

**Oracle Database 12c: Admin,  
Install and Upgrade  
Accelerated**

**Student Guide – Volume II**

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## Backup and Recovery: Concepts

15

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## Objectives

After completing this lesson, you should be able to:

- Identify the types of failure that can occur in an Oracle database
- Describe instance recovery
- Describe complete and incomplete recovery



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## DBA Responsibilities

- Protect the database from failure wherever possible
- Increase the mean time between failures (MTBF)
- Protect critical components by using redundancy
- Decrease the mean time to recover (MTTR)
- Minimize the loss of data

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The database administrator (DBA) is typically responsible for ensuring that the database is open and available when users need it. To achieve that goal, the DBA (working with the system administrator):

- Anticipates and works to avoid common causes of failure
- Works to increase the mean time between failures (MTBF) that negatively affect availability
- Ensures that hardware is as reliable as possible, that critical components are protected by redundancy, and that operating system maintenance is performed in a timely manner. Oracle Database provides advanced configuration options to increase MTBF, including:
  - Real Application Clusters
  - Oracle Data Guard
- Decreases the mean time to recover (MTTR) by practicing recovery procedures in advance and configuring backups so that they are readily available when needed

- Minimizes the loss of data. DBAs who follow accepted best practices can configure their databases so that no committed transaction is ever lost. Entities that assist in guaranteeing this include:
  - Archive log files (discussed later in this lesson)
  - Flashback technology
  - Standby databases and Oracle Data Guard (discussed in the *Oracle Database 12c: Data Guard Administration* course)

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## Categories of Failure

Failures can generally be divided into the following categories:

- Statement failure
- User process failure
- Network failure
- User error
- Instance failure
- Media failure



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- **Statement failure:** A single database operation (select, insert, update, or delete) fails.
- **User process failure:** A single database session fails.
- **Network failure:** *Connectivity to the database is lost.*
- **User error:** A user successfully completes an operation, but the operation (dropping a table or entering bad data) is incorrect.
- **Instance failure:** The database instance shuts down unexpectedly.
- **Media failure:** A loss of any file that is needed for database operation (that is, the files have been deleted or the disk has failed).

## Statement Failure

Typical Problems	Possible Solutions
Attempts to enter invalid data into a table	Work with users to validate and correct data.
Attempts to perform operations with insufficient privileges	Provide appropriate object or system privileges.
Attempts to allocate space that fail	<ul style="list-style-type: none"> <li>• Enable resumable space allocation.</li> <li>• <b>Increase owner quota.</b></li> <li>• Add space to tablespace.</li> </ul>
Logic errors in applications	Work with developers to correct program errors.

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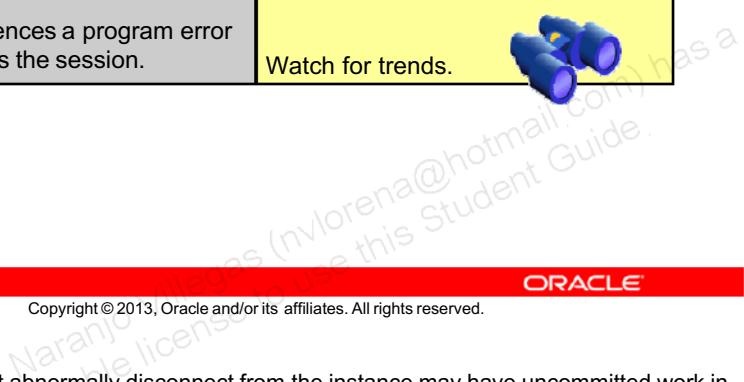
When a single database operation fails, DBA involvement may be necessary to correct errors with user privileges or database space allocation. DBAs may also need to assist in troubleshooting, even for problems that are not directly in their task area. This can vary greatly from one organization to another. For example, in organizations that use off-the-shelf applications (that is, organizations that have no software developers), the DBA is the only point of contact and must examine logic errors in applications.

To understand logic errors in applications, you should work with developers to understand the scope of the problem. Oracle Database tools may provide assistance by helping to examine audit trails or previous transactions.

**Note:** In many cases, statement failures are by design and example, security policies and quota rules are often decided upon in advance. If a user gets an error while trying to exceed his or her limits, it may be desired for the operation to fail and no resolution may be necessary.

## User Process Failure

Typical Problems	Possible Solutions
A user performs an abnormal disconnect.	A DBA's action is not usually needed to resolve user process failures. Instance background processes roll back uncommitted changes and release locks.
A user's session is abnormally terminated.	
A user experiences a program error that terminates the session.	Watch for trends. 



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User processes that abnormally disconnect from the instance may have uncommitted work in progress that needs to be rolled back. The Process Monitor (PMON) background process periodically polls server processes to ensure that their sessions are still connected. If PMON finds a server process whose user is no longer connected, PMON recovers from any ongoing transactions; it also rolls back uncommitted changes and releases any locks that are held by the failed session.

A DBA's intervention should not be required to recover from user process failure, but the administrator must watch for trends. One or two users disconnecting abnormally is not a cause for concern. A small percentage of user process failures may occur from time to time.

But consistent abnormal disconnects may indicate a need for user training (which includes teaching users to log out rather than just terminate their programs). It may also be indicative of network or application problems.

## Network Failure

Typical Problems	Possible Solutions
Listener fails.	Configure a backup listener and connect-time failover.
Network Interface Card (NIC) fails.	Configure multiple network cards.
Network connection fails.	Configure a backup network connection.

The best solution to network failure is to provide redundant paths for network connections. Backup listeners, network connections, and network interface cards reduce the chance that network failures will affect system availability.

## User Error

Typical Causes	Possible Solutions
User inadvertently deletes or modifies data.	Roll back transaction and dependent transactions or rewind table.
User drops a table.	Recoverable from recycle bin. Recover table from a backup.



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Users may inadvertently delete or modify data. If they have not yet committed or exited their program, they can simply roll back.

You can use Oracle LogMiner to query your online redo logs and archived redo logs through an Enterprise Manager or SQL interface. Transaction data may persist in online redo logs longer than it persists in undo segments; if you have configured archiving of redo information, redo persists until you delete the archived files. Oracle LogMiner is discussed in the *Oracle Database Utilities* reference.

Users who drop a table can recover it from the recycle bin by flashing back the table to before the drop.

If the recycle bin has already been purged, or if the user dropped the table with the PURGE option, the dropped table can still be recovered by using point-in-time recovery (PITR) if the database has been properly configured.

In Oracle Database 12c, RMAN enables you to recover one or more tables or table partitions to a specified point in time without affecting the remaining database objects.

**Note:** Flashback technologies, PITR, and table recovery are discussed in the *Oracle Database 12c: Backup and Recovery Workshop* course and in the *Oracle Database Backup and Recovery User's Guide*.

## Flashback Technology

Use Flashback technology for:

- Viewing past states of data
- Winding data back and forth in time
- Assisting users in error analysis and recovery



For error analysis:
Oracle Flashback Query
Oracle Flashback Versions Query
Oracle Flashback Transaction Query

For error recovery:
Oracle Flashback Transaction Backout
Oracle Flashback Table
Oracle Flashback Drop
Oracle Flashback Database

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Oracle Database includes Oracle Flashback technology: a group of features that support viewing past states of data—and winding data back and forth in time—without requiring restoring the database from backup. With this technology, you help users analyze and recover from errors. For users who have committed erroneous changes, use the following to analyze the errors:

- **Flashback Query:** View committed data as it existed at some point in the past. The SELECT command with the AS OF clause references a time in the past through a timestamp or system change number (SCN).
- **Flashback Version Query:** View committed historical data for a specific time interval. Use the VERSIONS BETWEEN clause of the SELECT command (for performance reasons with existing indexes).
- **Flashback Transaction Query:** View all database changes made at the transaction level.

Possible solutions to recover from user error:  
• **Flashback Transaction Backout:** Rolls back a specific transaction and dependent transactions

- **Flashback Table:** Rewinds one or more tables to their contents at a previous time without affecting other database objects

- **Flashback Drop:** Reverses the effects of dropping a table by returning the dropped table from the recycle bin to the database along with dependent objects such as indexes and triggers
- **Flashback Database:** Returns the database to a past time or SCN

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## Instance Failure

Typical Causes	Possible Solutions
Power outage	Restart the instance by using the STARTUP command. Recovering from instance failure is automatic, including rolling forward changes in the redo logs and then rolling back any uncommitted transactions.
Hardware failure	Investigate the causes of failure by using the alert log, trace files, and Enterprise Manager.
Failure of one of the critical background processes	
Emergency shutdown procedures	

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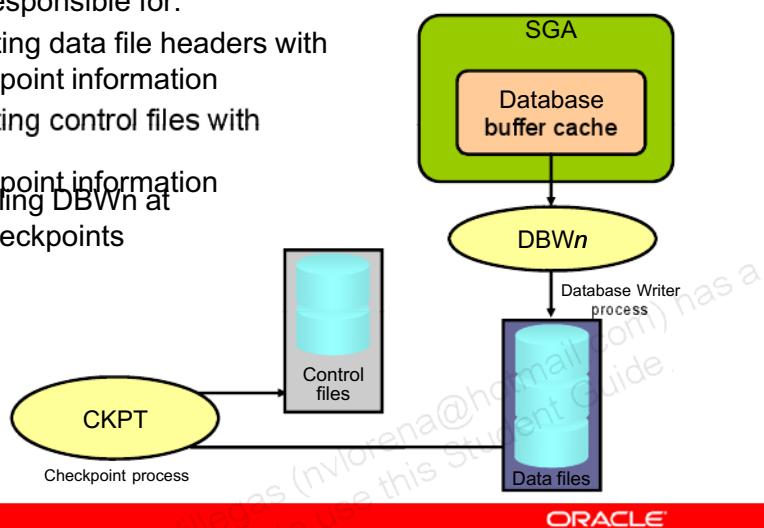
Instance failure occurs when the database instance is shut down before synchronizing all database files. An instance failure can occur because of hardware or software failure or through the use of the emergency SHUTDOWN ABORT and STARTUP FORCE shutdown commands.

Administrator involvement in recovering from instance failure is rarely required if Oracle Restart is enabled and is monitoring your database. Oracle Restart attempts to restart your database instance as soon as it fails. If manual intervention is required, then there may be a more serious problem that prevents the instance from restarting, such as a memory CPU failure.

## Understanding Instance Recovery: Checkpoint (CKPT) Process

CKPT is responsible for:

- Updating data file headers with checkpoint information
- Updating control files with checkpoint information
- Signaling DBWn at full checkpoints



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To understand instance recovery, you need to understand the functioning of certain background processes.

Every three seconds (or more frequently), the CKPT process stores data in a control file to document the modified data blocks that DBWn has written from the SGA to disk. This is called an "incremental checkpoint." The purpose of a checkpoint is to identify that place in the online redo log file where instance recovery is to begin (which is called the "checkpoint position").

In the event of a log switch, the CKPT process also writes this checkpoint information to the headers of data files.

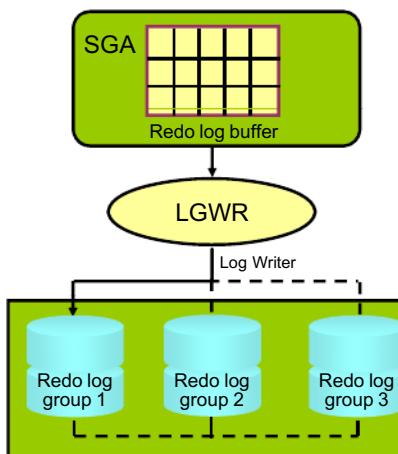
Checkpoints exist for the following reasons:

- To ensure that data in memory are written to disk so that data is not lost in case of a system or database failure
- To reduce the time required for instance recovery (Only the online redo log file entries following the last checkpoint need to be processed for recovery.)
- To ensure that all committed data has been written to data files during shutdown

The checkpoint information written by the CKPT process includes checkpoint position, system change number (SCN), location in the online redo log file to begin recovery, information about logs, and so on.

**Note:** The CKPT process does not write data blocks to the disk or redo blocks to the online redo log files.

## Understanding Instance Recovery: Redo Log Files and Log Writer



### Redo log files:

- Record changes to the database
- Should be multiplexed to protect against loss

### Log Writer (LGWR) writes:

- At commit
- When one-third full
- Every three seconds
- Before DBW $n$  writes
- Before clean shutdowns

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Redo log files record changes to the database as a result of transactions and internal Oracle server actions. (A transaction is a logical unit of work consisting of one or more SQL statements run by a user.) Redo log files protect the database from loss of integrity because of system failures caused by power outages, disk failures, and so on. Redo log files should be multiplexed to ensure that the information stored in them is not lost in the event of a disk failure.

The redo log consists of groups of redo log files. A group consists of a redo log file and its multiplexed copies. Each identical copy is said to be a member of that group, and each group is identified by a number. The Log Writer (LGWR) process writes redo records from the redo log buffer to all members of a redo log group until the files are filled or a log switch operation is requested.

It then switches and writes to the files in the next group. Redo log groups are used in a circular fashion.

**Best practice tip:** If possible, multiplexed redo log files should reside on different disks.

## Understanding Instance Recovery

Automatic instance or crash recovery:

- Is caused by attempts to open a database whose files are not synchronized on shutdown
- Uses information stored in redo log groups to synchronize
- Involves two distinct operations:
  - Rolling forward: Redo log changes (both committed and uncommitted) are applied to data files.
  - Rolling back: Changes that are made but not committed are returned to their original state.

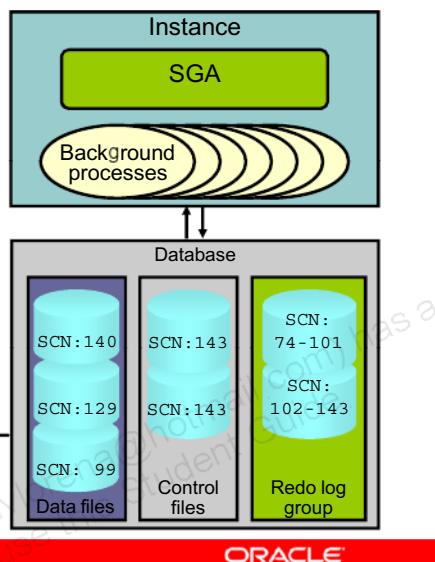
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The Oracle database automatically recovers from instance failure. All that needs to happen is for the instance to be started normally. If Oracle Restart is enabled and configured to monitor this database, then this happens automatically. The instance mounts the control files and then attempts to open the data files. When it discovers that the data files have not been synchronized during shutdown, the instance uses information contained in the redo log groups to roll the data files forward to the time of shutdown. Then the database is opened and any uncommitted transactions are rolled back.

## Phases of Instance Recovery

1. Instance startup (data files are out of sync)
2. Roll forward (redo)
3. Committed and uncommitted
4. data in files
5. Database opened
6. Roll back (undo)
7. Committed data in files



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For an instance to open a data file, the system change number (SCN) contained in the data file's header must match the current SCN that is stored in the database's control files.

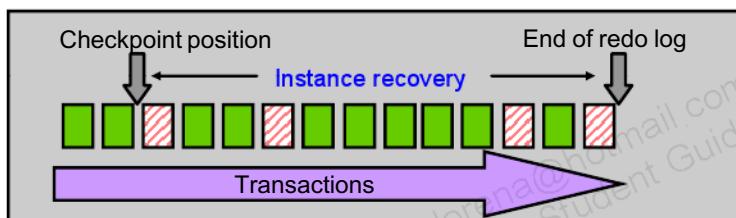
If the numbers do not match, the instance applies redo data from the online redo logs, sequentially "redoing" transactions until the data files are up-to-date. After all data files have been synchronized with the control files, the database is opened and users can log in.

When redo logs are applied, *all* transactions are applied to bring the database up to the state as of the time of failure. This usually includes transactions that are in progress but have not yet been committed. After the database has been opened, those uncommitted transactions are rolled back.

At the end of the rollback phase of instance recovery, the data files contain only committed data.

## Tuning Instance Recovery

- During instance recovery, the transactions between the checkpoint position and the end of redo log must be applied to data files.
- You tune instance recovery by controlling the difference between the checkpoint position and the end of redo log.



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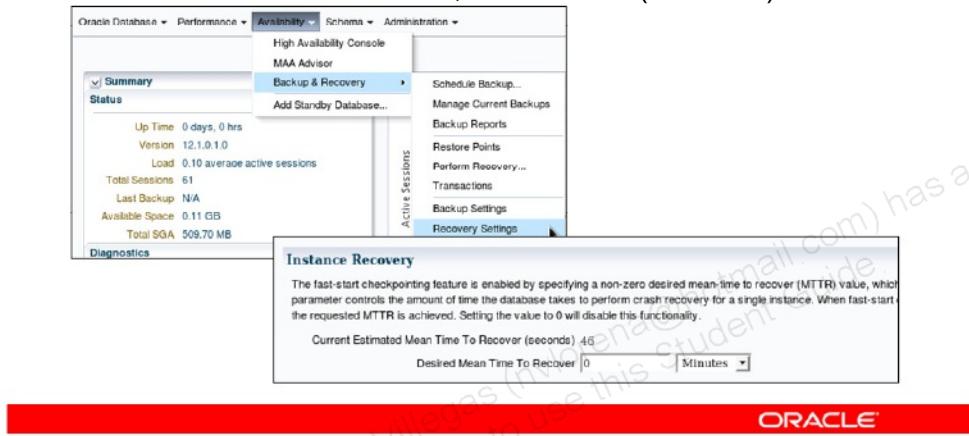
Transaction information is recorded in the redo log groups before the instance returns `commit complete` for a transaction. The information in the redo log groups guarantees that the transaction can be recovered in case of a failure. The transaction information must also be written to the data file. The data file write usually happens at some time after the information is recorded in redo log groups because the data file write process is much slower than the redo writes. (Random writes for data files are slower than serial writes for redo log files.)

Every three seconds, the checkpoint process records information in the control file about the checkpoint position in the redo log. Therefore, the Oracle Database server knows that all redo log entries recorded before this point are not necessary for database recovery. In the graphic in the slide, the striped blocks have not yet been written to the disk.

The time required for instance recovery is the time required to bring data files from their last checkpoint to the latest SCN recorded in the control file. The administrator controls that time by setting an MTTR target (in seconds) and through the sizing of redo log groups. For example, for two redo groups, the distance between the checkpoint position and the end of the redo log group cannot be more than 90% of the smallest redo log group.

## Using the MTTR Advisor

- Specify the desired time in seconds or minutes.
- The default value is 0 (disabled).
- The maximum value is 3,600 seconds (one hour).



The `FAST_START_MTTR_TARGET` initialization parameter simplifies the configuration of recovery time from instance or system failure. The MTTR Advisor converts the `FAST_START_MTTR_TARGET` value into several parameters to enable instance recovery in the desired time (or as close to it as possible). Please note, explicitly setting the `FAST_START_MTTR_TARGET` parameter to 0 disables the MTTR Advisor.

The `FAST_START_MTTR_TARGET` parameter must be set to a value that supports the service level agreement for your system. A small value for the MTTR target increases I/O overhead because of additional data file writes (affecting the performance). However, if you set the MTTR target too large, the instance takes longer to recover after a crash.

For assistance in setting the MTTR target using Enterprise Manager Grid, navigate as follows:

- Performance > Advisors Home > MTTR Advisor
- Availability > Backup & Recovery > Recovery Settings

## Media Failure

Typical Causes	Possible Solutions
Failure of disk drive	<ol style="list-style-type: none"><li>1. Restore the affected file from backup.</li></ol>
Failure of disk controller	<ol style="list-style-type: none"><li>2. Inform the database about a new file location (if necessary).</li></ol>
Deletion or corruption of a file needed for database operation	<ol style="list-style-type: none"><li>3. Recover the file by applying redo information (if necessary).</li></ol>

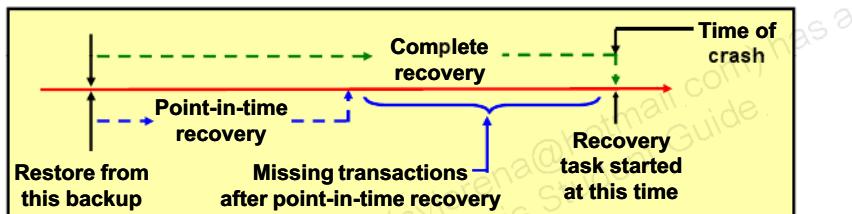
Oracle Corporation defines media failure as any failure that results in the loss or corruption of one or more database files (data, control, or redo log file).

**Recovering from media failure requires that you restore and recover the missing files.**

## Comparing Complete and Incomplete Recovery

Recovery can have two kinds of scope:

- Complete recovery: Brings the database or tablespace up to the present, including all committed data changes made to the point in time when the recover was requested
- Incomplete or point-in-time recovery (PITR): Brings the database or tablespace up to a specified point in time in the past, before the recovery operation was requested



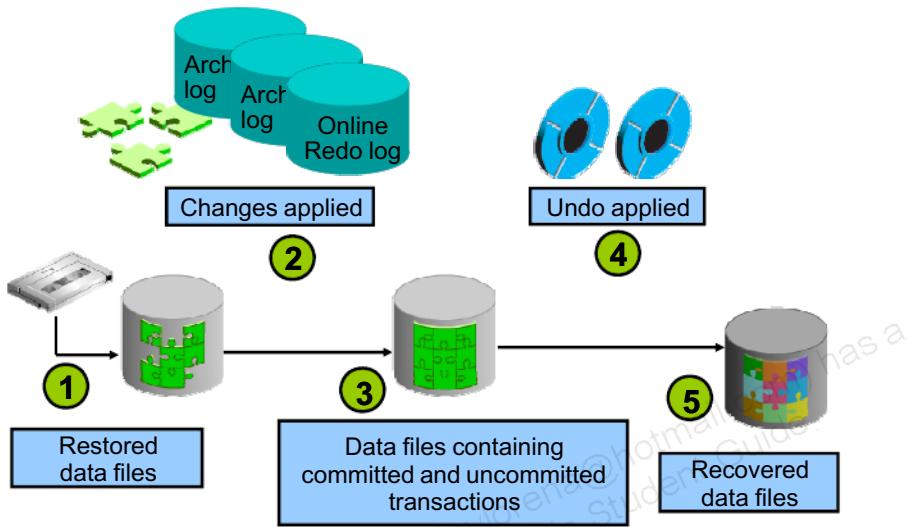
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When you perform complete recovery, you bring the database to the state where it is fully up-to-date, including all committed data modifications to the present time.

Incomplete recovery, however, brings the database or tablespace to some point of time in the past. This is also known as “point-in-time recovery (PITR).” It means there are missing transactions; any data modifications done between the recovery destination time and the present are lost. In many cases, this is the desirable goal because there may have been some changes made to the database that need to be undone. Recovering to a point in the past is a way to remove the unwanted changes.

## Complete Recovery Process



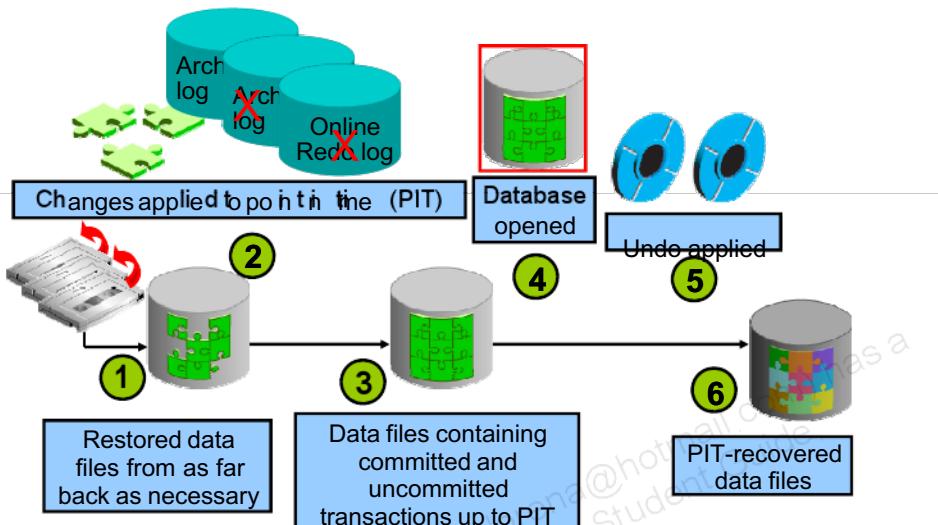
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The following steps describe what takes place during complete recovery:

1. Damaged or missing files are restored from a backup.
2. **Changes from incremental backups, archived redo log files, and online redo log files are** applied as necessary. The redo log changes are applied to the data files until the current online log is reached and the most recent transactions have been re-entered. Undo blocks are generated during this entire process. This is referred to as rolling forward or cache recovery.
3. The restored data files may now contain committed and uncommitted changes.
4. The undo blocks are used to roll back any uncommitted changes. This is sometimes referred to as transaction recovery.
5. The data files are now in a recovered state and are consistent with the other data files in the database.

## Point-in-Time Recovery Process



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Incomplete recovery, or database point-in-time recovery (DBPITR), uses a backup to produce a noncurrent version of the database. That is, you do not apply all of the redo records generated after the most recent backup. Perform this type of recovery only when absolutely necessary. To perform point-in-time recovery, you need:

- A valid offline or online backup of all the data files made before the recovery point
- All archived logs from the time of the backup until the specified time of recovery

The steps to perform a point-in-time recovery are as follows:

1. **Restore the data files from backup:** The backup that is used must be from before your target recovery point. This entails either copying files using OS commands or using the RMAN RESTORE command.
2. **Use the RECOVER command:** Apply redo from the archived redo log files, including as many as necessary to reach the restore point destination.
3. **State of over-recovery:** Now the data files contain some committed and some uncommitted transactions because the redo can contain uncommitted data.
4. **Use the ALTER DATABASE OPEN command:** The database is opened before undo is applied. This step provides the final recovered state.

5. **Apply undo data:** While the redo was being applied, redo supporting the undo data files was also applied. So the undo is available to be applied to the data files in order to undo any uncommitted transactions. That is done next.
6. **Process complete:** The data files are now recovered to the point in time that you chose.

Oracle Flashback Database is the most efficient alternative to DBPITR. Unlike the other flashback features, it operates at a physical level and reverts the current data files to their contents at a past time. The result is like the result of a DBPITR, including the OPEN RESETLOGS, but Flashback Database is typically faster because it does not require you to restore data files and requires only limited application of redo compared to media recovery.

## Oracle Data Protection Solutions

Backup and Recovery Objective	Recovery Time Objective (RTO)	Oracle Solution
Physical data protection	Hours/Days	Recovery Manager Oracle Secure Backup
Logical data protection	Minutes/Hours	Flashback Technologies
Recovery analysis	Minimize time for problem identification and recovery planning	Data Recovery Advisor

Disaster Recovery Objective	Recovery Time Objective (RTO)	Oracle Solution
Physical data protection	Seconds/Minutes	Data Guard Active Data Guard



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Oracle provides an appropriate data protection solution depending on your backup and recovery objective and RTO:

- Oracle Recovery Manager (RMAN) is the core Oracle Database software component that manages database backup, restore, and recovery processes.
- Oracle Secure Backup (OSB) is Oracle's enterprise-grade tape backup management solution for both database and file system data.
- Oracle Database Flashback technologies are a set of data recovery solutions that enable human errors to be reversed by selectively and efficiently undoing the effects of a mistake.
- The Data Recovery Advisor provides intelligent database problem identification and recovery capabilities.
- Data Guard and Active Data Guard enable physical standby databases to be open for read access while being kept synchronized with the production database through media recovery.

## Quiz

Statement failure is never by design and always requires the DBA to address the issue.

- a. True
- b. False

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**Answer: b**

## Summary

In this lesson, you should have learned how to:

- Identify the types of failure that can occur in an Oracle database
- Describe instance recovery
- Describe complete and incomplete recovery



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## Backup and Recovery: Configuración

# 16

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## Objectives

After completing this lesson, you should be able to:

- Configure the fast recovery area
- Multiplex the control file
- Multiplex redo log files
- Configure ARCHIVELOG mode

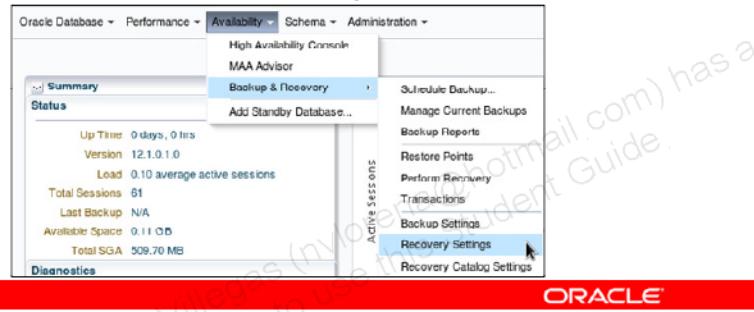


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## Configuring for Recoverability

To configure your database for maximum recoverability, you must:

- Schedule regular backups
- Multiplex control files
- Multiplex redo log groups
- Retain archived copies of redo logs



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To provide the best protection for your data, you must:

- **Schedule regular backups:** Most media failures require that you restore the lost or damaged file from backup.
- **Multiplex control files:** All control files associated with a database are identical. Recovering from the loss of a single control file is not difficult; recovering from the loss of *all* control files is much more challenging. Guard against losing all control files by having at least two copies.
- **Multiplex redo log groups:** To recover from instance or media failure, redo log information is used to roll data files forward to the last committed transaction. If your redo log groups rely on a single redo log file, the loss of that file means that data is likely to be lost. Ensure that there are at least two copies of each redo log group; if possible, each copy should be under different disk controllers.
- **Retain archived copies of redo logs:** If a file is lost and restored from backup, the instance must apply redo information to bring that file up to the latest SCN contained in the control file. With the default setting, the database can overwrite redo information after it has been written to the data files. Your database can be configured to retain redo information in **archived copies of the redo logs**. This is known as placing the database in ARCHIVELOG mode.

You can perform configuration tasks in Enterprise Manager Cloud Control or by using the SQL command line.

## Configuring the Fast Recovery Area

- Fast recovery area:
  - Strongly recommended for simplified backup storage management
  - Storage space (separate from working database files )
  - Location specified by the DB\_RECOVERY\_FILE\_DEST parameter
  - Size specified by the DB\_RECOVERY\_FILE\_DEST\_SIZE parameter
  - Large enough for backups, archived logs, flashback logs, multiplexed control files, and multiplexed redo logs
  - Automatically managed according to your retention policy
- Configuration of the fast recovery area includes specifying the location, size, and retention policy.

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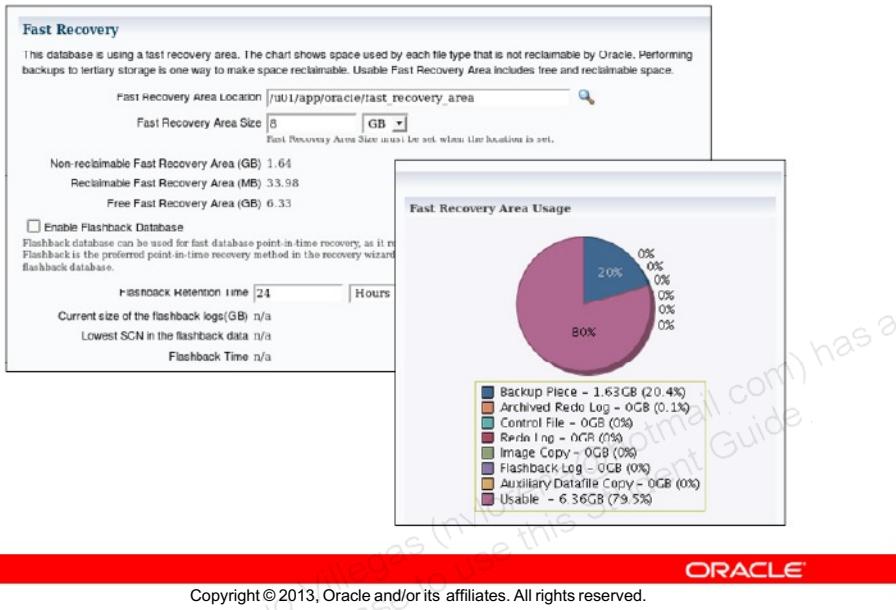
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The fast recovery area is a space that is set aside on disk to contain archived logs, backups, flashback logs, multiplexed control files, and multiplexed redo logs. A fast recovery area simplifies backup storage management and is strongly recommended. You should place the fast recovery area on storage space that is separate from the location of your database data files and primary online log files and control file.

The amount of disk space to allocate for the fast recovery area depends on the size and activity levels of your database. As a general rule, the larger the fast recovery area, the more useful it is. Ideally, the fast recovery area should be large enough for copies of your data and control files and for flashback, online redo, and archived logs needed to recover the database **with the backups kept based on the retention policy.** (In short, the fast recovery area should be at least twice the size of the database so that it can hold one backup and several archived logs.)

Space management in the fast recovery area is governed by a backup retention policy. A retention policy determines when files are obsolete, which means that they are no longer needed to meet your data recovery objectives. The Oracle Database server automatically manages this storage by deleting files that are no longer needed.

## Monitoring the Fast Recovery Area



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If you have configured your archived logs to be written to this location, it is important to monitor this space to ensure that it does not reach its capacity. If the instance is unable to create an archived log because of lack of space, it pauses until the administrator corrects the situation.

In Enterprise Manager Cloud Control, select Availability > Backup & Recovery > Recovery Settings. On this page, you can:

- Verify how much of the fast recovery area has been consumed
- Specify the location of the fast recovery area
- Specify the size of the fast recovery area
- Configure Flashback Database
- Specify the retention time

The retention time determines when files are obsolete (that is, when they are no longer needed to meet your data recovery objectives). The Oracle Database server automatically manages this storage, deleting files that are no longer needed. You can back up the recovery area so that Oracle Recovery Manager (RMAN) can fail over to other archived redo log destinations if the archived redo log in the fast recovery area is inaccessible or corrupted.

Periodically copying backups to tape frees space in the fast recovery area for other files, but retrieving files from tape causes longer database restoration and recovery times.

## Multiplexing Control Files

To protect against database failure, your database should have multiple copies of the control file.

	<b>ASMStorage</b>	<b>FileSystemStorage</b>
<b>Best Practice</b>	One copy per each disk group	At least two copies, each on separate disk (at least one on separate disk controller)
<b>Steps to create additional control files</b>	No additional control file copies required	<ol style="list-style-type: none"> <li>1. Alter the SPFILE with the ALTER SYSTEM SET control_files command.</li> <li>2. Shut down the database.</li> <li>3. Copy control file to a new location.</li> <li>4. Open the database and verify the addition of the new control file.</li> </ol>



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A control file is a small binary file that describes the structure of the database. It must be available for writing by the Oracle server whenever the database is mounted or opened. Without this file, the database cannot be mounted, and recovery or re-creation of the control file is required. Your database should have a minimum of two control files on different storage devices to minimize the impact of a loss of one control file.

The loss of a single control file causes the instance to fail because all control files must be available at all times. However, recovery can be a simple matter of copying one of the other control files. The loss of all control files is slightly more difficult to recover from but is not usually catastrophic.

### Adding a Control File

If you are using ASM as your storage technique, then as long as you have two control files, one in each disk group (such as +DATA and +FRA), then you should not require further multiplexing. In a database using Oracle Managed Files (OMF)—such as a database using ASM storage—all additional control files must be created as part of a recovery process using RMAN (or through Enterprise Manager).

In a database using regular file system storage, adding a control file is a manual operation:

1. Alter the SPFILE with the following command specifying the appropriate location of your files:

```
ALTER SYSTEM SET control_files =
  '/u01/app/oracle/oradata/orcl/control01.ctl' ,
  '/u02/app/oracle/oradata/orcl/control02.ctl' ,
  '/u03/app/oracle/oradata/orcl/control03.ctl' SCOPE=SPFILE;
```

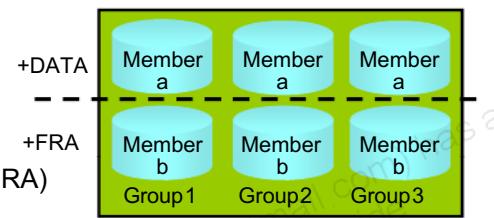
2. Shut down the database instance.
3. Use an operating system command to copy an existing control file to the location you select for your new file.
4. Open the database.

**Note:** More information about using RMAN is provided in the *Oracle Database 12c: Backup and Recovery Workshop* and in product documentation.

## Redo Log Files

Multiplex redo log groups to protect against media failure and loss of data. This increases database I/O. It is suggested that redo log groups have:

- At least two members (files) per group
- Each member:
  - On a separate disk or controller if using file system storage
  - In a separate disk group (such as +DATA and +FRA) if using ASM



**Note:** Multiplexing redo logs may impact overall database performance.

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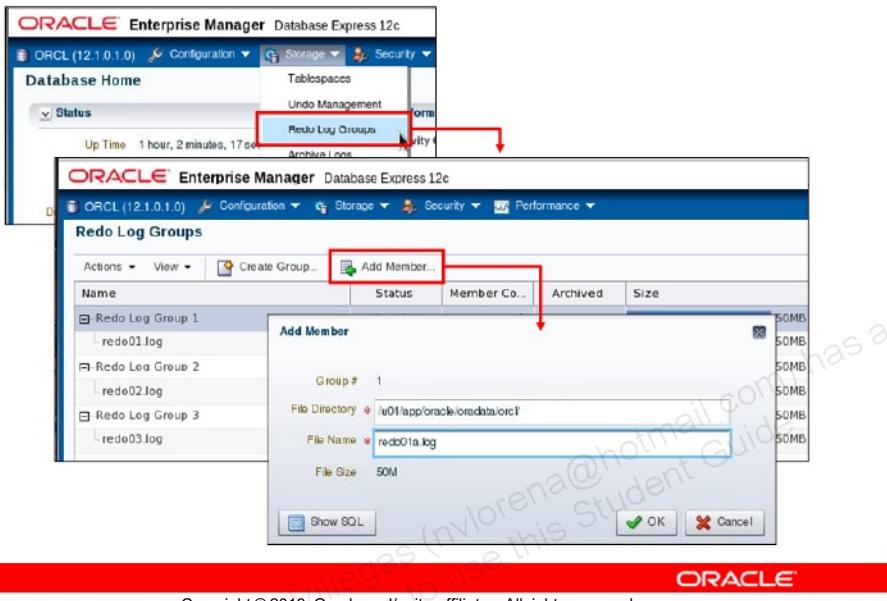
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Redo log groups are made up of one or more redo log files. Each log file in a group is a duplicate of the others. Oracle Corporation recommends that redo log groups have at least two files per group. If using file system storage, then each member should be distributed on separate disks or controllers so that no single equipment failure impacts an entire log group. If you are using ASM storage, then each member should be in a separate disk group, such as +DATA and +FRA.

The loss of an entire current log group is one of the most serious media failures because it can result in loss of data. The loss of a single member of a multiple-member log group is trivial and does not affect database operation (other than causing an alert to be published in the **alert log**). **Recovery from the loss of an entire log group requires advanced recovery** techniques and is discussed in the course titled *Oracle Database 12c: Backup and Recovery Workshop*.

Remember that multiplexing redo logs may heavily influence database performance because a commit cannot complete until the transaction information has been written to the logs. You must place your redo log files on your fastest disks served by your fastest controllers. If possible, do not place any other database files on the same disks as your redo log files (unless you are using **ASM**). Because only one group is written to at a given time, there is no performance impact in having members from several groups on the same disk.

## Multiplexing the Redo Log



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You can multiplex your redo log by adding a member to an existing log group. To add a member to a redo log group (with open database and no impact on user performance), perform the following steps in Enterprise Manager Database Express:

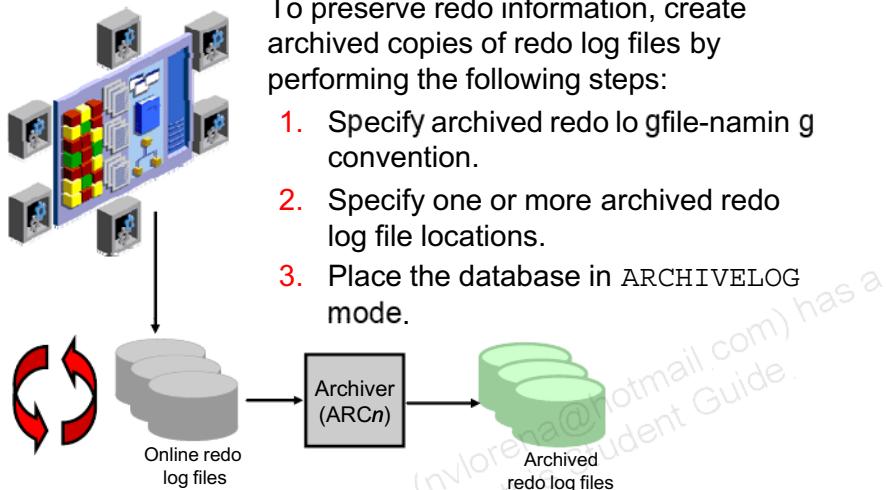
1. Select Storage > Redo Log Groups.
2. Select a group and click Add Member.
3. The Add Redo Log Member page appears.
4. For File System storage, enter the file name and the file directory. Click OK.

Repeat these steps for every existing group that you want to multiplex. An example showing the SQL syntax of adding a redo log member to redo log group 1 (using ASM) is shown here:

```
SQL> ALTER DATABASE ADD LOGFILE MEMBER '+DATA' TO GROUP 1;
```

When you add the redo log member to a group, the member's status is marked as INVALID (as can be seen in the V\$LOGFILE view). This is the expected state because the new member of the group has not yet been written to. When a log switch occurs and the group containing the new member becomes CURRENT, the member's status changes to null.

## Creating Archived Redo Log Files



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The Oracle Database server treats the online redo log groups as a circular buffer in which to store transaction information, filling one group and then moving on to the next. After all groups have been written to, the Oracle Database server begins overwriting information in the first log group.

To configure your database for maximum recoverability, you must instruct the Oracle Database server to make a copy of the online redo log group before allowing it to be overwritten. These copies are known as *archived redo log files*.

To facilitate the creation of archived redo log files:

1. Specify a naming convention for your archived redo log files.
2. Specify a destination or destinations for storing your archived redo log files.
3. Place the database in ARCHIVELOG mode.

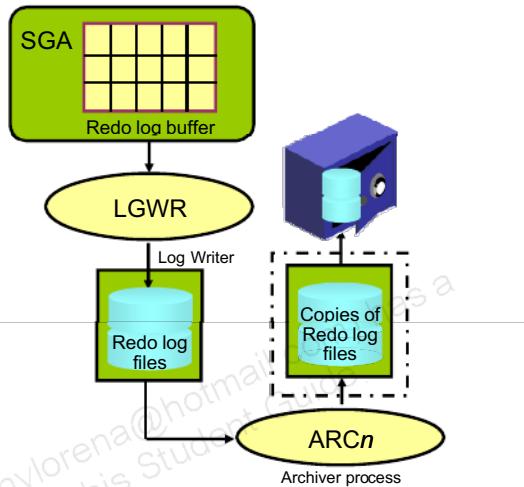
**Note:** Steps 1 and 2 are not necessary if you are using a fast recovery area.

The destination should exist before placing the database in ARCHIVELOG mode. When a directory is specified as a destination, there should be a slash at the end of the directory name.

## Archiver (ARCn) Process

Archiver (ARCn):

- Is an optional background process
- Automatically archives online redo log files when the database is in ARCHIVELOG mode
- Preserves a record of all changes made to the database



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ARCn is an optional background process. However, it is crucial to the recovery of a database after the loss of a disk. When an online redo log group gets filled, the Oracle Database server begins writing to the next online redo log group. The process of switching from one online redo log group to another is called a *log switch*. The ARCn process initiates archiving of the filled log group at every log switch. It automatically archives the online redo log group before the log group can be reused so that all the changes made to the database are preserved. This enables recovery of the database to the point of failure even if a disk drive is damaged.

One of the important decisions that a DBA must make is whether to configure the database to operate in ARCHIVELOG mode or in NOARCHIVELOG mode.

- In NOARCHIVELOG mode, the online redo log files are overwritten each time a log switch occurs.
- In ARCHIVELOG mode, inactive groups of filled online redo log files must be archived before they can be used again.

**Note** ARCHIVELOG mode is essential for most backup strategies.

- If the archived redo log file destination fills up or cannot be written to, the database will eventually come to a halt. Remove archived redo log files from the archived redo log file destination and the database will resume operations.

## Archived Redo Log Files: Naming and Destinations

Specify naming and archive destination information on the Recovery Settings page. If using file system storage, then it is recommended that you add multiple locations across different disks.

**Media Recovery**

The database is currently in NOARCHIVELOG mode. In ARCHIVELOG mode, hot backups and recovery to the latest time are possible, but you must provide space for archived redo log files. If you change the database to ARCHIVELOG mode, you should perform a backup immediately. In NOARCHIVELOG mode, only cold backups are possible and data may be lost in the event of database corruption.

ARCHIVELOG Mode

Log Archive Filename Format: `%t,%s,%r.dbf`

Number	Archived Redo Log Destination	Status	Type
1	USE_DB_RECOVERY_FILE_DEST	VALID	Local

[Add Another Row](#)

**TIP** It is recommended that archived redo log files be written to multiple locations spread across the different disks.  
**TIP** You can specify up to 10 archived redo log destinations.

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To configure archived redo log file names and destinations by using Enterprise Manager Cloud Control, select Availability > Backup & Recovery > Recovery Settings.

**Each archived redo log file must have a unique name to avoid overwriting older log files.** Specify the naming format as shown in the slide. To help create unique file names, Oracle Database allows several wildcard characters in the name format:

- **%s:** Includes the log sequence number as part of the file name
- **%t:** Includes the thread number as part of the file name
- **%r:** Includes the resetlogs ID to ensure that the archive log file name remains unique (even after certain advanced recovery techniques that reset log sequence numbers)
- **%d:** Includes the database ID as part of the file name

The format should include **%s**, **%t**, and **%r** as best practice (**%d** can also be included if multiple databases share the same archive log destination).

By default, if the fast recovery area is enabled, then **USE\_DB\_RECOVERY\_FILE\_DEST** is specified as an archived redo log file destination. Archived redo log files can be written to as many as ten different destinations. Destinations may be local (a directory) or remote (an Oracle Net alias for a standby database).

Click Add Another Row to add further destinations. To change recovery settings, you must be connected as SYSDBA, SYSOPER, or SYSBACKUP.

**Note:** If you do not want archives sent to this location, delete  
USE\_DB RECOVERY\_FILE\_DEST.

## Configuring ARCHIVELOG Mode

To place the database in ARCHIVELOG mode, perform the following steps:

- Using Enterprise Manager Cloud Control:
  1. On the Recovery Settings page, select “ARCHIVELOG Mode” and click Apply. The database can be set to ARCHIVELOG mode only from the MOUNT state.
  2. Restart the database instance by clicking “Yes” when prompted.
- Using SQL commands:
  - Mount the database.
  - Issue the ALTER DATABASE ARCHIVELOG command.
  - Open the database.



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Placing the database in ARCHIVELOG mode prevents redo logs from being overwritten until they have been archived.

In Enterprise Manager Cloud Control, select Availability > Backup & Recovery > Recovery Settings. Select “ARCHIVELOG Mode” and click Apply. The database instance must be restarted after making this change.

To issue the SQL command to put the database in ARCHIVELOG mode, the database must be in MOUNT mode. If the database is currently open, you must shut it down cleanly (not abort), and then mount it as shown in the following example:

```
shutdown immediate
startup mount
alter database archivelog;
alter database open;
```

With the database in NOARCHIVELOG mode (the default), recovery is possible only until the time of the last backup. All transactions made after that backup are lost.

In ARCHIVELOG mode, recovery is possible until the time of the last commit. Most production databases are operated in ARCHIVELOG mode.

**Note:** Back up your database after switching to ARCHIVELOG mode because your database is recoverable only from the first backup taken in that mode.

## Quiz

Which parameters configure the fast recovery area?

- a. FLASH\_RECOVERY\_AREA\_SIZE
- b. DB\_RECOVERY\_FILE\_DEST
- c. FLASH\_RECOVERY\_AREA\_LOC
- d. DB\_RECOVERY\_FILE\_DEST\_SIZE

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**Answer: b, d**

## Summary

In this lesson, you should have learned how to:

- Configure the fast recovery area
- Multiplex the control file
- Multiplex redo log files
- Configure ARCHIVELOG mode

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## Practice 16

### 16-1: Configuring Your Database for Recovery

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## Performing Database Backups

17

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## Objectives

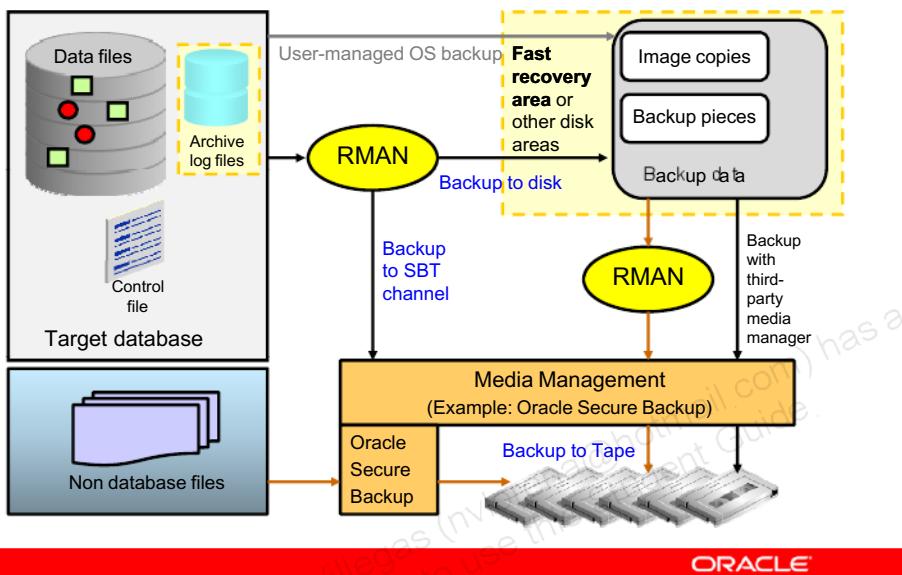
After completing this lesson, you should be able to:

- Create consistent database backups
- Back up your database without shutting it down
- Create incremental backups
- Automate database backups
- Manage backups



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## Backup Solutions: Overview



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Recovery Manager (RMAN) is the recommended method of backing up your Oracle database. You can use it to back up to disk or to a system backup to tape (SBT) channel. Oracle recommends that disk backups be stored in the fast recovery area (FRA).

Oracle Secure Backup complements existing functionality by adding backup to tape and backup of file system data. It interacts transparently with RMAN. Third-party media managers can also be used to back up to tape.

User-managed backups are non-RMAN backups, for example, using an OS utility. They are often based on scripts that a DBA must write. This option is being phased out because it is more labor intensive.

## Oracle Secure Backup

- Oracle Secure Backup and RMAN provide an end-to-end backup solution for Oracle environments:
  - Centralized tape backup management for file system data and the Oracle database
  - Most well-integrated media management layer for RMAN backups
  - Backup of any data anywhere on the network
- A single technical support resource for the entire backup solution expedites problem resolution.
- This ensures reliable data protection at lower cost and complexity.



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Oracle's current backup and recovery product for the database is Recovery Manager. Oracle Secure Backup complements existing functionality in the following ways:

- **Complete backup solution:** Oracle Secure Backup provides data protection for the database and nondatabase data to protect the entire Oracle environment.
- **Media management:** Oracle Secure Backup provides the media management layer for RMAN database backups to tape. Before Oracle Secure Backup, customers had to purchase expensive third-party media management products offering integration with RMAN tape backups.
- **Backup anywhere on the network:** Oracle Secure Backup backs up data from multiple network-attached computer systems to tape storage resources on the network. Oracle Secure Backup supports diverse configurations of servers, clients, Network Attached Storage (NAS) servers, and tertiary storage devices and protects network storage environments.

The combination of RMAN and Oracle Secure Backup provides an end-to-end backup solution entirely within the Oracle product stack. This solution makes better customer support possible because Oracle Corporation is responsible for the entire backup solution.

## User-Managed Backup

A user-managed scenario:

- Is a manual process of tracking backup needs and status
- Typically uses your own written scripts
- Requires that database files be put in the correct mode for backup
- Relies on operating system commands to make backups of files



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A user-managed backup can be performed interactively. However, most often it entails the writing of scripts to perform the backup. There are several scenarios that can be run, and scripts must be written to handle them.

Some of the actions that scripts must take:

- Querying V\$DATAFILE to determine the data files that need to be backed up and their current state
- Querying V\$LOGFILE to identify the online redo log files
- Querying V\$CONTROLFILE to identify the control file to back up
- Placing each tablespace in online backup mode
- Querying V\$BACKUP to see what data files are part of a tablespace that has been placed in online backup mode
- Issuing operating system copy commands to copy the data files to the backup location
- Bringing each tablespace out of online backup mode

## Understanding Backup Terminology

- *Backup strategy* may include:
  - Entire database (whole)
  - Portion of the database (partial)
- *Backup type* may indicate inclusion of:
  - All data blocks within your chosen files (full)
  - Only information that has changed since a previous backup (incremental)
    - Cumulative (changes since last level 0)
    - Differential (changes since last incremental)
- *Backup mode* may be:
  - Offline (consistent, cold)
  - Online (inconsistent, hot)



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**Whole database backup:** Includes all data files and at least one control file (Remember that all control files in a database are identical.)

**Partial database backup:** May include zero or more tablespaces and zero or more data files; may or may not include a control file

**Full backup:** Makes a copy of each data block that contains data and that is within the files being backed up

**Incremental backup:** Makes a copy of all data blocks that have changed since a previous backup. Oracle Database supports two levels of incremental backup (0 and 1). A level 1 incremental backup can be one of two types: *cumulative* or *differential*. A cumulative backup backs up all changes since the last level 0 backup. A differential backup backs up all changes since the last incremental backup (which could be either a level 0 or level 1 backup). Change Tracking with RMAN supports incremental backups.

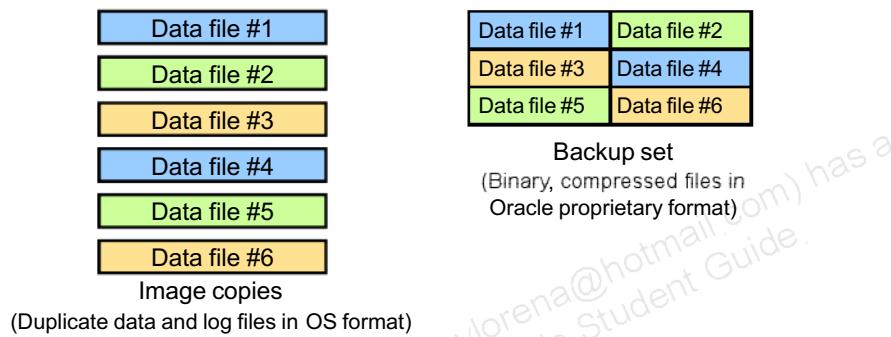
**Offline backups** (also known as “cold” or *consistent* backup): Are taken while the database is not open. They are consistent because, at the time of the backup, the system change number (SCN) in data file headers matches the SCN in the control files.

**Online backups** (also known as “hot” or *inconsistent* backup): Are taken while the database is open. They are inconsistent because, with the database open, there is no guarantee that the data files are synchronized with the control files.

## Understanding Types of Backups

Backups may be stored as:

- Image copies
- Backup sets



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**Image copies:** Are duplicates of data or archived log files (similar to simply copying the files by using operating system commands)

**Backup sets:** Are collections of one or more binary files that contain one or more data files, control files, server parameter files, or archived log files. With backup sets, empty data blocks are not stored, thereby causing backup sets to use less space on the disk or tape. Backup sets can be compressed to further reduce the space requirements of the backup.

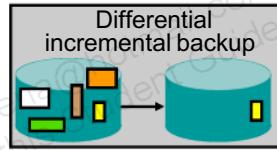
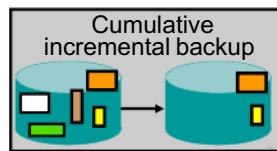
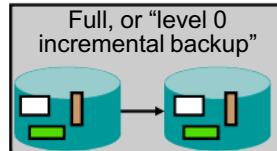
Image copies must be backed up to the disk. Backup sets can be sent to the disk or directly to the tape.

The advantage of creating a backup as an image copy is improved granularity of the restore operation. With an image copy, only the file or files need to be retrieved from your backup location. With backup sets, the entire backup set must be retrieved from your backup location before you extract the file or files that are needed.

The advantage of creating backups as backup sets is better space usage. In most databases, 20% or more of the data blocks are empty blocks. Image copies back up every data block, even if the data block is empty. Backup sets significantly reduce the space required by the backup. In most systems, the advantages of backup sets outweigh the advantages of image copies.

## RMAN Backup Types

- A *full backup* contains all used data file blocks.
- A *level 0 incremental backup* is equivalent to a full backup that has been marked as level 0.
- A *cumulative level 1 incremental backup* contains only blocks modified since the last level 0 incremental backup.
- A *differential level 1 incremental backup* contains only blocks modified since the last incremental backup.



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### Full Backups

A full backup is different from a whole database backup. A full data file backup is a backup that includes every used data block in the file. RMAN copies all blocks into the backup set or image copy, skipping only those data file blocks that are not part of an existing segment. For a full image copy, the entire file contents are reproduced exactly. A full backup cannot be part of an incremental backup strategy; it cannot be the parent for a subsequent incremental backup.

### Incremental Backups

An incremental backup is either a level 0 backup, which includes every block in the data files except blocks that have never been used, or a level 1 backup, which includes only those blocks that have been changed since a previous backup was taken. A level 0 incremental backup is physically identical to a full backup. The only difference is that the level 0 backup (as well as an image copy) can be used as the base for a level 1 backup, but a full backup can never be used as the base for a level 1 backup.

Incremental backups are specified using the `INCREMENTAL` keyword of the `BACKUP` command. You specify `INCREMENTAL LEVEL [0 | 1]`.

RMAN can create multilevel incremental backups as follows:

- **Differential:** Is the default type of incremental backup that backs up all blocks changed after the most recent incremental backup at either level 1 or level 0
- **Cumulative:** Backs up all blocks changed after the most recent backup at level 0

### Examples

- To perform an incremental backup at level 0, use the following command:

```
    RMAN> BACKUP INCREMENTAL LEVEL 0 DATABASE;
```

- To perform a differential incremental backup, use the following command:

```
    RMAN> BACKUP INCREMENTAL LEVEL 1 DATABASE;
```

- To perform a cumulative backup, use the following command

```
    RMAN> BACKUP INCREMENTAL LEVEL 1 CUMULATIVE DATABASE;
```

RMAN makes full backups by default if neither FULL nor INCREMENTAL is specified. Unused block compression causes never-written blocks to be skipped when backing up data files to backup sets, even for full backups.

A full backup has no effect on subsequent incremental backups, and is not considered part of any incremental backup strategy, although it may be copied along with other data files. A full backup is updated by applying incremental backups with the RECOVER command.

**Note:** It is possible to perform any type of backup (full or incremental) of a database that is in NOARCHIVELOG mode—if, of course, the database is not open. Note also that recovery is limited to the time of the last backup. The database can be recovered to the last committed transaction only when the database is in ARCHIVELOG mode.

## Using Recovery Manager (RMAN)

- Provides a powerful control and scripting language
- Includes a published API that enables interface with most popular backup software
- Backs up data, control, archived redo log, and server parameter files
- Backs up files to disk or tape
- Is integrated with Enterprise Manager Cloud Control

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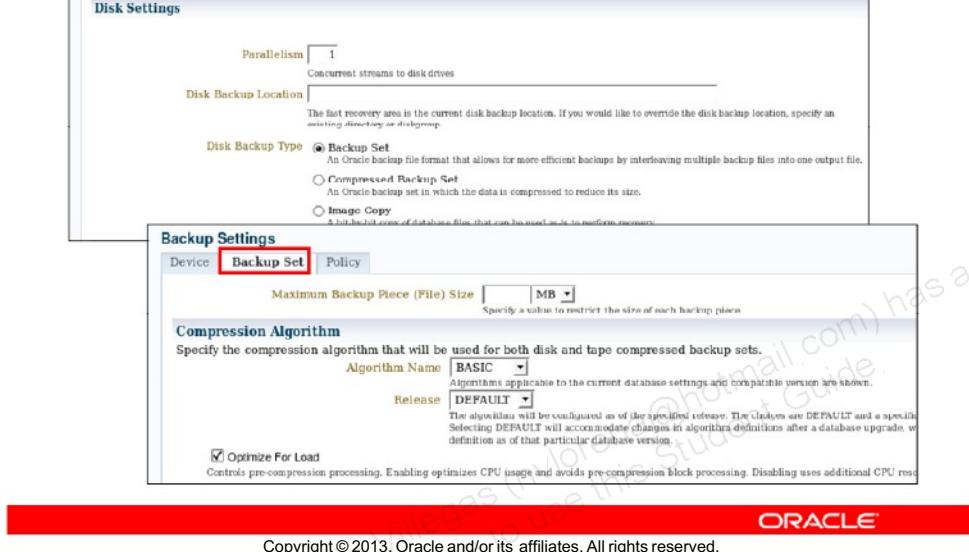
RMAN is the component of the Oracle Database server that is used to perform backup and recovery operations. It can be used to make consistent and inconsistent backups, perform incremental and full backups, and back up either the whole database or a portion of it.

RMAN uses its own powerful job control and scripting language, as well as a published API that interfaces RMAN with many popular backup software solutions.

RMAN can store backups on the disk for quick recovery or place them on the tape for long-term storage. For RMAN to store backups on the tape, you must either use Oracle Secure Backup or configure an interface to the tape device known as a media management library (MML).

Enterprise Manager Cloud Control provides a graphical interface to the most commonly used RMAN functionality. Advanced backup and recovery operations are accessible through RMAN's command-line client. For more information about advanced RMAN capabilities, see the course titled *Oracle Database 12c: Backup and Recovery Workshop* or consult the *Oracle Backup and Recovery User's Guide*.

## Configuring Backup Settings



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By using RMAN commands or the Enterprise Manager Cloud Control interface, you can manage the persistent backup settings that are used for creating backups. In Enterprise Manager Cloud Control, select Availability > Backup & Recovery > Backup Settings. There are separate settings for disk and tape. Tape settings depend on the media management library capabilities. Disk settings include:

- Parallelism:** How many separate streams of backup information do you want to create? The best setting for parallelism depends on your hardware. As hardware resources increase, the appropriate degree of parallelism also increases. Generally, you want to set your parallelism to the number of disks that your disk backup location is striped over. **For tape backup, you want to set your parallelism to the same number of tape drives** that you have.
- Disk backup location:** Where should backups be stored? The default is the fast recovery area. If you change this, click Test Disk Backup to verify that RMAN can write to the new location.
- Disk backup type:** Select Backup Set, Compressed Backup Set, or Image Copy.

Click the Backup Set tab to set the maximum file size of backup pieces, specify the compression algorithm to be used for compressed backup sets, and specify redundancy for tape backups. Host credentials are required to save changes to the backup settings.

