

## 1. CONVENTIONS

| Item                                      | Convention  | Examples   |
|---|---|--|
| Top level structures                      | Lower case bold Greek   | $\sigma$ , the world state<br>$\mu$ , the machine state.   |
| Functions on highly structured values     | Upper case Greek  | $\Upsilon$ , the Ethereum state transition function.   |
| Most functions                            | Upper case letters, possibly subscripted  | $C$ , the general cost function<br>$C_{\text{STORE}}$ , the cost function for the $\text{STORE}$ operation.    |
| Specialised functions                     | Typewriter  | $\text{KEC}$ , the Keccak-256 hash<br>$\text{KEC512}$ , the Keccak-512 hash function.                          |
| Tuple                                     | Upper case letter   | $T$ , a transaction.   |
| Component of a Tuple                      | Subscripted upper-case letter. A capital subscript refers to a component that is a tuple. | $T_n$ , the transaction nonce<br>$I_H$ , The header of the current block (a tuple).                            |
| Scalars, fixed size byte sequences/arrays | Usually a lower-case letter<br>Sometimes Greek  | $n$ , a transaction's nonce<br>$\delta$ , the number of stack items required.                                  |
| Arbitrary length sequences                | Bold lower-case   | $\mathbf{o}$ , output data of message call.  |
| Sets                                      | Double struck capitals  | $\mathbb{P}_{256}$ , positive integers less than $2^{256}$<br>$\mathbb{B}_{32}$ , byte sequences of length 32. |
| Components or subsequences of sequences   | Square brackets   | $\mu_s[0]$ , the first item on the stack<br>$\mu_m[0..31]$ the first 32 items in memory.                       |
| Modified (and utilisable) value           | Prime mark  | $g'$ gas remaining.  |
| Intermediate values                       | Asterisk superscripts   | $g^*$ gas to be refunded<br>$g^{**}$ available gas remaining after code execution.                             |
| Element-wise transformations              | Asterisk superscript on a function  | $f^*((x_0, x_1, \dots)) \equiv (f(x_0), f(x_1), \dots)$ for any function $f$ .                                 |

## 2. SYMBOLS

| Name                         | Description   |
|------------------------------|---|
| <b>High level constructs</b> |   |
| $\sigma$                     | The world-state, comprising all accounts' nonces, balances, storage and code.   |
| $\sigma_t$                   | World-state at time $t$ .   |
| $\mu$                        | Machine-state tuple, $(g, pc, \mathbf{m}, i, \mathbf{s})$ , which are gas, program counter, memory, memory size, stack.   |
| $T$                          | An Ethereum transaction   |
| $T_0, T_1, \dots$            | Individual transactions within a block  |
| $B$                          | A block: $B \equiv (\dots, (T_0, T_1, \dots))$  |
| $\Upsilon$                   | The Ethereum state transition function: $\sigma_{t+1} \equiv \Upsilon(\sigma_t, T)$   |
| $\Omega$                     | The block-finalisation state transition function (pays out the mining reward).  |
| $\Pi$                        | The block-level state-accumulation function: $\Pi(\sigma, B) \equiv \Omega(B, \Upsilon(\Upsilon(\sigma, T_0), T_1) \dots)$  |
| <b>World state</b>           |   |
| $\sigma[a]$                  | The account state of account $a$ , being a tuple of (nonce, balance, storageRoot, codeHash).  |
| $\sigma[a]_n$                | The nonce of account $a$ .  |
| $\sigma[a]_b$                | The balance of account $a$ .  |
| $\sigma[a]_s$                | A 256-bit hash of the root node of a Merkle Patricia tree that encodes the storage contents of account $a$ . Note that $\text{TRIE}(L_I^*(\sigma[a]_s)) \equiv \sigma[a]_s$ |
| $\sigma[a]_c$                | The hash of the EVM code of account $a$ . Equal to $\text{KEC}(\mathbf{b})$ where $\mathbf{b}$ is the account's code.   |

