- Senior Advisor Candidate Alert
- Pewaukee, WI (Is not mobile)
- Manages \$200M AUM
- 12 years of experience in financial services
- Holds (B.S) Bachelor of Science Communication, University of Wisconsin, Milwaukee, WI CFP -Certifi...
- Holds CFP, Series 6
- Core expertise: Investment & Asset Management, Strategic and Tactical Asset Allocation, Analysis

- Director / Lead Advisor Candidate Alert
- Nashville, Tennessee, United States (Is not mobile)
- 12 years of experience in financial services
- Holds Series 7 Series 6 Series 66 not current but willing to obtain again
- Core expertise: Anti-Money Laundering, Banking, Trusts
- Currently at Holland & Knight LLP
- Assistant Director of Deal Flow | Holland & Knight LLP

Ref code: TWAV523037

- Advisor Candidate Alert
- Las Vegas, Nevada, United States (Is not mobile)
- 7 years of experience in financial services
- 8 years of experience in financial services
- Holds Series 66, , CFP, Series 7,
- Serves 200 clients/families
- Available 1 month ideally, 2 week if necessary; desired comp 170-180k total compensation

- !! Advisor Candidate Alert ____
- Los Angeles, California, United States (Is not mobile)
- 15 years of experience in financial services
- 20 years of experience in financial services

- Holds Series 66, , CFP, Series 7,
- Serves 300 clients/families
- Available asap; desired comp \$100k+

- Advisor Candidate Alert
- Greater Phoenix Area (Is not mobile)
- 9 years of experience in financial services
- Holds Series 7, 66, and life and health
- Holds Series 66,
- focused on holistic financial planning
- Core expertise: Wealth Management Services, Portfolio Management, Microsoft

Ref code: TWAV960003

- Advisor Candidate Alert
- **San Diego, CA** (Is not mobile)
- 3 years of experience in financial services
- Holds Testing in August for Series 65
- Holds Series 65
- focused RIA managing
- Available Immediately; desired comp \$90k before bonus

Ref code: TWAV982394

- !! Advisor Candidate Alert ____
- Grand Rapids Metropolitan Area (Is not mobile)
- Manages \$10M AUM
- 21 years of experience in financial services
- Holds CFP, Level 2 CFA
- 20 years of experience in financial services
- Focused on identifying pain points and solving them clearly

- CIO / CGO / COO Candidate Alert
- Pirmingham, AL (Is not mobile)

- 28 years of experience in financial services
- Holds Series 6, 7, 24, 63, and 66; Life and Health
- Holds Series 6, 7, 63, 66,
- focusing heavily on recruiting
- Available Available Immediately; desired comp \$225-250, \$70k base

- Advisor Candidate Alert
- P Berkley, CA (Is not mobile)
- 6 years of experience in financial services
- Holds Series 7
- focused on onboarding flows for financial advice clients
- Available Available Immediately; desired comp \$160k to \$200k

Ref code: TWAV166204

- Advisor Candidate Alert
- Seattle, Washington, United States (Is not mobile)
- Manages \$30M AUM
- 20 years of experience in financial services
- Holds NONE
- Serves 60 clients/families
- focused on bringing in assets and rollovers

Ref code: TWAV728030

- 📙 Advisor Candidate Alert 🔔
- Danville, CA (Is not mobile)
- 25 years of experience in financial services
- Holds Series 65
- expert witness in ERISA
- Core expertise: authority, fiduciary, retirement transit
- Currently at The Well Recruiting Solutions

- Advisor Candidate Alert
 - The Woodlands, Texas (Is not mobile)
 - Manages \$50M AUM
- 18 years of experience in financial services
- Holds CRPC
- Holds Series 7
- focusing on comprehensive financial planning for insurance clients

- Advisor Candidate Alert
- Waxhaw, North Carolina, United States (Is not mobile)
- Manages \$5M AUM
- 3 years of experience in financial services
- Holds EA, CFP exam passed working on completing hours
- Holds Series 65, CFP
- Core expertise: Business Exit Planning, Equity Compensation Planning, Pe