## Configuring Backup Settings

**Backup Settings**

**Device** | **Backup Set** | **Policy**

**Backup Policy**

Automatically backup the control file and server parameter file (SPFILE) with every backup and database structural change

**Autobackup Disk Location**

An existing directory or diskgroup name where the control file and server parameter file will be backed up. If you do not specify a location, the files will be backed up to the fast recovery area location.

Optimize the whole database backup by skipping unchanged files such as read-only and offline datafiles that have been backed up

Enable block change tracking for faster incremental backups

**Block Change Tracking File**

Specify a location and file, otherwise an Oracle managed file will be used.

**Tablespaces Excluded From Whole Database Backup**

Add the tablespaces you want to exclude from a whole database backup.

Select	Tablespace Name	Tablespace Number	Status	Comments
No Items Selected				

**TIP** These tablespaces can be backed up separately using tablespace backup.

**Retention Policy**

- Retain All Backups  
You must manually delete any backups.
- Retain backups that are necessary for a recovery to any time within the specified number of days (point-in-time recovery)
- Retain at least the specified number of full backups for each datafile

Days:  Recovery Window

Backups:  Redundancy

**Archived Redo Log Deletion Policy**

Specify the deletion policy for archived redo log files. The archived redo log files will be eligible for deletion if the fast recovery area becomes full.

None

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Click the Policy tab to:

- Automatically back up the control file and server parameter file (SPFILE) with each backup. You can also specify a location for these backups if you do not want them to go to the fast recovery area.
- Optimize backups by not backing up files that exactly match a file that is already part of the retained backups. This setting enables you to skip read-only and offline data files.
- Enable block change tracking and specify a location for the tracking file. If you intend to create incremental backups, this setting can decrease the time required to choose which blocks to include in the incremental backup.
- Exclude tablespaces from a whole database backup. Some administrators choose not to back up tablespaces containing data or objects that can be easily re-created (such as indexes or data that is batch-loaded frequently).
- Specify a retention policy: How long should RMAN keep your backups? If you are using the fast recovery area to store backups, RMAN automatically deletes old backups to make room for new ones (if the retention policy allows it). By default, only the last backup is retained. The retention policy can be specified as a number of backups or a number of days.

## Oracle-Suggested Backup

- Provides an out-of-the-box backup strategy based on the backup destination
- Sets up a recovery window for backup management
- Schedules recurring and immediate backups:



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Enterprise Manager Cloud Control makes it easy for you to set up an Oracle-suggested backup strategy that protects your data and provides efficient recoverability to any point in the preceding 24 hours, and possibly as far back as 48 hours, depending on when the last backup was created. The Oracle-suggested strategy uses the incremental backup and incrementally updated backup features, providing faster recoverability than is possible when applying database changes from the archived redo log files.

Because these backups on disk are retained, you can always perform a full database recovery or a point-in-time recovery to any time within the past 24 hours, at the minimum. The recovery time could reach back as far as 48 hours. This is because just before a backup is taken on a given day, the backup from the beginning of day n-1 still exists.

## Selecting a Backup Strategy

The screenshot shows the Oracle Enterprise Manager Cloud Control 12c interface. In the top left, it says "ORACLE Enterprise Manager Cloud Control 12c". Below that, there's a "Schedule Backup" section. It has two main options: "Oracle-Suggested Backup" and "Customized Backup". Under "Oracle-Suggested Backup", there's a note about an automated backup strategy and a "Schedule Oracle-Suggested Backup" button. Under "Customized Backup", there's a note about selecting objects to back up, with radio buttons for "Whole Database", "Tablespaces", "Datafiles", "Archived Logs", and "All Recovery Files on Disk". A callout box highlights the "Backup Strategies" section, which compares "Oracle-suggested" and "Customized" strategies. The "Oracle-suggested" list includes: Provides an out-of-the-box backup strategy based on the backup destination, sets up recovery window for backup management, schedules recurring and immediate backups, and automates backup management. The "Customized" list includes: specify the objects to be backed up, choose disk or tape backup destination, override the default backup settings, and schedule the backup. At the bottom right, it says "Copyright © 2013, Oracle and/or its affiliates. All rights reserved." and the "ORACLE" logo.

To establish an Oracle-suggested strategy, navigate to the database home page > Availability > Backup & Recovery > Schedule Backup. The Backup Strategies section enables you to select from the Oracle-suggested backup and Customized backup strategies. The Oracle-suggested strategy takes a full database copy as the first backup. Because it is a whole database backup, you might want to consider taking this at the period of least activity. After that, an incremental backup to disk is taken every day. Optionally, a weekly tape backup can be made, which backs up all recovery-related files.

By clicking Schedule Customized Backup, you gain access to a wider range of configuration options. Select the objects that you want to back up—the whole database (the default) or individual tablespaces, data files, archived logs, or any Oracle backups currently residing on the disk (to move them to the tape).

Both strategies enable you to set up encrypted backups.

## Backing Up the Control File to a Trace File

- Control files can be backed up to a trace file, generating a SQL command to re-create the control file.
- Control file trace backups may be used to recover from loss of all control files.



In Enterprise Manager Database Express, select Storage > Control Files to manage your database's control files. Control files have an additional backup option; they may be backed up to a trace file. A control file trace backup contains the SQL statement required to re-create the control files in the event that all control files are lost.

Although it is very unlikely that a properly configured database (with multiple copies of the control file placed on separate disks and separate controllers) would lose all control files at the same time, it is possible. Therefore, you should back up the control file to a trace file after each change to the physical structure of the database (adding tablespaces or data files, or adding additional redo log groups).

Trace copies of the control file can be created by using Enterprise Manager Database Express, Enterprise Manager Cloud Control, or by using the following SQL command:

```
ALTER DATABASE BACKUP CONTROLFILE TO TRACE;
```

The trace backup is created in the location specified by the DIAGNOSTIC\_DEST initialization

parameter. For example, in this course, the trace file for the `orcl` database is found in the `param/app/oracle/diag/rdbms/orcl/trc1/trace` directory and will have a file name such as `orcl_ora_924.trc`.

## Managing Backups

**Manage Current Backups**

This backup data was retrieved from the database control file.

**Backup Sets** **Image Copies**

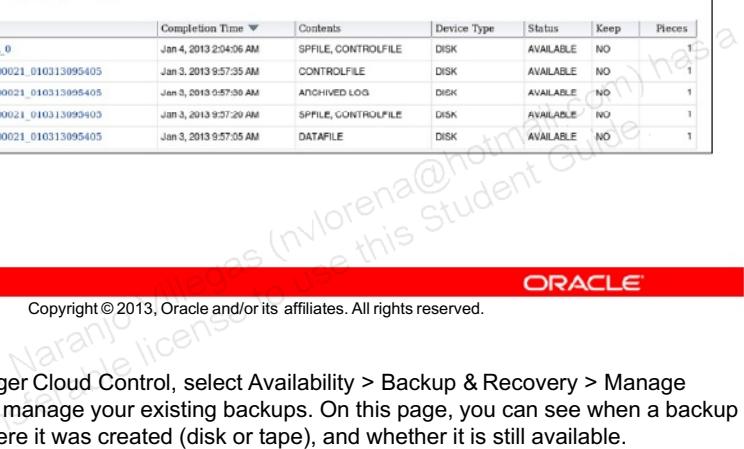
**Search**

Status: Available **Contents:**  Datafile  Archived Redo Log  SPFILE  Control File  
**Completion Time:** Within a month **Go**

**Results**

**Crosscheck** **Change to Unavailable** **Delete** **Validate**

Select	Key	Tag	Completion Time	Contents	Device Type	Status	Keep	Pieces
<input type="checkbox"/>	5	ORASOEM_LEVEL_0	Jan 4, 2013 2:04:06 AM	SPFILE, CONTROLFILE	DISK	AVAILABLE	NO	1
<input type="checkbox"/>	4	BACKUP_ORCL_000021_010313095405	Jan 3, 2013 9:57:35 AM	CONTROLFILE	DISK	AVAILABLE	NO	1
<input type="checkbox"/>	3	BACKUP_ORCL_000021_010313095405	Jan 3, 2013 9:57:30 AM	ARCHIVED LOG	DISK	AVAILABLE	NO	1
<input type="checkbox"/>	2	BACKUP_ORCL_000021_010313095403	Jan 3, 2013 9:57:20 AM	SPFILE, CONTROLFILE	DISK	AVAILABLE	NO	1
<input type="checkbox"/>	1	BACKUP_ORCL_000021_010313095405	Jan 3, 2013 9:57:05 AM	DATAFILE	DISK	AVAILABLE	NO	1



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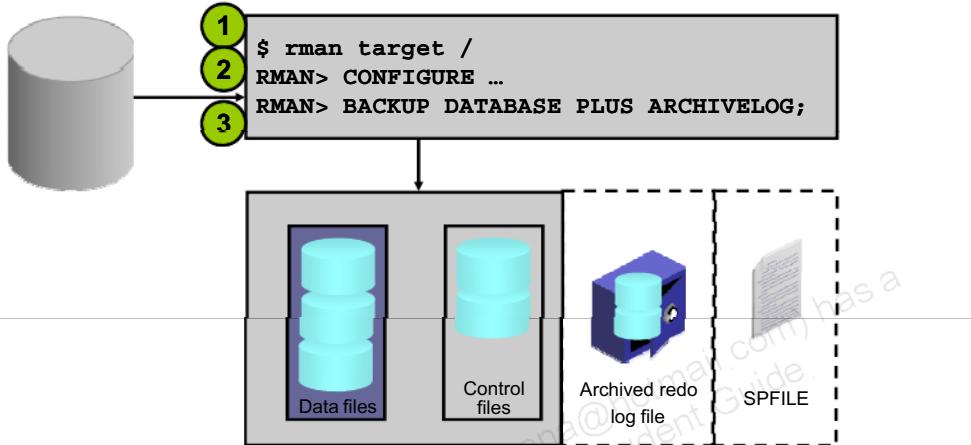
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In Enterprise Manager Cloud Control, select Availability > Backup & Recovery > Manage Current Backups to manage your existing backups. On this page, you can see when a backup was completed, where it was created (disk or tape), and whether it is still available.

At the top of the Manage Current Backups page, four buttons enable you to work with existing backups:

- Catalog Additional Files:** Although RMAN (working through Enterprise Manager) is the recommended way to create backups, you might have image copies or backup sets that were created by some other means or in some other environment with the result that RMAN is not aware of them. This task identifies those files and adds them to the catalog.
- Crosscheck All:** RMAN can automatically delete obsolete backups, but you can also delete them by using operating system commands. If you delete a backup without using RMAN, the catalog does not know whether the backup is missing until you perform a cross-check between the catalog and what is really there.
- Delete All Obsolete:** This deletes backups older than the retention policy.
- Delete All Expired:** This deletes the catalog listing for any backups that are not found when the cross-check is performed as described previously.

## Using RMAN Commands to Create Backups



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1. In a terminal session, start RMAN and connect to the target database.
2. Execute configuration commands:
  - CONFIGURE DEFAULT DEVICE TYPE TO disk;
  - CONFIGURE DEVICE TYPE DISK BACKUP TYPE TO COPY;
  - CONFIGURE CONTROLFILE AUTOBACKUP ON;
3. A whole database backup is a copy of all data files and the control file. You can optionally include the server parameter file (SPFILE) and archived redo log files. Using RMAN to make an image copy of all the database files simply requires mounting or opening the database, starting RMAN, and entering the BACKUP command shown in the slide.

Optionally, you can supply the DELETE INPUT option when backing up archive log files. That causes RMAN to remove the archive log files after backing them up. This is useful especially if you are not using a fast recovery area, which would perform space

management for you, deleting files when space pressure grows. In that case, the command in the slide would look like the following:

```
RMAN> BACKUP DATABASE PLUS ARCHIVELOG DELETE INPUT;
```

You can also create a backup (either a backup set or image copies) of previous image copies of all data files and control files in the database by using the following command:

```
RMAN> BACKUP COPY OF DATABASE;
```

## Quiz

Using the change-tracking feature, an image copy backup performed by RMAN can skip blocks that have not changed since the last backup.

- a. True
- b. False

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**Answer: b**

## Summary

In this lesson, you should have learned how to:

- Create consistent database backups
- Back up your database without shutting it down
- Create incremental backups
- Automate database backups
- Manage backups and view backup reports

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## Practice 17

- 17-1: Backing Up the Control File
- 17-2: Configuring Automatic Backups of the Control File and SPFILE
- 17-3: Creating a Whole Database Backup

## Performing Database Recovery

18

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## Objectives

After completing this lesson, you should be able to:

- Determine the need for performing recovery
- Describe and use available options, such as Recovery Manager (RMAN) and the Data Recovery Advisor
- Perform recovery:
  - Control file
  - Redo log file
  - Data file

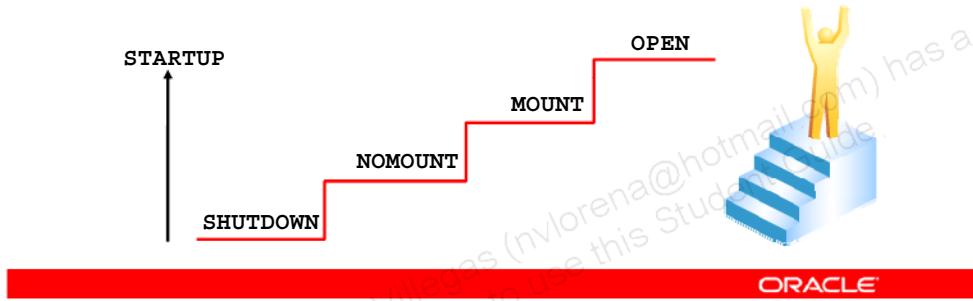


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## Opening a Database

To open a database:

- All control files must be present and synchronized
- All online data files must be present and synchronized
- At least one member of each redo log group must be present



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As a database moves from the shutdown stage to being fully open, it performs internal consistency checks with the following stages:

- **NOMOUNT:** For an instance to reach the NOMOUNT (also known as STARTED) status, the instance must read the initialization parameter file. No database files are checked while the instance enters the NOMOUNT state.
- **MOUNT:** As the instance moves to the MOUNT status, it checks whether all control files listed in the initialization parameter file are present and synchronized. If even one control file is missing or corrupt, the instance returns an error (noting the missing control file) to the administrator and remains in the NOMOUNT state.
- **OPEN:** When the instance moves from the MOUNT state to the OPEN state, it does the following:
  - Checks whether all redo log groups known to the control file have at least one member present. Any missing members are noted in the alert log.

- Verifies that all data files known to the control file are present unless they have been taken offline. Offline files are not checked until the administrator tries to bring them online. The administrator may take a data file offline and open the instance if the data file does not belong to the SYSTEM or UNDO tablespaces. If any files are missing, an error noting the first missing file is returned to the administrator and the instance remains in the MOUNT state. When the instance finds files that are missing, only the first file causing a problem appears in the error message. To find all files that need recovery, the administrator can check the v\$recover\_file dynamic performance view to get a complete list of the files that need attention:

```
SQL> startup
ORACLE instance started.
Total System Global Area  171966464 bytes
Fixed Size                  775608 bytes
Variable Size                145762888 bytes
Database Buffers              25165824 bytes
Redo Buffers                  262144 bytes
Database mounted.

ORA-01157: cannot identify/lock data file 4 - see DBWR trace file
ORA-01110: data file 4: '/oracle/oradata/orcl/users01.dbf'.

SQL> SELECT name, error
  2  FROM v$datafile
  3  JOIN v$recover_file
  4  USING (file#);
NAME                           ERROR
-----
/oracle/oradata/orcl/users01.dbf  FILE NOT FOUND
/oracle/oradata/orcl/example01.dbf FILE NOT FOUND
```

- Verifies that all data files that are not offline or read-only are synchronized with the control file. If necessary, instance recovery is automatically performed. However, if a file is out of synchronization to the extent that it cannot be recovered by using the online redo log groups, then the administrator must perform media recovery. If any files require media recovery, an error message noting the first file requiring recovery is returned to the administrator and the instance remains in the MOUNT state:

```
ORA-01113: file 4 needs media recovery
ORA-01110: data file 4: '/oracle/oradata/orcl/users01.dbf'
```

**Again, v\$recover\_file gives a complete list of files that need attention. Files that are present and require media recovery are listed, but no error message is displayed.**

## Keeping a Database Open

After the database is open, it fails in case of the loss of:

- Any control file
- A data file belonging to the system or undo tablespaces
- An entire redo log group (As long as at least one member of the group is available, the instance remains open.)

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After a database is open, instance failure can be caused by media failure: for example, by the loss of a control file, the loss of an entire redo log group, or the loss of a data file belonging to the SYSTEM or UNDO tablespaces. Even if an inactive redo log group is lost, the database would eventually fail due to log switches.

In many cases, the failed instance does not completely shut down but is unable to continue to perform work. Recovering from these types of media failure must be done with the database down. As a result, the administrator must use the SHUTDOWN ABORT command before beginning recovery efforts.

The loss of data files belonging to other tablespaces does not cause instance failure, and the database can be recovered even if it is open, with or without damage to the tablespaces.

These errors can be detected by inspecting the alert log file or by using the Data Recovery Advisor.

## Data Recovery Advisor

- Fast detection, analysis, and repair of failures
- Handling of down-time and runtime failures
- Minimizing disruptions for users
- User interfaces:
  - Enterprise Manager Cloud Control
  - RMAN command line



The Data Recovery Advisor automatically gathers data failure information when an error is encountered. In addition, it can proactively check for failures. In this mode, it can potentially detect and analyze data failures before a database process discovers the corruption and signals an error. (Note that repairs are always under human control.)

Data failures can be very serious. For example, if your current log files are missing, you cannot open your database. Some data failures (like block corruptions in data files) are not catastrophic because they do not take the database down or prevent you from opening the Oracle database. The Data Recovery Advisor handles both cases: the one when you cannot start up the database (because required database files are missing, inconsistent, or corrupted) and the one when file corruptions are discovered during run time.

The preferred way to address serious data failures is as follows:

1. Fail over to a standby database if you are in a Data Guard configuration. This allows users to come back online as soon as possible.
2. Repair the primary cause of the data failure.

### User Interfaces

The Data Recovery Advisor is available in Enterprise Manager Cloud Control. When failures exist, there are several ways to access the Data Recovery Advisor.

You can also use the Data Recovery Advisor by using the RMAN command line:

```
rman target /  
rman> list failure all;
```

### Supported Database Configurations

In the current release, the Data Recovery Advisor supports single-instance databases. Oracle Real Application Clusters databases are not supported.

The Data Recovery Advisor cannot use blocks or files transferred from a standby database to repair failures on a primary database. Furthermore, you cannot use the Data Recovery Advisor to diagnose and repair failures on a standby database. However, the Data Recovery Advisor does support failover to a standby database as a repair option (as mentioned previously).

## Loss of a Control File

If a control file is lost or corrupted, the instance normally aborts.

- If control files are stored in ASM disk groups, recovery options are as follows:
  - Perform guided recovery using Enterprise Manager.
  - Put database in NOMOUNT mode and use an RMAN command to restore control file from existing control file.

```
RMAN> restore controlfile from
  '+DATA/orcl/controlfile/current.260.695209463';
```

- If control files are stored as regular file system files, then:
  - Shut down the database
  - Copy existing control file to replace lost control file

After control file is successfully restored, open the database.



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The options for recovery from the loss of a control file depend on the storage configuration of the control files and on whether at least one control file remains or have all been lost.

If using ASM storage, and at least one control file copy remains, you can perform guided recovery using Enterprise Manager or perform manual recovery using RMAN as follows:

1. Put the database in NOMOUNT mode.
2. Connect to RMAN and issue the RESTORE CONTROLFILE command to restore the control file from an existing control file, for example:  

```
restore controlfile from
  '+DATA/orcl/controlfile/current.260.695209463';
```
3. After the control file is successfully restored, open the database.

If your control files are stored as regular file system files and at least one control file copy remains, then, while the database is down, you can just copy one of the remaining control files to the missing file's location. If the media failure is due to the loss of a disk drive or

controller, copy one of the remaining control files to some other location and update the instance's parameter file to point to the new location. Alternatively, you can delete the reference to the missing control file from the initialization parameter file. Remember that Oracle recommends having at least two control files at all times.

**Note:** Recovering from the loss of all control files is covered in the course titled *Oracle Database 12c: Backup and Recovery Workshop*.

## Loss of a Redo Log File

If a member of a redo log file group is lost and if the group still has at least one member, note the following results:

- Normal operation of the instance is not affected.
- You receive a message in the alert log notifying you that a member cannot be found.
- You can restore the missing log file by dropping the lost redo log member and adding a new member.
- If the group with the missing log file has been archived, you can clear the log group to re-create the missing file.

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Recovering from the loss of a single redo log group member should not affect the running instance.

To perform this recovery by using SQL commands:

1. Determine whether there is a missing log file by examining the alert log.
2. Restore the missing file by first dropping the lost redo log member:

```
ALTER DATABASE DROP LOGFILE MEMBER '<filename>'
```

Then add a new member to replace the lost redo log member:

```
ALTER DATABASE ADD LOGFILE MEMBER '<filename>'  
TO GROUP <integer>
```

**Note:** If you are using Oracle Managed Files (OMF) for your redo log files and you use the preceding syntax to add a new redo log member to an existing group, that new redo log member file will not be an OMF file. If you want to ensure that the new redo log member is an OMF file, then the easiest recovery option would be to create a new redo log group and then drop the redo log group that had the missing redo log member.

3. If the media failure is due to the loss of a disk drive or controller, rename the missing file.
4. If the group has already been archived or if you are in NOARCHIVELOG mode you may choose to solve the problem by clearing the log group to re-create the missing file or files. You can clear the affected group manually with the following command:

```
ALTER DATABASE CLEAR LOGFILE GROUP <integer>;
```

**Note:** Enterprise Manager does not allow you to clear a log group that has not been archived. Doing so breaks the chain of redo information. If you must clear an unarchived log group, you should *immediately* take a full backup of the whole database. Failure to do so may result in a loss of data if another failure occurs. To clear an unarchived log group, use the following command:

```
ALTER DATABASE CLEAR UNARCHIVED LOGFILE GROUP <integer>
```

## Loss of a Data File in NOARCHIVELOG Mode

If the database is in NOARCHIVELOG mode and if any data file is lost, perform the following tasks:

1. Shut down the instance if it is not already down.
2. Restore the entire database—including all data and control files—from the backup.
3. Open the database.
4. Have users re-enter all changes that were made since the last backup.



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The loss of *any* data file from a database in NOARCHIVELOG mode requires complete restoration of the database, including control files and all data files.

With the database in NOARCHIVELOG mode, recovery is possible only up to the time of the last backup. So users must re-enter all changes made since that backup.

To perform this type of recovery by using Enterprise Manager Cloud Control:

1. Shut down the instance if it is not already down.
2. Select Availability > Backup & Recovery > Perform Recovery.
3. Select Whole Database as the type of recovery.

If you have a database in NOARCHIVELOG mode that has an incremental backup strategy, RMAN first restores the most recent level 0 and then RMAN recovery applies the incremental backups.

## Loss of a Noncritical Data File in ARCHIVELOG Mode

If a data file is lost or corrupted, and if that file does not belong to the SYSTEM or UNDO tablespace, you restore and recover the missing data file.



With the database in ARCHIVELOG mode, the loss of any data file not belonging to the SYSTEM or UNDO tablespaces affects only the objects that are in the missing file. The rest of the database remains available for users to continue work.

To restore and recover the missing data file by using Enterprise Manager Cloud Control, use the Data Recovery Advisor or perform the following steps:

1. Select Availability > Backup & Recovery > Perform Recovery.
2. In the User Directed Recovery section, select Datafiles in the Recovery Scope menu and then select "Recover to current time."
3. Click Recover to begin the guided restore and recovery process.
4. Click Add to select the data files to restore and recover.
5. Specify whether you want to restore the files to the default location or (if a disk or controller is missing) to a new location.
6. Submit the RMAN job to restore and recover the missing files.

Because the database is in ARCHIVELOG mode, recovery is possible up to the time of the last commit and users are not required to re-enter any data.

## Loss of a System-Critical Data File in ARCHIVELOG Mode

If a data file is lost or corrupted, and if that file belongs to the SYSTEM or UNDO tablespace, perform the following tasks:

1. The instance may or may not shut down automatically. If it does not, use SHUTDOWN ABORT to bring the instance down.
2. Mount the database.
3. Restore and recover the missing data file.
4. Open the database.



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Data files belonging to the SYSTEM tablespace or containing UNDO data are considered system critical. A loss of one of these files requires the database to be restored from the MOUNT state (unlike other data files that may be restored with the database open).

To perform this recovery by using Enterprise Manager Cloud Control:

1. If the instance is not already shut down, shut it down.
2. Mount the database.
3. Select Availability > Backup & Recovery > Perform Recovery.
4. In the User Directed Recovery Section, select Datafiles in the Recovery Scope menu and then select “Recover to current time.”
5. Click Add to select all data files that need recovery.
6. Specify whether you want to restore the files to the default location or (if a disk or controller is missing) to a new location.
7. Submit the RMAN job to restore and recover the missing files.
8. Open the database. Users are not required to re-enter data because the recovery is up to the time of the last commit.

## Quiz

An Oracle Database instance will not fail if the following event occurs:

- a. Loss of a control file if there is a remaining multiplexed control file
- b. Loss of the SYSTEM tablespace
- c. Loss of one redo log member if there is a remaining multiplexed redo log member from the same group of the lost member
- d. Loss of the active undo tablespace

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**Answer: c**

## Summary

In this lesson, you should have learned how to:

- Determine the need for performing recovery
- Describe and use available options, such as Recovery Manager (RMAN) and the Data Recovery Advisor
- Perform recovery:
  - Control file
  - Redo log file
  - Data file



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## Practice 18

### 18-1: Recovering from the Loss of a Data File

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# 19

## Moving Data

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## Objectives

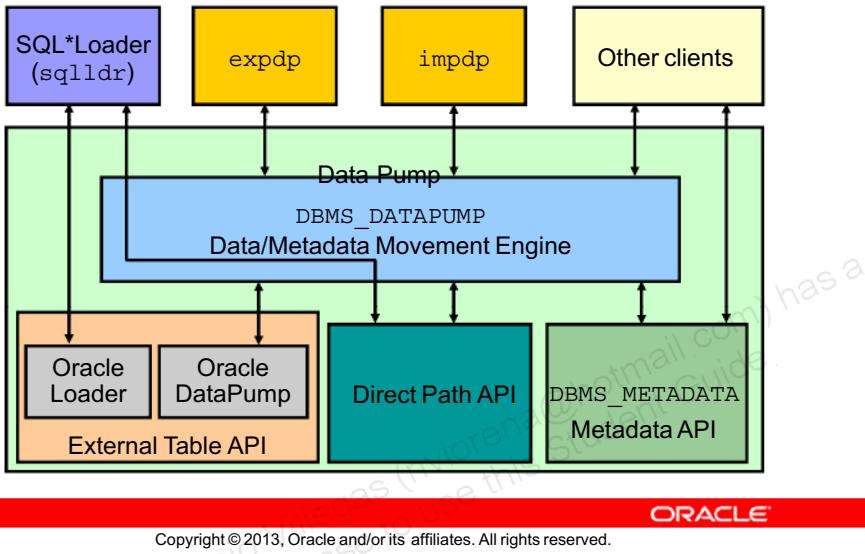
After completing this lesson, you should be able to:

- Describe ways to move data
- Explain the general architecture of Oracle Data Pump
- Create and use directory objects
- Use Data Pump Export and Import to move data between Oracle databases
- Use SQL\*Loader to load data from a non-Oracle database (or user files)
- Use external tables to move data via platform-independent files



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## Moving Data: General Architecture



### Major functional components:

- **DBMS\_DATAPUMP:** Contains the API for high-speed export and import utilities for bulk data and metadata movement
- **Direct Path API (DPAPI):** Oracle Database supports a Direct Path API interface that minimizes data conversion and parsing at both unload and load time.
- **DBMS\_METADATA:** Used by worker processes for all metadata unloading and loading. Database object definitions are stored using XML rather than SQL.
- **External Table API:** With the ORACLE\_DATAPUMP and ORACLE\_LOADER access drivers, you can store data in external tables (that is, in platform-independent files). The SELECT statement reads external tables as though they were stored in an Oracle database.
- **SQL\*Loader:** Has been integrated with external tables, providing automatic migration of loader control files to external table access parameters
- **expdp and impdp:** Thin layers that make calls to the DBMS\_DATAPUMP package to initiate and monitor Data Pump operations
- **Other clients:** Applications (such as rep, ltn, tnspr, bdt, at, lns, an, dser) that benefit from this infrastructure. SQL\*Plus may also be used as a client of DBMS\_DATAPUMP for simple status queries against ongoing operations.

## Oracle Data Pump: Overview

As a server-based facility for high-speed data and metadata movement, Oracle Data Pump:

- Is callable via DBMS\_DATAPUMP
- Provides the following tools:
  - expdp
  - impdp
  - GUI interface in Enterprise Manager Cloud Control
- Provides four data movement methods:
  - Data file copying
  - Direct path
  - External tables
  - Network link support
- Detaches from and re-attaches to long-running jobs
- Restarts Data Pump jobs

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Oracle Data Pump enables very high-speed data and metadata loading and unloading of Oracle databases. The Data Pump infrastructure is callable via the DBMS\_DATAPUMP PL/SQL package. Thus, custom data movement utilities can be built by using Data Pump.

Oracle Database provides the following tools:

- Command-line export and import clients called expdp and impdp, respectively
- Export and import interface in Enterprise Manager Cloud Control

Data Pump automatically decides the data access methods to use; these can be either direct path or external tables. Data Pump uses direct path load and unload when a table's structure allows it and when maximum single-stream performance is desired. However, if there are clustered tables, referential integrity constraints, encrypted columns, or several other items, Data Pump uses external tables rather than direct path to move the data.

The ability to detach from and re-attach to long-running jobs without affecting the job itself enables you to monitor jobs from multiple locations while they are running. All stopped Data

Pump jobs can be restarted without loss of data as long as the meta-information remains undisturbed. It does not matter whether the job is stopped voluntarily or involuntarily due to a crash.

## Oracle Data Pump: Benefits

Data Pump offers many benefits and many features, such as:

- Fine-grained object and data selection
- Explicit specification of database version
- Parallel execution
- Estimation of export job space consumption
- Network mode in a distributed environment
- Remapping capabilities
- Data sampling and metadata compression
- Compression of data during a Data Pump export
- Security through encryption
- Ability to export XMLType data as CLOBs
- Legacy mode to support old import and export files



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The EXCLUDE, INCLUDE, and CONTENT parameters are used for fine-grained object and data selection.

You can specify the database version for objects to be moved (using the VERSION parameter) to create a dump file set that is compatible with a previous release of Oracle Database that supports Data Pump.

You can use the PARALLEL parameter to specify the maximum number of threads of active execution servers operating on behalf of the export job.

You can estimate how much space an export job would consume (without actually performing the export) by using the ESTIMATE\_ONLY parameter.

Network mode enables you to export from a remote database directly to a dump file set. This can be done by using a database link to the source system.

During import, you can change the target data file names, schemas, and tablespaces.

In addition you can specify a percentage of data to be sampled and unloaded from the source database when performing a Data Pump export. This can be done by specifying the SAMPLE parameter.

You can use the COMPRESSION parameter to indicate whether the metadata should be compressed in the export dump file so that it consumes less disk space. If you compress the metadata, it is automatically uncompressed during import.

Features of Data Pump enable you to:

- Compress both data and metadata, only data, only metadata, or no data during an export
- Specify additional encryption options in the following areas:
  - You can choose to encrypt both data and metadata only data only metadata no data, or only encrypted columns during an export.
  - You can specify a particular encryption algorithm to use during an export.
  - You can specify the type of security to use for performing encryption and decryption during an export. For example, perhaps the dump file set will be imported into a different or remote database and it must remain secure in transit. Or perhaps the dump file set will be imported on-site using the Oracle Encryption Wallet but it may also need to be imported off-site where the Oracle Encryption Wallet is not available.
- Perform table mode exports and imports using the transportable method; specify how partitioned tables should be handled during import operations
- Overwrite existing dump files during an export operation
- Rename tables during an import operation
- Specify that a data load should proceed even if nondeferred constraint violations are encountered (This is valid only for import operations that use the external tables access method.)
- Specify that XMLType columns are to be exported in uncompressed CLOB format regardless of the XMLType storage format that was defined for them
- During an export, specify a remap function that takes as a source the srcinal value of the designated column and returns a remapped value that will replace the srcinal value in the dump file
- Remap data as it is being imported into a new database
- Use legacy mode to support the use of srcinal Export (exp) and Import (imp) scripts

## Directory Objects for Data Pump

Directory Objects	
Search	
<input type="text" value="Object Name"/> <input type="button" value="Go"/> <p>By default, the search returns all uppercase matches beginning with the string you entered. To run an exact or case-sensitive match, double quote the search string.</p>	
Selection Mode	Single
	<input type="button" value="Edit"/> <input type="button" value="View"/> <input type="button" value="Delete"/> <input type="button" value="Actions"/> <input type="button" value="Create Like"/> <input type="button" value="Go"/>
Select	Name
<input checked="" type="radio"/>	DATA_FILE_DIR
<input type="radio"/>	DATA_PUMP_DIR
<input type="radio"/>	LOG_FILE_DIR
<input type="radio"/>	MEDIA_DIR
<input type="radio"/>	OBATCH_LOG_DIR
<input type="radio"/>	OIMWATCH_SCRIPT_DIR
<input type="radio"/>	ORACLE_OCM_CONFIG_DIR
<input type="radio"/>	ORACLE_OCM_CONFIG_DIR2
<input type="radio"/>	SS_OE_XMLDIR
<input type="radio"/>	SUBDIR
<input type="radio"/>	XMLDIR
<input type="radio"/>	XSDDIR

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Directory objects are logical structures that represent a physical directory on the server's file system. They contain the location of a specific operating system directory. This directory object name can be used in Enterprise Manager so that you do not need to hard-code directory path specifications. This provides greater flexibility for file management. Directory objects are owned by the `SYS` user. Directory names are unique across the database because all the directories are located in a single name space (that is, `SYS`).

Directory objects are required when you specify file locations for Data Pump because it accesses files on the server rather than on the client.

In Enterprise Manager Cloud Control, select Schema > Database Objects > Directory Objects.

To edit or delete a directory object, select the object and click the appropriate button.

## Creating Directory Objects

The screenshot shows the Oracle Database 12c Create Directory Object interface. It consists of three main sections:

- General Tab:** Shows the directory object name as "ext\_tab\_logdir" and the path as "/home/oracle/labs/exttab1". A "Test File System" button is also present.
- Privileges Tab:** Shows a list of users with privileges: HR (Read Access checked, Write Access checked). Buttons for Remove, Select All, and Add are available.
- Show SQL Tab:** Displays the underlying SQL statements used to create the directory object:
 

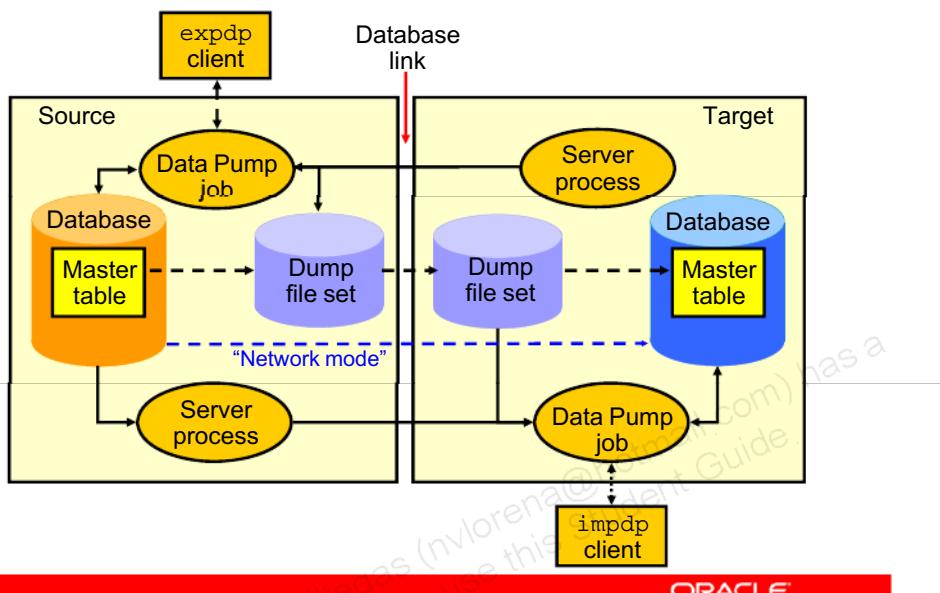
```
CREATE DIRECTORY "EXT_TAB_LOGDIR" AS '/home/oracle/labs/exttab1'
GRANT READ ON DIRECTORY "EXT_TAB_LOGDIR" TO "HR"
GRANT WRITE ON DIRECTORY "EXT_TAB_LOGDIR" TO "HR"
```

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1. On the Directory Objects page, click Create.
2. Enter the name of the directory object and the OS path to which it maps. OS directories should be created before they are used. You can test this by clicking Test File System. For the test, provide the host login credentials (the OS user who has privileges on this OS directory).
3. Permissions for directory objects are not the same as OS permissions on the physical directory on the server file system. You can manage user privileges on individual directory objects. This increases the level of security and gives you granular control over these objects. On the Privileges page, click Add to select the user to which you give read or write privileges (or both).
4. Click Show SQL to view the underlying statements. Click Return when finished.
5. Click OK to create the object.

## Data Pump Export and Import Clients: Overview



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Data Pump Export is a utility for unloading data and metadata into a set of operating system files called *dump file sets*. Data Pump Import is used to load metadata and data stored in an export dump file set into a target system.

The Data Pump API accesses its files on the server rather than on the client.

These utilities can also be used to export from a remote database directly to a dump file set, or to load the target database directly from a source database with no intervening files. This is known as *network mode*. This mode is particularly useful to export data from a read-only source database.

At the center of every Data Pump operation is the master table (MT), which is a table created in the schema of the user running the Data Pump job. The MT maintains all aspects of the job. The MT is built during a file-based export job and is written to the dump file set as the last step. Conversely, loading the MT into the current user's schema is the first step of a file-based import operation and is used to sequence the creation of all objects imported.

**Note:** The MT is the key to Data Pump's restart capability in the event of a planned or unplanned stopping of the job. The MT is dropped when the Data Pump job finishes normally.

## Data Pump Utility: Interfaces and Modes

- Data Pump Export and Import interfaces:
  - Command line
  - Parameter file
  - Interactive command line
- Data Pump Export and Import modes:
  - Full
  - Schema
  - Table
  - Tablespace
  - Transportable tablespace
  - Transportable database



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You can interact with Data Pump Export and Import by using one of the following interfaces:

- **Command-line interface:** Enables you to specify most of the export parameters directly on the command line
- **Parameter file interface:** Enables you to specify all command-line parameters in a parameter file. The only exception is the PARFILE parameter.
- **Interactive-command interface:** Stops logging to the terminal and displays the export or import prompts, where you can enter various commands. This mode is enabled by pressing Ctrl + C during an export operation that is started with the command-line interface or the parameter file interface. Interactive-command mode is also enabled when you a **tac hōan execu** **Mors oppe d j. b**
- **GUI interface:** Select Schema > Database Export/Import. In the menu select the export or import operation you want to execute.

Data Pump Export and Import provide different modes for unloading and loading different portions of the database. The mode is specified on the command line by using the appropriate parameter. The available modes are listed in the slide and are the same as in the **sqlldr** export and import utilities.

## Performing a Data Pump Export by Using Enterprise Manager Cloud Control



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Enterprise Manager Cloud Control provides a wizard to guide you through the process of performing a Data Pump export and import procedure. The example in the slide shows a Data Pump export.

From the Database home page, expand the Schema menu, select Database Export/Import, and then select Export to Export Files to begin a Data Pump export session.

The next window that appears is the selection of export type. If a privileged user is connected to the database instance, then the export types include the following:

- Database
- Schemas
- Tables
- Tablespace

If a non-administrative account is used, then the export type list is limited to the following:

- Schemas
- Tables

Click Continue to proceed with the export.

## Performing a Data Pump Import

Data Pump can be invoked on the command line:

```
$ impdp hr DIRECTORY=DATA_PUMP_DIR \
DUMPFILE=HR_SCHEMA.DMP \
PARALLEL=1 \
CONTENT=ALL \
TABLES="EMPLOYEES" \
LOGFILE=DATA_PUMP_DIR:import_hr_employees.log \
JOB_NAME=importHR \
TRANSFORM=STORAGE:n
```

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Oracle Database also includes Data Pump command-line clients for import and export operations. The example in the slide illustrates a Data Pump import using the `impdp` utility. There are some parameters that are available only by using the command-line interface. For a complete list of options, refer to *Oracle Database Utilities*.

## Data Pump Import: Transformations

You can remap:

- Data files by using REMAP\_DATAFILE
- Tablespaces by using REMAP\_TABLESPACE
- Schemas by using REMAP\_SCHEMA
- Tables by using REMAP\_TABLE
- Data by using REMAP\_DATA

```
REMAP_TABLE = 'EMPLOYEES': 'EMP'
```

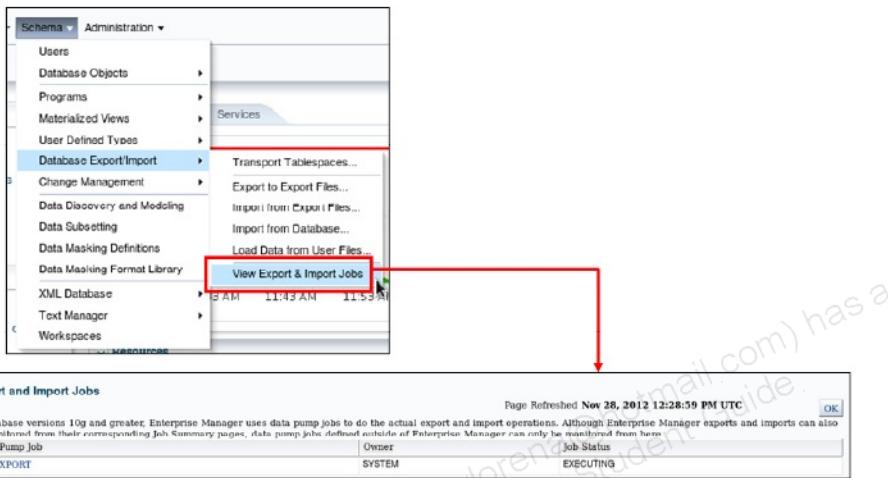
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Because object metadata is stored as XML in the dump file set, it is easy to apply transformations when DDL is being formed during import. Data Pump Import supports several transformations:

- REMAP\_DATAFILE is useful when moving databases across platforms that have different file-system semantics.
- REMAP\_TABLESPACE enables objects to be moved from one tablespace to another.
- REMAP\_SCHEMA provides the old FROMUSER /TOUSER capability to change object ownership.
- REMAP\_TABLE provides the ability to rename entire tables.
- REMAP\_DATA provides the ability to remap data as it is being inserted.

## Using Enterprise Manager Cloud Control to Monitor Data Pump Jobs



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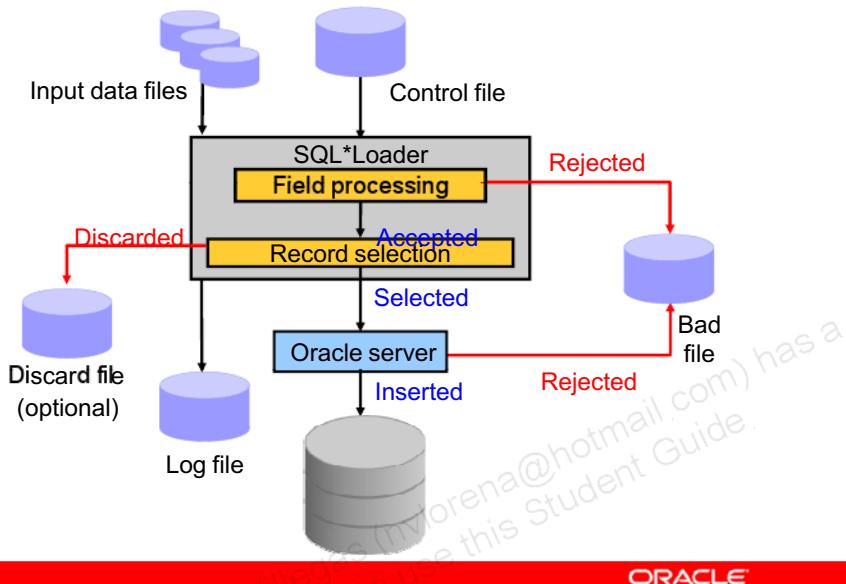
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You can use the Enterprise Manager graphical user interface (GUI) to monitor all Data Pump jobs, including those created by using the `expdp` or `impdp` command-line interfaces or by using the `DBMS_DATAPUMP` package.

You can view the current status of the job and change the status to EXECUTE, STOP, or SUSPEND.

To access the “Export and Import Jobs” page, select View Export and Import Jobs in the Database Export/Import menu.

## SQL\*Loader: Overview



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SQL\*Loader loads data from external files into tables of an Oracle database. It has a powerful data parsing engine that puts little limitation on the format of the data in the data file.

### SQL\*Loader uses the following files:

**Input data files:** SQL\*Loader reads data from one or more files (or operating system equivalents of files) that are specified in the control file. From SQL\*Loader's perspective, the data in the data file is organized as records. A particular data file can be in fixed record format, variable record format, or stream record format. The record format can be specified in the control file with the `INFILE` parameter. If no record format is specified, the default is stream record format.

**Control file:** The control file is a text file that is written in a language that SQL\*Loader understands. The control file indicates to SQL\*Loader where to find the data, how to parse and interpret the data, where to insert the data, and so on. Although not precisely defined, a control file can be said to have three sections.

- The first section contains such session-wide information as the following:
  - Global options, such as the input data file name and records to be skipped
  - `INFILE` clauses to specify where the input data file is located
  - Data to be loaded

- The second section consists of one or more INTO TABLE blocks. Each of these blocks contains information about the table (such as the table name and the columns of the table) into which the data is to be loaded.
- The third section is optional and, if present, contains input data.

**Log file:** When SQL\*Loader begins execution, it creates a log file. If it cannot create a log file, execution terminates. The log file contains a detailed summary of the load, including a description of any errors that occurred during the load.

**Bad file:** The bad file contains records that are rejected, either by SQL\*Loader or by the Oracle database. Data file records are rejected by SQL\*Loader when the input format is invalid. After a data file record is accepted for processing by SQL\*Loader, it is sent to the Oracle database for insertion into a table as a row. If the record does not meet the criteria specified in the control file, SQL\*Loader puts it in the bad file.

**Discard file:** This file is created only when it is needed and only if you have specified that a discard file should be enabled. The discard file contains records that are filtered out of the load because they do not match any record-selection criteria specified in the control file.

For more information about SQL\*Loader, see the *Oracle Database Utilities* guide.

## SQL\*Loader Control File

The SQL\*Loader control file instructs SQL\*Loader about:

- Location of the data to be loaded
- Data format
- Configuration details:
  - Memory management
  - Record rejection
  - Interrupted load handling details
- Data manipulation details



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The SQL\*Loader control file is a text file that contains data definition language (DDL) instructions. DDL is used to control the following aspects of a SQL\*Loader session:

- Where SQL\*Loader finds the data to load
- How SQL\*Loader expects that data to be formatted
- How SQL\*Loader is being configured (including memory management, selection and rejection criteria, interrupted load handling, and so on) as it loads the data
- How SQL\*Loader manipulates the data being loaded

```

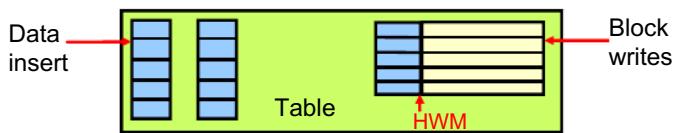
1 -- This is a sample control file
2 LOAD DATA
3 INFILE 'SAMPLE.DAT'
4 BADFILE 'sample.bad'
5 DISCARDFILE 'sample.dsc'
6 APPEND
7 INTO TABLE emp
8 WHEN (57) = '.'
9 TRAILING NULLCOLS
10 (hiredate SYSDATE,
    deptno POSITION(1:2) INTEGER EXTERNAL (3)
    NULLIF deptno=BLANKS,
    job POSITION(7:14) "CHAR TERMINATED BY WHITESPACE
    NULLIF job=BLANKS "UPPER(:job)",
    mgr POSITION(28:31) INTEGER EXTERNAL
    TERMINATED BY WHITESPACE, NULLIF mgr=BLANKS,
    ename POSITION(34:41) CHAR
    TERMINATED BY WHITESPACE "UPPER(:ename)",
    empno POSITION(45) INTEGER EXTERNAL
    TERMINATED BY WHITESPACE,
    sal POSITION(51) CHAR TERMINATED BY WHITESPACE
    "TO_NUMBER(:sal,'$99,999.99')",
    comm INTEGER EXTERNAL ENCLOSED BY '(' AND ')'
    ":comm * 100"
)

```

The explanation of this sample control file (by line numbers) is as follows:

1. Comments can appear anywhere in the command section of the file, but they must not appear in the data. Precede any comment with two hyphens. All text to the right of the double hyphen is ignored until the end of the line.
2. The LOAD DATA statement indicates to SQL\*Loader that this is the beginning of a new data load. If you are continuing a load that has been interrupted in progress, use the CONTINUE LOAD DATA statement.
3. The INFILE keyword specifies the name of a data file containing data that you want to load.
4. The BADFILE keyword specifies the name of a file into which rejected records are placed.
5. The DISCARDFILE keyword specifies the name of a file into which discarded records are placed.
6. The APPEND keyword is one of the options that you can use when loading data into a table that is not empty. To load data into a table that is empty use the INSERT keyword.
7. The INTO TABLE keyword enables you to identify tables, fields, and data types. It defines the relationship between records in the data file and tables in the database.
8. The WHEN clause specifies one or more field conditions that each record must match before SQL\*Loader loads the data. In this example, SQL\*Loader loads the record only if the 57th character is a decimal point. That decimal point delimits dollars and cents in the field and causes records to be rejected if SAL has no value.
9. The TRAILING NULLCOLS clause prompts SQL\*Loader to treat any relatively positioned columns that are not present in the record as null columns.
10. The remainder of the control file contains the field list, which provides information about column formats in the table that is being loaded.

## Loading Methods



Conventional Load	Direct Path Load
Uses COMMIT	Uses data saves (faster operation)
Always generates redo entries	Generates redo only under specific conditions
Enforces all constraints	Enforces only PRIMARY KEY, UNIQUE, and NOT NULL
Fires INSERT triggers	Does not fire INSERT triggers
Can load into clustered tables	Does not load into clusters
Allows other users to modify tables during load operation	Prevents other users from making changes to tables during load operation
Maintains index entries on each insert	Merges new index entries at the end of the load

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### Method of Saving Data

A conventional path load executes SQL `INSERT` statements to populate tables in an Oracle database. A direct path load eliminates much of the Oracle database overhead by formatting Oracle data blocks and writing the data blocks directly to the database files. A direct load does not compete with other users for database resources, so it can usually load data at close to disk speed. Conventional path loads use SQL processing and a database `COMMIT` operation for saving data. The insertion of an array of records is followed by a `COMMIT` operation. Each data load may involve several transactions.

Direct path loads use data saves to write blocks of data to Oracle data files. This is why the direct path loads are faster than conventional ones. The following features often ate a data save from `COMMIT`:

- During a data save, only full database blocks are written to the database.
- The blocks are written after the high-water mark (HWM) of the table.
- After a data save, the HWM is moved.
- Internal resources are not released after a data save.
- A data save does not end the transaction.
- Indexes are not updated at each data save.

## Loading Data by Using Enterprise Manager Cloud Control

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**Load Data: Generate Or Use Existing Control File**  
Database: orcl

Automatically Generate Control File  
A control file will be generated after you define the structure of the data file.  
 Use Existing Control File  
Allows you to use an existing control file that defines the structure of the data file.

**Host Credentials**

Credential	<input type="radio"/> Preferred <input type="radio"/> Named <input checked="" type="radio"/> New
* UserName	oracle
* Password	*****
* Confirm Password	*****
<input checked="" type="checkbox"/> Save As: NC_ORCL_2012-11-28-130014	
<input type="checkbox"/> Set As Preferred Credentials	

Control File    Data File    Load Method    Options    Schedule    Review

**Load Data: Control File**  
Database: orcl

A control file is used to describe what will be loaded and how. Specify the full path and name of the control file on the database server machine.  
/u01/app/oracle/oradata/orcl/LOAD.CTL

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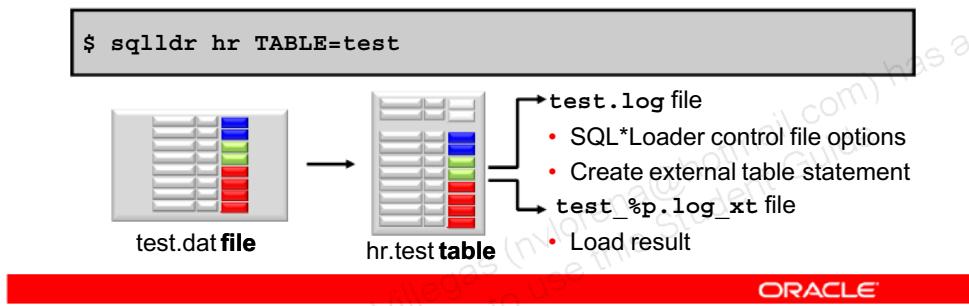
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You can load data by using Enterprise Manager Cloud Control. In the Schema menu, select Database Export/Import. Then select Load Data From User Files.

On the first page you indicate whether you are using an existing control file or want a new control file to be generated. Click Continue on this page to invoke the wizard.

## SQL\*Loader Express Mode

- Specify a table name to initiate an Express Mode load.
- Table columns must be scalar data types (character, number, or datetime).
- A data file can contain only delimited character data.
- SQL\*Loader uses table column definitions to determine input data types.
- There is no need to create a control file.



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If you activate SQL\*Loader Express Mode, specifying only the username and the TABLE parameter, it uses default settings for several other parameters. You can override most of the defaults by specifying additional parameters on the command line.

SQL\*Loader Express Mode generates two files. The names of the log files come from the name of the table (by default).

- A log file that includes:
  - The control file output
  - A SQL script for creating the external table and performing the load using a SQL INSERT AS SELECT statement

Neither the control file nor the SQL script are used by SQL\*Loader Express Mode. They are made available to you in case you want to use them as a starting point to perform operations using regular SQL\*Loader or stand-alone external tables.

- A log file similar to a SQL\*Loader log file that describes the result of the operation

The "%p" represents the process ID of the SQL\*Loader process.

The following is an example of the input data file named `test.dat` containing two records to load and excerpts of the two log files generated after the load completed. The table `HR.TEST` was created with three columns, `C1 NUMBER`, `C2 VARCHAR2 (10)`, and `C3 VARCHAR2 (10)`.

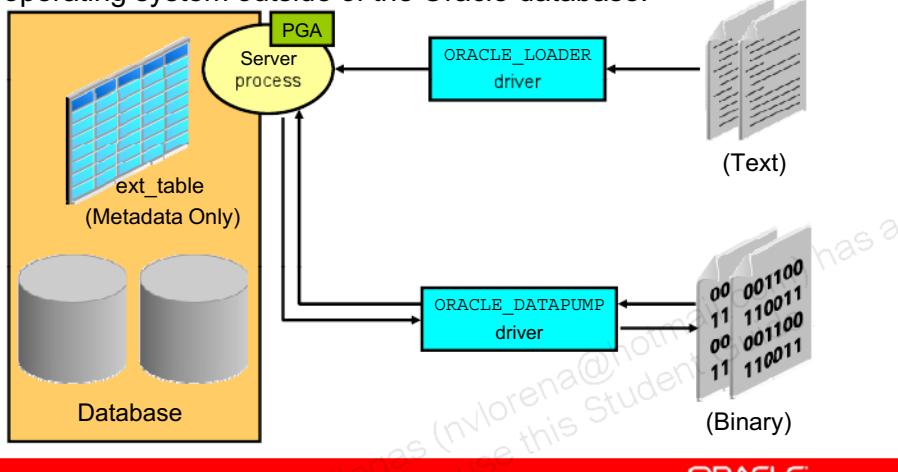
```
$ more test.dat
3 C WWW
4 D UUU
$ sqlldr hr TABLE=test
$ more test.log
...
Express Mode Load, Table: TEST
Data File:      test.dat

Bad File:      test_%p.bad ...
Table TEST, loaded from every logical record.
Insert option in effect for this table: APPEND
Column Name      Position   Len   Term Encl Datatype
-----
C1              FIRST      *     , CHARACTER
C2              NEXT       *     , CHARACTER
C3              NEXT       *     , CHARACTER

Generated control file for possible reuse:
OPTIONS (EXTERNAL_TABLE=EXECUTE, TRIM=LRTRIM)
LOAD DATA INFILE 'test' APPEND INTO TABLE TEST
FIELDS TERMINATED BY "," (, C1  C2  C3)
End of generated control file for possible reuse.
...
creating external table "SYS_SQLLDR_X_EXT_TEST"
CREATE TABLE "SYS_SQLLDR_X_EXT_TEST"
("C1" NUMBER,"C2" CHAR(1),"C3" VARCHAR2(20)) ORGANIZATION external(
  TYPE oracle loader DEFAULT DIRECTORY SYS_SQLLDR_XT_TMPDIR 00000
  ACCESS PARAMETERS
    ( RECORDS DELIMITED BY NEWLINE CHARACTERSET US7ASCII
      BADFILE 'SYS_SQLLDR_XT_TMPDIR_00000':'test_%p.bad'
      LOGFILE 'test_%p.log_xt' READSIZE 1048576
      FIELDS TERMINATED BY "," LRTRIM REJECT ROWS WITH ALL NULL FIELDS ("C1"
        CHAR(255),"C2" CHAR(255),"C3" CHAR(255)))
    location ('test.dat')) REJECT LIMIT UNLIMITED
executing INSERT statement to load database table TEST
INSERT /*+ append parallel(auto) */ INTO TEST (C1, C2, C3)
SELECT "C1", "C2", "C3" FROM "SYS_SQLLDR_X_EXT_TEST" ...
Table TEST: 2 Rows successfully loaded.
```

## External Tables

External tables are read-only tables stored as files on the operating system outside of the Oracle database.



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External tables access data in external sources as if it were in a table in the database. You can connect to the database and create metadata for the external table using DDL. The DDL for an external table consist of two parts: one part that describes the Oracle Database column types, and another part that describes the mapping of the external data to the Oracle Database data columns.

An external table does not describe any data that is stored in the database. Nor does it describe how data is stored in the external source. Instead, it describes how the external table layer must present the data to the server. It is the responsibility of the access driver and the external table layer to do the necessary transformations required on the data in the external file so that it matches the external table definition. **External tables are read only; therefore, no DML operations are possible, and no index can be created on them.**

There are two access drivers used with external tables. The ORACLE\_LOADER access driver can be used only to read table data from an external table and load it into the database. It uses text files as the data source. The ORACLE\_DATAPUMP access driver can both load table data from an external file into the database and also unload data from the database into an external file. It uses binary files as the external files. The binary files have the same format as the files used by the Datapump import and export utilities.

## External Table: Benefits

- Data can be used directly from the external file or loaded into another database.
- External data can be queried and joined directly in parallel with tables residing in the database ,without requiring it to be loaded first.
- The results of a complex query can be unloaded to an external file.
- You can combine generated files from different sources for loading purposes.



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The data files created for the external table can be moved and used as the data files for another external table in the same database or different database. External data can be queried and joined directly in parallel to tables residing in the database, without requiring the data to be loaded first. You can choose to have your applications directly access external tables with the SELECT command, or you can choose to have data loaded first into a target database.

The results of a complex query can be unloaded to an external file using the ORACLE\_DATAPUMP access driver.

Data files that are populated by different external tables can all be specified in the LOCATION clause of a nother external table. This provides an easy way to aggregate data from multiple sources. The only restriction is that the metadata for all the external tables must be exactly the same.

## Defining an External Tables with ORACLE\_LOADER

```
CREATE TABLE extab_employees
  (employee_id          NUMBER(4),
   first_name           VARCHAR2(20),
   last_name            VARCHAR2(25),
   hire_date             DATE)
ORGANIZATION EXTERNAL
  ( TYPE ORACLE_LOADER DEFAULT DIRECTORY extab_dat_dir
    ACCESS PARAMETERS
      ( records delimited by newline
        badfile extab_bad_dir:'empxt%a_%p.bad'
        logfile extab_log_dir:'empxt%a_%p.log'
        fields terminated by ','
        missing field values are null
      ( employee_id, first_name, last_name,
        hire_date char date_format date mask "dd-mon-yyyy"))
    LOCATION ('empxt1.dat', 'empxt2.dat'))
PARALLEL REJECT LIMIT UNLIMITED;
```

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The metadata for an external table is created using the SQL language in the database. The ORACLE\_LOADER access driver uses the SQL\*Loader syntax to define the external table. This command does not create the external text files.

The example in the slide shows three directory objects (EXTAB\_DAT\_DIR, EXTAB\_BAD\_DIR, and EXTAB\_LOG\_DIR) that are created and mapped to existing OS directories to which the user is granted access.

When the EXTAB\_EMPLOYEES table is accessed, SQL\*Loader functionality is used to load the table, and at that instance the log file and bad file are created.

**Best-practice tip:** If you have a lot of data to load, enable PARALLEL for the load operation:

```
ALTER SESSION ENABLE PARALLEL DML;
```

## External Table Population with ORACLE\_DATAPUMP

```

CREATE TABLE ext_emp_query_results
  (first_name, last_name, department_name)
ORGANIZATION EXTERNAL
(
  TYPE ORACLE_DATAPUMP
  DEFAULT DIRECTORY ext_dir
  LOCATION ('emp1.exp','emp2.exp','emp3.exp')
)
PARALLEL
AS
SELECT e.first_name,e.last_name,d.department_name
FROM   employees e, departments d
WHERE  e.department_id = d.department_id AND
       d.department_name in
          ('Marketing', 'Purchasing');

```

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This example shows you how the external table population operation can help to export a selective set of records resulting from the join of the EMPLOYEES and DEPARTMENTS tables.

**Because the external table can be large, you can use a parallel populate operation to unload** your data to an external table. As opposed to a parallel query from an external table, the degree of parallelism of a parallel populate operation is constrained by the number of concurrent files that can be written to by the access driver. There is never more than one parallel execution server writing into one file at a particular point in time.

The number of files in the LOCATION clause must match the specified degree of parallelism because each input/output (I/O) server process requires its own file. Any extra files that are specified are ignored. If there are no enough files of the specified degree for a parallel operation, the degree of parallelization is lowered to match the number of files in the LOCATION clause.

The external table is read-only after it has been populated. The SELECT command can be very complex, allowing specific information to be populated in the external table. The external table, having the same file structure as binary Data Pump files, can then be migrated to another system, and imported with the impdp utility or read as an external table.

**Note:** For more information about the ORACLE\_DATAPUMP access driver parameters, see the Oracle Database Utilities guide.

## Using External Tables

- Querying an external table:

```
SQL> SELECT * FROM extab_employees;
```

- Querying and joining an external table with an internal table:

```
SQL> SELECT e.employee_id, e.first_name, e.last_name,
d.department_name FROM departments d, extab_employees e
WHERE d.department_id = e.department_id;
```

- Appending data to an internal table from an external table:

```
SQL> INSERT /*+ APPEND */ INTO hr.employees SELECT *
FROM extab_employees;
```

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External tables are queried just like internal database tables. The first example illustrates querying an external table named EXTAB\_EMPLOYEES and only displaying the results. The results are not stored in the database.

