

See discussions, stats, and author profiles for this publication at: <https://www.researchgate.net/publication/347538569>

# RVCoreP: An Optimized RISC-V Soft Processor of Five-Stage Pipelining

Article in *IEICE Transactions on Information and Systems* · December 2020

DOI: 10.1587/transinf.2020PAP0015

CITATIONS

11

READS

2,863

4 authors, including:



**Hiromu Miyazaki**

Tokyo Institute of Technology

6 PUBLICATIONS 11 CITATIONS

[SEE PROFILE](#)



**Ashraful Islam**

Tokyo Institute of Technology

6 PUBLICATIONS 17 CITATIONS

[SEE PROFILE](#)



**Kenji Kise**

Tokyo Institute of Technology

116 PUBLICATIONS 706 CITATIONS

[SEE PROFILE](#)

Some of the authors of this publication are also working on these related projects:



Cost-Effective Merge Architecture [View project](#)



Many-core processor emulation [View project](#)

# RVCoreP: An Optimized RISC-V Soft Processor of Five-Stage Pipelining

Hiromu MIYAZAKI<sup>†a)</sup>, Student Member, Takuto KANAMORI<sup>†b)</sup>, Md Ashraful ISLAM<sup>†c)</sup>, Nonmembers, and Kenji KISE<sup>†d)</sup>, Member

**SUMMARY** RISC-V is a RISC based open and loyalty free instruction set architecture which has been developed since 2010, and can be used for cost-effective soft processors on FPGAs. The basic 32-bit integer instruction set in RISC-V is defined as RV32I, which is sufficient to support the operating system environment and suits for embedded systems. In this paper, we propose an optimized RV32I soft processor named RVCoreP adopting five-stage pipelining. Three effective methods are applied to the processor to improve the operating frequency. These methods are instruction fetch unit optimization, ALU optimization, and data memory optimization. We implement RVCoreP in Verilog HDL and verify the behavior using Verilog simulation and an actual Xilinx Atrix-7 FPGA board. We evaluate IPC (instructions per cycle), operating frequency, hardware resource utilization, and processor performance. From the evaluation results, we show that RVCoreP achieves 30.0% performance improvement compared with VexRiscv, which is a high-performance and open source RV32I processor selected from some related works.

**key words:** soft processor, FPGA, RISC-V, RV32I, Verilog HDL, five-stage pipelining

## 1. Introduction

RISC-V [1] is becoming popular as an open and loyalty free instruction set architecture (ISA) which has been developed at the University of California, Berkeley since 2010. It can be used for cost-effective soft processors on FPGAs like MicroBlaze [2] and Nios II [3]. The purpose of our research is to design and implement a cost-effective RISC-V scalar processor adopting a typical pipeline configuration.

The RISC-V ISA is defined as some basic integer instruction sets and some extended instruction sets. We can select the necessary instruction sets by the application requirements [4]. The basic 32-bit integer instruction set is defined as RV32I. Other typical extended instruction sets are defined as *M* for integer multiplication and division instructions, *F* for single-precision floating-point ones, *D* for double-precision floating-point ones, and *A* for atomic ones. In addition to these, a 32-bit general-purpose instruction set is defined as RV32G as the set of RV32I, *M*, *A*, *F*, and *D*. This is an instruction set architecture for general-purpose computing systems of a broad range. RV64G is a 64-bit

version of a general-purpose instruction set.

Among these instruction sets, we focus on RV32I in this paper because it is sufficient to support the operating system environment and suits for embedded systems. RV32I can emulate other extensions of *M*, *F*, and *D*, and can be configured with fewer hardware resources than processors supporting RV32G. Although several soft processors that support RV32I have been released [5], they are not highly optimized for FPGAs.

In this paper, we propose an optimized RV32I soft processor named **RVCoreP** of five-stage pipelining which is highly optimized for FPGAs. The main contributions of this paper are as follows.

- We propose an optimized RV32I soft processor of five-stage pipelining highly optimized for FPGAs. To improve the operating frequency, three optimization methods are applied to the processor. They are instruction fetch unit optimization, ALU optimization, and data memory optimization.
- We implement the proposal in Verilog HDL and evaluate IPC (instructions per cycle), operating frequency, hardware resource utilization, and processor performance. From the evaluation results, we show that the proposed processor achieves much better performance than VexRiscv, which is a high-performance and open source RV32I processor.

## 2. Related Works

Rocket Core [6] is a RISC-V in-order scalar processor developed by the University of California, Berkeley. It is a pipelined processor supporting RV32G and RV64G. It supports processing of privilege levels, and has an MMU (memory management unit) with virtual memory and data cache, and a branch prediction unit. Because of this rich functionality and hard customization, it is not suitable for embedded systems.

Rocket Core has another drawback. It is written in Chisel [7], a domain-specific language based on Scala. Because Chisel is a new hardware description language since 2012, it may be difficult for hardware developers who have not mastered Chisel to change the design effortlessly. According to the work [5], Verilog HDL and SystemVerilog are the dominant languages used to implement the processors, and they may be the best choice for easy-to-use processor

Manuscript received January 7, 2020.

Manuscript revised May 22, 2020.

Manuscript publicized September 7, 2020.

<sup>†</sup>The authors are with the School of Computing, Tokyo Institute of Technology, Tokyo, 152–8552 Japan.

a) E-mail: miyazaki@arch.cs.titech.ac.jp

b) E-mail: kanamori@arch.cs.titech.ac.jp

c) E-mail: ashraful@arch.cs.titech.ac.jp

d) E-mail: kise@c.titech.ac.jp

DOI: 10.1587/transinf.2020PAP0015

implementations. Therefore, we implement our processors in Verilog HDL, a dominant hardware description language.

VexRiscv [8] is a RISC-V pipelined soft processor supporting RV32I. The integer multiplication and division, other extensions, and the MMU with instruction cache and data cache can be added as options. In addition, the branch prediction scheme, implementation choice of shift instruction, data forwarding path, and so on can be tuned for implementation. VexRiscv is written in an open source and new hardware description language called SpinalHDL [9], and the corresponding RTL description can be generated as a Verilog HDL file. Since the generated Verilog HDL code is not hierarchical, debugging and understanding this generated code is not easy.

VexRiscv has won the 1st place at the highest-performance implementation category of the RISC-V Soft-CPU Contest in 2018 hosted by the RISC-V Foundation [10]. Therefore, it is an optimized soft processor for high-performance, and the highest performance RV32I soft processor available as an open source as far as we know. We use VexRiscv as a reference for making the comparison with our proposed processors.