Ref code: TWAV295004

- Advisor Candidate Alert
- Seattle, Washington, United States (Is not mobile)
- Manages \$100M AUM
- 16 years of experience in financial services
- Holds finishing CFA, took level 1 and 2, needs to finish
- Holds Series 65, CFA
- Core expertise: Mutual Funds, Morningstar, Financial Analysis

- ! Advisor Candidate Alert _
- Central Valley (Is not mobile)
- Manages \$2M AUM
- 7 years of experience in financial services
- Holds Series 65 and life
- Manages \$1M AUM
- Serves 400 clients/families

- Advisor Candidate Alert
- San Jose, California, United States (Is not mobile)
- 7 years of experience in financial services
- Holds CFP candidate (passed exam; board approval pending)
- Manages \$1B AUM
- Serves 20 clients/families
- Core expertise: Google Workspace, Building Performance, Crisis Co

Ref code: TWAV006003

- Senior Advisor Candidate Alert
- Maple Valley, Washington, United States (Is not mobile)
- Manages \$5M AUM
- 3 years of experience in financial services
- Holds CFP, CDSA
- 10 years of experience in financial services
- focused on holistic

Ref code: TWAV236018

- Advisor Candidate Alert
- Pasehor, Kansas, United States (Is not mobile)
- 10 years of experience in financial services
- Holds CFP (sitting for exam Nov 2025)
- Holds CFP, Series 7
- Serves 600 clients/families
- focused financial advisory experience

- !! Advisor Candidate Alert ____
- New Albany, Ohio, United States (Is not mobile)
- 5 years of experience in financial services
- Holds NMLS, Limited Lines
- Holds CFP, Series , CFA

- focused on retirement and long
- Core expertise: Time Management, Microsoft Outlook

- !! Advisor Candidate Alert ____
- Indianapolis, IN (Is not mobile)
- 3 years of experience in financial services
- Holds Series 66/7 and Life and Health
- Holds Series 66, , Series 7,
- Serves 24 clients/families
- focused financial planning

Ref code: TWAV616290

- !! CIO / CGO / COO Candidate Alert ____
- Gulf Breeze, Florida, United States (Is not mobile)
- 15 years of experience in financial services
- Holds CRPC (since 2012), CFP candidate (exam Nov 2025)
- 14 years of experience in financial services
- Holds Series 7, 65, , Series 65 , CFP
- expertise make him a compelling candidate for senior advisory or hybrid leadership roles

Ref code: TWAV800023

- Advisor Candidate Alert
- Parallel Boulder, Colorado, Estados Unidos (Is not mobile)
- 10 years of experience in financial services
- Holds Series 7, 66, , CFA
- Core expertise: Financial Analysis, Business Analysis, English
- Currently at Equitable Advisors
- Available Immediately; desired comp \$150,000 \$200,000

- !! CIO / CGO / COO Candidate Alert ____
- Henrietta, NY (Is not mobile)
- 15 years of experience in financial services

- Holds Series 65 Uniform Investment Advisor, FINRA (2021 ACTIVE)
- Manages \$1.5B AUM
- Core expertise: Banking, Insurance, Strategic Management,
- Currently at Gottfried & Somberg Wealth Management

- !! Advisor Candidate Alert ____
- **Houston, TX** (Is not mobile)
- 25 years of experience in financial services
- Holds NONE
- Manages \$125B AUM
- focused cultures and embraces the role of operations as a strategic
- Core expertise: data management, risk mitigation, process imp

Ref code: TWAV192028

- !! Advisor Candidate Alert _____
- Huntersville, North Carolina, United States (Is not mobile)
- 20 years of experience in financial services
- Manages \$75M AUM
- Manages \$125M AUM
- Holds CFP, Series 7, 63, 65,
- Available Immediately; desired comp \$125k \$150k OTE Year 1