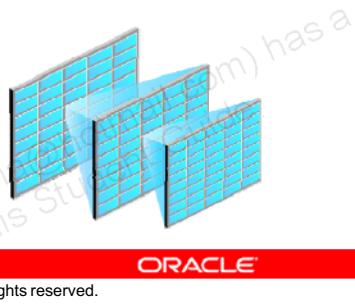
The second example shows the joining of an internal table named DEPARTMENTS with an external table named EXTAB\_EMPLOYEES and only displaying the results.

The third example in the slide illustrates the direct appending of an internal table data with the query and load of data from an external table.

## Data Dictionary

View information about external tables in:

- [DBA | ALL | USER] \_EXTERNAL\_TABLES
- [DBA | ALL | USER] \_EXTERNAL\_LOCATIONS
- [DBA | ALL | USER] \_TABLES
- [DBA | ALL | USER] \_TAB\_COLUMNS
- [DBA | ALL] \_DIRECTORIES



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The data dictionary views in the slide provide information about external tables:

[DBA | ALL | USER] \_EXTERNAL\_TABLES: Specific attributes of external tables in the database

[DBA | ALL | USER] \_EXTERNAL\_LOCATIONS: Data sources for external tables

[DBA | ALL | USER] \_TABLES: Descriptions of the relational tables in the database

[DBA | ALL | USER] \_TAB\_COLUMNS: Descriptions of the columns of tables, views, and clusters in the database

[DBA | ALL] \_DIRECTORIES: Descriptions of the directory objects in the database

## Quiz

Like other database objects, directory objects are owned by the user that creates them unless another schema is specified during creation.

- a. True
- b. False

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**Answer: b**

## Quiz

An index can be created on an external table.

- a. True
- b. False

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**Answer: b**

## Summary

In this lesson, you should have learned how to:

- Describe ways to move data
- Explain the general architecture of Oracle Data Pump
- Create and use directory objects
- Use Data Pump Export and Import to move data between Oracle databases
- Use SQL\*Loader to load data from a non-Oracle database (or user files)
- Use external tables to move data via platform-independent files



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## Practice 19

- 19-1: Moving Data by Using Data Pump
- 19-2: Loading Data by Using SQL\*Loader

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## Performing Database Maintenance

20

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## Objectives

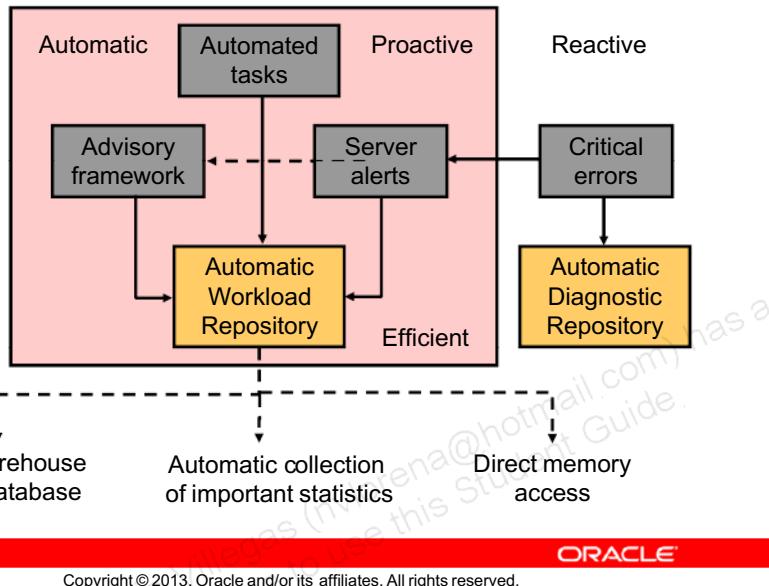
After completing this lesson, you should be able to:

- Manage the Automatic Workload Repository (AWR)
- Use the Automatic Database Diagnostic Monitor (ADDM)
- Describe and use the advisory framework
- Set alert thresholds
- Use server-generated alerts
- Use automated tasks



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## Database Maintenance



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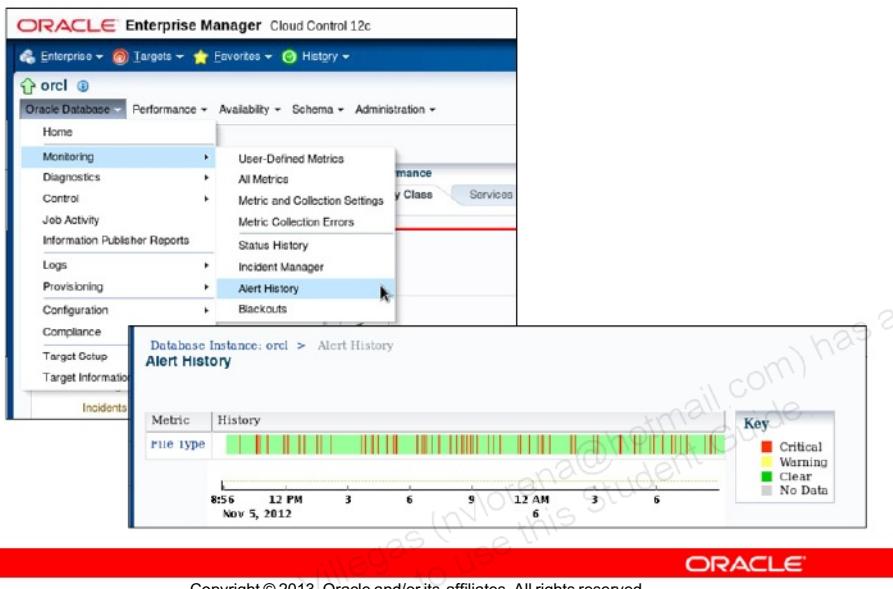
Proactive database maintenance is made easy by the sophisticated infrastructure of the Oracle database, including the following main elements:

- The **Automatic Workload Repository (AWR)** is a built-in repository in each Oracle database. At regular intervals, the Oracle database server makes a snapshot of all its vital statistics and workload information and stores this data in the AWR. The captured data can be analyzed by you, by the database server itself, or by both.
- Using automated tasks, the database server performs routine maintenance operations, such as regular backups, refreshing optimizer statistics, and database health checks.

**Reactive database maintenance includes critical errors and conditions discovered by database health checkers:**

- For problems that cannot be resolved automatically and require administrators to be notified (such as running out of space), the Oracle database server provides server-generated alerts. The Oracle database server, by default, monitors itself and sends out alerts to notify you of problems. The alerts notify you and often also provide recommendations on how to resolve the reported problem.
- Recommendations are generated from several advisors, each of which is responsible for a subsystem. For example, there are memory, segment, and SQL advisors.

## Viewing the Alert History



The Alert History page displays a chart that shows the alert history of the current database in segments of time that you designate. An alert indicates a potential problem: either a warning or critical threshold for a monitored metric, or an indication that a target is no longer available.

Click the metric name listed on the Alert History page to get detailed statistics, graphs, and actual time stamps for each alert.

## Terminology

- Statistics: Data collections providing database and object detail
  - Optimizer statistics: Used by query optimizer
  - Database statistics: Used for performance
- Metric: Rate of change in a cumulative statistic  
Threshold: A boundary value against which metric values are compared
- Automatic Workload Repository (AWR): Infrastructure for data gathering, analysis, and solutions recommendations
- AWR Baseline: A set of AWR snapshots for performance comparison



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*Statistics* are collections of data that provide more details about the database and the objects in it. Optimizer statistics are used by the query optimizer to choose the best execution plan for each SQL statement. Database statistics provide information for performance monitoring.

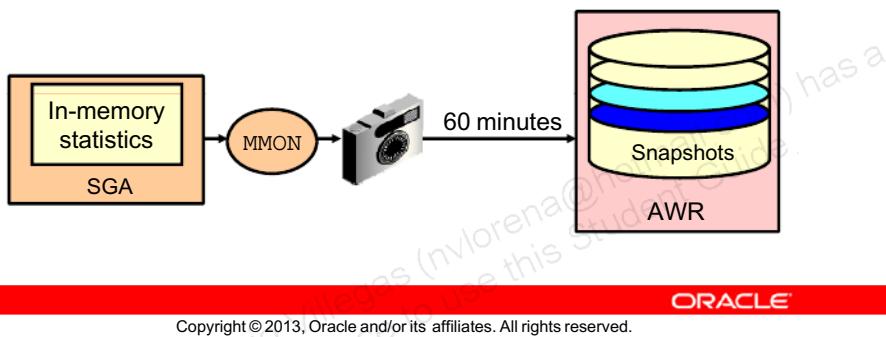
The *Automatic Workload Repository* (AWR) provides services to internal Oracle server components to collect, process, maintain, and use performance statistics for problem detection and self-tuning purposes. *Active Session History* (ASH) is the history of recent session activity stored in the AWR.

*AWR snapshots* include database statistics and metrics, application statistics (transaction volumes, response time), operating system statistics, and other measures. An *AWR baseline* is a set of AWR snapshots collected over a period of time. This baseline is used for performance comparison, either current performance versus the baseline or one baseline compared to another.

The *System Moving Window* baseline is collected by default. The System Moving Window baseline is a changing set of snapshots that include the last eight days of snapshots by default. This baseline becomes valid after sufficient data has been collected and the statistics calculation occurs. The statistics calculation is scheduled for every Saturday at midnight by default.

## Automatic Workload Repository (AWR): Overview

- Built-in repository of performance information
- Snapshots of database metrics taken every 60 minutes and retained for eight days
- Foundation for all self-management functions



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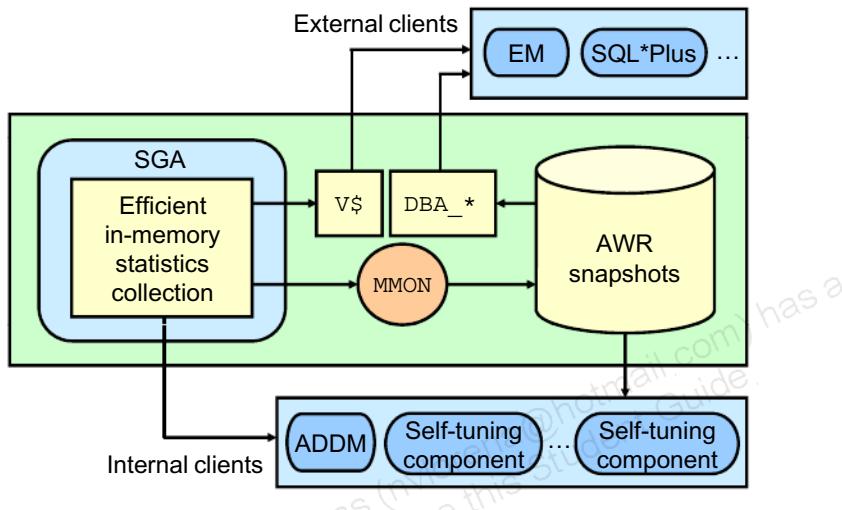
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The AWR is the infrastructure that provides services to Oracle Database components to collect, maintain, and use statistics for problem detection and self-tuning purposes. You can view it as a data warehouse for database statistics, metrics, and so on.

Every 60 minutes (by default), the database automatically captures statistical information from the SGA and stores it in the AWR in the form of snapshots. These snapshots are stored on disk by a background process called Manageability Monitor (MMON). By default, snapshots are retained for eight days. You can modify both the snapshot interval and the retention intervals.

The AWR contains hundreds of tables, all belonging to the **SYS** schema and stored in the **SYSAUX** **tablespace**. **Oracle recommends that the repository be accessed only through Enterprise Manager or the DBMS\_WORKLOAD\_REPOSITORY package to work with the AWR.** Direct data manipulation language (DML) commands against the repository tables are not supported.

## AWR Infrastructure



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The AWR infrastructure has two major parts:

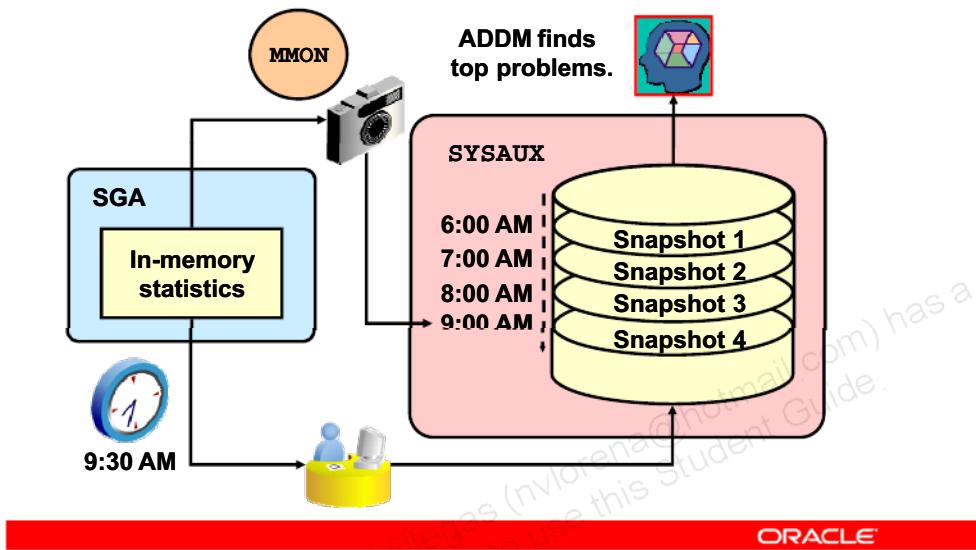
- An in-memory statistics collection facility that is used by Oracle Database components to collect statistics. These statistics are stored in memory for performance reasons. Statistics stored in memory are accessible through dynamic performance (v\$) views.
- The AWR snapshots that represent the persistent portion of the facility. AWR snapshots are accessible through data dictionary views and Enterprise Manager.

Statistics are stored in persistent storage for several reasons:

- The statistics need to survive instance crashes.
- Some analyses need historical data for baseline comparisons.
- A memory overflow can occur. When old statistics are replaced by new ones because of memory shortage, the replaced data can be stored for later use.

The memory version of the statistics is transferred to disk on a regular basis by the MMON background process. With the AWR, the Oracle database server provides a way to capture historical statistics data automatically without DBA intervention.

## Automatic Workload Repository



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The Automatic Workload Repository (AWR) is a collection of persistent system performance statistics owned by SYS. The AWR resides in the SYSAUX tablespace.

A **snapshot** is a set of performance statistics captured at a certain time and stored in the AWR. Each snapshot is identified by a snapshot sequence number (SNAP\_ID) that is unique in the AWR. By default, snapshots are generated every 60 minutes. You can adjust this frequency by changing the snapshot INTERVAL parameter. Because the database advisors rely on these snapshots, be aware that adjustment of the interval setting can affect diagnostic precision. For example, if the INTERVAL is set to four hours, you may miss transient events that would be noticeable in 60-minute intervals.

You can use the `DBMS_WORKLOAD_REPOSITORY.MODIFY_SNAPSHOT_SETTINGS` stored procedure or Enterprise Manager to change the settings that control snapshot collection.

You can take manual snapshots by using Enterprise Manager or the `DBMS_WORKLOAD_REPOSITORY.CREATE_SNAPSHOT` stored procedure. Taking manual snapshots is supported in conjunction with the automatic snapshots that the system generates. Manual snapshots are expected to be used when you want to capture the system behavior at two specific points in time that do not coincide with the automatic schedule.

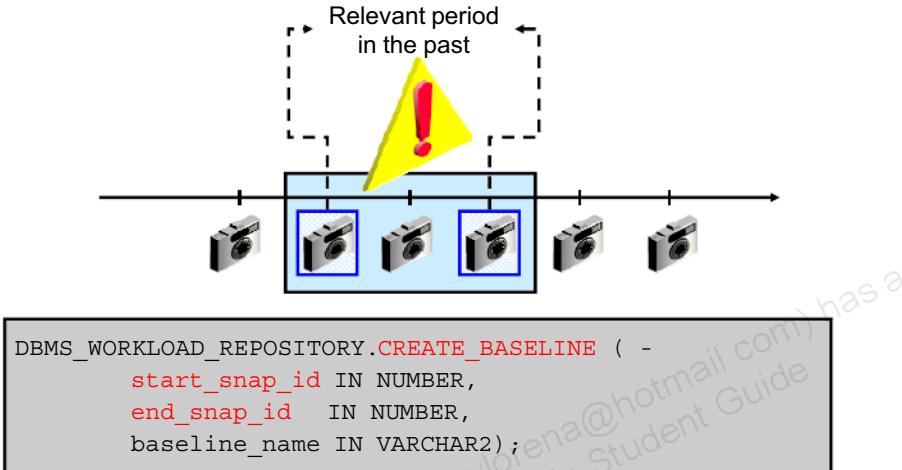
## Aula Matriz S.A.S.

Statspack is a bundled utility that provides a subset of the collection and reporting capability of the AWR. However, there is no supported path to migrate Statspack data into the workload repository. Also, the workload repository is not compatible with the Statspack schema. Statspack is not accessible through Enterprise Manager; it requires setup, and does not have automatic retention settings, or automatic purge. The Statspack utility does provide scripts for setup, automatic snapshot collection, and reporting. Statspack snapshots can be marked for retention, as part of a Statspack baseline, or purged with provided scripts.

Statspack is documented in the \$ORACLE\_HOME/rdbms/admin/spdoc.txt file.

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## AWR Baselines



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An AWR baseline is a set of AWR snapshots. This is usually a set of snapshot data for an important period that you tag and retain in the AWR. A baseline is defined on a pair of snapshots; the snapshots are identified by their snapshot sequence numbers (`SNAP_IDS`) or a start and end time. Each snapshot set has starting and ending snapshots and includes all the snapshots in between. Snapshot sets are used to retain snapshot data. Therefore, by default, snapshots belonging to snapshot sets are retained until the snapshot sets are dropped. You can specify an expiration value to indicate the number of days that the snapshot will be retained.

A baseline is identified by a user-supplied name. Execute the `CREATE_BASELINE` procedure to **create a baseline from a set of snapshots, and specify a name and a pair of snapshot identifiers**. A baseline identifier that is unique for the life of a database is assigned to the newly created baseline. Usually, you set up baselines from representative periods in the past, to be used for comparisons with current system behavior. You can also set up threshold-based alerts by using baselines from Enterprise Manager. You can set the expiration time (in number of days) with the `expiration` parameter of this procedure. The default is `NULL`, meaning "never expire".

You can get the `SNAP_IDS` directly from `DBA_HIST_SNAPSHOT`, or from Enterprise Manager.

**Note:** For more information about the `DBMS_WORKLOAD_REPOSITORY` package, see the *Oracle Database PL/SQL Packages and Types Reference* guide.

## Accessing the AWR Page



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In Enterprise Manager Cloud Control, navigate to the Automatic Workload Repository page by expanding the Performance menu, selecting AWR and then selecting AWR Administration.

On the Automatic Workload Repository page, click Edit to change the settings.

On the Automatic Workload Repository page, you can:

- Edit the workload repository settings
- Look at detailed information about created snapshots and manually create new ones
- Create AWR baselines
- Generate an AWR report

## Managing the AWR

- Retention period
  - Default: Eight days
  - Consider storage needs
- Collection interval
  - Default: 60 minutes
  - Consider storage needs and performance impact
- Collection level
  - Basic (disables most ADDM functionality)
  - Typical (recommended)
  - All (adds additional SQL tuning information to snapshots)



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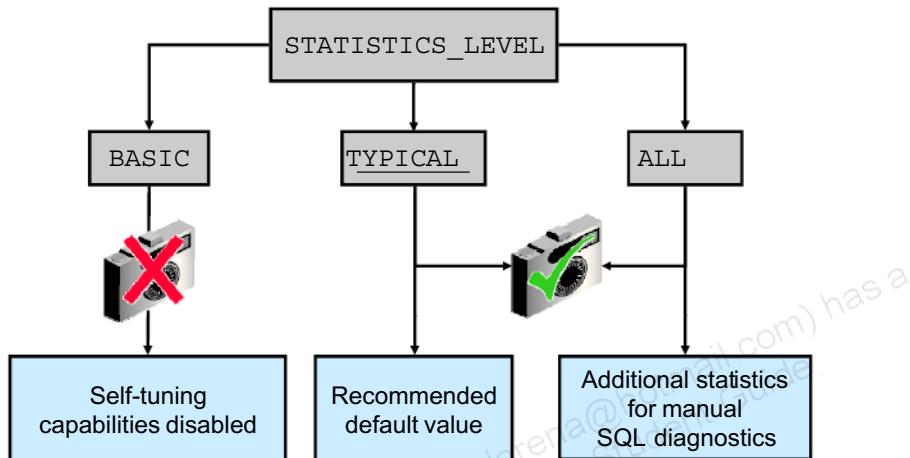
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AWR settings include retention period, collection interval, and collection level. Remember that decreasing any of these settings affects the functionality of components that depend on the AWR, including the advisors.

Increasing the settings can provide improved advisor recommendations—but at the cost of the space that is required to store the snapshots and the performance expended in collecting the snapshot information.

Consider setting collection level to ALL when tuning a new application. The ALL setting collects SQL execution plans and timing statistics that enhance the recommendations of the SQL advisors. When tuning is complete, this setting should be returned to the TYPICAL setting.

## Statistic Levels



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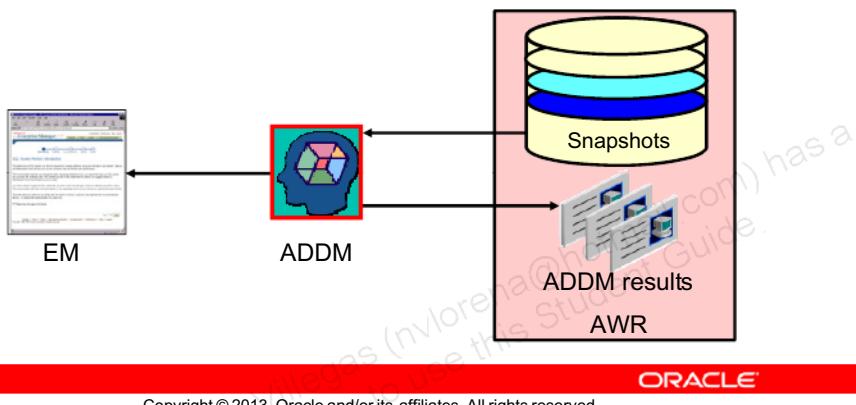
The STATISTICS\_LEVEL initialization parameter controls the capture of a variety of statistics and various advisors, including the automatic maintenance tasks. The automatic maintenance tasks include gathering optimizer statistics. The STATISTICS\_LEVEL parameter can be set to the following levels:

- **BASIC:** The computation of AWR statistics and metrics is turned off. The automatic optimizer statistics task is disabled, as are all advisors and server-generated alerts.
- **TYPICAL:** Major statistics that are required for database self-management are collected. They represent what is typically needed to monitor Oracle database behavior. This includes automatic gathering of statistics to reduce the likelihood of poorly performing SQL statements due to stale or invalid statistics.
- **ALL:** All possible statistics are captured. This level of capture adds timed OS statistics and plan execution statistics. These statistics are not needed in most cases and should not be enabled for best performance; they are sometimes needed for specific diagnostics tests.

Oracle recommends that the default value of TYPICAL be set for the STATISTICS\_LEVEL initialization parameter. Setting the value to BASIC disables the automatic gathering of optimizer statistics.

## Automatic Database Diagnostic Monitor (ADDM)

- Runs after each AWR snapshot
- Monitors the instance; detects bottlenecks
- Stores results in the AWR



Unlike the other advisors, the ADDM runs automatically after each AWR snapshot. Each time a snapshot is taken, the ADDM performs an analysis of the period corresponding to the last two snapshots. The ADDM proactively monitors the instance and detects most bottlenecks before they become a significant problem.

In many cases, the ADDM recommends solutions for detected problems and even quantifies the benefits for the recommendations.

Some common problems that are detected by the ADDM:

- CPU bottlenecks
- Poor Oracle Net connection management
- Lock contention
- Input/output (I/O) capacity
- Undersizing of database instance memory structures
- High load SQL statements
- High PL/SQL and Java time
- High checkpoint load and cause (for example, small log files)

The results of each ADDM analysis are stored in the AWR and are also accessible through Enterprise Manager.

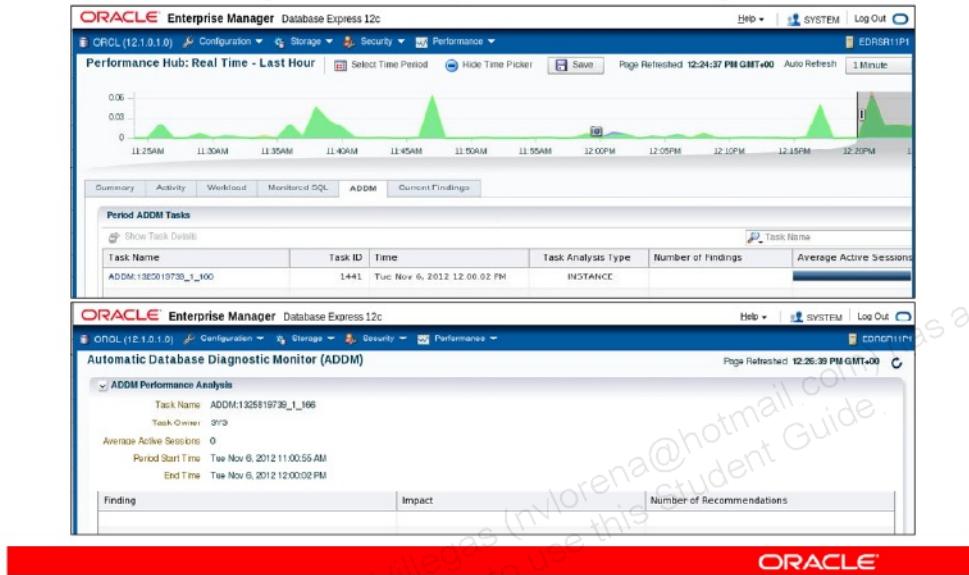
## ADDM Findings in Enterprise Manager Cloud Control

The screenshot shows the Oracle Enterprise Manager Cloud Control interface for the Automatic Database Diagnostic Monitor (ADDM). The main area displays a graph titled "Database Activity" showing "Active Sessions" from 12:30 Nov 6, 2012, to 12:00 Nov 7, 2012. The Y-axis ranges from 0 to 2. A legend on the right, titled "Icon Key", provides a key for various ADDM Task and Snapshot icons. Below the graph, a section titled "ADDM Performance Analysis" lists findings. One entry is shown: "Task Name: ADDM:1328019739\_1\_109", "Task Owner: SYS", "Average Active Sessions: 0", "Impact (%): 0", and "Occurrences (24 hrs ending with analysis period): 0". The interface includes a top navigation bar with links like "Enterprise", "Targets", "Favorites", "History", "Setup", "Help", "SYSMAN", "Logout", and a search bar. The status bar at the bottom indicates "Logged in as SYSTEM" and the current time "11:42:45 AM".

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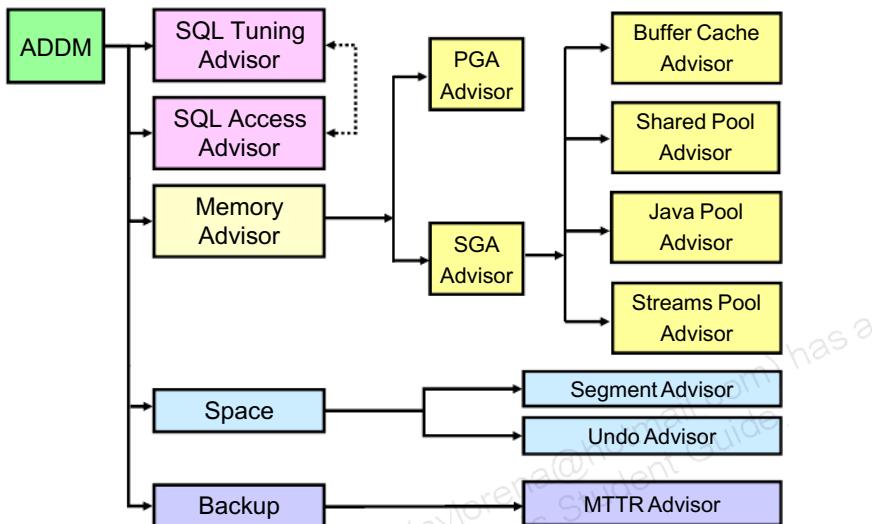
## ADDM Findings in Enterprise Manager Database Express



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You can also use Enterprise Manager Database Express to view ADDM information. Select Performance Hub from the Performance menu. Click the ADDM tab. Select a task to view detailed information.

## Advisory Framework



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Advisors provide you with useful feedback about resource utilization and performance for their respective server components. For example, the Memory Advisor provides a recommended value for the `MEMORY_TARGET` initialization parameter, which controls the total amount of memory used by the Oracle database instance.

By building on the data captured in the AWR, the ADDM enables the Oracle Database server to diagnose its own performance and determine how identified problems can be resolved. ADDM runs automatically after each AWR statistics capture. It can potentially call other advisors.

Here are the major benefits that are provided by the advisor infrastructure:

- All advisors use a uniform interface.
- All advisors have a common data source and results storage by using the workload repository.

Not all advisors are shown in the slide (for example, the Data Recovery Advisor and the SQL

Repair Advisor are not listed).

### Automatic Database Diagnostic Monitor (ADDM)

The ADDM is a server-based expert that reviews database performance every 60 minutes. Its goal is to detect possible system bottlenecks early and recommend fixes before system performance degrades noticeably.

### **Memory Advisors**

The Memory Advisor is actually a collection of several advisory functions that help determine the best settings for the total memory used by the database instance. The System Global Area (SGA) has a set of advisors for the shared pool, database buffer cache, Java pool, and streams pool. The Java pool and streams pool advisors are not exposed on the Enterprise Manager Memory Advisor page. There is an advisor for the Program Global Area (PGA). In addition to the advisory functions, this advisor provides a central point of control for the large pool and the Java pool.

### **Mean-Time-To-Recover (MTTR) Advisor**

Using the MTTR Advisor, you set the length of time required for the database to recover after an instance crash.

### **Segment Advisor**

This advisor looks for tables and indexes that consume more space than they require. The advisor checks for inefficient space consumption at the tablespace or schema level and produces scripts to reduce space consumption where possible.

### **SQL Access Advisor**

This advisor analyzes all SQL statements that are issued in a given period and suggests the creation of additional indexes or materialized views that will improve performance.

### **SQL Tuning Advisor**

This advisor analyzes an individual SQL statement and makes recommendations for improving its performance. Recommendations may include actions, such as rewriting the statement, changing the instance configuration, or adding indexes.

### **Undo Management Advisor**

With the Undo Management Advisor, you can determine the undo tablespace size that is required to support a given retention period. Undo management and the use of the advisor is covered in the lesson titled Managing Undo Data.

### **Data Recovery Advisor**

This advisor automatically diagnoses persistent data failures, presents repair options to the user, and executes repairs at the user's request. The purpose of the Data Recovery Advisor is to reduce the mean time to recover (MTTR) and provide a centralized tool for automated data repair.

### **SQL Repair Advisor**

You run the SQL Repair Advisor after a SQL statement fails with a critical error that generates a problem in the Automatic Diagnostic Repository. The advisor analyzes the statement and, in many cases, recommends a patch to repair the statement. If you apply the patch recommendation, the applied SQL patch circumvents the failure by causing the query optimizer to choose an alternative execution plan for future executions. This is done without changing the SQL statement itself.

## Viewing the Advisor Central Page in Enterprise Manager Cloud Control

The screenshot shows the Oracle Enterprise Manager Cloud Control 12c interface. The top navigation bar includes links for Enterprise, Targets, Favorites, History, Setup, Help, SYSMAN, and Log Out. The main content area is titled 'Advisor Central' with tabs for 'Advisors' (which is selected) and 'Checkers'. Under the 'Advisors' tab, there are sections for ANIM, MAA Advisor, Segment Advisor, Streams Performance Advisor, and various management advisors. Under the 'Checkers' tab, there are sections for Undo Segment Integrity Check, Redo Integrity Check, DB Structure Integrity Check, CF Block Integrity Check, Data Block Integrity Check, Dictionary Integrity Check, and Transaction Integrity Check. A status bar at the bottom indicates the page was refreshed on November 6, 2012, at 12:54:34 PM UTC.

The Advisor Central page is the main page of all advisors. You can reach this page by selecting Advisors Home in the Performance menu. It is also possible to have access to advisors in certain contexts.

On the Advisors tab of the Advisor Central page, you can see all the advisor tasks that are registered in the workload repository. You can also filter this list by advisor type and for predefined time periods.

The Checkers tab of the Advisor Central page enables you to schedule various database integrity checkers. You can see all the checker runs by name, type, or time period.

Some advisors are described in greater detail in the lessons titled "Managing Undo Data," "Managing Performance," "Managing Performance: SQL Tuning," and "Backup and Recovery Concepts."

**Note:** Use the Change Default Parameters page to change the default expiration (in days) for all future tasks. You can also use this page to change the parameters of some important advisors.

## Using Packages to Invoke the Advisors

PackageName	AdvisorName
DBMS_ADDM	Automatic Database Diagnostic Monitor (DBMS_ADDM)
DBMS_ADVISOR	SQL Access Advisor and Segment Advisor
DBMS_COMPRESSION	Compression Advisor
DBMS_SQLDIAG	SQL Repair Advisor
DBMS_SQLPA	SQL Performance Analyzer
DBMS_SQLTUNE	SQL Tuning Advisor

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The DBMS\_ADVISOR package contains all constants and procedure declarations for all advisor modules. However, some of the advisors have their own separate packages. Oracle recommends that you use the advisor-specific package rather than DBMS\_ADVISOR for the following advisors:

- Automatic Database Diagnostic Monitor (DBMS\_ADDM)
- SQL Performance Analyzer (DBMS\_SQLPA)
- SQL Repair Advisor (DBMS\_SQLDIAG)
- SQL Tuning Advisor (DBMS\_SQLTUNE)
- Compression Advisor (DBMS\_COMPRESSION.GET\_COMPRESSION\_RATIO)

You can use DBMS\_ADVISOR and the other advisor packages to execute tasks from the command line.

To execute advisor procedures, you must be granted the ADVISOR privilege. The ADVISOR privilege permits full access to the advisor procedures and views.

**Note:** For more information about all the procedures found in the advisor packages, refer to the *Oracle Database PL/SQL Packages and Types Reference*.

## Automated Maintenance Tasks

Autotask maintenance process:

1. Maintenance Window opens.
2. Autotask background process schedules jobs.
3. Scheduler initiates jobs.
4. Resource Manager limits impact of Autotask jobs.

Default Autotask maintenance jobs:

- Gathering optimizer statistics
- Automatic Segment Advisor
- Automatic SQL Advisor



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By analyzing the information stored in the AWR, the database server can identify the need to perform routine maintenance tasks, such as optimizer statistics refresh. The automated maintenance tasks infrastructure enables the Oracle Database server to automatically perform such operations. It uses the Scheduler to run such tasks in predefined maintenance windows.

By default, the weekday maintenance windows start at 10:00 PM and last four hours. On Saturday and Sunday, the maintenance window starts at 6:00 AM and lasts for 20 hours. All attributes of the maintenance windows are customizable, including the start and end times, frequency, days of the week, and so on. In addition, the impact of automated maintenance tasks on normal database operations can be limited by associating a Database Resource Manager resource plan to the maintenance window.

Examples of maintenance:

- Optimizer statistics are automatically refreshed by using the automatic maintenance task infrastructure.
- The Automatic Segment Advisor has default jobs, which run in the maintenance window.
- When creating a database with the DBCA, you can initiate regular database backups.

## Automated Maintenance Tasks



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To access the Automated Maintenance Task page, expand the Administration menu and select Automated Maintenance Tasks in the Oracle Scheduler submenu. On this page, you can view the automated maintenance task schedule and recent history. From here, you can drill down to details on some tasks. Click Configure to go to the Automated Maintenance Tasks Configuration page. A task executes in a window. The graph shows the last window in which a task was executed and the next window in which the task is scheduled to be executed.

**Note:** The default windows for tasks are shown in the example. When the maintenance window closes, the Scheduler terminates the optimizer statistics-gathering job by default. The remaining objects are then processed in the next maintenance window.

## Automated Maintenance Tasks Configuration

**Task Settings**

Window	Optimizer Statistics Gathering	Segment Advisor	Automatic SQL Tuning
TUESDAY_WINDOW	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled
WEDNESDAY_WINDOW	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled
THURSDAY_WINDOW	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled
FRIDAY_WINDOW	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled
SATURDAY_WINDOW	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled
SUNDAY_WINDOW	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled
MONDAY_WINDOW	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled	<input checked="" type="checkbox"/> Enabled

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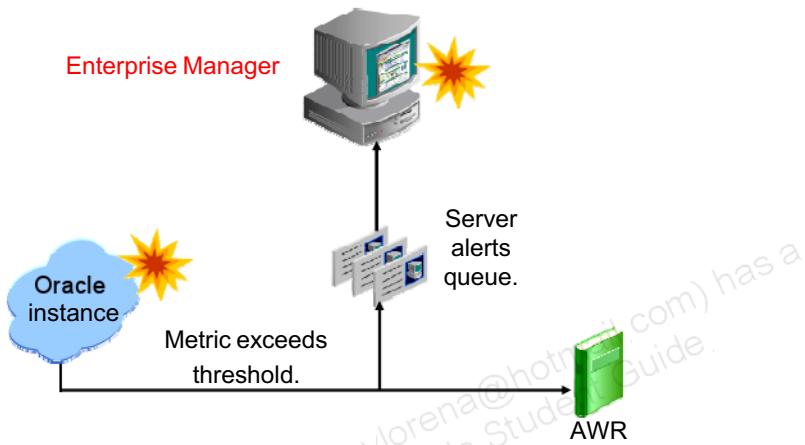
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On the Automated Maintenance Tasks Configuration page, you can enable and disable automatic maintenance tasks—all at once, by individual tasks, or by particular windows. You can also configure the settings that are used for optimizer statistics gathering and the job control parameters for the automatic SQL Tuning Advisor.

Select the window name to view or edit the window schedule.

Click Edit Window Group to add and remove windows in the window group.

## Server-Generated Alerts



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Alerts are notifications of when a database is in an undesirable state and needs your attention. By default, the Oracle Database server provides alerts via Enterprise Manager. Optionally, Enterprise Manager can be configured to send an email message to the administrator about problem conditions as well as display alert information on the console.

You can also set thresholds on many of the pertinent metrics for your system. Oracle Database proactively notifies you if the database deviates sufficiently from normal readings to reach those thresholds. An early notification of potential problems enables you to respond quickly and, in many cases, resolve issues before users even notice them.

Approximately, 60 metrics are monitored by default, among which are:

- Broken Job Count
- Database Time Spent Waiting (%)
- Dump Area Used (%)
- SQL Response Time (%) compared to baseline
- Tablespace Used (%)
- Generic Incident

A few additional key metrics can provide early problem notification:

- Average File Read Time (centiseconds)
- Response Time (per transaction)
- Wait Time (%)

## Setting Metrics Thresholds

Metric	Comparison Operator	Warning Threshold	Critical Threshold	Corrective Actions	Collection Schedule	Edit
Archiver Hung Alert Log Error	Contains		ORA-	None		
Data Block Corruption Alert Log Error	Contains		ORA-	None		
Generic Alert Log Error	Matches	ORA-U*(000?)/		None		
Media Failure Alert Log Error	Contains		ORA-	None		
Session Terminated Alert Log Error	Contains	ORA-		None		
Alert Log Error Status	>	0		None		
Archiver Hung Alert Log Error Status	>	0		None		
Data Block Corruption Alert Log Error Status	>	0		None		

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To access the Metric and Collection Settings page, expand the Oracle Database menu and select Metric and Collection Settings from the Monitoring submenu.

**Enter your desired warning and critical threshold values for the metric. The appropriate alerts appear when the database reaches your specified values.**

The thresholds that are already set appear in the “Metrics with thresholds” list. By default, approximately 60 metrics have preset thresholds; you may change these as needed. The “All metrics” list shows the metrics that do not have thresholds set.

Click the Edit icon to access a page where you can specify additional corrective actions for either warning or critical thresholds.

Click a Collection Schedule link to change the scheduled collection interval. Be aware that each schedule affects a group of metrics.

## Reacting to Alerts

- If necessary, you should gather more input (for example, by running ADDM or another advisor).
- Investigate critical errors.
- Take corrective measures.
- Acknowledge alerts that are not automatically cleared.



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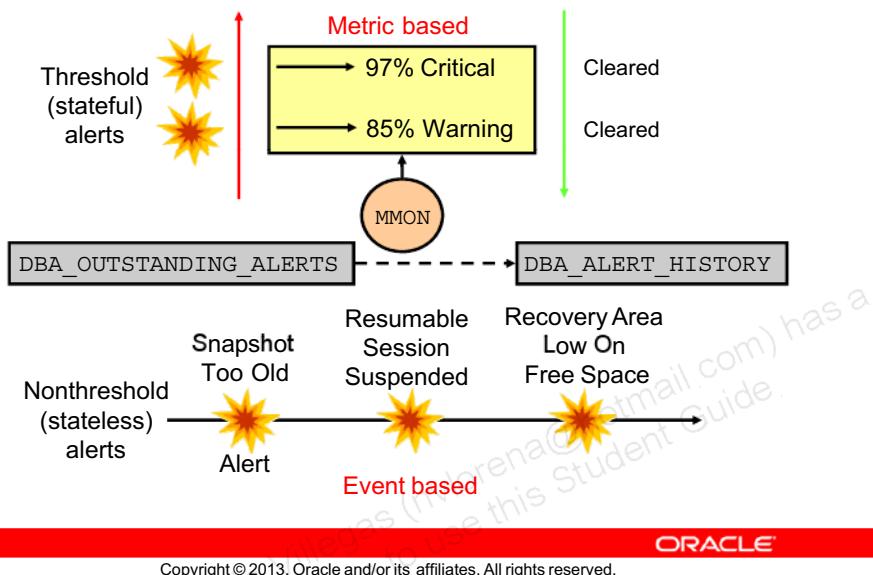
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When you receive an alert, follow the recommendations that it provides. Or you can consider running the ADDM (or another advisor as appropriate) to obtain more detailed diagnostics of system or object behavior.

Alerts and incidents are generated for critical errors. Critical errors usually generate incidents that are collected into problems. You use the Support Workbench to investigate and possibly report the problem to Oracle Support.

Most alerts (such as "Out of Space") are cleared automatically when the cause of the problem disappears. However, other alerts (such as Generic Alert Log Error) are sent to you for notification and must be acknowledged by you. After taking the necessary corrective measures, you acknowledge an alert by clearing or purging it. Clearing an alert sends the alert to the Alert History, which is accessible from the Monitoring submenu. Purging an alert removes it from the Alert History.

## Alert Types and Clearing Alerts



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There are two kinds of server-generated alerts: threshold and nonthreshold.

Most server-generated alerts are configured by setting a warning and critical threshold values on database metrics. You can define thresholds for more than 120 metrics, including the following:

- Physical Reads Per Sec
- User Commits Per Sec
- SQL Service Response Time

Except for the Tablespace Space Usage metric, which is database related, the other metrics are instance related. Threshold alerts are also referred to as *stateful alerts*, which are automatically cleared when an alert condition clears. Stateful alerts appear in **DBA\_OUTSTANDING\_ALERTS** and, when cleared, go to **DBA\_ALERT\_HISTORY**.

Other server-generated alerts correspond to specific database events, such as ORA-\* errors, "Snapshot too old" errors, Recovery Area Low On Free Space, and Resumable Session Suspended. These are non-threshold-based alerts, also referred to as *stateless alerts*. Stateless alerts go directly to the history table.

## Quiz

Stateless alerts, such as SNAPSHOT TOO OLD can be found in the dictionary view DBA\_OUTSTANDING\_ALERTS.

- a. True
- b. False

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**Answer: b**

## Summary

In this lesson, you should have learned how to:

- Manage the Automatic Workload Repository (AWR)
- Use the Automatic Database Diagnostic Monitor (ADDM)
- Describe and use the advisory framework
- Set alert thresholds
- Use server-generated alerts
- Use automated tasks



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## Practice 20

### 20-1: Database Maintenance

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**Oracle Database 12c: Admin, Install and Upgrade Accelerated 20 - 30**

# 21

## Managing Performance

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## Objectives

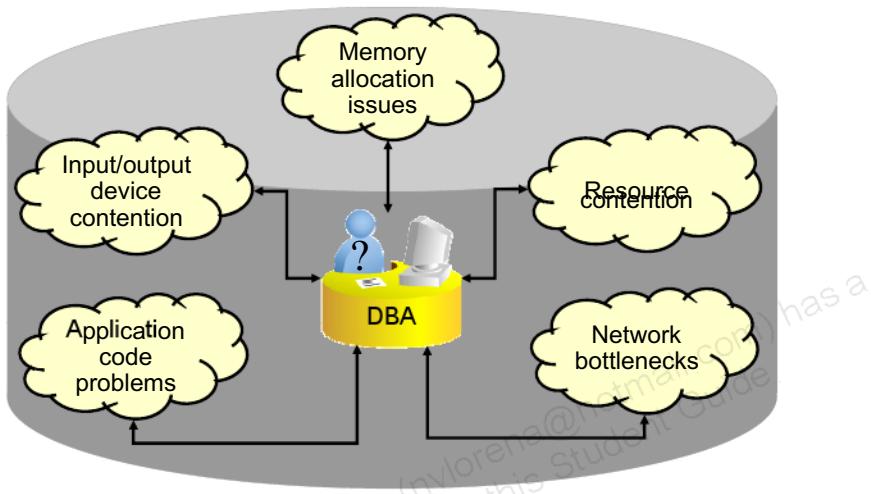
After completing this lesson, you should be able to use:

- Enterprise Manager to monitor performance
- Automatic Memory Management (AMM)
- The Memory Advisor to size memory buffers



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## Performance Monitoring



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To administer Oracle Database and keep it running smoothly, the database administrator (DBA) must regularly monitor its performance to locate bottlenecks and to correct problem areas.

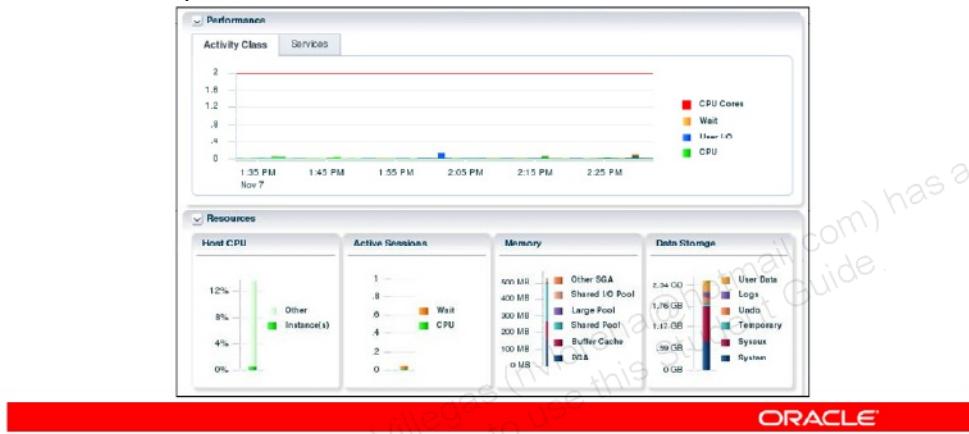
A DBA can look at hundreds of performance measurements, covering everything from network performance and disk input/output (I/O) speed to the time spent working on individual application operations. These performance measurements are commonly referred to as *database metrics*.

**Note:** For more information about Oracle database performance, see the *Oracle Database 12c: Performance Tuning* course.

## Performance Monitoring

Use the Enterprise Manager Database Express home page for:

- Performance overview
- Graphs of metrics and details



You can respond to changes in performance only if you know the performance has changed. Oracle Database provides several ways to monitor the current performance of the database instance. The database home page of Enterprise Manager Database Express provides a quick check of the health of the instance and the server, with graphs showing CPU usage, active sessions, memory and data storage usage. The home page also shows any alerts that have been triggered.

Additional detail is available on the Performance Hub page. This page will be reviewed later in the lesson.

The information displayed in Enterprise Manager is based on performance views that exist in the database. You can access these views directly with SQL\*Plus. ~~These views are available through SQL\*Plus. These views are available through SQL\*Plus. These views are available through SQL\*Plus.~~ You may need to access these views for some detail about the raw statistics.

## Tuning Activities

The three activities in performance management are:

- Performance planning
- Instance tuning
- SQL tuning



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The three facets of tuning involve performance planning, instance tuning, and SQL tuning.

- Performance planning is the process of establishing the environment: the hardware, software, operating system, network infrastructure, and so on.
- Instance tuning is the actual adjustment of Oracle database parameters and operating system (OS) parameters to gain better performance of the Oracle database.
- SQL tuning involves making your application submit efficient SQL statements. SQL tuning is performed for the application as a whole, as well as for individual statements. At the application level, you want to be sure that different parts of the application are taking advantage of each other's work and are not competing for resources unnecessarily.

**Note:** For more information about performance tuning, refer to the *Oracle Database Performance Tuning Guide*.

## Performance Planning

- Investment options
- System architecture
- Scalability
- Application design principles
- Workload testing, modeling, and implementation
- Deploying new applications



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There are many facets to performance planning. Planning must include a balance between performance (speed), cost, and reliability. You must consider the investment in your system architecture: the hardware and software infrastructure needed to meet your requirements. This, of course, requires analysis to determine the value for your given environment, application, and performance requirements. For example, the number of hard drives and controllers has an impact on the speed of data access.

The ability of an application to scale is also important. This means that you are able to handle more and more users, clients, sessions, or transactions, without incurring a huge impact on overall system performance. The most obvious violator of scalability is serializing operations **among users**. If all users go through a single path one at a time, then, as more users are added, there are definitely adverse effects on performance. This is because more and more users line up to go through that path. Poorly written SQL also affects scalability. It requires many users to wait for inefficient SQL to complete; each user competing with the other on a large number of resources that they are not actually in need of.

The principles of application design can greatly affect performance. Simplicity of design, use of views and indexes, and data modeling are all very important.

## Aula Matriz S.A.S.

Any application must be tested under a representative production workload. This requires estimating database size and workload, and generating test data and system load.

Performance must be considered as new applications (or new versions of applications) are deployed. Sometimes, design decisions are made to maintain compatibility with old systems during the rollout. A new database should be configured (on the basis of the production environment) specifically for the applications that it hosts.

A difficult and necessary task is testing the existing applications when changing the infrastructure. For example, upgrading the database to a newer version, or changing the operating system or server hardware. Before the application is deployed for production in the new configuration, you want to know the impact. The application will almost certainly require additional tuning. You need to know that the critical functionality will perform, without errors.

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## Instance Tuning

- Have well-defined goals.
- Allocate memory to database structures.
- Consider I/O requirements in each part of the database.
- Tune the operating system for optimal performance of the database.



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At the start of any tuning activity, it is necessary to have specific goals. A goal, such as "Process 500 sales transactions per minute" is easier to work toward than one that says, "Make it go as fast as you can, and we'll know when it's good enough."

You must allocate Oracle database memory suitably for your application to attain optimum performance. You have a finite amount of memory to work with. Too little memory allotted to certain parts of the Oracle database server can cause inefficient background activity, which you may not even be aware of without doing some analysis.

Disk I/O is often the bottleneck of a database and, therefore, requires a lot of attention at the outset of any database implementation.

The operating system configuration can also affect the performance of an Oracle database. For more information, see the *Oracle Database Installation Guide* for your particular platform.

## Performance Tuning Methodology

The tuning steps:

- Tune from the top down. Tune the:
  1. Design
  2. Application code
  3. Instance
- Tune the area with the greatest potential benefit. Identify and tune:
  - SQL using the greatest resources
  - The longest waits
  - The largest service times
- Stop tuning when the goal is met.



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Oracle has developed a tuning methodology based on years of experience. The basic steps are:

1. Check the OS statistics and general machine health before tuning the instance to be sure that the problem is in the database. Use the Enterprise Manager database home page.
2. Tune from the top down. Start with the design, then the application, and then the instance. For example, try to eliminate the full table scans causing the I/O contention before tuning the tablespace layout on disk. This activity often requires access to the application code.
3. Tune the areas with the greatest problems at the first time. This step is simple. Identify the biggest bottleneck and tune it. Repeat this step. All the various tuning tools have some way to identify the SQL statements, resource contention, or services that are taking the most time. The Oracle database provides a time model and metrics to automate the process of identifying bottlenecks. The Advisors available in Oracle Database use this methodology.
4. Stop tuning when you meet your goal. This step implies that you set tuning goals.

This is a general approach to tuning the database instance and may require multiple passes.

## Performance Tuning Data

Type of data gathered:

- Cumulative statistics:
  - Wait events with time information
  - Time model
- Metrics: Statistic rates
- Sampled statistics: Active session history
  - Statistics by session
  - Statistics by SQL
  - Statistics by service
  - Other dimensions



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The Oracle database server software captures information about its own operation. Three major types of data are collected: cumulative statistics, metrics, and sampled statistics.

**Cumulative statistics are counts and timing information of a variety of events that occur in the database server.** Some are quite important, such as buffer busy waits. Others have little impact on tuning, such as index block split. The most important events for tuning are usually the ones showing the greatest cumulative time values. The statistics in Oracle Database are correlated by the use of a time model. The time model statistics are based on a percentage of DB time, giving them a common basis for comparison.

Metrics are statistic counts per unit. The unit could be time (such as seconds), transaction, or session. Metrics provide a base to measure performance. You can set thresholds on a metric, causing an alert to be generated. For example, you can set thresholds for when the reads per millisecond exceed a previously recorded peak value or when the archive log area is 95% full.

Sampled statistics are gathered automatically when STATISTICS\_LEVEL is set to TYPICAL or ALL. Sampled statistics allow you to look back in time. You can view session and system statistics that were gathered in the past, in various dimensions, even if you had not thought of specifying data collection for these beforehand.

## Using the Enterprise Manager Database Express Performance Hub Page



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You can access the Performance Hub page from the Performance menu. On the Performance Hub page, you can view all the performance data available for a specified time period.

**You can choose to view real-time data or historical data. More granular data is shown when you choose real-time data. When you view historical data, the data points are averaged out to the Automatic Workload Repository (AWR) interval (usually an hour).**

Different tabs are available on the Performance Hub page based on whether you choose real-time or historical data.

The following tabs are available for both real-time and historical data:

- **Summary:** Provides an overall view of the performance of the system for the specified time period
- **RAC:** Appears only when Enterprise Manager Database Express is being used with an Oracle Real Application Clusters (RAC) database or cluster database
- **Activity:** Shows Active Session History (ASH) analytics
- **Workload:** Profile charts show the pattern of user calls, parse calls, redo size, and SQL\*Net over the last 60 minutes in real-time mode. The Sessions chart shows the logon rate, current logons, and open cursors.

- **Monitored SQL:** Shows information about monitored SQL statements that were executing or completed during the selected time period
- **ADDM:** Shows performance findings and recommendations from the Automatic Database Diagnostics Monitor (ADDM) for tasks performed in the database during the selected time period

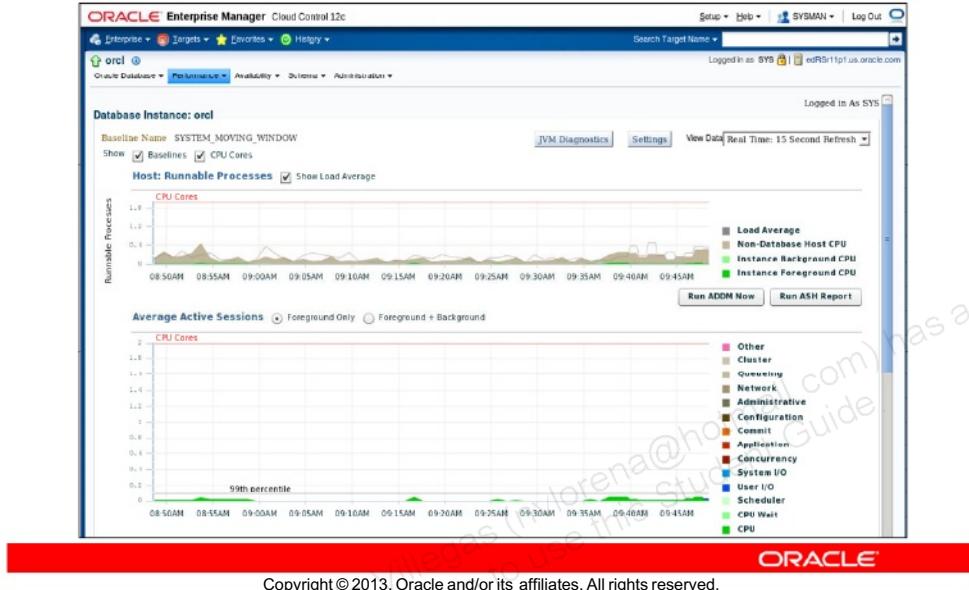
The following tab is only available if you select real-time data:

- **Current Findings:** Shows ADDM findings for the past five minutes

The following tabs are only available if you select historical data:

- **Database Time:** Shows wait events by category for various metrics, and to view time statistics for various metrics for the selected time period
- **Resources:** Shows operating system resource usage statistics, I/O resource usage statistics, and memory usage statistics for the selected time period
- **System Statistics:** Shows database statistics by value, per transaction, or per second for the selected time period

## Using the Enterprise Manager Cloud Control Performance Home Page



You can also use Enterprise Manager Cloud Control to manage the performance of your database. Access the Performance Home page by selecting Performance Home in the Performance menu.

The Performance Home page provides an overall view of performance statistics for your database. You can use the information on this page to determine whether resources need to be added or redistributed.

## Monitoring Session Performance



Enterprise Manager Cloud Control provides session detail pages so that you can view the wait events occurring in individual sessions. Select Top Activity in the Performance menu to view the summary of all sessions. In the lower right corner of the Top Activity page is a list of the Top Sessions. Click the session identifier to view the Session Details page.

The Top Activity page and Session Details page are based on performance views in the database.

## Performance Monitoring: Top Sessions

Select	SID	DB User	CPU (1/100 sec)	PGA Memory (bytes)	Physical Reads	Logical Reads	Hard Parses	Total Parses	Disk Sorts	Status	Program	Module
<input checked="" type="radio"/>	38	DBSMP	287	13053208	18	7246	24	406	0	ACTIVE	JDBC Thin Client	emagent, St
<input type="radio"/>	35	DBSMP	11	16528616	0	0	0	0	0	ACTIVE	OMS	Realtime Co
<input type="radio"/>	34	SYS	11	7417112	3	92	0	36	0	ACTIVE		
<input type="radio"/>	246	MMON	2	48034776	0	0	0	0	0	ACTIVE	oracleEDRSR11P1 (MMON)	maxdeckFBNSR11P1
<input type="radio"/>	240	SMON	1	1273/64	0	12	0	2	0	ACTIVE	oracleEDRSR11P1 (SMON)	

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At the bottom of the Top Activity page, click Top Consumers in the Additional Monitoring Links section to access the Top Consumers page.

The Top Consumers Overview page shows in graphical format:

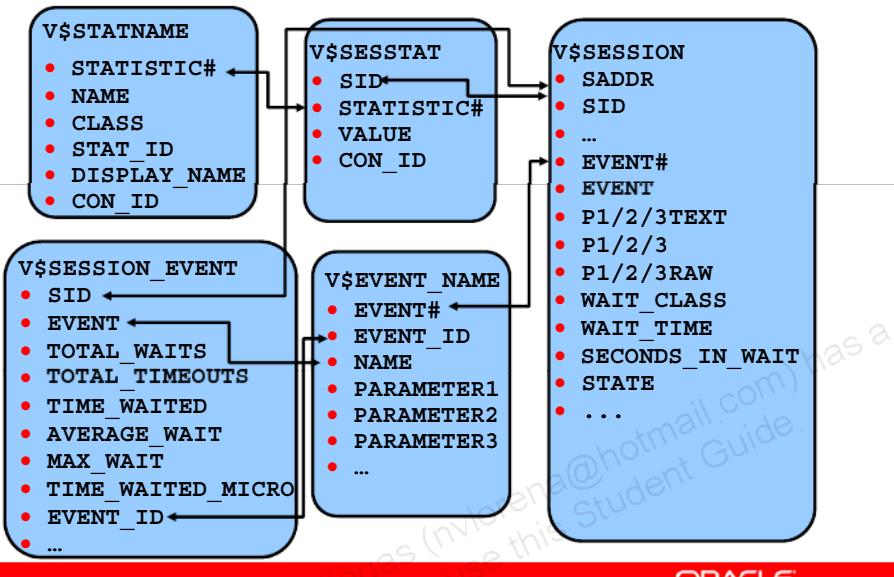
- Top services
- Top modules (by service)
- Top actions (by service and module)
- Top clients

On the Top Consumers page, click the Top Sessions tab to see critical statistics of the sessions using the most resources:

- CPU
- PGA Memory
- Logical Reads
- Physical Read
- Hard Parse count
- Sort count

Click a column name to have the results sorted by a value in the column.

## Displaying Session-Related Statistics



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You can display current session information for each user logged on by querying V\$SESSION. For example, you can use V\$SESSION to determine whether a session represents a user session, or was created by a database server process (BACKGROUND).

You can query either V\$SESSION or V\$SESSION\_WAIT to determine the resources or events for which active sessions are waiting.

You can view user session statistics in V\$SESSTAT. The V\$SESSION\_EVENT view lists information about waits for an event by a session.

Cumulative values for instance statistics are generally available through dynamic performance views, such as V\$SESSTAT and V\$SYSSTAT. Note that the cumulative values in dynamic views are reset when the database instance is shut down.

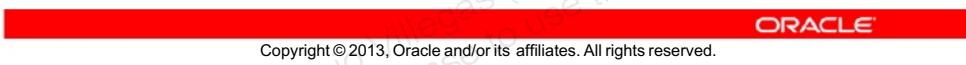
The V\$MYSTAT view displays the statistics of the current session.

You can also query V\$SESSMETRIC to display the performance metric values for all active sessions. This view lists performance metrics, such as CPU usage, number of physical reads, number of hard parses, and the logical read ratio.

## Performance Monitoring: Top Services

The screenshot shows the Oracle Enterprise Manager interface. The top navigation bar includes links for Targets, Entities, History, and various system status indicators. The main content area is titled "Top Consumers" and displays a table of service activity. The table has columns for Service, Activity (% for the last 5 minutes), SQL Trace Enabled, Delta Elapsed Time (seconds), Cumulative Elapsed Time (seconds), Delta CPU Time (seconds), and Cumulative CPU Time (seconds). Two services are listed: SYS\$BACKGROUND (55.0%, FALSE) and SYS\$USERS (45.0%, FALSE).

Select	Service	Activity (% for the last 5 minutes)	SQL Trace Enabled	Delta Elapsed Time (seconds)	Cumulative Elapsed Time (seconds)	Delta CPU Time (seconds)	Cumulative CPU Time (seconds)
<input type="checkbox"/>	SYS\$BACKGROUND	55.0	FALSE	0	0	0	0
<input type="checkbox"/>	SYS\$USERS	45.0	FALSE	0	11548	0	0



In multitier systems where there is an application server that is pooling database connections, viewing sessions may not provide the information you need to analyze performance. Grouping sessions into service names enables you to monitor performance more accurately.

