of thiophene oligomers with a single, high electron affinity thienothiophene block inserted in the middle,  $^{67}$  and by a series CTPs with different ratios of the donor and acceptor blocks.  $^{68}$ 

In the PTBF polymers, the electron affinity of TT is higher than that of BDT, so that the electron density is drawn from BDT to TT. Because an electron-withdrawing fluorine is attached to TT or a in the single -d-a- motif, the electron density further shifts to the TT direction, resulting in a higher local electron density gradient as well as a larger  $\Delta\mu_{\rm eg}$ . In contrast, when BDT or d in the -d-a- motif is fluorinated as in PTBF2, the electron density is drawn in the opposite direction, partially canceling the electron density polarization when no fluorine is attached. Compared with PTBF0, PTBF1 (with one fluorine attached to TT and the largest  $\Delta \mu_{e\sigma}$ ) drastically enhanced the CS state population but yielded no obvious effects on the EX, CT, and CS state decay dynamics. Compared with PTBF1, the fluorination of BDT in PTBF2 and PTBF3 prolongs the CT state lifetimes and accelerates the CS state decay. These results suggest that a more polarized exciton is more likely to produce a better separated hole and electron, which experiences less Coulombic attraction and, hence, is more likely to become free charge carriers. In contrast, a less polarized exciton could yield a more tightly bound holeelectron pair in the CT state and is more likely to recombine geminately. These observations are modeled with the  $\Delta \mu_{e\sigma}$  parameters (Table S3 in the Supporting Information)<sup>7</sup> of the single repeating units in these polymers, which reflect the local electron density displacement upon excitation, although they currently remain as empirical parameters. Comprehensive studies correlating  $\Delta\mu_{\rm eg}$  with the exciton state structure in long polymer chains are currently in progress. While this correlation is known now for a variety of CTPs, <sup>15</sup> understanding the underlying relationship is crucial to gain insight for optimization of next-generation OPV materials.

The potential energy surface for a hole and electron separated by distance r can be modeled by Onsager theory under thermal equilibrium, <sup>13,69</sup> where a parameter for the capture radius  $r_c$  is defined as the separation distance between a hole and an electron at which the electrostatic energy  $(e^2/$  $(4\pi\varepsilon_r\varepsilon_0r_c)$ ) based on the Coulomb's Law equals the thermal energy  $k_B T$  and is given by  $r_c = (e^2/(4\pi\varepsilon_r \varepsilon_0 k_B T))$ , where e is the elementary charge,  $\varepsilon_{\rm r}$  is the dielectric constant of the surrounding matrix,  $\varepsilon_0$  is the permittivity of free space,  $k_{\rm B}$  is Boltzmann's constant, and T is temperature. For r larger than  $r_{c}$ ,  $k_{\rm B}T$  surpasses the Coulombic attraction. Because the EX dissociation in the PTBF polymers results in a wide distribution of the hole-electron distances, the thermal energy gained from the ultrafast vibrational relaxation due to an excess of the excitation energy could only assist the CS state population with r slightly less than  $r_c$  to overcome the Columbic interactions. The polymers with a higher EX polarity can result in on average longer hole-electron distances than those with lower EX polarity as previously described, and hence the extra thermal energy could assist more hole-electron pairs to free carriers in the former than the latter.

In summary, exciton dissociation dynamics of the PTBF series in BHJ films were obtained via ultrafast NIR TA measurements, in which the dynamics and relative populations of CS and CT states are recorded to address the effect of local structure in PTBF polymers. The cation peak shifts were captured and shown as a good indicator following the holeelectron distance after the initial charge separation. We propose that the intramolecular CT character in these polymers can influence the initial energy of the hole-electron pair and the long-lasting detrapping process through the intrinsic polarity of the excitons. When the exciton has a higher polarity due to the push-pull actions between the neighboring building blocks of the CTPs, its dissociation results in CS hole-electron pairs with larger separation distances, enabling a higher probability to populate the CS state near or above the  $k_BT$  threshold as well as further evolve into free carriers. These results highlight the importance and correlation of local CT character in CTPs to their corresponding OPV device performance.

# METHODS

Sample Preparation. PTBF polymers were synthesized as previously described 11,12 and spin-coated from 10 mg/mL chlorobenzene solutions onto glass substrates at 1000 rpm for 1 min. For BHJ films, PC<sub>71</sub>BM was included in this PTBF solution in a 1:1.5 PTBF/PC<sub>71</sub>BM molar ratio. 3% diiodooctane (DIO) additive was included because it is known to break up PCBM aggregates in solution.<sup>70</sup>

Near-Infrared Optical Transient Absorption. NIR optical TA spectroscopy was conducted on BHJ PTBF/PC<sub>71</sub>BM/DIO polymer films. The laser setup has been described previously. The experiments were conducted at room temperature using a motorized stage that rastered along the film at a speed of 1 mm/s to eliminate photodegradation effects. In previous

studies comparing the TA difference for PTBF films studied in air and in encapsulated films with active layers that were never exposed to ambient air, the difference in exciton dynamics on the time scale of the relevant dynamics discussed here, 100 fs to hundreds of picoseconds, is completely negligible. Using this setup, 2-D NIR TA data sets were obtained along wavelength and time axes.

#### ASSOCIATED CONTENT

## S Supporting Information

Details of the fitting process and fit results. This material is available free of charge via the Internet at http://pubs.acs.org.

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#### Notes

The authors declare no competing financial interest.

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