Ref code: TWAV301001

- !! Advisor Candidate Alert _____
- Allison Park, Pennsylvania, United States (Is not mobile)
- 15 years of experience in financial services
- Holds Series 6, 7, 63, 66, SIE, PA Life, Accident & Health
- Core expertise: Constructive Feedback, Relationship Building, Financial Pl
- Currently at CUSO Financial Services
- Financial Advisor, CUSO Financial Services, LP at Clearview Wealth Management Group

- !! Advisor Candidate Alert ____
 - Vero Beach, Florida, United States (Is not mobile)
 - 15 years of experience in financial services
- Holds Series 7, Series 6, Series 66, Life & Health
- Holds Series 7, 6, 66,
- Core expertise: Leadership, Relationship Management, Time Management
- Currently at Merrill Lynch

- Advisor Candidate Alert
- San Antonio, Texas, United States (Is not mobile)
- 20 years of experience in financial services
- Holds Series 7, 63, 65, 24
- expertise in high
- Core expertise: Retirement Savings, Voice Acting, Negotiation
- Currently at Victory Capital

Ref code: TWAV985001

- CIO / CGO / COO Candidate Alert
- North Phoenix, AZ (Is not mobile)
- Manages \$22M AUM
- 7 years of experience in financial services
- Holds NONE
- 25 years of experience in financial services
- Currently at Nyfty Wealth

- Advisor Candidate Alert
- San Marcos, California, United States (Is not mobile)
- 6 years of experience in financial services
- Holds NSSA
- Manages \$120M AUM
- Manages \$11M AUM
- Holds Series 65

- Advisor Candidate Alert
- Redondo Beach, California, United States (Is not mobile)
- 9 years of experience in financial services
- Holds APMA
- Holds Series 7, 63, 65,
- Serves 260 clients/families
- Core expertise: Investment Advisory Services, Asset Management, V

Ref code: TWAV405373

- !! Advisor Candidate Alert ____
- Orlando, FL (Is not mobile)
- 25 years of experience in financial services
- Holds Paralegal
- expertise in financial services
- Currently at Charles Schwab
- Available Immediately; desired comp \$125,000 base

Ref code: TWAV784316

- !! Advisor Candidate Alert ____
- Phoenix, AZ 85260 (Is not mobile)
- 4 years of experience in financial services
- Holds SE-AWMA
- 20 years of experience in financial services
- 5 years of experience in financial services
- focused on building trust by listening and uncovering what truly matters to each client

- Director / Lead Advisor Candidate Alert
- Morgan Hill, California, United States (Is not mobile)
- 4 years of experience in financial services
- Holds CFP, Series 7
- Core expertise: Estate Planning, Wealth Management, Portfolio Management

- Currently at Northwestern Mutual
- Available 2 weeks after offer; desired comp 150k OTE

- CIO / CGO / COO Candidate Alert
- Glendale, AZ 85308 (Is not mobile)
- Manages \$4B AUM
- 15 years of experience in financial services
- Holds NONE
- Serves 100 clients/families
- Core expertise: client facing management skills, keen attention

Ref code: TWAV859831

- Advisor Candidate Alert
- Surprise, Arizona, United States (Is not mobile)
- Manages \$60M AUM
- 11 years of experience in financial services
- Holds NONE, willing to obtain CFP
- Holds Series 65,
- focused on wealth

Ref code: TWAV867975

- !! Advisor Candidate Alert ____
- New York City Metropolitan Area (Is not mobile)
- 4 years of experience in financial services
- Holds CFP in progress
- Holds Series 7, , CFP, Series 66, , CLU, ChFC
- Serves 85 clients/families
- focused on retirement planning

- 📙 Director / Lead Advisor Candidate Alert 🔔
- Austin, Texas, United States (Is not mobile)
- 10 years of experience in financial services

- specialized in estate
- Core expertise: Proficiency in Microsoft Office (Word, Excel,
- Currently at Cresset
- Available Immediately; desired comp \$175k \$200k base

- Advisor Candidate Alert
- Maitland, Florida, United States (Is not mobile)
- 1 years of experience in financial services
- Holds Series 7, 63, 65
- Holds Series 7, 63, , CFP, CFA
- Core expertise: Series 7, Series 63, Data Analysis
- Currently at Robinhood

