- Director / Lead Advisor Candidate Alert
- Nashville, Tennessee, United States (Is not mobile)
- 12 years of experience in financial services
- Holds Series 7 Series 6 Series 66 not current but willing to obtain again
- Core expertise: Anti-Money Laundering, Banking, Trusts
- Currently at Holland & Knight LLP
- Assistant Director of Deal Flow | Holland & Knight LLP

- Advisor Candidate Alert
- Grand Rapids Metropolitan Area (Is not mobile)
- Manages \$10M AUM
- 21 years of experience in financial services
- Holds CFP, Level 2 CFA
- 20 years of experience in financial services
- Focused on identifying pain points and solving them clearly

Ref code: TWAV998144

- 📙 CIO / CGO / COO Candidate Alert 🔔
- Pirmingham, AL (Is not mobile)
- 28 years of experience in financial services
- Holds Series 6, 7, 24, 63, and 66; Life and Health
- Holds Series 6, 7, 63, 66,
- focusing heavily on recruiting
- Available Available Immediately; desired comp \$225-250, \$70k base

- CIO / CGO / COO Candidate Alert
- Gulf Breeze, Florida, United States (Is not mobile)
- 15 years of experience in financial services
- Holds CRPC (since 2012), CFP candidate (exam Nov 2025)
- 14 years of experience in financial services

- Holds Series 7, 65, , Series 65 , CFP
- expertise make him a compelling candidate for senior advisory or hybrid leadership roles

- CIO / CGO / COO Candidate Alert
- Henrietta, NY (Is not mobile)
- 15 years of experience in financial services
- Holds Series 65 Uniform Investment Advisor, FINRA (2021 ACTIVE)
- Manages \$1.5B AUM
- Core expertise: Banking, Insurance, Strategic Management,
- Currently at Gottfried & Somberg Wealth Management

Ref code: TWAV050187

- CIO / CGO / COO Candidate Alert
- North Phoenix, AZ (Is not mobile)
- Manages \$22M AUM
- 7 years of experience in financial services
- Holds NONE
- 25 years of experience in financial services
- Currently at Nyfty Wealth

Ref code: TWAV040254

- Director / Lead Advisor Candidate Alert
- Morgan Hill, California, United States (Is not mobile)
- 4 years of experience in financial services
- Holds CFP, Series 7
- Core expertise: Estate Planning, Wealth Management, Portfolio Management
- Currently at Northwestern Mutual
- Available 2 weeks after offer; desired comp 150k OTE

- CIO / CGO / COO Candidate Alert
- Glendale, AZ 85308 (Is not mobile)
- Manages \$4B AUM

- 15 years of experience in financial services
- Holds NONE
- Serves 100 clients/families
- Core expertise: client facing management skills, keen attention

- Director / Lead Advisor Candidate Alert
- Austin, Texas, United States (Is not mobile)
- 10 years of experience in financial services
- specialized in estate
- Core expertise: Proficiency in Microsoft Office (Word, Excel,
- Currently at Cresset
- Available Immediately; desired comp \$175k \$200k base

Ref code: TWAV165044

- !! CIO / CGO / COO Candidate Alert \_\_\_\_
- P Des Moines, IA (Is not mobile)
- 8 years of experience in financial services
- Holds FINRA series 7 & 66
- 25 years of experience in financial services
- Holds CFP, Series 7
- Core expertise: Multinational Operation, Operational Excellence, Strategic Planning

Ref code: TWAV853006

- !! CIO / CGO / COO Candidate Alert \_\_\_\_
- Phoenix, AZ (Is not mobile)
- 17 years of experience in financial services
- Holds NONE
- Holds Series 7, 63, 66,
- focus in finance and economics
- Core expertise: Wealth & Investment Strategy, Portfolio Management & Asset Allocation, Financial & Retirement Planning

- Advisor Candidate Alert
  - Figlewood, Colorado, United States (Is not mobile)
  - 20 years of experience in financial services
  - Holds CFP, Former EA
  - Manages \$23M AUM
- Core expertise: Private Banking, Commercial Banking, Direct Sales
- Currently at Value Drivers dot Biz