There are other RISC-V processors for education such as riscv-mini [11] and Sodor Processor [12] both are developed by the University of California, Berkeley, and Clarvi [13] developed by the University of Cambridge. These educational RISC-V processors are easy-to-use, but their performance is not high as VexRiscv because they are not highly optimized for high-performance.

### 3. Design of a Typical Five-Stage Pipelined Processor

We design a typical five-stage pipelined processor with branch prediction referring [14], and this design is used as a *baseline* for the proposal.

Figure 1 shows a block diagram of the baseline

consists of five-stage indicated by the instruction fetch stage (If stage), instruction decode stage (Id stage), instruction execution stage (Ex stage), memory access stage (Ma stage), and write back stage (Wb stage).

The green rectangles are registers that are updated at the positive clock edge. The yellow rectangles are modules including the memory which is composed of block RAM on Xilinx FPGA. The gray rectangle is a register file read asynchronously consisting of 32 registers. The red modules are an ALU or adders, and the other blue modules are combinational circuits.

The baseline has an instruction memory named *m\_imem* shown at the bottom left of the figure, and a data memory named *m\_dmem* shown at the right of the figure.

The branch prediction scheme is gshare [15] which contains a branch history register (BHR) named *r\_BHR*, a pattern history table (PHT) named *m\_PHT*, and a branch target buffer (BTB) named *m\_BTBT*. To mitigate the data hazard, it has two forwarding paths. The red path from Ma stage to Ex stage provides the register value for the next dependent instruction. Similarly, the blue path provides register value from the Wb stage to the Ex stage.

In the If stage, the instruction is fetched from the instruction memory using the program counter (PC) as an address. The register for PC named *r\_pc* is updated in every cycle with the next PC value named *w\_npc*.

There are four candidates for *w\_npc* in following descending priority order. The highest priority one is the correct PC value named *ExMa\_pc\_true* from the Ma stage. The second priority one is the current PC value from *r\_pc* in case of pipeline stalling. The third priority one is the branch target address named *w\_btb* which is output from the BTB. The lowest priority one is *r\_pc+4* for the instruction of the next address.

There are three control signals to select the proper one among four candidates. The first signal is named *w\_bmis*

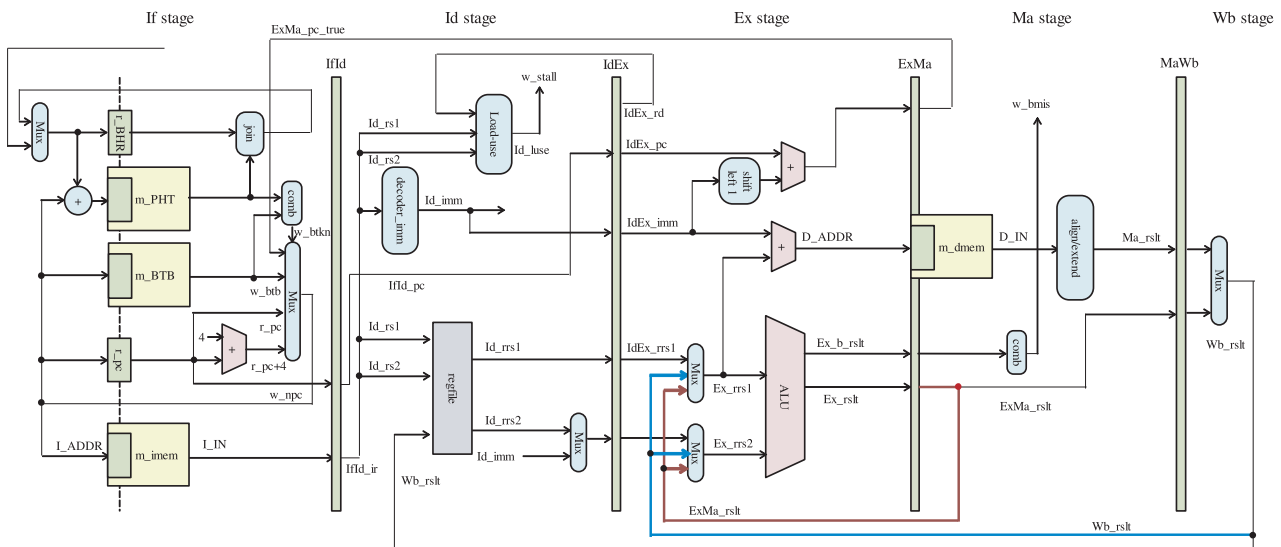


Fig. 1 A block diagram of typical five-stage pipelined processor (baseline).

which indicates whether a branch misprediction has occurred. The second one is named *w\_stall* for pipeline stalling due to the data dependency on the load instruction. The third one is named *w\_btkn* from branch predictor to provide a prediction result as predicted taken or not taken.

In the baseline, the path that determines the next PC value from the four candidates through a multiplexer using three control signals is the critical path that determines the maximum operating frequency. The next critical path is the data path to store the executed result in the Ex stage from ALU which uses two data forwarding values. Another slow path is aligning and sign-extending the values of reading data from the data memory on the Ma stage which will be stored into the MaWb pipeline register.

In our proposed processor, the operating frequency is improved by optimizing these critical paths.

#### 4. Design and Implementation of RVCoreP

In this section, we propose an optimized RV32I soft processor named *RVCoreP* (RISC-V core pipelined version). Firstly, we describe three optimization methods. Then, we describe the design and implementation of our proposal.

##### 4.1 ALU Optimization

The data path to store the executed result in ALU using two data forwarding values to the ExMa pipeline register is the critical path in the baseline design. To mitigate the delay of this critical path, we discuss the ALU optimization scheme.

According to the related work [16], the circuit speed is improved by using exclusive OR instead of multiplexer to select the operation result for the ALU optimization on FPGA. Therefore, in this design of *RVCoreP*, exclusive OR is used to select the 32-bit executed result of ALU.

As mentioned in the related work [17], one-hot encoding is used instead of the usual binary encoding for the control signal generation to select the ALU calculation result. As only one bit of the bit vector is 1 and the other bits are 0, and the control decisions are determined by the corresponding flip-flop bit in parallel. Therefore, the proposal adopts a one-hot encoding for ALU.

The code 1 is the simplified description of a typical ALU in the baseline where some operations of RV32I are excluded. The register named *r\_rslt* is the executed result of ALU. This value is selected by the 3-bit signal named *sel*, which is described in a case statement from line 7 to line 16. Since this description is mapped to hardware as a multiplexer that selects one from eight values, this circuit takes a certain time through several LUTs.