Ref code: TWAV382005

- Advisor Candidate Alert
- Los Angeles, California, United States (Is not mobile)
- 4 years of experience in financial services
- Holds Series 6, 7, , CFP, Series 65,
- Serves 80 clients/families
- focused on engaging the next generation of wealth transfer clients
- Available 2 weeks after offer; desired comp 70k Base + Commission

Ref code: TWAV821027

- !! CIO / CGO / COO Candidate Alert ____
- Des Moines, IA (Is not mobile)
- 8 years of experience in financial services
- Holds FINRA series 7 & 66
- 25 years of experience in financial services
- Holds CFP, Series 7
- Core expertise: Multinational Operation, Operational Excellence, Strategic Planning

- Advisor Candidate Alert
 - Greater Chicago Area (Is not mobile)
 - 20 years of experience in financial services
 - Holds Chartered Retirement Planning Counselor (CRPC)
 - Manages \$45M AUM
 - Holds Series 66, , Series 7, , CFP
 - Serves 400 clients/families

- Advisor Candidate Alert
- Minneapolis, Minnesota, United States (Is not mobile)
- 17 years of experience in financial services
- Holds RICP, BFA, CRPC, SEMA (in progress)
- Manages \$2B AUM
- Holds Series 6, 7, 63, 66,
- expertise to help individuals and teams achieve their goals

Ref code: TWAV887038

- Advisor Candidate Alert
- Milwaukee, Wisconsin, United States (Is not mobile)
- 8 years of experience in financial services
- Holds Series 7, 63, 65
- focusing on national account distribution
- Core expertise: Series 65, Series 7, Series 63
- Currently at Heartland Advisors, Inc.

- CIO / CGO / COO Candidate Alert
- Phoenix, AZ (Is not mobile)
- 17 years of experience in financial services
- Holds NONE
- Holds Series 7, 63, 66,
- focus in finance and economics

• Core expertise: Wealth & Investment Strategy, Portfolio Management & Asset Allocation, Financial & Retirement Planning

Ref code: TWAV907811

- !! Advisor Candidate Alert ____
- Los Angeles, California, United States (Is not mobile)
- 9 years of experience in financial services
- Holds Getting CFP (ETA 2026)
- Holds Series 66, , Series 7, , CFP
- specialized in deepening relationships
- Core expertise: Insurance, Tableau, Salesforce CRM Analytics

Ref code: TWAV912001

- Advisor Candidate Alert
- Houston, TX (Is not mobile)
- 8 years of experience in financial services
- Holds Chartered Retirement Planning Counselor (CRPC)
- 17 years of experience in financial services
- Holds Series 6, 63, 65,
- Currently at Retirement Planners of America

Ref code: TWAV921499

- Advisor Candidate Alert
- St Louis, Missouri, United States (Is not mobile)
- 17 years of experience in financial services
- Manages \$3M AUM
- Holds Series 7, 66, , Series 65, CFA
- Core expertise: Multi-Family Properties, Portfolio Management, Alternative Inve
- Available Two Weeks notice; desired comp \$200k OTE

- Senior Advisor Candidate Alert
- Hartford, Connecticut, United States (Is not mobile)
- 12 years of experience in financial services

- Holds Pursuing SEMA
- Holds Series 6, 63, 7, 66,
- focused on asset gathering
- Core expertise: Estate Planning, Investment Management, Portfolio Man

- !! Advisor Candidate Alert ____
- Englewood, Colorado, United States (Is not mobile)
- 20 years of experience in financial services
- Holds CFP, Former EA
- Manages \$23M AUM
- Core expertise: Private Banking, Commercial Banking, Direct Sales
- Currently at Value Drivers dot Biz

Ref code: TWAV251001

- 📙 CIO / CGO / COO Candidate Alert 🔔
- Frisco, TX 75034 (Is not mobile)
- Manages \$300M AUM
- 5 years of experience in financial services
- Holds NONE
- Holds Series 65
- Serves 300 clients/families