- CIO / CGO / COO Candidate Alert
- Frisco, TX 75034 (Is not mobile)
- Manages \$300M AUM
- 5 years of experience in financial services
- Holds NONE
- Holds Series 65
- Serves 300 clients/families

Ref code: TWAV302099

- Director / Lead Advisor Candidate Alert
- P Edina, MN (Is not mobile)
- 30 years of experience in financial services
- Holds Series 7 & 63; CFA Level I passed
- Currently at Global Investment Management Distribution
- Available Two Weeks notice; desired comp \$200k \$250k OTE

- CIO / CGO / COO Candidate Alert
- Temecula, California, United States (Is not mobile)
- 22 years of experience in financial services
- 15 years of experience in financial services
- Holds Series 7, 66, 65, 63,
- Serves 250 clients/families
- Available ASAP; desired comp 95k Base + Commission 140+ OTE

- Director / Lead Advisor Candidate Alert
- San Francisco Bay Area (Is not mobile)
- 10 years of experience in financial services
- Holds CFA, MBA
- 12 years of experience in financial services
- Serves 200 clients/families
- expertise in both client

Ref code: TWAV946001

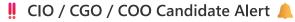
- CIO / CGO / COO Candidate Alert
- Seattle, WA (Is not mobile)
- Manages \$300M AUM
- 16 years of experience in financial services
- Holds NONE
- Core expertise: Relationship Builder & Sale, Project Manager, Creative Mark
- Currently at Avantia: A Family Office

Ref code: TWAV268001

- 📗 Director / Lead Advisor Candidate Alert 🔔
- Jacksonville, Florida, United States (Is not mobile)
- 20 years of experience in financial services
- Holds CFA Charterholder
- Manages \$300M AUM
- Manages \$2.2B AUM
- Holds Series 7, 24, 55, 65, , CFA

- !! CIO / CGO / COO Candidate Alert
- Minneapolis, Minnesota, United States (Is not mobile)
- 30 years of experience in financial services
- Holds CPWA, Chartered Special Needs Consultant
- Core expertise: Sales, Succession Planning, Account Management

- Currently at Minneapolis Portfolio Management Group, LLC
- Financial Services Professional... business leader and revenue



- Folsom, Cal. (Is not mobile)
- Manages \$720M AUM
- 20 years of experience in financial services
- Holds AAMS, AIF, PPC,
- Manages \$250M AUM
- 25 years of experience in financial services

Ref code: TWAV996001



- Pathesda, MD (Is not mobile)
- 20 years of experience in financial services
- Holds Pursuing Coaching designation
- Generated \$7M production
- Core expertise: Practice management, advisor coaching, succession planning, next-gen development, M&A/integration and transitions, branch leadershi...
- Available Immediately; desired comp \$250k base with OTE of \$300k \$350k

Ref code: TWAV266913

- !! CIO / CGO / COO Candidate Alert \_\_\_\_
- Salt Lake City, Utah, United States (Is not mobile)
- 16 years of experience in financial services
- Manages \$300M AUM
- Manages \$350M AUM
- focused operator in wealth management with 16 years of experience scaling RIAs through brand activation
- Available ASAP; desired comp 110k-180k depending on how many hats he wears.

- 📙 Director / Lead Advisor Candidate Alert 🔔
- **San Francisco, CA** (Is not mobile)

- 20 years of experience in financial services
- Holds MBA; CFP candidate (exam scheduled Nov 2025); Al certification
- Currently at UBS Financial Services
- Available Immediately; desired comp \$750k \$1.5million all in (equity, base, bonus)

- !! CIO / CGO / COO Candidate Alert \_\_\_\_
- Kansas City, Missouri, United States (Is not mobile)
- 20 years of experience in financial services
- Manages \$9B AUM
- focused on private wealth compliance for ultra
- Currently at Nuance Investments
- Available 1 month from offer; desired comp 200k but there is a lot that goes into that number depending on circumstances.

Ref code: TWAV601023

- CIO / CGO / COO Candidate Alert
- P Nevada (Is not mobile)
- 17 years of experience in financial services
- 20 years of experience in financial services
- Core expertise: Customer Acquisition, Start-up Ventures, Business
- Currently at Life Detection Technologies
- Available ASAP; desired comp 150k+. Had a hard time committing to a number.