# Image Caption Generation with CNN-RNN, Soft Attention and Top-Down Bottom-Up attention

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## Introduction

• Image Captioning is one of the most fundamental tasks in Machine Learning.

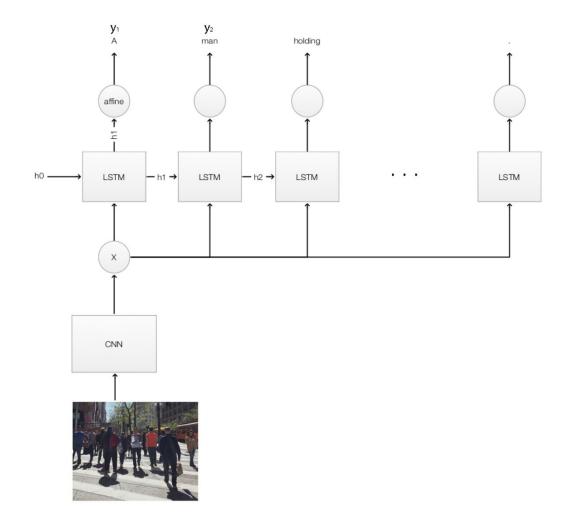
There has been many approaches in the recent past.

• We implement 3 approaches which were all state-of-the-art at that time, evaluate them and compare it with the results given in the standard paper.

# Show and Tell

ResNet CNN feeds into LSTM

Which predicts the captions



To generate an image caption with deep learning, we start the caption with a "start" token and generate one word at a time. We predict the next caption word based on the last predicted word and the image:

next word = 
$$f(image, last word)$$

Applying the RNN techniques, we rewrite the model as:

$$h_t = f(x, h_{t-1})$$

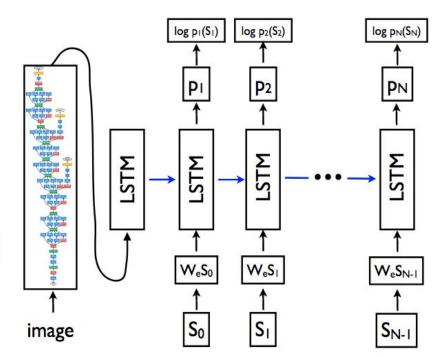
next word = 
$$g(h_t)$$

which x is the image, and  $h_t$  is the RNN hidden state to predict the "next word" at time step t.

# Show and Tell

$$\theta^{\star} = \arg \max_{\theta} \sum_{(I,S)} \log p(S|I;\theta)$$

$$\log p(S|I) = \sum_{t=0}^{N} \log p(S_t|I, S_0, \dots, S_{t-1})$$



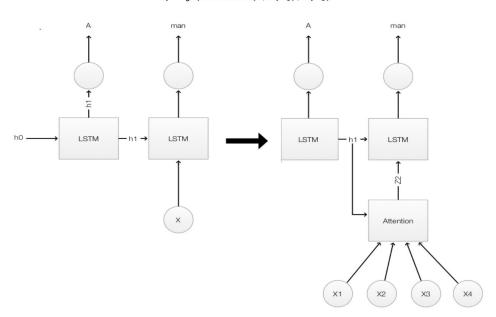
Mathematically, we are trying to replace the image x in LSTM model,

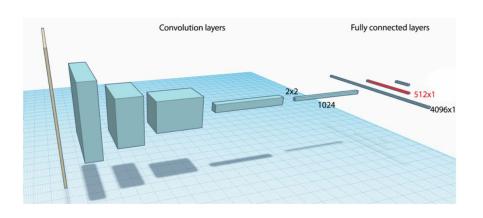
$$h_t = f(x, h_{t-1})$$

with an attention module attention:

#### Attention

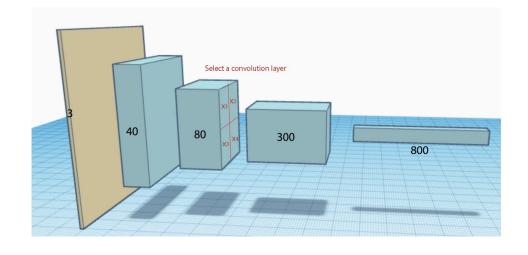
$$h_t = f(attention(x, h_{t-1}), h_{t-1})$$