The code 2 is the simplified description of the optimized ALU equivalent to the previous description in the code 1. The executed result of ALU named *rslt* is selected from eight values including 0 using exclusive OR on line 12. Each selected value is determined in advance using small multiplexers by a one-hot encoded selection signal named *sel* of 8-bit. Since this scheme can select a value without

**Code 1** The simplified description of a typical ALU.

```

1 module ALU (in1, in2, sel, rslt);
2   input wire [31:0] in1, in2;
3   input wire [2:0] sel;
4   output wire [31:0] rslt;
5   reg [31:0] r_rslt;
6   always @(*) begin
7     case(sel)
8       0 : r_rslt = in1 + in2;      // add
9       1 : r_rslt = in1 - in2;      // sub
10      2 : r_rslt = in1 ^ in2;      // ex-or
11      3 : r_rslt = in1 | in2;      // or
12      4 : r_rslt = in1 & in2;      // and
13      5 : r_rslt = in1 << in2[4:0]; // shift left
14      6 : r_rslt = in1 >> in2[4:0]; // shift right
15      default : r_rslt = 0;
16    endcase
17  end
18  assign rslt = r_rslt;
19 endmodule

```

**Code 2** The simplified description of the optimized ALU.

```

1 module ALU_opt (in1, in2, sel, rslt);
2   input wire [31:0] in1, in2;
3   input wire [7:0] sel;
4   output wire [31:0] rslt;
5   wire [31:0] w0 = (sel[0]) ? in1 + in2 : 0;
6   wire [31:0] w1 = (sel[1]) ? in1 - in2 : 0;
7   wire [31:0] w2 = (sel[2]) ? in1 ^ in2 : 0;
8   wire [31:0] w3 = (sel[3]) ? in1 | in2 : 0;
9   wire [31:0] w4 = (sel[4]) ? in1 & in2 : 0;
10  wire [31:0] w5 = (sel[5]) ? in1 >> in2[4:0] : 0;
11  wire [31:0] w6 = (sel[6]) ? in1 << in2[4:0] : 0;
12  assign rslt = w0 ^ w1 ^ w2 ^ w3 ^ w4 ^ w5 ^ w6;
13 endmodule

```

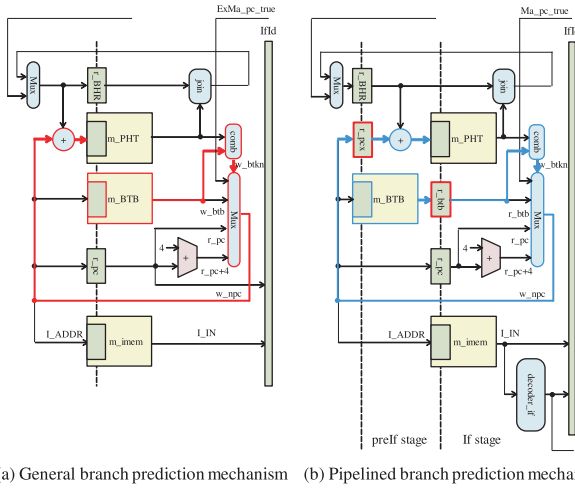
using a large multiplexer, this circuit is faster than the typical one.

The preliminary evaluation of the operating frequency of the ALU alone targeting Xilinx Artix-7 FPGA showed that the frequency of the typical ALU was 230MHz while the frequency of the optimized ALU was 240MHz. This optimization is expected to improve the operating frequency of ALU by about 10MHz.

##### 4.2 Alignment and Sign-Extension Optimization

After applying the ALU optimization and the instruction fetch unit optimization described later, the critical path contains the data memory access, the alignment, and the sign-extension. The combinational circuit of the alignment and the sign-extension is named *align/extend* on the Ma stage in Fig. 1.

RV32I has five load instructions which are load byte (LB) to load 8-bit signed data, load byte unsigned (LBU) to load 8-bit unsigned data, load halfword (LH) to load 16-bit signed data, load halfword unsigned (LHU) to load 16-bit unsigned data, and load word (LW) to load 32-bit data. Therefore, align/extend unit has to align the loaded data by shifting 8, 16, or 24 bits right depends on the memory address and operation code of the load instructions. Then,



**Fig. 2** A general configuration and the two-stage pipelining one for branch prediction mechanism including gshare, BTB and instruction memory.

sign-extension or zero extension is needed for load byte and load halfword instructions. Finally, the unit selects a proper value using a large multiplexer depends on the operation code of the instruction.

We optimized the alignment and sign-extension using the similar approach to the ALU optimization which is one-hot encoding and using exclusive OR for value selection.

### 4.3 Instruction Fetch Unit Optimization

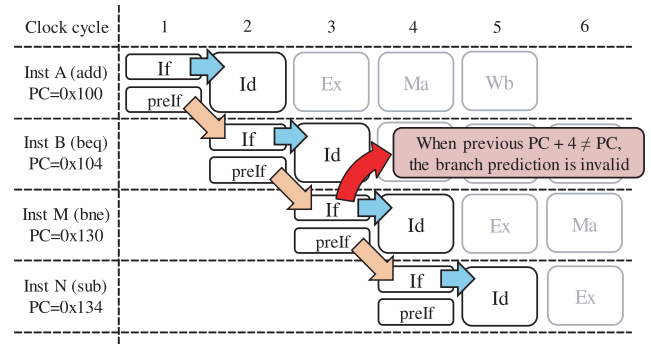
We propose the two-stage pipelining of the branch predictor to improve the operating frequency of the instruction fetch unit, which contains the critical path on the baseline processor.

The related works [18], [19] have shown that the pipelining of the branch predictor can improve the operating frequency of the soft processor when a complex branch predictor is used. The similar approach is applied to the proposed branch prediction including gshare and BTB.

Figure 2 (a) shows a block diagram of a general branch predictor and BTB in the baseline where the prediction is made in a single cycle. In gshare branch predictor, the index to access the PHT named *m\_PHT* is obtained by exclusive OR of PC and BHR named *r\_BHR*. If the fetched instruction is predicted as a conditional branch instruction using the BTB, it updates the BHR speculatively using the branch prediction in the If stage.

The combinational circuit named *join* shifts BHR left by 1-bit, and connects the branch prediction result to the least significant bit of the BHR. If the branch prediction missed, the BHR is updated with the correct branch history. The combinational circuit named *comb* that receives the value read from the BTB and the value read from the PHT generates the branch prediction named *w\_btkn*.