Regardless of the session that was used for a particular request, if it connected via one of these services, the performance data of the session is captured under that service name.

## Displaying Service-Related Statistics

For *n*-tier environments, because session statistics are not as helpful, you can see service-level statistics in these views:

- **V\$SERVICE\_EVENT**: Aggregated wait counts and wait times for each service, on a per-event basis
- **V\$SERVICE\_WAIT\_CLASS**: Aggregated wait counts and wait times for each service on a wait-class basis

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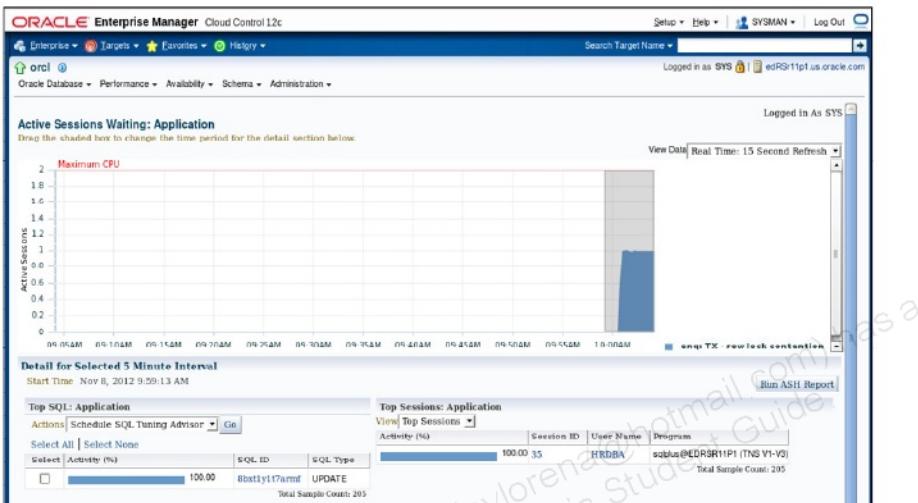
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In an *n*-tier environment where there is an application server that is pooling database connections, viewing sessions may not provide the information you need to analyze performance. Grouping sessions into service names enables you to monitor performance more accurately. These two views provide the same information that their like-named session counterparts provide, except that the information is presented at the service level rather than at the session level.

V\$SERVICE\_WAIT\_CLASS shows wait statistics for each service, broken down by wait class. V\$SERVICE\_EVENT shows the same information as V\$SERVICE\_WAIT\_CLASS, except that it is further broken down by event ID.

You can define a service in the database by using the DBMS\_SERVICE package and use the net service name to assign applications to a service.

## Viewing Wait Events



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You can access detailed information on waits from the Average Active Sessions graph on the Performance Home page.

**When you drill down to a particular wait category, you can view details of specific five-minute intervals and also see the Top Working SQL and the Top Working Sessions associated with that particular wait event during that time. This enables you to perform after-the-fact analysis of system slowdowns and determine potential causes.**

## Oracle Wait Events

- A collection of wait events provides information about the sessions or processes that had to wait or must wait for different reasons.
- These events are listed in the V\$EVENT\_NAME view.

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Wait events are statistics that are incremented by a server process or thread to indicate that it had to wait for an event to complete before being able to continue processing. Wait event data reveals various symptoms of problems that might be impacting performance, such as latch contention, buffer contention, and I/O contention. Remember that these are only symptoms of problems, not the actual causes.

Wait events are grouped into classes. The wait event classes include: Administrative, Application, Cluster, Commit, Concurrency, Configuration, Idle, Network, Other, Scheduler, System I/O, and User I/O.

There are more than 800 wait events in the Oracle database, including free buffer wait, latch free, buffer busy wait, db file sequential read, and db file scattered read.

## Memory Management: Overview

DBAs must consider memory management to be a crucial part of their job because:

- There is a finite amount of memory available
  - Allocating more memory to serve certain types of functions
  - can improve overall performance
- Automatically tuned memory allocation is often the appropriate configuration, but specific environments or even short-term conditions may require further attention

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Because there is a finite amount of memory available on a database server and thus, on an Oracle database instance, you must pay attention to how memory is allocated. If too much memory is allowed to be used by a particular area that does not need it, other areas may not function properly because of lack of memory. With the ability to have memory allocation automatically determined and maintained for you, the task is simplified greatly. But even automatically tuned memory needs to be monitored for optimization and may need to be manually configured to some extent.

## Managing Memory Components

- Automatic Memory Management (AMM) enables you to specify total memory allocated to instance (including both SGA and PGA)
- Automatic Shared Memory Management (ASMM):
  - Enables you to specify total SGA memory through one initialization parameter
  - Enables the Oracle server to manage the amount of memory allocated to the shared pool, Java pool, buffer cache, streams pool, and large pool
- Manually setting shared memory management:
  - Sizes the components through multiple individual initialization parameters
  - Uses the appropriate Memory Advisor to make recommendations

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Oracle Database enables you to specify the total memory allocated to the instance. Memory will be dynamically reallocated between the System Global Area (SGA) and Program Global Area (PGA) as needed. This method is called Automatic Memory Management (AMM) and is available on only those platforms that support dynamic release of memory. This simplifies your memory management tasks.

Memory advisors are available to help you set the initialization parameters on various levels. The advisor available depends on the level on which you are specifying the memory parameters. If you enable AMM, only the Memory Size Advisor is available.

Automatic Shared Memory Management (ASMM) enables you to manage the SGA as a whole. The SGA comprises several components. The sizes of many of these components are dynamically adjusted for best performance within the limits of the initialization parameters. When the AMM is enabled, the ASMM is automatically enabled. If the ASMM is enabled but not the AMM, the SGA Size Advisor is available.

You can manage the size of individual components manually by setting the initialization parameter for each component. If the Oracle server notifies you of a performance problem that is related to the size of an SGA or PGA component, you can use the Memory Advisor for the component to determine appropriate new settings. The Memory Advisor can model the effect of parameter changes.

## Efficient Memory Usage: Guidelines

- Fit the SGA into physical memory.
- Use the Memory Advisors.
- Tune for the most efficient use of memory
  - Reduce overall physical I/O
  - Reduce the total memory needs

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If possible, it is best to fit the SGA into physical memory, which provides the fastest access. Even though the OS may provide additional virtual memory, that memory, by its nature, can often be swapped out to disk. On some platforms, you can use the `LOCK_SGA` initialization parameter to lock the SGA into physical memory. This parameter cannot be used in conjunction with AMM or ASMM.

When a SQL statement executes, data blocks are requested for reading or writing, or both. This is considered a logical I/O. As the block is requested, the block is checked to see whether it already exists in memory. If it is not in memory, it is read from disk, which is called a physical I/O. When the block is found in memory, the cost is several orders of magnitude less than the cost of reading the block from disk. The size of the SGA components in combination with the workload has a large affect on the number of physical reads. A simple view of this implies that you should increase the memory for the SGA components as much as possible. A larger SGA is not always better. There is a point where adding more memory yields diminishing returns. This principle applies to the buffer cache, the shared pool, and other SGA components. In practice, you find that shifting memory from one SGA component to another may increase overall performance, without changing the total amount of memory given to the SGA depending on the characteristics of the workload.

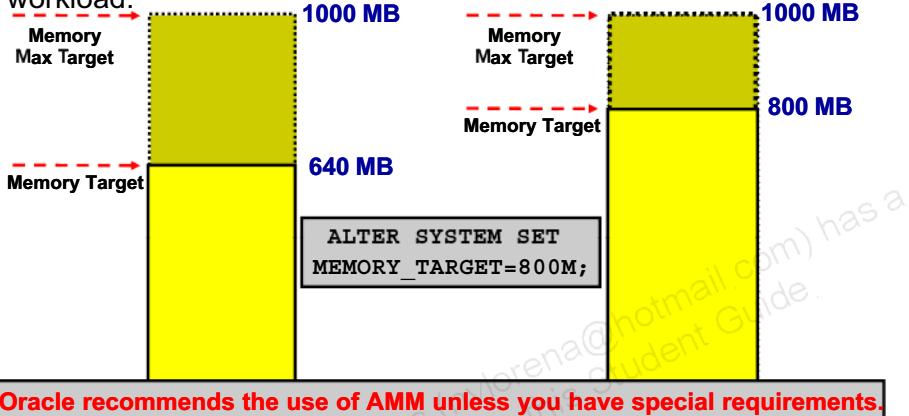
Memory has an upper limit in all current machines. That limit may be imposed by the hardware, operating system, or the cost of the memory. The goal of memory tuning is to produce the most efficient use of existing memory. When the workload changes often, the most efficient division of memory between the SGA components will change. There is also the amount of memory allocated to SGA and PGA. Online transaction processing (OLTP) systems typically use very little PGA memory compared to data warehouse (DW) or decision support systems (DSS).

Enterprise Manager Cloud Control and Enterprise Manager Database Express both provide Memory Advisors. These tools monitor the memory usage by the SGA components, and PGA, and project the differences in terms of efficiency for increased and decreased memory allocations. These projections use the current workload. They can help you size the SGA on the **basis of the activity in your particular database**. The advisors will make sizing recommendations for manual settings, when the automatic memory management is disabled. These same advisors provide input to the automatic memory management, to determine the most efficient component sizes.

Using the existing memory efficiently also includes tuning the applications. A poorly tuned application can use large quantities of memory. For example, an application that uses frequent full table scans because indexes do not exist or are unusable can cause a large amount of I/O, reducing performance. The ~~first ten chapters are focused on tuning the database and SQL statements. Tuning SQL statements is covered in more detail in the lesson titled “Managing Performance: SQL Tuning.”~~

## Automatic Memory Management: Overview

With Automatic Memory Management, the database server can size the SGA and PGA automatically according to your workload.



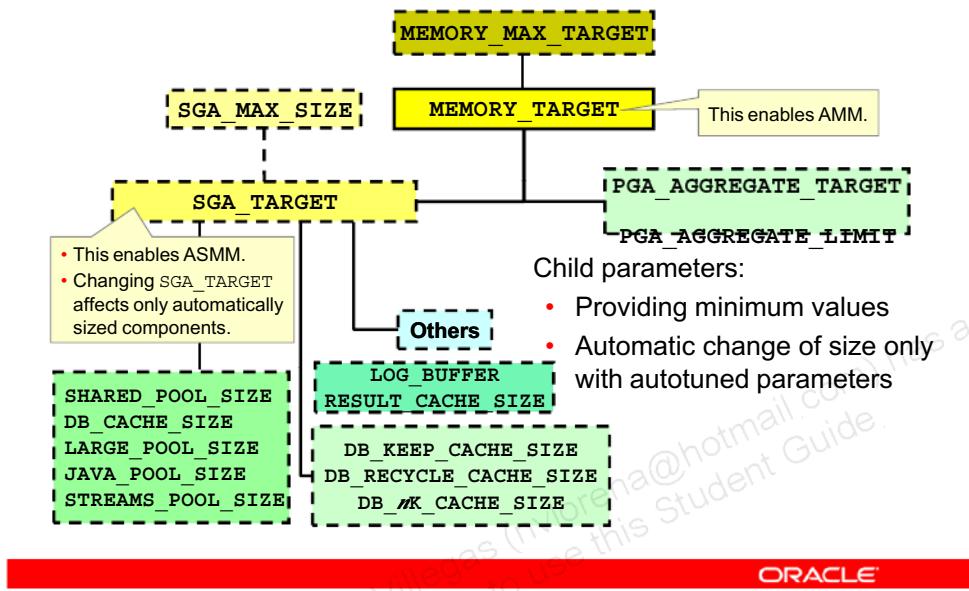
Automatic Memory Management (AMM) allows the Oracle Database server to manage SGA memory and instance PGA memory sizing automatically. To do so (on most platforms), you set only a target memory size initialization parameter (`MEMORY_TARGET`) and a maximum memory size initialization parameter (`MEMORY_MAX_TARGET`), and the database server dynamically exchanges memory between the SGA and the instance PGAs as needed to meet processing demands.

With this memory management method, the database server also dynamically tunes the sizes of the individual SGA components and the sizes of the individual PGAs.

Because the target memory initialization parameter is dynamic, you can change the target memory size at any time without affecting the database instance. The maximum memory size serves as an upper limit so that you cannot accidentally set the target memory size too high. Because certain SGA components either cannot easily shrink or must remain at a minimum size, the database server also prevents you from setting the target memory size too low.

This indirect memory transfer relies on the operating system (OS) mechanism of freeing shared memory. After memory is released to the OS, the other components can allocate memory by requesting memory from the OS. Currently, Automatic Memory Management is implemented on Linux, Solaris, HPUX, AIX, and Windows.

## Oracle Database Memory Parameters



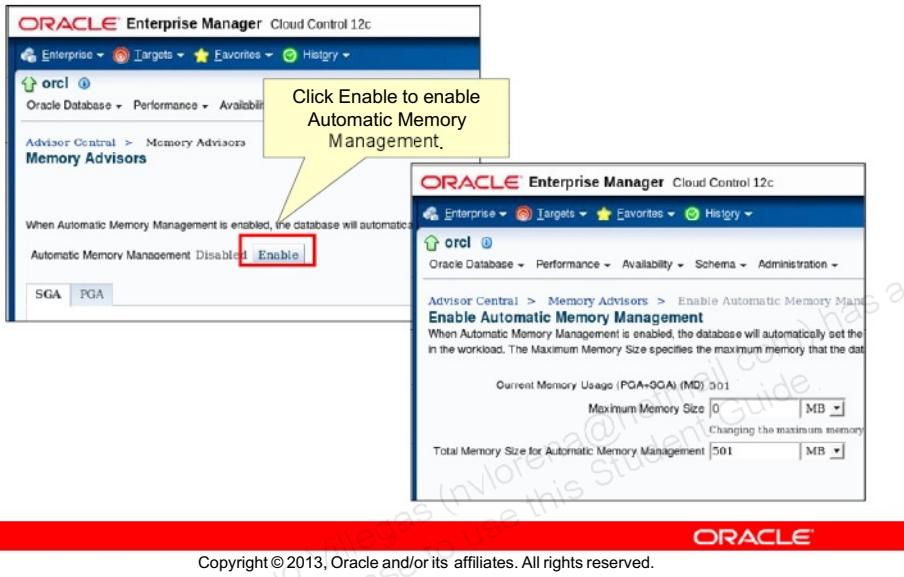
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The graphic in the slide shows you the memory initialization parameters hierarchy. Although you have to set only **MEMORY\_TARGET** to trigger Automatic Memory Management, you still have the ability to set lower bound values for various caches. Therefore, if the child parameters are user-set, they will be the minimum values below which the Oracle database server will not autotune that component.

- If **SGA\_TARGET** and **PGA\_AGGREGATE\_TARGET** are set to a nonzero value, they are considered to be the minimum values for the sizes of the SGA and the PGA, respectively. **MEMORY\_TARGET** can take values from **SGA\_TARGET + PGA\_AGGREGATE\_TARGET** to **MEMORY\_MAX\_SIZE**.
- **If SGA\_TARGET is set, the database server autotunes only the sizes of the** subcomponents of the SGA. PGA is autotuned independent of whether it is explicitly set or not. However, the whole SGA (**SGA\_TARGET**) and the PGA (**PGA\_AGGREGATE\_TARGET**) are not autotuned—that is, do not grow or shrink automatically.

## Enabling Automatic Memory Management (AMM) by Using Enterprise Manager Cloud Control



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If you did not enable Automatic Memory Management (AMM) when you configured your database, you can enable it by performing the following steps:

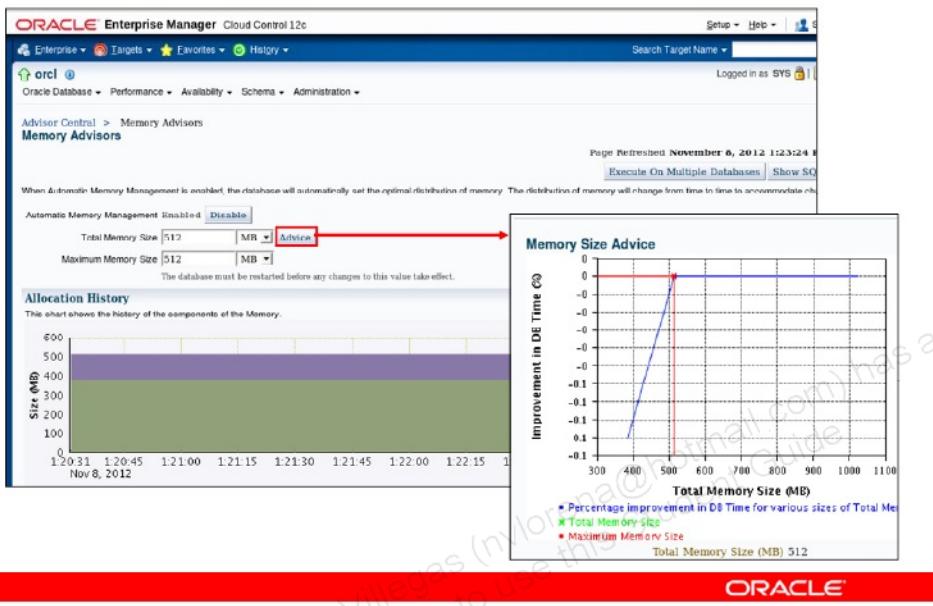
1. Select Memory Advisors in the Performance menu.
2. Click Enable for Automatic Memory Management.
3. The Enable Automatic Memory Management page appears. Set the values for Total Memory Size and Maximum Memory Size for Automatic Memory Management.  
**Note:** If you change the Maximum Memory Size, the database instance must be restarted.
4. Click OK.

You can increase the size at a later time by increasing the value of the Total Memory Size field or the MEMORY\_TARGET initialization parameter. However, you cannot set it higher than the value specified by the Maximum Memory Size field or the MEMORY\_MAX\_TARGET parameter. For more information, see the *Oracle Database Administrator's Guide*.

After AMM is enabled, the Memory Size Advisor is available to help you adjust the maximum and target memory sizes.

**Note:** Oracle recommends that you use Automatic Memory Management to simplify memory management tasks.

## Monitoring Automatic Memory Management



After Automatic Memory Management is enabled, you can see the graphical representation of the history of your memory size components in the Allocation History section of the Memory Advisors page. The top portion in the first histogram is tunable PGA only and the lower portion is all of SGA.

Additional data is available on the page showing the SGA components history and a graphical representation of the SGA allocation.

On this page, you can also access the memory target advisor by clicking the Advice button. This advisor gives you the possible DB time improvement for various total memory sizes.

**Note:** You can also look at the memory target advisor by using the V\$MEMORY\_TARGET\_ADVISOR view.

## Monitoring Automatic Memory Management

Use the following views to monitor Automatic Memory Management:

- V\$MEMORY\_DYNAMIC\_COMPONENTS: Current status of all memory components
- V\$MEMORY\_RESIZE\_OPS: Circular history buffer of the last 800 memory resize requests
- V\$MEMORY\_TARGET\_ADVICE: Tuning advice for the MEMORY\_TARGET initialization parameter

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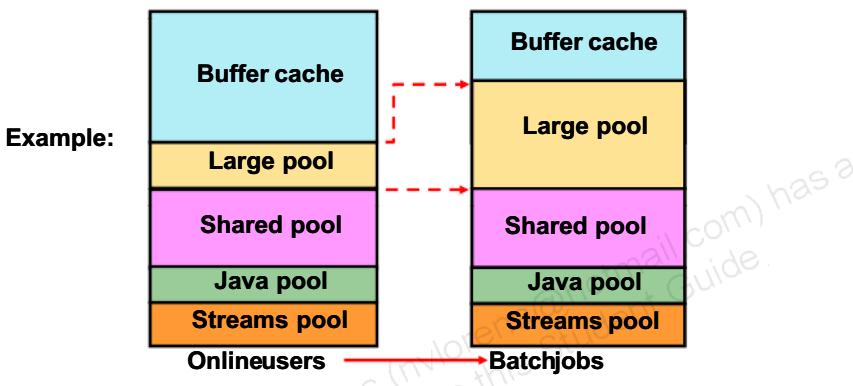
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The V\$MEMORY\_DYNAMIC\_COMPONENTS dynamic performance view shows the current sizes of all dynamically tuned memory components, including the total sizes of the SGA and instance PGA. The V\$MEMORY\_TARGET\_ADVICE view provides tuning advice for the MEMORY\_TARGET initialization parameter.

In the V\$MEMORY\_TARGET\_ADVICE view, the row with the MEMORY\_SIZE\_FACTOR of 1 shows the current size of memory, as set by the MEMORY\_TARGET initialization parameter, and the amount of DB time required to complete the current workload. In previous and subsequent rows, the results show several alternative MEMORY\_TARGET sizes. For each alternative size, the database server shows the size factor (the multiple of the current size), and the estimated DB time to complete the current workload if the MEMORY\_TARGET parameter were changed to the alternative size. Notice that for a total memory size smaller than the current MEMORY\_TARGET size, the estimated DB time increases.

## Automatic Shared Memory Management: Overview

- Automatically adapts to workload changes
- Maximizes memory utilization
- Helps eliminate out-of-memory errors



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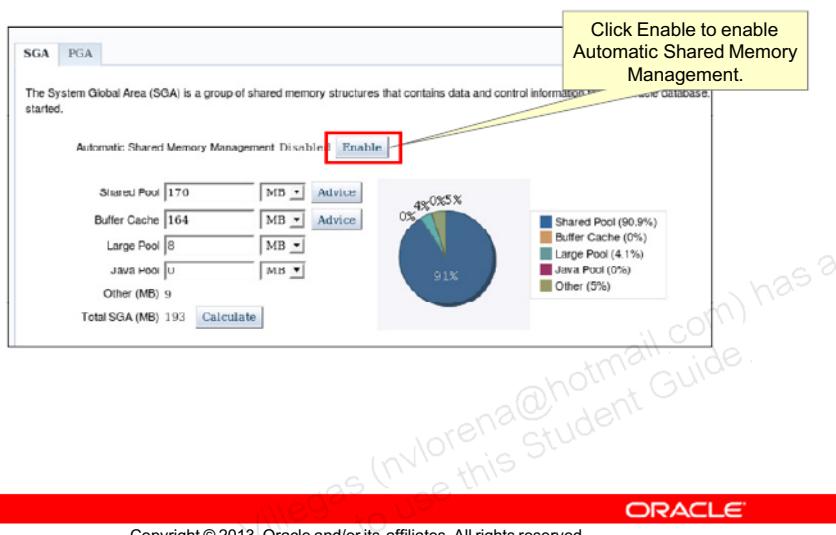
If AMM does not work for you, because you need a fixed PGA, consider the use of Automatic Shared Memory Management (ASMM) which simplifies SGA memory management. You specify the total amount of SGA memory available to an instance by using the `SGA_TARGET` initialization parameter and Oracle Database server automatically distributes this memory among the various SGA components to ensure the most effective memory utilization.

For example, in a system that runs large online transactional processing (OLTP) jobs during the day (requiring a large buffer cache) and runs parallel batch jobs at night (requiring a large value for the large pool), you would have to simultaneously configure both the buffer cache and the large pool to accommodate your peak requirements.

With ASMM, in the OLTP jobs, it uses most of the memory available for good I/O performance. When the data analysis and reporting batch job starts up later, the memory is automatically migrated to the large pool so that it can be used by parallel query operations without producing memory overflow errors.

The Oracle Database server remembers the sizes of the automatically tuned components across instance shutdowns if you are using a server parameter file (SPFILE). As a result, the system does not need to learn the characteristics of the workload again each time an instance is started. It can begin with information from the past instance and continue evaluating workload where it left off at the last shutdown.

## Enabling Automatic Shared Memory Management (ASMM)



Automatic Shared Memory Management is automatically enabled if you have enabled AMM. If you have not enabled AMM or did not enable ASMM when you configured your database, you can enable Automatic Shared Memory Management by performing the following steps:

1. Select Memory Advisors in the Performance menu.
2. The Memory Advisors page appears. Scroll down to the SGA section. Click Enable for Automatic Shared Memory Management.
3. The Enable Automatic Shared Memory Management page appears. Specify the total SGA size. Click OK.

You can increase the total SGA size at a later time by increasing the value of the Total SGA Size field or the `SGA_TARGET` initialization parameter. However, you cannot set it higher than the value specified by the Maximum SGA Size field or the `SGA_MAX_SIZE` parameter. For more information, see the *Oracle Database Administrator's Guide*.

When AMM is disabled, the PGA advisor is accessible. The PGA advisor is recommended for

setting the PGA memory value. Click the PGA tab to access the PGA property page. Click

**Note:** Oracle recommends that you use Automatic Shared Memory Management to simplify your memory management tasks.

## Understanding Automatic Shared Memory Management

- ASMM is based on workload information that MMON captures in the background.
- MMON uses memory advisors.
- Memory is moved to where it is needed the most by MMAN.
- If an SPFILE is used (which is recommended):
  - Component sizes are saved across shutdowns
  - Saved values are used to bootstrap component sizes
  - There is no need to relearn optimal values

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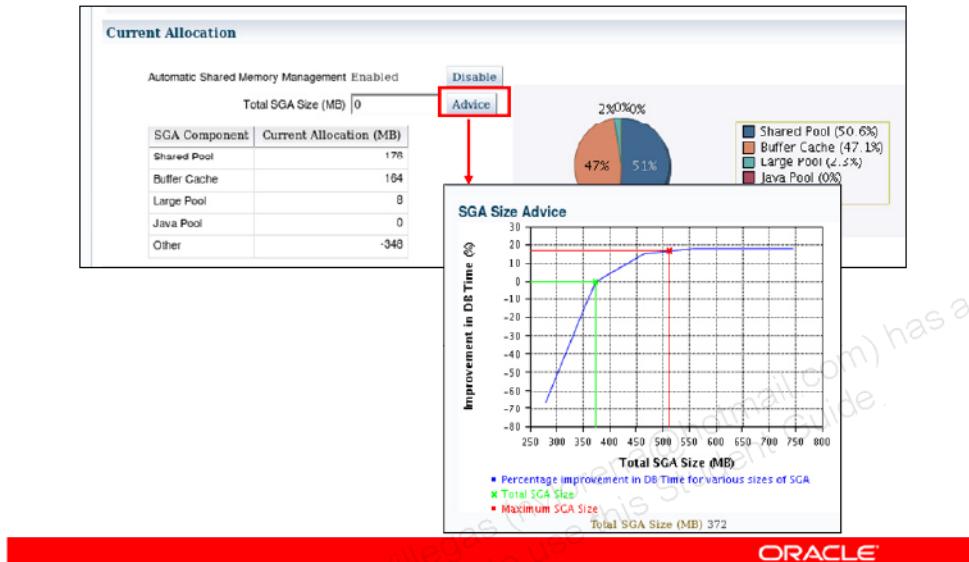
The Automatic Shared Memory Management feature uses the SGA memory broker that is implemented by two background processes: Manageability Monitor (MMON) and Memory Manager (MMAN). Statistics and memory advisory data are periodically captured in memory by MMON. MMAN coordinates the sizing of the memory components according to MMON decisions. The SGA memory broker keeps track of the sizes of the components and pending resize operations.

The SGA memory broker observes the system and workload in order to determine the ideal distribution of memory. It performs this check every few minutes so that memory can always be present where needed. In the absence of Automatic Shared Memory Management, **components had to be sized to anticipate their individual worst-case memory requirements.**

On the basis of workload information, Automatic Shared Memory Management:

- Captures statistics periodically in the background
- Uses memory advisors
- Performs what-if analysis to determine the best distribution of the memory
- Moves memory to where it is most needed
- Saves component sizes across shutdown if an SPFILE is used (the sizes can be resurrected from before the last shutdown)

## Automatic Shared Memory Advisor



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Before enabling ASMM, you should remove the individual memory area parameters from the SPFILE because having them set can impose restrictions on ASMM. After ASMM is enabled, the SGA Size Advisor is available to help you choose the best value for total SGA size.

If after seeing the effects of the ASMM allocations you decide that you want to adjust certain component allocations, you can specify values for those components. If the values you are specifying are lower than the current values, those values are treated as minimum memory sizes for their respective components. If the values you are specifying are larger than the current values, the sizes of the memory components are resized upward to the values you provided as long as free memory is available. Setting limits reduces the amount of memory **available for automatic adjustment, but the capability is available and can help overall performance.**

The initialization parameters of concern are the following:

- SHARED\_POOL\_SIZE
- LARGE\_POOL\_SIZE
- JAVA\_POOL\_SIZE
- DB\_CACHE\_SIZE
- STREAMS\_POOL\_SIZE

To adjust these parameters while ASMM is enabled, you must use the ALTER SYSTEM command.

## Enabling Automatic Shared Memory Management

To enable ASMM from manual shared memory management:

1. Get a value for **SGA\_TARGET**:

```
SELECT ((SELECT SUM(value) FROM V$SGA) - (SELECT CURRENT_SIZE  
FROM V$SGA_DYNAMIC_FREE_MEMORY)) "SGA_TARGET" FROM DUAL;
```

2. Use that value to set **SGA\_TARGET**.
3. Set the values of the automatically sized SGA components to 0.

To switch to ASMM from Automatic Memory Management:

1. Set the **MEMORY\_TARGET** initialization parameter to 0.
2. Set the values of the automatically sized SGA components to 0.

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The procedure for enabling ASMM differs depending on whether you are changing to ASMM from manual shared memory management or from automatic memory management. To change to ASMM from manual shared memory management:

1. Execute the following query to obtain a value for **SGA\_TARGET**:

```
SELECT ((SELECT SUM(value) FROM V$SGA) - (SELECT CURRENT_SIZE  
FROM V$SGA_DYNAMIC_FREE_MEMORY)) "SGA_TARGET" FROM DUAL;
```

2. Set the value of **SGA\_TARGET**:

ALTER SYSTEM SET SGA\_TARGET=value [SCOPE={SPFILE|MEMORY|BOTH}]  
where **value** is the value computed in step 1 or is some value between the sum of all **SGA component sizes** and **SGA\_MAX\_SIZE**.

3. Set the values of the automatically sized SGA components to 0. Do this by editing the text initialization parameter file or by issuing **ALTER SYSTEM** statements. Restart the instance if required.

To change to ASMM from automatic memory management:

1. Set the **MEMORY\_TARGET** initialization parameter to 0.

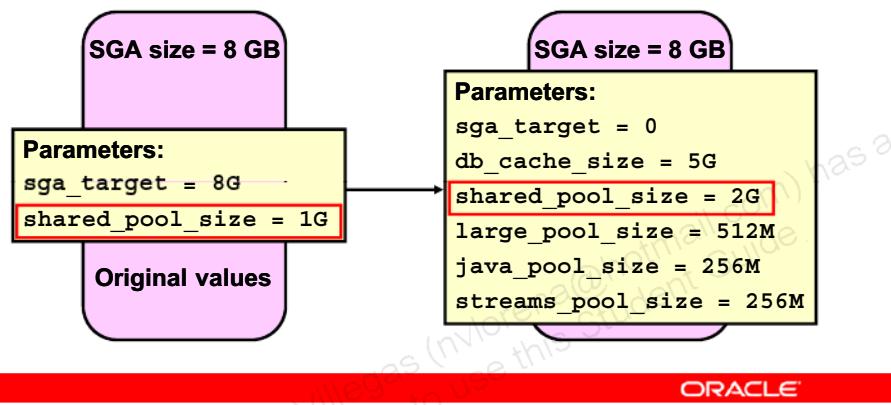
ALTER SYSTEM SET MEMORY\_TARGET = 0;

The database sets **SGA\_TARGET** based on current SGA memory allocation.

2. Set the values of the automatically sized SGA components to 0. Restart the instance when finished.

## Disabling Automatic Shared Memory Management

- Setting `SGA_TARGET` to 0 disables autotuning.
- Autotuned parameters are set to their current sizes.
- The SGA size as a whole is unaffected.



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You can dynamically choose to disable Automatic Shared Memory Management by setting `SGA_TARGET` to 0. In this case, the values of all the autotuned parameters are set to the current sizes of the corresponding components, even if the user had earlier specified a different nonzero value for an autotuned parameter.

In the example in the slide, the value of `SGA_TARGET` is 8 GB and the value of `SHARED_POOL_SIZE` is 1 GB. If the system has internally adjusted the size of the shared pool component to 2 GB, setting `SGA_TARGET` to 0 results in `SHARED_POOL_SIZE` being set to 2 GB, thereby overriding the user-specified value.

## Using V\$PARAMETER to View Memory Component Sizes

```
SGA_TARGET = 8G
```

```
DB_CACHE_SIZE = 0  
JAVA_POOL_SIZE=0  
SHARED_POOL_SIZE = 0  
STREAMS_POOL_SIZE = 0
```

```
SELECT name, value, isdefault  
FROM v$parameter  
WHERE name LIKE '%size';
```

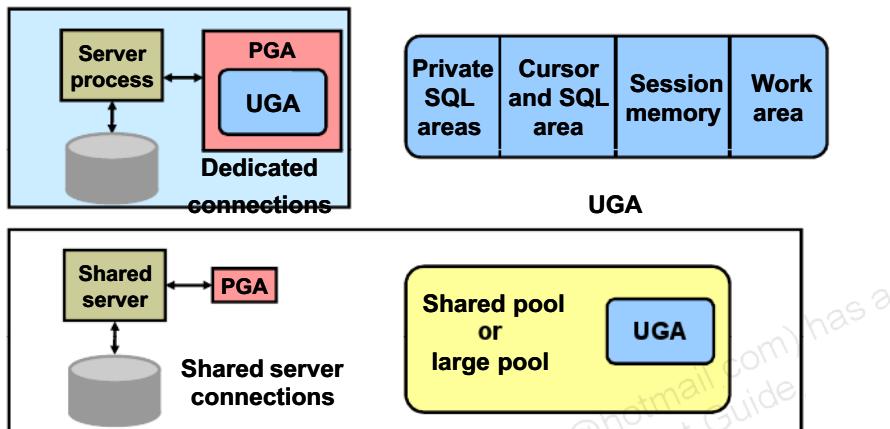
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When you specify a nonzero value for SGA\_TARGET and do not specify a value for an autotuned SGA parameter, the value of the autotuned SGA parameters in the V\$PARAMETER view is 0, and the value of the ISDEFAULT column is TRUE.

If you have specified a value for any of the autotuned SGA parameters, the value that is displayed when you query V\$PARAMETER is the value that you specified for the parameter.

## Managing the Program Global Area (PGA)



Automatic PGA memory management is enabled by default.

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The Program Global Area (PGA) is a memory region that contains data and control information for a server process. It is nonshared memory created by the Oracle server when a server process is started. Access to it is exclusive to that server process. The total PGA memory allocated by all server processes attached to an Oracle instance is also referred to as the *aggregated PGA* memory allocated by the instance.

Part of the PGA can be located in the SGA when using shared servers.

PGA memory typically contains the following:

### Private SQL Area

A private SQL area also called the **user global area (UGA)** contains data, such as bind information and runtime memory structures. This information is specific to each session's invocation of the SQL statement; bind variables hold different values, and the state of the cursor is different, among other things. Each session that issues a SQL statement has a private SQL area. Each user that submits the same SQL statement has his or her own private

SQL area that uses a single shared SQL area. Thus, many private SQL areas can be associated with the same shared SQL area. The location of a private SQL area depends on the type of connection established for a session. If a session is connected through a dedicated server, private SQL areas are located in the server process's PGA. However, if a session is connected through a shared server, part of the private SQL area is kept in the SGA.

## Cursor and SQL Areas

The application developer of an Oracle Pro\*C program or Oracle Call Interface (OCI) program can explicitly open *ursors* or handles to specific private SQL areas, and use them as a named resource throughout the execution of the program. Recursive cursors that the database issues implicitly for some SQL statements also use shared SQL areas.

## Work Area

For complex queries (for example, decision support queries), a big portion of the PGA is dedicated to work areas allocated by memory-intensive operators, such as:

- Sort-based operators, such as ORDER BY, GROUP BY, ROLLUP, and window functions
- Hash-join
- Bitmap merge
- Bitmap create
- Write buffers used by bulk load operations

A sort operator uses a work area (the sort area) to perform the in-memory sort of a set of rows. Similarly, a hash-join operator uses a work area (the hash area) to build a hash table from its left input.

The size of a work area can be controlled and tuned. Generally, bigger work areas can significantly improve the performance of a particular operator at the cost of higher memory consumption.

## Session Memory

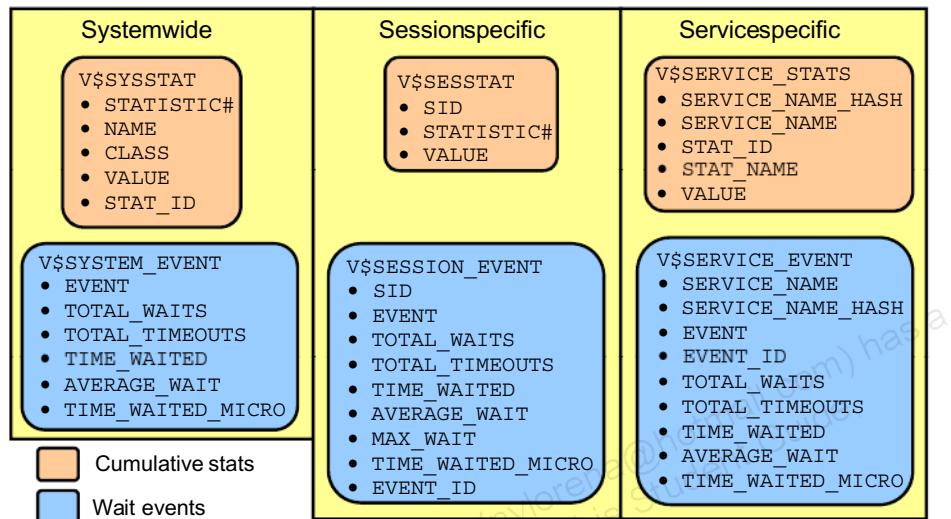
Session memory is the memory allocated to hold a session's variables (logon information) and other information related to the session. For a shared server, the session memory is shared and not private.

## Automatic PGA Memory Management

By default, Oracle Database automatically and globally manages the total amount of memory dedicated to the instance PGA. You can control this amount by setting the initialization parameter `PGA_AGGREGATE_TARGET`. Oracle Database then tries to ensure that the total amount of PGA memory allocated across all database server processes and background processes never exceeds this target. But this is target value and not a hard limit.

`PGA_AGGREGATE_LIMIT` sets a hard limit for the amount of PGA that can be used. The minimum value is 1024 MB and the maximum is 120% of physical memory minus the total SGA, and it must be at least as large as `PGA_AGGREGATE_TARGET`. If `PGA_AGGREGATE_LIMIT` is not set, it defaults to 200% of `PGA_AGGREGATE_TARGET` within the same minimum and maximum as stated. When `PGA_AGGREGATE_LIMIT` is exceeded, the sessions using the most memory will have their calls aborted. Parallel queries will be treated as a unit. If the total PGA memory usage is still over the limit, sessions using the most memory will be terminated. SYS processes and fatal background processes are exempt from this limit.

## Dynamic Performance Statistics



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Statistics must be available for the effective diagnosis of performance problems. The Oracle server generates many types of statistics for different levels of granularity.

At the **systemwide**, **session**, and **service levels**, both **wait events and accumulated statistics** are computed. In the slide, the top row of views shows the cumulative statistics. The bottom row shows the wait event views.

When analyzing a performance problem in any of these scopes, you typically look at the change in statistics (delta value) over the period of time you are interested in. All the possible wait events are cataloged in the V\$EVENT\_NAME view. All statistics are cataloged in the V\$STATNAME view; approximately 480 statistics are available in Oracle Database.

**Displaying Systemwide Statistics**

Example:

```
SQL> SELECT name, class, value FROM v$sysstat;
NAME                      CLASS      VALUE
-----  -----
...
table scans (short tables)    64      135116
table scans (long tables)    64       250
table scans (rowid ranges)   64        0
table scans (cache partitions) 64        3
table scans (direct read)    64        0
table scan rows gotten      64     14789836
table scan blocks gotten    64      558542
...
```

Systemwide statistics are classified by the tuning topic and the debugging purpose. The classes include general instance activity, redo log buffer activity, locking, database buffer cache activity, and so on.

## Troubleshooting and Tuning Views

### Instance/Database

V\$DATABASE  
V\$INSTANCE  
V\$PARAMETER  
V\$SPPARAMETER  
V\$SYSTEM\_PARAMETER  
V\$PROCESS  
V\$BGPROCESS  
V\$PX\_PROCESS\_SYSSTAT  
V\$SYSTEM\_EVENT

### Disk

V\$DATAFILE  
V\$FILESTAT  
V\$LOG  
V\$LOG\_HISTORY  
V\$DBFILE  
V\$TEMPFILE  
V\$TEMPSEG\_USAGE  
V\$SEGMENT\_STATISTICS

### Memory

V\$BUFFER\_POOL\_STATISTICS  
V\$LIBRARYCACHE  
V\$SGAINFO  
V\$PGASTAT

### Contention

V\$LOCK  
V\$UNDOSTAT  
V\$WAITSTAT  
V\$LATCH

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In the slide, some of the views that can help you determine the cause of performance problems or analyze the current status of your database are listed.

For a complete description of these views, see the *Oracle Database Reference*.

## Quiz

Automatic Memory Management allows the Oracle instance to reallocate memory from the \_\_\_\_\_ to the SGA .

- a. Large Pool
- b. Log Buffer
- c. PGA
- d. Streams Pool

**Answer: c**

## Quiz

SGA\_TARGET may not be sized greater than \_\_\_\_\_.

- a. LOG\_BUFFER
- b. SGA\_MAX\_SIZE
- c. STREAMS\_POOL\_SIZE
- d. PGA\_AGGREGATE\_TARGET

**Answer: b**

## Summary

In this lesson, you should have learned how to use:

- Enterprise Manager to monitor performance
- Automatic Memory Management (AMM)
- The Memory Advisor to size memory buffers



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## Practice 21

- 21-1: Managing Performance
- 21-2: Using Automatic Memory Management
- 21-3: Monitoring Services

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## Managing Performance: SQL Tuning

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## Objectives

After completing this lesson, you should be able to:

- Manage optimizer statistics
- Use the SQL Tuning Advisor to:
  - Identify SQL statements that are using the most resources
  - Tune SQL statements that are using the most resources
- Use the SQL Access Advisor to tune a workload



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## SQL Tuning

### SQL tuning process

- Identify poorly tuned SQL statements.
- Tune the individual statements.
- Tune the application as a whole.



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Generally, the tuning effort that yields the most benefit is SQL tuning. Poorly tuned SQL uses more resources than required. This inefficiency prevents scalability, uses more OS and database resources, and increases response time. To tune poorly tuned SQL statements, they must be identified, and then tuned. SQL statements can be tuned individually, but often the solution that optimizes one statement can hurt the performance of several others.

The SQL statements that use the most resources are by definition the statements in need of tuning. These are statements that have the longest elapsed time, use the most CPU, or do the most physical or logical reads.

Tune the individual statements by checking the optimizer statistics, check the explain plan for the most expensive access paths, analyze SQL execution plans, and possibly review indexes, materialized views, and partitioning.

Test the application as a whole, using the tuned SQL statements. Is the overall performance better?

The methodology is sound, but tedious. Tuning an individual statement is not difficult. Testing the overall impact of the individual statement tuning on an application can be very difficult.

In Oracle Database a set of SQL analysis tools are available to identify and tune statements individually, or as a set.

## Oracle Optimizer: Overview

The Oracle optimizer determines the most efficient execution plan and is the most important step in the processing of any SQL statement.

The optimizer:

- Evaluates expressions and conditions
- Uses object and system statistics
- Decides how to access the data
- Decides how to join tables
- Determines the most efficient path



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The optimizer is the part of the Oracle Database server that creates the execution plan for a SQL statement. The determination of the execution plan is an important step in the processing of any SQL statement and can greatly affect execution time.

The execution plan is a series of operations that are performed in sequence to execute the statement. The optimizer considers many factors related to the referenced objects and the conditions specified in the query. The information necessary to the optimizer includes:

- Statistics gathered for the system (I/O, CPU, and so on) as well as schema objects (number of rows, index, and so on)
- Information in the dictionary
- WHERE clause qualifiers
- Hints supplied by the developer

When you use diagnostic tools, such as Enterprise Manager, EXPLAIN PLAN, and SQL\*Plus AUTOTRACE, you can see the execution plan that the optimizer chooses.

**Note:** The Oracle optimizer has two names based on its functionality: the *query optimizer* and the *Automatic Tuning Optimizer*.

## Optimizer Statistics

Optimizer statistics are:

- A snapshot at a point in time
- Persistent across instance restarts
- Collected automatically

```
SQL> SELECT COUNT(*) FROM hr.employees;
  COUNT(*)
  -----
  214
SQL> SELECT num_rows FROM dba_tables
  2 WHERE owner='HR' AND table_name = 'EMPLOYEES';
  NUM_ROWS
  -----
  107
```

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Optimizer statistics include table, column, index, and system statistics. Statistics for tables and indexes are stored in the data dictionary. These statistics are not intended to provide real-time data. They provide the optimizer a *statistically* correct snapshot of data storage and distribution, which the optimizer uses to make decisions on how to access data.

The statistics that are collected include:

- Size of the table or index in database blocks
- Number of rows
- Average row size and chain count (tables only)
- Height and number of deleted leaf rows (indexes only)

As data is inserted, deleted, and modified, these facts change. Because the performance impact of maintaining real-time data distribution statistics is prohibitive, these statistics are updated by periodically gathering statistics on tables and indexes.

Optimizer statistics are collected automatically by an automatic maintenance job that runs

during predefined maintenance windows once daily by default. System statistics are operating system characteristics that are used by the optimizer. These statistics are not collected automatically. For details about collecting system statistics, see the *Oracle Database Performance Tuning Guide*.

Optimizer statistics are not the same as the database performance statistics that are gathered in the AWR snapshot.

## Optimizer Statistics Collection

- SQL performance tuning: Depends on collection of accurate statistics
- Optimizer statistics:
  - Object statistics
  - Operating system statistics
- Ways to collect statistics:
  - Automatically: Automatic Maintenance Tasks
  - Manually: DBMS\_STATS package
  - By setting database initialization parameters
  - By importing statistics from another database

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Optimizer statistics are collections of data that are specific details about database objects. These statistics are essential for the query optimizer to choose the best execution plan for each SQL statement. These statistics are gathered periodically and do not change between gatherings.

The recommended approach to gathering optimizer statistics is to allow the Oracle Database server to automatically gather the statistics. The Automatic Maintenance Tasks can be created automatically at database creation time and is managed by the Scheduler. It gathers statistics on all objects in the database that have either missing or stale optimizer statistics by default. You can change the default configuration through the Automatic Maintenance Tasks page.

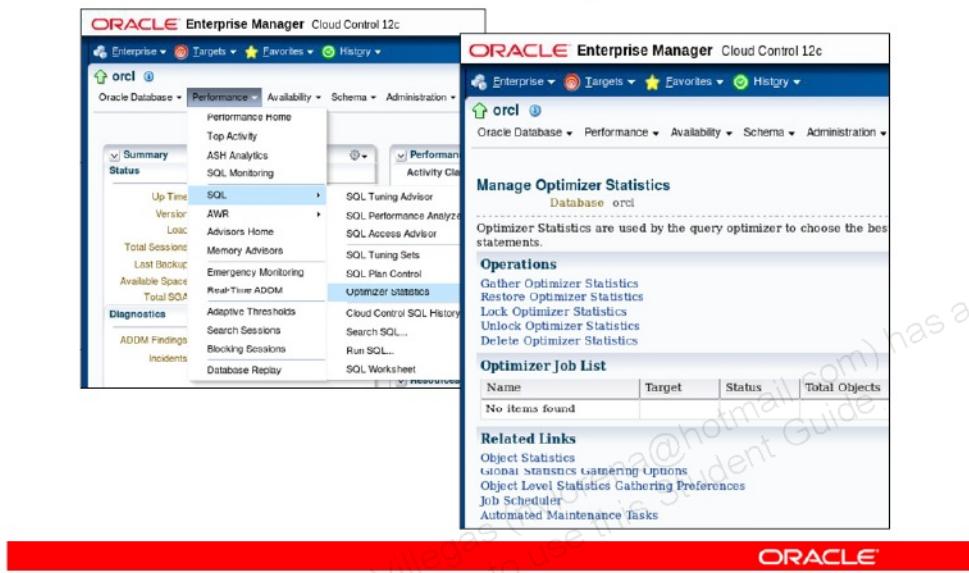
System statistics describe the system's hardware characteristics, such as I/O and CPU performance and utilization, to the query optimizer. When choosing an execution plan, the optimizer estimates the I/O and CPU resources required for each query. System statistics enable the query optimizer to more accurately estimate I/O and CPU costs, and thereby choose a better execution plan. System statistics are collected using the DBMS\_STATS.GATHER\_SYSTEM\_STATS procedure. When the Oracle Database server gathers system statistics, it analyzes system metrics and specifies the collection methods. System statistics are not automatically gathered. Oracle Corporation recommends that you use the DBMS\_STATS package to gather system statistics.

If you choose not to use automatic statistics gathering, you must manually collect statistics in all schemas, including system schemas. If the data in your database changes regularly, you also need to gather statistics regularly to ensure that the statistics accurately represent characteristics of your database objects. To manually collect statistics, use the DBMS\_STATS package. This PL/SQL package is also used to modify, view, export, import, and delete statistics.

You can also manage optimizer and system statistics collection through database initialization parameters. For example:

- The OPTIMIZER\_DYNAMIC\_SAMPLING parameter controls the level of dynamic sampling performed by the optimizer. You can use dynamic sampling to estimate statistics for tables and relevant indexes when they are not available or are too out of date to trust. Dynamic sampling also estimates single-table predicate selectivity when collected statistics cannot be used or are likely to lead to significant errors in estimation.
- The STATISTICS\_LEVEL parameter controls all major statistics collections or advisories in the database and sets the statistics collection level for the database. The values for this parameter are BASIC, TYPICAL, and ALL. You can query the V\$STATISTICS\_LEVEL view to determine which parameters are affected by the STATISTICAL\_LEVEL parameter.  
**Note:** Setting STATISTICS\_LEVEL to BASIC disables many automatic features and is not recommended.

## Using the Manage Optimizer Statistics Page



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To manage optimizer statistics in Enterprise Manager, select Optimizer Statistics in the SQL submenu of the Performance menu. On the Manage Optimizer Statistics page, you can perform the following tasks:

- Gather optimizer statistics manually.
- Restore optimizer statistics to a point in the past. The chosen point in time must be within the optimizer statistics retention period, which defaults to 30 days.
- Lock optimizer statistics to guarantee that the statistics for certain objects are never overwritten. This is useful if statistics have been calculated for a certain table at a time when well-representative data is present, and you want to always have those statistics. No fluctuations in the data affect these statistics if they are locked.
- Unlock optimizer statistics to undo the previously done lock.
- Delete optimizer statistics to delete statistics.

### Best-Practice Tip

Use the automatic maintenance tasks to gather optimizer statistics. To enable the task for gathering optimizer statistics gathering, you must ensure that the STATISTICS\_LEVEL initialization parameter is set to TYPICAL or ALL.

## Setting Global Preferences by Using Enterprise Manager Cloud Control

Manage Optimizer Statistics > Global Statistics Gathering Options  
**Global Statistics Gathering Options**  
Database: orcl

**Statistics History**  
Retention Period (days)   
The number of days for which optimizer statistics history will be retained.

**Gather Optimizer Statistics Default Options**  
Oracle recommends that you use the Gather Auto choice for the Gather Objects options when you use the Gather Optimizer Statistics Schemas. If you choose not to use Gather Auto, the defaults for the other options are set here. Changing the options will impact the Gathering task and user defined jobs.

Estimate Percentage	<input checked="" type="radio"/> Auto (Oracle recommended)	<input type="radio"/> 100%	<input type="radio"/> Percentage <input type="text" value=""/>	
Degree of Parallelism	<input checked="" type="radio"/> Table default	<input type="radio"/> Auto	<input type="radio"/> System default	<input type="radio"/> Degree <input type="text" value=""/>
Granularity	<input type="radio"/> Auto			
Cursor invalidation	<input checked="" type="radio"/> Auto (Oracle recommended)	<input type="radio"/> Immediate	<input type="radio"/> None	
Cascade	<input checked="" type="radio"/> Auto (Oracle recommended)	<input type="radio"/> True	<input type="radio"/> False	
Target Object Class (Auto Job)	<input checked="" type="radio"/> Auto (Oracle recommended)	<input type="radio"/> All	<input type="radio"/> Oracle	
Stale Percentage	<input type="text" value="10"/>			
incremental	<input type="radio"/> True	<input checked="" type="radio"/> False		
Publish	<input checked="" type="radio"/> True	<input type="radio"/> False		
Histograms	FOR ALL COLUMNS SIZE AUTO			

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You can manage global preference settings by using Enterprise Manager. Click the Global Statistics Gathering Options link on the Manage Optimizer Statistics page.

On the **Global Statistics Gathering Options** page, change the global preferences in the **Gather Optimizer Statistics Default Options** section. When finished, click the **Apply** button.

**Note:** To change the statistics gathering options at the object level or schema level, click the **Object Level Statistics Gathering Preferences** link on the Manage Optimizer Statistics page.

## Gathering Optimizer Statistics Manually



You may need to gather statistics manually at certain times, such as when the contents of a table have changed so much between automatic gathering jobs that the statistics no longer represent the table accurately. This is common for large tables that experience more than a 10 percent change in size in a 24-hour period.

**Best-practice tip:** Collect statistics often enough that the table never changes by more than about 10 percent between collection periods. This may require manual statistics collection or additional maintenance windows.

Statistics can be manually collected by using either Enterprise Manager or the DBMS\_STATS package. System statistics can be gathered only by using the DBMS\_STATS package. System statistics describe the system's hardware resources, such as memory and CPU performance and utilization, to the query optimizer.

The Gather Optimizer Statistics menu selection starts a wizard that enables you to select the scope, objects, options, and schedule for a job that will gather optimizer statistics. The wizard submits a DBMS\_STATS.GATHER\_\*\_STATS job at the scope you specify: table, schema, or database. In this wizard, you set the preferences for the default values used by the DBMS\_STATS package and you schedule this job to run at a time that you determine.

Gathering statistics manually for routine statistics collection is not recommended because the statistics are gathered more efficiently and with less impact on users during the maintenance windows. A manual job can also be submitted if the automatic job has failed or been disabled.

You can also gather optimizer statistics with the DBMS\_STATS package directly:

```
SQL> EXEC dbms_stats.gather_table_stats('HR', 'EMPLOYEES');
SQL> SELECT num_rows FROM dba_tables
  2 WHERE owner='HR' AND table_name = 'EMPLOYEES';
  NUM_ROWS
-----
  214
```

Notice that the number of rows now correctly reflects what was in the table at the time that the statistics were gathered. DBMS\_STATS also enables manual collection of statistics for an entire schema or even for the whole database.

System statistics do not change unless the workload significantly changes. As a result, system statistics do not need frequent adjustment. The DBMS\_STATS.GATHER\_SYSTEM\_STATS procedure will collect system statistics over a specified period, or you can start the gathering of system statistics in a single step.

**Best-practice tip:** Use the following command when you create a database:

```
SQL> EXEC dbms_stats.gather_system_stats('NOWORKLOAD');
```

The NOWORKLOAD option takes a few minutes (depending on the size of the database) and captures estimates of I/O characteristics such as average read seek time and I/O transfer rate.

## Setting Optimizer Statistics Preferences

- Optimizer statistics preferences set the default values of parameters used by:
  - Automatic statistics collection
  - DBMS\_STATS.GATHER\_\*\_STATS procedures
- Set preferences at levels:  
Table, schema, database, or global
- Preferences: CASCADE, DEGREE, ESTIMATE\_PERCENT, NO\_INVALIDATE, METHOD\_OPT, GRANULARITY, INCREMENTAL, PUBLISH, STALE\_PERCENT
- Use DBMS\_STATS.SET | GET | DELETE | EXPORT | IMPORT\_\*\_PREFS to manage preferences



Optimizer statistics gathering task



```
EXEC DBMS_STATS.SET_TABLE_PREFS('SH','SALES','STALE_PERCENT','13');
```

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The DBMS\_STATS.GATHER\_\*\_STATS procedures can be called at various levels to gather statistics for an entire database or for individual objects, such as tables. When the GATHER\_\*\_STATS procedures are called, several of the parameters are often allowed to default. The supplied defaults work well for most of the objects in the database, but for some objects or schemas the defaults need to be changed. Instead of running manual jobs for each of these objects, Oracle Database enables you to set values (called preferences) for individual objects, schemas, or databases, or to change the default values with the global-level command.

The preferences specify the parameters that are given to the gather procedures. The SET\_\*\_PREFS procedures create preference values for any object that is not owned by SYS or SYSTEM. The expected use is that the DBA will set the global preferences for any parameters that should be database-wide. These will be applied for any parameter that is allowed to default.

The SET\_DATABASE\_PREFS procedure iterates over all the tables and schemas in the database setting the specified preference. SET\_SCHEMA\_PREFS iterates over the tables in the specified schema. SET\_TABLE\_PREFS sets the preference value for a single table.

All object preferences—whether set at the database, schema, or table level—are held in a single table. Changing the preferences at the schema level overwrites the preferences that were previously set at the table level.

When the various gather procedures execute, they retrieve the object-level preferences that were set for each object. You can view the object-level preferences in the DBA\_TAB\_STAT\_PREFS view. Any preferences that are not set at the object level will be set to the global-level preferences. You can see the global preferences by calling the DBMS\_STATS.GET\_PREFS procedure for each preference.

You can set, get, delete, export, and import those preferences at the table, schema, database, and global levels. The preference values are expected to be set from global to table levels, applying the preferences to the smallest group last.

Preferences include:

- CASCADE – Determines whether index statistics are collected as part of gathering table statistics
- DEGREE – Sets the degree of parallelism that is used for gathering statistics
- PUBLISH – Is used to decide whether to publish the statistics to the dictionary or store them in a private area. This enables the DBA to validate the statistics before publishing them to the data dictionary with the PUBLISH\_PENDING\_STATS procedure.
- STALE\_PERCENT – Is used to determine the threshold level at which an object is considered to have stale statistics. The value is a percentage of the rows modified since the last statistics gathering. The example changes the 10 percent default to 13 percent for SH.SALES only.
- INCREMENTAL – Is used to gather global statistics on partitioned tables in an incremental way
- METHOD\_OPT – Determines the columns and histogram parameters that are used to gather column statistics
- GRANULARITY – Determines the granularity of statistics to collect (which is pertinent only if the table is partitioned)
- NO\_INVALIDATE – Is used to determine whether to invalidate cursors
- ESTIMATE\_PERCENT – Is used to determine the number of rows to sample to obtain good statistics. It is a percentage of the number of rows in the table

**Note:** For details about these preferences, see the DBMS\_STATS documentation in the *Oracle Database PL/SQL Packages and Types Reference*.

Preferences may be deleted with the DBMS\_STATS.DELETE\_\*\_PREFS procedures at the table, schema, and database levels. You can reset the global preferences to the recommended values with the DBMS\_STATS.RESET\_PARAM\_DEFAULTS procedure.

## Concurrent Statistics Gathering

- The auto statistics gather job uses concurrency.
- Prevent concurrent statistics gathering to make the system overwhelmed through careful resource usage capping.
- Allow gathering index statistics concurrently.
- Allow gathering of statistics for multiple partitioned tables concurrently.

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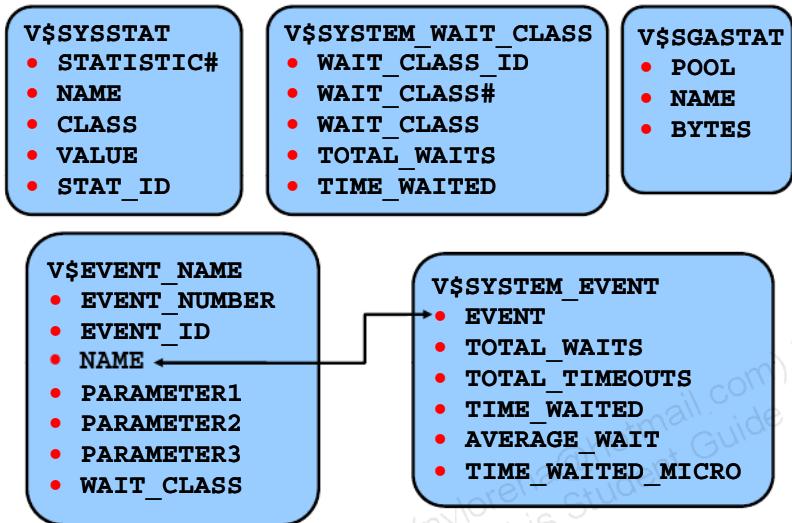
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Before Oracle Database 12c, the automatic statistics gather job gathered statistics one segment at a time. It now uses concurrency.

In Oracle Database 12c, you can run multiple statistics gathering tasks concurrently which may provide considerable improvements in statistics gathering time by increasing the resource utilization rate, in particular, on multi-CPU systems.

Employ smart scheduling mechanisms to have maximum degree of concurrency (to the extent that resources are available), for example, allow gathering of statistics for multiple partitioned tables concurrently.

## Viewing Statistics Information



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To effectively diagnose performance problems, statistics must be available. The Oracle Database instance generates many types of cumulative statistics for the system, sessions, and individual SQL statements at the instance level. The Oracle Database server also tracks cumulative statistics on segments and services. When analyzing a performance problem in any of these scopes, you typically look at the change in statistics (delta value) over the period of time you are interested in.

**Note:** Instance statistics are dynamic and are reset at every instance startup. These statistics can be captured at a point in time and held in the database in the form of snapshots.

### Wait Events Statistics

All the possible wait events are cataloged in the V\$EVENT\_NAME view.

Cumulative statistics for all sessions are stored in V\$SYSTEM\_EVENT, which shows the total waits for a particular event since instance startup.

When you are troubleshooting, you need to know whether a process has waited for any resource.

### Systemwide Statistics

All the systemwide statistics are cataloged in the V\$STATNAME view: Over 400 statistics are available in Oracle Database.

The server displays all calculated system statistics in the V\$SYSSTAT view. You can query this view to find cumulative totals since the instance started.

**Example:**

```
SQL> SELECT name, class, value FROM v$sysstat;
NAME          CLASS      VALUE
-----
...
table scans (short tables)      64      135116
table scans (long tables)      64      250
table scans (rowid ranges)     64      0
table scans (cache partitions)  64      3
table scans (direct read)      64      14789836
table scan rows gotten         64      558542
...
...
```

Systemwide statistics are classified by the tuning topic and the debugging purpose. The classes include **general instance activity**, **redo log buffer activity**, **locking**, **database buffer cache activity**, and so on. Each of the system statistics can belong to more than one class, so you cannot do a simple join on V\$SYSSTATS.CLASS and V\$SYSTEM\_WAIT\_CLASS.WAIT\_CLASS#.