| Name                         | Description   |
|------------------------------|---|
| <b>Machine state</b>         |   |
| $\mu_g$                      | The gas available.  |
| $\mu_{pc}$                   | The program counter.  |
| $\mu_m$                      | The memory contents.  |
| $\mu_i$                      | The number of memory words allocated.   |
| $\mu_s$                      | The stack.  |
| $\mu_s[n]$                   | Item at stack depth $n$ .   |
| <b>Substate</b>              |   |
| $A$                          | A Transaction substate during execution: $A \equiv (A_s, A_l, A_t, A_r) \equiv (\mathbf{s}, \mathbf{l}, \mathbf{t}, r)$ .   |
| $A_s$                        | The self-destruct set. These accounts will be discarded following the transaction's completion.   |
| $A_l$                        | The log series.   |
| $A_t$                        | The set of touched accounts. Empty ones are deleted at the end of the transaction.  |
| $A_r$                        | The gas refund balance. Can partially offset execution costs.   |
| $A_a$                        | The set of accessed account addresses (EIP-2929).   |
| $A_K$                        | The set of accessed storage keys (EIP-2929, more accurately, each element of $A_K$ is a tuple of a 20-byte account address and a 32-byte storage slot).             |
| $A^0$                        | The empty substate: $A^0 \equiv (\emptyset, (), \emptyset, 0, \pi, \emptyset)$ .  |
| <b>Execution environment</b> |   |
| $I$                          | Tuple of the following items provided to the execution environment.   |
| $I_a$                        | The address of the account which owns the code that is executing.   |
| $I_o$                        | The sender address of the transaction that originated this execution.   |
| $I_p$                        | The price of gas in the transaction that originated this execution.   |
| $I_d$                        | The byte array that is the input data to this execution; if the execution agent is a transaction, this would be the transaction data.                               |
| $I_s$                        | The address of the account which caused the code to be executing; if the execution agent is a transaction, this would be the transaction sender.                    |
| $I_v$                        | The value, in Wei, passed to this account as part of the same procedure as execution; if the execution agent is a transaction, this would be the transaction value. |
| $I_b$                        | The byte array that is the machine code to be executed.   |
| $I_H$                        | The block header of the present block.  |
| $I_e$                        | The depth of the present message-call or contract-creation (i.e. the number of CALLs or CREATEs being executed at present).   |
| $I_w$                        | Flag for permission to make modifications to the state. See EIP-214, STATICCALL   |
| <b>Execution</b>             |   |
| $\Xi$                        | The code execution function $(\sigma', g', A, \mathbf{o}) \equiv \Xi(\sigma, g, I)$ .   |
| $\mathbf{o}$                 | The output data of a message call, $\mathbf{o} \equiv H(\mu, I)$ .  |
|                              | At contract creation, the contract bytecode to be deployed.   |
| $\mathbf{i}$                 | The initialisation EVM code for newly deployed contract (contract constructor).   |
| $H(\mu, I)$                  | The normal halting function, usually the value provided by the RETURN or REVERT opcodes, or empty in the case of STOP.  |
| $Z(\sigma, \mu, I)$          | The exceptional halting function.   |
| $w$                          | The current operation to be executed: $w \equiv I_b[\mu_{pc}]$ if $\mu_{pc} < \ I_b\ $ , and STOP otherwise.  |
| <b>Blocks</b>                |   |
| $B$                          | A block: $B \equiv (B_H, B_T, B_U)$ .   |
| $B_H$                        | The block's header.   |
| $B_T$                        | The block's transactions.   |
| $B_U$                        | Headers of ommer/uncle blocks of this block.  |
| $B_R$                        | Transaction receipts.   |
| $D(H)$                       | The difficulty of the block with header $H$ .   |
| $P(H)$                       | The parent block of the block with header $H$ .   |

| Name         | Description  |
|--------------|--|
| $V(H)$       | The block header validity function.  |
| Block header |  |
| $H_p$        | <b>parentHash</b> The Keccak 256-bit hash of the parent block’s header, in its entirety.   |
| $H_o$        | <b>ommersHash</b> The Keccak 256-bit hash of the ommers list portion of this block.  |
| $H_c$        | <b>beneficiary</b> The 160-bit address to which all fees collected from the successful mining of this block be transferred.  |
| $H_r$        | <b>stateRoot</b> The Keccak 256-bit hash of the root node of the state trie, after all transactions are executed and finalisations applied.  |
| $H_t$        | <b>transactionsRoot</b> The Keccak 256-bit hash of the root node of the trie structure populated with each transaction in the transactions list portion of the block.                            |
| $H_e$        | <b>receiptsRoot</b> The Keccak 256-bit hash of the root node of the trie structure populated with the receipts of each transaction in the transactions list portion of the block.                |
| $H_b$        | <b>logsBloom</b> The Bloom filter composed from indexable information (logger address and log topics) contained in each log entry from the receipt of each transaction in the transactions list. |
| $H_d$        | <b>difficulty</b> A scalar value corresponding to the difficulty level of this block.  |
| $H_i$        | <b>number</b> A scalar value equal to the number of ancestor blocks. The genesis block has a number of zero.   |
| $H_l$        | <b>gasLimit</b> A scalar value equal to the current limit of gas expenditure per block.  |
| $H_g$        | <b>gasUsed</b> A scalar value equal to the total gas used in transactions in this block.   |
| $H_s$        | <b>timestamp</b> A scalar value equal to the reasonable output of Unix’s time() at this block’s inception.   |
| $H_x$        | <b>extraData</b> An arbitrary byte array containing data relevant to this block. This must be 32 bytes or fewer.   |
| $H_m$        | <b>mixHash</b> A 256-bit hash which proves combined with the nonce that a sufficient amount of computation has been carried out on this block.   |
| $H_n$        | <b>nonce</b> A 64-bit hash which proves combined with the mix-hash that a sufficient amount of computation has been carried out on this block.   |