The critical path of a general branch prediction mechanism is the red data path in Fig. 2 (a) which includes the access of the BTB, three LUTs, a multiplexer, wiring delays,



**Fig. 3** The pipeline diagram of instruction fetching using pipelined branch prediction mechanism in RVCOREP.

and clock skew. In our preliminary evaluation, the access of the BTB composed of block RAM on a Xilinx Artix-7 FPGA takes about 2.54ns on the red path. Since the access of one LUT takes about 0.12ns, the access to the three LUTs necessary on the red path takes about 0.36ns. Also, the access to a multiplexer implemented using hard macro takes about 0.24ns, and other wiring delays and clock skew takes about 3ns. Therefore, the total delay of the path exceeds 6.1ns (165MHz) by adding the above delays.

To improve the operating frequency for the proposed processor, we split this critical path by two registers.

Figure 2 (b) shows the block diagram of the pipelined gshare and pipelined BTB for RVCOREP. The red critical path in Fig. 2 (a) is divided into three paths by two inserted registers named *r\_btb* and *r\_pcx*. The data acquired from the BTB is stored in the register *r\_btb*, and the register *r\_pcx* is inserted before exclusive OR to generate the index of PHT.

It takes two cycles to determine the value of the next PC in the instruction fetch stage. In the first cycle in the *preIf* stage, accessing the BTB and exclusive OR processing to determine the PHT index are performed. In the second cycle in the If stage, the value of the next PC is determined by using the results from the *preIf* stage and the instruction is fetched from the instruction memory.

Figure 3 shows the pipeline diagram of instruction fetching using the pipelined branch prediction in RVCOREP. The rectangles written as *preIf* represents the processing of the *preIf* stage, and the rectangles written as *If* represents the processing of the If stage. Assuming that four instructions are fetched in the order of *Inst A*, *Inst B*, *Inst M*, and *Inst N*. *Inst A* and *Inst N* are add and sub instructions, and these instruction addresses are 0x100 and 0x134, respectively. *Inst B* and *Inst M* are beq (branch if equal) and bne (branch if not equal) instruction, and these instruction addresses are 0x104 and 0x130, respectively. The next PC of *Inst B* is 0x130 when the branch is taken.

In the clock cycle 1 when the value of PC is 0x100, the If stage for *Inst A* and the *preIf* stage for the next instruction are executed. In the *preIf* stage, the value of BTB and PHT index used in the If stage for the next instruction 0x104 are prepared by using the current PC value of 0x100.

In the clock cycle 2 when the value of PC is 0x104, the



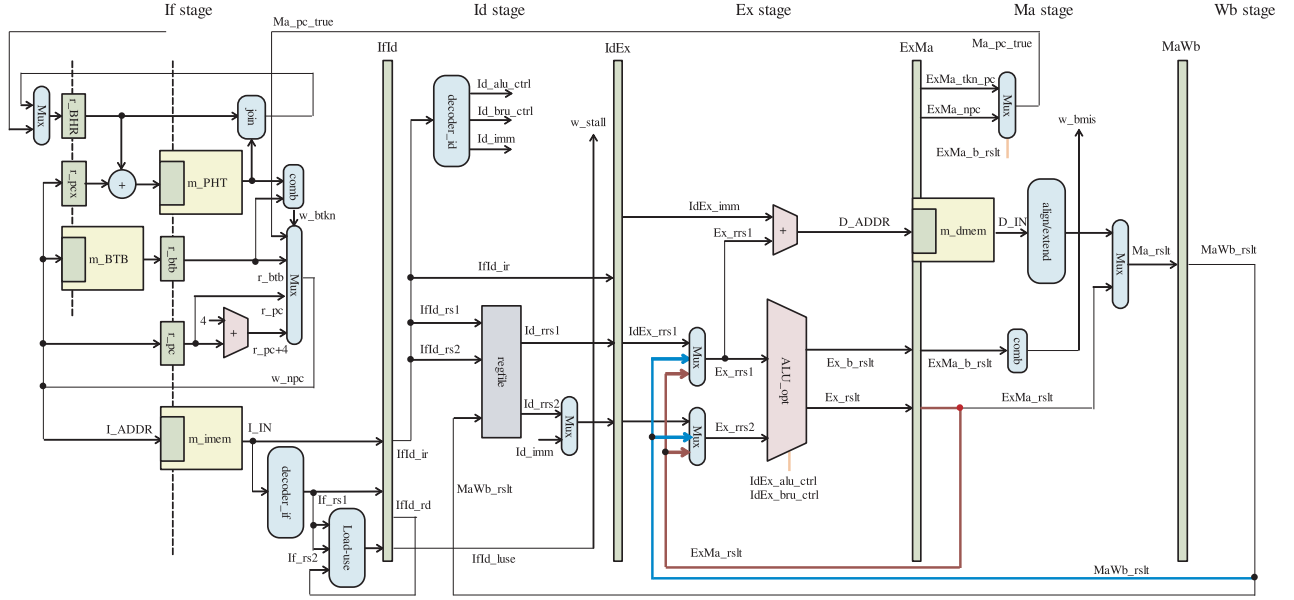


Fig. 4 The block diagram of the proposed processor named RVCoreP.

If stage for Inst B and the preIf stage for the next instruction are executed. In the If stage for Inst B, the next PC value is determined by using the value prepared in the preIf stage one cycle before. In the preIf stage, the value of BTB and PHT index used in the If stage for the next instruction 0x108 are prepared by using the current PC value of 0x104. Since Inst B is a conditional branch and assuming that it is predicted as taken, the next PC value is 0x130.

In the clock cycle 3 when the value of PC is 0x130, the If stage for Inst M and the preIf stage for the next instruction are executed. In the If stage for Inst M, the next PC value is determined as well but branch prediction is invalid, because the value prepared in the preIf stage one cycle before is for the instruction whose address is 0x108, and this value cannot be used in the If stage for Inst M whose address is 0x130. Therefore, if the value obtained by adding 4 to the previous PC does not match the current PC value, the branch prediction is invalid.

From the above, the PC value used for BTB access is the one cycle earlier value of PC, and the PC value used for PHT access is the value one cycle before the branch prediction is output. As a result, gshare outputs a prediction in 2 cycles. The BTB entry is updated using a value obtained by subtracting 4 from the PC value of the branch instruction. When updating a PHT entry, we have to keep the PHT index value used for the prediction and to update the PHT entry using this index when the actual branch outcome will be available.

The prediction accuracy might drop slightly due to the adverse effect of this optimization to make a prediction and update the index with the one cycle earlier value of PC.