Ref code: TWAV302099

- Director / Lead Advisor Candidate Alert
- **Edina, MN** (Is not mobile)
- 30 years of experience in financial services
- Holds Series 7 & 63; CFA Level I passed
- Currently at Global Investment Management Distribution
- Available Two Weeks notice; desired comp \$200k \$250k OTE

- CIO / CGO / COO Candidate Alert
- Temecula, California, United States (Is not mobile)

- 22 years of experience in financial services
- 15 years of experience in financial services
- Holds Series 7, 66, 65, 63,
- Serves 250 clients/families
- Available ASAP; desired comp 95k Base + Commission 140+ OTE

- Advisor Candidate Alert
- Paytona Beach, Florida, United States (Is not mobile)
- 12 years of experience in financial services
- Holds CFP, CLU, ChFC, BFA
- Holds ChFC, CFP, Series 7, 6, 63, , CLU
- Core expertise: CFP, ChFC, CLU, BFA
- Currently at Ameriprise Financial Services, LLC

Ref code: TWAV381109

- Advisor Candidate Alert
- Los Angeles, California, United States (Is not mobile)
- 7 years of experience in financial services
- Holds AWMA, AAMS, CFP Candidate
- Holds Series 6, 63, , CFP
- Core expertise: Administrative Assistance, Financial Services, Research Skills
- Currently at Manhattan West

Ref code: TWAV383001

- Advisor Candidate Alert
- Gig Harbor, WA (Is not mobile)
- 7 years of experience in financial services
- Holds Level 1 CFA
- Holds Series 7, CFA
- Serves 400 clients/families
- Core expertise: Accounting & Budget Reconciliation, Y- Charts, Mo

- Senior Advisor Candidate Alert
 - Seattle, Washington 98106 (Is not mobile)
 - 3 years of experience in financial services
 - Holds NONE, open to CFP
 - 12 years of experience in financial services
 - Holds Series 66
 - Serves 50 clients/families

- Advisor Candidate Alert
- Paremerton, WA (Is not mobile)
- 9 years of experience in financial services
- Holds NONE
- Manages \$12M AUM
- expertise was further leveraged when he stepped away to assist in modernizing and optimizing his family business
- Core expertise: sales, sales management, marketing, strategic pla

Ref code: TWAV568001

- Advisor Candidate Alert
- Scottsdale, AZ (Is not mobile)
- 10 years of experience in financial services
- Holds WMCP, Currently in process of CFP (Expected in Feb '26)
- Holds WMCP, Series 7, CFP
- expertise with a highly personal
- Core expertise: Bilingual, Client Facing, Annuities, Managed Money, Software, Relationship Building

- !! Advisor Candidate Alert ____
- West Hollywood, California, United States (Is not mobile)
- 8 years of experience in financial services
- Holds CFP, Series 7, 9, 10, 66, 63, SIE
- Holds CFP, Series 7, 63, 66, 9, , Series 9
- Serves 130 clients/families

• focused on moving clients into advisory relationships and increasing assets under management

Ref code: TWAV939023

- Advisor Candidate Alert
- Ojai, California, United States (Is not mobile)
- 16 years of experience in financial services
- Holds Series 65
- Manages \$50M AUM
- focusing on transparency
- Core expertise: Family Wealth Management, Environmental, Social,

Ref code: TWAV945001

- Director / Lead Advisor Candidate Alert
- San Francisco Bay Area (Is not mobile)
- 10 years of experience in financial services
- Holds CFA, MBA
- 12 years of experience in financial services
- Serves 200 clients/families
- expertise in both client

Ref code: TWAV946001

- !! Advisor Candidate Alert ____
- P East Valley, AZ (Is not mobile)
- Manages \$114M AUM
- 5 years of experience in financial services
- Holds CFP, Series 7
- Serves 160 clients/families
- Currently at Vanguard

- Advisor Candidate Alert
- Nashville, TN 37201 (Is not mobile)
- Manages \$45M AUM
- 5 years of experience in financial services