### Transactions

|                 |  |
|-----------------|--|
| $T_n$           | Transaction nonce.   |
| $T_p$           | Gas price for the transaction.   |
| $T_g$           | The maximum gas for a transaction.   |
| $T_t$           | The “to” address for the transaction.  |
| $T_v$           | The value to be transferred by the transaction.  |
| $T_w, T_r, T_s$ | The $v, r, s$ values of the transaction signature.   |
| $T_i$           | EVM-code for account initialisation (i.e. contract deployment).  |
| $T_d$           | Input data of a message call.  |
| $S(T)$          | Sender function—recovers the sender address from the transaction:<br>$S(T) \equiv \mathcal{B}_{96..255}(\text{KEC}(\text{ECDSARECOVER}(h(T), T_w, T_r, T_s)))$ . |

### Transaction Receipt

|              |   |
|--------------|---|
| $R$          | A transaction receipt: $R \equiv (R_z, R_u, R_b, R_l)$                  |
| $R_z$        | The status code of the transaction.                                     |
| $R_u$        | The cumulative gas used so far in the block.                            |
| $R_b$        | The bloom filter composed from the information in the transaction logs. |
| $R_l$        | The log entries created by the transaction, $(O_0, O_1, \dots)$ .       |
| $O$          | A log entry: $O \equiv (O_a, (O_{t0}, O_{t1}, \dots), O_d)$ .           |
| $O_a$        | The logger’s address.   |
| $O_t$        | A 32-byte log topic.  |
| $O_d$        | The log data for this entry.  |
| $\Upsilon^g$ | The total gas used in this transaction.                                 |
| $\Upsilon^l$ | The logs created by this transaction.                                   |
| $\Upsilon^z$ | The status code of this transaction, $z$ .                              |

### Miscellaneous functions

|                    |   |
|--------------------|---|
| $\ell(\mathbf{x})$ | The last item in sequence $\mathbf{x}$ : $\ell(\mathbf{x}) \equiv \mathbf{x}[\ \mathbf{x}\  - 1]$ |
| $L(n)$             | The “all but one 64th” function: $L(n) \equiv n - \lfloor n/64 \rfloor$ .                         |

| Name                      | Description  |
|---------------------------|--|
| $L_I((k, v))$             | Representation of key–value pairs in the trie: $L_I((k, v)) \equiv (\text{KEC}(k), \text{RLP}(v))$   |
| $L_R$                     | TODO   |
| $L_S$                     | World-state collapse function. TODO: expand. Seems to have a different function in computing the message hash.   |
| $L_T$                     | TODO   |
| $M(s, f, l)$              | Memory expansion function. $s$ is the current top of memory; $f$ is the start of writing; $l$ is the number of bytes to be written.                                    |
| $\mathcal{B}$             | Bit reference function such that $\mathcal{B}_j(\mathbf{x})$ equals the bit of index $j$ (indexed from 0) in the byte array $\mathbf{x}$                               |
| $\text{EMPTY}(\sigma, a)$ | An account $a$ is <i>empty</i> when it has no code, zero nonce and zero balance, $\sigma[a]_c = \text{KEC}(\text{()}) \wedge \sigma[a]_n = 0 \wedge \sigma[a]_b = 0$ . |
| $\text{DEAD}(\sigma, a)$  | An account $a$ is <i>dead</i> when its account state is non-existent or empty: $\emptyset \vee \text{EMPTY}(\sigma, a)$ .  |
| $\text{TRIE}$             | The root hash of the Merkle Patricia tree constructed from its arguments.  |
| $\text{KEC}$              | TODO   |
| $\text{RLP}$              | TODO   |
| $\text{PoW}$              | TODO   |

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### Operators and symbols

|                      |   |
|----------------------|---|
| $\ \dots\ ,  \dots $ | Length of a sequence. These seem to be used interchangeably, but I may have missed something.                 |
| $\wedge$             | Logical “And”.  |
| $\vee$               | Logical “Or”.   |
| $\emptyset$          | The empty set.  |
| $\cdot$              | Concatenation, $(a, b, c, d) \cdot e \equiv (a, b, c, d, e)$ , or scalar multiplication depending on context. |

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### Todo

|                  |   |
|------------------|---|
| $\mathbb{B}$     | The set of all sequences of bytes.  |
| $\mathbb{B}_n$   | The set of all byte sequences of length $n$ bytes: $\mathbb{B}_n = \{B : B \in \mathbb{B} \wedge \ B\  = n\}$               |
| $\mathbb{P}$     | The set of positive integers [what’s wrong with $\mathbb{N}$ ??? Grrr...].  |
| $\mathbb{P}_n$   | The set of all positive integers smaller than $2^n$ : $\mathbb{P}_n = \{P : P \in \mathbb{P} \wedge P < 2^n\}$              |
| $M_{3:2048}$     | Specialised Bloom filter.   |
| $\Lambda(\dots)$ | Contract creation function.   |
| $\Theta(\dots)$  | “Message call”/contract execution function? Not very clearly defined anywhere, but used extensively.                        |
| $\Gamma(B)$      | The “initiation state” of block $B$ . Usually $\sigma_i : \text{TRIE}(L_S(\sigma_i)) = P(B_H)_{H_r}$ .                      |
| $\Psi(B)$        | A block transition function that maps an incomplete block $B$ to a complete block $B'$ (adds in mixHash, nonce, stateRoot). |
| $r(\dots)$       | Calculates stateRoot? Used once but not defined.  |
| <i>etc.</i>      |   |

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