#### 4.4 RVCoreP Soft Processor

Figure 4 shows the block diagram of RVCoreP which is

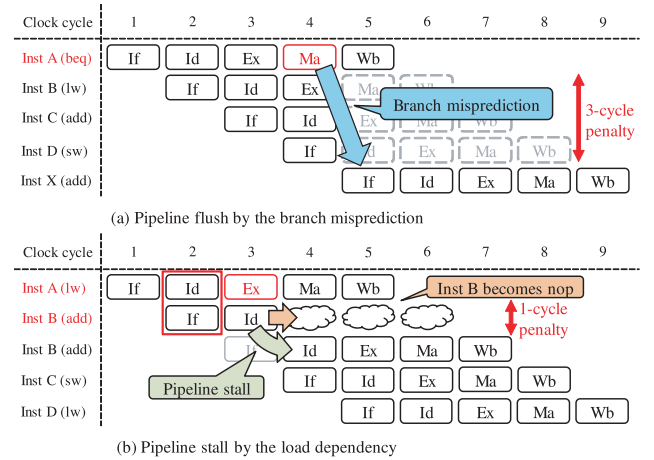


Fig. 5 The pipeline diagrams of the proposed processor.

a five-stage pipelined processor including an instruction memory, a data memory, pipelined gshare and pipelined BTB. The ALU optimization, the alignment and sign-extension optimization, and the instruction fetch unit optimization are applied to the proposal. The unit named *ALU<sub>opt</sub>* in Fig. 4 is the optimized ALU.

The detection timing of the load-use dependency between a load instruction and the following instruction using the loaded data is changed from the Id stage on the baseline in Fig. 1 to the If stage using the combinational circuit named *Load-use*. To support the detection, a part of instruction decoder named *decoder\_if* is implemented in the If stage. *decoder\_if* decodes two source registers and one destination register for one instruction, and generates the write signals for the register file and data memory. This partial decoding of instruction in If stage allows us to detect the data dependency including load-use dependency in advance.

Figure 5 shows the pipeline diagrams of the proposed

processor. Figure 5 (a) shows the case where the pipeline is flushed due to a branch prediction miss. In the branch prediction mechanism, the branch target address from the BTB is used when the BTB is hit and the branch is predicted to be taken. The correct branch destination address calculation and check whether the branch prediction is correct or not is executed in the Ex stage and stored in the ExMa pipeline register. If the branch instruction is at the Ma stage and the branch prediction missed, the instructions in the If stage, Id stage, and Ex stage are flushed, which incurs a 3-cycle penalty.

Figure 5 (b) shows the case where the pipeline stalls due to the load-use dependency. In that case, the dependency is avoided by stalling the instruction following the load instruction. Using the decoder\_if to partially decode the instruction in the If stage helps to detect the dependency by load instruction in Id stage and an instruction in the If stage, and the detection result is stored in the IdEx pipeline register. If the load instruction is in the Ex stage and there is a data dependency on load instruction, this processor inserts a bubble in IdEx pipeline register, and stall instructions in the If stage and Id stage, which incurs a one-cycle penalty.

## 5. Verification and Evaluation

### 5.1 Verification

We verified the implemented RTL code by Verilog simulation. A RISC-V processor simulator modeling a conservative multi-cycle processor named *SimRV* that we implemented in C++ is used as the reference model.

*SimRV* outputs the PC value, the executed instruction, and the 32 values stored in the register file, when a RISC-V program binary is given. By executing the same binary using *SimRV* and Verilog simulation for our designed processors, log files of the same format can be output. We executed the two benchmark binaries used in the evaluation described later, and compared each log file. We have confirmed that their values in two log files match and the programs are executing correctly.

In addition to the verification through simulations, we verified the behavior of the designed processor using an FPGA board. The same RISC-V program binary used for Verilog simulation is executed on the actual Xilinx Atrix-7 FPGA board, and we have confirmed that the ASCII character output of the execution results via a serial communication had matched to the correct result, and confirmed that the numbers of execution cycles and executed instructions are also matched.

### 5.2 Evaluation Environment

We implement four versions of the proposed processor in Verilog HDL and evaluate them in terms of IPC, operating frequency, hardware resource utilization, and processor performance. We also make two configurations for VexRiscv processor that supports RV32I, and use these

configurations for comparative evaluation with the proposals. The code used for RVCOREP is Ver.0.4.5. The code version of VexRiscv processor used for the evaluation is *SpinalHDL/VexRiscv@ca228a3* committed on 26 September 2019 in GitHub page [8].

The four versions of RVCOREP are named as follows. The version that applies all the optimizations described above is called **RVP-optALL**, and the simple version that does not apply any optimizations is defined as **RVP-simple**. The version that applies only the ALU optimization and the alignment and sign-extension optimization is specified as **RVP-optALU**, and the version that applies only the instruction fetch unit optimization is defined as **RVP-optIF**.

For the VexRiscv, **VR-nobp** denotes the configuration without the branch prediction, and **VR-bp** denotes the configuration with the branch prediction. We set the parameters of VexRiscv as follows to make the configuration as close as possible to RVCOREP. They are reading the register file asynchronously, using shift instruction implemented with a full barrel shifter that performs in one cycle, and utilizing the data forwarding path.

VR-bp implements a bimodal branch predictor and a BTB. The prediction scheme of the proposal is a gshare branch predictor, which achieves higher prediction accuracy than the bimodal predictor of VR-bp.

Our comparison between VR-bp and the proposal is fair because two prediction schemes are implemented by using the same size of block RAM.

For the proposal, the number of BTB entries is 512, and the number of PHT entries for gshare is 8,192. They are implemented as 4KB block RAM in total. The prediction scheme of VR-bp is configured using the option called *Prediction DYNAMIC\_TARGET* in *BranchPlugin*. For VR-bp, BTB and PHT for bimodal are implemented as a single table. The number of its entries is set to 512 using the parameter called *historyRamSizeLog2*. It is also implemented as 4KB block RAM.

To execute the RISC-V program with RVCOREP, we create a system including the proposed processor. This system includes the proposed processor RVCOREP as shown in Fig. 4, an instruction memory, a data memory, and the modules for RS-232C serial communication with a communication buffer. This system reads the RISC-V program binary and operates for Verilog simulation. Also, the same program for Verilog simulation runs on Nexys 4 DDR board with Xilinx Artix-7 FPGA [20] that receives the same binary through the serial communication module. This system can output the same characters as the simulation by serial communication. The number of lines of code for this system is 1,487, of which the processor RVCOREP has 832 lines of codes. This system is used to evaluate IPC, operating frequency, and hardware resource utilization. By replacing the VexRiscv processor with the processor part of this system, VexRiscv is evaluated in the same environment.