You can also view all wait events for a particular wait class by querying V\$SYSTEM\_WAIT\_CLASS, as in this example (with formatting applied):

```
SQL> SELECT * FROM V$SYSTEM_WAIT_CLASS
  2 WHERE wait_class LIKE '%I/O%';
CLASS_ID  CLASS# WAIT_CLASS   TOTAL_WAITS TIME_WAITED
-----
1740759767    8 User I/O        1119152      39038
4108307767    9 System I/O      296959       27929
...
```

### SGA Global Statistics

The server displays all calculated memory statistics in the V\$SGASTAT view. You can query this view to find cumulative totals of detailed SGA usage since the instance started, as in the following example:

```
SQL> SELECT * FROM v$sgastat;
POOL          NAME           BYTES
-----
fixed_sga          7780360
buffer_cache        25165824
log_buffer          262144
shared pool sessions 1284644
shared pool sql area 22376876
...
...
```

The results shown are only a partial display of the output.

When the STATISTICS\_LEVEL parameter is set to BASIC, the value of the TIMED\_STATISTICS parameter defaults to FALSE. Timing information is not collected for wait events and much of the performance-monitoring capability of the database is disabled. The explicit setting of TIMED\_STATISTICS overrides the value derived from STATISTICS\_LEVEL.

## SQL Plan Directives

- A SQL plan directive is additional information and instructions that the optimizer can use to generate a better plan:
  - Collect missing statistics
  - Create column group statistics
  - Perform dynamic sampling
- Directives can be used for multiple statements:
  - Directives are collected on query expressions
- They are persisted to disk in the SYSAUX tablespace.
- Directives are automatically maintained:
  - Created as needed during compilation or execution:
    - Missing statistics, cardinality misestimates
  - Purged if not used after a year



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In Oracle Database 12c, the database server can use a SQL plan directive, which is additional information and instructions that the optimizer can use to generate a more optimal plan. For example, a SQL plan directive might instruct the optimizer to collect missing statistics, create column group statistics, or perform dynamic sampling. During SQL compilation or execution, the database analyzes the query expressions that are missing statistics or that misestimate optimizer cardinality to create SQL plan directives. When the optimizer generates an execution plan, the directives give the optimizer additional information about objects that are referenced in the plan.

SQL plan directives are not tied to a specific SQL statement or SQL ID. The optimizer can use SQL plan directives for SQL statements that are nearly identical because SQL plan directives are defined on a query expression. For example, directives can help the optimizer with queries that use similar patterns, such as web-based queries that are the same except for a select list item. The database stores SQL plan directives persistently in the SYSAUX tablespace. When generating an execution plan, the optimizer can use SQL plan directives to obtain more information about the objects that are accessed in the plan.

Directives are automatically maintained, created as needed, purged if not used after a year.

Directives can be monitored in DBA\_SQL\_PLAN\_DIR\_OBJECTS. SQL plan directives improve plan accuracy by persisting both compilation and execution statistics in the SYSAUX tablespace, allowing them to be used by multiple SQL statements.

## Adaptive Execution Plans

- A query plan changes during execution because runtime conditions indicate that optimizer estimates are inaccurate.
- All adaptive execution plans rely on statistics that are collected during query execution.
- The two adaptive plan techniques are:
  - Dynamic plans
  - Re-optimization
- The database uses adaptive execution plans when `OPTIMIZER_FEATURES_ENABLE` is set to 12.1.0.1 or higher, and `OPTIMIZER_ADAPTIVE_REPORTING_ONLY` is set to the default value of FALSE.

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The Adaptive Execution Plans feature enables the optimizer to automatically adapt a poorly performing execution plan at run time and prevent a poor plan from being chosen on subsequent executions. The optimizer instruments its chosen plan so that at run time, it can be detected if the optimizer's estimates are not optimal. Then the plan can be automatically adapted to the actual conditions. An adaptive plan is a plan that changes after optimization when optimizer estimates prove inaccurate.

The optimizer can adapt plans based on statistics that are collected during statement execution. All adaptive mechanisms can execute a plan that differs from the plan which was originally determined during hard parse. This improves the ability of the query-processing engine (compilation and execution) to generate better execution plans.

The two Adaptive Plan techniques are:

- **Dynamic plans:** A dynamic plan chooses among subplans during statement execution. For dynamic plans, the optimizer must decide which subplans to include in a dynamic plan, which statistics to collect to choose a subplan, and thresholds for this choice.
- **Re-optimization:** In contrast, re-optimization changes a plan for executions after the current execution. For re-optimization, the optimizer must decide which statistics to collect at which points in a plan and when re-optimization is feasible.

**Note:** `OPTIMIZER_ADAPTIVE_REPORTING_ONLY` controls reporting-only mode for adaptive optimizations. When set to TRUE, adaptive optimizations run in reporting-only mode where the information required for an adaptive optimization is gathered, but no action is taken to change the plan.

## Using the SQL Advisors

**Advisors**

Task Name	Advisor Runs	Status
All Types	Last 31 Days	All
ADDM	Nov 9, 2012	Completed
Compression Advisor	Nov 9, 2012	Completed
Segment Advisor	Nov 9, 2012	Completed
SPM Evolve Advisor	Nov 9, 2012	Completed
SQL Access Advisor	Nov 9, 2012	Completed
SQL Performance Analyzer	Nov 9, 2012	Completed
SQL Repair Advisor	Nov 9, 2012	Completed
SQL Tuning Advisor	Nov 9, 2012	Completed
Undo Advisor	Nov 9, 2012	Completed

**Advisor Tasks**

Search: Select an advisory type and optionally enter a task name to filter the data that is displayed in your results set.

Advisory Type: Task Name: Advisor Runs: Status:

Re-schedule: Go

Prev: Next

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Oracle Database provides a set of advisors for SQL. The AWR identifies and records statistics about the recent high-load SQL statements.

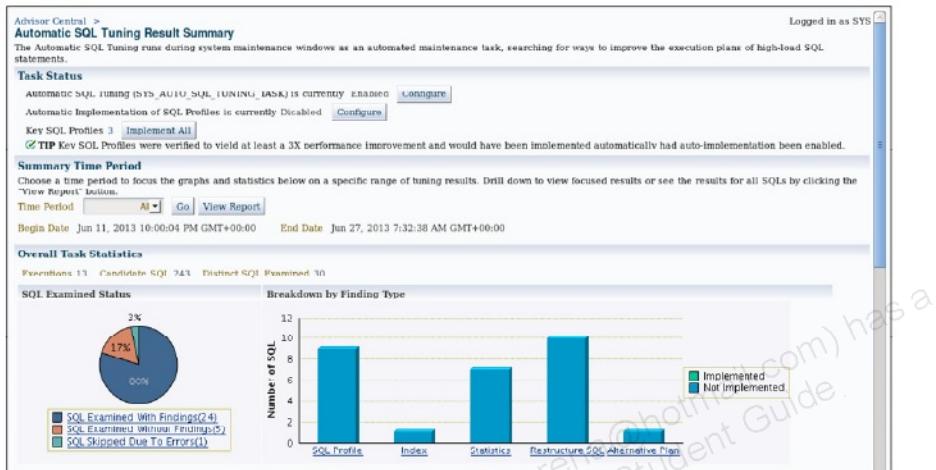
**The SQL Access Advisor considers changes applied to a set of SQL statements and looks for a net gain in performance. This set can be a hypothetical set of SQL, a historical set, or a manually created set.**

The SQL Performance Analyzer can be used to predict and prevent potential performance problems for any database environment change that affects the structure of the SQL execution plans.

The SQL Repair Advisor is run from the Support Workbench when a SQL statement fails with a critical error. A critical error also produces an incident. The repair advisor attempts to find and recommend a SQL patch. If no patch is found, you can continue in the Support Workbench to package the incident and submit the package to Oracle Support as a Service Request (SR).

The SQL Tuning Advisor analyzes one or more SQL statements one at a time. It examines statistics, SQL profiles, indexes, materialized views, and restructured SQL. The SQL Tuning Advisor can be run manually at any time, but it is run during every maintenance window against the recent high-load SQL statements. Click Automatic SQL Tuning Results to view and implement the recommendations. This automatic job can be configured to automatically implement recommended SQL profiles for the high-load statements.

## Automatic SQL Tuning Results



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The Automatic SQL Tuning Task runs by default every night.

You can access the Automatic SQL Tuning Result Summary page by selecting **Automated Maintenance Tasks** in the **Oracle Scheduler** submenu of the **Administration** menu. Select the **Automatic SQL Tuning** link to view the result summary page.

Click **Configure** to display a page where you can change the defaults of the Automatic Tuning Task and enable automatic implementation of SQL profiles.

## Implementing Automatic Tuning Recommendations

**Advisor Central > SQL Tuning Summary:SYS.SYS\_AUTO\_SQL\_TUNING\_TASK > Automatic SQL Tuning Result Details: All Analyzed SQLs**

Begin Date: Jan 11, 2013 10:00:04 PM CMT +00:00      End Date: Jun 27, 2013 7:30:08 AM CMT +00:00      Logged in as SYS

**Recommendations**

Only profiles that significantly improve SQL performance were implemented.

**View Recommendations | Implement All SQL Profiles**

Select	SQL Text	Parsing Schema	SQL ID	Weekly DB Time Benefit(sec)	Per-Execution % Benefit	Statistics	SQL Profile	Index	Restructure SQL	Alternative Plan
<input checked="" type="radio"/>	SELECT /*+ INDEX(o) */ o.object_type, ...	DBSNMP	fuqk3b01ucyx	3.58	89	(89%) ✓				
<input type="radio"/>	SELECT i, status, "archiver", ...	DBSNMP	u56efg2swd7f	2.07	90	(90%) ✕				

**Advisor Central > SQL Tuning Summary:SYS.SYS\_AUTO\_SQL\_TUNING\_TASK > SQL Tuning Details:SYS.SYS\_AUTO\_SQL\_TUNING\_TASK > Recommendations for SQL ID:fuqk3b01ucyx!**

Only one recommendation should be implemented.

**SQL Information**

SQL Text:

```
SELECT /*+ INDEX(its) */ o.object_type, u.name, o.object_name, o.partition, o.lob_column, o.seg_type, ts.name, 4 FROM ( SELECT 1 "OBJECT_TYPE", o.owner#,
    "USER_NAME#", o.name "OBJECT_NAME", ...
    PARTITION ... ) its, ...
    ts, ...
    o
    WHERE o.object_type = 'TABLE' AND o.name = 'DBSNMP$ARCHIVER$_LOG$'
    AND o.owner# = 1 AND o.partition = 1
    AND o.lob_column = 1 AND o.seg_type = 1
    AND ts.name = 'SYSTEM' AND ts.owner# = 1
    AND o.object_name = 'DBSNMP$ARCHIVER$_LOG$'
```

**Select Recommendation**

Original Explain Plan (Annotated)

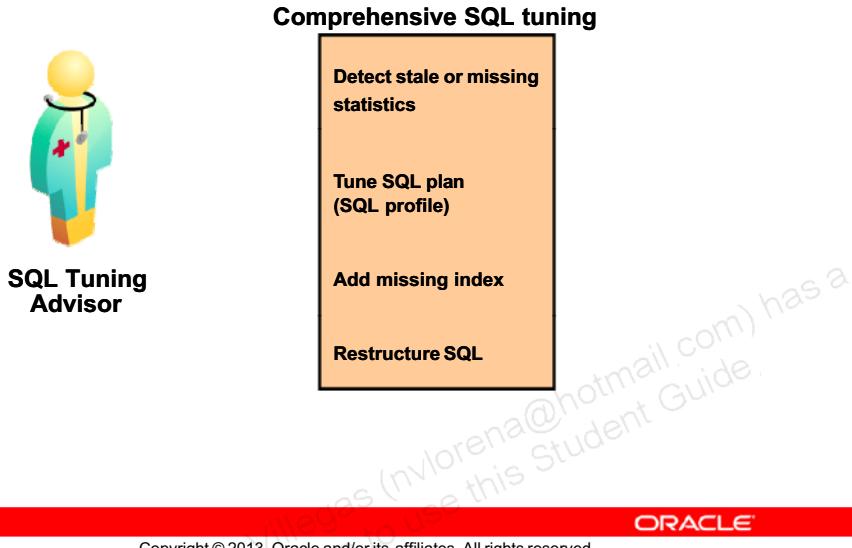
**Implement**

Select	Type	Findings	Recommendations	Narrative	Benefit (%)	Other Statistics	New Explain Plan	Compare Explain Plans
<input checked="" type="radio"/>	SQL Profile	A potentially better execution plan was found for this statement.	Consider accepting the recommended SQL profile.	The SQL profile was not automatically created because its benefit could not be verified.	89.93	∞	∞	∞

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## SQL Tuning Advisor: Overview



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The SQL Tuning Advisor is the primary driver of the tuning process. It performs several types of analyses:

- **Statistics Analysis:** Checks each query object for missing or stale statistics ,and makes recommendations to gather relevant statistics.
- **SQL Profiling:** The optimizer verifies its own estimates and collects auxiliary information to remove estimation errors. It builds a SQL profile using the auxiliary information and makes a recommendation to create it. When a SQL profile is created, it enables the query optimizer to generate a well-tuned plan.
- **Access Path Analysis:** New indexes are considered if they significantly improve access to each table in the query. When appropriate, recommendations are made to create such objects.
- **SQL Structure Analysis:** SQL statements that use bad plans are identified and relevant suggestions are made to restructure them. The suggested changes can be syntactic as well as semantic.

The SQL Tuning Advisor considers each SQL statement included in the advisor task independently. Creating a new index may help a query, but may hurt the response time of DML. So, a recommended index or other object should be checked with the SQL Access Advisor over a workload (a set of SQL statements) to determine whether there is a net gain in performance.

## Using the SQL Tuning Advisor

- Use the SQL Tuning Advisor to analyze SQL statements and obtain performance recommendations.
- Sources for SQL Tuning Advisor to analyze:
  - Top Activity: Analyzes the top SQL statements currently active
  - SQL Tuning Sets: Analyzes a set of SQL statements you provide
  - Historical SQL (AWR): Analyzes SQL statements from statements collected by AWR snapshots

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The SQL Tuning Advisor runs automatically every night as the Automatic SQL Tuning Task. There may be times when a SQL statement needs immediate tuning action. You can use the SQL Tuning Advisor to analyze SQL statements and obtain performance recommendations at any time. Typically, you run this advisor as an ADDM performance-finding action.

Additionally, you can run the SQL Tuning Advisor when you want to analyze the top SQL statements consuming the most CPU time, I/O, and memory.

Even though you can submit multiple statements to be analyzed in a single task, each statement is analyzed independently. To obtain tuning recommendations that consider overall performance of a set of SQL, use the SQL Access Advisor.

## Using the SQL Tuning Advisor

The screenshot shows the Oracle Enterprise Manager Cloud Control 12c interface. The main title is "Schedule SQL Tuning Advisor". Below it, a sub-section titled "Specify the following parameters to schedule a job to run the SQL Tuning Advisor." contains several input fields:

- Name: SQL\_TUNING\_1352461752065
- Description: (empty)
- SQL Tuning Set: SYS.TOP\_SQL\_1352461750826
- SQL Tuning Set Description: Automatically generated by Top SQL
- SQL Statements Counts: 10
- SQL Statements: A collapsed section labeled "SQL Statements".
- Scope: A section with "Total Time Limit (minutes)" set to 30, "Scope of Analysis" set to "Comprehensive" (selected), and "Time Limit per Statement (minutes)" set to 5.
- Schedule: A section with "Time Zone" set to "UTC" and "Run Immediately" selected.

At the bottom of the page, there is a red footer bar with the text "Copyright © 2013, Oracle and/or its affiliates. All rights reserved." and the Oracle logo.

On the Schedule SQL Tuning Advisor page, you can choose the SQL statements to include and change the automatic defaults for a tuning task. You can set the source of the SQL statements, and if you have been granted the ADVISOR system privilege, you can submit the task. Enterprise Manager then creates a tuning task for the SQL Tuning Advisor.

The SQL statement options allow you to choose one or more SQL statements from recent Top Activity, choose Historical SQL stored in the AWR, or choose from a SQL Tuning Set that you have already created.

It is important to choose the appropriate scope for the tuning task. If you choose the Limited option, the SQL Tuning Advisor produces recommendations based on statistics check, access path analysis, and SQL execution analysis. This method is faster and may not provide comprehensive recommendations. If you choose the Comprehensive option, the SQL Tuning Advisor produces all the recommendations that the Limited option produces, but it also invokes the optimizer under the SQL profiling mode to build a SQL profile. With the Comprehensive option, you can also specify a time limit for the tuning task, which by default is 30 minutes. After you select Run SQL Tuning Advisor, configure your tuning task using the SQL Tuning Options page.

## SQL Tuning Advisor Recommendations

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Select	SQL Text	Parsing Schema	SQL ID	Cumulative DB Time Benefit(sec)	Per-Execution % Benefit	Statistics	Profile	Index	Restructure
<input checked="" type="radio"/>	WITH MONITOR_DATA AS (SELECT INST_ID, KE...	SYS	7r24hsucyjgqz	2.28	98 (98%) ✓				
<input type="radio"/>	SELECT ash.sql_id, decode(ash.sql_plan_h...	SYS	drwfp1nf48pqn						
<input type="radio"/>	SELECT ash.sql_id, decode(ash.sql_plan_h...	SYS	8k5ph5xzf3qfd						
<input type="radio"/>	SELECT XMLTYPE(DBMS_REPORT.GET_REPORT_WL...	SYS	0w20sk0t0gqz8						

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The SQL Tuning Results for the task are displayed as soon as the task completes and can also be accessed later from the Advisor Central page. A summary of the recommendations are displayed. You can review and implement individual recommendations. Select the statement and click view.

## Duplicate SQL

**Duplicate SQL**

Applications can cause database to consume excessive CPU by parsing SQL statements that are similar and that can share the same CPU Consumption Since Instance Started

CPU Used as percentage of Total CPU (%) 0.54  
CPU Used for Parsing as percentage of CPU Used(%) 30.92

**Duplicate SQL Statements**

This report identifies similar SQL statements that could be shared by a single SQL statement if the database application used bind Note: Only the first 2000 SQL statements that are executed only once are examined. The actual number of SQL statements that are

Expand All | Collapse All

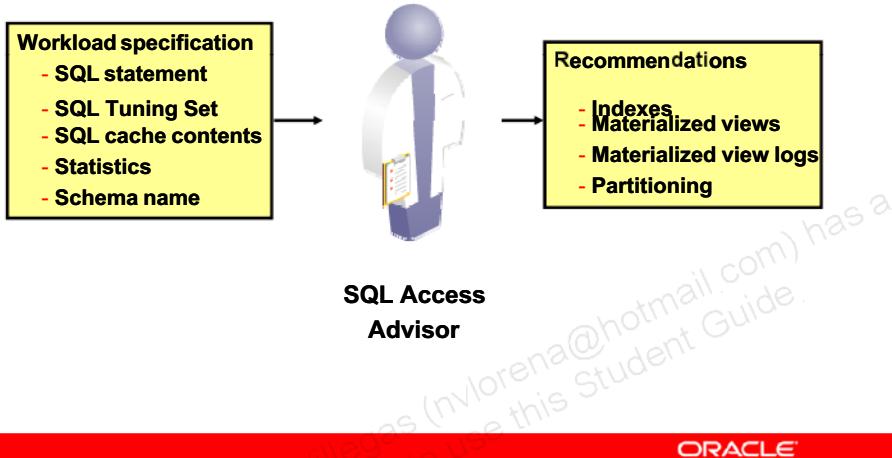
Duplicates	Plan Hash Value	SQL Text
V 3	2307392027	SELECT /* STN_REPT_STAT with restr */ count(distinct sql_id) FROM (SELECT *
	2307392027	SELECT /* STN_REPT_STAT with_restr */ count(distinct sql_id) FROM (SELECT *
	2185775859	SELECT /* STN_REPT_STAT with restr */ count(distinct sql_id) FROM (SELECT *
	3435221789	SELECT /* STN_REPT_STAT with_restr */ count(distinct sql_id) FROM (SELECT *
▷ 2	2671720094	SELECT /* STN_REPT_STAT with findings */ count(distinct sql_id) FROM (SELECT *
▷ 2	1457651150	SELECT USER# FROM USERS WHERE NAME = :B1

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Duplicate SQL statements are statements that are different only in the literal values they use or in their formatting. Statements that are different each get a separate cursor in the Library Cache. Statements that are duplicates can use the same cursor if the literals are replaced with bind variables, and the formatting is made to be the same.

Duplicate SQL statements can be identified by clicking Duplicate SQL in the Additional Monitoring Links section of the Performance Home page. SQL that is determined to be duplicate, except for formatting or literal differences, is listed together. This helps you determine which SQL in your application can be consolidated, thus lowering the requirements on the Library Cache and speeding up the execution of the statement.

## SQL Access Advisor: Overview



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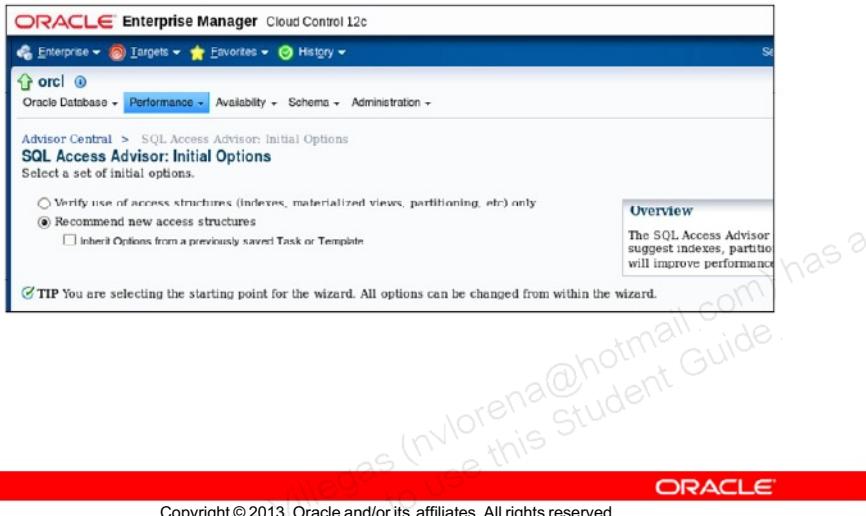
The SQL Access Advisor can recommend the proper set of materialized views, materialized view logs, partitioning, and indexes for a given workload. Understanding and using these structures is essential when optimizing SQL because they can result in significant performance improvements in data retrieval.

The SQL Access Advisor recommends bitmap, function-based, and B-tree indexes. A bitmap index offers a reduced response time for many types of ad hoc queries and reduced storage requirements compared to other indexing techniques. B-tree indexes are most commonly used in a data warehouse to index unique or near-unique keys.

Another component of the SQL Access Advisor also recommends how to optimize materialized views so that they can be referenced when a query undergoes query rewrite.

**Note:** For more information about materialized views and query rewrite, see the *Oracle Database Performance Tuning Guide*.

## Using the SQL Access Advisor

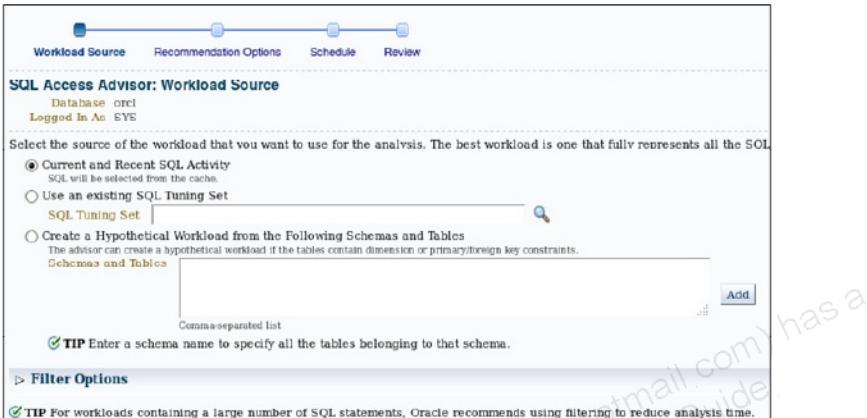


Access the SQL Access Advisor by selecting SQL Access Advisor in the SQL submenu of the Performance menu.

**When starting a SQL Access Advisor session, you can select Use Default Options and start** with a predefined set of advisor options that are recommended. Additionally, you can start a task and have it inherit a set of option values as defined by a template or task by selecting “Inherit Options from a Task or Template.” These include several generic templates designed for general-purpose environments, OLTP, and data warehousing databases. You can save custom templates from a previous task and reuse them when needed.

Click Continue to launch the SQL Access Advisor Wizard.

## Workload Source



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Use the SQL Access Advisor Wizard's Workload Source page to provide a defined workload that allows the Access Advisor to make recommendations. Supported workload sources are:

- **Current and Recent SQL Activity:** Uses the current SQL from the cache as the workload
- **Use an existing SQL Tuning Set:** Enables you to specify a previously created SQL Tuning Set as the workload source
- **Create a Hypothetical Workload from the Following Schemas and Tables:** Provides a schema that allows the advisor to search for dimension tables and produce a workload

The scope of the workload can be further reduced by applying filters that you can access in the Filter Options section. With these options, you can reduce the scope of the SQL statements that are present in the workload. The filters are applied to the workload by the advisor to focus the tuning effort. Possible filter options are:

- Top resource consuming SQL statements
- Users, module identifier, or actions
- Tables

## Recommendation Options

SQL Access Advisor: Recommendation Options

Database: orcl  
Logged In As: SYS

**Access Structures to Recommend**

Indexes  
 Materialized Views  
 Partitioning

**Scope**

The advisor can run in one of two modes, Limited or Comprehensive. Limited Mode is meant to return certain threshold. Comprehensive Mode will perform an exhaustive analysis.

Limited  
Analysis will focus on highest cost statements

Comprehensive  
Analysis will be exhaustive

[Advanced Options](#)

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Use the Recommendations Options page to choose whether to limit the advisor to recommendations based on a single access method. Choose Indexes, Materialized Views, Partitioning, or a combination of those from the “Access Structures to Recommend” section. You can choose Evaluation Only to evaluate only existing access structures. In this mode, the advisor does not generate new recommendations but comments on the use of existing structures. This is useful to track the effectiveness of the current index, materialized view, and MV log usage over time.

You can use the Scope section to specify a mode of Limited or Comprehensive. These modes affect the quality of recommendations as well as the length of time required for processing. In the **Comprehensive mode**, the advisor searches a large pool of candidates resulting in recommendations of the highest quality. In the Limited mode, the advisor performs quickly, limiting the candidate recommendations.

## Recommendation Options

**Advanced Options**

**Workload Categorization**

Consider only queries  
Choose this option if this is a Data Warehouse workload

**Workload Scope**

Recommend dropping unused access structures  
Select when workload represents all access structure use cases

**Space Restrictions**

Do you want to limit additional space used by recommended indexes and materialized views?

No, show me all recommendations (unlimited space)

Yes, limit additional space to  MB

Set to zero or negative to recommend dropping existing access structures to make room for better ones.

**Tuning Prioritization**

Prioritize tuning of SQL statements by   Buffer Gets

SQL statements will be analyzed in descending order of the value of the prioritized statistic.

Consider access structures creation costs recommendations  
If checked, the SQL Access Advisor will weigh the cost of creation of access structures against the frequency and potential improvement of SQL. Check this box if you do not want specific recommendations generated for statements that are not executed frequently.

**Default Storage Locations**

By default, indexes will be placed in the schema and tablespace of the table they reference, materialized views will be placed in the schema and logs will be placed in the default tablespace of the schema of the table they reference. These fields allow you to change these default locations.

Index Tablespace	<input type="text" value="INDEX_TS"/>	<input type="button" value="▼"/>
Index Schema	<input type="text" value="INDEX_SCHEMA"/>	<input type="button" value="▼"/>
Materialized View Tablespace	<input type="text" value="MATERIALIZED_VIEW_TS"/>	<input type="button" value="▼"/>
Materialized View Schema	<input type="text" value="MATERIALIZED_VIEW_SCHEMA"/>	<input type="button" value="▼"/>
Materialized View Log Tablespace	<input type="text" value="MATERIALIZED_VIEW_LOG_TS"/>	<input type="button" value="▼"/>
Partitioning Tablespaces	<input type="text" value="PARTITIONING_TABLESPACES"/>	<input type="button" value="▼"/>

Comma-separated list

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You can choose Advanced Options to show or hide options that enable you to set space restrictions, tuning options, and default storage locations. Use the Workload Categorization section to set options for Workload Volatility and Workload Scope. You can choose to favor read-only operations or you can consider the volatility of referenced objects when forming recommendations. You can also select Partial Workload, which does not include recommendations, to drop unused access structures, or Complete Workload, which does include recommendations to drop unused access structures.

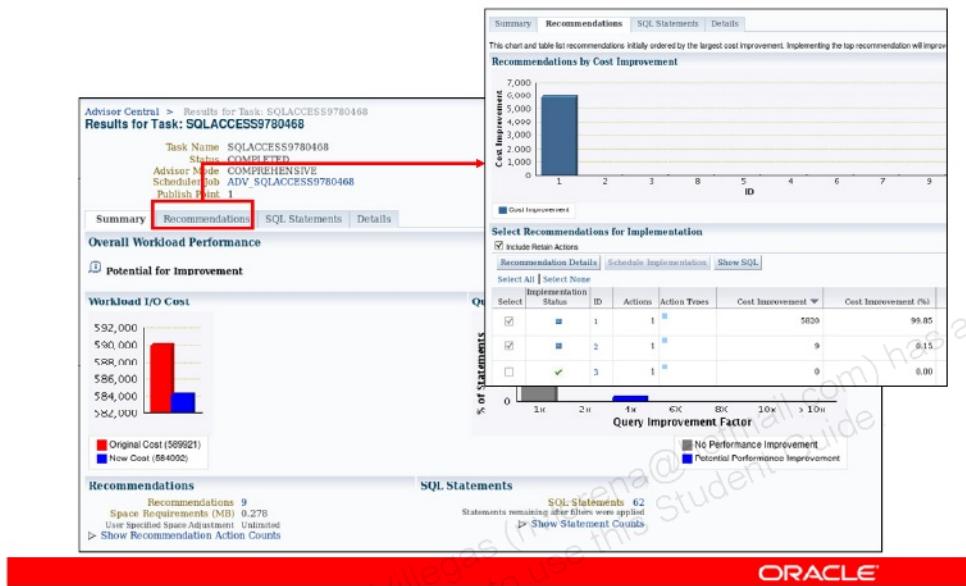
Use the Space Restrictions section to specify a hard space limit, which forces the advisor to produce recommendations only with total space requirements that do not exceed the **specified limit**.

Use the Tuning Options section to specify options that customize the recommendations made by the advisor. Use the "Prioritize Tuning of SQL Statements by" drop-down list to prioritize by Optimizer Cost, Buffer Gets, CPU Time, Disk Reads, Elapsed Time, and Execution Count.

Use the Default Storage Locations section to override the defaults defined for schema and tablespace locations. By default, indexes are placed in the schema and tablespace of the table they reference. Materialized views are placed in the schema and tablespace of the user who executed one of the queries that contributed to the materialized view recommendation.

After you define these parameters, you can schedule and review your tuning task.

## Reviewing Recommendations



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Using the Advisor Central page, you can list all the completed SQL Access Advisor tasks. Select the one for which you want to see the recommendations, and then click the View Result button. Use the Results for Task Summary page to get an overview of the advisor findings. The page presents charts and statistics that provide overall workload performance and query execution time potential improvement for the recommendations. You can use the page to show statement counts and recommendation action counts.

To see other aspects of the results for the advisor task, click one of the three other tabs on the page: Recommendations, SQL Statements, or Details.

The Recommendations page displays a chart and a table that show the top recommendations ordered by the percentage improvement to the total workload. The top recommendations have the biggest total performance improvement.

By clicking the Show SQL button, you can see the generated SQL script for the selected recommendations. You can click the corresponding recommendation identifier in the table to see the list of actions that need to be performed in order to implement the recommendation. On the Actions page, you can actually see all the corresponding SQL statements to execute in order to implement the action. For recommendations that you do not want to implement, keep those check boxes deselected. Then, click the Schedule Implementation button to implement the retained actions. This step is executed in the form of a Scheduler job.

## SQL Performance Analyzer: Overview

- Targeted users: DBAs, QAs, application developers
- Helps predict the impact of system changes on SQL workload response time
- Builds different versions of SQL workload performance
  - (that is, SQL execution plans and execution statistics)
  - Executes SQL serially (concurrency not honored)
- Analyzes performance differences
- Offers fine-grained performance analysis on individual SQL
- Is integrated with SQL Tuning Advisor to tune regressions

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Oracle Database includes SQL Performance Analyzer, which gives you an exact and accurate assessment of the impact of change on the SQL statements that make up the workload. SQL Performance Analyzer helps you forecast the impact of a potential change on the performance of a SQL query workload. This capability provides you with detailed information about the performance of SQL statements, such as before-and-after execution statistics, and statements with performance improvement or degradation. This enables you (for example) to make changes in a test environment to determine whether the workload performance will be improved through a database upgrade.

## SQL Performance Analyzer: Use Cases

SQL Performance Analyzer is beneficial in the following use cases:

- Database upgrades
- Implementation of tuning recommendations
- Schema changes
- Statistics gathering
- Database parameter changes
- OS and hardware changes

It is accessible through Enterprise Manager and the DBMS\_SQLPA package.



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SQL Performance Analyzer can be used to predict and prevent potential performance problems for any database environment change that affects the structure of the SQL execution plans. The changes can include (but are not limited to) any of the following:

- Database upgrades
- Implementation of tuning recommendations
- Schema changes
- Statistics gathering
- Database parameter changes
- OS and hardware changes

You can use SQL Performance Analyzer to predict SQL performance changes that result from changes for even the most complex environments. As applications evolve through the development life cycle, database application developers can test changes to schemas, database objects, and rewritten applications to mitigate any potential performance impact.

SQL Performance Analyzer also enables the comparison of SQL performance statistics. You can access SQL Performance Analyzer through Enterprise Manager or by using the DBMS\_SQLPA package.

For details about the DBMS\_SQLPA package, see the *Oracle Database PL/SQL Packages and Types Reference Guide*.

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## Using SQL Performance Analyzer



1. Capture SQL workload on production.
2. Transport the SQL workload to a test system.
3. Build “before-change” performance data.
4. Make changes.
5. Build “after-change” performance data.
6. Compare results from steps 3 and 5.
7. Tune regressed SQL.



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1. **Gather SQL:** In this phase, you collect the set of SQL statements that represent your SQL workload on the production system.
2. **Transport:** You must transport the resultant workload to the test system. The STS is exported from the production system and the STS is imported into the test system.
3. **Compute “before-version” performance:** Before any changes take place, you execute the SQL statements, collecting baseline information that is needed to assess the impact that a future change might have on the performance of the workload.
4. **Make a change:** After you have the before-version data, you can implement your planned change and start viewing the impact on performance.
5. **Compute “after-version” performance:** This step takes place after the change is made in the database environment. Each statement of the SQL workload runs under a mock execution (collecting statistics only), collecting the same information as captured in step 3.
6. **Compare and analyze SQL Performance:** After you have both versions of the SQL workload performance data, you can carry out the performance analysis by comparing the after-version data with the before-version data.
7. **Tune regressed SQL:** At this stage, you have identified exactly which SQL statements may cause performance problems when the change is made. Here, you can use any of the database tools to tune the system. After implementing any tuning action, you should repeat the process to create a new after-version and analyze the performance differences to ensure that the new performance is acceptable.

## Quiz

Even when you enable Automatic Maintenance tasks, the SQL Tuning Advisor always has to be started separately.

- a. True
- b. False

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**Answer: b**

## Quiz

You can receive performance recommendations for historical SQL statements that are collected by AWR snapshots.

- a. True
- b. False

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**Answer: a**

## Quiz

The SQL Access Advisor can recommend the proper set of materialized views, materialized view logs, partitioning, and indexes for a given workload.

- a. True
- b. False

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**Answer: a**

## Quiz

The SQL Performance Analyzer provides you with detailed information about the performance of SQL statements, such as before-and-after execution statistics, and statements with performance improvement or degradation.

- a. True
- b. False

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**Answer: a**

## Summary

In this lesson, you should have learned how to:

- Manage optimizer statistics
- Use the SQL Tuning Advisor to:
  - Identify SQL statements that are using the most resources
  - Tune SQL statements that are using the most resources
- Use the SQL Access Advisor to tune a workload



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## Practice 22

### 22-1: Using Automatic SQL Tuning

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# Introduction to Upgrading & Oracle Database 12c

23

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## Objectives

After completing this lesson, you should be able to:

- Describe upgrade methods
- Describe data migration methods
- Describe the upgrade process



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## Defining Upgrade and Data Migration

- *Upgrading:*
  - Transforms an existing Oracle Database environment into an Oracle Database 12c environment
  - Does not affect user data
- *Migrating data :*
  - Moving data from one Oracle database to another database
  - Does not include upgrading to a new release of Oracle Database

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Upgrade refers to transforming an existing Oracle Database environment into a new release of Oracle Database, in the case of this course to Oracle Database 12c.

**Data migration refers to moving data from one Oracle Database into a database that was created in advance for the migrated data.** Migration does not involve an upgrade of the Oracle Database software.

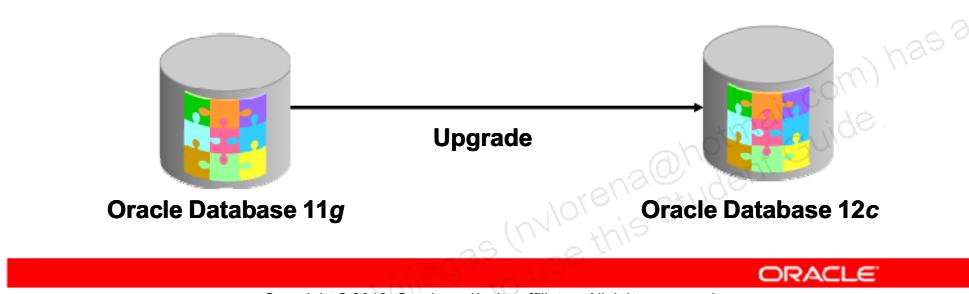
**Note:** Best practice is to perform migration and upgrade operations separately.

This lesson provides details on how you can upgrade to Oracle Database 12c and how you can migrate data to an Oracle Database 12c database.

## Upgrade Methods

The upgrade methods are:

- Database Upgrade Assistant (DBUA): Recommended for major release and patch release upgrades
- Manual method using SQL scripts and utilities



You can ease the process of upgrading a database to Oracle Database 12c through careful planning and use of the Oracle Database tools. The method you choose to upgrade depends on several factors. Oracle Database supports the following methods for upgrading a database:

- **Using the Database Upgrade Assistant (DBUA):** This tool can be launched by the Oracle Universal Installer (OUI) or as a stand-alone tool at any time in the future to upgrade a database. DBUA provides a graphical user interface (GUI) that guides you through the upgrade of a database.
- **Performing a manual upgrade:** A manual upgrade is a command-line upgrade of a database by using SQL scripts and utilities. Though a manual upgrade gives you finer control over the upgrade process, it is susceptible to errors if any of the upgrade or preupgrade steps are either not followed or are performed out of order.

**Note:** DBUA is the preferred method of upgrading a database. It is highly recommended that you use DBUA to upgrade to Oracle Database 12c.

## Database Upgrade Assistant: Advantages and Disadvantages

- Advantages:
  - Automates all tasks
  - Performs both release and patch set upgrades
  - Supports RAC, single instance, and ASM
  - Informs the user and fixes upgrade prerequisites
  - Automatically reports errors found in spool logs
  - Provides complete HTML report of the upgrade process
  - Command-line interface allows ISVs to automate
- Disadvantages:
  - Offers less control over individual upgrade steps

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The Database Upgrade Assistant (DBUA):

- Guides you through the upgrade process and configures a database for the new release
- **Automates the upgrade process and makes appropriate recommendations for the configuration options, such as tablespaces and redo logs**
- Shows the upgrade progress for each component, while the upgrade is running
- Writes detailed trace and log files and produces a complete HTML report for later reference
- Automatically locks new user accounts in the upgraded database to enhance security
- **Proceeds to create new configuration files (initialization parameter and listener files) in the new Oracle home**
- Upgrades all the database and configuration files on all nodes in the cluster, in an Oracle RAC environment
- Supports a silent mode of operation where no user interface is presented to the user

If the DBA requires more control over the individual steps in the upgrade process, a manual upgrade is still possible. Usually, however, the manual upgrade method is more error prone, is harder to automate, and involves a greater amount of work than upgrading with the DBUA.

## Manual Upgrade: Advantages and Disadvantages

- Advantages:
  - The DBA controls every step of the upgrade process.
- Disadvantages:
  - It involves more work for the DBA, who must:
    - Perform a manual space check for the SYSTEM and SYSAUX tablespaces
    - Manually adjust all obsolete or deprecated initialization parameters
    - Perform a user-driven backup of the database
  - It is subject to errors.



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A manual upgrade consists of running SQL scripts and utilities from a command line to upgrade to Oracle Database 12c. Depending on the release of the database being upgraded, you may need to perform additional preupgrade steps. If you must upgrade to an intermediate release, check the release documentation for the release that you are upgrading to.

Though a manual upgrade gives you finer control over the upgrade process, it is susceptible to errors if any of the upgrade or preupgrade steps are either not followed or are performed out of order. In contrast, DBUA performs all the necessary preupgrade and upgrade steps.

Refer to the *Oracle Database Upgrade Guide* for a list of the scripts and the order in which they should be run.

## Upgrade Paths

- Direct upgrade to Oracle Database 12c is supported for Oracle Database release 10.2.0.5, 11.1.0.7, or 11.2.0.2 and higher
- Upgrade to an intermediate release is required for Oracle Database release 11.2.0.1 and releases before 10.2.0.5

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If your Oracle Database software is release 10.2.0.5, 11.1.0.7, or 11.2.0.2 or later, you can perform a direct upgrade to Oracle Database 12c.

If your Oracle Database software is earlier than 10.2.0.5 or is release 11.2.0.1, then you must upgrade to an intermediate Oracle Database release before upgrading to Oracle Database 12c. The intermediate release should be one of the releases that can be directly upgraded to Oracle Database 12c.

## Upgrade Method Limitations

Both DBUA and the manual method have the following limitations:

- Target and source must be on the same platform.



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Both DBUA and the manual upgrade method have certain limitations:

- The source and target are the same database. The upgrade is an in-place operation. The database stays on the same platform. No change in operating system or hardware can take place during the upgrade. The OS must be compatible with both versions of the database.

## Upgrading to a New Release of Oracle Database

Step	Tasks
1. Prepare to upgrade	Choose an upgrade method Choose an Oracle home Develop a test plan
2. Upgrade a test database	Test the upgrade process using a test database
3. Test the upgraded database	Complete planned tests ensuring there are no issues. Repeat steps 1-3 if necessary.
4. Prepare the production database	Back up the production database
5. Upgrade the production database	Upgrade to the new release of Oracle Database
6. Tune and adjust the upgraded database	Implement new features Test applications

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The table in the slide lists the major steps that you should perform to upgrade to a new release of Oracle Database.

**Basic tasks of each step are as follows:**

1. Prepare to upgrade: Become familiar with the new features in the release. Develop a test plan and backup strategy.
2. Upgrade a test database: Test the upgrade process by using a test database, not the production database system.
3. Test the upgraded database: Use the test plan developed in step 1 and test the upgraded database. If there are any issues with the upgraded system, address the issues and retest.
4. Prepare the production database: Prepare the production database system for the upgrade. Schedule downtime as necessary and perform a full or incremental backup.
5. Upgrade the production database: Use the procedures you tested in steps 2 and 3 to upgrade the production database. Back up the database after the upgrade.
6. Tune and adjust the upgraded production database: Implement new features. Test the applications to ensure they operate correctly. Tune the database as necessary.

## Migration Methods

The migration methods are:

- Oracle Data Pump
- CREATE TABLE AS SELECT SQL statement

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You can use Oracle Data Pump to perform a full or partial export from your database followed by a full or partial import into a new Oracle Database 12c database. The use of Oracle Data Pump is described in the appendix titled “Migrating Data by Using Oracle Data Pump.”

**Note:** There may be installations to be migrated that do not support Oracle Data Pump, such as versions before Oracle Database 10.1.0. In those cases legacy Export and Import may be used.

The CREATE TABLE AS SELECT SQL statement can be used to copy data from one database into a new Oracle Database 12c database. Refer to the *Oracle Database SQL Language Reference* for additional information about this command.

## Using the Export and Import Method

- This method is required for certain situations:
  - Change in platform
  - Upgrade from unsupported versions
- Export and import are useful in certain cases:
  - Changes in character set
  - In combination with upgrade

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You can use the Oracle Data Pump Export and Import utilities to perform a full or partial export from your existing database, followed by a full or partial import into a new Oracle Database 12c database. Export/Import can copy a subset of the data, leaving the database unchanged.

The Export and Import method is required in certain situations.

- If you want to change operating systems or hardware platforms, you cannot use the DBUA.
- In some situations, a significant effort is required to move the database to a version where the DBUA may be used. In these cases, the export and import method may be used.

The Export and Import method is useful in certain cases

- Character set conversion may be required in some upgrade scenarios.
- If there is a partial export of problem data, you can use the DBUA and import. This method can be used to solve character set issues involving a small number of tables.

## Summary

In this lesson, you should have learned how to:

- Describe upgrade methods
- Describe data migration methods
- Describe the upgrade process



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## Practice 23

- 23-1: Upgrading an Oracle Database 11g Release 2 Database Using a File System to Oracle Database 12c
- 23-2: Upgrading an Oracle Database 11g Release 2 Database on ASM to Oracle Database 12c
- 23-3: Upgrading an Oracle Database 11g Release 2 Database to Oracle Database 12c on a New OS or Platform
- 23-4: Upgrading an Oracle Database 11g Release 2 Database to Oracle Database 12c with Minimal Downtime

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## Preparing to Upgrade to Oracle Database 12c

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## Objectives

After completing this lesson, you should be able to:

- List upgrade requirements when certain features or options are used in Oracle Database
- Use the pre-upgrade information tool before performing an upgrade
- Prepare the new Oracle home prior to performing an upgrade



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## Preparing to Upgrade

1. Familiarize yourself with the features of Oracle Database 12c.
2. Determine the upgrade path.
3. Choose an upgrade method.
4. Choose an OFA-compliant Oracle home directory.
5. Prepare a backup and recovery strategy.
6. Develop a test plan to test your database, applications, and reports.

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In preparation of your upgrade to Oracle Database 12c, you should become familiar with the features of Oracle Database 12c that you want to use. The *Oracle Database New Features Guide 12c Release 1* provides a comprehensive list of the new features.

## Planning the Upgrade

1. Choose an upgrade method.
2. Test the upgrade:
  - a. Prepare the test source
  - b. Upgrade
  - c. Perform postupgrade steps
3. Record problems.
4. Record lessons learned.

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### Choosing an Upgrade Method

In many cases, the upgrade method chosen depends on a number of factors. The size of the **database** and the **time required to perform the upgrade** are two of the most important factors. There are other factors (such as platform, storage, and character sets) that may limit the choice of the upgrade method.

### Testing the Upgrade

In most production environments, the cost of down time is unacceptably high. So, testing the upgrade becomes essential. As in any testing situation, the test environment should be as similar to the production environment as possible. You would then perform the upgrade from preupgrade to postupgrade.

### Recording Problems

It is not unusual to find problems that were not anticipated in the plan during an upgrade. Ensure that these issues are documented, especially the solutions.

### Recording Lessons Learned

In sites where there are multiple databases to be upgraded, a record of the lessons learned through the course of upgrade testing, and the upgrades themselves can be very valuable in reducing the time it takes to perform each upgrade.

## Developing a Test Plan

Type of Testing	Description
Upgrade	Plan and test the upgrade path
Minimal	Move all or part of an application to the new database and run the application without enabling any new database features
Functional	Perform a set of tests in which new and existing features and functions of the system are tested after the upgrade
High Availability	Perform tests to ensure that the upgraded database system meets RTO and RPO business requirements
Integration	Perform tests to ensure that component interaction is correct
Performance	Perform tests to compare the performance of various SQL statements in the new database with the performance of those same statements in the current database
Volume/load stress	Perform tests of the entire upgraded Oracle database under high volume and loads

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You should develop a planned set of tests to validate all stages of the upgrade process.

Your test plan should include the following types of testing:

- **Upgrade testing: Planning and testing the upgrade path**
- **Minimal testing:** Moving all or part of an application from the current database to the new database and running the application without enabling any new database features. This type of testing helps to identify any application startup or invocation problems.
- **Functional testing:** Performing a set of tests in which new and existing features and functions of the system are tested after the upgrade
- **High availability testing:** Performing tests to ensure that the upgraded database system meets Recovery Time Objective (RTO) and Recovery Point Objective (RPO) business requirements
- **Integration testing:** Performing tests to ensure that interactions among components of the system is correct
- **Performance testing:** Performing tests to compare the performance of various SQL statements in the new database with the performance of those same statements in the current database
- **Volume and load stress testing for Oracle Database upgrades:** Performing tests of the entire upgraded Oracle database under high volume and loads

## Performance Testing

You can use the following Oracle Database features to conduct performance tests:

- **Database Replay:** Perform real-world testing of a database upgrade using a production workload before actually upgrading the production database
- **SQL Performance Analyzer:** Forecast the impact of system changes on a SQL workload



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Performance tests are used to compare the performance of SQL statements in the upgraded database with the performance of the same statements in the current database. Prior to upgrading your database, you should ensure that you understand the performance profile of the application in the current database.

The following Oracle Database features can be used to conduct performance tests:

- **Database Replay:** You can use Database Replay to perform real-world testing of your production database workload before you upgrade the database. Database Replay captures the database workload on the current production system and enables you to replay it on a test system.
- **SQL Performance Analyzer:** Use SQL Performance Analyzer to forecast the impact of system changes on a SQL workload. SQL statements that will be impacted by the upgrade are identified enabling you to evaluate the impact of the upgrade.

## Requirements for Databases Using Oracle Label Security or Oracle Database Vault

Execute the `olspreupgrade.sql` script to move the AUD\$ table from the SYSTEM schema to the SYS schema.



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If you are upgrading from a release prior to Oracle Database Release 12.1 that uses Oracle Label Security (OLS) and Database Vault, you must first execute the OLS preprocess script, `olspreupgrade.sql`, to process the AUD\$ table. The OLS preprocess script moves the AUD\$ table from the SYSTEM schema to the SYS schema.

To use the OLS preprocess script, copy `ORACLE_HOME/rdbms/admin/olspreupgrade.sql` from the newly installed Oracle home to the Oracle home of the database to be upgraded.

It is recommended that you archive your audit trail before executing the `olspreupgrade.sql` script. Refer to the *Oracle Database Security Guide* for detailed information about `tarc_hiring_thau_dit.sql`.

## Requirement for Databases Using Oracle Warehouse Builder

- Oracle Warehouse Builder (OWB) is not installed as part of the software for Oracle Database 12c.
- OWB components that may exist in earlier releases are not upgraded as part of the Oracle Database upgrade process.
- You can use OWB release 11.2.0.3 with Oracle Database 12c as follows:
  - Add Oracle Database 12c access to an existing standalone OWB 11.2.0.3 installation.
  - Keep an existing OWB 11.2.0.3 Installation in-place with Oracle Database Release 11.2.0.3.
  - Use the standalone OWB 11.2.0.3 installation where available.



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Oracle Warehouse Builder (OWB) is not installed as part of Oracle Database 12c, and OWB components that may exist in earlier releases are not upgraded as part of the Oracle Database upgrade process. However, you can use OWB release 11.2.0.3 with Oracle Database 12c. You cannot use OWB releases earlier than release 11.2.0.3 with Oracle Database 12c.

You can use OWB release 11.2.0.3 with Oracle Database 12c as follows:

- Add Oracle Database 12c access to an existing standalone OWB 11.2.0.3 installation: Oracle provides a patch update that enables OWB 11.2.0.3 to be used with Oracle Database 12c.
- Keep the existing OWB 11.2.0.3 installation in-place with Oracle Database Release 11.2.0.3: If OWB release 11.2.0.3 is running on a platform where a standalone installation is not available, you will need to keep the Oracle Database release 11.2.0.3 software in-place after the migration to Oracle Database 12c so that you can run OWB from this Oracle home.
- Use the standalone OWB 11.2.0.3 installation: If OWB 11.2.0.3 is running on a platform where a standalone installation is available (on Linux and Windows), you can install the standalone software and then remove the Oracle Database release 11.2.0.3 software.

## Using the Pre-Upgrade Information Tool

After installing the Oracle Database 12c software you can optionally use the Pre-Upgrade Information Tool to perform configuration checks:

- Execute the `preupgrd.sql` script
- Review the information displayed by the Pre-Upgrade Information Tool
  - `preupgrade.log` contains the output of the Pre-Upgrade Information Tool
- Resolve issues
  - `preupgrade_fixups.sql` script addresses issues that can be fixed using SQL\*Plus in the source database
  - `postupgrade_fixups.sql` script addresses issues that can be fixed after the database has been upgraded



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After you have installed the software for Oracle Database 12c, you can analyze your database before upgrading it to the new release by using the Pre-Upgrade Information Tool. Execute the `preupgrd.sql` script from the environment of the database you are planning to upgrade.

The Pre-Upgrade Information Tool generates "fix-up" scripts that you can execute to resolve issues that are flagged in the source database:

- The `preupgrade_fixups.sql` script can be used to fix issues in the source database.
- The `postupgrade_fixups.sql` script can be used to fix issues after the database has been upgraded.

A log file named `preupgrade.log` is created containing the output of the Pre-Upgrade Information Tool and information about issues that require manual intervention.

Although it is not mandatory to use the Pre-Upgrade Information Tool prior to performing the upgrade, using it gives you an opportunity to address issues in advance. Before upgrading to the new release of Oracle Database, it is recommended that you analyze the information and warnings displayed by the Pre-Upgrade Information Tool.

## Backing Up the Database

Perform an online backup by using RMAN

```
RUN
{
  ALLOCATE CHANNEL chan_name TYPE DISK;
  BACKUP DATABASE FORMAT 'some_backup_directory%U' TAG
    before_upgrade;
  BACKUP CURRENT CONTROLFILE FORMAT 'controlfile location
    and name';
}
```

After running the Pre-Upgrade Information Tool, it is recommended that you back up the database. To minimize downtime, you may perform an online backup.

## Summary

In this lesson, you should have learned how to:

- List upgrade requirements when certain features or options are used in Oracle Database
- Use the pre-upgrade information tool before performing an upgrade
- <sup>upgrade</sup> Prepare the new Oracle Home prior to performing an upgrade

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## Practice 24

- 24-1: Executing Preliminary Steps and the Pre-Upgrade Script
- 24-2: Implementing the Pre-Upgrade Information Tool Recommendations
- 24-3: Completing Prerequisites Steps Before the Upgrade
- 24-4: Performing a Full Database Backup

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# Upgrading to Oracle Database 12c

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## Objectives

After completing this lesson, you should be able to:

- Upgrade the database to Oracle Database 12c by using the Database Upgrade Assistant (DBUA)
- Perform a manual upgrade to Oracle Database 12c by using scripts and tools
- Migrate a non-CDB database to a CDB database

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## Upgrading by Using the Database Upgrade Assistant (DBUA)

- You can use the Database Upgrade Assistant (DBUA) to:
  - Upgrade to Oracle Database 12c
  - Move a database from an existing 12c Oracle home
- DBUA includes a GUI interface and silent mode



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The DBUA guides you through the upgrade process and configures a database for the new release. The DBUA automates the upgrade process and makes appropriate recommendations for configuration options, such as tablespaces and redo logs.

The DBUA also supports a silent mode of operation. Silent mode enables you to use a single statement for the upgrade.

## Key DBUA Features

- Provides for recoverability:
  - Performs a backup of the database before upgrade
  - Can restore the database after upgrade (if needed)
- Runs all the necessary scripts to perform the upgrade
- Displays the upgrade progress at a component level
- Performs configuration checks by executing the `preupgrd.sql` script

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Before starting the upgrade process, it is strongly recommended that you back up your existing database, although the DBUA can perform a backup during the preupgrade stage.

If you use the DBUA to back up your database, it creates a copy of all your database files in the directory that you specify. The DBUA automatically performs this cold backup after it shuts down the database and before it begins performing the upgrade procedure. However, the cold backup does not compress your database files and the backup directory must be a valid file system path. In addition, the DBUA creates a batch file in the specified directory. You can use this batch file to restore the database files if needed.

During the upgrade, the DBUA automatically modifies or creates new required tablespaces and invokes the appropriate upgrade scripts. While the upgrade is running, the DBUA displays the upgrade progress for each component. It then creates new configuration files (parameter and listener files) in the new Oracle home. The DBUA provides a comprehensive summary of the preupgrade checks when finished.

## Key DBUA Features

- Creates or updates configuration files:
  - Creates init.ora and SPFILE in the new Oracle home
  - Updates network configurations
  - Uses OFA-compliant locations
- Updates the Oracle Internet Directory database information
- Oracle Enterprise Manager:
  - DBUA allows you to register a database with Enterprise Manager Cloud Control
  - Configure port for Enterprise Manager Database Express
- Provides logging and tracing:
  - Writes detailed trace and logging files to  
\$ORACLE\_BASE/cfgtoollogs/dbua/<sid>/upgradeNN

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During the upgrade, the DBUA automatically modifies or creates a new init.ora and server parameter file (SPFILE) in the new Oracle home directory. In addition, it updates the network configurations, creates the required tablespaces, and invokes the appropriate upgrade scripts. While the upgrade is running, the DBUA shows the upgrade progress for each component. The DBUA writes detailed trace and log files in \$ORACLE\_BASE/cfgtoollogs/dbua/<sid>/upgradeNN and produces a complete HTML report for later reference.

## Key DBUA Features

- Minimizes down time:
  - Recompiles packages in parallel
  - Does not require user interaction after upgrade starts
- Supports security features
  - Locks new users in the upgraded database
- Supports Real Application Clusters:
  - Upgrades all nodes
  - Upgrades all configuration files

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After completing the preupgrade steps, the DBUA automatically archives redo logs and disables archiving during the upgrade phase.

To enhance security, the DBUA automatically locks the new user accounts in the upgraded database.

The DBUA is fully compliant with the Oracle RAC environments. In RAC environments, the DBUA upgrades all the database and configuration files on all nodes in the cluster.

## Manually Upgrading to Oracle Database 12c

1. Execute the Pre-Upgrade Information Tool (`preupgrd.sql`).
2. Back up the source database.
3. Prepare the new Oracle home.
4. Log in to SQL\*Plus and shut down the database instance.
5. Log in to SQL\*Plus in the Oracle Database 12c home and execute the STARTUP UPGRADE command.
6. Execute the Parallel Upgrade Utility (`catctl.pl`).
7. Restart the database instance.
8. Execute the Post Upgrade Status Tool (`utlu121s.sql`).
9. Execute additional scripts (`catuppst.sql` and `utlrp.sql`).

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As an alternative to using DBUA, you can manually upgrade to Oracle Database 12c by performing the following steps:

1. Run the Preupgrade Information Tool by executing the `preupgrd.sql` script in SQL\*Plus. This script analyzes your database and generates scripts that you can use to fix problems. You must execute this script prior to running the Parallel Upgrade Utility or the `catctl.pl` upgrade script will terminate with errors.
2. Back up your database by using Recovery Manager (RMAN).
3. Prepare the new Oracle home by copying configuration files and modifying the initialization parameter file.
4. Log in to SQL\*Plus and shut down the database instance.
5. Set the environment variables for the Oracle Database 12c Oracle home and log in to SQL\*Plus. Execute the STARTUP UPGRADE command. The UPGRADE keyword enables you to open the database before it is upgraded. The command also causes logons to be restricted to only AS SYSDBA sessions, disables system triggers, and performs additional operations that prepare the environment for the upgrade.
6. At the operating system prompt, run the Parallel Upgrade Utility by executing the `catctl.pl` script from the new Oracle home. This utility provides parallel upgrade options that reduce downtime. The script can also be executed in serial mode.

7. Log in to SQL\*Plus again and restart the database instance. You must restart the instance because the `catctl.pl` script shut down the instance.
8. Run the Post-Upgrade Status Tool by executing the `utlu121s.sql` script. The script provides a summary of the upgrade operation.
9. Execute the `catuppst.sql` script to perform additional upgrade operations. This script is run at this time because it does not require the database to be in UPGRADE mode. Execute the `utlrp.sql` script to recompile PL/SQL and Java code.

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## Migrating a Pre-12.1 or 12.1 Non-CDB to CDB

There are two methods: A, and B

**A1)** Upgrade an existing pre-12.1 non-CDB to 12c

**A2)** Plug non-CDB into a CDB

OR

**B1)** Pre-create a PDB in a CDB

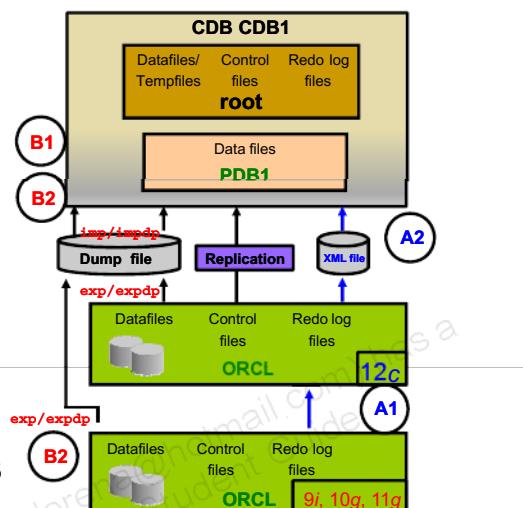
**B2)** Use 11g expdp / 12c impdp

or

Use 9i or 10g exp / 12c imp

or

Replication between non-CDB and PDB



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There are two methods to migrate a non-CDB 11g database to a CDB.

The first method "A", consists of two steps:

**A1)** Upgrade the 11g database (or any other previous Oracle Database release) to 12c non-CDB

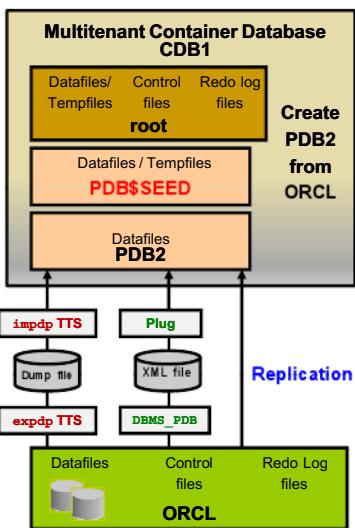
**A2)** Plug the 12c non-CDB into a CDB. Use the DBMS\_PDB.DESCRIBE procedure to generate the XML file to plug the data files into the CDB as a new PDB. This is the fastest solution.

The second method, "B", consists of two steps:

**B1)** Pre-create a PDB in the CDB from the seed PDB. This operation establishes an Oracle Database 12c dictionary in the newly created PDB.

**B2)** Use Data Pump Export and Import (or the srclnl Export and Import) or replication to load the 9i, 10g, and 11g data into the newly created PDB of the CDB.

## Plugging a Non-CDB Database into a CDB



Three possible methods:

- TTS or TDB or full export/import
- XML file definition with DBMS\_PDB
- Replication

Entities are created in the new PDB:

- Tablespaces: SYSTEM, SYSAUX
- A full catalog
- Common users: SYS, SYSTEM
- A local administrator (PDBA)
- A new default service

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There are three possible methods to plug a non-CDB database into a CDB.

Whichever method is used, the non-CDB must be in a transactionally consistent state and **opened in restricted mode**.