- Holds Pursuing CFA. Open to CFP.
- Core expertise: Excel, Python, Bloomberg, Factset
- Currently at Sage Rhino Capital LLC

- Advisor Candidate Alert
- Homestead, Florida, United States (Is not mobile)
- 1 years of experience in financial services
- Holds Series 65, Health/Life/Variable Annuity
- 4 years of experience in financial services
- Holds CFP, Series 65,
- focused on planning

Ref code: TWAV189015

- CIO / CGO / COO Candidate Alert
- Seattle, WA (Is not mobile)
- Manages \$300M AUM
- 16 years of experience in financial services
- Holds NONE
- Core expertise: Relationship Builder & Sale, Project Manager, Creative Mark
- Currently at Avantia: A Family Office

Ref code: TWAV268001

- Director / Lead Advisor Candidate Alert
- Jacksonville, Florida, United States (Is not mobile)
- 20 years of experience in financial services
- Holds CFA Charterholder
- Manages \$300M AUM
- Manages \$2.2B AUM
- Holds Series 7, 24, 55, 65, , CFA

- !! Advisor Candidate Alert ____
- Greater Chicago Area (Is not mobile)

- 22 years of experience in financial services
- Holds Series 6, 7, 63, 65, 24 and Insurance (life; original entry license)
- Serves 25 clients/families
- Core expertise: Insurance, Retirement Planning, Fixed Annuities
- Currently at Fidelity Investments

- 📙 CIO / CGO / COO Candidate Alert 🔔
- Minneapolis, Minnesota, United States (Is not mobile)
- 30 years of experience in financial services
- Holds CPWA, Chartered Special Needs Consultant
- Core expertise: Sales, Succession Planning, Account Management
- Currently at Minneapolis Portfolio Management Group, LLC
- Financial Services Professional... business leader and revenue

Ref code: TWAV903001

- !! Advisor Candidate Alert ____
- Sussex, Wisconsin, United States (Is not mobile)
- 25 years of experience in financial services
- Holds Series 6, 63, 65, Life & Health
- expertise in annuities while rebuilding client trust and retention during a period of high turnover
- Core expertise: Financial Planning and Analysis, Independent Finan
- Currently at Chase

Ref code: TWAV139001

- Advisor Candidate Alert
- Los Angeles, California, United States (Is not mobile)
- 3 years of experience in financial services
- Holds Series 7 and 66
- Currently at Charles Schwab
- Available ASAP; desired comp 85+ Commission, wants to discuss.

- Advisor Candidate Alert
 - Las Vegas, Nevada, United States (Is not mobile)
 - 9 years of experience in financial services
 - Holds CFP, APMA (Accredited Portfolio Management Advisor)
 - Holds Series 66, , Series 7,
 - Serves 105 clients/families
- focus on comprehensive planning

- Advisor Candidate Alert
- Fremont, California, United States (Is not mobile)
- 18 years of experience in financial services
- 20 years of experience in financial services
- 15 years of experience in financial services
- Core expertise: Slack, Firm360, Land Value Increment Tax
- Available 2 weeks after offer; desired comp 110k base

Ref code: TWAV627001

- Advisor Candidate Alert
- San Francisco Bay Area (Is not mobile)
- 4 years of experience in financial services
- Core expertise: Finance, Analysis, Estate Planning
- Currently at Osaic
- Providing solutions for financial health
- Available ASAP; desired comp 110k, might have some flex here.