IPC is evaluated by Verilog simulation using Dhrystone [21] and Coremark [22] as benchmarks. We used the Dhrystone source code published in riscv-tests [23] and

NUMBER\_OF\_RUNS was set to 2000. The number of executed instructions for Dhrystone is 909,443. We used the Coremark source code [24] released for RISC-V and ITERATIONS was set to 2. The number of executed instructions for Coremark is 1,481,298. The source codes of each benchmark are compiled by using the RISC-V RV32I cross compiler. The RISC-V gcc cross compiler version 8.3.0 has been used, and the used optimization flag was -O2. For benchmark program simulation to evaluate IPC, the size of both instruction memory and data memory was set as 32KB for all processor configurations because a processor with 16KB data memory can not execute Coremark.

The operating frequency and hardware resource utilization are evaluated targeting Nexys 4 DDR board [20] having xc7a100tcs324-1 FPGA which is a family of Xilinx Artix-7 FPGA. Xilinx Vivado 2017.2 is used to evaluate the operating frequency and hardware resource utilization. Flow\_PerfOptimized\_high strategy is used for logic synthesis, and Performance\_ExplorePostRoutePhysOpt strategy is used for placement and routing. We performed the logic synthesis and placement and routing by incrementally changing the clock cycle constraint in 5MHz. The highest frequency that satisfies the constraints is used as the operating frequency of the processor. For hardware resource evaluation, we used the result of placement and routing at the maximum operating frequency. To measure the highest operating frequency, the size of instruction memory and data memory is set as 4KB for all processor configurations because this memory size is the smallest by using one block RAM. To stabilize the operating frequency of the evaluated system, the placement and routing are performed using only one clock region of the FPGA.

The processor performance is calculated by multiplying the average IPC by the operating frequency.

### 5.3 Evaluation Results

Table 1 shows the evaluation results of IPC and branch accuracy obtained by Verilog simulation. This shows IPC and the number of prediction hit and miss, and prediction hit rate for each of the two benchmarks, and the average IPC of these two benchmarks.

Regarding IPC and the branch prediction hit rate of each benchmark, the four versions of RVCoreP outperform the two versions of VexRiscv. Because the predictors of the same memory size are used for VR-bp and the proposal, RVCoreP having a better prediction scheme of gshare achieves better hit rate than VR-bp. RVP-simple achieves higher IPC than VR-bp, because RVP-simple has a small number of stalled clock cycles due to load and shift instructions, and it also has a small number of branch mispredictions compared to VR-bp.

VexRiscv stalls two cycles when a load-use dependency occurs while RVCoreP is better stalling just one cycle for the dependency. VexRiscv stalls one cycle when an executed shift instruction has a data dependency with the following instruction, while RVCoreP is better having no stall for shift instructions.

Note that the prediction accuracy of the branch predictor drops due to the pipelined branch prediction. Therefore, the IPC of RVP-simple and RVP-optALU is higher than the IPC of RVP-optIF and RVP-optALL.

Figure 6 shows the IPC for each configuration obtained by Verilog simulation. The orange bars are used for Dhrystone, the yellow bars for Coremark, and the green bars for the average. As a whole, Dhrystone has a simpler program structure than Coremark and a higher branch prediction hit rate. Therefore, Dhrystone tends to have a higher value of IPC than Coremark. From this figure, we confirm that the four versions of RVCoreP outperform the two versions of VexRiscv.

**Table 1** The evaluation results of IPC and branch prediction accuracy obtained by Verilog simulation.

Label	Dhrystone				Coremark				Average IPC
	IPC	prediction hit	prediction miss	hit rate	IPC	prediction hit	prediction miss	hit rate	
VR-nobp	0.661	N/A	N/A	N/A	0.591	N/A	N/A	N/A	0.626
VR-bp	0.836	146,180	29,452	0.832	0.766	348,010	109,701	0.760	0.801
RVP-simple	0.946	205,127	12,507	0.943	0.828	366,726	91,247	0.801	0.887
RVP-optALU	0.946	205,127	12,507	0.943	0.828	366,726	91,247	0.801	0.887
RVP-optIF	0.935	201,153	16,481	0.924	0.823	363,439	94,534	0.794	0.879
RVP-optALL	0.935	201,153	16,481	0.924	0.823	363,439	94,534	0.794	0.879

**Table 2** The evaluation results of frequency, hardware resource utilization, and performance where 4KB memories are used.

Label	Operating frequency	Slice LUT	Slice register	Slice	Increase rate of slice	Average IPC	Processor performance	Normalized performance
VR-nobp	205	936	562	284	1.000	0.626	128.4	1.000
VR-bp	140	944	611	300	1.056	0.801	112.1	0.873
RVP-simple	160	1,020	715	349	1.229	0.887	141.9	1.105
RVP-optALU	170	1,070	730	375	1.320	0.887	150.8	1.174
RVP-optIF	180	1,044	749	390	1.373	0.879	158.2	1.232
RVP-optALL	190	1,073	764	397	1.398	0.879	167.0	1.300



Table 2 summarises the evaluation results of operating frequency, hardware resource utilization, and processor performance for Artix-7 FPGA where 4KB memories are used. From the hardware resource utilization in this table, VexRiscv is more resource-saving than RVCOREP as a whole. It is because the proposed processor has a complicated architecture to achieve higher performance than VexRiscv, and any specific optimizations to reduce hardware resources are not applied.

The following three architectural modifications from VexRiscv seems to increase the hardware resources of our processor: a change to gshare from bimodal, a change to the one-hot encoding from the binary encoding, and a change of locations of some modules among pipeline stages to improve the operating frequency.

The increase rate of slice shown in the 6th column is normalized with VR-nobp as 1. The slice usage of RVP-optALL is 397, which is a 39.8% increase compared to VR-nobp. 48 LUTs are used as memory (LUTRAM), which are inferred for the register file of the processor. Except for VR-nobp which does not have a branch prediction, only one block RAM for the tables in branch prediction is used. In all configurations, the instruction memory and data memory of 4KB consist of two block RAMs.

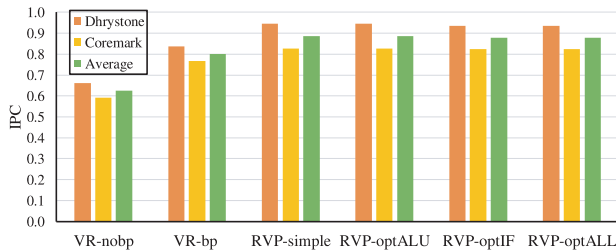


Fig. 6 The IPC for each configuration obtained by Verilog simulation.