It is appropriate to use Oracle Data Pump when:

- Both source and target databases are different endian.
- The source character set is not equal to the target character set, and is not a binary subset of the target.

Either use transportable tablespace (TTS), full conventional export / import, or full **transportable database (TDB)** provided that **any user-defined object resides in a single user-defined tablespace**. A Data Pump full transportable database does not support movement of XDB or AWR repositories. Only user-generated XML schemas are moved.

In other cases, using the DBMS\_PDB package is the easiest option. The DBMS\_PDB package constructs an XML file describing the non-CDB data files to plug into the CDB as a PDB. This method requires that the non-CDB is an Oracle 12c database.

If the DBMS\_PDB package is not used, export/import is usually simpler than using GoldenGate replication, but export/import might require more down time during the switch from the non-CDB to the PDB.

## Summary

In this lesson, you should have learned how to:

- Upgrade the database to Oracle Database 12c by using the Database Upgrade Assistant (DBUA)
- Perform a manual upgrade to Oracle Database 12c by using scripts and tools
- Migrate a non-CDB database to a CDB database

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## Practice 25

- 25-1: Upgrading a Database by Using DBUA
- 25-2: Exporting a Non-CDB Application (OPTIONAL)
- 25-3: Plugging a Non-CDB into a CDB

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## Performing Post-Upgrade Tasks

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## Objectives

After completing this lesson, you should be able to:

- Perform post-upgrade tasks
- Migrate to Unified Auditing



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## Required Tasks After Database Upgrade

Perform the following tasks as appropriate to your environment:

- For a manual upgrade:
  - Update the ORACLE\_HOME and PATH operating system environment variables
  - Update the oratab file with the new Oracle home
- For all upgrade methods, update client scripts with the new Oracle home
- Upgrade the RMAN Recovery Catalog
- Configure the FTP and HTTP ports, and HTTP authentication for Oracle XML DB
- Enable Database Vault



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After you upgrade to Oracle Database 12c and before you can consider the database operational, you must complete some postupgrade tasks. The tasks you need to complete depend on whether you performed a manual upgrade or used DBUA, and also on your configuration and use of features. Some common tasks include the following:

- Updating the environment variables following a manual upgrade: On Linux and UNIX operating systems, ensure that the ORACLE\_HOME and PATH environment variables point to the new Oracle home.
- Updating the oratab file and client scripts with the new Oracle home: DBUA automatically points oratab to the new Oracle home. Regardless of the upgrade method you must check client scripts.
- Upgrading the RMAN Recovery Catalog: If the recovery catalog schema version is older than what is required by the RMAN client, you must upgrade it.
- Configuring the FTP and HTTP ports, and HTTP authentication for Oracle XML DB: The Oracle Database 12c DBCA does not configure ports for Oracle XML DB so you must manually configure them. You should also configure digest authentication for HTTP to take advantage of improved security features.
- Enabling Database Vault: Register Database Vault by using the DV\$SYS.DBMS\_MACADM.ENABLE\_DV procedure.

## Recommended Tasks After Database Upgrade

It is recommended that you perform the following tasks after upgrading to Oracle Database 12c:

- Reset passwords to enforce case-sensitivity.
- Set threshold values for tablespace alerts.
- Implement new features as appropriate.
- Migrate to unified auditing.



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You should consider the recommended tasks that are listed in the slide depending on the release you have migrated from and your use of various features. Additional information about each task follows and more detail can be found in the *Oracle Database Upgrade Guide 12c Release 1 (12.1)*:

- Reset passwords to enforce case-sensitivity: To take advantage of enforced case-sensitive passwords for releases earlier than 11.1.0.7, you must reset the passwords of existing users during the database upgrade procedure. You can execute the DBMS\_VERIFIER.EXPIRE\_ACCOUNTS\_WITHOUT\_LATEST\_VERIFIER procedure that forces users whose accounts do not yet have the latest verifier to change their *passwords the next time they log in*.
- Set threshold values for tablespace alerts: In an upgraded Oracle Database 12c database, Tablespace Alerts are disabled (the thresholds are set to null). Tablespaces in the database that are candidates for monitoring must be identified and the appropriate threshold values must be set. The default threshold values for a newly created Oracle Database 12c database are:
  - 85% full warning
  - 97% full critical

- Implement new features: Refer to the *Oracle Database New Features Guide* for a description of the new features in Oracle Database 12c. Attend the Oracle Database 12c: New Features for Administrators course to learn more about the new features.
- Migrate to unified auditing: Oracle Database 12c includes a new feature called the unified audit trail. With unified auditing, all Oracle Database audit trails (SYS.AUD\$ for the database audit trail, SYS.FGA\_LOG\$ for fine-grained auditing, DVSYS.AUDIT\_TRAIL\$ for Database Vault, and so on) are consolidated into one single audit trail. Additional information about unified auditing follows in this lesson.

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## Understanding Auditing Implementation

- *Mixed mode auditing* is the default when a new Oracle Database 12c database is created.
- Mixed mode auditing enables the use of:
  - Pre-Oracle Database 12c auditing features
  - *Unified auditing* features of Oracle Database 12c
- The recommendation from Oracle is to migrate to unified auditing.
- Query V\$OPTION to determine if the database has been migrated to unified auditing:

```
SELECT value FROM v$option  
WHERE parameter = 'Unified Auditing'
```

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Prior to Oracle Database 12c, audit records from various sources were stored in different locations. Oracle Database 12c supports *unified auditing*, in which all audit records are stored in a single audit table.

When you create a new Oracle Database 12c database, mixed mode auditing is enabled. This mode enables you to use the auditing features available before Oracle Database 12c and also the unified auditing features. Mixed mode auditing is enabled by default through the ORA\_SECURECONFIG predefined auditing policy for newly created databases.

If you are upgrading a database to Oracle Database 12c, you must manually migrate to unified auditing to use the unified auditing features.

Oracle Corporation recommends that you migrate to unified auditing.

## Enabling Unified Auditing

1. In SQL\*Plus, shut down the database instance:

```
SQL> SHUTDOWN IMMEDIATE
```

2. Shut downr the listener:

```
$ lsnrctl stop
```

3. At the operating system prompt, enable the unified auditing executable:

```
$ cd $ORACLE_HOME/rdbms/lib  
$ make -f ins_rdbms.mk uniaud_on ioracle ORACLE_HOME=$ORACLE_HOME
```

4. Restart the listener:

```
$ lsnrctl start
```

5. In SQL\*Plus, restart the database instance:

```
SQL> STARTUP
```

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Before enabling the unified auditing executable, shut down the database instance and the listener.

Change to the \$ORACLE\_HOME/rdbms/lib directory and execute the following command:  
make -f ins\_rdbms.mk uniaud\_on ioracle ORACLE\_HOME=\$ORACLE\_HOME

The make command is used to relink the Oracle executable with a different set of libraries to enable unified auditing.

After the make command completes, restart the listener and the database instance.

You can log in to SQL\*Plus and verify that unified auditing has been enabled as follows:

```
SQL> select value  
  2  from v$option  
  3  where parameter = 'Unified Auditing';
```

VALUE

-----  
TRUE

## Administering the Roles Required for Auditing

A user must be granted one of the following roles to perform auditing tasks:

- AUDIT\_ADMIN enables the user to:
  - Create unified and fine-grained audit policies
  - Execute the AUDIT and NOAUDIT SQL statements
  - View audit data
  - Manage the audit trail (table in the AUDSYS schema)
- AUDIT\_VIEWER enables the user to:
  - View audit data



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Users must be granted the appropriate privilege to configure auditing and view audit data. To support separation of duty, two default roles are provided:

- AUDIT\_ADMIN: Enables the grantee to configure audit settings, create and administer audit policies (unified and fine-grained), and view and analyze audit data. This role is typically granted to a security administrator.
- AUDIT\_VIEWER: Enables the grantee to view and analyze audit data. This role is typically granted to external auditors.

## Summary

In this lesson, you should have learned how to:

- Perform post-upgrade tasks
- Migrate to Unified Auditing



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## Practice 26

### 26-1: Performing Post-Upgrade Actions

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## Using Database Resource Manager



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## Objectives

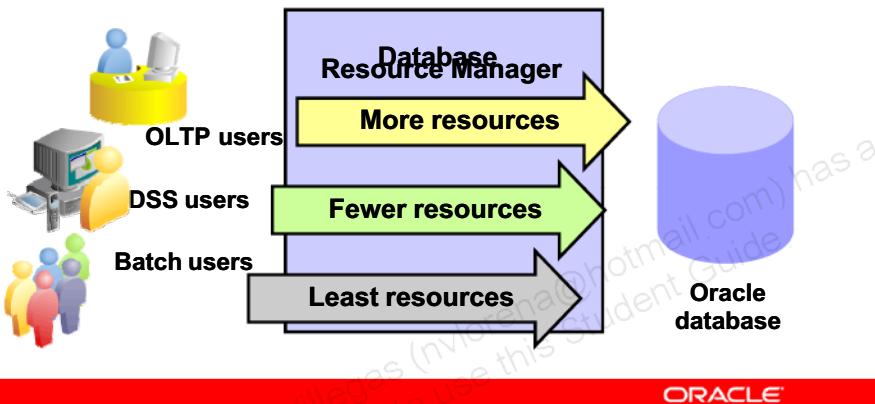
After completing this lesson, you should be able to do the following:

- Configure the Database Resource Manager
- Access and create resource plans
- Create consumer groups
- Specify directives for allocating resources to consumer groups
- Map consumer groups to plans
- Activate a resource plan
- Monitor the Resource Manager

## Database Resource Manager: Overview

Use the Resource Manager to:

- Manage mixed workload
- Control system performance



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By using the Database Resource Manager (also called the Resource Manager), you have more control over the allocation of machine resources than is normally possible through operating system resource management alone. If resource management decisions are made by the operating system, it can lead to problems such as:

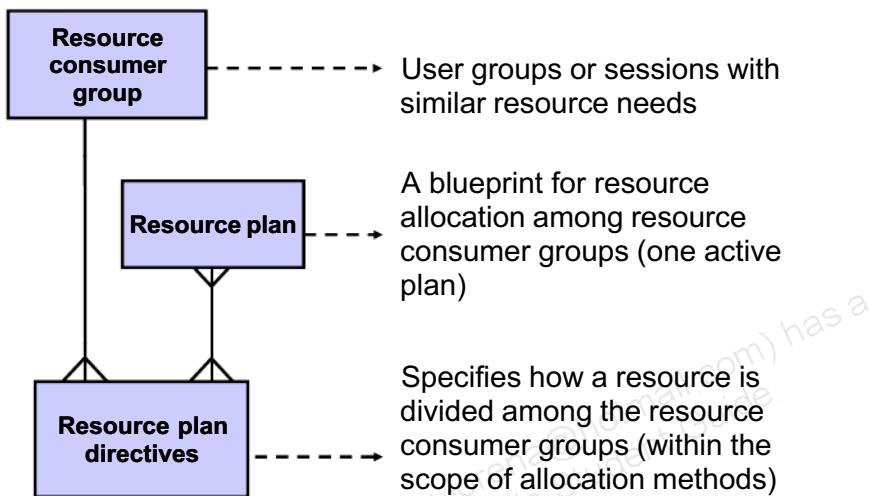
- Excessive overhead resulting from operating system context switching of Oracle Database server processes when the number of server processes is high
- Suspension of a database server process that is holding a latch
- Unequal distribution of resources among all Oracle Database processes, and an inability to prioritize one task over another
- Inability to manage database-specific resources, such as parallel execution servers and active sessions

The Database Resource Manager controls the distribution of resources among various sessions by controlling the execution schedule inside the database. By controlling which sessions run and for how long, the Database Resource Manager can ensure that resource

Distribution matches the plan directive and therefore the business objectives. With the Database Resource Manager, you can guarantee groups of users a minimum amount of processing resources regardless of the load on the system and the number of users.

The DBMS\_RESOURCE\_MANAGER\_PRIVS package contains the procedures to grant and revoke the ADMINISTER\_RESOURCE\_MANAGER system privilege, which is a prerequisite for invoking the Resource Manager.

## Database Resource Manager: Concepts



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Administering systems by using the Database Resource Manager involves the use of resource plans, resource consumer groups, and resource plan directives.

A **resource consumer group** defines a set of users or sessions that have similar requirements for using system and database resources.

A **resource plan** specifies how the resources are distributed among various resource consumer groups. The Database Resource Manager also allows for creation of plans within plans, called **subplans**.

**Resource plan directives** specify how a particular resource is shared among consumer groups or subplans. You associate resource consumer groups and subplans with a particular resource plan through plan directives.

**Resource allocation methods** determine what policy to use when allocating for any particular resource. Resource allocation methods are used by resource plans and resource consumer groups.

## Using the Resource Manager

- You can manage database and operating system resources, such as:
  - CPU usage
  - Degree of parallelism
  - Number of active sessions
  - Undo generation
  - Operation execution time
  - Idle time
  - Database consolidation
  - Server consolidation
- You can also specify criteria that, if met, cause the automatic switching of sessions to another consumer group.

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The Database Resource Manager provides several means of allocating resources:

- **CPU Method:** Enables you to specify how CPU resources are allocated among consumer groups and subplans
- **Degree of Parallelism Limit:** Enables you to control the maximum degree of parallelism for any operation within a consumer group
- **Active Session Pool with Queuing:** Allows you to limit the number of concurrent active sessions for a consumer group or subplan. If a group exceeds the maximum allowed number of sessions, new sessions are placed in a queue where they wait for an active session to complete. You can also specify a time limit on how long a session will wait before exiting with an error.
- **Undo Pool:** Enables you to control the total amount of undo that can be generated by a consumer group or subplan. Whenever the total undo space exceeds the amount specified by `UNDO_POOL`, no further `INSERT`, `UPDATE`, or `DELETE` commands are allowed until undo space is freed by another session in the same group or the undo pool is increased for the consumer group. If the consumer group's quota is exceeded during the execution of a DML statement, the operation aborts and returns an error. Queries are still allowed, even if a consumer group has exceeded its undo threshold.

- **Execution Time Limit:** Allows you to specify a maximum execution time allowed for an operation. The Oracle Database server uses cost-based optimizer statistics to estimate how long an operation will take. If it is longer than the maximum time allowed (`MAX_EST_EXEC_TIME`), the operation returns an error and is not started. If a resource consumer group has more than one plan directive with `MAX_EST_EXEC_TIME` specified, the Resource Manager chooses the most restrictive of all incoming values.
- **Idle Time Limit:** Enables you to specify an amount of time for which a session can be idle, after which it will be terminated (`MAX_IDLE_TIME`). You can further restrict the Resource Manager to terminate only those sessions that are blocking other sessions (`MAX_IDLE_TIME_BLOCKER`).
- **Consumer Group Switching:** *Specifies conditions that will cause a session to switch* consumer groups. Typically, overuse of a resource is specified and a session is switched to a more restrictive consumer group. The session remains in the switched consumer group until it becomes idle, or if directed after the top-level call is completed. Then it will return to the initial consumer group. The initial consumer group is the group that a session is assigned to at login. The top is the current PL/SQL block or each SQL statement that is issued outside a PL/SQL block by the client. You can create a plan directive, so that the Resource Manager automatically switches the user back to the initial consumer group at the end of the top call.
- **Database Consolidation:** The Resource Manager enables you to optimize resource allocation among concurrent database sessions. Database consolidation requires that applications are isolated from each other. If one application experiences an increase in workload, that increase should not affect other applications. In addition, the performance of each application should be consistent. Good candidate applications for database consolidation are automated maintenance tasks because currently, these applications can take up to 100% of the server CPU resources.
- **Server Consolidation:** Because many test, development and small production databases are unable to fully utilize the servers that they are on, *server consolidation* provides a possible alternative. With server consolidation, resources are more fully utilized by running multiple database instances on the server. The method for managing CPU allocations on a multi-CPU server with multiple database instances is called *Instance Caging*. Because Instance Caging is simple to configure and does not require any new software to be licensed or installed, it is an excellent alternative to other server consolidation tools, such as virtualization and O/S workload managers.

You can access resource plans with the graphical interface of Enterprise Manager Cloud Control or the command line of the `DBMS_RESOURCE_MANAGER` package.

## Default Plan for Maintenance Windows

The screenshot shows the Oracle Enterprise Manager interface for editing a resource plan. The plan is named 'DEFAULT\_MAINTENANCE\_PLAN'. The 'General' tab is selected. The 'Description' field contains the text: 'Default plan for maintenance windows that prioritizes SYS\_GROUP operations, leaving 5% for automated maintenance operations'. There are two checkboxes: 'Activate this plan' and 'Automatic Plan Switching Enabled'. The 'Resource Allocations' section shows the following data:

Group/Subplan	Shares	Utilization Limit %
ORASAUTOTASK	5	90
SYS_GROUP	75	
OTHER_GROUPS	20	

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The automated maintenance tasks rely on the Resource Manager being enabled during the maintenance windows. When a maintenance window opens, the `DEFAULT_MAINTENANCE_PLAN` resource manager plan is automatically set to control the amount of CPU used by automated maintenance tasks. To be able to give different priorities to each possible task during a maintenance window, various consumer groups are assigned to `DEFAULT_MAINTENANCE_PLAN`.

## Default Plan

A Resource Plan contains directives that specify how resources are allocated to Consumer Groups. For each Consumer Group, a directive specifies the amount of CPU resources are allocated. It also specifies limits, such as the maximum degree of parallelism, execution time, and amount of I/O, that each session in the Consumer Group can consume. You can enable a Resource Plan manually or automatically, using Scheduler Windows.

**Plan: DEFAULT\_PLAN**

Description: Default, basic, pre-defined plan that prioritizes SYS\_GROUP operations and allocates minimal resources for automated mail

Actions: Create Like, Go, Execute On Multiple Databases, Show SQL, Revert, Apply

Group/Subplan	Shares	Utilization Limit %
ORASAUTOTASK	1	99
RVR_GROUP	90	99
OTHER_GROUPS	9	99

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The DEFAULT\_PLAN resource plan is one of the default plans provided for you. It contains directives for the following provided consumer groups:

- SYS\_GROUP:** The initial consumer group for the SYS and SYSTEM users
- OTHER\_GROUPS:** Used for all sessions that belong to consumer groups that are not part of the active resource plan. There must be a plan directive for OTHER\_GROUPS in any active plan.
- ORA\$AUTOTASK:** A group with lower priority than SYS\_GROUP and OTHER\_GROUPS in this plan

The initial consumer group of a user is the consumer group to which any session created by that user initially belongs. If you have not set the initial consumer group for a user, the user's initial consumer group will automatically be DEFAULT\_CONSUMER\_GROUP.

The DEFAULT\_PLAN and associated resource consumer groups can be used or not used. It can be a template for new resource plans; it can be modified or deleted. Use it as appropriate for your environment.

## Creating a Simple Resource Plan

Create consumer groups and allocate resources to them by executing a single procedure call:

```
BEGIN
DBMS_RESOURCE_MANAGER.CREATE_SIMPLE_PLAN(SIMPLE_PLAN =>
'SIMPLE_RESPLAN1',
CONSUMER_GROUP1 => 'CONSGROUP1', GROUP1_PERCENT => 80,
CONSUMER_GROUP2 => 'CONSGROUP2', GROUP2_PERCENT => 20);
END;
```

ConsumerGroup	Level1	Level2	Level3
SYSGROUP	100%		
CONSGROUP1		80%	
CONSGROUP2		20%	
OTHER_GROUPS			100%

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You can create a simple resource plan by using the DBMS\_RESOURCE\_MANAGER.CREATE\_SIMPLE\_PLAN procedure. You can create consumer groups and allocate resources to them by using this single procedure.

The CREATE\_SIMPLE\_PLAN procedure accepts the following arguments:

- SIMPLE\_PLAN: Name of the plan
- CONSUMER\_GROUP1 (through CONSUMER\_GROUP8): Consumer group name(s)
- GROUP1\_PERCENT (through GROUP8\_PERCENT): CPU resource allocated to the group(s)

The plan uses the default CPU allocation policy (**EMPHASIS**). Each consumer group uses the default scheduling policy (**ROUND\_ROBIN**).

The consumer groups specified in the plan are allocated CPU percentage at level 2 as shown in the table in the slide. The plan also includes the **SYS\_GROUP** consumer group and the **OTHER\_GROUPS** consumer group. As shown in the table in the slide, **SYSGROUP** is allocated 100% of the CPU at level 1 and **OTHER\_GROUPS** is allocated 100% of the CPU at level 3.

## Creating a Complex Resource Plan

1. Create a pending area.
2. Create, modify, or delete consumer groups.
3. Map sessions to consumer groups.
4. Create the resource plan.
5. Create resource plan directives.
6. Validate the pending area.
7. Submit the pending area.

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You can perform the following steps by using the named procedures in the DBMS\_RESOURCE\_MANAGER package or by using Enterprise Manager Cloud Control.

1. Create a pending area: To create a new resource plan or update or delete an existing resource plan, you must create a pending area. The pending area is a staging area where you can create and modify plans without affecting executing applications. After you create the pending area, the Oracle Database server copies existing plans into it so they can be updated if required. Create a pending area by using the CREATE\_PENDING\_AREA procedure.
2. Create, modify, or delete consumer groups: Create a resource consumer group and specify a resource allocation method (ROUND-ROBIN or RUN-TO-COMPLETION) for distributing CPU among the sessions in the consumer group. Use the CREATE\_CONSUMER\_GROUP procedure.
3. Map sessions to consumer groups: Use the SET\_CONSUMER\_GROUP\_MAPPING procedure to map a session attribute type and attribute value to a consumer group. The session attribute types include Oracle Database username, database service name, operating system username, client program name, and module name. Refer to the *Oracle Database Administrator's Guide* for a complete list of accepted session attributes.

**Note:** Unassigned sessions are part of the OTHER\_GROUPS consumer group.

4. Create the resource plan: When you create the resource plan, you provide a name and optionally specify the resource allocation method for CPU (EMPHASIS or RATIO), active session pool resource allocation method (ACTIVE\_SESS\_POOL\_ABSOLUTE), resource allocation method for degree of parallelism (PARALLEL\_DEGREE\_LIMIT\_ABSOLUTE), and queuing resource allocation method (FIFO\_TIMEOUT). Use CREATE\_PLAN to create a resource plan. Additional information follows in this lesson.
5. Create resource plan directives: Resources are allocated to consumer groups based on the resource plan directives. Through resource plan directives, you can specify:
  - The maximum number of concurrently active sessions for a consumer group
  - A limit on the degree of parallelism for any operation
  - The time (in CPU seconds) that a call can execute before an action is taken
  - A maximum in kilobytes (K) on the total amount of undo for uncommitted transactions that can be generated by a consumer group

Use CREATE\_PLAN\_DIRECTIVE to specify resource plan directives.

6. Validate the pending area: Use the VALIDATE\_PENDING\_AREA procedure to validate the pending area at any time. The validate procedure checks for the following:
  - Plans do not contain loops
  - All plans and resource consumer groups referred to by plan directives exist
  - All plans have plan directives that point to either plans or resource consumer groups
  - All percentages in any given level do not add up to greater than 100
  - A plan that is currently being used as a top plan by an active instance is not being deleted
  - That certain parameters appear only in plan directives that refer to resource consumer groups
  - No more than 28 resource consumer groups appear in any active plan
  - Plans and resource consumer groups do not have the same name
  - A plan directive for OTHER\_GROUPS appears somewhere in any active plan
7. **Submit the pending area:** After validating the pending area, submit it by using SUBMIT\_PENDING\_AREA. When you submit the pending area, new and updated plan information is stored in the data dictionary. New plans are not activated when the pending area is submitted. Modified plans are reactivated with their new plan definition.

## Specifying Resource Plan Directives

A Resource Plan contains directives that specify how resources are allocated to Consumer Groups. For each Consumer Group, a directive specifies the amount of CPU resources are allocated. It also specifies limits, such as the maximum degree of parallelism, execution time, and amount of I/O, that each session in the Consumer Group can consume. You can enable a Resource Plan manually or automatically, using Scheduler Windows.

**Plan: DEFAULT\_MAINTENANCE\_PLAN**

Description: Default plan for maintenance windows that prioritizes SYS\_GROUP operations, leaving 5% for automated maintenance open

Activate this plan  
 Automatic Plan Switching Enabled

Group/Subplan	Shares	Utilization Limit %
ORATABAUTASK	5	90
SYS_GROUP	75	90
OTHER_GROUPS	20	90

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In Enterprise Manager Cloud Control, there are several property pages that you can use to specify plan directives:

1. On the General page, associate consumer groups with plans and specify how much CPU each consumer group or subplan is allocated.
2. On the Parallelism page, specify a limit on the degree of parallelism for any operation issued by this consumer group, a limit on the total number of parallel server processes that can be used by all sessions in this consumer group, and the maximum time a parallel statement can be queued.
3. On the Thresholds page, specify the time duration or the resource limits under which a session can execute in a consumer group.
4. On the Idle Time page, specify an amount of time that a session can be idle, after which it will be terminated. You can further restrict such termination to only those sessions that are blocking other sessions.

## Resource Allocation Methods for Resource Plans

Parameter	Possible Values
MGMT_MTH: Allocating CPU usage	EMPHASIS, RATIO
PARALLEL_DEGREE_LIMIT_MTH: Limiting degree of parallelism of any operation	PARALLEL_DEGREE_LIMIT_ABSOLUTE
ACTIVE_SESS_POOL_MTH: Limiting number of active sessions, queuing inactive ones	ACTIVE_SESS_POOL_ABSOLUTE
QUEUING_MTH: Controlling queues, how inactive sessions enter active session pool	FIFO_TIMEOUT



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Resource allocation methods determine how the Resource Manager allocates a particular resource to a resource consumer group or resource plan. You specify values for the following resource allocation methods when creating the resource plan.

There are two ways of specifying the CPU distribution with the MGMT\_MTH parameter when you create a resource plan:

- EMPHASIS is the default method for single-level plans. It is also used for multilevel plans that use percentages to specify how CPU is distributed among consumer groups.
- RATIO is for single-level plans that use ratios to specify how CPU is distributed.

PARALLEL\_DEGREE\_LIMIT\_MTH limits the maximum degree of parallelism of any operation. This method can be specified only for resource consumer groups, not subplans. The PARALLEL\_DEGREE\_LIMIT\_ABSOLUTE method is the only possible value, specifying how many processes may be assigned to an operation. If there are multiple plan directives referring to the same subplan or consumer group, the **minimum** of all the possible values is

used as the parallel degree limit for that subplan or consumer group. The ACTIVE\_SESS\_POOL\_MTH parameter limits the number of active sessions. All other sessions are inactive and wait in a queue to be activated. The only value (the only available method) for this parameter is ACTIVE\_SESS\_POOL\_ABSOLUTE, which is its default value.

QUEUING\_MTH controls the order in which queued inactive sessions execute.

FIFO\_TIMEOUT is the default and only method available.

## Comparison of EMPHASIS and RATIO

EMPHASIS	RATIO
The value specifies the maximum percentage of CPU resources a consumer group can use.	The value specifies a number that indicates the ratio of CPU resources to be allocated to the consumer group.
You can allocate resources for up to eight different levels.	You can specify values for only one level.
The sum of percentages at any given level must be less than or equal to 100.	You must use integer values, but there is no limit on the sum of values.
Default value is NULL.	Default value is NULL.



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The CPU allocation method **EMPHASIS** determines how much emphasis is given to sessions in different consumer groups in a resource plan. CPU usage is assigned levels from 1 through 8, with Level 1 having the highest priority. Percentages specify how to allocate CPU to each consumer group at each level.

The following rules apply for the **EMPHASIS** resource allocation method:

- CPU resources are distributed at a given level on the basis of the specified percentages. The percentage of CPU specified for a resource consumer group is a maximum for how much that consumer group can use at a given level.
- Consumer resources that are not used at a given level are made available to consumer groups at the next level. For example, if the consumer groups at Level 1 use only 60% of the available resources, the additional 40% is made available to consumer groups at Level 2.
- The sum of percentages at any given level must be less than or equal to 100.
- Any levels that have no plan directives explicitly specified have a default of 0% for all subplans or consumer groups.
- The **EMPHASIS** resource allocation method avoids starvation problems, where consumers with lower priorities are not given the opportunity to run.

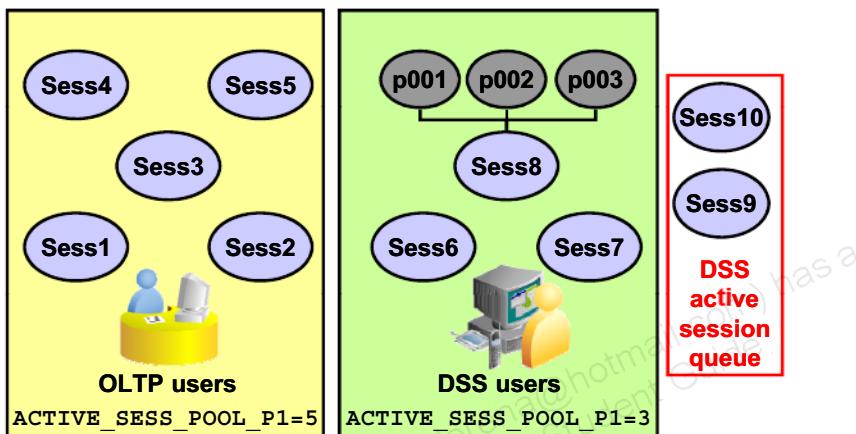
The RATIO policy is a single-level CPU allocation method. Instead of percentages, you specify numbers corresponding to the ratio of CPU you want to give to the consumer group. For example, given three consumer groups OLTP\_USERS, DSS\_USERS, and BATCH\_USERS, you can specify the following ratios:

- OLTP\_USERS : 4
- DSS\_USERS : 3
- BATCH\_USERS : 2
- OTHER : 1

This is similar to saying that OLTP users should get 40% of the resources, DSS users should get 30% of the resources, BATCH users should get 20% of the resources, and all other consumer groups should get 10% of the available resources.

If there are no consumers in the OTHER or DSS\_USERS consumer groups currently utilizing CPU resources, the OLTP\_USERS consumer group would get two-thirds (4 shares out of 6 shares) of the available resources and the BATCH\_USERS consumer group would get the other third (2 shares out of 6). If all groups had sessions running, the division would be based on 10 shares.

## Active Session Pool Mechanism



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Using the Active Session Pool feature, you can control the maximum number of concurrently active sessions per resource consumer group. With this functionality, a DBA can indirectly control the amount of resources that any resource consumer group uses because resource consumption is proportional to the number of active sessions. Using an active session pool can help to reduce the number of servers taking resources in the system, thus avoiding inefficient paging, swapping, and other resource depletion (such as memory) resulting from attempting to run too many jobs simultaneously.

After the Active Session Pool is filled with active sessions, the Resource Manager queues all subsequent sessions attempting to become active until other active sessions complete or become inactive. An active session is one currently involved in a transaction, query, or parallel operation. Individual parallel slaves are not counted as sessions; the entire parallel operation counts as one active session.

There is only one queue per resource consumer group and the queuing method is first in, first out (FIFO) with a timeout. The queue is implemented as a memory structure and cannot be queried directly.

## Specifying Thresholds

Specifying execution time limit:

- Proactive estimation of the execution time for an operation (via cost-based optimizer statistics), default: UNLIMITED
- Specifying maximum estimated execution time at the resource consumer group level
- Huge jobs will not be allowed to start if the estimate is longer than MAX\_EST\_EXEC\_TIME: (ORA-07455)

Specifying other thresholds:

- Limiting session I/O with SWITCH\_IO\_MEGABYTES (in MB)
- Limiting session I/O requests with SWITCH\_IO\_REQS

Returning to scinal consumer group with SWITCH\_FOR\_CALL (Default: FALSE, consumer group is not restored)



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You can define the maximum estimated execution time any operation can take at any given time by setting the resource plan directive's MAX\_EST\_EXEC\_TIME parameter.

- When this parameter is set, the Database Resource Manager estimates the time a specific job will take, which is calculated using the statistics from the cost-based optimizer.
- If a resource consumer group has more than one plan directive referring to it, it may have more than one MAX\_EST\_EXEC\_TIME. The Database Resource Manager then chooses the most restrictive of all incoming values.
- If the operation's estimate is more than MAX\_EST\_EXEC\_TIME, the operation does not start and the ORA-07455 error is issued. This eliminates any exceptionally large jobs that would utilize too many system resources.
- The SWITCH\_IO\_MEGABYTES directive specifies the amount of I/O (in MB) that a session can issue before an action is taken. The default is NULL, which means unlimited.
- The SWITCH\_IO\_REQS directive specifies the number of I/O requests that a session can issue before an action is taken. The default is NULL, which means unlimited.
- The SWITCH\_FOR\_CALL directive specifies that if an action is taken because of the SWITCH\_TIME, SWITCH\_IO\_MEGABYTES, or SWITCH\_IO\_REQS parameters, the consumer group is restored to its scinal consumer group at the end of the top call. Default is FALSE, which means that the scinal consumer group is not restored at the end of the top call.

## Aula Matriz S.A.S.

This functionality is mostly beneficial for three-tier applications where the middle-tier server implements session pooling. In this case, the middle tier tends to do one call for an end user and then use the same session for a call for a different end user. Therefore, the boundaries of work are really calls, and the actions of a prior end user should not affect the next end user.

**Note:** You cannot specify both the SWITCH\_TIME\_IN\_CALL and SWITCH\_TIME parameters within the same directive. The SWITCH\_TIME parameter is primarily intended for client/server applications, whereas the SWITCH\_TIME\_IN\_CALL parameter is for three-tier applications.

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## Setting Idle Timeouts

```
DBMS_RESOURCE_MANAGER.UPDATE_PLAN_DIRECTIVE  
(PLAN => 'DAY_PLAN',  
 GROUP_OR_SUBPLAN => 'APPUSER',  
 COMMENT => 'Limit Idle Time Example',  
 NEW_MAX_IDLE_TIME => 600,  
 NEW_MAX_IDLE_BLOCKER_TIME => 300);
```

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In Enterprise Manager Cloud Control, use the Idle Time tab to set the maximum idle timeouts for a resource plan. “Max Idle Time (sec)” and “Max Idle Time if Blocking Another Session (sec)” are the respective equivalents of the NEW\_MAX\_IDLE\_TIME and NEW\_MAX\_IDLE\_BLOCKER\_TIME resource directives in the DBMS\_RESOURCE\_MANAGER.UPDATE\_PLAN\_DIRECTIVE procedure. They are both specified in seconds.

NEW\_MAX\_IDLE\_TIME specifies the time that a session is neither executing nor waiting for I/O. When the session exceeds the specified limit, the PMON process forcibly kills the session and cleans up its state. In addition to limiting the maximum idle time for a session, you can also limit the amount of time that an idle session can block another session. You impose this limit by setting the NEW\_MAX\_IDLE\_BLOCKER\_TIME resource directive to the number of seconds to allow a session to be idle while blocking another session. You can also specify a value of UNLIMITED to indicate that no maximum time has been set. The default is NULL, which means unlimited. These settings give you a more granular control than profiles, whose single value cannot distinguish between blocking and nonblocking sessions.

In the slide example, the PMON process kills sessions that are idle for longer than 600 seconds. The PMON process also kills sessions that are idle for more than 300 seconds and are blocking other sessions. PMON checks these limits once every minute and if it finds a session that has exceeded one of the limits, it forcibly kills the session and cleans up all its resources.

## Limits CPU Utilization at the Database Level

- Database consolidation requirements:
  - Applications isolated from each other
  - Consistent performance
- CPU directives can be used to:
  - Specify a minimum CPU allocation for each application
  - Designate how unused allocations should be redistributed
  - Specify the `MAX_UTILIZATION_LIMIT` attribute to impose an absolute upper limit on CPU utilization (which overrides any redistribution of CPU within a plan)
  - Good candidate: Auto-maintenance tasks

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For concurrent database sessions: Database consolidation requires that applications are isolated from each other. If one application experiences an increase in workload, that increase should not affect other applications. In addition, the performance of each application should be consistent.

### Fixed Policy CPU Resource Management

The `MAX_UTILIZATION_LIMIT` attribute of resource plan directives enables you to impose an absolute upper limit on CPU utilization for a resource consumer group. This absolute limit overrides any redistribution of CPU within a plan.

**Note:** Good candidate applications for database consolidation are automated maintenance tasks because currently these applications can take up to 100% of the server CPU resources. You can set a maximum limit for each auto-task consumer group.

## Limits CPU Utilization at the Database Level

Specify minimum and maximum CPU utilization limits.

<b>DB Consolidation Plan #1</b>		
	CPU Allocation	Maximum Utilization Limit
App 1	50%	60%
App 2	20%	30%
App 3	20%	30%
App 4	10%	20%

Specify maximum CPU utilization limits only.

<b>DB Consolidation Plan #2</b>		
	CPU Allocation	Maximum Utilization Limit
App 1	null	50%
App 2	null	20%
App 3	null	20%
App 4	null	10%

```
EXEC DBMS_RESOURCE_MANAGER.CREATE_PLAN_DIRECTIVE( -  
    plan      =          > 'db consolidation plan'  
    group_or_subplan     => 'App_1',  
    mgmt_p1            => 50,  
    max_utilization_limit => 60);
```



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The MAX\_UTILIZATION\_LIMIT directive limits the CPU consumption of an application. You can set minimum and maximum boundaries, as shown in the slide.

The PL/SQL example in the slide specifies a minimum value percentage (50%) for the CPU allocation resource at level 1 for the APP\_1 consumer group. This example also specifies an absolute maximum CPU utilization percentage (60%) permitted for that same consumer group. The example uses the DB\_CONsolidation\_PLAN plan.

Similar commands can be executed for each consumer group shown in the sample tables.

## Limiting CPU Utilization at the Server Level: Instance Caging

- Managing CPU allocations on a multi-CPU server with multiple database instances
- Enabling instance caging:
  - Enable any CPU resource plan.

```
ALTER SYSTEM SET resource_manager_plan = 'default_plan';
```

- Specify the maximum number of CPUs that the instance can use at any time.

```
ALTER SYSTEM SET cpu_count=4;
```

- Two approaches:
  - Over-provisioning: The sum of the CPU limit for each instance exceeds the actual number of CPUs.
  - Partitioning: The sum of the CPU limit for each instance equals the actual number of CPUs.

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Because many test, development, and small production databases are unable to fully utilize the servers that they are on, *server consolidation* provides a possible alternative. With server consolidation, resources are more fully utilized by running multiple database instances on the server. However, this may bring about CPU contention and an adverse impact due to workload surges on one instance.

Instance caging is a method that uses the `CPU_COUNT` initialization parameter to limit the number of CPUs that an instance can use. In addition, the Resource Manager is employed to allocate the CPUs for the database sessions based on the instance resource plan.

Configure instance caging in two steps, by enabling:

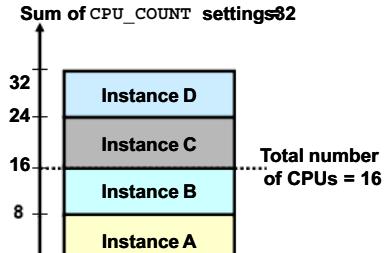
- The Resource Manager, which limits the amount of CPU that the database instance consumes
- The `CPU_COUNT` parameter, which specifies the maximum (the limit), not actual amount of CPU that the database instance can use at any time

By default, the CPU Resource Manager assumes that the database instance can use all CPUs on a server. To enable instance caging, any resource plan with CPU directives can be used.

## Instance Caging Examples

### Over-provisioning approach

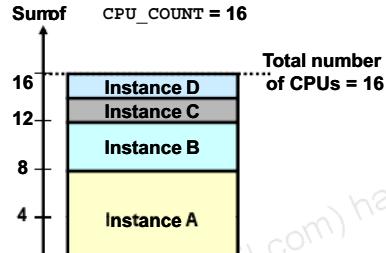
**One database instance can still impact the others.**



**With all four instances active, one instance can get  $8 / (8 + 8 + 8 + 8) = 25\%$  of CPU.**

### Partitioning approach

**One database instance cannot impact the others.**



**Each instance has a dedicated number of CPUs.**

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**Over-provisioning approach:** This approach is appropriate for noncritical databases and low-load, noncritical production systems. Although the instances impact each other's performance, at any given time, one or more of the instances may be idle or experiencing a low load.

Although the database instances can impact each other's performance, instance caging limits their impact and helps to provide predictable performance. In the example on the left, where all four instances have `CPU_COUNT` set to 8, the maximum percentage of CPU that a database instance can consume at any point in time is its own limit divided by the sum of the limits for all active databases. In this example, one instance will be able to consume  $8 / (8 + 8 + 8 + 8) = 25\%$  of the CPU. If only two instances are active, one instance will be able to consume  $8 / (8 + 8) = 50\%$  of the CPU.

**Partitioning approach:** This approach is appropriate for critical product systems. It prevents the instances from interfering with each other and provides predictable performance.

Instance caging can partition the CPU resources by ensuring that the sum of all CPU limits does not exceed the total number of CPUs. In the example on the right, if four database instances share a 16-CPU server, their limits can be set to 8, 4, 2, and 2. By dedicating CPU resources to a database instance, partitioning provides two advantages:

- One database instance's CPU load cannot affect another's.
- Each database instance's CPU resources is fixed, leading to more predictable performance.

## Monitoring Instance Caging

View value of the CPU\_COUNT parameter:

```
SELECT value FROM v$parameter WHERE name = 'cpu_count'
  AND (isdefault = 'FALSE' OR ismodified != 'FALSE');
```

Determine the Resource Manager status:

```
SELECT name FROM v$rsrcc_plan
WHERE is_top_plan = 'TRUE' AND cpu_managed = 'ON';
```

Manage throttling:

```
SELECT begin_time, consumer_group_name,
       cpu_consumed_time, cpu_wait_time
  FROM v$rsrcmgrpmetric_history
 ORDER BY begin_time;
```

```
SELECT name, consumed_cpu_time, cpu_wait_time
  FROM v$rsrcc_consumer_group;
```

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- If the CPU\_COUNT parameter is not set, no value is returned by the first query.
- If no rows are returned by the second query in the slide, the Resource Manager is not managing the CPU. If a row is returned, it indicates the active plan.

Instance caging limits the CPU consumption of the foreground processes by throttling them. A foreground process is throttled when it is waiting on the “resmgr:cpu quantum” wait event.

You can monitor the amount of throttling in two ways:

- The V\$RSRCMGRMETRIC\_HISTORY view shows the amount of CPU consumption (CPU\_CONSUMED\_TIME) and throttling (CPU\_WAIT\_TIME) for each minute in the past hour. Values are displayed in milliseconds.
- The V\$RSRCC\_CONSUMER\_GROUP view shows the amount of CPU consumption (CPU\_CONSUMED\_TIME) and throttling (CPU\_WAIT\_TIME) since CPU Resource Management was enabled. The time is displayed in milliseconds.

**Note:** For case studies, see the Oracle White Paper titled *Database Instance Caging: A Simple Approach to Server Consolidation*.

## Runaway Queries and Resource Manager

- Parameters used to trigger consumer group switching:
  - SWITCH\_IO\_LOGICAL
  - SWITCH\_ELAPSED\_TIME
- Meta consumer group called LOG\_ONLY
- Columns in V\$SQL\_MONITOR:
  - RM\_LAST\_ACTION
  - RM\_LAST\_ACTION\_REASON
  - RM\_LAST\_ACTION\_TIME
  - RM\_CONSUMER\_GROUP
- V\$RSRCMGRMETRIC and V\$RSRCMGRMETRIC\_HISTORY always populated

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To better track runaway queries, Resource Manager included the following:

- Directive parameters:
  - SWITCH\_IO\_LOGICAL: Number of logical I/Os that will trigger the action specified by SWITCH\_GROUP
  - SWITCH\_ELAPSED\_TIME: Elapsed time that will trigger the action specified by SWITCH\_GROUP
- LOG\_ONLY meta-consumer group: This can be used as the argument for the SWITCH\_GROUP parameter to log the runaway query without changing its consumer group or taking other action.
- Resource Manager integrates the runaway query information with SQL Monitor. To retain important results for Resource Manager, it pins up to five SQL statements per consumer group. SQL Monitor does not purge these SQL executions until they are unpinned. V\$SQL\_MONITOR columns:
  - RM\_LAST\_ACTION: The most recent action that was taken on this SQL operation by Resource Manager. Its value is one of the following: CANCEL\_SQL, KILL\_SESSION, LOG\_ONLY, SWITCH TO <CG NAME>

- RM\_LAST\_ACTION\_REASON: The reason for the most recent action that was taken on this SQL operation by Resource Manager. Its value is one of the following:  
SWITCH\_CPU\_TIME, SWITCH\_IO\_REQS, SWITCH\_IO\_MBS,  
SWITCH\_ELAPSED\_TIME, SWITCH\_IO\_LOGICAL
- RM\_LAST\_ACTION\_TIME: The time of the most recent action that was taken on this SQL operation by Resource Manager
- RM\_CONSUMER\_GROUP: The current consumer group for this SQL operation

**Note:** V\$RSRCMGRMETRIC and V\$RSRCMGRMETRIC\_HISTORY will always produce a row every minute regardless of whether there is a Resource Manager plan set.

## Resource Consumer Group Mapping

**Consumer Group Mappings**

General Priorities

Create rules to enable the resource manager to automatically assign sessions to consumer groups

Add Rule for Selected Type

Select	Priority ▲ View	Value	Consumer Group
<input checked="" type="radio"/>	1 Service Module and Action	No Mappings Specified	
<input type="radio"/>	2 Service and Module	No Mappings Specified	
<input type="radio"/>	3 Module and Action	No Mappings Specified	
<input type="radio"/>	4 Module	No Mappings Specified	
<input type="radio"/>	5 Service	No Mappings Specified	
<input type="radio"/>	6 Oracle User	SYS, SYSTEM	SYS GROUP
<input type="radio"/>	7 Client Program	No Mappings Specified	
<input type="radio"/>	8 Client OS User	No Mappings Specified	
<input type="radio"/>	9 Client Machine	No Mappings Specified	
<input type="radio"/>	10 Client ID	No Mappings Specified	

Reorder the list of mappings to specify priority ordering of the attributes

Attribute Mappings

- Service Module and Action
- Service and Module
- Module and Action
- Module
- Service
- Oracle User
- Client Program
- Client OS User
- Client Machine
- Client ID

Execute On Multiple Data

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You can configure the Database Resource Manager to automatically assign consumer groups to sessions by providing mappings between session attributes and consumer groups. Further, you can prioritize the mappings so as to indicate which mapping has precedence in case of conflicts. There are two types of session attributes: login attributes and runtime attributes. The login attributes are meaningful only at session login time, when the Database Resource Manager determines the initial consumer group of the session. In contrast, a session that has already logged in can later be reassigned to another consumer group on the basis of its runtime attributes.

Select Consumer Group Mappings on the Getting Started with Database Resource Manager page of Enterprise Manager Cloud Control. For each of the attributes, set up a mapping that consists of a way to identify a session (for example, username), and a consumer group. Add or remove rows for each of the resource consumer group categories, as required, and enter text identifying the user, client, module, or service in the corresponding group. You can establish a priority ordering between conflicting mappings of the attributes by using the

Priorities tab. You can set the priority from the most important to the least important by using the navigational arrows. The mappings at the top of the list have the highest priority.

Example to give the Client OS User a higher priority than the Client Program:

```
BEGIN
  dbms_resource_manager.clear_pending_area();
  dbms_resource_manager.create_pending_area();
  dbms_resource_manager.set_consumer_group_mapping(
    dbms_resource_manager.oracle_user,
    'SCOTT',
    'LOW_GROUP'
  );
  dbms_resource_manager.set_consumer_group_mapping_pri(
    EXPLICIT => 1, SERVICE_MODULE_ACTION => 2,
    SERVICE_MODULE => 3,
    MODULE_NAME_ACTION => 4,
    MODULE_NAME => 5,
    SERVICE_NAME => 6,
    ORACLE_USER => 7,
    CLIENT_OS_USER => 8,
    CLIENT_PROGRAM => 9,
    CLIENT_MACHINE => 10
  );
  dbms_resource_manager.submit_pending_area();
END;
```

## Activating a Resource Plan

The screenshot shows the Oracle Enterprise Manager Cloud Control interface. It displays two tables of resource plans. In the first table, the 'APPQOS\_PLAN' is selected. A red box highlights the 'Actions' dropdown menu, and another red box highlights the 'Activate' option within it. In the second table, the 'APPQOS\_PLAN' is listed with the status 'ACTIVE' highlighted by a red box. Below the tables, a SQL command is shown:

```
SQL> show parameter resource_manager_plan
```

NAME	TYPE	VALUE
resource_manager_plan	string	DEFAULT_PLAN

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The plan for an instance is defined using the RESOURCE\_MANAGER\_PLAN database initialization parameter. This parameter specifies the top plan to be used for this instance. If no plan is specified, the Resource Manager is not activated for the instance.

You can activate, deactivate, or change the current top plan by using an ALTER SYSTEM statement. When a resource plan is changed using this command, the change takes effect instantly.

If the parameter is set in a parameter file, and the plan specified is not defined in the database, the database cannot be opened with that parameter file. The following error is returned:

```
ORA-07452: specified resource manager plan does not exist in the
data dictionary
```

If this error is encountered, the parameter must be modified to show a correct value before the instance can be restarted.

You can use the Resource Plans page of Enterprise Manager Cloud Control to manage resource plans. To activate a plan, select the plan you want to make active, choose Activate from the Actions drop-down list, and then click Go. The plan you selected is then made the current top plan for the instance.

## Database Resource Manager Information

ViewName	Information
DBA_RSRC_PLANS	Plans and status
DBA_RSRC_PLAN_DIRECTIVES	Plan directives
DBA_RSRC_CONSUMER_GROUPS	Consumer groups
DBA_RSRC_CONSUMER_GROUP_PRIVS	Users/roles
DBA_RSRC_GROUP_MAPPINGS	Consumer group mapping
DBA_RSRC_MAPPING_PRIORITY	Mapping priority
DBA_USERS	Column INITIAL_RSRC_CONSUMER_GROUP
DBA_RSRC_MANAGER_SYSTEM_PRIVS	Users/roles

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Several data dictionary views are available to check the resource plans, consumer groups, and plan directives that are declared in the instance. This section discusses some useful information that can be obtained from these views. For more detailed information about the contents of each of these views, refer to the *Oracle Database Reference* manual.

Use the following query to obtain information about resource plans defined in the database:

```
SQL> SELECT plan, num_plan_directives, status, mandatory
  2  FROM dba_rsrc_plans;
    PLAN          NUM_PLAN_DIRECTIVES  STATUS      MAN
    -----          -----          -----
    DEFAULT_PLAN           3  ACTIVE      NO
    INTERNAL QUIESCE       2  ACTIVE      YES
    INTERNAL_PLAN          1  ACTIVE      YES
    BUGDB_PLAN             4  ACTIVE      NO
    MAILDB_PLAN            3  ACTIVE      NO
    MYDB_PLAN              3  ACTIVE      NO
```

A status of ACTIVE indicates that the plan has been submitted and can be used, whereas, a status of PENDING shows that the plan has been created, but is still in the pending area.

If the MANDATORY column is assigned a value of YES, the plan cannot be deleted.

## Viewing Resource Manager Statistics



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You can access Resource Manager statistics by selecting Performance Statistics on the Getting Started with Database Resource Manager page in Enterprise Manager Cloud Control. Information is provided so that you can monitor the currently enabled resource plan.

## Monitoring the Resource Manager

- V\$SESSION: Contains the RESOURCE\_CONSUMER\_GROUP column that shows the current group for a session
- V\$RSRC\_PLAN: A view that shows the active resource plan
- V\$RSRC\_CONSUMER\_GROUP: A view that contains statistics for all active groups

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### CPU Utilization

There are at least three different views in the system that can provide you with information about the CPU utilization inside the Oracle database:

- V\$RSRC\_CONSUMER\_GROUP shows CPU utilization statistics on a per consumer group basis, if you are running the Oracle Database Resource Manager. This view displays data related to currently active resource consumer groups.
- V\$SYSSSTAT shows the Oracle database CPU usage for all sessions. The statistic “CPU used by this session” shows the aggregate CPU used by all sessions.
- V\$SESSTAT shows the Oracle database CPU usage per session. You can use this view to determine which particular session is using the most CPU.

The V\$RSRC\_CONSUMER\_GROUP view contains the following columns:

- **NAME:** Name of the consumer group
- **ACTIVE\_SESSIONS:** Number of currently active sessions in this consumer group
- **EXECUTION\_WAITERS:** Number of active sessions waiting for a time slice
- **REQUESTS:** Cumulative number of requests executed in this consumer group
- **CPU\_WAIT\_TIME:** Cumulative amount of time that sessions waited for CPU
- **CONSUMED\_CPU\_TIME:** Cumulative amount of CPU time consumed by all sessions

There is no view that shows the Active Session Pool queue directly, but you can get some information from:

- **V\$SESSION**: The CURRENT\_QUEUE\_DURATION column shows how long a session has been queued, or 0 (zero) if the session is not currently queued.
- **V\$RSRC\_CONSUMER\_GROUP**: The QUEUE\_LENGTH column shows the number of sessions currently queued per consumer group.

## Quiz

Select the statements that are true about the Resource Manager and its functionality:

- a. You can set threshold values only for execution time, not for session I/O.
- b. You can limit CPU utilization at the database level to isolate applications from each other.
- c. On a multi-CPU server with multiple database instances, you can limit each server's CPU utilization by enabling instance caging.
- d. When the SWITCH\_TIME, SWITCH\_IO\_MEGABYTES, or SWITCH\_IO\_REQS parameters cause a switch in consumer groups, you can never return to the previous consumer groups.

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**Answer: b, c**

## Summary

In this lesson, you should have learned how to do the following:

- Configure the Database Resource Manager
- Access and create resource plans
- Create consumer groups
- Specify directives for allocating resources to consumer groups
- Map consumer groups to plans
- Activate a resource plan
- Monitor the Resource Manager



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## Practice A

### A-1: Managing Resources

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## Using Oracle® Scheduler Administration

B

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## Objectives

After completing this lesson, you should be able to:

- Simplify management tasks by using Oracle Scheduler
- Create a job, program, and schedule
- Monitor job execution
- Use a time-based or event-based schedule for executing Oracle Scheduler jobs
- Describe the use of windows, window groups, job classes, and consumer groups
- Use email notification
- Use job chains to perform a series of related tasks
- Describe Scheduler jobs on remote systems
- Use advanced Scheduler features to prioritize jobs

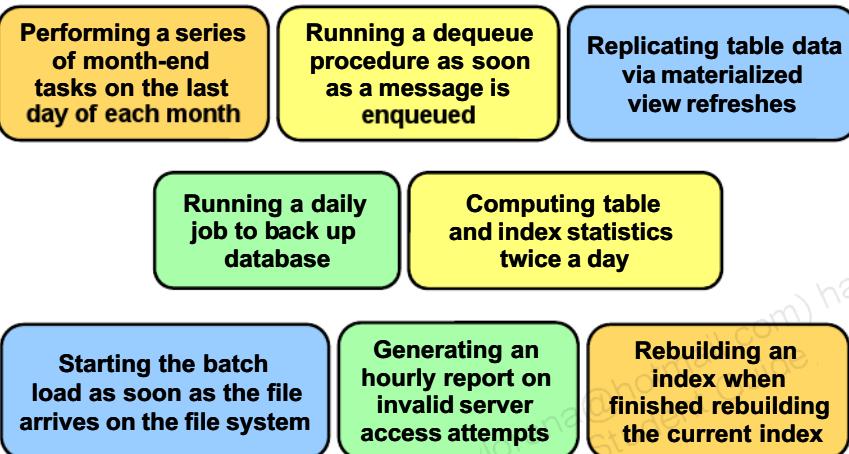


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For information about the various Scheduler components and their interaction, see the *Oracle Database Administrator's Guide*.

For detailed information about the `DBMS_SCHEDULER` package, see the *Oracle Database PL/SQL Packages and Types Reference*.

## Simplifying Management Tasks



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Many tasks in the Oracle environment need job-scheduling capabilities. Routine database maintenance and application logic require jobs to be scheduled and run periodically. Business-to-business (B2B) applications require scheduling for their business events. DBAs need to schedule regular maintenance jobs in specified time windows.

Oracle Database provides advanced scheduling capabilities through the Oracle Scheduler, which is a collection of functions and procedures in the DBMS\_SCHEDULER package. The Scheduler can be invoked in any SQL environment, or through Enterprise Manager Cloud Control.

The Scheduler enables database administrators and application developers to control when and where various tasks are to be performed in the environment. These tasks can be simple or complex; using the Scheduler, you can manage and plan these tasks.

Scheduler jobs can be started based on time or when a specified event occurs, and the Scheduler can raise events when a job's state changes (for example, from RUNNING to COMPLETE). You can also use a named series of programs that are linked together for a combined objective.

## Understanding a Simple Job

```
BEGIN
  sys.dbms_scheduler.create_job(
    job_name => '"HR"."CREATE_LOG_TABLE_JOB"',
    job_type => 'PLSQL_BLOCK', job_action => 'begin
      execute immediate (''create table session_history (
        snap_time timestamp with local time zone,
        num_sessions number'')'''
    start_date => systimestamp at time zone
      'America/New_York',
    job_class => 'DEFAULT_JOB_CLASS',
    comments => 'Create the SESSION_HISTORY table',
    auto_drop => FALSE, enabled => TRUE);
END;
```

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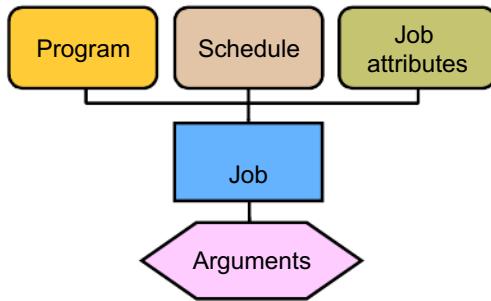
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A job has two key components:

- **Action:** "What" needs to be done
- **Schedule:** "When" the action occurs

In the example in the slide, the "what" is enclosed in the top box and the "when" portion is shown in the second box. The "what" is expressed in the JOB\_TYPE and JOB\_ACTION parameters. The "when" is expressed in a "schedule," which can be based on time (as shown by the START\_DATE parameter) or events, or be dependent on the outcome of other jobs.

## Oracle Scheduler Core Components



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The Scheduler offers a modularized approach for managing tasks within the Oracle database. By breaking down a task into its components, such as time, location, and database object, the Scheduler offers you an easier way to manage your database environment. The Scheduler uses the following basic components:

- A **job** specifies what needs to be executed. It could be as an example a PL/SQL procedure, a native binary executable, a Java application, or a shell script. You can specify the program (what) and schedule (when) as part of the job definition, or you can use an existing program or schedule instead. You can use arguments for a job to customize its runtime behavior.
- A **schedule** specifies when and how many times a job is executed. A schedule can be based on time or an event. You can define a schedule for a job by using a series of dates, an event, or a combination of the two, along with additional specifications to denote repeating intervals. You can store the schedule for a job separately and then use the same schedule for multiple jobs.
- A **program** is a collection of metadata about a particular executable, script, or procedure. An automated job executes some task. Using a program enables you to modify the job task, or the “what,” without modifying the job itself. You can define arguments for a program, enabling users to modify the runtime behavior of the task.

## Using Oracle Scheduler

To simplify management tasks with the Scheduler:

1. Create a program (enabled or disabled)—optional
  - To reuse this action within multiple jobs
  - To change the schedule for a job without having to re-create the PL/SQL block
2. Create and use a schedule.
3. Create and submit a job.



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You can perform all steps either by using Enterprise Manager Cloud Control or by using the DBMS\_SCHEDULER PL/SQL package.

### 1. Creating a Program

Use the CREATE\_PROGRAM procedure to create a program. Creating a program is an optional part of using the Scheduler. You can also encode the action to be performed within an anonymous PL/SQL block in the CREATE\_JOB procedure. By creating the program separately, you can define the action once, and then reuse this action within multiple jobs. This enables you to change the schedule for a job without having to re-create the PL/SQL block.

A program is created in a disabled state by default (unless the ENABLED parameter is set to TRUE). A disabled program cannot be executed by a job until it is enabled. You can specify that a program should be created in the enabled state by specifying a value of TRUE for ENABLED.

### 2. Creating and Using Schedules

The schedule for a job can be a predefined schedule (created with the CREATE\_SCHEDULE procedure) or defined as part of the job creation.

The schedule specifies attributes about when the job is run, such as:

- A start time, which defines when the job is picked for execution and an end time, which specifies the time after which the job is no longer valid and is not scheduled any more
- **An expression specifying a repeating interval for the job**
- A complex schedule created by combining existing schedules
- A condition or change in state, called an event, that must be met before the job is started

By using a schedule (instead of specifying the execution times for a job within the job definition), you can manage the scheduled execution of multiple jobs without having to update multiple job definitions. If a schedule is modified, each job that uses that schedule automatically uses the new schedule.

### **3. Creating and Running a Job**

A job is a combination of a schedule and a description of what to do, along with any additional arguments that are required by the job. There are many attributes that you can set for a job. Attributes control how the job executes.

## Quiz

Select the statements that are true about the Scheduler:

- a. Creating a program is a mandatory part of using the Scheduler.
- b. When the job action is in a program (rather than directly in the job), you can change the job schedule without having to re-create the PL/SQL block.
- c. Creating a job is an optional part of using the Scheduler.
- d. Each job must have a schedule. It can be a predefined one or defined as part of the job creation.

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**Answer: b, d**

## Persistent Lightweight Jobs

Persistent lightweight jobs:

- Reduce the overhead and time required to start a job
- Have a small footprint on disk for the job metadata and for storing runtime data
- Are created from a job template (in the command line)

```
BEGIN
DBMS_SCHEDULER.CREATE_JOB (
    job_name          => 'my_lightweight_job2',
    program_name      => 'MY_PROG',
    schedule_name     => 'MY_SCHED',
    job_style         => 'LIGHTWEIGHT');
END;
/
```

Choosing the right job:

- Use regular jobs for maximum flexibility.
- Use persistent lightweight jobs when you need to create a large number of jobs in a very short time.

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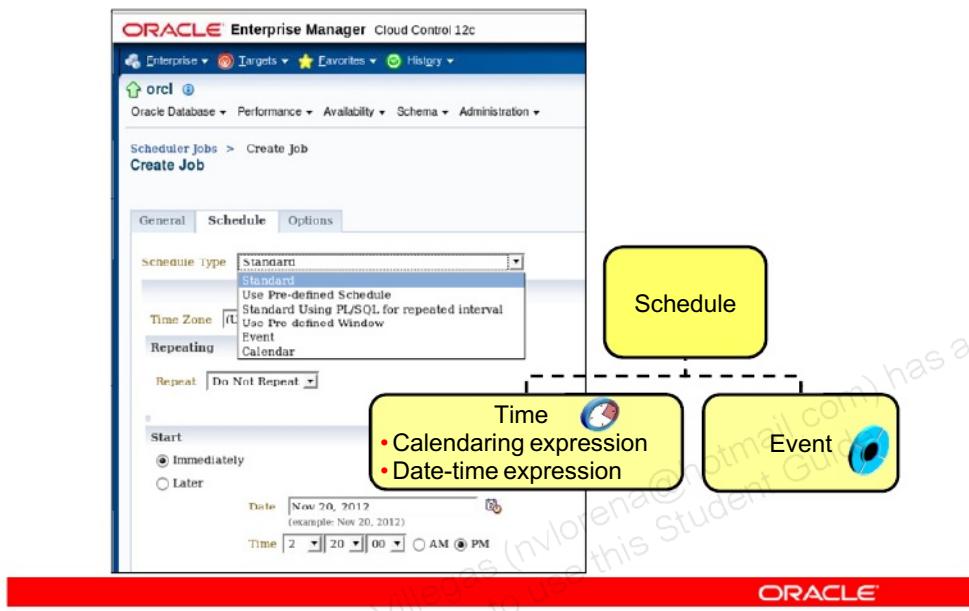
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A lightweight job:

- Is for customers who need to create hundreds of jobs a second. With regular jobs, each job creates a database object describing the job, modifying several tables, and creating redo in the process. The overhead associated with this type of job need is substantial. In the Oracle Database Scheduler, there is a *persistent lightweight job*. The goal of a lightweight job is to reduce the overhead and time required to start a job. A minimal amount of metadata is created for the job. This reduces the time required and redo created when the job starts.
- Has a small footprint on disk for the job metadata and for storing runtime data. The small footprint on disk also makes load-balancing possible in RAC environments.
- Is always created from a job template. The job template must be a stored procedure or a program. The stored procedure holds all the information needed for the job, including privileges. A few job attributes can be specified: job arguments and schedule.
- Must be created in command line. The `JOB_STYLE` argument is not available in Enterprise Manager Cloud Control.