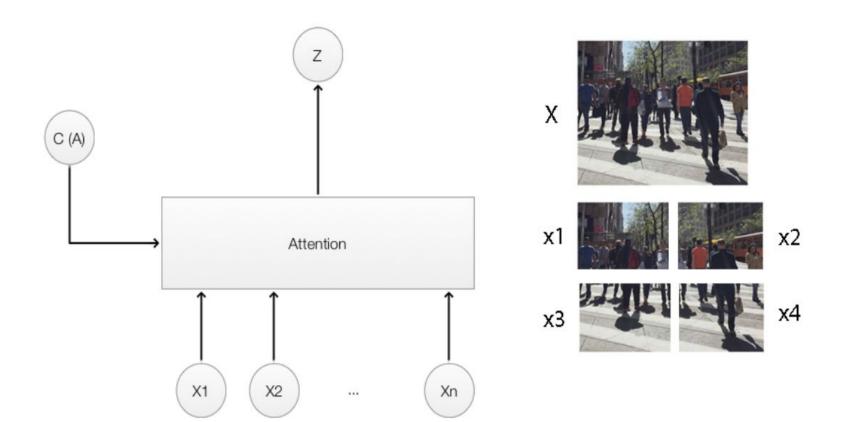




# Spatial information is lost in CNN last layer

Select a Convolution Layer and divide the feature maps





## Soft Attention

Let's show how to compute the weighted features for the LSTM.  $x_1, x_2, x_3$  and  $x_4$  each covers a subsection of an image. To compute a score  $s_i$  to measure how much attention for  $x_i$ , we use (with the context  $C = h_{t-1}$ ):

$$s_i = \tanh(W_c C + W_x X_i) = \tanh(W_c h_{t-1} + W_x x_i)$$

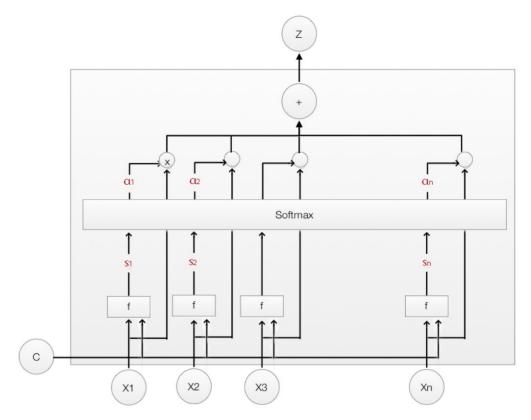
We pass  $s_i$  to a softmax for normalization to compute the weight  $\alpha_i$ .

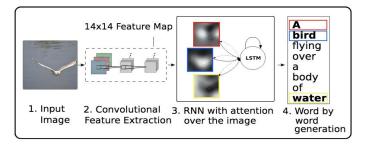
$$\alpha_i = softmax(s_1, s_2, \dots, s_i, \dots)$$

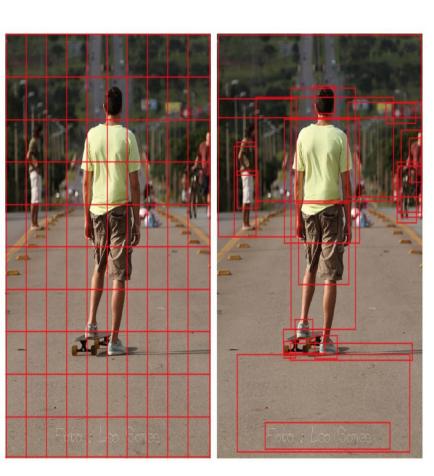
With softmax,  $\alpha_i$  adds up to 1, and we use it to compute a weighted average for  $x_1, x_2, x_3$  and  $x_4$ 