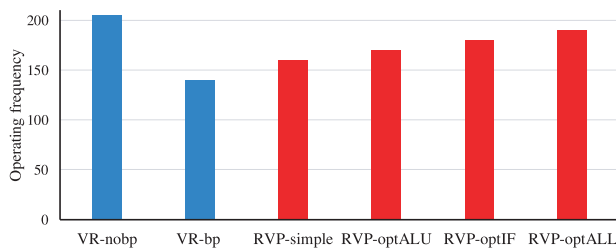


Fig. 7 The maximum operating frequency for each configuration on Artix-7 FPGA.

Figure 7 shows the maximum operating frequency for each configuration on Artix-7 FPGA. The configuration of VR-nobp has the highest operating frequency of 205MHz. It can be seen that the operating frequency of the four configurations of RVCOREP is improved by applying each optimization. The best frequency of RVCOREP is 190MHz on RVP-optALL. Note that among configurations with branch predictions, RVP-optALL achieves much better operating frequency than VP-bp running at 140MHz.

Figure 8 shows the processor performance by IPC assuming that the operating frequency is the same where VR-nobp is normalized as 1. RVP-simple and RVP-optALU have the highest performance. RVP-optALL achieves 40.3% performance improvement compared to VR-nobp because VR-nobp does not have a branch prediction and has low IPC. RVP-optALL achieves 9.74% performance improvement compared to VR-bp. The other configurations of RVCOREP achieve almost the same IPC performance.

Figure 9 shows the processor performance on Artix-7 FPGA where VR-nobp is normalized as 1. This processor performance considers the operating frequency, and each value in the graph is the performance improvement rate from VR-nobp. RVP-optALL achieves 30.0% performance

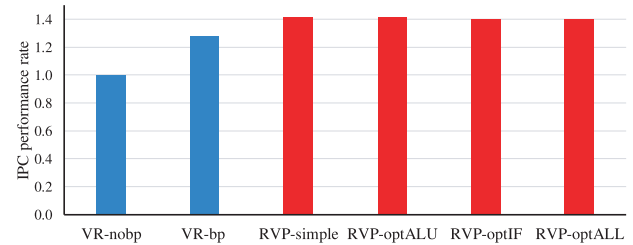


Fig. 8 The processor performance by IPC assuming that the operating frequency is the same where VR-nobp is normalized as 1.

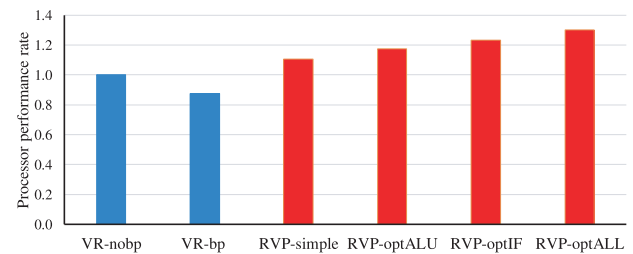


Fig. 9 The processor performance on Artix-7 FPGA where VR-nobp is normalized as 1.

Table 3 The evaluation results of frequency, hardware resource utilization, and performance where 32KB memories are used.

Label	Operating frequency	Slice LUT	Slice register	Slice	Increase rate of slice	Average IPC	Processor performance	Normalized performance
VR-nobp	195	938	537	337	1.000	0.626	122.2	1.000
VR-bp	135	948	611	322	0.955	0.801	108.1	0.885
RVP-simple	145	1,028	711	434	1.288	0.887	128.6	1.053
RVP-optALU	160	1,095	726	437	1.297	0.887	141.9	1.162
RVP-optIF	165	1,045	745	368	1.092	0.879	145.0	1.187
RVP-optALL	175	1,075	760	469	1.392	0.879	153.8	1.259

improvement compared to VR-nobp, which is the highest performance configuration of VexRiscv, because the proposed processor has a higher IPC compared to VR-nobp minimizing the decrease in operating frequency of the proposal by using three optimizations. The other configurations of RVCoreP achieve performance improvement of 10% or more compared to VR-nobp.

The performance improvement from RVP-simple to RVP-optALL is 1.176. Therefore, we achieve 17.6% performance improvement by using three proposed optimizations.

We also evaluate the operating frequency and hardware resources when the size of each memory is 32KB. The result is shown in Table 3 where RVP-optALL with 32KB memories achieves 25.9% performance improvement compared to VR-nobp in case of running medium sized benchmark programs like Coremark.

## 6. Discussion

The instruction fetch unit optimization is a novel method in terms of proposing the fetch unit including the pipelined gshare branch predictor which uses the previous PC.

The related work [25] proposes a similar fetch unit in BOOM processor, but this work differs from our research in terms of using the current PC. This method increases the overhead of processing branch instructions, because it requires several cycles to get the result of branch prediction. The method proposed in the related work [26] also differs from our method in terms of not using the previous PC.

Another related work [19] proposes a similar method, but this method differs from our optimization in terms of both targeting a TAGE branch predictor and not showing the configuration as an instruction fetch unit. TAGE generally has higher prediction accuracy than gshare, but it has the drawback that the operating frequency decreases due to its complicated configuration.

The performance improvement of RVP-optALL compared to VR-nobp is reasonable referring to Pollack's law, which describes the relationship between hardware resources and processor performance. The empirical improvement according to the law is 18%, which is proportional to the square root of the 40% increase in slice usage compared to VR-nobp. The obtained performance improvement of 30% is higher than this empirical improvement.

Our proposed processor differs from some RISC-V soft processors [27], [28] because they adopt out-of-order execution for high performance while the target of our proposal is a cost-effective scalar processor with a typical pipeline configuration. The performance comparisons with these soft processors are our future works.

## 7. Conclusion

We propose a RISC-V soft processor adopting five-stage pipelining highly optimized for FPGAs. In the proposed processor, the instruction fetch unit optimization, the ALU optimization, and the alignment and sign-extension

optimization are applied as effective methods to improve the operating frequency. We implement this proposed processor in Verilog HDL and evaluate IPC, operating frequency, hardware resource utilization, and processor performance compared with the VexRiscv processor.

From the evaluation results, the proposed processor RVP-optALL that applied all optimizations achieves 30.0% performance improvement as processor performance considering operating frequency compared with VR-nobp, which is the highest performance configuration of VexRiscv. In addition, the proposed optimization method achieves 17.6% performance improvement in RVCoreP.

## Acknowledgments

This work is supported by JSPS KAKENHI Grant Number JP16H02794.