In the example, `MY_PROG` is the job template and the schedule is applied from a named schedule.

## Using a Time-Based or Event-Based Schedule



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To specify a time-based schedule for a job, you can specify either a calendaring expression or a date-time expression. When using a calendaring expression, the next start time for a job is calculated using the repeat interval and the start date of the job. When using date-time expressions, the specified expression determines the next time that the job should run. If no repeat interval is specified, the job runs only once on the specified start date.

If a job uses an event-based schedule, the job runs when the event is raised. At a high level, an event can be viewed as a change in state. An event occurs when a Boolean condition changes its state from FALSE to TRUE, or TRUE to FALSE.

The Scheduler uses Oracle Streams Advanced Queuing (AQ) to raise and consume events.

**Note:** The Scheduler does not guarantee that a job executes on the exact time because the system may be overloaded and thus resources may be unavailable.

## Creating a Time-Based Job

Example: Create a job that calls a backup script every night at 11:00, starting tonight.

```
BEGIN
  DBMS_SCHEDULER.CREATE_JOB(
    job_name=>'HR.DO_BACKUP',
    job_type => 'EXECUTABLE',
    job_action =>
'/home/usr/dba/rman/nightly_incr.sh',
    start_date=> SYSDATE,
    repeat_interval=>'FREQ=DAILY;BYHOUR=23',
        /* next night at 11:00 PM */
    comments => 'Nightly incremental backups');
END;
/
```



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Use the `CREATE_JOB` procedure of the `DBMS_SCHEDULER` package to create a job. Jobs are created disabled by default and they become active and scheduled only when they are explicitly enabled. All job names are of the form: [SCHEMA.] NAME.

You should use `SYSTIMESTAMP` and specify a time zone so that when the time changes because of daylight saving time, your job adjusts its execution time automatically.

By default, a job is created in the current schema. You can create a job in another schema by specifying the name of the schema, as shown in the example in the slide. The job owner is the user in whose schema the job is created, whereas the job creator is the user who created the job. Jobs are executed with the privileges of the job owner. The national language support (NLS) environment of the job runs the same as the presentation job was created. The `JOB_TYPE` parameter indicates the type of task to be performed by the job. The possible values are:

- **PLSQL\_BLOCK:** An anonymous PL/SQL block
- **STORED PROCEDURE:** A named PL/SQL, Java, or external procedure
- **EXECUTABLE:** A command that can be executed from the operating system (OS) command line

The `JOB_ACTION` parameter can be the name of the procedure to run, the name of a script or operating system command, or an anonymous PL/SQL code block, depending on the value of the `JOB_TYPE` parameter.

In the example in the slide, `JOB_TYPE` is specified as `EXECUTABLE` and `JOB_ACTION` is the full OS-dependent path of the desired external executable plus optionally any command-line arguments.

An external job is a job that runs outside the database. All external jobs run as a low-privileged guest user, as has been determined by the database administrator while configuring external job support. Because the executable is run as a low-privileged guest account, you should verify that it has access to necessary files and resources. Most, but not all, platforms support external jobs. For platforms that do not support external jobs, creating or setting the attribute of a job or a program to type `EXECUTABLE` returns an error.

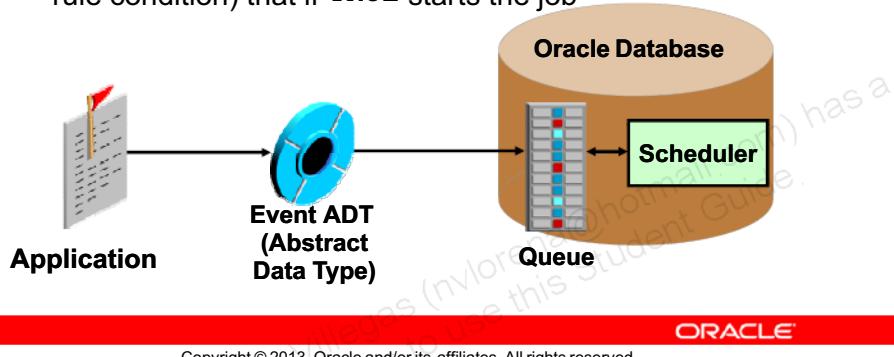
Refer to your Oracle database platform-specific documentation for more information about configuring the environment to run external programs with the Scheduler.

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## Creating an Event-Based Schedule

To create an event-based job, you must set:

- A queue specification (where your application enqueues messages to start a job)
- An event condition (same syntax as an Oracle Streams AQ rule condition) that if TRUE starts the job



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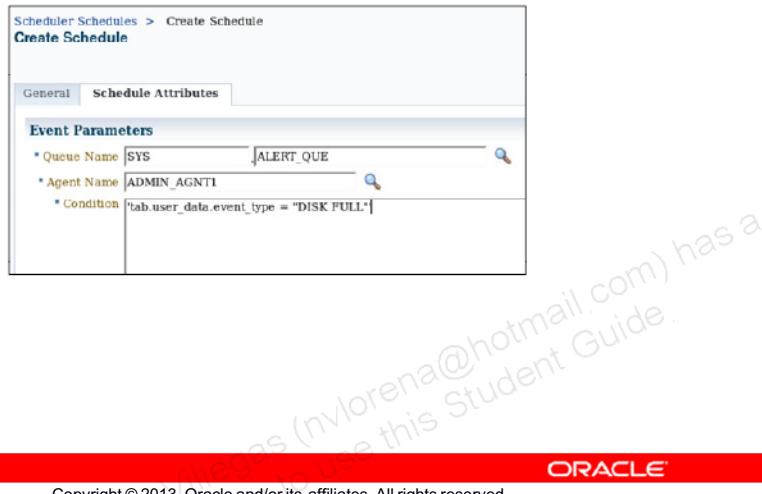
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Jobs can be triggered based on events. An application can notify the Scheduler to start a job by enqueueing a message onto an Oracle Streams queue. A job started in this way is referred to as an event-based job. To create an event-based job, you must set the following two additional attributes with the CREATE\_JOB procedure:

- **QUEUE\_SPEC:** A queue specification that includes the name of the queue where your application enqueues messages to raise job start events, or in the case of a secure queue, the `<queue_name>`, `<agent_name>` pair
- **EVENT\_CONDITION:** A conditional expression based on message properties that must evaluate to TRUE for the message to start the job. You can include user data properties in the expression, provided that the message payload is a user defined object type and that you prefix object attributes in the expression with `TAB.USER_DATA`.

You can either specify QUEUE\_SPEC and EVENT\_CONDITION as inline job attributes, or create an event-based schedule with these attributes and then create a job that references this schedule.

## Creating Event-Based Schedules by Using Enterprise Manager Cloud Control



The Create Schedule page enables you to choose between a standard, time-based schedule and an event-based schedule. If you choose an event-based schedule, you can specify the queue name, agent name, and event condition, in addition to the other schedule attributes.

**Note:** The Scheduler runs the event-based job for each occurrence of an event that matches EVENT\_CONDITION. However, events that occur while the job is already running are ignored; the event gets consumed, but does not trigger another run of the job.

### References

- See the *Oracle Streams Advanced Queuing User's Guide and Reference* for information about how to create queues and enqueue messages.
- For more information about Oracle Streams AQ rules and event conditions, see the DBMS\_AQADM.ADD\_SUBSCRIBER procedure in *Oracle Database PL/SQL Packages and Types Reference*.

## Creating an Event-Based Job

Example: Create a job that runs if a batch load data file arrives on the file system before 9:00 AM.

```
BEGIN
  DBMS_SCHEDULER.CREATE_JOB(
    job_name=>'ADMIN.PERFORM_DATA_LOAD',
    job_type => 'EXECUTABLE',
    job_action => '/loaddir/start_my_load.sh',
    start_date => SYSTIMESTAMP,
    event_condition => 'tab.user_data.object_owner =
      ''HR'' and tab.user_data.object_name = ''DATA.TXT''
      and tab.user_data.event_type = ''FILE ARRIVAL''
      and tab.user_data.event_timestamp < 9 ',
    queue_spec => 'HR.LOAD_JOB_EVENT_Q');
END;
```



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To specify event information as job attributes, you use an alternate syntax of CREATE\_JOB that includes the QUEUE\_SPEC and EVENT\_CONDITION attributes. The job can include event information inline as job attributes or can specify event information by pointing to an event schedule. The example shown in the slide uses an inline, event-based schedule.

The example in the slide shows a job that is started when a file arrives on the operating system, as long as the file arrives before 9:00 AM. Assume that the message payload is an object with four attributes named OBJECT\_OWNER, OBJECT\_NAME, EVENT\_TYPE, and EVENT\_TIMESTAMP.

The example uses a user-defined event. Therefore, before this job can be started, when the file arrives on the file system, a program or procedure must enqueue the event to the queue with the proper information into the specified event queue. The HR.LOAD\_JOB\_EVENT\_Q queue must be of the same type as the event object type used for notifying the Scheduler of an event occurrence. That is, the HR.LOAD\_JOB\_EVENT\_Q queue must be a typed queue where the type has four attributes named OBJECT\_OWNER, OBJECT\_NAME, Event\_type, and EVENT\_TIMESTAMP.

For more information about how to create queues and enqueue messages, refer to the *Oracle Streams Advanced Queuing User's Guide and Reference* documentation.

## Event-Based Scheduling

### Event types:

- User-generated or application-generated events
- Scheduler-generated events

### Events raised by Scheduler jobs:

- JOB\_STARTED
- JOB\_SUCCEEDED
- JOB\_FAILED
- JOB\_BROKEN
- JOB\_COMPLETED
- JOB\_STOPPED
- JOB\_SCH\_LIM\_REACHED
- JOB\_DISABLED
- JOB\_CHAIN\_STALLED
- JOB\_ALL\_EVENTS
- JOB\_RUN\_COMPLETED
- JOB\_OVER\_MAX\_DUR

Example of raising an event:

```
DBMS_SCHEDULER.SET_ATTRIBUTE('hr.do_backup',
  'raise_events', DBMS_SCHEDULER.JOB_FAILED);
```

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You can create a job that directly references an event as the means to start the job, instead of assigning a schedule to the job. There are two types of events:

- **User-generated or application-generated events:** An application can raise an event to be consumed by the Scheduler. The Scheduler reacts to the event by starting a job. An example of such events: a running job completes; a file arrives on the file system; an account within the database is locked; and the inventory reaches a low threshold.
- **Scheduler-generated events:** The Scheduler can raise an event to indicate state changes that occur within the Scheduler itself. For example, the Scheduler can raise an event when a job starts, when a job completes, when a job exceeds its allotted run time, and so on. The consumer of the event is an application that performs some action in response to the event.

You can configure a job so that the Scheduler raises an event when the job's state changes. You do this by setting the RAISE\_EVENTS job attribute. By default, a job does not raise any state change events until you alter the RAISE\_EVENTS attribute for a job. To alter this attribute, you must first create the job by using the CREATE\_JOB procedure and then use the SET\_ATTRIBUTE procedure to modify the attribute's default value. The example shows that the HR.DO\_BACKUP job is altered, so that it raises an event if the job fails.

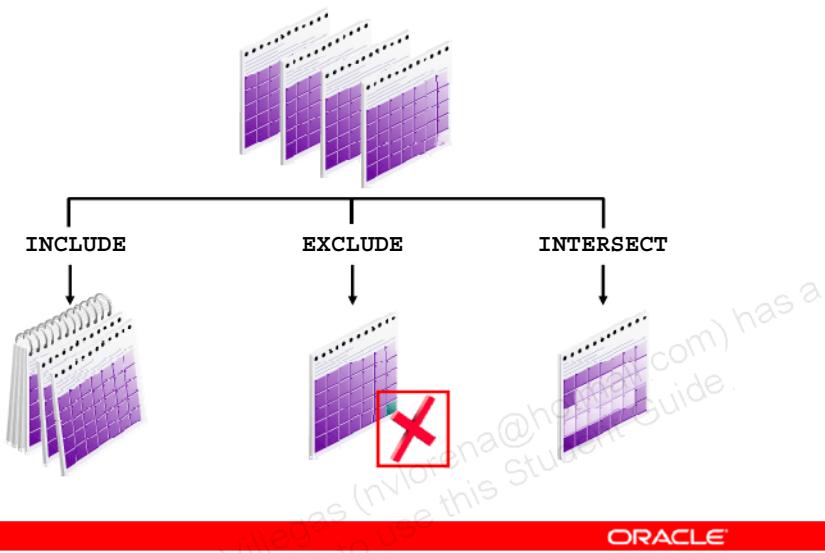
After you enable job state change events for a job, the Scheduler raises these events by enqueueing messages onto the default event queue `SYS.SCHEDULER$_EVENT_QUEUE`.

The default Scheduler event queue is a secure queue. Depending on your application, you may have to configure the queue to enable certain users to perform operations on it. See the *Oracle Streams Concepts and Administration* documentation for information about secure queues.

The default Scheduler event queue is intended primarily for Scheduler-generated events. Oracle does not recommend the use of this queue for user applications, or user-defined events.

Event Type	Description
<code>JOB_STARTED</code>	The job is started.
<code>JOB_SUCCEEDED</code>	The job is successfully completed.
<code>JOB_FAILED</code>	The job failed, either by raising an error or by abnormally terminating.
<code>JOB_BROKEN</code>	The job is disabled and changed to the <code>BROKEN</code> state, because it exceeded the number of failures defined by the <code>MAX_FAILURES</code> job attribute.
<code>JOB_COMPLETED</code>	The job is completed, because it reached the values set by the <code>MAX_RUNS</code> or <code>END_DATE</code> job attributes.
<code>JOB_STOPPED</code>	The job is stopped by a call to the <code>STOP_JOB</code> procedure.
<code>JOB_SCH_LIM_REACHED</code>	The job's schedule limit is reached. The job is not started, because the delay in starting the job exceeded the value of the <code>SCHEDULE_LIMIT</code> job attribute.
<code>JOB_DISABLED</code>	The job is disabled by the scheduler or by a call to the <code>SET_ATTRIBUTE</code> procedure.
<code>JOB_CHAIN_STALLED</code>	A job running a chain is in the <code>CHAIN_STALLED</code> state. A running chain becomes stalled if there are no steps running or scheduled to run and the chain <code>EVALUATION_INTERVAL</code> is set to <code>NULL</code> . The chain waits for manual intervention.
<code>JOB_ALL_EVENTS</code>	<code>JOB_ALL_EVENTS</code> is not an event, but a constant, that provides an easy way for you to enable all events.
<code>JOB_OVER_MAX_DUR</code>	The job has run over the maximum time it was set to be allowed to run.
<code>JOB_RUN_COMPLETED</code>	A job run is completed. It either failed, succeeded, or is stopped.

## Creating Complex Schedules



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A schedule is an object in the database. When you create schedules, they are automatically saved. You can use combinations of schedules to create more complex schedules. By combining schedules, you can add specific dates to or exclude specific dates from a calendaring expression.

You can use the following options when defining the repeat interval for a schedule:

- **INCLUDE:** Adds a list of dates to the calendaring expression results
- **EXCLUDE:** Removes a list of dates from the calendaring expression results
- **INTERSECT:** Uses only the dates that are common to two or more schedules

When creating schedules to be used in combinations, you can code the list of dates by including hard-coded dates of the form [YYYY] MMDD or by including named schedules created with the CREATE\_SCHEDULE procedure. For example, you can specify a list of dates by using the following values for the repeat interval of a schedule:

```
0115,0315,0325,0615,quarter_end_dates,1215
```

This string represents the dates January 15, March 15, March 25, June 15, December 15, and the list of dates specified by the QUARTER\_END\_DATES schedule.

If you do not specify the optional year component for hard-coded dates in your schedule, the dates are included for every year.

## Quiz

Select the statements that are true about persistent lightweight jobs:

- a. Persistent lightweight jobs have a small footprint on disk for the job metadata and also for storing runtime data.
- b. Use persistent lightweight jobs for maximum flexibility.
- c. Persistent lightweight jobs are created from a job template.
- d. Persistent lightweight jobs can be created in Enterprise Manager and via command line.

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**Answer: a, c**

## Using Email Notification

- Email notifications for change of job state
- Triggered by job state events
- Multiple notifications, multiple recipients
- \*\_SCHEDULER\_NOTIFICATIONS views

Using Scheduler Email Notification:

1. Specify the address of the SMTP server you will use to send email messages:

```
DBMS_SCHEDULER.SET_SCHEDULER_ATTRIBUTE  
('email_server','host[:port]');
```

2. Optionally, set a default sender email address:

```
DBMS_SCHEDULER.SET_SCHEDULER_ATTRIBUTE  
('email_sender','valid_email_address');
```

3. Add email notifications for a specified job. (continued)

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The job email notification feature enables you to add email notifications to existing jobs so that events of interest that happen to the job are emailed to specified email addresses. For each job, you can add notifications for different events. You can send the email notification to more than one recipient.

To enable the email notification feature, you must:

1. Set the EMAIL\_SERVER Scheduler attribute.
2. Optionally, use the EMAIL\_SENDER Scheduler attribute to specify a default sender email address for the email notifications.
3. After creating a job, execute the DBMS\_SCHEDULER.ADD\_JOB\_EMAIL\_NOTIFICATION procedure to add one or more notifications for the job.

The data dictionary supports email notifications with the \*\_SCHEDULER\_NOTIFICATIONS views.

## Adding and Removing Email Notifications

```

DBMS_SCHEDULER.ADD_JOB_EMAIL_NOTIFICATION (
    job_name      IN VARCHAR2,
    recipients    IN VARCHAR2, Comma-separated list of
    sender        IN VARCHAR2 DEFAULT NULL,
    subject       IN VARCHAR2
        DEFAULT dbms_scheduler.default_notification_subject,
    body          IN VARCHAR2
        DEFAULT dbms_scheduler.default_notification_body,
    events        IN VARCHAR2 Mandatory comma-separated list
        DEFAULT 'JOB_FAILED,JOB_BROKEN,JOB_SCH_LIM_REACHED,
                  JOB_CHAIN_STALLED,JOB_OVER_MAX_DUR',
    filter_condition IN VARCHAR2 DEFAULT NULL);

```

```

DBMS_SCHEDULER.REMOVE_JOB_EMAIL_NOTIFICATION (
    job_name      IN VARCHAR2,
    recipients    IN VARCHAR2 DEFAULT NULL,
    events        IN VARCHAR2 DEFAULT NULL);

```

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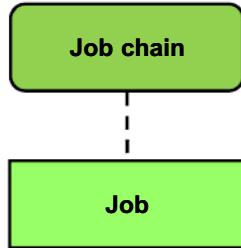
Add one or more job email notifications with the DBMS\_SCHEDULER.ADD\_JOB\_EMAIL\_NOTIFICATION procedure. Email messages will be sent to the specified recipient addresses whenever any of the listed events are generated by the job. The procedure will generate an email message for each event that matches the specification in FILTER\_CONDITION. This procedure will fail if the EMAIL\_SERVER scheduler attribute is not set or if the specified job does not exist. The user calling this procedure must be the owner of the job, have the CREATE ANY JOB system privilege, or have been granted the ALTER privilege for the job.

- The **subject** of notification emails can contain the following variables for which values will be substituted: %job\_owner%, %job\_name%, %event\_type%, %event\_timestamp%, %log\_id%, %error\_code%, %error\_message%, %run\_count%, %failure\_count%, %retry\_count%, %job\_subname%, %job\_class\_name%.
- The notification email message **body** can contain any of the variables that are valid in the SUBJECT.
- The comma-separated list of **events** cannot be NULL. Refer to the list of events for the RAISE\_EVENTS attribute of JOBS for valid events.
- If **filter\_condition** is NULL (the default), all occurrences of the specified events will be emailed to all specified recipient addresses.

Remove one or more email notifications for a specified job with the DBMS\_SCHEDULER.REMOVE\_JOB\_EMAIL\_NOTIFICATION procedure.

## Creating Job Chains

1. Create a chain object.
2. Define chain steps.
3. Define chain rules.
4. Starting the chain:
  - Enable the chain.
  - Create a job that points to the chain.



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A chain is a named series of programs that are linked together for a combined objective. This is known as “dependency scheduling.” An example of a chain may be the following:

*Run program A and then program B ,but only run program C if programs A and B complete successfully, otherwise run program D.*

Each position within a chain of interdependent programs is referred to as a step. Typically, after an initial set of chain steps has started, the execution of successive steps depends on the completion of one or more previous steps. To create and use a chain, you complete the following steps in order. All procedures mentioned are part of the DBMS\_SCHEDULER package, unless noted otherwise.

1. **Create a chain** by using the CREATE\_CHAIN procedure. The chain name can be optionally qualified with a schema name (for example, myschema.myname).
2. **Define** (one or more) **chain steps**. Defining a step gives it a name and specifies what happens during the step. Each step can point to one of the following:
  - A program
  - Another chain (a nested chain)
  - An event

You define a step that points to a program or nested chain by calling the DEFINE\_CHAIN\_STEP procedure.

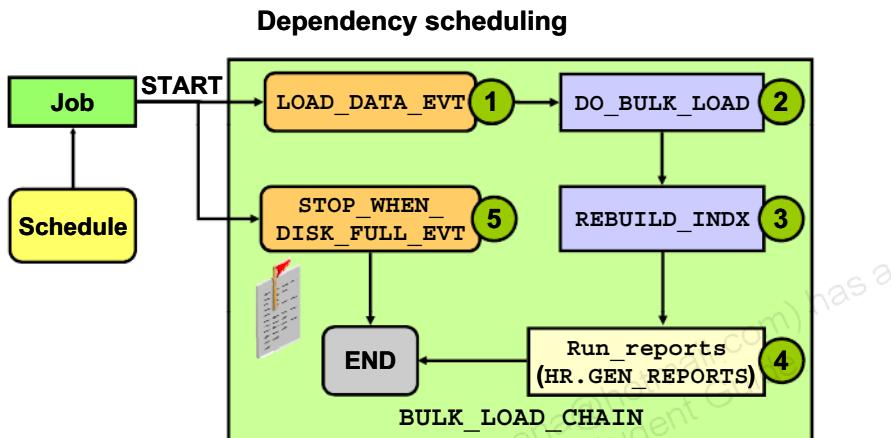
To define a step that waits for an event to occur, you use the `DEFINE_CHAIN_EVENT_STEP` procedure. Procedure arguments can point to an event schedule or can include an inline queue specification and event condition. A step that points to an event waits until the specified event is raised. If the event occurs, the step completes successfully.

3. After creating the chain object, you **define chain rules**. Chain rules define when steps run, and define dependencies between steps. Each rule has a *condition* and an *action*:
  - If the condition evaluates to TRUE, the action is performed. The condition can contain any syntax that is valid in a SQL WHERE clause. Conditions are usually based on the outcome of one or more previous steps. For example, you may want one step to run if the two previous steps succeeded, and another to run if either of the two previous steps failed.
  - The action specifies what is to be done as a result of the rule being triggered. A typical action is to run a specified step. Possible actions include starting or stopping a step. You can also choose to end the execution of the job chain, returning either a value or a step name and error code.

All rules added to a chain work together to define the overall behavior of the chain. When the job starts and at the end of each step, all rules are evaluated to see what action or actions occur next. You add a rule to a chain with the `DEFINE_CHAIN_RULE` procedure. You call this procedure once for each rule that you want to add to the chain.

4. **Starting the chain** involves two actions:
  - Enable a chain with the `ENABLE` procedure. (A chain is always created disabled, so you can add steps and rules to the chain before it is executed by any job.) Enabling an already enabled chain does not return an error.
  - To run a chain, you must create a job of type "CHAIN". The job action must refer to the chain name. You can use either event-based or time-based schedules for this job.

## Example of a Chain

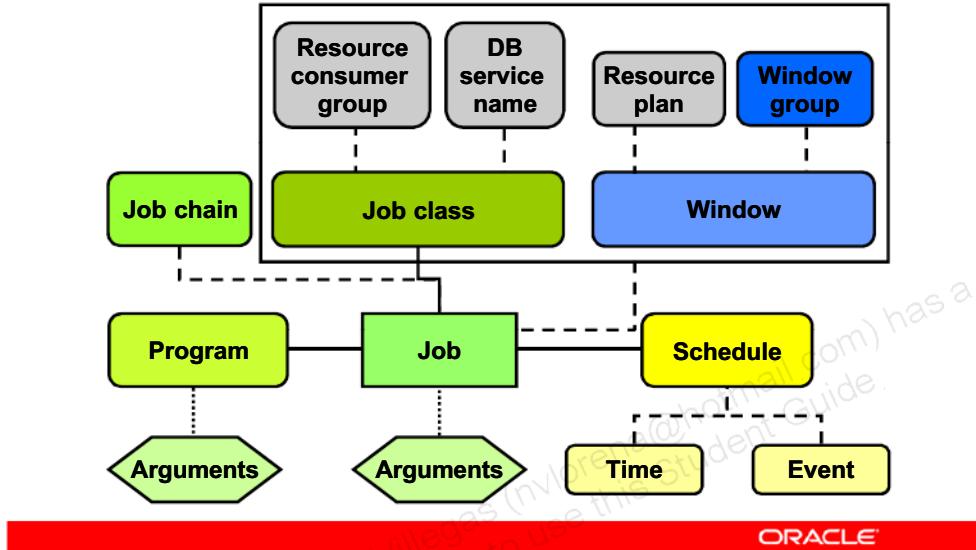


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As an example of a chain, consider all the tasks and conditions that occur during a bulk data load. First, you must have data to load. Then load the data, observing the file system to make sure that you do not run out of space during the load. After the data load completes, you need to rebuild the indexes defined on the updated tables. Then you run reports against the newly loaded data. The slide shows an example of dependency scheduling.

## Using Advanced Scheduler Features



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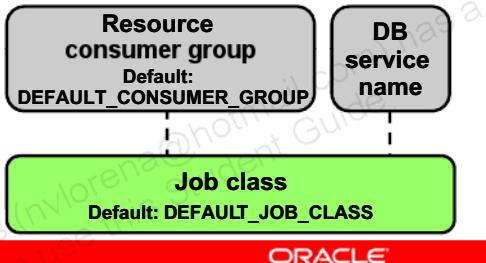
Using advanced Scheduler features, you can exercise more control over various aspects of scheduling, such as job windows and prioritizing jobs. These advanced features are summarized below, and are discussed in detail in the following slides.

- A **window** is represented by an interval of time with a well-defined beginning and end, and is used to activate different resource plans at different times. This allows you to change resource allocation during a time period, such as time of day or time of the sales year.
- A **window group** represents a list of windows, and allows for easier management of windows. You can use a window or window group as the schedule for a job to ensure that the job runs only when a window and its associated resource plans are active.
- A **job class** defines a category of jobs that share common resource usage requirements and other characteristics. A job class groups jobs into larger entities.
- A **resource consumer group** associated with the job class determines the resources that are allocated to the jobs in the job class.
- A **resource plan** enables users to prioritize resources (most notably CPU) among resource consumer groups.

**Note:** The gray objects are not Scheduler objects.

## Job Classes

- Assign the same set of attribute values to member jobs
- Are created by the `CREATE_JOB_CLASS` procedure
- Specify jobs in a job class (with the `SET_ATTRIBUTE` procedure )
- Belong to the `SYS` schema
- Set resource allocation for member jobs
- Set the service attribute to a desired database service name
- Group jobs for prioritization



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Job classes are policies for their participating member jobs. Each job class specifies a set of attributes, such as the logging level. When you assign a job to a job class, the job inherits those attributes. For example, you can specify the same policy for purging log entries for all payroll jobs.

- You can use the `CREATE_JOB_CLASS` procedure to create a job class. A class always belongs to the `sys` schema. To create a class, you must have the `MANAGE SCHEDULER` privilege. There is a default job class named `DEFAULT_JOB_CLASS` that is created with the database.
- After a job class has been created, you can specify jobs as members of this job class **when you create the jobs, or after the jobs are created, by using the `SET_ATTRIBUTE` procedure of the `DBMS_SCHEDULER` package**. If a job is not associated with a job class, the job belongs to this default job class.
- Set the service attribute of a job class to a desired database service name. This determines the instances in a Real Application Clusters environment that run the member jobs, and optionally, the system resources that are assigned to the member jobs.

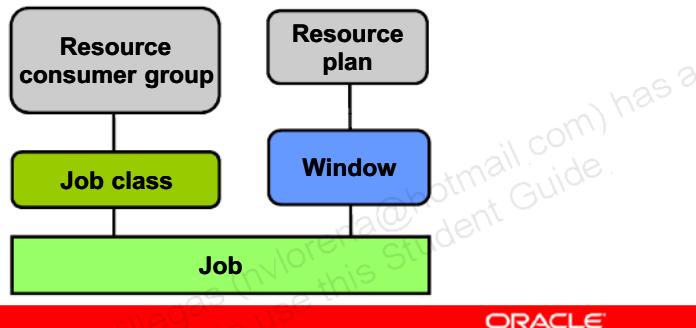
- Set resource allocation for member jobs. Job classes provide the link between the Database Resource Manager and the Scheduler because each job class can specify a resource consumer group as an attribute. Member jobs then belong to the specified consumer group and are assigned resources according to settings in the current resource plan. Alternatively, you can leave the RESOURCE\_CONSUMER\_GROUP attribute as NULL and set the service attribute of a job class to a desired database service name. That service can in turn be mapped to a resource consumer group. If both the RESOURCE\_CONSUMER\_GROUP and service attributes are set, and the designated service maps to a resource consumer group, the resource consumer group named in the RESOURCE\_CONSUMER\_GROUP attribute takes precedence. If a resource consumer group is not specified when a job class is created, the job class maps to the DEFAULT\_CONSUMER\_GROUP resource consumer group. Jobs in the default job class or in a job class associated with the default resource consumer group may not be allocated enough resources to complete their tasks when the Resource Manager is enabled.
- Group jobs for prioritization. Within the same job class, you can assign priority values of 1–5 to individual jobs so that if two jobs in the class are scheduled to start at the same time, the one with the higher priority takes precedence. This ensures that you do not have a less important job preventing the timely completion of a more important one. If two jobs have the same assigned priority value, the job with the earlier start date takes precedence. If no priority is assigned to a job, its priority defaults to 3.

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## Windows

Scheduler windows:

- Can start jobs or change resource allocation among jobs for various time periods
- One active at a time
- Created with the `CREATE_WINDOW` procedure



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The priority of jobs can change over a period of time. For example, you might want to allocate a high percentage of the database resources to data warehouse loading jobs at night and allocate a higher percentage of the resources during the day to the application jobs. To accomplish this, you can change the database resource plan by using a Scheduler window.

- Scheduler windows can automatically start jobs or change the resource allocation among jobs during various time periods of the day, week, and so on. A window is represented by an interval of time with a well-defined beginning and end, such as "from 12:00 AM to 6:00 AM."
- Only one window can be in effect at any given time.
- You can create a window with the `CREATE_WINDOW` procedure.

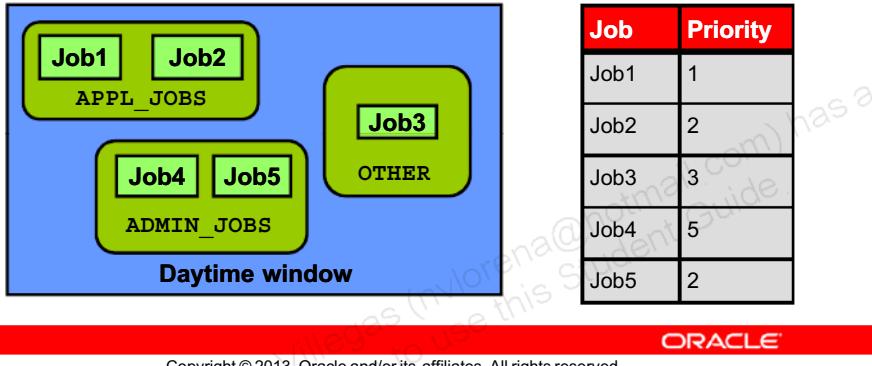
Scheduler windows work with job classes to control resource allocation. Each window specifies the resource plan to activate when the window opens (becomes active), and each job class specifies a resource consumer group or specifies a database service, which can

map to a consumer group. A job that runs within a window therefore has resources allocated to it according to the consumer group of its job class and the resource plan of the window (as shown in the graphic in this slide).

## Prioritizing Jobs Within a Window

Prioritizing jobs:

- At the class level (via resource plans)
- At the job level (with the job priority attribute)
- Not guaranteed for jobs in different job classes



When creating multiple jobs in a database, you need a way to align the job processing with your business requirements and specify which jobs have the highest priority. For a particular window, you may have several classes of jobs running, each with its own priority.

There are two levels at which jobs can be prioritized: at the class level and at the job level.

- The first prioritization is at the class level, using resource plans. Prioritization among jobs of different classes is done purely on a class resource allocation basis.
- The second prioritization is within the class, with the job priority attribute of the job.

Prioritization levels are relevant only when two jobs within the same class are supposed to start at the same time. The job with the higher priority starts first.

Prioritization is not guaranteed for jobs in different job classes. For example, a high-priority job in the APPL\_JOBS job class might not get started before a low-priority job in the ADMIN\_JOBS job class, even if they share the same schedule. If the APPL\_JOBS job class has a lower level of resources available, the high-priority job in that class has to wait for resources to become available, even if there are resources available to lower-priority jobs in a different job class.

## Creating a Job Array

1. Declare variables of types `sys.job` and `sys.job_array`:

```
DECLARE
    newjob sys.job;
    newjobarr sys.job_array;
```

2. Initialize the job array:

```
BEGIN
    newjobarr := SYS.JOB_ARRAY();
```

3. Size the job array to hold the number of jobs needed:

```
newjobarr.EXTEND(100);
```

(... continued)

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A more efficient way to create a set of jobs is the use of a job array. This also applies to lightweight jobs. In the example in the slide, 100 job specifications are created in a job array and submitted to the job queue in a single transaction. Notice that for a lightweight job, there is a very limited amount of information needed. In the example, the `START_TIME` parameter defaults to `NULL`, so the job is scheduled to start immediately.

1. Declare the variable to hold a job definition and a job array variable.
2. Initialize the job array using the `SYS.JOB_ARRAY` constructor. This creates a place for one job in the array.
3. Set the size of the array to the number of expected jobs.
4. Create each job and place it in the array. In the example in the slide, the only difference is the name of the job. The `START_TIME` variable of the job is omitted and it defaults to `NULL`, indicating that the job will run immediately.
5. Use the `CREATE_JOBS` procedure to submit all the jobs in the array as one transaction.

**Note:** If the array is very small, the performance will not be significantly better than submitting a single job.

## Creating a Job Array

### 4. Place jobs in the job array:

```
FOR i IN 1..100 LOOP
    newjob := SYS.JOB(job_name => 'LWTJK'||to_char(i),
                      job_style => 'LIGHTWEIGHT',
                      job_template => 'MY_PROG',
                      enabled => TRUE );
    newjobarr(i) := newjob;
END LOOP;
```

### 5. Submit the job array as one transaction:

```
DBMS_SCHEDULER.CREATE_JOBS(newjobarr,
                            'TRANSACTIONAL');
```

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The full code of this example is:

```
DECLARE
    newjob sys.job;
    newjobarr sys.job_array;
BEGIN
    -- Create an array of JOB object types
    newjobarr := sys.job_array();
    -- Allocate sufficient space in the array
    newjobarr.extend(100);
    -- Add definitions for jobs
    FOR i IN 1..100 LOOP
        -- Create a JOB object type
        newjob := sys.job(job_name => 'LWTJK' || to_char(i),
                          job_style => 'LIGHTWEIGHT',
                          job_template => 'PROG_1',
                          enabled => TRUE );
        -- Add job to the array
        newjobarr(i) := newjob;
    END LOOP;
    -- Call CREATE_JOBS to create jobs in one transaction
    DBMS_SCHEDULER.CREATE_JOBS(newjobarr, 'TRANSACTIONAL');
END;
/
```

## Quiz

Select the statements that are true about the advanced Scheduler features and functionality:

- a. Lightweight jobs can be created with a job array.
- b. Prioritizing jobs at the class level (via resource plans) and at the job level (with the job priority attribute) are mutually exclusive.
- c. Scheduler windows work with job classes to control resource allocation.
- d. Job chains are used to implement “dependency scheduling.”

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**Answer: a, c, d**

## Creating a File Watcher and an Event-Based Job

Perform the following tasks:

1. Create a Scheduler credential object and grant EXECUTE.
2. Create a file watcher and grant EXECUTE.
3. Create a Scheduler program object with a metadata argument that references the event message.
4. Create an event-based job that references the file watcher.  
(Optionally, enable the job to run for each instance of the file arrival event.)
5. Enable the file watcher, the program, and the job.

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Perform the following tasks to create a file watcher and create the event-based job that starts when the designated file arrives:

1. Create a Scheduler credential object (a credential) with which to authenticate with the host operating system for access to the file and grant EXECUTE on the credential to the schema that owns the event-based job that the file watcher will start.
2. Create a file watcher and grant EXECUTE on the file watcher to any schema that owns an event-based job that references the file watcher. Refer to the *Oracle Database Administrator's Guide* for a detailed example of creating a file watcher.
3. Create a Scheduler program object with a metadata argument that references the event message.
  - Define the metadata argument using the EVENT\_MESSAGE attribute.
  - Create the stored procedure with an argument of the SYS.SCHEDULER\_FILEWATCHER\_RESULT type that the program invokes. The stored procedure must have an argument of the SYS.SCHEDULER\_FILEWATCHER\_RESULT type, which is the data type of the event message. The position of that argument must match the position of the defined metadata argument. The procedure can access attributes of this abstract data type to learn about the arrived file.

4. Create an event-based job that references the file watcher. You can use the DBMS\_SCHEDULER.SET\_ATTRIBUTE procedure to enable the job to run for each instance of the file arrival event, even if the job is already processing a previous event. Set the PARALLEL\_INSTANCES attribute to TRUE.

```
BEGIN  
  DBMS_SCHEDULER.SET_ATTRIBUTE(' ', 'PARALLEL_INSTANCES', TRUE);  
END;
```

This enables the job to run as a lightweight job so that multiple instances of the job can be started quickly. If PARALLEL\_INSTANCES is set to the default value of FALSE, file watcher events that occur while the event-based job is already processing another will be discarded.

5. Enable the file watcher, the program, and the job.

## Enabling File Arrival Events from Remote Systems

Perform the following tasks to enable the raising of file arrival events at remote systems:

1. Set up the database to run remote external jobs.
2. Install, configure, register, and start the Scheduler agent
3. ~~on the first remote system~~  
Repeat step 2 for each additional remote system.



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To receive file arrival events from a remote system, you must install the Scheduler agent on that system, and you must register the agent with the database. The remote system does not need an Oracle Database instance to generate file arrival events.

Refer to the *Oracle Database Administrator's Guide* for detailed information.

## Scheduling Remote Database Jobs

- Create a job that runs stored procedures and anonymous PL/SQL blocks on another database instance on the same host or a remote host.
- The target database can be any release of Oracle Database.
- DBMS\_SCHEDULER.CREATE\_DATABASE\_DESTINATION and DBMS\_SCHEDULER.CREATE\_CREDENTIAL can be used for remote database jobs.
- Jobs with job types of PLSQL\_BLOCK and STORED PROCEDURE can be the subject of SET\_ATTRIBUTE calls for the DESTINATION and CREDENTIAL attributes.

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You can now create a job that runs stored procedures and anonymous PL/SQL blocks on another database instance on the same host or a remote host. The target database can be any release of Oracle Database.

There are no new procedures to support remote database jobs, rather there have been changes to existing DBMS\_SCHEDULER procedures to support this functionality. Additional information is provided over the next few pages.

## Creating Remote Database Jobs

Perform the following tasks to create a remote job:

1. Set up the source database for remote jobs.
2. Create the job by using DBMS\_SCHEDULER.CREATE\_JOB.
3. Create a credential by using DBMS\_SCHEDULER.CREATE\_CREDENTIAL.
4. Set the job CREDENTIAL\_NAME attribute by using DBMS\_SCHEDULER.SET\_ATTRIBUTE.
5. Set the job DESTINATION attribute by using DBMS\_SCHEDULER.SET\_ATTRIBUTE.
6. Enable the job by using DBMS\_SCHEDULER.ENABLE.

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You can perform the tasks listed in the slide to create a remote database job.

To set up the source database for remote jobs, perform the following steps:

1. Verify that XML DB is installed.
2. Enable HTTP connections to the database.

```
BEGIN
  DBMS_XDB.SETHTTPPORT(port);
END;
```
3. Execute the prvtorsch.plb script.
4. Set a registration password for the scheduler agent.

```
BEGIN
  DBMS_SCHEDULER.SET_AGENT_REGISTRATION_PASS('password');
END;
```

Refer to the *Oracle Database Administrator's Guide* for a detailed example.

## Scheduling Multiple Destination Jobs

- This enables you to specify several targets on which your jobs should execute.
- It provides the ability to monitor and control the jobs from the database on which they were created.
- While running, a multiple destination job is viewed as a collection of jobs, which are near identical copies of each other.
- All jobs will execute based on the time zone that is specified in the start date of the job or will use the time zone of the source database.

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The multiple destination jobs feature enables you to specify multiple targets on which the jobs should execute. You have the ability to monitor and control the jobs from the database at which you created them. The following capabilities are included:

- Specifying several databases or machines on which a job must execute
- Modifying a job scheduled on multiple targets as a single entity
- Stopping or dropping jobs running on one or more remote targets
- Finding out the status of job instances on all of a job's targets

Note that in the initial release of this feature, all destinations will run based on the time zone that is specified in the start date of the job or will default to the time zone of the source database.

## Viewing Scheduler Meta Data

Scheduler management views, displaying:

- \* \_SCHEDULER\_JOBS: All jobs, enabled and disabled
- \* \_SCHEDULER\_SCHEDULES: All schedules
- \* \_SCHEDULER\_PROGRAMS: All programs
- \* \_SCHEDULER\_RUNNING\_JOBS: Active job states
- \* \_SCHEDULER\_JOB\_LOG: All job state changes
- \* \_SCHEDULER\_JOB\_RUN\_DETAILS: All completed job runs

```
SELECT job_name, status, error#, run_duration
FROM user_scheduler_job_run_details;

JOB_NAME          STATUS    ERROR#   RUN_DURATION
-----            -----      -----
GATHER_STATS_JOB  SUCCESS     0        +000 00:08:20
PART_EXCHANGE_JOB FAILURE    6576     +000 00:00:00
```

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The job table is a container for all the jobs, with one table per database. The job table stores information for all jobs, such as the owner name or the level of logging. You can find this information in the \* \_SCHEDULER\_JOBS views.

Jobs are database objects, and can, therefore, accumulate and take up too much space. To avoid this, job objects are automatically dropped by default after completion. This behavior is controlled by the AUTO\_DROP job attribute.

There are many views available to the DBA and privileged users that provide essential operating information regarding the scheduler, jobs, schedules, windows, and so on. These views include:

- \* \_SCHEDULER\_PROGRAM\_ARGS: Shows all arguments defined for all programs as well as the default values if they exist
- \* \_SCHEDULER\_JOBS: Shows all jobs, enabled as well as disabled
- \* \_SCHEDULER\_JOB\_RUN\_DETAILS show all completed (failed or successful) job runs. It has a row for each job instance. Each row contains information about the job execution for that instance. The ERROR# column shows the number of the first error encountered
- \* \_SCHEDULER\_GLOBAL\_ATTRIBUTE: Shows the current values of Scheduler attributes
- \* \_SCHEDULER\_JOB\_ARGS: Shows all set argument values for all jobs
- \* \_SCHEDULER\_JOB\_CLASSES: Shows all job classes

For job chains:

- \* \_SCHEDULER\_RUNNING\_CHAINS: Shows all active chains
- \* \_SCHEDULER\_CHAIN\_STEPS: Shows all steps for all chains
- \* \_SCHEDULER\_CHAINS: **Shows all chains**
- \* \_SCHEDULER\_CHAIN\_RULES: Shows all rules for all chains

For windows an other advanced objects:

- \* \_SCHEDULER\_WINDOWS show all windows.
- \* \_SCHEDULER\_WINDOW\_GROUPS show all window groups.
- \* \_SCHEDULER\_WINGROUP\_MEMBERS show the members of all window groups, one row for each group member.
- \* \_SCHEDULER\_JOB\_LOG shows all state changes made to jobs.
- \* \_SCHEDULER\_JOB\_ROLES show all jobs by database role.

Lightweight jobs are visible through the same views as regular jobs:

- \* \_SCHEDULER\_JOBS: Shows all jobs, including the JOB\_STYLE= 'LIGHTWEIGHT'
- \* \_SCHEDULER\_JOB\_ARGS: Shows all set arguments values also for lightweight jobs

Because lightweight jobs are not database objects, they are not visible through the \* \_OBJECTS views.

Starting with the Oracle Database 11g Release 2:

- \* \_SCHEDULER\_NOTIFICATIONS shows which email notifications have been set.
- \* \_SCHEDULER\_FILE\_WATCHERS shows file watcher configuration information.

**The following views display information about multiple destination jobs:**

- \* \_SCHEDULER\_DESTS: Shows all the destinations on which remote jobs can be scheduled. The views contain both the external destinations (for remote external jobs) as well as the database destinations for remote database jobs.
- \* \_SCHEDULER\_EXTERNAL\_DESTS: Shows all the agents that have registered with the database and can be used as a destination for remote external jobs.
- \* \_SCHEDULER\_DB\_DESTS: **Shows all the databases on which you can schedule remote database jobs.**
- \* \_SCHEDULER\_GROUPS: Shows the groups in your schema or all groups in the database.
- \* \_SCHEDULER\_GROUP\_MEMBERS: Shows the group members in your schema or all group members in the database.
- \* \_SCHEDULER\_JOB\_DESTS: Shows the state of a job at a remote database.

**Note: In the views listed above, the asterisk at the beginning of a view name can be replaced with DBA, ALL, or USER.**

## Quiz

Select the statements that are true about the Oracle Scheduler:

- a. Creating remote database jobs is a manual task requiring the use of OS-specific commands.
- b. A Scheduler credential is an object with which to
- c. authenticate with the host operating system for file access.  
You can specify several targets on which your jobs should execute and monitor them from the database on which they were created.

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**Answer: b, c**

## Summary

In this lesson, you should have learned how to:

- Simplify management tasks by using the Scheduler
- Create a job, program, and schedule
- Monitor job execution
- Use a time-based or event-based schedule for executing Scheduler jobs
- Describe the use of windows, window groups, job classes, and consumer groups
- Use email notification
- Use job chains to perform a series of related tasks
- Describe Scheduler jobs on remote systems
- Use advanced Scheduler features to prioritize jobs



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## Practice B

- B-1: Creating Scheduler Components
- B-2: Creating Lightweight Scheduler Jobs
- B-3: Monitoring the Scheduler

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## Migrating Data by Using Oracle Data Pump



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## Objectives

After completing this lesson, you should be able to migrate data by using Oracle Data Pump.



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## Oracle Data Pump: Overview

As a server-based facility for high-speed data and metadata movement, Oracle Data Pump:

- Is callable via DBMS\_DATAPUMP
- Provides the following tools:
  - expdp
  - impdp
  - GUI interface in Enterprise Manager Cloud Control
- Provides four data movement methods:
  - Data file copying
  - Direct path
  - External tables
  - Network link support
- Detaches from and re-attaches to long-running jobs
- Restarts Data Pump jobs

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Oracle Data Pump enables high-speed data and metadata loading and unloading of Oracle databases. The Data Pump infrastructure is callable via the DBMS\_DATAPUMP PL/SQL package. Thus, custom data movement utilities can be built by using Data Pump.

Oracle Database provides the following tools:

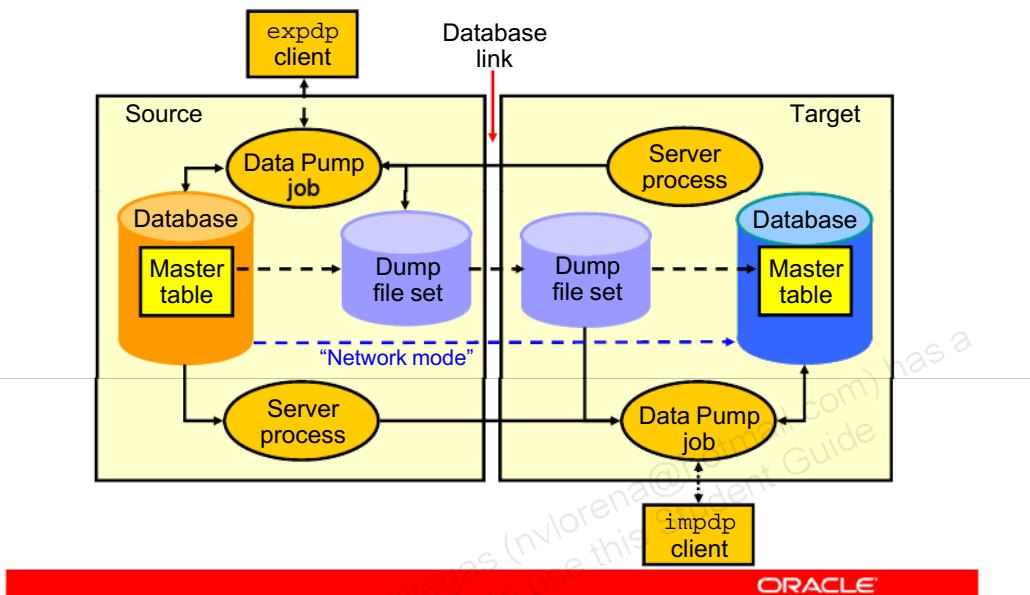
- Command-line export and import clients called expdp and impdp, respectively
- Export and import interface in Enterprise Manager Cloud Control

Data Pump automatically decides the data access methods to use; these can be either direct path or external tables. Data Pump uses direct path load and unload when a table's structure allows it and when maximum single-stream performance is desired. However, if there are clustered tables, referential integrity constraints, encrypted columns, or several other items, Data Pump uses external tables rather than direct path to move the data.

The ability to detach from and re-attach to long-running jobs without affecting the job itself enables you to monitor jobs from multiple locations while they are running. All stopped Data

Pump jobs can be restarted without loss of data as long as the meta-information remains undisturbed. It does not matter whether the job is stopped voluntarily or involuntarily due to a crash.

## Data Pump Export and Import Clients: Overview



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Data Pump Export is a utility for unloading data and metadata into a set of operating system files called *dump file sets*. Data Pump Import is used to load metadata and data stored in an export dump file set into a target system.

The Data Pump API accesses its files on the server rather than on the client.

These utilities can also be used to export from a remote database directly to a dump file set, or to load the target database directly from a source database with no intervening files. This is known as *network mode*. This mode is particularly useful to export data from a read-only source database.

At the center of every Data Pump operation is the master table (MT), which is a table created in the schema of the user running the Data Pump job. The MT maintains all aspects of the job. The MT is built during a file-based export job and is written to the dump file set as the last step. Conversely, loading the MT into the current user's schema is the first step of a file-based import operation and is used to sequence the creation of all objects imported.

**Note:** The MT is the key to Data Pump's restart capability in the event of a planned or unplanned stopping of the job. The MT is dropped when the Data Pump job finishes normally.

## Data Pump Utility: Interfaces and Modes

- Data Pump Export and Import interfaces:
  - Command line
  - Parameter file
  - Interactive command line
- Data Pump Export and Import modes:
  - Full
  - Schema
  - Table
  - Tablespace
  - Transportable tablespace
  - Transportable database



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You can interact with Data Pump Export and Import by using one of the following interfaces:

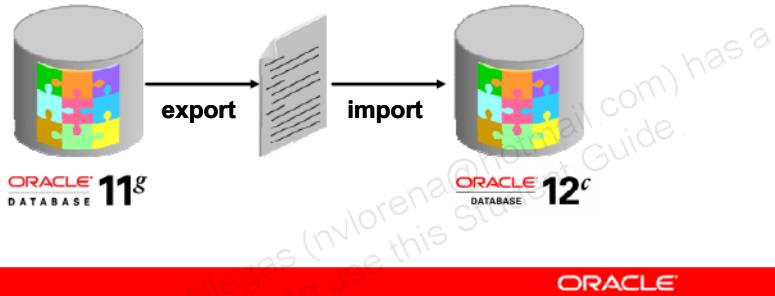
- **Command-line interface:** Enables you to specify most of the export parameters directly on the command line
- **Parameter file interface:** Enables you to specify all command-line parameters in a parameter file. The only exception is the PARFILE parameter.
- **Interactive-command interface:** Stops logging to the terminal and displays the export or import prompts, where you can enter various commands. This mode is enabled by pressing Ctrl + C during an export operation that is started with the command-line interface or the parameter file interface. Interactive-command mode is also enabled when you a **tac hōan execu** **Mors oppe d j. b**
- **GUI interface:** Select Schema > Database Export/Import. In the menu select the export or import operation you want to execute.

Data Pump Export and Import provide different modes for unloading and loading different portions of the database. The mode is specified on the command line by using the appropriate parameter. The available modes are listed in the slide and are the same as in the **sqlldr** export and import utilities.

## Migrating by Using Oracle Data Pump

To migrate to Oracle Database 12c by using Oracle Data Pump:

1. Export data from the source database
2. Install Oracle Database 12c and create a database
3. Import data into the new Oracle Database 12c database

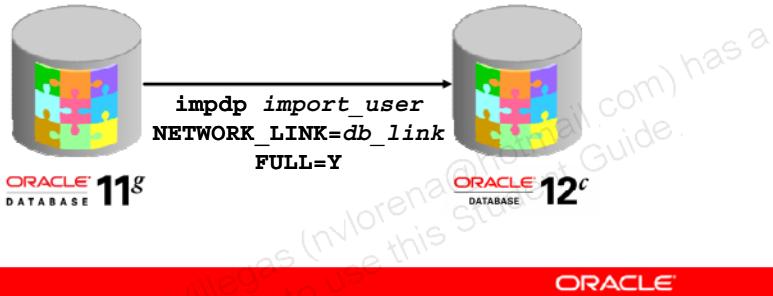


You can use Oracle Dump Export and Import to migrate your database to Oracle Database 12c. This method provides the following advantages:

- The database can remain available for user access during the upgrade. If a consistent snapshot of the database is required, the database must operate in restricted mode or must otherwise be protected from changes when the export executes.
- The upgraded database can be created on a different operating system or hardware platform.
- The database can be restructured as part of the import. You can create new tablespaces or modify existing tables, tablespaces, or partitions to be populated by imported data.

## Importing by Using a Network Link

- Use Data Pump Import with a database link for a full database import
- User performing the Export must have the `DATAPUMP_EXP_FULL_DATABASE` role
- User performing the Import must have the `DATAPUMP_IMP_FULL_DATABASE` role



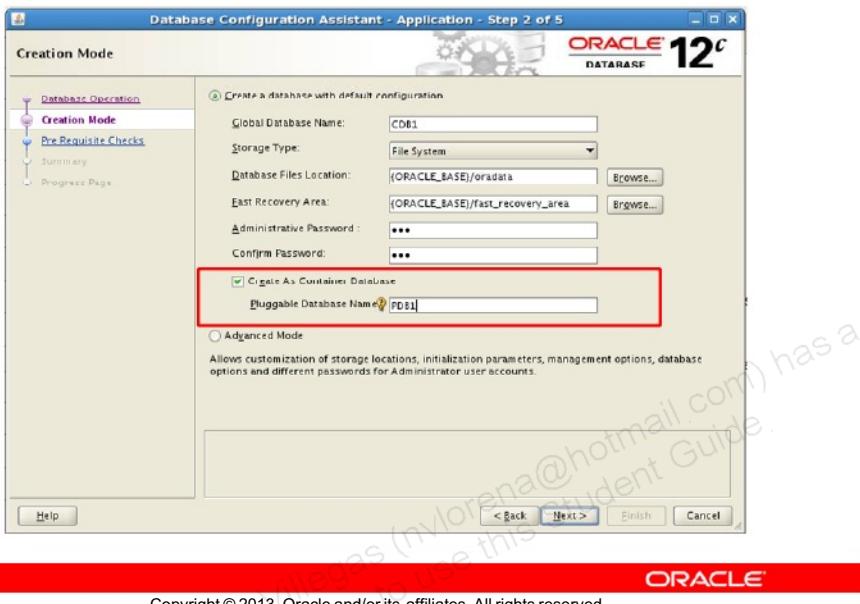
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Data Pump Import can be used with a database link to perform a full database import. When you use this method, no intermediate dump files are created.

The user performing the export must be granted the `DATAPUMP_EXP_FULL_DATABASE` role. Specify this user when the database link is created.

The user performing the import must be granted the `DATAPUMP_IMP_FULL_DATABASE` role.

## Creating a Container Database by Using DBCA



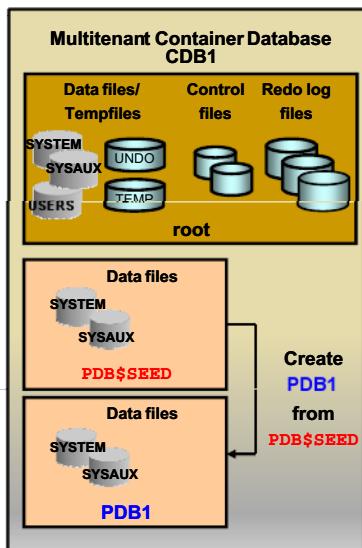
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Another way to migrate a non-CDB database to a CDB is to create a multitenant container database and then import the database. To create a CDB by using DBCA, select “Create As Container Database.”

You also must provide a pluggable database name in the “Pluggable Database Name” field. If you select “Advanced Mode” you can create an empty CDB with only the root and seed containers.

In Advanced Mode, you can register the CDB with Enterprise Manager Cloud Control, configure the CDB for Enterprise Manager Database Express, and set passwords for the SYS and SYSTEM users.

## Creating a New PDB from PDB\$SEED



- Copies the data files from PDB\$SEED data files
- Creates SYSTEM, SYSAUX tablespaces
- Creates a full catalog including metadata pointing to Oracle supplied objects
- Creates common users:
  - Superuser SYS
  - SYSTEM
- Creates a local user (PDBA) granted local PDB\_DBA role
- Creates a new default service

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The creation of a new PDB from the seed is nearly instantaneous. The operation copies the data files from the READ ONLY seed PDB to the target directory defined in the CREATE PLUGGABLE DATABASE statement.

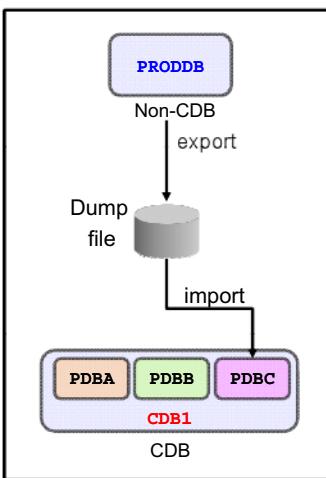
It creates tablespaces such as SYSTEM to store a full catalog including metadata pointing to Oracle-supplied objects, SYSAUX for local auxiliary data.

It creates default schemas and common users that exist in seed PDB, SYS who continues to have all superuser privileges, and SYSTEM who can administer the PDB.

It creates a local user (the PDBA) granted a local PDB\_DBA role. Until the PDB SYS user grants privileges to the local PDB\_DBA role, the new PDBA cannot perform any other operation than connecting to the PDB.

A new default service is also created for the PDB.

## Exporting from a Non-CDB and Importing into a PDB



1. Export **PROddb** with FULL clause:  

```
$ expdp system@PROddb FULL=Y
DUMPFILE=proddb.dmp
```
2. Create **PDBC** if it does not exist in **CDB1**:  

```
SQL> CONNECT sys@CDB1
SQL> CREATE PLUGGABLE DATABASE
      2  PDBC USING pdb$seed;
```
3. Open **PDBC**
4. Create a Data Pump directory in **PDBC**
5. Copy the dumpfile to the directory
6. Create same **PROddb** tablespaces in **PDBC** for new local users objects
7. Import into **PDBC** with FULL and REMAP clauses:  

```
$ impdp system@PDBC FULL=Y
DUMPFILE=proddb.dmp
```

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To export data from a non-CDB and import it into a PDB of a CDB, perform the steps as described in the slide.

The example in the slide describes how to perform a conventional full database export from the non-CDB and a conventional full database import into the PDB. You can also perform a full transportable, a tablespace, schema, or table-level export and import.

The tablespace export and import can be of either type: conventional or transportable.

The users exported from the non-CDB database are re-created as local users in the PDB.

The tablespaces for the new local users and objects must be created in the PDB before the import.

## Summary

In this lesson, you should have learned how to:

- Migrate data by using Oracle Data Pump



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## Practice C

- C-1: Performing a Transportable Tablespace Import
- C-2: Importing a Non-CDB Application into a CDB

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# Installing Oracle Grid Infrastructure Standalone Server

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## Objectives

After completing this lesson, you should be able to:

- Install Oracle Grid Infrastructure for a standalone server
- Upgrade an existing Oracle Grid Infrastructure installation
- Use ASMCA to upgrade an existing Oracle Automatic Storage Management (ASM) instance



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## Overview of Oracle Grid Infrastructure for a Standalone Server

- Provides the infrastructure to include your single-instance database in an enterprise grid architecture
- Oracle Grid Infrastructure for a standalone server includes:
  - Oracle Restart
    - Oracle Cluster Synchronization Services (CSS)
    - Oracle Automatic Storage Management (ASM)
- Infrastructure products are combined into a single set of binaries that is installed into an Oracle Grid Infrastructure (Oracle Restart) home.
- Oracle Grid Infrastructure for a standalone server should be installed before you install and create a database.

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Oracle Grid Infrastructure for a standalone server provides the infrastructure to include your single-instance database in an enterprise grid architecture. The Oracle Grid Infrastructure home includes Oracle Restart and Oracle Automatic Storage Management (Oracle ASM) software.

When you install Oracle Grid Infrastructure for a standalone server, the Oracle Universal Installer (OUI) configures a single-node version of Oracle Cluster Synchronization Services (CSS). CSS is a daemon process that enables synchronization between an Oracle ASM instance and the database instances that rely on it for database file storage. The CSS daemon is installed in and runs from the Oracle Grid Infrastructure home.