- ! Advisor Candidate Alert _
- Langhorne, Pennsylvania, United States (Is not mobile)
- 12 years of experience in financial services
- Holds Series 7, 63, 66; Pennsylvania Life & Health; SIE grandfathered
- Holds Series 7, 63, , CFP
- focus has been long
- Core expertise: Wealth Management, Retirement Solutions, Commer

- CIO / CGO / COO Candidate Alert
- Folsom, Cal. (Is not mobile)
- Manages \$720M AUM
- 20 years of experience in financial services
- Holds AAMS, AIF, PPC,
- Manages \$250M AUM
- 25 years of experience in financial services

Ref code: TWAV996001

- !! Advisor Candidate Alert ____
- P Hebron, IL (Is not mobile)
- 40 years of experience in financial services
- Holds CFP, AIF
- Holds Series 6, 22, 7, , CFP
- specialized in pre
- Core expertise: Consulting, Asset Management, Succession Planning

Ref code: TWAV483001

- Advisor Candidate Alert
- Gastonia, North Carolina, United States (Is not mobile)
- 10 years of experience in financial services
- focused on new business
- Core expertise: Certified Financial Planner, Wealth Management Services, Mi
- Currently at Marmo Financial Group, LLC
- Available Immediately; desired comp \$110k \$120k

- !! Advisor Candidate Alert ____
- Grove City, Ohio, United States (Is not mobile)
- 1 years of experience in financial services
- Holds Life, Accident, and Health Insurance; SIE; Series 7 in progress
- Holds Series 7

- Serves 100 clients/families
- focusing on personal connections first

- Senior Advisor Candidate Alert
- Rockville, Maryland, United States (Is not mobile)
- 10 years of experience in financial services
- Core expertise: Fundraising, Marketing, Facebook
- Currently at TritonPoint Wealth
- Financial Professional | Wealth Management | Investments & Financial Planning
- Available Immediately; desired comp \$140k -\$150k OTE

Ref code: TWAV679001

- !! Advisor Candidate Alert _____
- San Anselmo, California, United States (Is not mobile)
- 25 years of experience in financial services
- 20 years of experience in financial services
- Holds Series 7
- Core expertise: Customer Experience, Product Management, Digital Transformation
- Available ASAP; desired comp 250k base + Bonus/Equity

Ref code: TWAV679023

- !! Advisor Candidate Alert ____
- New York, New York, United States (Is not mobile)
- 1 years of experience in financial services
- Holds SIE, Series Seven
- Manages \$500M AUM
- Holds Series 66,
- focused on fixed

- Advisor Candidate Alert
- Lansing, MI (Is not mobile)
- 7 years of experience in financial services

- Holds Life and Health, SIE
- Manages \$150M AUM
- Currently at Upstream Investments
- Available Any Day; desired comp 60k+ but flexible. Really wants to get in the door.

- Director / Lead Advisor Candidate Alert
- Pathesda, MD (Is not mobile)
- 20 years of experience in financial services
- Holds Pursuing Coaching designation
- Generated \$7M production
- Core expertise: Practice management, advisor coaching, succession planning, next-gen development,
 M&A/integration and transitions, branch leadershi...
- Available Immediately; desired comp \$250k base with OTE of \$300k \$350k

Ref code: TWAV266913

- !! Advisor Candidate Alert _____
- New Bedford, Massachusetts, United States (Is not mobile)
- 10 years of experience in financial services
- Holds CFP; Certified Retirement Counselor (CRC)
- Core expertise: Private Banking, Communication, Grassroots Organizing
- Currently at Financial Planning Alternatives
- CFP Professional | Banking & Wealth Management Expertise |

Ref code: TWAV307023

- 📙 Advisor Candidate Alert 🔔
- Wellesley Hills, Massachusetts, United States (Is not mobile)
- 33 years of experience in financial services
- Holds None
- Manages \$1.7B AUM
- Focus Financial
- Core expertise: Business Relationship Management, Client Services, Portfo

- !! Advisor Candidate Alert ____
 - Marion, IA 52302 (Is not mobile)
 - 12 years of experience in financial services
 - Holds Institute of Trading and Portfolio Management certification
- Holds Series 65
- expertise in strategy design
- Core expertise: Investment Research & Management, Systematic T

- Advisor Candidate Alert
- San Jose, California, United States (Is not mobile)
- 6 years of experience in financial services
- Holds CFP, CFA, ChFC, RICP
- Holds ChFC, CFP
- focus shifted toward holistic financial planning and advisory services
- Core expertise: Protection Planning/Family Security, Retirement Income S