## References

- [1] RISC-V Foundation, "RISC-V | Instruction Set Architecture (ISA)." <https://riscv.org/>.
- [2] Xilinx, MicroBlaze Processor Reference Guide, v2018.2 ed., June 2018.
- [3] Intel, Nios II Processor Reference Guide, April 2018.
- [4] A. Waterman, Y. Lee, D.A. Patterson, and K. Asanović, "The RISC-V Instruction Set Manual, Volume I: User-Level ISA, Version 2.1," Tech. Rep. UCB/EECS-2016-118, EECS Department, University of California, Berkeley, May 2016.
- [5] R. Höller, D. Haselberger, D. Ballek, P. Rössler, M. Krapfenbauer, and M. Linauer, "Open-Source RISC-V Processor IP Cores for FPGAs — Overview and Evaluation," 2019 8th Mediterranean Conference on Embedded Computing (MECO), pp.1–6, June 2019.
- [6] K. Asanović, R. Avizienis, J. Bachrach, et al., "The Rocket Chip Generator," Tech. Rep. UCB/EECS-2016-17, EECS Department, University of California, Berkeley, April 2016.
- [7] J. Bachrach, H. Vo, B. Richards, Y. Lee, A. Waterman, R. Avizienis, J. Wawrzyniec, and K. Asanović, "Chisel: Constructing hardware in a Scala embedded language," DAC Design Automation Conference 2012, pp.1212–1221, June 2012.
- [8] SpinalHDL, "VexRiscv: A FPGA friendly 32 bit RISC-V CPU implementation." <https://github.com/SpinalHDL/VexRiscv>.
- [9] SpinalHDL, "SpinalHDL: An open source high-level hardware description language." <https://github.com/SpinalHDL/SpinalHDL>.
- [10] RISC-V Foundation, "RISC-V SoftCPU Contest, October 8, 2018." <https://riscv.org/2018/10/risc-v-contest/>.
- [11] University of California, Berkeley, "riscv-mini: Simple RISC-V 3-stage Pipeline in Chisel." <https://github.com/ucb-bar/riscv-mini>.
- [12] University of California, Berkeley, "The Sodor Processor: educational microarchitectures for risc-v isa." <https://github.com/ucb-bar/riscv-sodor>.
- [13] University of Cambridge, "Clarvi: simple RISC-V processor for teaching." <https://github.com/ucam-comparch/clarvi>.
- [14] D.A. Patterson and J.L. Hennessy, Computer Organization and Design The Hardware / Software Interface, RISC-V Edition, Morgan Kaufmann, 2018.
- [15] S. McFarling, "Combining branch predictors," Tech. Rep., Technical Report TN-36, Digital Western Research Laboratory, 1993.
- [16] P. Metzgen, "A High Performance 32-bit ALU for Programmable Logic," Proc. 2004 ACM/SIGDA 12th International Symposium on Field Programmable Gate Arrays, FPGA '04, New York, NY, USA, pp.61–70, ACM, 2004.
- [17] Xilinx, HDL Synthesis for FPGAs Design Guide, 1995.
- [18] D.A. Jimenez, "Reconsidering complex branch predictors," Proc.

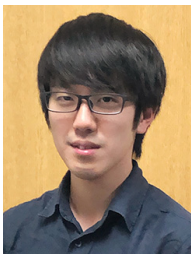
- The Ninth International Symposium on High-Performance Computer Architecture, 2003. HPCA-9 2003, pp.43–52, Feb. 2003.
- [19] K. Matsui, M.A. Islam, and K. Kise, “An Efficient Implementation of a TAGE Branch Predictor for Soft Processors on FPGA,” 2019 IEEE 13th International Symposium on Embedded Multicore/Many-core Systems-on-Chip (MCSoc), pp.108–115, Oct. 2019.
  - [20] Digilent, Inc., Nexys 4 DDR Reference Manual, rev.c ed., 2016.
  - [21] R.P. Weicker, “Dhrystone: A Synthetic Systems Programming Benchmark,” Commun. ACM, vol.27, no.10, pp.1013–1030, Oct. 1984.
  - [22] EEMBC, “CoreMark | CPU Benchmark – MCU Benchmark.” <https://www.eembc.org/coremark/>.
  - [23] RISC-V Foundation, “riscv-tests.” <https://github.com/riscv/riscv-tests>.
  - [24] UC Berkeley Architecture Research, “Setup scripts and files needed to compile CoreMark on RISC-V.” <https://github.com/riscv-boom/riscv-coremark>.
  - [25] C. Celio, “A Highly Productive Implementation of an Out-of-Order Processor Generator,” Ph.D. thesis, EECS Department, University of California, Berkeley, Dec. 2018.
  - [26] S. Manne, A. Klauser, and D. Grunwald, “Pipeline gating: speculation control for energy reduction,” Proc. 25th Annual International Symposium on Computer Architecture (Cat. No.98CB36235), pp.132–141, 1998.
  - [27] E. Matthews, Z. Aguila, and L. Shannon, “Evaluating the Performance Efficiency of a Soft-Processor, Variable-Length, Parallel-Execution-Unit Architecture for FPGAs Using the RISC-V ISA,” 2018 IEEE 26th Annual International Symposium on Field-Programmable Custom Computing Machines (FCCM), pp.1–8, 2018.
  - [28] S. Mashimo, A. Fujita, R. Matsuo, S. Akaki, A. Fukuda, T. Koizumi, J. Kadamoto, H. Irie, M. Goshima, K. Inoue, and R. Shioya, “An Open Source FPGA-Optimized Out-of-Order RISC-V Soft Processor,” 2019 International Conference on Field-Programmable Technology (ICFPT), pp.63–71, 2019.



**Md Ashraful Islam** have graduated from the University of Rajshahi, Bangladesh. He is a 1st-year doctoral student at the Tokyo Institute of Technology. He has 8-years of experience in the Semiconductor Industry in ASIC, SoC design and Verification. His research interest is in Computer Architecture, especially on Processor design and memory sub-system design.



**Kenji Kise** received the B.E. degree from Nagoya University in 1995, the M.E. degree and the Ph.D. degree in information engineering from the University of Tokyo in 1997 and 2000, respectively. He is currently an associate professor of the School of Computing, Tokyo Institute of Technology. His research interests include computer architecture and parallel processing. He is a member of ACM, IEEE, IEICE, and IPSJ.



**Hiromu Miyazaki** received the B.E degrees in Department of Computer Science from Tokyo Institute of Technology, Japan in 2019. He is currently a master course student of the Graduate School of Computing, Tokyo Institute of Technology, Japan. His research interest is computer architecture and FPGA computing. He is a student member of IEICE.



**Takuto Kanamori** is currently a bachelor course student of the School of Computing, Tokyo Institute of Technology, Japan. His research interest is computer architecture and FPGA computing.