You should have Oracle Grid Infrastructure installed on a single server before you install and create the Oracle database. Otherwise, you will need to manually register the database with Oracle Restart.

## Oracle Restart

Oracle Restart implements a high availability solution for stand-alone Oracle databases.

- Can monitor and restart the following components:
  - Database instances
  - Oracle Net listener
  - Database services
  - Automatic Storage Management (ASM) instance
  - ASM disk groups
  - Oracle Notification Services (ONS/eONS)
- Runs periodic check operations to monitor the health of the components



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Oracle Restart is designed to improve the availability of your Oracle Database. It implements a high availability solution for single instance (nonclustered) environments only. For Oracle Real Application Cluster (Oracle RAC) environments, the functionality to automatically restart components is provided by Oracle Clusterware. Oracle Restart can monitor the health and automatically restart the following components:

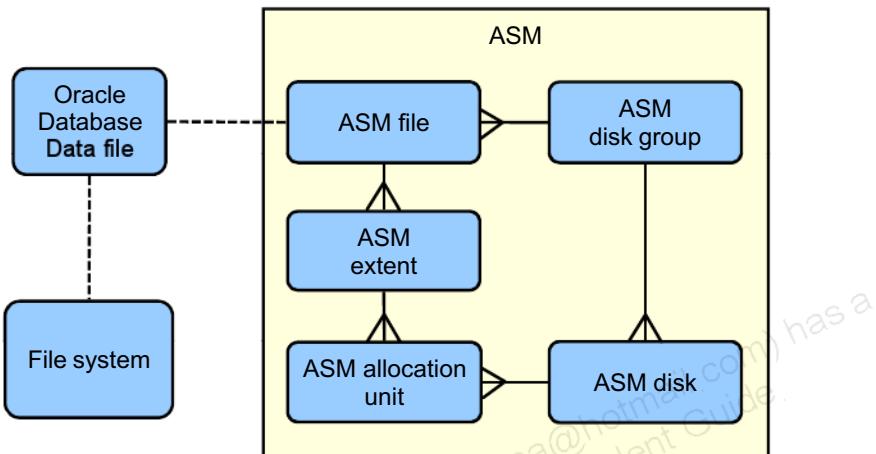
- Database instances
- Oracle Net listener
- Database services
- ASM instance
- ASM disk groups
- Oracle Notification Services (ONS/eONS): Service for sending Fast Application Notification (FAN) events to integrated clients upon failover. The eONS is used by Oracle Enterprise Manager to receive notification of change in status of components managed by Oracle Restart.

Restarting an ASM disk group means mounting it. The ability to restart ONS is applicable only in Oracle Data Guard standby environments for automatic failover or connect over between primary and standby databases through FAN.

Oracle Restart ensures that the components are started in the proper order, in accordance with component dependencies. If a component must be shut down, it ensures that the dependent components are cleanly shut down first.

**Note:** Oracle Restart runs out of the Oracle Grid Infrastructure home and is dependent on Oracle Cluster Synchronization Services (CSS).

## ASM Storage Components



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The diagram illustrates the relationships between an Oracle Database data file and the ASM storage components. The crow's foot notation represents a one-to-many relationship. An Oracle Database data file has a one-to-one relationship with either a file stored on the operating system in a file system or an ASM file.

An Oracle ASM disk group is a collection of one or more Oracle ASM disks managed as a logical unit. The data structures in a disk group are self-contained using some of the space for metadata needs. Oracle ASM disks are the storage devices provisioned to an Oracle ASM disk group and can be physical disk or partitions, a Logical Unit Number (LUN) from a storage array, a logical volume (LV), or a network-attached file. Each ASM disk is divided into many **ASM allocation units**, the smallest contiguous amount of disk space that ASM allocates. When you create an ASM disk group, you can set the ASM allocation unit size to 1, 2, 4, 8, 16, 32, or 64 MB depending on the disk group compatibility level. One or more ASM allocation units form an ASM extent. An Oracle ASM extent is the raw storage used to hold the contents of an Oracle ASM file. An Oracle ASM file consists of one or more file extents. Variable extent sizes of 1\*AU size, 4\*AU size, and 16\*AU size are used for supporting very large ASM files.

## Configuring Storage for Oracle Automatic Storage Management (ASM)

To configure storage for use by Oracle ASM:

- Determine the number of devices and the amount of free disk space that you require
- Create DAS or SAN disk partitions for Oracle ASM
- Configure storage device path persistence by some means:
  - Oracle ASMLIB, only on some Linux operating systems
  - udev (device file naming scheme) on all Linux operating systems
  - OS-specific device management

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To identify the storage requirements for using Oracle ASM, you must determine the number of devices and the amount of free disk space that you require. You need to determine whether you want to use Oracle ASM for Oracle Database files (data files, control files, and redo log files), recovery files, or both. You need to choose the Oracle ASM redundancy level to use for each Oracle ASM disk group that you create. The redundancy level determines how Oracle ASM mirrors files in the disk group and determines the number of disks and amount of disk space that is required.

In order to use a DAS or SAN disk in Oracle ASM, the disk must have a partition table. Oracle recommends creating exactly one partition for each disk.

Oracle recommends that you use **Oracle Automatic Storage Management Library Driver** (Oracle ASMLIB) for device persistence, where it is available. Oracle ASMLIB simplifies the configuration and management of block disk devices by eliminating the need to rebind block disk devices used with Oracle ASM each time the system is restarted. With Oracle ASMLIB, you define the range of disks you want to have made available as Oracle ASM disks. Oracle ASMLIB maintains permissions and disk labels that are persistent on the storage device, so that the label is available even after an operating system upgrade.

Refer to the *Oracle Database Installation Guide* for detailed information about these configuration tasks.

## Oracle Grid Infrastructure Installation: System Requirements

- Memory requirements for Linux:
  - 4 GB for the ASM instance and Oracle Restart
  - Swap space:
    - 4 GB – 16 GB RAM , swap space = RAM
    - 16 GB + RAM, swap space = 16 GB
- Disk space requirements for Linux:
  - 6.9 GB disk space
  - 1 GB of disk space in the /tmp directory



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A standard Oracle Grid Infrastructure for standalone server installation requires a minimum of 4 GB RAM.

**The amount of swap space required is dependent on the amount of RAM:**

- Between 4 GB and 16 GB: Swap space should be equal to the size of the RAM
- More than 16 GB: 16 GB

At least 6.9 GB of disk space is required for the installation and at least 1 GB of space in the /tmp directory is required.

## Creating Operating System Groups and Users

Create custom configuration groups and users based on job role separation:

- Groups:
  - Oracle Inventory group (`oinstall`)
  - Oracle Grid Infrastructure groups for job role separation:
    - OSDBA (`asmdba`)
    - OSASM (`asmadmin`)
    - OSOPER (`asmoper`)
- Users (software owners):
  - Oracle Grid Infrastructure/Oracle Restart: `grid`



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When you install Oracle software on a system for the first time, the Oracle Universal Installer (OUI) creates a file named `oraInst.loc`. This file identifies the Oracle Inventory group (typically, `oinstall`) and the path of the Oracle Inventory directory.

If you have an existing central Oracle Inventory, you should use that Oracle Inventory for all Oracle software installations. Ensure that all Oracle software users that will perform installations have permissions to write to this directory.

Create the following operating system groups:

- OSDBA: Administrative access to Oracle ASM instances
- OSASM: Granted `SYSASM` privileges to administer Oracle ASM
- OSOPER: Granted `SYSOPER` privileges (limited administrative privileges)

Oracle recommends that you create separate software owners for Oracle Database and Oracle Grid Infrastructure for a standalone server. Typically, the owner for Oracle Grid Infrastructure is named `grid`. The `grid` user must be a member of the Oracle Inventory group (`oinstall`), the OSDBA (`asmdba`) group, and the OSDBA group for each database.

## Types of Installations

- Software-only installation
  - Copies the binaries to the specified location
  - Requires manual configuration
- Installation Oracle Grid Infrastructure, followed by an installation of Oracle Database
  - Database is added to the Oracle Restart configuration and is automatically restarted when required
- Installation of Oracle Grid Infrastructure following the installation of Oracle Database
  - Requires you to manually add the database, the listener, the Oracle ASM instance, and other components to the Oracle Restart configuration
- Upgrade of Oracle Grid Infrastructure and Oracle ASM

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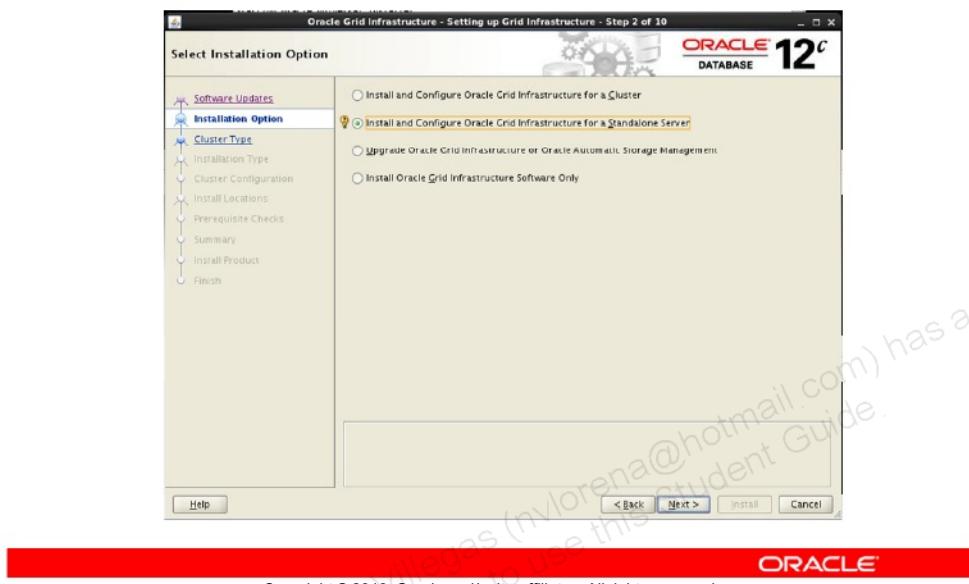
You can perform the following types of installations:

- Software-only installation to install the software binaries for Oracle Restart and Automatic Storage Management. Manual configuration is required to enable the software after this type of installation.
- Installation of Oracle Grid Infrastructure for a standalone server with a new database installation. With this type of installation, the database is automatically added to the Oracle Restart configuration and is automatically restarted when required. Perform the following steps:
  1. Install Oracle Grid Infrastructure for a standalone server to install Oracle Restart and Oracle ASM
  2. Configure Oracle ASM with at least one disk group.
  3. Install Oracle Database and use ASM disk groups for the database files.
- Installation of Oracle Grid Infrastructure for a standalone server on a host with an existing database. Perform the following steps:
  1. Select “Configure Oracle Grid Infrastructure for a Standalone Server” as the installation option when you run the Oracle Grid Infrastructure Configuration Assistant.
  2. Manually add the database, the listener, the Oracle ASM instance, all Oracle ASM disk groups, and any database services to the Oracle Restart configuration.

- Upgrade of Oracle Grid Infrastructure and Oracle Automatic Storage Management (ASM): If you have an earlier release of Oracle ASM on your system, the OUI automatically defaults to upgrade mode.

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## Installing the Oracle Grid Infrastructure for Standalone Server



To install the Oracle Grid Infrastructure software by using the Oracle Universal Installer (OUI), log on to your computer as a member of the administrative group that is authorized to install the Oracle software and to create and manage the database. Enter `./runInstaller` from the relevant directory on the Oracle Database 12c installation media or download directory to start the OUI.

On the Download Software Updates page you can supply My Oracle Support credentials so that the OUI can download updates for the installation. You can also specify that the OUI use software updates that you have previously downloaded or skip the updates completely.

The next page that is displayed is the Select Installation Option page, as shown in the screenshot. Select the option appropriate for your environment and proceed with your installation.

## Upgrading Existing Oracle ASM Instances

Use ASMCA to upgrade an existing ASM installation



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If you have an Oracle ASM installation from an earlier release installed on your server, or in an existing Oracle Restart installation, you can use Oracle Automatic Storage Management Configuration Assistant (Oracle ASMCA) to upgrade the existing Oracle ASM instance to 12c, and subsequently configure disk groups, Oracle ASM volumes and Oracle ASM file systems.

After the installation of the Oracle Grid Infrastructure Software only, Oracle ASMCA detects that there is a prior Oracle ASM version installed in another Oracle ASM home. After installing the Oracle ASM 12c binaries, you can start Oracle ASMCA to upgrade the existing Oracle ASM instance.

**Note:** An Oracle ASM instance should be upgraded by using OUI. OUI automatically defaults to upgrade mode when it detects an Oracle ASM instance a previous release.

## Summary

In this lesson, you should have learned how to:

- Install Oracle Grid Infrastructure for a Standalone Server
- Upgrade an existing Oracle Grid Infrastructure installation
- Use ASMCA to upgrade an existing Oracle Automatic Storage Management (ASM) instance



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## Objectives

After completing this lesson, you should be able to:

- Start and stop Oracle Restart
- Use Oracle Restart to manage components

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## Oracle Restart

Oracle Restart implements a high availability solution for stand-alone Oracle databases.

- Can monitor and restart the following components:
  - Database instances
  - Oracle Net listener
  - Database services
  - Automatic Storage Management (ASM) instance
  - ASM disk groups
  - Oracle Notification Services (ONS/eONS)
- Runs periodic check operations to monitor the health of the components
- Runs out of the Oracle Grid Infrastructure home, which you install separately from Oracle Database homes



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Oracle Restart is designed to improve the availability of your Oracle database. It implements a high availability solution for single instance (nonclustered) environments only. For Oracle Real Application Cluster (Oracle RAC) environments, the functionality to automatically restart components is provided by Oracle Clusterware. Oracle Restart can monitor the health and automatically restart the following components:

- Database instances
- Oracle Net listener
- Database services
- ASM instance
- ASM disk groups
- Oracle Notification Services (ONS/eONS): Service for sending Fast Application Notification (FAN) events to integrated clients upon failover. The eONS is used by Oracle Enterprise Manager to receive notification of change in status of components managed by Oracle Restart.

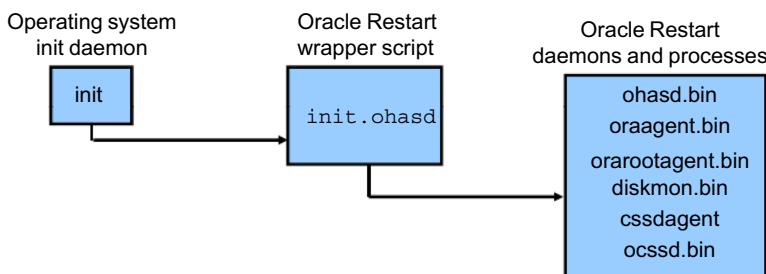
Restarting an ASM disk group means mounting it. The ability to restart ONS is applicable only in Oracle Data Guard standby databases for automatic failover or connect on failover between primary and standby databases through FAN.

Oracle Restart ensures that the components are started in the proper order, in accordance with component dependencies. If a component must be shut down, it ensures that the dependent components are cleanly shut down first.

Oracle Restart operates inside Oracle Grid Infrastructure home.

## Oracle Restart Process Startup

- Oracle Restart is started by the OS init daemon.



- During installation Oracle Restart is registered with the operating system so that it is started whenever the system starts up.

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The wrapper script sets up the environment variables and then starts the Oracle Restart daemons and processes.

**When a command is used to stop Oracle Restart, the daemons will be stopped, but the wrapper script process will remain running.**

The wrapper script is started with the respawn action so it will be restarted whenever it is terminated. In addition, the respawn action causes the init process to restart the daemons if they fail.

Some of the Oracle Restart daemons will be running under the `root` user with real-time priority, and others will be running under the Grid Infrastructure owner with user-mode priorities after they are started. On a Windows platform, operating system services are used instead of wrapper initialization scripts and the daemons are executable binaries.

**Note:** Executing the wrapper script directly is not supported.

## Controlling Oracle Restart

The Oracle Clusterware Control (CRSCTL) utility can be used to control the state of Oracle Restart.

- To display the Oracle Restart configuration:

```
$ crsctl config has -
```

- To enable or disable the automatic restart of Oracle Restart:

```
$ crsctl [ enable | disable ] has
```

- To start or stop Oracle Restart:

```
$ crsctl [ start | stop ] has
```

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The CRSCTL utility can be used to control the state of Oracle Restart. It can be used to determine if the autostart capability is enabled or disabled as follows:

```
$ crsctl config has
```

CRS-4622: Oracle High Availability Services autostart is enabled.

The control files for ohas are used to control the state of ohas and determine if automatic restart is enabled or disabled. These are known as SCLS\_SCR files. For Linux, the location of the control files is defined to be:

```
/etc/oracle/scls_scr/$HOST/<Oracle Restart owner> and  
/etc/oracle/scls_scr/$HOST/root
```

If the CRSCTL utility is used to stop Oracle Restart, all components currently managed by Oracle Restart will also be stopped.

```
$ crsctl stop has
CRS-4549: Stopping resources.
CRS-2673: Attempting to stop 'ora.diskmon' on 'host01'
CRS-2673: Attempting to stop 'ora.DATA.dg' on 'host01'
CRS-2673: Attempting to stop 'ora.LISTENER.lsnr' on 'host01'
CRS-2677: Stop of 'ora.DATA.dg' on 'host01' succeeded
CRS-2673: Attempting to stop 'ora.asm' on 'host01'
CRS-2675: Stop of 'ora.diskmon' on 'host01' succeeded
CRS-2677: Stop of 'ora.LISTENER.lsnr' on 'host01' succeeded
CRS-2677: Stop of 'ora.asm' on 'host01' succeeded

CRS-2673: Attempting to stop 'ora.cssd' on 'host01'
CRS-2673: Attempting to stop 'ora.diskmon' on 'host01'
CRS-2677: Stop of 'ora.diskmon' on 'host01' succeeded
CRS-4133: Oracle High Availability Services has been stopped.
```

In the example above, there is no Oracle Database software installed. If Oracle databases had been registered with Oracle Restart or they had been using ASM, they would have been stopped also.

When starting Oracle Restart with the CRSCTL utility, each component that is started is not displayed to standard output.

```
$ crsctl start has
CRS-4123: Oracle High Availability Services has been started.
```

**Note:** Invoking the wrapper script directly to start the Oracle Grid Infrastructure processes is not supported.

## Choosing the Correct SRVCTL Utility

- Invoke the Server Control (SRVCTL) utility from the Oracle Grid Infrastructure home when working with ASM instances, disk groups, listeners, and ONS.

```
$ export ORACLE_HOME=/u01/app/grid/product/12.1.0/grid  
$ $ORACLE_HOME/bin/srvctl command component options
```

- Invoke the SRVCTL utility from the Oracle Database home when working with the database or database instance.

```
$ export  
ORACLE_HOME=/u01/app/oracle/product/12.1.0/dbhome_1  
$ $ORACLE_HOME/bin/srvctl command component options
```



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Oracle Restart includes the SRVCTL utility that you use to start, stop, and manage Oracle Restart components. After the Oracle Database software is installed in addition to the Oracle Grid Infrastructure software, there will be a copy of the SRVCTL utility in each `ORACLE_HOME` location. You need to determine the correct `ORACLE_HOME` location in which to run the SRVCTL utility. You need to run the SRVCTL utility from the Grid Infrastructure software home directory when managing the ASM instance, ASM disk groups, Oracle Net listeners, and the ONS. You need to run the SRVCTL utility from the Oracle Database software home directory when managing the Oracle database instances. To determine the currently mapped location of the SRVCTL utility, use the `which` command as follows:

```
$ which srvctl  
/u01/app/oracle/product/12.1.0/dbhome_1/bin/srvctl
```

**Note:** For the Oracle Net listener, the assumption is that Oracle Grid Infrastructure was installed before the Oracle Database software. If Oracle Restart is added to an existing Oracle Database installation, the Oracle Net listener could be running from the Oracle Database home directory. In that case, you should use the SRVCTL utility from the Oracle Database home to manage the Oracle Net listener.

Refer to the *Oracle Database Administrator's Guide* for additional information about SRVCTL.

## Oracle Restart Configuration

Oracle utilities will automatically update the Oracle Restart configuration.

Create operations and the Oracle Restart configuration	Automatically added to configuration?
Create a database by using OUI or DBCA	YES
Create a database by using a SQL statement	NO
Create an ASM instance by using OUI, DBCA, or ASMCA	YES
Create a disk group (any method)	YES
Add a listener using NETCA	YES
Create a database service by using SRVCTL	YES
Create a database service by modifying SERVICE NAMES initialization parameter	NO
Create a database service by using DBMS_SERVICE.CREATE_SERVICE	NO

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Oracle Restart maintains a list of all the components that it manages, and maintains configuration information for each component. All of this information is collectively known as the “Oracle Restart configuration.” When Oracle Restart is installed, many operations that create Oracle components using Oracle utilities will automatically add the components to the Oracle Restart configuration. If a component is created manually without using an Oracle utility, then SRVCTL commands can be used to add it to the Oracle Restart configuration if desired. The table in the slide shows which create operations automatically add the component to the Oracle Restart configuration and which create operations do not update the Oracle Restart configuration.

**Note:** The same principle applies to delete operations.

## Using the SRVCTL Utility

- The SRVCTL utility is used to start, stop, and manage Oracle Restart components with the following syntax:

```
$ srvctl command component options
```

- The following command and components are supported:

<b>Commands</b>	add config disable downgrade enable getenv modify remove setenv start status stop unsetenv upgrade
<b>Components</b>	asm db dg filesystem home lsnr serv ons

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When Oracle Restart is in use, it is strongly recommended that you use the SRVCTL utility to start, stop, and manage all Oracle Restart components. The SRVCTL utility is recommended for the following reasons:

- All dependencies between components are maintained. This enables Oracle Restart to start or to stop any dependent components first.
- Components are started according to their Oracle Restart configuration.
- Environment variables stored in the Oracle Restart configuration for the components are set.

Oracle Restart components can also be started with other utilities such as the listener control (LSNRCTL) utility or SQL\*Plus, but the benefits listed above may not be obtained with other utilities.

The options that are allowed vary with each command and component combination. The SRVCTL utility syntax is as follows:

```
srvctl command component options
```

where:

- command* is a verb such as `start`, `stop`, or `remove`
- component* is the object on which SRVCTL performs the command, such as a database
- options* extend the use of the preceding command to include additional parameters

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## Obtaining Help for the SRVCTL Utility

The SRVCTL utility provides online help for its commands, components, and options.

- For help with general usage:

```
$ srvctl -h
```

- For help on a particular command:

```
$ srvctl command -h
```

- For help on a particular command and component:

```
$ srvctl command component -h
```

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The SRVCTL utility provides detailed online help for its commands, components, and options. To display the online Help, you use the help (-h) option to display usage information. If the help (-h) option is the only parameter specified, SRVCTL displays a general outline of all commands with the most common options used for each command and component combination. This will not be a complete list of all supported options. For more detailed and complete information, the help (-h) option can be used for a specific command, or for a specific command and component combination.

## Starting Components by Using the SRVCTL Utility

Oracle recommends that the SRVCTL utility be used to start all components.

- Examples of starting individual components:

```
$ srvctl start database -d PROD mount t  
$ srvctl start listener -d PROD listener service1,service2"  
$ srvctl start diskgroup -g "DATA,FRA"  
$ srvctl start asm  
$ srvctl start eons -v  
$ srvctl start ons
```

- Example of starting all Oracle Restart components in a specified Oracle home:

```
$ srvctl start home -o oracle_home -s state_file
```

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The SRVCTL utility can be used to start individual components, along with any dependent components that are necessary. For example, the `srvctl start database -d PROD` command may also start the listener, the ASM instance, and multiple disk groups if those components have been defined as being managed by Oracle Restart and are listed as dependent components to the PROD database.

The SRVCTL utility can also be used to start all components that are associated with a specified Oracle home and have been configured for Oracle Restart with the following command:

```
srvctl start home -o /u01/app/oracle/product/12.1.0/dbhome_1 -s  
/usr/local/bin/group_state_file
```

The state file contains the current state information for the components in the Oracle home and is created when the `srvctl status home` command is executed. It is indicated with the state file option (-s), and must specify the complete path of the state file. The state file can be created in any directory.

**Note:** The options shown in the slide represent the most common options and are not a complete list. You can use the help option (-h) for a complete list of all available options for each command.

## Stopping Components by Using the SRVCTL Utility

Oracle recommends that the SRVCTL utility be used to stop all components.

- Examples of stopping individual components:

```
$ srvctl stop database -d PROD -o transactional  
$ srvctl stop listener -l crmlistener -f  
$ srvctl stop service -d PROD -s "service1,service2"  
$ srvctl stop diskgroup -g "DATA,FRA" -f  
$ srvctl stop asm -o immediate -f  
$ srvctl stop eons -v  
$ srvctl stop ons
```

- Example of stopping all Oracle Restart components in a specified Oracle home:

```
$ srvctl stop home -o oracle_home -s state_file -f
```

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The SRVCTL utility can be used to stop individual components, along with any dependent components that must be stopped. For example, the `srvctl stop diskgroup -g "DATA - f"` command will force an unmount of the diskgroup even if files are open in it. It will also stop all database instances that depend on the DATA disk group.

The SRVCTL utility can also be used to stop all components that are associated with a specified Oracle home and have been configured for Oracle Restart with the following command:

```
srvctl stop home -o /u01/app/oracle/product/12.1.0/dbhome_1 -s  
/usr/local/bin/group_state_file -f
```

This can be very useful when it's necessary to stop all components successfully without you needing to apply a patch to the software binaries.

**Note:** The options shown in the slide represent the most common options and are not a complete list. You can use the help option (-h) for a complete list of all available options for each command.

## Viewing Component Status

- You can use the `status` command to view the running status for any component managed by Oracle Restart.
- Display the running status for a database:

```
$ srvctl status database - drccl
```

```
Database is running.
```

- Display the listener status:

```
$ srvctl status lsnr  
Listener LISTENER is enabled  
Listener LISTENER is running on node(s) : host01
```

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You can use the SRVCTL utility to view the running status (running or not running) for any component managed by Oracle Restart. Additional information is displayed for some components.

The format of the command is as follows:

```
srvctl status object [options]
```

*object* can be one of the following:

- `asm`: ASM instance
- `database (db)`: Database instance
- `diskgroup (dg)`: Oracle ASM disk group
- `filesystem`: Oracle ASM file system
- `home`: Oracle home or Oracle Clusterware home
- `listener (lsnr)`: Oracle Net listener
- `ons, eons`: Oracle Notification Services
- `service (serv)`: Database service

Refer to the *Oracle Database Administrators Guide* for a list of options for each of the listed objects.

## Displaying the Oracle Restart Configuration for a Component

- You can use the config command to display the Oracle Restart configuration of a component.
- Display the Oracle Restart configuration for a database:

```
$ srvctl config database -d orcl
Database unique name: orcl
Database name: orcl
Oracle home: /u01/app/oracle/product/12.1.0/dbhome_1
Oracle user: oracle
Spfile: +DATA/orcl/spfileorcl.ora
Domain: example.com
Start options: open
Stop options: immediate
Database role:
Management policy: automatic
Disk Groups: DATA,FRA
Services: east,sales
```

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You can use the SRVCTL utility to display the Oracle Restart configuration of a component with the config command. The config command is valid for the database, service, asm, listener, ons, and eons components.

The configuration for an Oracle Restart component can be modified with the SRVCTL utility modify command. The following syntax shows an example of how to modify the database with the unique name of orcl to use a different, nonstandard directory for the server parameter file (SPFILE).

```
srvctl modify database -d orcl -p
/usr/local/oracle/spfileorcl.ora
```

## Manually Adding Components to the Oracle Restart Configuration

Components can be manually added to the Oracle Restart configuration with the `add` command.

- To define a new listener that was not created with NETCA, use the following syntax:

```
$ srvctl add listener -l MYLISTENER -p TCP:1525 -o /u01/app/oracle/product/12.1.0/grid
```

- To specify a nondefault location for the new listener's networking files, use the following syntax:

```
$ srvctl setenv listener -l MYLISTENER -t TNS_ADMIN=/usr/local/oracle
```



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The SRVCTL utility can be used to manually add components to the Oracle Restart configuration with the `add` command. If the component was created with an Oracle utility such as NetCA, DBCA, ASMCA, or OUI, it would have been automatically added to the Oracle Restart configuration and it would not be necessary to manually add it.

The slide illustrates manually adding a new listener called `MYLISTENER` to the Oracle Restart configuration. The listener will use the software binaries of the Grid home installation, but will depend on a nonstandard location for the networking files. For this example, it is assumed that the `listener.ora` networking file has been created in the `/usr/local/oracle` directory. The `setenv` command of the `srvctl` utility is used to define environment variables **that may be needed for specific components**. The `TNS_ADMIN` environment variable is set to the nondefault location of the `listener.ora` file, and is defined only for the listener named `MYLISTENER`. This will not have an impact on any other listeners that may already exist and that use different directories for the networking files.

The SRVCTL utility includes a `remove` command to manually delete a component from the Oracle Restart configuration. To delete the listener created above, use the following syntax:

```
srvctl remove listener -l mylistener -f
```

This will also remove the environment variable that was associated with the listener.

## Quiz

You invoke the SRVCTL utility from the Oracle Grid Infrastructure home when working with:

- a. Listeners
- b. ASM instances
- c. Database instances
- d. ASM disk groups

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**Answer: a, b, d**

## Summary

In this lesson you should have learned how to:

- Start and stop Oracle Restart
- Use Oracle Restart to manage components

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# Working with Oracle Support

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## Objectives

After completing this lesson, you should be able to:

- Work with My Oracle Support
- Search My Oracle Support
- Log service requests (SRs)
- Manage patches
  - Apply a patch
  - Stage a patch
- Use the Enterprise Manager Cloud Control Support Workbench



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## Working with Oracle Support

- Oracle Support Services (OSS) provides 24x7 solution support.
- Support is delivered in the following ways:
  - My Oracle Support website
  - Telephone
  - Oracle Direct Connect (ODC) remote diagnostic tool
- The Customer Support Identifier (CSI) number is used to track the software and support that are licensed to each customer.

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Oracle Support Services (OSS) provides 24x7 solution support to all Oracle customers throughout the world. OSS has support centers around the globe to provide this coverage whenever it is required, 365 days a year.

Support is delivered to Oracle customers through the My Oracle Support Web site, on the telephone, and by using the Oracle Direct Connect (ODC) remote diagnostic tool.

After purchasing Oracle software, customers are provided with a Customer Support Identifier (CSI) number. This number is used to track the software and support licensed to each customer. The CSI number provides access to all the available patches, documentation, and troubleshooting information on My Oracle Support. The CSI number enables customers to log a service request (SR) with OSS.

**Note:** Service requests were formerly called technical assistance requests (TARs).

## Using My Oracle Support

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To register for My Oracle Support, go to <http://myoraclesupport.oracle.com/> and click the “New User? Register here” link. At the prompt, enter your CSI number and answer a few basic questions. After registering, you are ready to use My Oracle Support. Note that each CSI number has an administrator designated by the customer who controls new-user access to My Oracle Support. Customers must designate this individual, and then new users must work with this individual to create new accounts and grant appropriate My Oracle Support access.

My Oracle Support has a variety of tools and methods available for researching problems. Searching for answers on My Oracle Support through the standard and advanced search engines is relatively straightforward. A common problem is that sometimes many results are returned. The following are some simple steps that can improve the quality and relevance of search results:

- Use full and exact error text when performing your search. For example, ORA-1400 : mandatory (NOT NULL) column returns more relevant answers than ORA-1400.
- When researching errors in Oracle E-Business Suite, enter the name of the code as part of the search criteria. For example, APXINWKB ORA-1400 : mandatory (NOT NULL) column returns fewer and better results than if you supply only the error message.

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You can use the Knowledge tab to access the Knowledge Browser if you prefer a drilldown method of searching for information rather than searching by keyword. The Knowledge Browser provides easy-to-use access to OSS's most frequently used technical content.

The Knowledge Browser is organized to provide up-to-date information at your fingertips:

- Recent announcements and information in the *Featured News and Articles* section
- Information by product category
- Case studies
- Tools and training
- Online documentation
- Electronic technical reference manuals (eTRMs)**
- Oracle Integration Repository
- Customer Knowledge Exchange

My Oracle Support Forums (Forums) enables you to interact with other Oracle customers to share ideas and discuss Oracle products. You can use My Oracle Support Forums to find out how other customers perform complex tasks or meet various business requirements with Oracle products. **You should not use Forums as a substitute for logging an SR.**

Customers can use the patch engine to search for patches by using a variety of methods. The following are the most common patch searches:

- Patch Number:** If you know the patch number, you can enter it.
- Latest Consolidated Patch:** You can use this when upgrading to determine the latest patches for the products you are using.
- Includes File:** When a problem is encountered in a specific piece of code, a patch is often available to fix the issue. For this reason, support representatives often recommend that customers apply a patch to update code to the most current version available for the release. You can find and apply the latest versions of Oracle software by identifying the name and version of the code and then using the patch search utility to find out whether a more current version of the code is available.

**Note:** For detailed information about performing these searches, refer to My Oracle Support Technical Note 166650.1 ("Working Effectively with Global Customer Support").

You can use the BUGs link to search the BUG database when researching issues. A variety of methods are available for searching the BUG database.

## Researching an Issue

To research an issue on My Oracle Support, perform the following steps:

1. Perform a keyword search.
2. Review the documentation.
3. Use the self-service toolkits.
4. Use the automated diagnostic tests and business flows.
5. Search for applicable patches.
6. Log a service request (SR).



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My Oracle Support provides several resources that can be used to research an issue. The following steps outline basic troubleshooting techniques that use My Oracle Support resources:

1. **Keyword search:** Most issues can be resolved quickly and easily by using the keyword search utility on My Oracle Support. Effective searches can provide much information about a specific problem and its solutions.
2. **Documentation:** If keyword searching fails to yield a solution, you should review the documentation to ensure that setup problems are not the root cause. Setup issues account for more than one-third of all service requests; it is always good to review **setups early in the troubleshooting process. Documentation consists of user guides and implementation manuals published in PDF format as well as product README files and installation notes published in HTML.** Both of these document types are available on My Oracle Support and can be accessed through the self-service toolkits for each product.

3. **Self-service toolkits:** Self-service toolkits (SSTKs) provide a wealth of information about each product. In most cases, they contain FAQs, patch listings, and other helpful information that can assist you in researching and troubleshooting the issues that you are facing. Because SSTKs contain the most frequently used content about each product, you should reference them periodically to identify known issues before they cause problems within your environment.
4. **Diagnostics and flows:** Many recent innovations in Oracle Support Services have been in the area of automated diagnostic tests and business flows. Tests and flows have been created for you to check the setup of your system or gather information about a problem. In the case of diagnostic tests, this can be done by running a Java or SQL script. The output of these tests can help you in resolving issues and can also help Oracle Support Services identify the cause of your problem if it becomes necessary to log a service request.
5. **Patches and BUGs:** There are times when BUGs are found in Oracle products, and patches are required to correct the problem. When troubleshooting a problem, you should review your system to see whether patches are available to provide you with a more recent release of the product. With the patch search tool, you can search for patches that contain specific files. Searching for the latest code and patching your environment to the most recent version improves the troubleshooting process by eliminating existing BUGs that could be possible candidates for the problem. You should also leverage the BUG search engine to see whether a BUG has been logged for your issue but not yet fixed.
6. **Logging a service request (SR):** When all self-service options fail, it may become necessary to engage a support representative to assist in resolving your issue.

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## Logging Service Requests

- Log an SR by clicking the Service Request tab on the My Oracle Support home page.
- My Oracle Support performs searches based on the CSI number and SR profile.
- Provide the following information when logging an SR:
  - Explanation of the issue, including error messages
  - Steps taken to troubleshoot the issue
  - Software version
  - Steps required to reproduce the problem
  - Business impact of the issue

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You may research an issue on My Oracle Support, but may be unable to locate a solution. In this case, you should log a service request (SR) through My Oracle Support. You can log an SR by clicking the Service Request tab on the My Oracle Support home page.

The first step in creating an SR is the selection of a CSI number and SR profile. After the required profile information has been submitted, My Oracle Support gathers some specifics about the problem, including the problem type, error message, brief summary of the issue, and language preference. My Oracle Support performs a search by using this information and attempts to find a solution.

The search conducted during this phase may provide different results than the searches you have performed earlier. Because the search engines and weighting are slightly different. Because the search results can differ, it is important that the search results are reviewed during the SR creation process, even if previous searches have been conducted by using the My Oracle Support search engine.

If the search results fail to resolve the issue, the SR creation process continues with a series of questions and requests for information. After the questions are answered, the SR is submitted electronically and routed to a support representative who analyzes the issue further. Any files, screenshots, or other additional information must be uploaded immediately after the SR is logged by using the upload utility provided in the SR section of My Oracle Support.

You must ensure that the following items are clearly documented in the SR. By providing the following information, you can equip the support representative effectively to prioritize and work on the issue:

- Clear explanation of the problem, including exact error messages
- Explanation of the steps taken to troubleshoot the problem and the findings
- Exact versions of the software
- Steps required to reproduce the problem
- Business impact of this issue, including milestones, dates, and costs

Each SR is assigned a unique identifier called an *SR number*. When you log an SR, My Oracle Support provides you with the SR number (or your support representative advises you about the SR number if you log the SR by telephone). The support representative subsequently receives the SR in his or her queue through an automated process. This automated process ensures that all SRs are assigned to the support representative who is best able to work on the specific issue that is being reported.

**Note:** For more information, refer to My Oracle Support Technical Note 166650.1 (“Working Effectively with Global Customer Support”).

## Accessing My Oracle Support Community

The screenshot displays the Oracle My Oracle Support Community interface. At the top, there's a navigation bar with links for 'Main Home', 'Discussions', 'Documents', 'Private Messages (0)', 'Contacts', 'Tags', 'Profile', 'Subscriptions Off', 'Welcome, User499024 - Oracle | My Profile | Sign out | Help', 'Search...', and 'This Community'. Below the navigation is a search bar with placeholder text 'What do you want to do?' and buttons for 'Create a Community Post' and 'Upload/Write a Reference Document'.

**My Communities** sidebar:

- Find a Community
- Quick find
- Oracle Database Server
- ATG Reviews - Middleware
- SQL Plus
- VivisCenter Interactions
- Oracle Database
- ATG Oracle Live Help
- ATO Web Commerce
- Other ATG Applications
- Oracle Database**
- DB Diagnostic Repository (ADR)
- Database Performance & Scalability
- Database Administration**
- Database Backup and Recovery
- Database Data Warehousing

**Subscribe To This Community**

**Top Participants**

Community active users within last 90 days: 286

User	Points	Total Posts
Guru	3603 points	/ 7343 total
HansDrewel	1000 points	/ 9341 total
Baldy	1000 points	/ 1173 total
Guru	1713 points	/ 5935 total
Venkat	1568 points	/ 7426 total
Guru	1568 points	/ 7426 total
Dreamland	1568 points	/ 7426 total

**Database Administration News & Announcements**

PSU and CPU OCT 2012 is now ready for download. See Doc ID 1477727.1 – Patch Set Update and Critical Patch Update October 2012 Availability Document

**Database Administration Webcasts**

No live Webcasts currently scheduled for this community. Please check back later.  
Look here for the upcoming Advisor Webcast Schedule across all products.

**Upcoming Webcasts**

- An Introduction to Connection Control
- Introduction to Oracle Database Migration Assistant for Unicode
- Database Transaction Recovery
- Getting a Testcase for Support - The Way Without Pain
- Regridding data in tables and tablespaces - Why? When? How?
- Getting Started with Oracle Database 12c
- Cient side NLS\_NLS\_LANG and other client NLS parameters explained
- Oracle 11g New Features
- What is the meaning of ORA-01017 Error and What Should I Do About It?
- This one-hour session is recommended for Oracle users who want to have a no solution overview on what steps are needed to change the characteristics of an Oracle RDBMS. Interested audience: Oracle DBAs
- This one-hour session will present the features and functionality of Oracle Connection Manager.
- Look here for Advisor Webcast Archive across all products

**Archived Webcasts:**

- Script to identify Long Running (7916 views)
- Tablespace space forecasting (4169 views)
- A Case Study at Oracle State (2019 views)
- A couple of scripts for finding (634 views)
- UNDERSTANDING ORACLE LOGS (555 views)
- A CHECKLIST TO MOVE THE DB (427 views)

**Popular Discussions**

- Welcome to YOUR Database (1911 views)
- Tips to effectively use YOUR DB (1517 views)
- After table add column taking a (604 views)
- Tracing ORA-07445 (586 views)
- CLOSE PROCESS (655 views)
- I can't find my posting in the DB (640 views)
- How to make DB aux start in (586 views)
- How to fix ORA-01017 issue, download (527 views)
- ORA-609 - spidr aborting proc (509 views)
- Init Parameters and SPFILE (491 views)

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My Oracle Support Community is a multi-channel interactive community for sharing information, posting questions and answers, and providing suggestions about Oracle products, services, and related technologies.

If you have access to My Oracle Support you are automatically a member. There is no additional registration required.

### Oracle Database 12c: Admin, Install and Upgrade Accelerated F - 10

## Managing Patches

### Kinds of patches

- Interim patches
  - For specific issues
  - No regression testing
- Security Patch Updates (SPUs)
  - Critical security issues
  - Regression testing
  - Does not advance version number
- Patch Set Updates (PSUs)
- Patch releases



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You can apply different kinds of patches at different times for different reasons.

- Interim patches (also known as *one-off* or *one-of patches*) are created to solve a specific problem. They do not go through a full regression test. Interim patches are typically installed with the `opatch` utility. The Enterprise Manager Patching Wizard can help automate the patching process by downloading, applying, and staging the patches. This wizard uses the `opatch` utility in the background.
- Security Patch Updates (SPU) patches—formally, Critical Patch Update (CPU) patches—are cumulative, which means fixes from previous Oracle security alerts and critical patch updates are included.
- Patch Set Update (PSU) patches are issued quarterly. PSU patches and interim patches can also be removed from your system with `opatch rollback -id <patch id>`. Oracle does extensive testing of Patch Set Updates with its own applications, as well as running regression tests for the PSUs themselves. To verify that a patch has been applied, query the inventory with `opatch -lsinventory` and see if the patch is listed.

## Applying a Patch Release

- Patch releases are fully tested product fixes that:
  - Affect only the software residing in your Oracle home on installation
  - Contain individual bug fixes
  - Carry version numbers
- To apply a patch:
  1. Determine your Oracle software environment.
  2. Set your My Oracle Support login credentials.
  3. Stage the patch release.

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Software management involves keeping your Oracle software up-to-date with the latest product fixes. Periodically, Oracle issues patch releases (product fixes) for its software. Patch releases are fully tested product fixes. Application of a patch release affects only the software residing in your Oracle home, with no upgrade or change to the database.

Patches are individual bug fixes. Patch sets are a collection of bug fixes up to the time of the patch set release. All patch and patch set releases carry version numbers. For example, 11.1.0.3 is an available patch set for Oracle Database 11g Release 11.1.0.2. Every patch or patch set also has a patch number to identify it. Every patch release has an associated README file that describes its bug fixes. The README also has instructions for manually applying the patch.

Enterprise Manager enables you to find the latest patch release on the My Oracle Support website and download it to your Oracle home.

## Enterprise Manager Cloud Control: My Oracle Support Integration

- Enterprise Manager Cloud Control automatically alerts users to new critical patches.
- The Enterprise Manager Cloud Control patch wizard can be used to select an interim patch.
- You can review the patch's README file from within Enterprise Manager Cloud Control.
- You can download the selected patches from My Oracle Support into the Enterprise Manager Cloud Control patch cache.

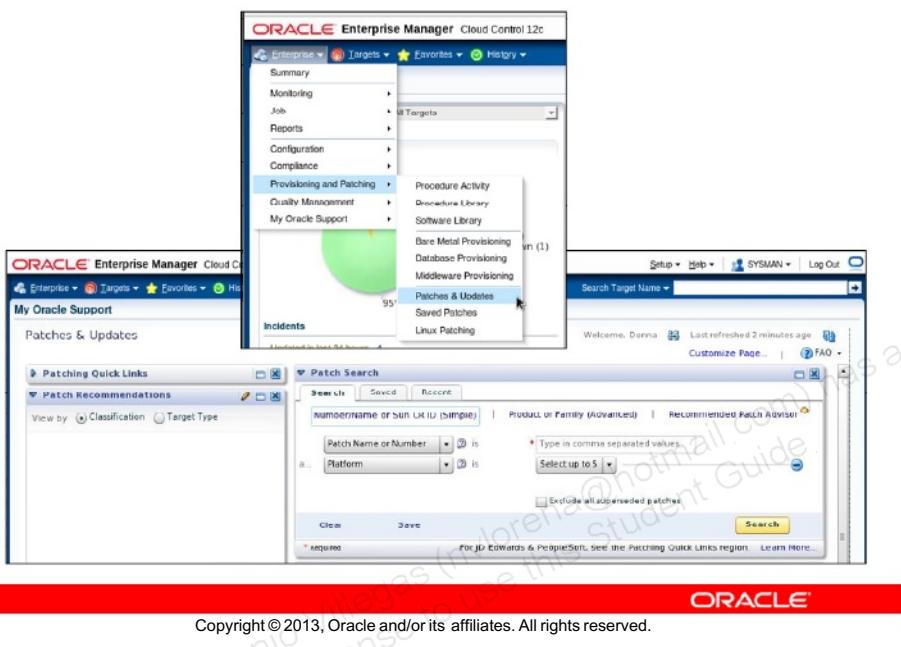
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Oracle Enterprise Manager Cloud Control significantly facilitates software patching with its built-in My Oracle Support integration. Enterprise Manager Cloud Control automatically alerts users to new critical patches and flags all systems that require a specific patch. You can invoke the Enterprise Manager Cloud Control patch wizard to determine what interim patches are available for installation. Alternatively, you can use the patch wizard to select an interim patch and determine whether any of your systems require that patch. You can review the patch details and README patch notes directly from within Enterprise Manager Cloud Control.

You can use the patch wizard to download interim patches from My Oracle Support into the **Enterprise Manager Cloud Control patch cache**, **eliminating the need for repeated downloads**. You can stage appropriate patches on the destination system or systems for manual application at a later time. To further automate the patching process, you can also provide a customizable patch application script that is executed on the destination system at a user-defined time by the resident Enterprise Manager Cloud Control agents. As patches are applied to a system, the corresponding Oracle Universal Installer (OUI) inventory is automatically updated to keep track of the systems' correct patch level.

## Using the Patch Advisor



In Enterprise Manager Cloud Control, you can access the Patches & Updates page by expanding Enterprise and selecting Patches & Updates in the Provisioning and Patching menu. Note that this menu is at the Enterprise, not database target level.

In the Patch Search section, you can search for patches by patch number and name, you can search for all patches for a specific product, and you can invoke the Patch Advisor. The Patch Advisor enables you to search for recommended patches for specified products and combinations of products.

Refer to the Enterprise Manager Cloud Control documentation and online help for additional information. You may also want to attend the *Using Oracle Enterprise Manager Cloud Control 12c* course for detailed information about the Patch Advisor.

## Online Patching: Overview

For a bug fix or diagnostic patch on a running Oracle instance, online patching provides the ability to do the following:

- Install
- Enable
- Disable



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Online patching provides the ability to install, enable, and disable a bug fix or diagnostic patch on a live, running Oracle instance. Using online patching is the recommended solution for avoiding down time when applying online patches. Oracle provides the capability to perform online patching with any Oracle database using the opatch command-line utility. Online patches can be provided when the changed code is small in scope and complexity (for example, with diagnostic patches or small bug fixes).

## Installing an Online Patch

- Applying an online patch does not require instance shutdown, relinking of the Oracle binary, or instance restart.
- OPatch can be used to install or uninstall an online patch.
- OPatch detects conflicts between two online patches, as well as between an online patch and a conventional patch.
- To determine if a patch is an online patch:

```
opatch query -is_online_patch <patch location>
OR
opatch query <patch location> -all
```

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Unlike traditional patching mechanisms, applying an online patch does not require instance shutdown or restart.

**Similar to traditional patching, you can use OPatch to install an online patch.**

You can determine whether a patch is an online patch by using the following commands:

```
opatch query -is_online_patch <patch location> or
opatch query <patch location> -all
```

**Note:** The patched code is shipped as a dynamic/shared library, which is then mapped to memory by each Oracle process.

## Benefits of Online Patching

- No down time and no interruption of business
- Extremely fast installation and uninstallation times
- Integration with OPatch:
  - Conflict detection
  - Listed in patch inventory
  - Works in RAC environment
- Persistence across instance shutdown and startup

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You do not have to shut down your database instance while you apply the online patch. Unlike conventional patching, online patching enables fast installation and uninstallation. Because online patching uses OPatch, you get all the benefits that you already have with conventional patching that uses OPatch. It does not matter how long or how many times you shut down your database—an online patch always persists across instance shutdown and startup.

## Conventional Patching and Online Patching

Conventional Patches	Online Patches
Require down time to apply or remove	Do not require down time to apply or remove
Are installed and uninstalled via OPatch	Are installed and uninstalled via OPatch
Persist across instance startup and shutdown	Persist across instance startup and shutdown
Take several minutes to install or uninstall	Take only a few seconds to install or uninstall

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Conventional patching basically requires a shutdown of your database instance.

Online patching does not require any down time. Applications can keep running while you **install an online patch**. Similarly, **online patches that have been installed can be uninstalled** with no down time.

## Online Patching Considerations

- Some extra memory is consumed.
  - Exact amount depends on the size of the patch and number of concurrently running Oracle processes
  - Minimum amount of memory: Approximately one OS page per running Oracle process
- There may be a small delay (a few seconds) before every Oracle process installs or uninstalls an online patch.
- Not all bug fixes and diagnostic patches are available as an online patch.
- Use online patches in situations when down time is not feasible.
- When down time is possible, you should install all relevant bug fixes as conventional patches.

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One operating system (OS) page is typically 4 KB on Linux x86 and 8 KB on Solaris SPARC64. With an average of approximately one thousand Oracle processes running at the same time, this represents around 4 MB of extra memory for a small online patch.

A vast majority of diagnostic patches are available as online patches. For bug fixes, it really depends on their nature. Not every bug fix or diagnostic patch is available as an online patch. But the long-term goal of the online-patching facility is to provide online-patching capabilities for Critical Patch Updates.

**Note:** You must uninstall the online patch before applying the conventional patch.

## Quiz

Which of the following statements are true about online patches?

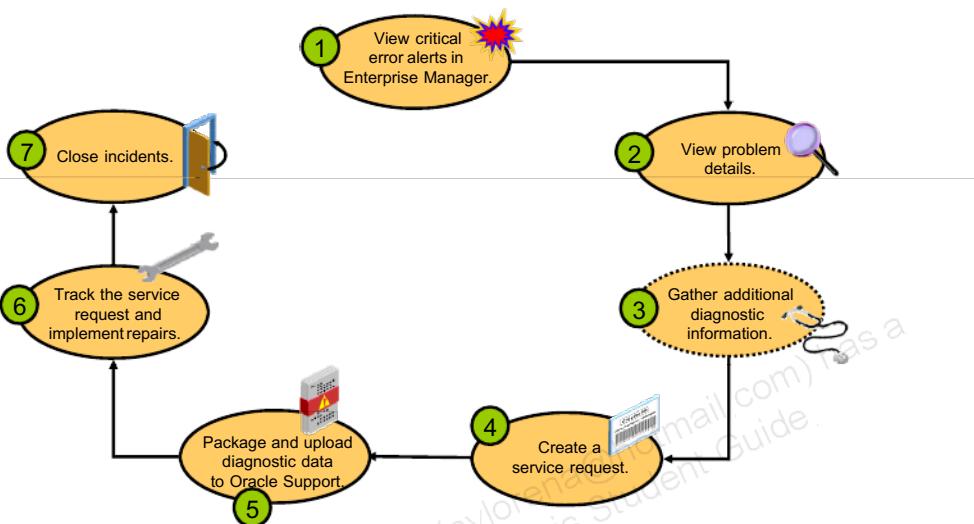
- a. Can be installed using OPatch
- b. Require down time to apply
- c. Persist across instance startup and shutdown
- d. Do not require down time to remove

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**Answer: a, c, d**

## Using the Support Workbench



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Using the Enterprise Manager Cloud Control Support Workbench, you can investigate, report, and (in some cases) resolve a problem by performing the following general steps:

1. On the Database Home page in Enterprise Manager, review critical error alerts. View the details by selecting an alert.
2. Examine the problem details and view a list of all incidents that were recorded for the problem. Display findings from any health checks that were automatically run.
3. (Optional) Run additional health checks and invoke the SQL Test Case Builder, which gathers all required data related to a SQL problem and packages the information in a way that enables the problem to be reproduced at Oracle Support.
4. Create a service request with My Oracle Support and (optionally) record the service request number with the problem information.
5. Invoke the Incident Packaging Service, which packages all gathered diagnostic data for a problem and (optionally) uploads the data to Oracle Support. You can edit the data to remove sensitive information before uploading.
6. You can maintain an activity log for the service request in the Support Workbench. Run Oracle advisors to help repair SQL failures or corrupted data.
7. Set the status for one, some, or all incidents for the problem to be closed.

## Accessing the Support Workbench

The screenshot shows the Oracle Enterprise Manager Cloud Control 12c interface. The top navigation bar includes links for Enterprise, Targets, Favorites, History, Setup, Help, SYSMAN, and Log Out. The main menu has Oracle Database, Performance, Availability, Schema, and Administration options. The current path is Database Instance: orcl > Support Workbench. The page title is 'Support Workbench'. It displays a summary of problems: New Problems in Last 24 Hours (0), All Active Problems (1), All Incidents (2). Below this is a search bar for 'Filter by problem key' with 'Go' and 'Advanced Search' buttons. A table header for 'View' and 'Package' is shown, followed by 'Select All', 'Select None', 'Show All Details', and 'Hide All Details' buttons. The table columns include Select, Details, ID, Description, Number of Incidents, Last Incident (dropdown), Last Comment, Active, Packaged, and SR#. One row is visible: ORA 4036 (ID 11, Last Incident: November 28, 2012 1:10:54 PM UTC, Active: Yes, Packaged: No). A note below the table says 'There are more incidents...'. A callout box points to the 'Incidents (11)' link with the text 'Click to access the Problem Details page.' To the right of the table, there's a list of incident details with dates. At the bottom, there's a 'Performance and Critical Error Timeline' section and a 'Related Links' section with 'Alert Log Contents' and 'Create User-Reported Problem' buttons. The Oracle logo is at the bottom right.

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You can access the Support Workbench page directly by expanding the Oracle Database menu, and then selecting Support Workbench in the Diagnostics submenu.

**Note:** The tasks described in this section are all performed in Enterprise Manager Cloud Control. You can also accomplish all of these tasks with the ADRCI command-line utility. See the *Oracle Database Utilities* guide for more information about the ADRCI utility.

## Viewing Problem Details

The screenshot shows the Oracle Support Workbench Problem Details page for problem ID ORA 4036. The page has two main sections: 'Summary' and 'Investigate and Resolve'. The 'Summary' section contains details such as SR#, Bug#, Problem ID (2), Number of Incidents (11), First incident (November 8, 2012 10:11:54 AM UTC), Active (No), and Packaged (No). It also shows the 'Last Dumped Incident' (Timestamp: November 28, 2012 1:10:54 PM UTC, Incident source: System Generated). The 'Investigate and Resolve' section includes links for Assess Damage, Collect and Send Diagnostic Data, Run Checkers, Package the Problem, Database Instance Health, Track and Close, Diagnose, Alert Log, Related Problems Across Topology, Diagnostics for Last Dumped Incident, and Search Knowledge Base. Below these sections is a table titled 'Incidents' with columns: Select, Details, ID, Description, Data Dumped, Active, Status, and Timestamp. One row is shown: Select (radio button), Details, ID (19330 ORA-4036), Description, Data Dumped (Yes), Active (No), Status (Ready), and Timestamp (November 28, 2012 1:10:54 PM UTC). A yellow callout box with the text 'Click to access the Incident Details page.' points to the 'Details' link in the table row.

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On the Problems subpage on the Support Workbench page, click the ID of the problem that you want to investigate. This takes you to the corresponding Problem Details page.

On this page, you can see all incidents that are related to your problem. You can associate your problem with a My Oracle Support service request and bug number. In the "Investigate and Resolve" section of the page, you see a Self Service subpage that has direct links to the operations that you can perform for this problem. In the same section, the Oracle Support subpage has direct links to My Oracle Support.

The Activity Log subpage shows you the system-generated operations that have occurred on your problem so far. This subpage enables you to add your own comments while investigating the problem.

On the Incidents subpage, you can click a related incident ID to access the corresponding Incident Details page.

## Viewing Incident Details

Incident Details: 19538

Page Refreshed November 29, 2012 1:41:06 PM UTC Refresh

**Summary**

Problem Key	ORA 4036	Data Dumped	Yes
Problem Id	2	ECID	Unknown
Status	Ready	Error	ORA 4036
Active	No	Correlation Keys	SID = 787 255, ThreadId = 41 32
Timestamp	November 28, 2012 1:10:54 PM UTC	ECID	= 004nqrDbb6DWld_41YBUU0002lb000K8R.062, PQ = 0,
User Impact	System Generated	Client ProdId	= oracle@EDRSR1P1 (TNS V1-V3), 17543, 139781106417216
Source		Purge Date	December 26, 2012 1:10:54 PM UTC (Purging Enabled)
			<a href="#">Disable Purging</a>

**Dump Files** [Checker Findings](#) [Additional Diagnostics](#)

File Name	Size (MB)	Timestamp	Path	View Contents
ord ora_17543_19538.trc	6.25	November 28, 2012 1:10:59 PM UTC	/u01/app/oracle/diag/rdbms/ord/orcl/incidt_19538	
ord ora_17543.trc	0.03	November 28, 2012 1:11:01 PM UTC	/u01/app/oracle/diag/rdbms/ord/orcl/trace	

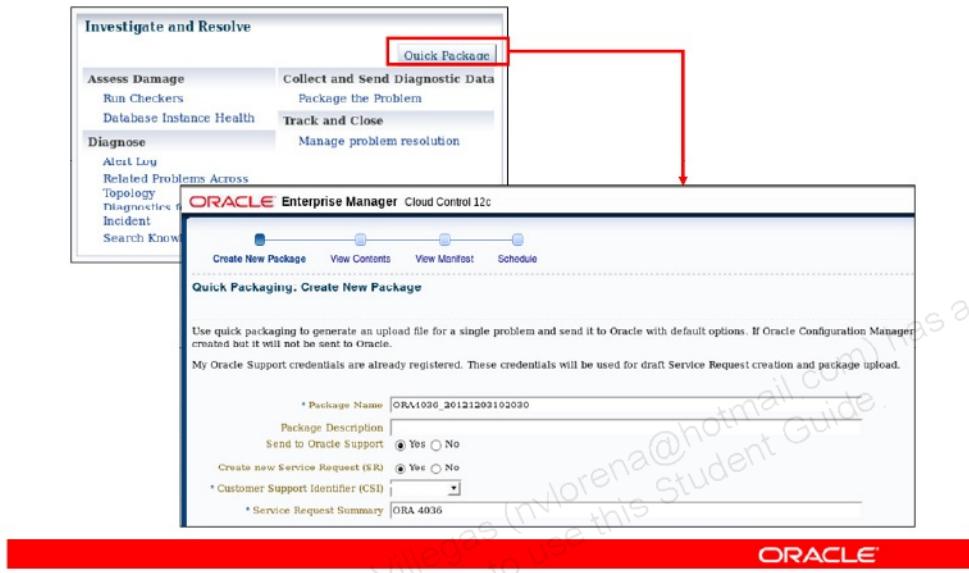
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When you access the Incident Details page, the Dump Files subpage lists all corresponding dump files. You can then click the eyeglass icon for a particular dump file to visualize the file content with its various sections.

On the Incident Details page, click Checker Findings to view the Checker Findings subpage. This page displays findings from any health checks that were automatically run when the critical error was detected. You will usually have the opportunity to select one or more findings and invoke an advisor to fix the issue.

## Packaging and Uploading Diagnostic Data to Oracle Support

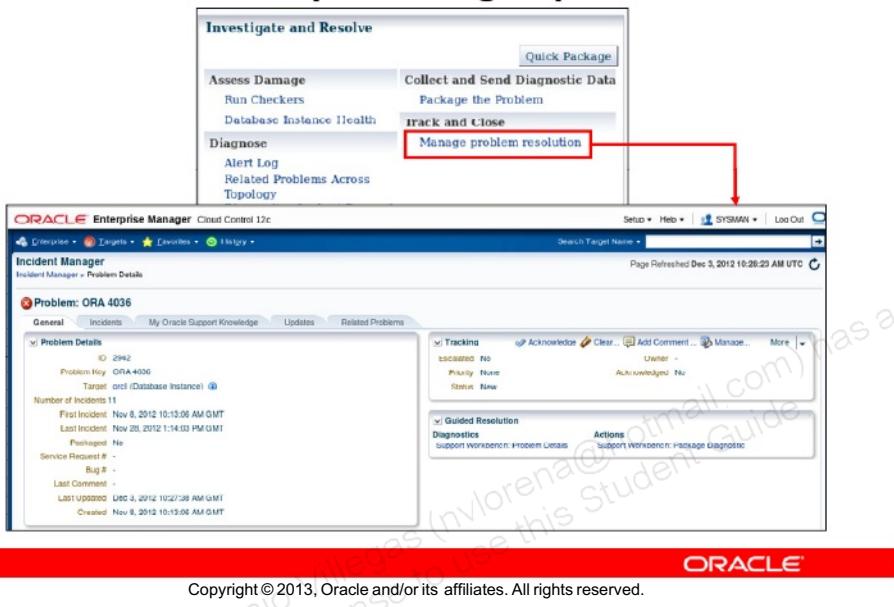


The Support Workbench provides two methods for creating and uploading an incident package: the Quick Packaging method and the Advanced Packaging method. The example in the slide shows how to use Quick Packaging.

Quick Packaging is a more automated method with minimum steps. You select a single problem, provide an incident package name and description, and then schedule the incident package upload, either immediately or at a specified date and time. The Support Workbench automatically places diagnostic data related to the problem into the incident package, finalizes the incident package, creates the ZIP file, and then uploads the file. With this method, you do not have the opportunity to add, edit, or remove incident package files or add other diagnostic data such as SQL test cases.

If you have not registered with My Oracle Support, a link will appear so that you can register. You must register with My Oracle Support to send the package to Oracle Support.

## Tracking the Service Request and Implementing Repairs



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After uploading diagnostic information to Oracle Support, you can perform various activities to track the service request and implement repairs. Among these activities are the following:

- Add an Oracle bug number to the problem information. On the Problem Details page, click the Edit button that is adjacent to the Bug# label. This is for your reference only.
- Add comments to the problem activity log:
  1. Access the Problem Details page for the problem.
  2. Click Activity Log to display the Activity Log subpage.
  3. In the Comment field, enter a comment and then click Add Comment.  
Your comment is recorded in the activity log.
- Respond to a request by Oracle Support to provide additional diagnostics. Your Oracle Support representative can provide instructions for gathering and uploading additional diagnostics.

## Summary

In this lesson, you should have learned how to:

- Use the Support Workbench
- Work with Oracle Support
- Search My Oracle Support
- Log service requests
- Manage patches
  - Apply a patch release
  - Stage a patch release



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