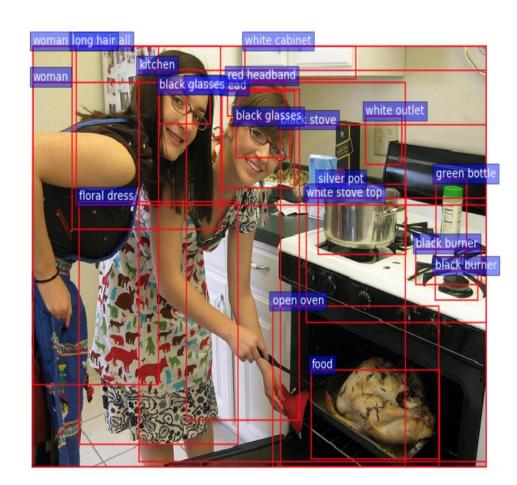
$$Z = \sum_{i} \alpha_{i} x_{i}$$

# Show, Attend and Tell

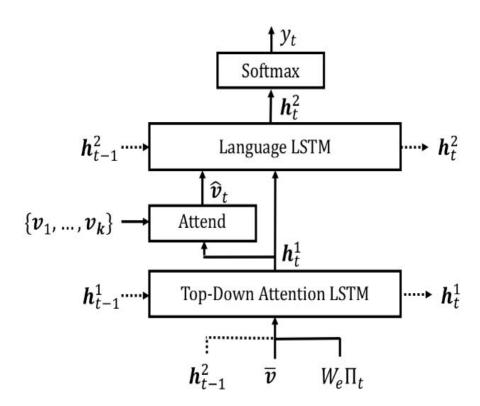


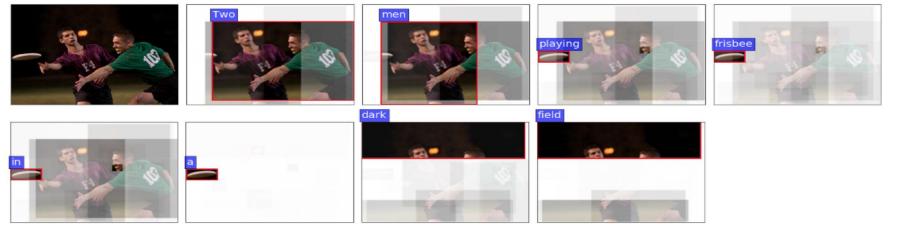






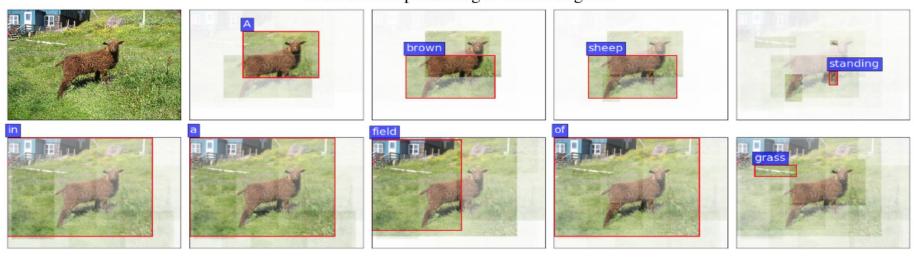
# Top Down and Bottom Up Attention Model





Two men playing frisbee in a dark field.

#### A brown sheep standing in a field of grass.



## **Details**

Dataset: Flickr8k (faster and smaller than the other benchmark ones)

Framework: PyTorch

Trained with Stochastic Gradient Descent with adaptive learning rate (Adams)

Batch Size: 10

Learning Rate: 5 x 10 ^-4

Epochs: 25

# Results

(STANDARD/OURS)	BLEU 1	BLEU 2	BLEU 3	BLEU 4	CIDEr	ROUGE-L	SPICE	METEOR
Show and Tell	(0.63/0.61)	(0.41/0.433)	(0.27/0.301)	(/0.209)	(/0.491)	(/0.462)	(/0.13586)	(/0.199)
Show,Attend and Tell	(0.67/0.652)	(0.448/0.473)	(0.299/0.332)	(0.195/0.228)	(/0.600)	(/0.483)	(0.189/0.157)	(/0.216)
Top Down and Bottom Up Attention	(0.772/0.657)	(/0.477)	(/0.334)	(0.36/0.23)	(113.5/0.59)	(0.56/0.48)	(0.20/0.157)	(0.27/0.21)

tourists are standing a mountain viewpoint beneath a clear blue sky

#### **Show and Tell:**

a group of people are sitting on a bench in front of the ocean

#### Show, Attend, and Tell:

a group of people are sitting on a bench

#### **Top Down and Bottom Up Attention:**

a group of people are sitting on a rocky hill



a blonde boy in a white and orange t-shirt is playing on a swing

#### **Show and Tell:**

a young boy wearing a blue shirt is swinging on a swing

#### Show, Attend, and Tell:

a young girl in a white shirt and blue shirt is swinging on a swing

#### **Top Down and Bottom Up Attention:**

a young girl in a blue shirt is swinging on a swing



A football player in red and white is holding both hands up

#### **Show and Tell:**

a football player in a red jersey is running

#### Show, Attend, and Tell:

a football player in red is being tackled

#### **Top Down and Bottom Up Attention:**

a group of football players in red and white uniforms



A woman wading through a pool in front of a waterfall

#### **Show and Tell:**

a woman in a bikini is standing on a rock overlooking the ocean

#### Show, Attend, and Tell:

a girl in a swimsuit is standing in the water

#### **Top Down and Bottom Up Attention:**

a girl in a swimsuit is splashing in the water



A little girl is walking along a line of logs on a sandy beach

#### **Show and Tell:**

a woman and a dog are standing on a beach

#### Show, Attend, and Tell:

a young boy is standing on a beach

#### **Top Down and Bottom Up Attention:**

a woman and a woman are walking on a bridge



A person riding a snowboard jumps high over the snowy hill

#### **Show and Tell:**

a snowboarder is jumping over a hill

#### Show, Attend, and Tell:

a snowboarder is jumping over a snowy hill

#### **Top Down and Bottom Up Attention:**

a snowboarder is jumping over a snowy hill



A group of friends play in a lake

#### **Show and Tell:**

a group of people are in a canoe on a lake

#### Show, Attend, and Tell:

a group of people are standing on a beach

#### **Top Down and Bottom Up Attention:**

a group of people are walking along a lake



A dark-haired man wearing a brown shirt is free-climbing a grey stone wall

#### **Show and Tell:**

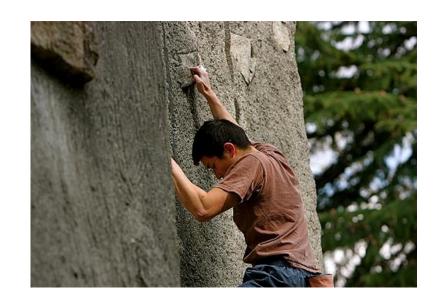
a girl in a pink shirt is climbing a rock wall

#### Show, Attend, and Tell:

a man in a white shirt and jeans is climbing a rock wall

#### **Top Down and Bottom Up Attention:**

a man climbing a rock



# THANK YOU