Ref code: TWAV365059

- Advisor Candidate Alert
- Palmyra, New Jersey, United States (Is not mobile)
- 28 years of experience in financial services
- Holds Financial Planning Certificate, Fairleigh Dickinson; CFP exam candidate
- Manages \$100M AUM
- focus is guiding near
- Core expertise: Strategic Thinking, Project Planning, Project Coordination

- Advisor Candidate Alert
- San Francisco Bay Area (Is not mobile)
- 3 years of experience in financial services
- Holds Obtaining his CFP
- 25 years of experience in financial services
- Holds Series 7, 6, , CFP
- Core expertise: Analytical Skills, Data Analysis, Financial Analysis

- Advisor Candidate Alert
- Lafayette, CA (Is not mobile)
- 2 years of experience in financial services
- Holds Life and Health Insurance
- 5 years of experience in financial services
- Currently at Leonard Financial Solutions
- Available ASAP; desired comp 80-100k Base + 150k total

Ref code: TWAV531491

- Advisor Candidate Alert
- South Boardman, Michigan, United States (Is not mobile)
- 20 years of experience in financial services
- Holds CFP, CLU, ChFC, LUTCF
- Holds Series 6, Series 7
- Serves 400 clients/families
- focused on clear communication and planning

Ref code: TWAV532001

- !! Advisor Candidate Alert ____
- Staten Island, New York, United States (Is not mobile)
- 1 years of experience in financial services
- Holds Series 66, Series 6, SIE
- Holds Series 63 , Series 66 , Series 6, , Series 7
- Core expertise: Customer Relationship Management, CRM, Balance Sheet Review, Fin
- Currently at Primerica Financial Services

- !! CIO / CGO / COO Candidate Alert ____
- Salt Lake City, Utah, United States (Is not mobile)
- 16 years of experience in financial services
- Manages \$300M AUM
- Manages \$350M AUM

- focused operator in wealth management with 16 years of experience scaling RIAs through brand activation
- Available ASAP; desired comp 110k-180k depending on how many hats he wears.

- Director / Lead Advisor Candidate Alert
- San Francisco, CA (Is not mobile)
- 20 years of experience in financial services
- Holds MBA; CFP candidate (exam scheduled Nov 2025); Al certification
- Currently at UBS Financial Services
- Available Immediately; desired comp \$750k \$1.5million all in (equity, base, bonus)

Ref code: TWAV954309

- Advisor Candidate Alert
- United States (Is not mobile)
- 2 years of experience in financial services
- Holds CFP candidate (coursework in progress; module 3/7; target May 2026)
- Holds Series 65, CFP
- Core expertise: Series 65, Life and Health Insurance License
- Currently at Nepsis, Inc.

Ref code: TWAV986045

- Advisor Candidate Alert
- Washington Park, Denver CO (Is not mobile)
- 30 years of experience in financial services
- Holds CFA, working towards CFP and testing in November
- Holds CFP, CFA
- Available Flexible could be immediately; desired comp Open to discussion depending on his experience

- Advisor Candidate Alert
- Sacramento, CA (Is not mobile)
- 6 years of experience in financial services
- Holds Series 65

- Manages \$15M AUM
- Serves 90 clients/families
- focused advisor and planner with a solid blend of front

- CIO / CGO / COO Candidate Alert
- Kansas City, Missouri, United States (Is not mobile)
- 20 years of experience in financial services
- Manages \$9B AUM
- focused on private wealth compliance for ultra
- Currently at Nuance Investments
- Available 1 month from offer; desired comp 200k but there is a lot that goes into that number depending on circumstances.

Ref code: TWAV601023

- CIO / CGO / COO Candidate Alert
- P Nevada (Is not mobile)
- 17 years of experience in financial services
- 20 years of experience in financial services
- Core expertise: Customer Acquisition, Start-up Ventures, Business
- Currently at Life Detection Technologies
- Available ASAP; desired comp 150k+. Had a hard time committing to a number.